Hash Tables

A hash table is an associative data structure that maps keys to values. Unlike sequential data structures such as arrays or linked lists, which organize elements by position, hash tables provide access to values via arbitrary keys. This allows for efficient average-case performance for **insertion**, **deletion**, and **lookup** operations—typically in $\mathcal{O}(1)$ time.

Hash tables are widely used in compilers, databases, caches, and dictionaries, where fast retrieval by key is critical.

1 Use Cases

- Implementing associative arrays or maps
- Caching computed results (memoization)

2 Time Complexity

Operation	Average Case	Worst Case
Insert	$\mathcal{O}(1)$	$\mathcal{O}(n)$ (with poor hash function or high load)
Lookup	$\mathcal{O}(1)$	$\mathcal{O}(n)$
Update	$\mathcal{O}(1)$	$\mathcal{O}(n)$
Delete	$\mathcal{O}(1)$	$\mathcal{O}(n)$

3 Underlying Implementation

Hash tables are typically backed by an array. A hash function maps a key to an index in this array:

```
index = hash(key) mod table_size
```

Example Hash Function (for Strings):

The following hash function maps a string key to an array index by summing the ASCII values of its characters:

```
int hash(const string& key, int table_size) {
  int sum = 0;
  for (char c : key)
    sum += static_cast<int>(c);
  return sum % table_size;
}
```

Why use % table_size?

Hash functions often produce large integers. The modulo operation ensures the result is a valid index within the bounds of the underlying array (typically of size table_size). For example:

- If sum = 615 and $table_size = 10$, then the index is 615 % 10 = 5.
- This maps the key to index 5 in the internal array.

This hash function is simple but not collision-resistant. For example:

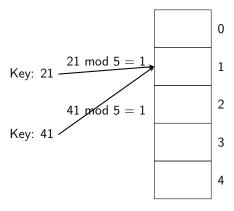
- "top" \rightarrow ASCII sum = 116 + 111 + 112 = 339
- ullet "pot" ightarrow ASCII sum = 112 + 111 + 116 = 339

Both strings produce the same hash value:

Conclusion: Anagrams like "top" and "pot" will collide under this hash function. This illustrates the importance of using more sophisticated hash functions that consider character positions and order.

3.1 Visualizing a Hash Collision

The diagram below illustrates two different keys mapping to the same index, resulting in a collision:



Observation: Keys 21 and 41 both hash to index 1, resulting in a collision that must be resolved.

4 Why Hash Tables Can Perform Poorly

While hash tables offer average-case constant time, performance degrades in the following scenarios:

- **High Load Factor:** As the number of entries approaches table size, collisions increase and probing chains grow longer.
- Poor Hash Functions: If a hash function does not distribute keys uniformly, many values may cluster at the same index.

Mitigation Strategies:

- Use a well-designed hash function (e.g., MurmurHash, SipHash).
- Resize the table dynamically and maintain a low load factor (e.g., below 0.75).
- Choose collision resolution wisely (e.g., quadratic probing, double hashing, cuckoo hashing).

5 Collision Resolution Strategies

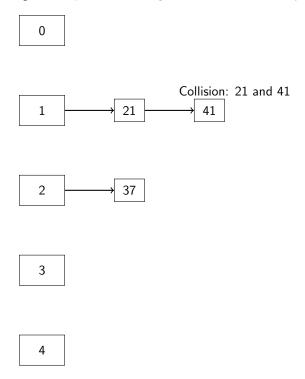
Since different keys may hash to the same index (a collision), hash tables use various strategies to resolve them:

5.1 Chaining (Separate Chaining)

In separate chaining, each hash table slot holds a pointer to a linked list (or another dynamic structure) of entries that hash to the same index. New entries are appended to the list when collisions occur.

Pros: Simple and flexible; handles collisions gracefully even when the load factor exceeds 1. **Cons:** Requires additional memory for pointers; performance degrades if lists grow too long.

C++ STL: unordered_map uses separate chaining with buckets internally.



Explanation:

- Keys 21 and 41 both hash to index 1, forming a linked list.
- Key 37 hashes to index 2 and occupies that slot alone.
- Each slot acts as the head of a chain.

5.2 Linear Probing

If a collision occurs at index i, search sequentially at $i + 1, i + 2, \ldots$ until an empty slot is found.

```
int probe(int key, int table_size, int i) {
   return (hash(key, table_size) + i) % table_size;
}
```

Pros: Simple, good cache performance. **Cons:** Prone to clustering, performance degrades as table fills.

5.3 Cuckoo Hashing

Uses two hash functions and two tables. If insertion causes a collision, evict the resident key and relocate it using the other hash function.

Pros: Constant-time worst-case lookup. **Cons:** Complex insertion logic and potential for infinite loops (requiring rehashing).

5.4 Modern Hash Functions

Robust hash functions are essential to minimize collisions and ensure uniform distribution:

- std::hash (C++ STL): Simple, type-specialized
- MurmurHash3: Non-cryptographic, fast and well-distributed
- CityHash, FarmHash (Google): Optimized for speed on large inputs
- SipHash: Secure hash for short strings; used in Python dictionaries

6 STL unordered_map

C++ provides a standard hash table implementation via unordered_map:

```
#include <unordered_map>
using namespace std;

unordered_map <string, int> freq;
freq["apple"] = 2;
freq["banana"] = 1;
cout << freq["apple"]; // prints 2</pre>
```

Key Characteristics:

- Backed by a hash table
- Average $\mathcal{O}(1)$ for insert, find, and erase
- Allows custom hash functions via std::hash specializations

7 STL unordered_set

The C++ Standard Template Library provides std::unordered_set, an associative container that stores unique elements using a hash table for fast access.

7.1 Key Features

- Stores only keys (no associated values)
- Ensures uniqueness of elements
- Average-case $\mathcal{O}(1)$ time complexity for insert, erase, and find
- Backed by hash tables with separate chaining

7.2 Basic Usage

```
#include <unordered_set>
#include <iostream>
using namespace std;

int main() {
    unordered_set<string> dict;
    dict.insert("apple");
    dict.insert("banana");
    dict.insert("apple"); // duplicate, ignored

if (dict.find("banana") != dict.end())
    cout << "banana exists\n";

dict.erase("apple");
}</pre>
```

8 Custom Hash Functions

To use custom types (e.g., structs), you must define both a hash function and an equality comparator:

```
struct Point {
  int x, y;
  bool operator == (const Point& other) const {
    return x == other.x && y == other.y;
  }
};

struct PointHash {
  size_t operator()(const Point& p) const {
    return hash < int > ()(p.x) ^ (hash < int > ()(p.y) << 1);
  }
};

unordered_set < Point, PointHash > points;
```

9 Practice problem

```
Leetcode Problem 1: Two\ sum\ -\ O(n) https://leetcode.com/problems/two-sum/description/
```