

trate on determining whether the prosecution had proved defendant's guilt beyond a reasonable doubt.¹⁰⁰

The overarching principle of *Furman* and of the *Gregg* series of cases was that the jury should not be “without guidance or direction” in deciding whether a convicted defendant should live or die. The jury’s attention was statutorily “directed to the specific circumstances of the crime . . . and on the characteristics of the person who committed the crime.”¹⁰¹ Discretion was channeled and rationalized. But, in *Lockett v. Ohio*,¹⁰² a Court plurality determined that a state law was invalid because it prevented the sentencer from giving weight to any mitigating factors other than those specified in the law. In other words, the jury’s discretion was curbed too much. “[W]e conclude that the Eighth and Fourteenth Amendments require that the sentencer, in all but the rarest kind of capital case, not be precluded from considering *as a mitigating factor*, any aspect of a defendant’s character or record and any of the circumstances of the offense that the defendant proffers as a basis for a sentence less than death.”¹⁰³ Similarly, the reason that a three-justice plurality viewed North Carolina’s mandatory death sentence for persons convicted of first degree murder as invalid was

¹⁰⁰ Also impermissible as distorting a jury’s role are prosecutor’s comments or jury instructions that mislead a jury as to its primary responsibility for deciding whether to impose the death penalty. *Compare* *Caldwell v. Mississippi*, 472 U.S. 320 (1985) (jury’s responsibility is undermined by court-sanctioned remarks by prosecutor that jury’s decision is not final, but is subject to appellate review) *with* *California v. Ramos*, 463 U.S. 992 (1983) (jury responsibility not undermined by instruction that governor has power to reduce sentence of life imprisonment without parole). *See also* *Lowenfield v. Phelps*, 484 U.S. 231 (1988) (poll of jury and supplemental jury instruction on obligation to consult and attempt to reach a verdict was not unduly coercive on death sentence issue, even though consequence of failing to reach a verdict was automatic imposition of life sentence without parole); *Romano v. Oklahoma*, 512 U.S. 1 (1994) (imposition of death penalty after introduction of evidence that defendant had been sentenced to death previously did not diminish the jury’s sense of responsibility so as to violate the Eighth Amendment); *Jones v. United States*, 527 U.S. 373 (1999) (court’s refusal to instruct the jury on the consequences of deadlock did not violate Eighth Amendment, even though court’s actual instruction was misleading as to range of possible sentences).

¹⁰¹ *Gregg v. Georgia*, 428 U.S. 153, 197–98 (1976) (plurality).

¹⁰² 438 U.S. 586 (1978). The plurality opinion by Chief Justice Burger was joined by Justices Stewart, Powell, and Stevens. Justices Blackmun, Marshall, and White concurred in the result on separate and conflicting grounds. *Id.* at 613, 619, 621. Justice Rehnquist dissented. *Id.* at 628.

¹⁰³ 438 U.S. at 604 (emphasis in original). Although, under the Eighth and Fourteenth Amendments, the state must bear the burden “to prove the existence of aggravating circumstances, a defendant’s constitutional rights are not violated by placing on him the burden of proving mitigating circumstances sufficiently substantial to call for leniency.” *Walton v. Arizona*, 497 U.S. 639, 650 (1990) (plurality). *A fortiori*, a statute “may direct imposition of the death penalty when the State has proved beyond a reasonable doubt that mitigators do not outweigh aggravators, including where the aggravating circumstances and mitigating circumstances are in equipoise.” *Kansas v. Marsh*, 548 U.S. 163, 173 (2006).