



NEW BASELINE AND MONITORING METHODOLOGY OR METHODOLOGICAL TOOL INITIAL ASSESSMENT FORM (Version 01.0)

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Note: This form is available in digital format. Please fill out all relevant sections of the form in clear print or typing. Provide your input after the >> indicator in the space provided. DO NOT MODIFY any part of the form as doing so will invalidate this application. Should you have difficulties in completing the form, please contact the UNFCCC secretariat at email: A6.4mechanism-meth@unfccc.int

Type of standard	Choose an item.
Title of the proposed new baseline and monitoring methodology or new methodological tool	>>
Date of completion of the initial assessment	Click or tap to enter a date.

Note for completing below:

Please assess the quality of the submitted new methodology according to the “Procedure: Development, revision and clarification of methodologies and methodological tools” (A6.4-PROC-METH-001).

The responses to the evaluation criteria below shall be considered as evidence for the evaluation of a case as qualified or unqualified. Each of the ten questions below carries a score of either 0 or 1. Where a score of 1 indicates that the submission is in compliance with all the requirements related to the applicable evaluation criteria in each question, and a score of 0 indicates non-compliance.

The proposed new methodology will be graded as “**unqualified**” for the consideration of the Methodologies Expert Panel if it receives a score of 0 for any of the evaluation criteria. For questions that receive a score of 0, the rationale will be included in order to provide relevant feedback to the proponent of the proposed new methodology.

If the proposed new methodology did not receive a score of zero for any of the evaluation criteria, the proposed new methodology will be graded as “**qualified**” and shall be assigned a unique reference number and further processed according to the procedures.

<u>No</u>	<u>Evaluation criteria¹</u>	<u>Score (0 or 1)</u>
1	- Does the proposed new methodology or methodological tool cover all sections as outlined in the applicable guidelines?	>>
	- Does the proposed new methodology or methodological tool reflect methodology/tool-specific information and not project/programme-specific information?	
	Rationale if the score is “0”	>>
2	- Is the language in the proposed new methodology or methodological tool sufficiently transparent, precise and unambiguous to undertake a full assessment?	>>
	Rationale if the score is “0”	>>

¹ Evaluation criteria should be considered in the light of the standards ‘Application of the requirements of Chapter V.B (Methodologies) for the development and assessment of Article 6.4 mechanism methodologies’ (A6.4-STAN-METH-001) and if applicable ‘Requirements for activities involving removals under the Article 6.4 mechanism’ (A6.4-STAN-METH-002) as well as any other related standards adopted by the Article 6.4 Supervisory Body.

3	<ul style="list-style-type: none"> - Is the compliance with the applicability conditions of the proposed new methodology or methodological tool possible to demonstrate and validate? - Are there any environmental integrity concerns identified in the methodology or methodological tool, for which safeguards are not taken? 	>>
	Rationale if the score is “0”	>>
4	<ul style="list-style-type: none"> - Does the proposed new methodology or methodological tool cover all the GHG emission sources and sinks and types of GHG that are related to the project/PoA covered by the methodology or methodological tool? - Is the project boundary clearly defined in the proposed new methodology? (<i>applicable to proposed new methodologies only</i>) - Are the approaches to estimate emission reduction/enhanced GHG removal by sinks clearly described in the proposed new methodology and the draft PDD or PoA-DD? - Does the proposed new methodology include approaches to identify the baseline scenario? - Does the proposed methodology ensure that the activity or PoA delivers services comparable to the baseline (or alternatively addresses appropriately the discrepancy between both in the case of land-use change projects)? 	>>
	Rationale if the score is “0”	>>
5	<ul style="list-style-type: none"> - Does the proposed new methodology demonstrate compliance with the requirements related to the following methodological principles as per the “Standard: Application of the requirements of Chapter V.B (Methodologies) for the development and assessment of Article 6.4 mechanism methodologies” and are these principles consistently applied in the proposed new methodology: <ul style="list-style-type: none"> (a) Encouraging ambition over time; (b) Contributing to the equitable sharing of mitigation benefits between participating Parties; (c) Aligning with the NDCs/LT-LEDs/long-term goals of the Paris Agreement; (d) Encouraging broad participation; (e) Establishing business-as-usual scenario; (f) Baseline approach; (g) Applicability conditions; (h) Project boundary; (i) Baseline emission estimation approach/procedure for estimation of the baseline net GHG removals; (j) Project emission estimation approach/ procedure for estimation of the actual net GHG removals; (k) Additionality demonstration (l) Leakage; (m) Addressing non-permanence and reversals; (n) Monitoring methodology. 	>>

	Rationale if the score is “0”	>>
6	<ul style="list-style-type: none"> - Is the basis for the identification of the baseline scenario in the proposed new methodology clear and concise? - Could the application of the methodology result in a baseline scenario that reasonably and/or conservatively represents the emissions by sources of greenhouse gases that would occur in the absence of the proposed project activity? Is it clearly described in the relevant draft PDD or PoA DD? - Are there any environmental integrity concerns which are not addressed by the proposed approach for baseline identification? 	>>
	Rationale if the score is “0”	>>
7	<ul style="list-style-type: none"> - Is the proposed approach for assessment and demonstration of additionality in the proposed new methodology clearly described in the relevant draft PDD or PoA-DD? - Are there any threats to the environmental integrity which are not addressed by the proposed approach? 	>>
	Rationale if the score is “0”	>>
8	<ul style="list-style-type: none"> - Do the sections on baseline emissions/baseline net GHG removals, project emissions/actual net GHG removals, leakage emissions and emission reductions/net GHG removal in the proposed new methodology or methodological tool contain relevant equations? - Do the equations adequately represent the underlying project / PoA or technology? - Are all variables used in the equations adequately described? - For each variable in the equations, is it clear whether it shall be (i) calculated, (ii) determined once and not monitored, or (iii) monitored? - Do the equations allow for accurate/conservative estimation of emission reduction/ net GHG removal? - Are there any threats to the environmental integrity which are not addressed by the proposed approach? 	>>
	Rationale if the score is “0”	>>
9	<ul style="list-style-type: none"> - Do the sections (i) data/parameters not to be monitored and (ii) data/parameters to be monitored cover all relevant variables used in the equations? - Do the monitoring tables provide clear approaches to determine the parameters and apply QA/QC procedures? - Is the vintage of data clearly defined? - Are uncertainties and accuracy of instrumentation taken into account, where relevant? 	>>
	Rationale if the score is “0”	>>

10	- If it is a resubmitted proposed new methodology, are all the issues raised in the previous recommendations addressed?	>>
	Rationale if the score is “0” <i>(Note: as score of 1 should be automatically assigned for this criterium in case the submission is not found to be a resubmission)</i>	>>
	TOTAL SCORE	
ADDITIONAL INFORMATION		
Is a similar methodology(ies) already under review/approved? <i>(If YES, specify the reference number)</i>		>>

Document information

Version	Date	Description
01.0	18 December 2024	Initial publication of form template.
Decision Class: Regulatory Document Type: Form Business Function: Methodology Keywords: A6.4 mechanism, assessing methodologies and tools, developing methodologies and tools		