23MAC260 Elliptic Curves: Week 9

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Last week we discussed

- holomorphic and meromorphic functions
- lattices in the complex plane and doubly-periodic functions
- the Weierstrass \wp -function

This week we will use the Weierstrass \wp -function to understand the "shape" of complex elliptic curves.

1 Eisenstein series and the \wp -function

We start with a definition.

Definition 1.1. Let L be a lattice in the complex plane, and $k \ge 3$ an integer. The **Eisenstein series of weight** k **associated to** L is defined as

$$G_k(L) = \sum_{\substack{\omega \in L \\ \omega \neq 0}} \frac{1}{\omega^k}$$

Remarks:

- If $k \le 2$, then the series above doesn't converge; hence our restriction to $k \ge 3$ in the definition.
- If k is odd then the terms in the sum corresponding to ω and $-\omega$ cancel, so $G_k(L)=0$.

Sometimes we will just write G_k instead of $G_k(L)$.

In general for a given lattice L it is hard (or impossible) to give a simple formula for $G_k(L)$. But here's one example where we can:

Example: Let $L = \mathbb{Z} \oplus \mathbb{Z} \cdot i$, meaning the lattice spanned by the numbers 1 and i. So

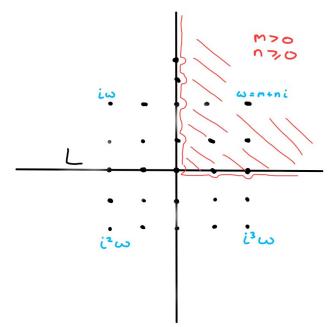
$$L = \{m + n i \mid m, n \in \mathbb{Z}\}$$

and therefore the Eisenstein series $G_k(L)$ is given by the formula

$$G_k(L) = \sum_{\substack{\mathfrak{m},\,\mathfrak{n}\in\mathbb{Z}\\ (\mathfrak{m},\mathfrak{n})
eq (\mathfrak{0},\mathfrak{0})}} \frac{1}{(\mathfrak{m}+\mathfrak{n}\,\mathfrak{i})^k}.$$

We claim that $G_6(L) = 0$.

To see this, consider the following picture showing the lattice L:



The key point to notice is that every element $\omega' \in L$ is of the form $\omega' = i^k \omega$ for some $\omega = m + ni$ with m > 0, $n \ge 0$ and k = 0, 1, 2 or 3. So we have

$$\begin{split} G_6(L) &= \sum_{\omega \neq 0} \frac{1}{\omega^6} \\ &= \sum_{m > 0, n \geq 0} \left(\frac{1}{(m+ni)^6} + \frac{1}{(i(m+ni))^6)} + \frac{1}{(i^2(m+ni))^6} + \frac{1}{(i^3(m+ni))^6} \right). \end{split}$$

But we have $i^6=-1$ and $i^{12}=1$ and $i^{18}=-1$, so for each $m,\,n$ these 4 terms sum to 0, so we end up with $G_6(L)=0$.

Eisenstein series and the \wp -function

Recall the definition of the Weierstrass \wp -function:

$$\wp_{\mathsf{L}}(z) = \frac{1}{z^2} + \sum_{\omega \in \mathsf{L}, \, \omega \neq 0} \left(\frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right).$$

The significance of the Eisenstein series for us is that they appear as coefficients in the Laurent expansion of the Weierstrass \wp -function:

Theorem 1.2. The Laurent expansion of $\wp_L(z)$ about 0 is given by

$$\wp_{L}(z) = \frac{1}{z^{2}} + \sum_{k=1}^{\infty} (2k+1)G_{2k+2}z^{2k}$$

$$= z^{-2} + 3G_{4}z^{2} + 5G_{6}z^{4} + \cdots$$

Corollary 1.3. The Laurent expansion of the derivative $\wp'_{1}(z)$ about 0 is given by

$$\wp'_{L}(z) = -2z^{-3} + 6G_4z + 20G_6z^3 + \cdots$$

Proof of Theorem. For $|z| < |\omega|$ we can write

$$\frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} = \frac{1}{\omega^2} \left(\frac{1}{(1-(\frac{z}{\omega}))^2} - 1 \right) = \frac{1}{\omega^2} \sum_{n=1}^{\infty} (n+1) \frac{z^n}{\omega^n}.$$

So if $|z| < \min\{|\omega| : \omega \in L, \omega \neq 0\}$ we have

$$\begin{split} \wp_{L}(z) &= \frac{1}{z^{2}} + \sum_{\omega \in L, \omega \neq 0} \frac{1}{\omega^{2}} \left(\sum_{n=1}^{\infty} (n+1) \frac{z^{n}}{\omega^{n}} \right) \\ &= \frac{1}{z^{2}} + \sum_{n=1}^{\infty} (n+1) \left(\sum_{\omega \in L, \omega \neq 0} \frac{1}{\omega^{n+2}} \right) z^{n} \\ &= \frac{1}{z^{2}} + \sum_{n=1}^{\infty} (n+1) G_{n+2} z^{n} \end{split}$$

and using the fact that $G_k = 0$ for k odd, we get the claimed result.

The differential equation

We can put these expansions together to see that the Weierstrass \wp -function satisfies a differential equation.

Theorem 1.4. Let L be a lattice in the complex plane, and $\wp_L(z)$ the associated Weierstrass \wp -function. Then we have

$$\wp'_{\rm L}(z)^2 = 4\wp_{\rm L}(z)^3 - 60G_4\wp_{\rm L}(z) - 140G_6.$$

Proof. We use the Laurent expansions for $\wp_L(z)$ and $\wp_L'(z)$ from Theorem 1.2 and Corollary 1.3. We calculate

$$\wp_L'(z)^2 = 4z^{-6} - 24G_4z^{-2} - 80G_6 + \cdots$$

$$\wp_L(z)^3 = z^{-6} + 9G_4z^{-2} + 15G_6 + \cdots$$
 which gives
$$\wp_L'(z)^2 - 4\wp_L(z)^3 = -60G_4z^{-2} - 140G_6 + \cdots$$

Using the expansion for $\wp_L(z)$ again we get

$$\wp_{L}'(z)^{2} - 4\wp_{L}(z)^{3} + 60G_{4}\wp_{L}(z) = -140G_{6} + \sum_{k=2}^{\infty} a_{k}z^{k} \quad \text{(for some } a_{k} \in \mathbb{C}) \quad \text{(*)}$$

The left-hand side of (*) is doubly-periodic with respect to L, with poles possibly at points of L. But the right-hand side has no negative powers of z, so it is holomorphic at z=0, hence by periodicity holomorphic at all $\omega \in L$. We saw in Week 8 that a holomorphic doubly-periodic function is constant, and putting z=0 on the right-hand side of (*) we see that the constant value equals $-140G_6$. So we get

$$\wp'_{\rm I}(z)^2 - 4\wp_{\rm I}(z)^3 + 60G_4\wp_{\rm I}(z) = -140G_6$$

as required. \Box

Theorem 1.4 is the key ingredient in connecting the Weierstrass \wp -function to elliptic curves. To make this more clear, let E_L denote the elliptic curve defined by the following equation:

$$E_L: y^2 = 4x^3 - 60G_4x - 140G_6$$

where G_4 and G_6 are the Eisenstein series associated to L. Then we have

Corollary 1.5. Define a map

$$\begin{split} \varphi: \mathbb{C} &\to \mathbb{P}^2 \\ z &\mapsto \begin{cases} [\wp_{\mathsf{L}}(z), \wp_{\mathsf{L}}'(z), 1] & \textit{if } z \in \mathbb{C} \setminus \mathsf{L} \\ [0, 1, 0] & \textit{if } z \in \mathsf{L} \end{cases} \end{split}$$

Then the image $\phi(\mathbb{C})$ is contained in the elliptic curve E_L .

Proof. If $P \in \varphi(\mathbb{C})$ then either P = [0, 1, 0] = O, the point at infinity, which is in E_L , or else P = (x, y) with

$$x = \wp_L(z), \quad y = \wp'_L(z).$$

But then Theorem 1.4 shows that

$$y^2 = 4x^3 - 60G_4x - 140G_6$$

so $(x, y) \in E_L$ as claimed.

2 The Equivalence Theorem

We have seen that the Weierstrass \wp -function maps the complex plane to an elliptic curve in \mathbb{P}^2 . Now we give a more precise result, called the **Equivalence Theorem**. We state in in two parts, starting with:

Theorem 2.1 (Equivalence Theorem, Part 1). Let L be a lattice in the complex plane. Then the map

$$\begin{split} \varphi: \mathbb{C} &\to \mathbb{P}^2 \\ z &\mapsto \begin{cases} [\wp_{\mathsf{L}}(z), \wp_{\mathsf{L}}'(z), 1] & \textit{if } z \in \mathbb{C} \setminus \mathsf{L} \\ [0, 1, 0] & \textit{if } z \in \mathsf{L} \end{cases} \end{split}$$

induces an isomorphism of groups

$$\mathbb{C}/L \cong E_L$$

where \mathbb{C}/L denotes the quotient of the group \mathbb{C} by the subgroup L, and E_L denotes the elliptic curve defined by the equation

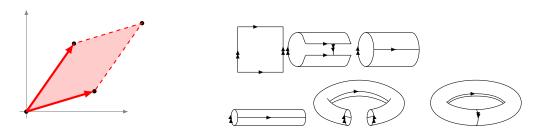
$$y^2 = 4x^3 - 60G_4x - 140G_6.$$

Part 1 says that the quotient of $\mathbb C$ by a lattice is isomorphic to an elliptic curve. But the converse is also true:

Theorem 2.2 (Equivalence Theorem, Part 2). Let $E \subset \mathbb{P}^2_{\mathbb{C}}$ be an elliptic curve over the complex numbers. Then there exists a lattice L and an isomorphism of groups

$$\mathbb{C}/L \cong E$$
.

Parts 1 and 2 of the Equivalence Theorem together say that elliptic curves over the complex number are the "same thing" as quotients \mathbb{C}/L of the complex numbers by a lattice.



These pictures¹ illustrate the geometry of the Equivalence Theorem: we can think of an elliptic curve as the space we get by "glueing" the opposite sides of the fundamental parallelogram, and the pictures show that this gives us a **torus**.

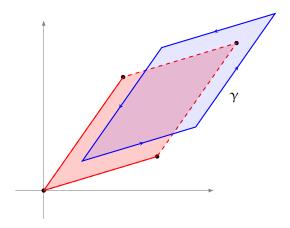
Now let's turn to sketching the proof of Part 1 of the Equivalence Theorem. More details can be found in Silverman, *The Arithmetic of Elliptic Curves*, Proposition 3.6 p.165.

Recall from last week:

 $^{^1}$ created by Andrew Stacey; taken from https://tex.stackexchange.com/a/18246

Theorem 2.3 (Residue Theorem). Let f be a meromorphic function. Let γ be a simple closed curve in $\mathbb C$ that does not pass through any pole of f, and let z_1, \ldots, z_n be the poles of f inside γ . Then

$$\int_{\gamma} f(z) dz = 2\pi i \sum_{k=1}^{n} \operatorname{Res}(f, z_{k}).$$



We will apply this to a curve γ as in the picture, obtained by shifting the boundary of Π a bit to avoid points of L.

Key fact: If f(z) is any doubly-periodic function with respect to L, then $\int_{\gamma} f(z) dz = 0$ because the contributions from opposite sides of the parallelogram cancel out.

Using this we can prove:

Lemma 2.4. If f is any doubly-periodic function with respect to L, then

- 1. $\sum_{w \in \Pi} \operatorname{Res}(f, w) = 0$.
- 2. $\sum_{w \in \Pi} \operatorname{ord}(f, w) = 0$.
- 3. $\sum_{w \in \Pi} \operatorname{ord}(f, w) \cdot w \in L$.

Sketch of proof. Part 1 follows by applying the Key Fact above to the function f(z): the integral is zero, and so the sum of the resides of f must be zero too.

To deduce Part 2, now apply the Key Fact to the function f'(z)/f(z), which is doubly-periodic with respect to L since f is. Problem Sheet 8 Question 2 shows that the reside of f'(z)/f(z) at a point is exactly the order of f at that point, which proves the claim.

The proof of Part 3 can be found in the reference given above. \Box

Corollary 2.5 (to Statement 2 of Lemma). For any doubly-periodic function with respect to L, the numbers of zeroes and poles (counted with multiplicities) inside Π must be equal.

Now we can move on to prove Part 1 of the Equivalence Theorem. Recall this said that the map

$$\begin{split} \Phi: \mathbb{C} &\to \mathbb{P}^2 \\ z &\mapsto \begin{cases} [\wp_{\mathsf{L}}(z), \wp_{\mathsf{L}}'(z), 1] & \text{ if } z \in \mathbb{C} \setminus \mathsf{L} \\ [0, 1, 0] & \text{ if } z \in \mathsf{L} \end{cases} \end{split}$$

induces an isomorphism of groups

$$\mathbb{C}/L \cong E_L$$

• Surjectivity: first we prove that $\phi: \mathbb{C} \to E_L$ is surjective, which implies that $\mathbb{C}/L \to E_L$ is surjective also.

To see this, let (x,y) be a point on E_L , and consider the function $\wp_L(z)-x$. This has a double pole at any point of L, so according to the Corollary it must have a zero inside Π too, say at z=a. Then since $\varphi(a)\in E_L$ we know that $\varphi(a)=(x,y)$ or (x,-y), since these are the only points on the curve with first coordinate equal to x. In the first case we are done. In the second case, we replace a by -a: then

$$\phi(-\alpha) = (\wp(-\alpha), \wp'(-\alpha))
= (\wp(\alpha), -\wp'(\alpha))
= (x, y)$$

since \wp is even and \wp' is odd. In either case we get a point in Π which maps to the point (x, y), so φ is surjective.

• **Injectivity:** To prove that ϕ induces an injective function $\mathbb{C}/L \to \mathbb{P}^2$, suppose $\phi(z_1) = \phi(z_2)$. We need to prove that $[z_1] = [z_2]$ in \mathbb{C}/L , meaning that $z_1 = z_2$ modulo L.

Consider the doubly-periodic function $\wp(z)-\wp(z_1)$: this has zeroes at z_1 , $-z_1$, and z_2 . Again it has a double pole at a point of L, so it has exactly 2 zeroes (counted with multiplicity) inside Π . Hence these 3 values can't all be distinct modulo L.

If $2z_1 \notin L$ then z_1 and $-z_1$ are distinct modulo L, so this means $z_2 = \pm z_1$ modulo L. But $\wp'(z_1) = \wp'(z_2) = \wp'(\pm z_1) = \pm \wp'(z_1)$ so in fact we must have $z_2 = z_1$ modulo L. Similarly if $2z_1 \in L$ we can show the function $\wp(z) - \wp(z_1)$ has a double zero at z_1 and is zero at z_2 , so again we must have $z_2 = z_1$ modulo L.

• **Homomorphism:** Finally we say something about why ϕ is a group homomorphism. To see this, we need to prove that for any z_1 and z_2 we have

$$\phi(z_1 + z_2) = \phi(z_1) + \phi(z_2) \tag{*}$$

where the sum on the right-hand side is the sum of points on $E_{\rm I}$.

To see this, we observe that since $\phi(0) = O$ by definition, the identity in \mathbb{C}/L maps to the identity in E_L . So instead of (*) we can prove the equivalent statement that if $z_1 + z_2 + z_3 = 0$ in \mathbb{C}/L , then $\phi(z_1) + \phi(z_2) + \phi(z_3) = O$ in E_L : in other words the points $\phi(z_1)$, $\phi(z_2)$, $\phi(z_3)$ lie on a line.

For simplicity assume that all 3 points are distinct and none of them equals O. Then they have homogeneous coordinates $[x_i, y_i, 1]$ for i = 1, 2, 3, where $x_i = \wp(z_i)$, $y_i = \wp'(z_i)$.

Now to prove that they lie on a line, it is equivalent to show that the following matrix has determinant zero when we set $z = z_3$:

$$\begin{pmatrix} 1 & x_1 & y_1 \\ 1 & x_2 & y_2 \\ 1 & \wp(z) & \wp'(z) \end{pmatrix}$$

This determinant is a doubly-periodic function of the form

$$F(z) = A + B\wp(z) + C\wp'(z)$$

where $C=x_1-x_2$ which is nonzero by assumption. So F(z) has a single pole of order 3 at each lattice point, and hence has 3 zeros inside Π by Corollary 2.5. Two of these zeroes are located at z_1 and z_2 . By Part 3 of Lemma 2.4 if the third zero is ζ we have

$$z_1 + z_2 + \zeta \in L$$

hence $\zeta = -z_1 - z_2 = z_3 \mod L$. Since F is doubly-periodic, we get $F(z_3) = F(\zeta) = 0$ as required. \Box