

Sentencing.—In the absence of errors by the sentencing judge,¹¹⁶⁴ or of sentencing jurors considering invalid factors,¹¹⁶⁵ the significance of procedural due process at sentencing is limited.¹¹⁶⁶ In *Williams v. New York*,¹¹⁶⁷ the Court upheld the imposition of the death penalty, despite a jury's recommendation of mercy, where the judge acted based on information in a presentence report not shown to the defendant or his counsel. The Court viewed as highly undesirable the restriction of judicial discretion in sentencing by requiring adherence to rules of evidence which would exclude highly relevant and informative material. Further, disclosure of such information to the defense could well dry up sources who feared retribution or embarrassment. Thus, hearsay and rumors can be considered in sentencing. In *Gardner v. Florida*,¹¹⁶⁸ however, the Court limited the application of *Williams* to capital cases.¹¹⁶⁹

¹¹⁶⁴ In *Townsend v. Burke*, 334 U.S. 736, 740–41 (1948) the Court overturned a sentence imposed on an uncounseled defendant by a judge who in reciting defendant's record from the bench made several errors and facetious comments. "[W]hile disadvantaged by lack of counsel, this prisoner was sentenced on the basis of assumptions concerning his criminal record which were materially untrue. Such a result, whether caused by carelessness or design, is inconsistent with due process of law, and such a conviction cannot stand."

¹¹⁶⁵ In *Hicks v. Oklahoma*, 447 U.S. 343 (1980), the jury had been charged in accordance with a habitual offender statute that if it found defendant guilty of the offense charged, which would be a third felony conviction, it should assess punishment at 40 years imprisonment. The jury convicted and gave defendant 40 years. Subsequently, in another case, the habitual offender statute under which Hicks had been sentenced was declared unconstitutional, but Hicks' conviction was affirmed on the basis that his sentence was still within the permissible range open to the jury. The Supreme Court reversed. Hicks was denied due process because he was statutorily entitled to the exercise of the jury's discretion and could have been given a sentence as low as ten years. That the jury might still have given the stiffer sentence was only conjectural. On other due process restrictions on the determination of the applicability of recidivist statutes to convicted defendants, see *Chewning v. Cunningham*, 368 U.S. 443 (1962); *Oyler v. Boles*, 368 U.S. 448 (1962); *Spencer v. Texas*, 385 U.S. 554 (1967); *Parke v. Raley*, 506 U.S. 20 (1992).

¹¹⁶⁶ Due process does not impose any limitation upon the sentence that a legislature may affix to any offense; that function is in the Eighth Amendment. *Williams v. Oklahoma*, 358 U.S. 576, 586–87 (1959). See also *Collins v. Johnston*, 237 U.S. 502 (1915). On recidivist statutes, see *Graham v. West Virginia*, 224 U.S. 616, 623 (1912); *Ughbanks v. Armstrong*, 208 U.S. 481, 488 (1908), and, under the Eighth Amendment, *Rummel v. Estelle*, 445 U.S. 263 (1980).

¹¹⁶⁷ 337 U.S. 241 (1949). See also *Williams v. Oklahoma*, 358 U.S. 576 (1959).

¹¹⁶⁸ 430 U.S. 349 (1977).

¹¹⁶⁹ In *Gardner*, the jury had recommended a life sentence upon convicting defendant of murder, but the trial judge sentenced the defendant to death, relying in part on a confidential presentence report which he did not characterize or make available to defense or prosecution. Justices Stevens, Stewart, and Powell found that because death was significantly different from other punishments and because sentencing procedures were subject to higher due process standards than when *Williams* was decided, the report must be made part of the record for review so that the factors motivating imposition of the death penalty may be known, and ordinarily must be made available to the defense. 430 U.S. at 357–61. All but one of the other Justices joined the result on various other bases. Justice Brennan without elaboration