

Lecture 9

Part I

RELATIONS

Definition

Let A and B be two sets.

- A **relation R from A to B** is a subset of $A \times B$.
- Let R be a relation from A to B . For each $(a, b) \in A \times B$, we write $a R b$ if $(a, b) \in R$, and $a \nR b$ if $(a, b) \notin R$.

Example

- Let $R = \{(1, 3), (2, 2), (2, 3), (3, 1)\}$, a relation from \mathbb{Z} to \mathbb{Z} . We have $2 R 3$ but $3 \nR 2$.
- Let $f : A \rightarrow B$ be a function. Recall the graph $\Gamma(f)$ of f as introduced in Tut 4, Qn 8:

$$\Gamma(f) = \{(a, f(a)) \mid a \in A\}.$$

Then $\Gamma(f)$ is a relation from A to B . This example shows that functions can be thought of as special types of relations.

Definition

Let R be a relation from A to B . The **domain** of R is the set

$$\{a \in A \mid \exists b \in B \ a R b\}.$$

The **range** of R is the set

$$\{b \in B \mid \exists a \in A \ a R b\}.$$

Note

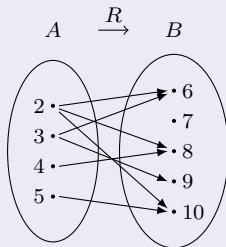
When R is the graph $\Gamma(f)$ of a function $f : A \rightarrow B$, then the domain of R is exactly the domain of the function f , and the range of R is exactly the range of the function f .

Arrow Diagrams

Generalising the idea of arrow diagrams for functions, we also have arrow diagrams for a relation R from A to B , where we get an arrow from $a \in A$ to $b \in B$ if and only if $a R b$.

Example

Let $A = \{2, 3, 4, 5\}$ and $B = \{6, 7, 8, 9, 10\}$. Define the relation R from A to B by $a R b$ if and only if $a \mid b$, where $a \in A$ and $b \in B$. Then R may be depicted by the following arrow diagram:



Inverse of a Relation

Definition

Let R be a relation from A to B . Then the **inverse of R** , denoted R^{-1} , is the relation from B to A defined by

$$R^{-1} = \{(b, a) \in B \times A \mid a R b\}.$$

Note

- $\forall a \in A \forall b \in B (a R b \Leftrightarrow b R^{-1} a)$.
- The arrow diagram of R^{-1} can be obtained by reversing the arrows in the arrow diagram of R .
- Let $f : A \rightarrow B$ be a function. Then $(\Gamma(f))^{-1}$ is a relation from B to A . Furthermore, $(\Gamma(f))^{-1}$ is the graph of a function $g : B \rightarrow A$ if and only if f is bijective, if and only if $g = f^{-1}$.

(Binary) Relations on a Set

Definition

Let A be a set. A **(binary) relation on A** is a relation from A to A , i.e. a subset of $A \times A$.

Example

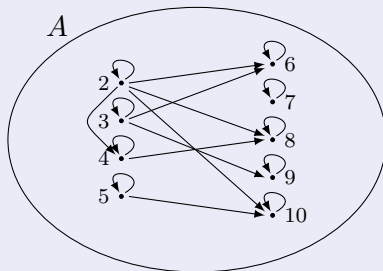
- On \mathbb{R} , we have relations \leq , \geq (the inverse of \leq), $<$ and $>$ (the inverse of $<$).
- Let A be a set. Then on $\mathcal{P}(A)$, we have the relation \subseteq .
- Let $n \in \mathbb{Z}^+$. On \mathbb{Z} , we have the relation $\equiv \pmod{n}$.

Arrow Diagram

The arrow diagram of a relation R on a set A displays the set A only once, with an arrow going from a_1 to a_2 if and only if $a_1 R a_2$.

Example

Let $A = \{n \in \mathbb{Z} \mid 2 \leq n \leq 10\}$. Define the relation R on A by $x R y$ if and only if $x \mid y$. Below is the arrow diagram of R :



Definition

Let R be a relation on a set A . We say that R is:

- **reflexive** if, and only if,

$$\forall x \in A \ x R x;$$

- **symmetric** if, and only if,

$$\forall x, y \in A \ (x R y \rightarrow y R x);$$

- **transitive** if, and only if,

$$\forall x, y, z \in A \ (x R y \wedge y R z \rightarrow x R z).$$

Exercise

How do you check if R is reflexive and/or symmetric from its arrow diagram?

Can you also tell if R is transitive from its arrow diagram?

Example

Let R, S, T, U be relations on \mathbb{Z} defined as follows:

$$\begin{aligned}x R y &\Leftrightarrow x \mid y; & x S y &\Leftrightarrow x \equiv y \pmod{7}; \\x T y &\Leftrightarrow x < y; & x U y &\Leftrightarrow \gcd(x, y) = 1.\end{aligned}$$

Then:

- R is reflexive and transitive, but not symmetric.
- S is reflexive, symmetric and transitive.
- T is transitive, but neither reflexive nor symmetric.
- U is symmetric, but neither reflexive nor transitive.

Caution!

Terms like **irreflexive**, **asymmetric** and **intransitive** mean more than not reflexive, not symmetric and not transitive when used to describe a relation. As such do not use them unless you really know what they mean.

Equivalence Relations

Definition

A relation on a set A is an **equivalence relation** if and only if it is reflexive, symmetric and transitive.

Example

- The relation $\equiv \pmod{n}$ on \mathbb{Z} is an equivalence relation.
- Define R on \mathbb{R} by: $a R b$ if and only if $\lfloor a \rfloor = \lfloor b \rfloor$. Then R is an equivalence relation.

Equivalence Classes

Definition

Let R be an equivalence relation on a set A (assumed non-empty). For each $a \in A$, the **equivalence class of a** (with respect to R), denoted $[a]$ (or $[a]_R$ to be more precise), is the set

$$[a] = \{x \in A \mid a R x\}.$$

Note

An equivalence relation on a set A is necessarily reflexive. Thus if $a \in A$ then $a \in [a]$, so that $[a] \neq \emptyset$.

Lemma

Let R be an equivalence relation on a set A , and let $x, y \in A$.

- ① If $x R y$, then $[x] = [y]$.
- ② If $x \not R y$, then $[x] \cap [y] = \emptyset$.

Proof.

- ① If $x R y$, then:
 - ① If $a \in [x]$, then:
 - ① $x R a$ (definition of $[x]$).
 - ② $y R x$ (by (1) and symmetricity of R).
 - ③ $y R a$ (by (1.1.2) and (1.1.1), and transitivity of R).
 - ④ $a \in [y]$ (definition of $[y]$).
 - ② Thus $[x] \subseteq [y]$.
 - ③ If $a' \in [y]$, then:
 - ① $y R a'$ (definition of $[y]$).
 - ② $x R a'$ (by (1) and (1.3.1), and transitivity of R).
 - ③ $a' \in [x]$ (definition of $[x]$).
 - ④ Thus $[y] \subseteq [x]$.
 - ⑤ By (1.2) and (1.4), $[x] = [y]$.

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Proof.

② If $x R y$ then:

① If $[x] \cap [y] \neq \emptyset$, then:

- ① there exists $a \in A$ such that $a \in [x] \cap [y]$.
- ② $a \in [x] \wedge a \in [y]$ (definition of \cap).
- ③ $x R a \wedge y R a$ (definition of $[x]$ and $[y]$).
- ④ $x R a \wedge a R y$ (by symmetry of R).
- ⑤ $x R y$ (by transitivity of R), a contradiction.

② Hence $[x] \cap [y] = \emptyset$.



Definition

Let R be an equivalence relation on a non-empty set A . A subset S of A is an **equivalence class of R** if, and only if, $S = [a]$ for some $a \in A$.

The set of all equivalence classes of R shall be denoted as A/R .

Note

A/R is a collection of subsets of A . Thus, $A/R \subseteq \mathcal{P}(A)$, the power set of A .

Example

- $\mathbb{Z}/(\equiv \pmod{n}) = \{[0], [1], \dots, [n-1]\}$, where $[i] = \{k \in \mathbb{Z} \mid k \bmod n = i\}$.
- Let R be the relation on \mathbb{R} defined by $a R b$ if and only if $[a] = [b]$. Then $\mathbb{R}/R = \{[m, m+1) \mid m \in \mathbb{Z}\}$, where $[m, m+1) = \{x \in \mathbb{R} \mid m \leq x < m+1\}$.

Corollary

Any two distinct equivalence classes of an equivalence relation are disjoint.

Note

‘Distinct’ means ‘not equal’.

Proof.

Let the two equivalence classes be $[x]$ and $[y]$. If $x R y$, then $[x] = [y]$ by the last Lemma, contradicting $[x]$ and $[y]$ are distinct. Thus $x \not R y$, and $[x] \cap [y] = \emptyset$ by the last Lemma. □

Recall that: A partition P of a non-empty set A is a collection of pairwise disjoint non-empty subsets of A whose union is A .

Theorem

Let R be an equivalence relation on a non-empty set A . Then A/R is a partition of A .

Proof.

- ① Each element of A/R is an equivalence class, say $[a]$, which is a non-empty (since $a \in [a]$) subset of A .
- ② If $X, Y \in A/R$ with $X \neq Y$, then $X \cap Y = \emptyset$ by the last Corollary.
- ③
 - ① Clear that the union of any number of subsets of A is a subset of A ; thus the union of the equivalence classes of R is a subset of A .
 - ② If $a \in A$, then $a \in [a]$, so that a is an element of an equivalence class of R , and hence an element of the union of the equivalence classes of R . Thus A is a subset of the union of the equivalence classes of R .
- ④ By (3.1) and (3.2), the union of the equivalence classes of R is A .
- ⑤ By (1), (2) and (4), A/R is a partition of A .



Every Partition is a Set of Equivalence Classes

Let A be a non-empty set, and let $P \subseteq \mathcal{P}(A)$ be a partition of A . We now define a relation R on A as follows:

$$x R y \Leftrightarrow \exists S \in P (x \in S \wedge y \in S)$$

- **R is reflexive:** For each $a \in A = \bigcup_{S \in P} S$, there exists $S \in P$ such that $a \in S$. Thus

$$\forall a \in A \exists S \in P (a \in S) \equiv \forall a \in A \exists S \in P (a \in S \wedge a \in S) \equiv \forall a \in A a R a.$$

- **R is symmetric:** This is easy.
- **R is transitive:** If $x R y$ and $y R z$, then $x, y \in S$ for some $S \in P$ and $y, z \in T$ for some $T \in P$. Thus $y \in S \cap T$, so that $S \cap T \neq \emptyset$, and hence $S = T$ (since elements of P are pairwise disjoint). Consequently, $x, z \in S$, so that $x R z$.

Therefore, R is an equivalence relation.

Claim: $A/R = P$.

Proof of Claim.

- ① If $x \in S_0$ for some $S_0 \in P$, then $x \in A$, and
$$\begin{aligned}[x] &= \{a \in A \mid x R a\} = \{a \in A \mid \exists S \in P (x \in S \wedge a \in S)\} \\ &= \{a \in A \mid a \in S_0\} = S_0 \in P.\end{aligned}$$
- ② If $C \in A/R$, then $C = [a]$ for some $a \in A$. Let S_a be the unique element of P such that $a \in S_a$, so that $C = [a] = S_a \in P$ by (1). Thus $A/R \subseteq P$.
- ③ If $S \in P$, then $S \neq \emptyset$, so that there exists $s \in S$, and hence $S = [s] \in A/R$ by (1). Thus, $P \subseteq A/R$.
- ④ By (2) and (3), $A/R = P$.



Summary

We have covered:

- Relations from a set to another set
- (Binary) relations on a set
- Reflexive, symmetric and transitive
- Equivalence relations and equivalence classes
- Equivalence classes partition a set, and every partition is a set of equivalence classes