# Concrete Hardness of LWE & Signature, Encryption Schemes for SNARKS

# References

- LWE Hardness
  - 1. MRS15: <a href="https://eprint.iacr.org/2015/046">https://eprint.iacr.org/2015/046</a>
  - 2. AFG14: https://eprint.iacr.org/2013/602.pdf
  - 3. LP11: <a href="https://eprint.iacr.org/2010/613">https://eprint.iacr.org/2010/613</a>
  - 4. MR09: https://www.cims.nyu.edu/~regev/papers/pqc.pdf
  - 5. Reg09: <a href="http://dl.acm.org/citation.cfm?id=1060603">http://dl.acm.org/citation.cfm?id=1060603</a>
  - 6. GN08: <a href="ftp://ftp.di.ens.fr/pub/users/pnguyen/Euro08.pdf">ftp://ftp.di.ens.fr/pub/users/pnguyen/Euro08.pdf</a>
- BKZ2.0
  - 7. CN11: http://link.springer.com/chapter/10.1007%2F978-3-642-25385-0\_1
  - 8. PS13: <a href="https://eprint.iacr.org/2013/630.pdf">https://eprint.iacr.org/2013/630.pdf</a>
- Signature
  - 9. BG14: <a href="https://eprint.iacr.org/2013/838">https://eprint.iacr.org/2013/838</a>
- Encryption
  - 10. LP11: <a href="https://eprint.iacr.org/2010/613">https://eprint.iacr.org/2010/613</a>

#### root-Hermite factor

The quality of a basis output by a lattice reduction algorithm is characterised by the Hermite factor  $\delta_0^n$ , which is defined such that the shortest non-zero vector  $\mathbf{b}_0$  in the output basis has the following property:  $\|\mathbf{b}_0\| = \delta_0^n \operatorname{vol}(L)^{1/n}$ . We may also refer to  $\delta_0$  itself, and call it the root-Hermite factor. We call its logarithm to base 2 the log root-Hermite factor.

 root-hermits factor/bit-security Table for BKZ2.0 [CN11, PS13]

•	bit-security	80	128	256
	root- Hermite factor	1.0081	1.0067	1.0055

# LWE Definition

**Definition 1 (LWE** [Reg09]). Let n, q be positive integers,  $\chi$  be a probability distribution on  $\mathbb{Z}$  and  $\mathbf{s}$  be a secret vector in  $\mathbb{Z}_q^n$ . We denote by  $L_{\mathbf{s},\chi}$  the probability distribution on  $\mathbb{Z}_q^n \times \mathbb{Z}_q$  obtained by choosing  $\mathbf{a} \in \mathbb{Z}_q^n$  uniformly at random, choosing  $e \in \mathbb{Z}$  according to  $\chi$  and considering it in  $\mathbb{Z}_q$ , and returning  $(\mathbf{a},c)=(\mathbf{a},\langle \mathbf{a},\mathbf{s}\rangle+e)\in \mathbb{Z}_q^n\times \mathbb{Z}_q$ .

Decision-LWE is the problem of deciding whether pairs  $(\mathbf{a}, c) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  are sampled according to  $L_{\mathbf{s},\chi}$  or the uniform distribution on  $\mathbb{Z}_q^n \times \mathbb{Z}_q$ .

Search-LWE is the problem of recovering s from  $(\mathbf{a}, c) = (\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$  sampled according to  $L_{\mathbf{s}, \chi}$ .

- An instance of LWE(n,a,q), where aq = sigma is the width parameter of the discrete Gaussian
- Application involves as many as m samples. Why no m?
   The hardness of the LWE problem itself is essentially independent of the number of samples [Reg10]

# Strategies to Attack LWE

- Via SIS: Lattice reduction + Distinguish [MR09, LP11]
- Via BDD: Given a basis of a lattice, a target vector, and a bound on the distance from the target to the lattice, find a lattice vector within that bound of the target vector. Solve BDD would solve Search-LWE [LP11]
- Reduce BDD to u-SVP (unique SVP) [AFG14]

# Via SIS

In MR09, LP11

**Lemma 6** ([LP11]). Given an LWE instance characterised by n,  $\alpha$ , q and a vector  $\mathbf{v}$  of length  $\|\mathbf{v}\|$  in the scaled dual lattice  $L = \{\mathbf{w} \in \mathbb{Z}_q^m \mid \mathbf{w}\mathbf{A} \equiv 0 \mod q\}$ , the advantage of distinguishing  $\langle \mathbf{v}, \mathbf{e} \rangle$  from random in  $\mathbb{Z}_q$  is close to  $\exp(-\pi \cdot (\|\mathbf{v}\| \cdot \alpha)^2)$ .

• In LP11, MRS15

Corollary 2. To obtain a probability  $\epsilon$  of success in solving an LWE instance parametrised by n, q and  $\alpha$  via the SIS strategy, we require a vector  $\mathbf{v}$  with  $\|\mathbf{v}\| = \frac{1}{\alpha} \sqrt{\ln(\frac{1}{\epsilon})/\pi}$ .

let 
$$f(\epsilon)$$
 denote  $\sqrt{\ln(\frac{1}{\epsilon})/\pi}$ .

• |v| = f(epsilon)/a

# Via SIS

#### In LP11, MRS15

**Lemma 7.** Let an LWE instance be parametrised by n,  $\alpha$ , q. Any lattice reduction algorithm achieving log root-Hermite factor

$$\log \delta_0 = rac{\log^2\left(lpharac{1}{f(\epsilon)}
ight.}{4n\log q}$$

can distinguish  $L_{s,\chi}$  with probability  $\epsilon$ .

Proof. With high probability  $\operatorname{vol}(L) = q^n$  and by definition the Hermite factor is  $\delta_0^m = \frac{\|\mathbf{v}\|}{\operatorname{vol}(L)^{\frac{1}{m}}}$  so we have  $\|\mathbf{v}\| = \delta_0^m q^{\frac{n}{m}}$ . On the other hand, we require  $\|\mathbf{v}\| = \frac{1}{\alpha} f(\epsilon)$  by Corollary 2. By Section 3.3 the optimal subdimension m which minimises the quantity  $\delta_0^m q^{\frac{n}{m}}$  is  $m = \sqrt{\frac{n \log q}{\log \delta_0}}$ . Since we assume we can choose any number of samples m, we always choose to use this optimal subdimension. Rearranging with this value of m, we obtain  $\log \delta_0 = \frac{\log^2\left(\frac{1}{\alpha}f(\epsilon)\right)}{4n \log q} = \frac{\log^2\left(\alpha\frac{1}{f(\epsilon)}\right)}{4n \log q}$  as our desired log root-Hermite factor.

### Via BDD

- Basic way to solve BDD is Babai's Nearest Plane algorithm.
- [LP11] proposes an improved algorithm upon it.
- Idea: lattice-reduction algorithm + apply BDD-solver
  - 1. Apply BKZ2.0 to get the reduced basis with certain root-Hermite factor value, say delta=1.01
  - 2. Apply LP-BDD solver on the reduced basis
  - 3. As long as the time cost in step 2 < in step 1. Stop
- Problem: Hard to optimize all the parameters. No analysis regarding the bit-security. So far, we only have running time by experiments. [LP11]

# Reduce BDD to u-SVP

Albrecht, Fitzpatrick and Göpfert [AFG14] consider the complexity of solving LWE by reducing BDD to uSVP (unique Shortest Vector Problem). Formally, the  $\gamma$ -uSVP problem is as follows: given a lattice L such that  $\lambda_2(L) > \gamma \lambda_1(L)$ , find a shortest nonzero vector in L.

 In practice, an Algorithm solving HSVP will solve u-SVP instances where the gap [GN08]

 $\lambda_2(L) > \tau \delta_0^m \lambda_1(L)$  with some probability depending on  $\tau$ 

# Reduce BDD to u-SVP

**Lemma 8 (Lemma 2 in [AFG14]).** Let  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , let  $\alpha q > 0$  and let  $\epsilon' > 1$ . Let  $\mathbf{e} \in \mathbb{Z}_q^m$  such that each component is drawn from  $\chi$  and considered mod q. Under the assumption that  $\lambda_1(L(\mathbf{A})) \geq \sqrt{\frac{m}{2\pi e}} \mathrm{vol}(L)^{1/m}$  and that the rows of  $\mathbf{A}$  are linearly independent over  $\mathbb{Z}_q$ , we can create an embedding lattice with  $\lambda_2/\lambda_1$ -gap greater than

$$\frac{\min\{q,\frac{q^{1-\frac{n}{m}}\Gamma(1+\frac{m}{2})^{\frac{1}{m}}}{\sqrt{\pi}}\}}{\frac{\epsilon's\sqrt{m}}{\sqrt{\pi}}}\approx \frac{\min\{q,q^{1-\frac{n}{m}}\sqrt{\frac{m}{2\pi e}}\}}{\frac{\epsilon's\sqrt{m}}{\sqrt{\pi}}}$$

with probability greater than  $1 - \left(\epsilon' \cdot \exp\left(\frac{1 - \epsilon'^2}{2}\right)\right)^m$ .

- We require a gap of size approximately  $\frac{\lambda_2}{\lambda_1} = \frac{q^{1-\frac{n}{m}}\sqrt{\frac{1}{2e}}}{\epsilon'\alpha q}$
- which needs to be > tau delta^m

### Reduce BDD to u-SVP

#### In [MRS15]

**Lemma 9.** Given an LWE instance characterised by n,  $\alpha$ , q. Any lattice reduction algorithm achieving log root-Hermite factor

$$\log \delta_0 = \frac{\log^2 \left(\tau \alpha \sqrt{2e}\right)}{4n \log q}$$

solves LWE with success probability greater than

$$\epsilon_{ au} \cdot \left(1 - \left(\epsilon' \cdot \exp\left(\frac{1 - \epsilon'^2}{2}\right)\right)^m\right)$$

for some  $\epsilon' \approx 1$  and some fixed  $\tau \leq 1$ , and  $0 < \epsilon_{\tau} < 1$  as a function of  $\tau$ .

# Concrete LWE Hardness

- Two approaches to analyze the bit security of LWE
- 1. lwe\_sis(n,a,q): via SIS [MR09, LP11]
- 2. lwe\_gap(n,a,q): BDD reduce to u-SVP [AFG14, MRS15]
- 3. Set epsilon=0.1, tau=0.4 [LP11, GN08]
- 4. Given LWE(n,a,q), calculate delta = max {lwe\_sis(n,a,q), lwe\_gap(n,a,q)}

# BG14/Telsa Signature

#### Algorithm 1 Key generation

```
INPUT: n, m, k, q, \sigma_S, \sigma_E
OUTPUT: A, T
 1: \mathbf{A} \leftarrow \mathbb{Z}_q^{m \times n}
2: \mathbf{S} \leftarrow D_S^{n \times k}
 3: E ← D<sub>E</sub><sup>m×k</sup>
 4: if |\mathbf{E}_{i,j}| > 7\sigma_E for any (i,j) then
             Restart
 6: end if
 7: T \equiv AS + E \pmod{q}
 8: return A, T
```

#### Algorithm 2 Signing

```
INPUT:
```

```
\mu, A, T, S, D_v, D_z, d, w, \sigma_E, H, F, M
OUTPUT: (\mathbf{z}, c)

    y ← D<sub>u</sub><sup>n</sup>

 2: \mathbf{v} \equiv \mathbf{A}\mathbf{y} \pmod{q}
 3: c = H(|\mathbf{v}|_d, \mu)
 4: \mathbf{c} = F(c)
 5: \mathbf{z} = \mathbf{y} + \mathbf{S}\mathbf{c}
 6: \mathbf{w} \equiv \mathbf{Az} - \mathbf{Tc} \pmod{q}
 7: if |[\mathbf{w}_i]_{2^d}| > 2^{d-1} - 7w\sigma_E then
            Restart
 9: end if
10: return (\mathbf{z}, c) with probability
```

 $\min \left( D_z^n(\mathbf{z}) / (M \cdot D_{y,\mathbf{Sc}}^n(\mathbf{z})), 1 \right)$ 

#### Algorithm 3 Verifying

```
INPUT: \mu, \mathbf{z}, c, \mathbf{A}, \mathbf{T}, \ell, B, d, H, F
OUTPUT: Accept or Reject
 1: \mathbf{c} = F(c)
 2: \mathbf{w} \equiv \mathbf{Az} - \mathbf{Tc} \pmod{q}
 3: c' = H(|\mathbf{w}|_d, \mu)
 4: if c' = c and \|\mathbf{z}\|_{\ell} \leq B then
          return "Accept"
 6: else
          return "Reject"
8: end if
```

# Verify Cost of BG14

- compute w: m\*n+m\*k
- check |z| <= B: n log(B)
- random oracle: a sequence of

```
Algorithm 3 Verifying

INPUT: \mu, \mathbf{z}, c, \mathbf{A}, \mathbf{T}, \ell, B, d, H, F

OUTPUT: Accept or Reject

1: \mathbf{c} = F(c)

2: \mathbf{w} \equiv \mathbf{Az} - \mathbf{Tc} \pmod{q}

3: c' = H(\lfloor \mathbf{w} \rfloor_d, \mu)

4: if c' = c and \|\mathbf{z}\|_{\ell} \leq B then

5: return "Accept"

6: else

7: return "Reject"
```

8: end if

80 bit-secuity Ajtai hash until the output size <=512

+ SHA256.

# Security proof of BG14

**Theorem 3.** Let q be prime. Let the parameters be chosen such that  $B, 2^d \ge 14\alpha q$  and

$$q^m \ge (4B+1)^n (2^{d+1})^m 2^{\kappa}. \tag{6}$$

Suppose equation (2) holds. Let  $D_y = [-B, B]$  with the uniform distribution and let S, E have entries chosen from discrete Gaussian distributions with standard

deviation  $\sigma_S = \sigma_E = \alpha q$ . Let A be a forger against the signature scheme in the random oracle model that makes h hash queries, s sign queries, runs in time t and succeeds with probability  $\delta$ . Then A can be turned into an algorithm that solves  $(n, m, q, \alpha)$ -decisional-LWE, running in time approximately 2t, and with success probability at least

$$\min_{0<\delta'<\delta} \max\left\{|\delta-\delta'|, \tfrac{\delta'}{h}\left(\tfrac{\delta'}{h}-\tfrac{1}{2^\kappa}\right) + O\left(\tfrac{s(s+h)}{2^\kappa}+\tfrac{m+n}{2^{140}}\right)\right\}.$$

# Parameter Configuration

- 1. set q= q\_snark, k=kappa=256
- 2. start from the small n.
- 3. start from small d. compute the smallest m,B, a such that the constraint in (6) holds
- 4. Run LWE(n,a,q) to get delta.
- 5. If delta<delta\_80, compute veriy-cost(n,m,k,a,q,B)
- 6. return the configuration LWE(n,m,a,q) with the lowest verify-cost

# Concrete Configuration

n	m	а	bound checki ng	comput ation	random oracle	total cost
14	33	2.44*10 -4	3441	8910	33088	45439

# Encryption Scheme [LP11]

• Gen $(\bar{\mathbf{A}}, 1^{\ell})$ : choose  $\mathbf{R}_1 \leftarrow D_{\mathbb{Z}, s_k}^{n_1 \times \ell}$  and  $\mathbf{R}_2 \leftarrow D_{\mathbb{Z}, s_k}^{n_2 \times \ell}$ , and let  $\mathbf{P} = \mathbf{R}_1 - \bar{\mathbf{A}} \cdot \mathbf{R}_2 \in \mathbb{Z}_q^{n_1 \times \ell}$ . The public key is  $\mathbf{P}$  (and  $\bar{\mathbf{A}}$ , if needed), and the secret key is  $\mathbf{R}_2$ .

In matrix form, the relationship between the public and secret keys is:

$$\begin{bmatrix} \bar{\mathbf{A}} & \mathbf{P} \end{bmatrix} \cdot \begin{bmatrix} \mathbf{R}_2 \\ \mathbf{I} \end{bmatrix} = \mathbf{R}_1 \bmod q. \tag{3.1}$$

•  $\operatorname{Enc}(\bar{\mathbf{A}}, \mathbf{P}, \mathbf{m} \in \Sigma^{\ell})$ : choose  $\mathbf{e} = (\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3) \in \mathbb{Z}^{n_1} \times \mathbb{Z}^{n_2} \times \mathbb{Z}^{\ell}$  with each entry drawn independently from  $D_{\mathbb{Z}, s_e}$ . Let  $\bar{\mathbf{m}} = \operatorname{encode}(\mathbf{m}) \in \mathbb{Z}_q^{\ell}$ , and compute the ciphertext

$$\mathbf{c}^{t} = \begin{bmatrix} \mathbf{c}_{1}^{t} & \mathbf{c}_{2}^{t} \end{bmatrix} = \begin{bmatrix} \mathbf{e}_{1}^{t} & \mathbf{e}_{2}^{t} & \mathbf{e}_{3}^{t} + \bar{\mathbf{m}}^{t} \end{bmatrix} \cdot \begin{bmatrix} \bar{\mathbf{A}} & \mathbf{P} \\ \mathbf{I} & \\ & \mathbf{I} \end{bmatrix} \in \mathbb{Z}_{q}^{1 \times (n_{2} + \ell)}. \tag{3.2}$$

(Note that the first ciphertext component  $c_1^t$  can be precomputed before P and m are known.)

Dec(c<sup>t</sup> = [c<sub>1</sub><sup>t</sup>, c<sub>2</sub><sup>t</sup>], R<sub>2</sub>): output decode(c<sub>1</sub><sup>t</sup> · R<sub>2</sub> + c<sub>2</sub><sup>t</sup>)<sup>t</sup> ∈ Σ<sup>ℓ</sup>.
 Using Equation (3.2) followed by Equation (3.1), we are applying decode to

$$egin{bmatrix} \left[ \mathbf{c}_1^t & \mathbf{c}_2^t 
ight] \cdot \left[ egin{matrix} \mathbf{R}_2 \ \mathbf{I} \end{matrix} 
ight] = \left( \mathbf{e}^t + egin{bmatrix} \mathbf{0} & \mathbf{0} & ar{\mathbf{m}}^t \end{bmatrix} 
ight) \cdot \left[ egin{matrix} \mathbf{R}_1 \ \mathbf{R}_2 \ \mathbf{I} \end{matrix} 
ight] = \mathbf{e}^t \cdot \mathbf{R} + ar{\mathbf{m}}^t,$$

where  $\mathbf{R} = \begin{bmatrix} \mathbf{R}_1 \\ \mathbf{R}_2 \end{bmatrix}$ . Therefore, decryption will be correct as long as each  $|\langle \mathbf{e}, \mathbf{r}_j \rangle| < t$ , the error threshold of decode. (We give a formal analysis in Section 3.2 below.)

# Encryption Scheme [LP11]

- encode(m) := m\* floor(q/2) cost 1
- decode(c) := 0 if |c| <= floor(q/4) cost log(q)

(apart from draw samples from discrete Gaussian)

- Enc Cost: n1x(n2+1)+1
- Dec Cost: n2

# Security proof of LP11

**Theorem 3.2.** The cryptosystem from Section 3.1 is CPA-secure, assuming the hardness of decision-LWE with modulus q for: (i) dimension  $n_2$  with error distribution  $D_{\mathbb{Z},s_k}$ , and (ii) dimension  $n_1$  with error  $D_{\mathbb{Z},s_e}$ .

Proof. It suffices to show that the entire view of the adversary in an IND-CPA attack is computationally indistinguishable from uniformly random, for any encrypted message  $\mathbf{m} \in \Sigma^{\ell}$ . The view consists of  $(\bar{\mathbf{A}}, \mathbf{P}, \mathbf{c})$ , where  $\bar{\mathbf{A}} \in \mathbb{Z}_q^{n_1 \times n_2}$  is uniformly random,  $\mathbf{P} \leftarrow \operatorname{Gen}(\bar{\mathbf{A}}, \mathbf{1}^{\ell})$ , and  $\mathbf{c}^t \leftarrow \operatorname{Enc}(\bar{\mathbf{A}}, \mathbf{P}, \mathbf{m})$ . First,  $(\bar{\mathbf{A}}, \mathbf{P})$  is computationally indistinguishable from uniformly random  $(\bar{\mathbf{A}}, \mathbf{P}^*) \in \mathbb{Z}_q^{n_1 \times (n_2 + \ell)}$  under assumption (i) in the lemma statement, because  $\mathbf{P} = (\bar{\mathbf{A}}^t)^t \cdot (-\mathbf{R}_2) + \mathbf{R}_1$ , and  $\bar{\mathbf{A}}^t$  is uniform while the entries of both  $-\mathbf{R}_2$  and  $\mathbf{R}_1$  are drawn from  $D_{\mathbb{Z},s_k}$ . So the adversary's view is indistinguishable from  $(\mathbf{A},\mathbf{c})$  where  $\mathbf{A} = (\bar{\mathbf{A}},\mathbf{P}^*)$  is uniformly random and  $\mathbf{c} \leftarrow \operatorname{Enc}(\mathbf{A},\mathbf{m})$ . Now  $(\mathbf{A},\mathbf{c})$  is also computationally indistinguishable from uniformly random  $(\mathbf{A},\mathbf{c}^*)$  under assumption (ii) in the lemma statement, because  $\mathbf{c} = (\mathbf{A}^t\mathbf{e}_1 + \begin{bmatrix} \mathbf{e}_2 \\ \mathbf{e}_3 \end{bmatrix}) + \begin{bmatrix} \mathbf{0} \\ \mathbf{m} \end{bmatrix}$ , and  $\mathbf{A}$  is uniform while the entries of  $\mathbf{e}_1$ ,  $\mathbf{e}_2$ , and  $\mathbf{e}_3$  are drawn from  $D_{\mathbb{Z},s_e}$ .

- Break the LP11 crypto-system would solve an instance of LWE(n1, sigma\_k/q, q)
- or, an instance of LWE(n2+1, sigma\_e/q, q)

#### Ahemd's Subset Sum Circuit

```
@Override
protected int[] buildCircuit() {
    int[] outDigest = new int[dimension];
    Arrays.fill(outDigest, generator.getZeroWire());
    int[] inputWires = padded_ins;
    for (int i = 0; i < dimension; i++) {
        for (int j = 0; j < max_m_length; j++) {</pre>
            outDigest[i] = generator.add(outDigest[i], generator.mulConst(
                    inputWires[j], coeffs[i][j], false, ""), "");
        }
    }
    int[] outWires;
    if (!binaryOutput) {
        outWires = outDigest;
    } else {
        outWires = new int[dimension * 256];
        for (int i = 0; i < dimension; i++) {
            int[] bits = generator.split(outDigest[i], 254, "");
            for (int j = 0; j < bits.length; <math>j++) {
                outWires[j + i * 256] = bits[j];
            outWires[bits.length + i * 256] = generator.getZeroWire();
            outWires[bits.length + i * 256 + 1] = generator.getZeroWire();
        }
    }
    return outWires;
}
```

}