### DEEP LEARNING

FOR SPEECH AND LANGUAGE



**Instructors** 



Organized by

UNIVERSITAT POLITÈCNICA











Hernando

Supported by







Giró-i-Nieto







+ info: https://telecombcn-dl.github.io/2018-dlsl/

[course site]



Day 2 Lecture 1

Language model



Marta R. Costa-jussà

marta.ruiz@upc.edu

Ramón y Cajal Researcher Universitat Politecnica de Catalunya Technical University of Catalonia





## **Previous concepts**

- Word embeddings
- Feed-forward network and softmax
- Recurrent neural network (handle variable-length sequences)

### What is the most probable sentence?

Two birds are flying
Two beards are flying

## **Probability of a sentence**

- •Suppose you record a database of one billion utterances in English.
- •If the sentence "how's it going?" appears 76,413 times in that database, then we say
- -P(how's it going?) = 76,413/1,000,000,000

# A language model finds the probability of a sentence

- Given a sentence (w1, w2, ... wT),
- What is p(w1, w2, ..., wT) =?

## An n-gram language model

# Chain rule probability and Markov simplifying assumption

$$p(w1, w2, ..., wT) = p(wT|w(T-1), w(T-2)...w1) p(w(T-1)|w(T-2), w(T-3)...w1) ... p(w1)$$

Markov simplifying assumption: The current word only depends on *n* previous words.

 $p(wt|w(t-1)w(t-2)..w1) \sim p(wt|w(t-1))$ 

### **Objective**

$$p(w_1, w_2, \dots w_T) = \prod_{t=1}^{T} p(w_t | w_1 \dots w_{t-1})$$

$$\approx \prod_{t=1}^{T} p(w_t | w_{t-1} \dots w_{t-n})$$

## An n-gram-based language model

- •An n-word substring is called an n-gram.
- •If n=1, we say unigram; if n=2, we say bigram; if n=3, we say trigram.
- P(<s> | like snakes that are not poisonous </s>) ~
  b(| | <s>)\*b(like | | |) \*b(snakes | like) \*... \*b(poisonous | not) \*b(</s>| poisonous)

## An n-gram-based language model

Unigram probabilities

$$p(w_1) = \frac{count(w_1)}{total\ words\ observed}$$

Bigram probabilities

$$p(w_2|w_1) = \frac{count(w_1w_2)}{count(w_1)}$$

Trigram probabilities

$$p(w_3|w_1w_2) = \frac{count(w_1w_2w_3)}{count(w_1w_2)}$$

## Any issues with the above model?

## Some examples...

"<s> que la fuerza te acompañe </s>", = may the force be with you

bigrams and trigrams like:

fuerza te

la fuerza te

fuerza te acompañe

te acompañe </s>

do not appear in the big corpus of El Periodico (40 M words)

BUT PROBABILITY OF THE SENTENCE SHOULD NOT BE ZERO!!!!

## Sparse counts are a big problem

Backing off avoids zero probabilities

$$.8 * p(w_3|w_1w_2)$$

$$+.15 * p(w_3|w_2)$$

$$+0.049 * p(w_3)$$

$$+.001$$

## Sparse counts are a big problem

Smoothing avoids zero probabilities

```
.8 * p(w_3|w_1w_2)
+.15 * p(w_3|w_2)
+0.049 * p(w_3)
+.001
```

## Any other issue?

## Lack of generalization

Mary buys two apples and two oranges in the market three apples are for me

the tree has three oranges

## Computationally expensive

Performance improves with keeping around higher n-grams counts and doing smoothing and so called backoff (e.g. if 4-gram not found, try 3-gram, etc)

There are A LOT of n-grams! Gigantic RAM requirements!

## A neural language model

To generalize to un-seen n-grams

## A neural language model

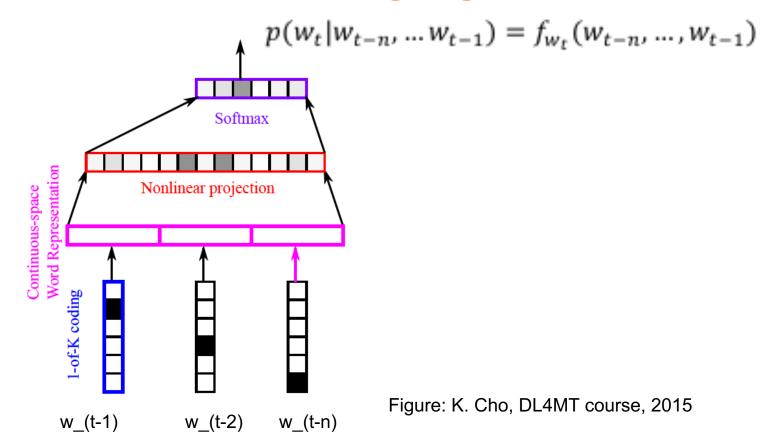
Find a function that takes as input *n-1* words and returns a conditional probability of the next one

$$p(w_1, w_2, \dots w_T) = \prod_{t=1}^{T} p(w_t | w_1 \dots w_{t-1})$$

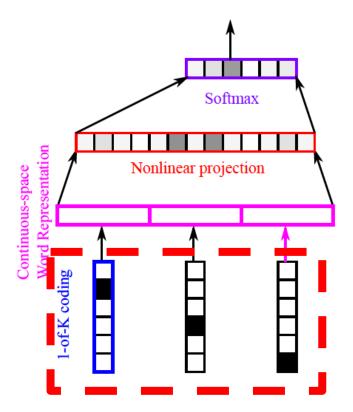
$$\approx \prod_{t=1}^{T} p(w_t | w_{t-1} \dots w_{t-n})$$

$$p(w_t | w_{t-n}, \dots w_{t-1}) = f_{w_t}(w_{t-n}, \dots, w_{t-1})$$

## Architecture: neural language model



### **Architecture: representation of input words**



our goal is to put the least amount of prior knowledge

Figure: K. Cho, DL4MT course, 2015

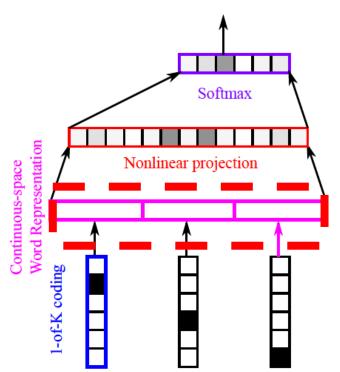
#### From previous lectures

## **Step 1: One-hot encoding**

Natural language words can be one-hot encoded on a vector of dimensionality equal to the size of the dictionary (K=|V|).

Word	One-hot encoding
economic	000010
growth	001000
has	100000
slowed	000001

### Architecture: continuous word representation



input vectors are multiplied by the weight matrix (E), to obtain continuous vectors

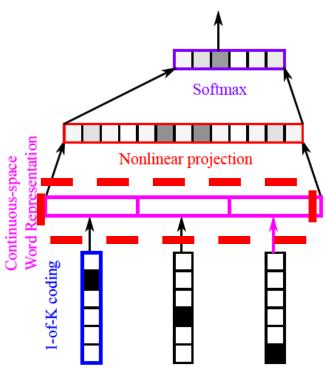
this weight matrix (E) is also called word embedding and should reflect the meaning of a word

$$\mathbf{E} = \begin{bmatrix} \mathbf{e}_1 \\ \mathbf{e}_2 \\ \vdots \\ \mathbf{e}_{|V|} \end{bmatrix}, \quad \mathbf{e}_i \in \mathbb{R}^d.$$
  $\mathbf{E}^{\top} \mathbf{w}_i = \mathbf{e}_i.$ 

Figure: K. Cho, DL4MT course, 2015

 $\mathbf{E} \in \mathbb{R}^{|V| \times d}$ .

### **Architecture: context vector**

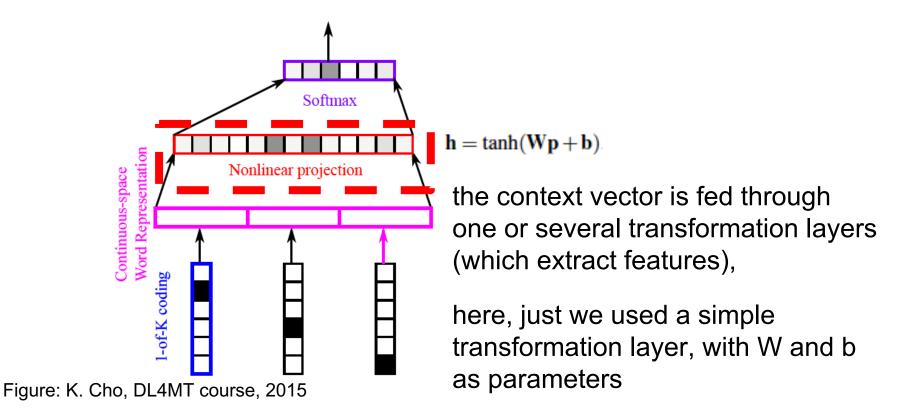


we get a sequence of continuous vectors, by concatenating the continuous representations of the input words

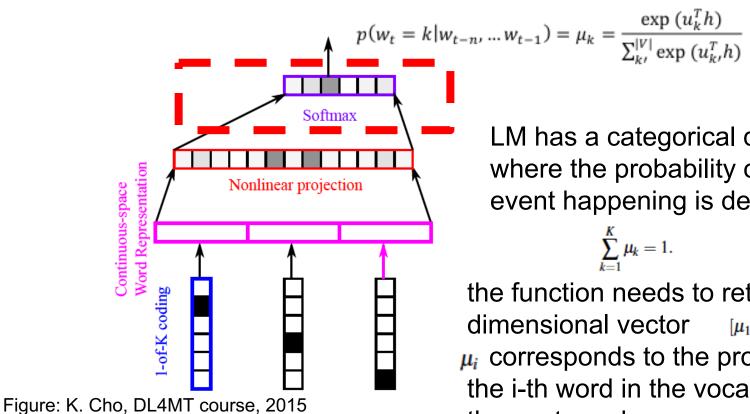
$$\mathbf{p}^j = \mathbf{E}^{\top} \mathbf{w}^j$$
  $\mathbf{p} = \begin{bmatrix} \mathbf{p}^1; \mathbf{p}^2; \dots; \mathbf{p}^{n-1} \end{bmatrix}^{\top}$  context vector

Figure: K. Cho, DL4MT course, 2015

## Architecture: nonlinear projection



## Architecture: output probability distribution



LM has a categorical distribution, where the probability of the k-th event happening is denoted as  $\mu_k$ 

 $\mathbf{u}_k \in \mathbb{R}^{\dim(\mathbf{h})}$ .

$$\sum_{k=1}^K \mu_k = 1.$$

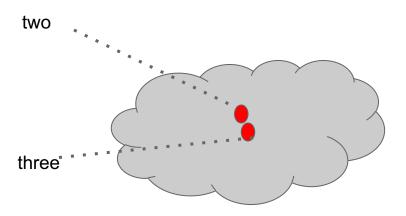
the function needs to return a Kdimensional vector  $[\mu_1,\mu_2,\ldots,\mu_K]$  K=|V| $\mu_i$  corresponds to the probability of the i-th word in the vocabulary for the next word

# Why this model is generalizing to unseen events?

# Further generalization comes from embeddings

Mary buys two apples and two oranges in the market

three apples are for me the tree has three oranges



## Recurrent Language Model

To further generalize to un-seen n-grams

## A neural language model

Still assumes the n-th order Markov property it looks only as n-1 past words

in France, there are around 66 million people and they speak French.

# How we can modelate variable-length input?

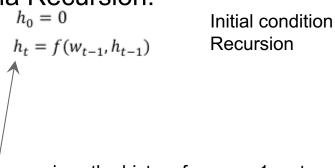
$$p(w_1, w_2, \dots w_T) = \prod_{t=1}^T p(w_t | w_1 \dots w_{t-1})$$

# How we can modelate variable-length input?

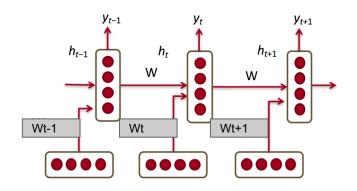
$$p(w_1, w_2, \dots w_T) = \prod_{t=1}^T p(w_t | w_1 \dots w_{t-1})$$

We directly model the original conditional probabilities

#### Via Recursion:



# How we can modelate variable-length input?



The RNN is capable of summarizing a variable-length input sequence (w) into a memory state (h)

### **Example**

```
p(Mary, buys, the,apple)
 (1) Intialization: h0=0 \rightarrow p(Mary)=g(h0)
 (2) Recursion
      (a) h1=f(h0,Mary) \rightarrow p(buys|Mary)=g(h1)
      (b) h2=f(h1,buys) \rightarrow p(the|Mary,buys)=g(h2)
      (c) h3=f(h2,the) \rightarrow p(apple|Mary,buys,the)=g(h3)
 (3) Output: p(Mary,buys,the,apple)=g(h0)g(h1)g(h2)g(h3)
It works for any number of context words
READ, UPDATE, PREDICT
```

## A recurrent neural language model

# Computes the probability over all possible words in the vocabulary V

$$p(w_1, w_2, ... w_T) = \prod_{t=1}^{T} p(w_t | w_1 ... w_{t-1})$$

conditional probability that we want to compute

### A recurrent neural language model

what we need

```
(1)Transition function(2)Output function
```

$$h_t = f(w_{t-1}, h_{t-1})$$
$$p(w_t = k | w_1 \dots w_{t-1})$$

#### (Naive) Transition function I

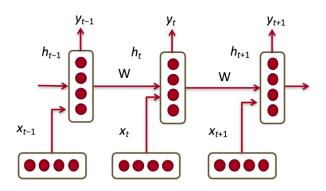
#### Inputs:

one-hot vector

$$w_{(t-1)} \in \{0,1\}^{|V|}$$

+ hidden state

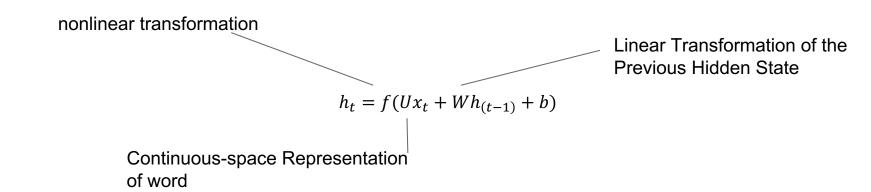
$$h_{(t-1)} \in \, \mathbb{R}^d$$



#### Parameters:

- input weight matrix
- + transition weight matrix
- + bias vector (b)

#### (Naive) Transition function II



#### **Output function I**

Input: hidden state (ht)

Parameters:

output matrix  $V \in \mathbb{R}^{|V| \times d}$ 

+ bias vector (c)

#### **Output function II**

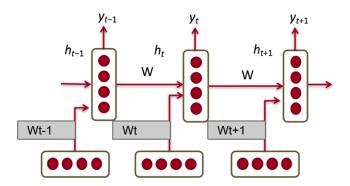
This summary vector is affine-transformed followed by a softmax nonlinear function to compute the conditional probability of each word.

$$\mu = softmax (Vh_t + c)$$

#### **Training RNNs is hard**

Multiply the same matrix at each time step during forward

prop



Ideally inputs from many time steps ago can modify output y

#### The vanishing/exploding gradient problem I

•During training gradients explode/vanish easily because of depth-in-time → Exploding/Vanishing gradients!

BPTT: Backpropagation through time calculates the gradients

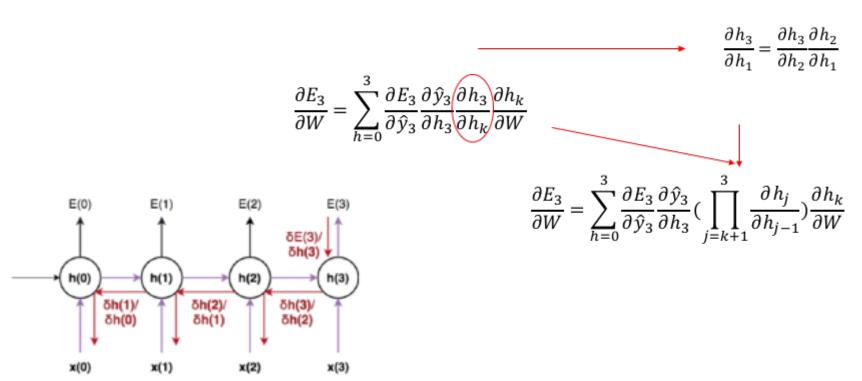
$$\frac{\partial E}{\partial W} = \sum_{t} \frac{\partial E_{t}}{\partial W}$$

$$E_{t}(y_{t}, \hat{y}_{t}) = -y_{t} \log \hat{y}_{t}$$

$$E(y, \hat{y}) = \sum_{t} E_{t}(y_{t}, \hat{y}_{t})$$

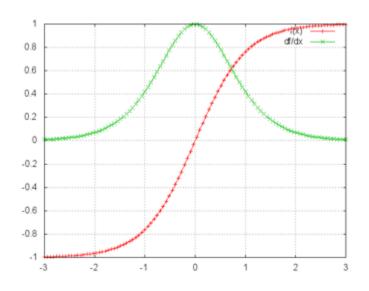
$$= -\sum_{t} y_{t} \log \hat{y}_{t}$$

#### The vanishing/exploding gradient problem II



Example back-prop in time with 3 time-steps

## The vanishing/exploding gradient problem III



$$\frac{\partial E_3}{\partial W} = \sum_{h=0}^{3} \frac{\partial E_3}{\partial \hat{y}_3} \frac{\partial \hat{y}_3}{\partial h_3} \left( \prod_{j=k+1}^{3} \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial W}$$

#### **Solutions**

Proper initialization of Weight Matrix

Regularization of outputs or Dropout

Use of ReLU Activations as it's derivative is either 0 or 1

Gating method

#### Measure to evaluate

Perplexity

# Perplexity: a measure to evaluate language modeling

Perplexity measures how high a probability the language model assigns to correct next words in the test corpus "on average". A better language model is the one with a lower perplexity.

Perplexity measures as well how complex is a task equals size of vocabulary (V)

PP=V

#### **Comparing language models**

LM	PPL
n-gram-based	131.2
+feed-forward	112.5
+RNN	108.1
+LSTM	92.0

Results from Sundermeyer et al, 2015

## Example

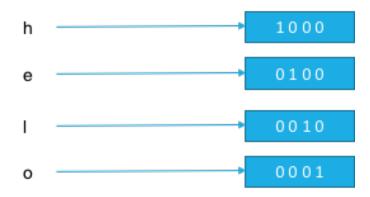
#### Character-level language models

#### example and figures taken from

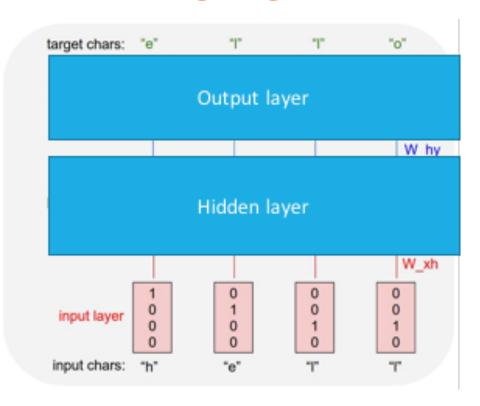
Imagesrc: http://karpathy.github.io/2015/05/21/mn-effectiveness/

All these probabilities should be likely

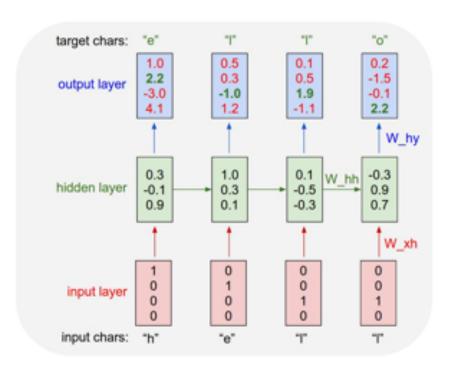
#### Character-level language models with RNN



#### Character-level language models diagram I



#### Character-level language models diagram II



#### Applications of language modeling

#### Applications of language modeling

Speech recognition
Machine translation
Handwriting recognition
Information retrieval

- - -

#### A bad language model

#### HERMAN



### **Summary**

- Language modeling consists in assigning a probability to a sequence of words.
- We can model a sequence of words with n-grams, feed forward networks and recurrent networks.
- Feed-forward networks are able to generalise unseen contexts
- RNN are able to use variable contexts

#### Learn more

Yoshua Bengio, Réjean Ducharme, Pascal Vincent, and Christian Janvin. 2003. A neural probabilistic language model. *J. Mach. Learn. Res.* 3 (March 2003), 1137-1155.

Martin Sundermeyer, Hermann Ney, and Ralf Schlüter. 2015. From feedforward to recurrent LSTM neural networks for language modeling. *IEEE/ACM Trans. Audio, Speech and Lang. Proc.* 23, 3 (March 2015), 517-529. DOI=http://dx.doi.org/10.1109/TASLP.2015.2400218

### Thanks! Q&A?

#### Architecture: neural language model

