

ment.’”²⁴³ So spoke Justice Frankfurter in 1956, broadly reaffirming *Brown v. Walker* and upholding the constitutionality of a federal immunity statute.²⁴⁴ Because all but one of the immunity acts passed after *Brown v. Walker* were transactional immunity statutes,²⁴⁵ the question of the constitutional sufficiency of use immunity did not arise, although dicta in cases dealing with immunity continued to assert the necessity of the former type of grant.²⁴⁶ But, beginning in 1964, when it applied the Self-Incrimination Clause to the states, the Court was faced with the problem that arose because a state could grant immunity only in its own courts and not in the courts of another state or of the United States.²⁴⁷ On the other hand, to foreclose the states from compelling testimony because they could not immunize a witness in a subsequent “foreign” prosecution would severely limit state law enforcement efforts. Therefore, the Court emphasized the “use” restriction rationale of *Counselman* and announced that as a “constitutional rule, a state witness could not be compelled to incriminate himself under federal law unless federal authorities were precluded from using either his testimony or evidence derived from it,” and thus formulated a use restriction to that effect.²⁴⁸ Then, while refusing to adopt the course because of statutory interpretation reasons, the Court indicated that use restriction in a federal regulatory scheme requiring the reporting of in-

²⁴³ *Ullmann v. United States*, 350 U.S. 422, 438 (1956) (quoting *Shapiro v. United States*, 335 U.S. 1, 6 (1948)).

²⁴⁴ “[The] sole concern [of the privilege] is . . . with the danger to a witness forced to give testimony leading to the infliction of ‘penalties affixed to the criminal acts’. . . . Immunity displaces the danger. Once the reason for the privilege ceases, the privilege ceases.” 350 U.S. at 438–39. The internal quotation is from *Boyd v. United States*, 116 U.S. 616, 634 (1886).

²⁴⁵ *Kastigar v. United States*, 406 U.S. 441, 457–58 (1972); *Piccirillo v. New York*, 400 U.S. 548, 571 (1971) (Justice Brennan dissenting). The exception was an immunity provision of the bankruptcy laws, 30 Stat. 548 (1898), 11 U.S.C. § 25(a)(10), repealed by 84 Stat. 931 (1970). The right of a bankrupt to insist on his privilege against self-incrimination as against this statute was recognized in *McCarthy v. Arndstein*, 266 U.S. 34, 42 (1924), “because the present statute fails to afford complete immunity from a prosecution.” The statute also failed to prohibit the use of derivative evidence. *Arndstein v. McCarthy*, 254 U.S. 71 (1920).

²⁴⁶ *E.g.*, *Hale v. Henkel*, 201 U.S. 43, 67 (1906); *United States v. Monia*, 317 U.S. 424, 425, 428 (1943); *Smith v. United States*, 337 U.S. 137, 141, 146 (1949); *United States v. Murdock*, 284 U.S. 141, 149 (1931); *Adams v. Maryland*, 347 U.S. 179, 182 (1954). In *Ullmann v. United States*, 350 U.S. 422, 436–37 (1956), Justice Frankfurter described the holding of *Counselman* as relating to the absence of a prohibition on the use of derivative evidence.

²⁴⁷ *Malloy v. Hogan*, 378 U.S. 1 (1964), extended the clause to the states. That Congress could immunize a federal witness from state prosecution and, of course, extend use immunity to state courts, was held in *Adams v. Maryland*, 347 U.S. 179 (1954), and had been recognized in *Brown v. Walker*, 161 U.S. 591 (1896).

²⁴⁸ *Murphy v. Waterfront Comm’n*, 378 U.S. 52, 77–99 (1964). Concurring, Justices White and Stewart argued at length in support of the constitutional sufficiency of use immunity and the lack of a constitutional requirement of transactional immu-