## Chapter 10: Free type constructions

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#### The interpreter pattern I. Expression trees

Main idea: Represent a program as a data structure, run it later

Example: a simple DSL for complex numbers

```
val a = "1+2*i".toComplex
val b = a * "3-4*i".toComplex
b.conj
Conj(
Mul(
Str("1+2*i"), Str("3-4*i")
))
```

Unevaluated operations Str, Mul, Conj are defined as case classes:

```
sealed trait Prg
case class Str(s: String) extends Prg
case class Mul(p1: Prg, p2: Prg) extends Prg
case class Conj(p: Prg) extends Prg
```

An interpreter will "run" the program and return a complex number

```
def run(prg: Prg): (Double, Double) = ...
```

- Benefits: programs are data, can compose & transform before running
- Shortcomings: this DSL works only with simple expressions
  - Cannot represent variable binding and conditional computations
  - ► Cannot use any non-DSL code (e.g. a numerical algorithms library)

#### The interpreter pattern II. Variable binding

#### A DSL with variable binding and conditional computations

- Example: imperative API for reading and writing files
  - ▶ Need to bind a *non-DSL variable* to a value computed by DSL
  - ▶ Later, need to use that non-DSL variable in DSL expressions
  - ▶ The rest of the DSL program is a (Scala) function of that variable

```
val p = path("/file")
val str: String = read(p)
if (str.nonEmpty)
  read(path(str))
else "Error: empty path"

Bind(
  Read(Path(Literal("/file"))),
{ str ⇒ // read value 'str'
  if (str.nonEmpty)
      Read(Path(Literal(str)))
  else Literal("Error: empty path")
})
```

Unevaluated operations are implemented via case classes:

```
sealed trait Prg
case class Bind(p: Prg, f: String ⇒ Prg) extends Prg
case class Literal(s: String) extends Prg
case class Path(s: Prg) extends Prg
case class Read(p: Prg) extends Prg
.
```

• Interpreter: def run(prg: Prg): String = ...

#### The interpreter pattern III. Type safety

- So far, the DSL has no type safety: every value is a Prg
  - ▶ We want to avoid errors, e.g. Read(Read(...)) should not compile
- Let Prg[A] denote a DSL program returning value of type A when run:

```
sealed trait Prg[A]
case class Bind(p: Prg[String], f: String ⇒ Prg[String])
  extends Prg[String]
case class Literal(s: String) extends Prg[String]
case class Path(s: Prg[String]) extends Prg[nio.file.Path]
case class Read(p: Prg[nio.file.Path]) extends Prg[String]
```

- Interpreter: def run(prg: Prg[String]): String = ...
- Our example DSL program is type-safe now:

```
val prg: Prg[String] = Bind(
  Read(Path(Literal("/file"))),
     { str: String ⇒
     if (str.nonEmpty)
        Read(Path(Literal(str)))
     else Literal("Error: empty path")
})
```

# The interpreter pattern IV. Cleaning up the DSL

Our DSL so far:

```
sealed trait Prg[A]
case class Bind(p: Prg[String], f: String ⇒ Prg[String])
  extends Prg[String]
case class Literal(s: String) extends Prg[String]
case class Path(s: Prg[String]) extends Prg[nio.file.Path]
case class Read(p: Prg[nio.file.Path]) extends Prg[String]
```

Problems with this DSL:

- Cannot use Read(p: nio.file.Path), only Read(p: Prg[nio.file.Path])
- Cannot bind variables or return values other than String

To fix these problems, make Literal a fully parameterized operation and replace Prg[A] by A in case class arguments

```
sealed trait Prg[A]
case class Bind[A, B](p: Prg[A], f: A⇒Prg[B]) extends Prg[B]
case class Literal[A](a: A) extends Prg[A]
case class Path(s: String) extends Prg[nio.file.Path]
case class Read(p: nio.file.Path) extends Prg[String]
```

• The type signatures of Bind and Literal are like flatMap and pure

#### The interpreter pattern V. Define Monad-like methods

• We can actually define the methods map, flatMap, pure:

```
sealed trait Prg[A] {
  def flatMap[B](f: A \Rightarrow Prg[B]): Prg[B] = Bind(this, f)
  def map[B](f: A \Rightarrow B): Prg[B] = flatMap(this, f andThen Prg.pure)
object Prg { def pure[A](a: A): Prg[A] = Literal(a) }
```

- These methods don't run anything, only create unevaluated structures
- DSL programs can now be written as functor blocks and composed:

```
def readPath(p: String): Prg[String] = for {
  path \leftarrow Path(p)
  str ← Read(path)
} yield str
val prg: Prg[String] = for {
  str \( \text{readPath("/file")} \)
  result ← if (str.nonEmpty)
      readPath(str)
    else Prg.pure("Error: empty path")
} yield result
```

Interpreter: def run[A](prg: Prg[A]): A = ...

## The interpreter pattern VI. Refactoring to an abstract DSL

• Write a DSL for complex numbers in a similar way:

```
sealed trait Prg[A] { def flatMap ... } // no code changes case class Bind[A, B] (p: Prg[A], f: A \Rightarrow Prg[B]) extends Prg[B] case class Literal[A](a: A) extends Prg[A] type Complex = (Double, Double) // custom code starts here case class Str(s: String) extends Prg[Complex] case class Mul(c1: Complex, C2: Complex) extends Prg[Complex] case class Conj(c: Complex) extends Prg[Complex]
```

Refactor this DSL to separate common code from custom code:

```
sealed trait DSL[F[_], A] { def flatMap ... } // no code changes type Prg[A] = DSL[F, A] // just for convenience case class Bind[A, B](p: Prg[A], f: A \Rightarrow Prg[B]) extends Prg[B] case class Literal[A](a: A) extends Prg[A] custom operations here
```

Interpreter is parameterized by a "value extractor"
 Ex<sup>F</sup> ≡ ∀A. (F<sup>A</sup> ⇒ A)
 def run[F[\_], A](ex: Ex[F])(prg: DSL[F, A]): A = ...

## The interpreter pattern VII. Handling errors

- To handle errors, we want to evaluate DSL[F[], A] to Either[Err, A]
- Suppose we have a value extractor of type  $Ex^F \equiv \forall A. (F^A \Rightarrow Err + A)$
- The code of the interpreter is almost unchanged:

```
def run[F[_], A](extract: Ex[F])(prg: DSL[F, A]): Either[Err, A] =
  prg match {
    case b: Bind[F, _, A] \Rightarrow b match { case Bind(p, f) \Rightarrow
        run(extract)(p).flatMap(f andThen run(extract))
          // Here, the .flatMap is from Either.
    case Literal(a) ⇒ Right(a) // pure: A ⇒ Err + A
    case Ops(f) \Rightarrow extract(f)
```

- The code of run only uses flatMap and pure from Either
- We can generalize to any other monad  $M^A$  instead of Either [Err, A]

#### The resulting construction:

- Start with an "operations type constructor"  $F^A$  (often not a functor)
- Use DSL<sup>F,A</sup> and interpreter run<sup>M,A</sup>:  $(\forall X.F^X \Rightarrow M^X) \Rightarrow DSL^{F,A} \Rightarrow M^A$
- Create a DSL program prg : DSL<sup>F,A</sup> and an extractor  $ex^X : F^X \Rightarrow M^X$
- Run the program with the extractor: run(ex)(prg); get a value  $M^A$

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## The interpreter pattern VIII. Monadic DSLs: summary

- Begin with a number of operations, which are typically functions of fixed known types such as  $A_1 \Rightarrow B_1$ ,  $A_2 \Rightarrow B_2$  etc.
- Define a type constructor (typically not a functor) encapsulating all the operations as case classes, with or without type parameters

```
sealed trait F[A]
case class Op1(a1: A1) extends F[B1]
case class Op2(a1: A2) extends F[B2]
```

- Use DSL[F,A] with this F to write monadic DSL programs prg: DSL[F,A]
- ullet Choose a target monad M[A] and implement an extractor ex:F[A]  $\Rightarrow$ M[A]
- Run the program with the extractor, val res: M[A] = run(ex)(prg)

#### Further directions (out of scope for this chapter):

- May choose another monad N[A] and use interpreter M[A] ⇒ N[A]
  - ▶ E.g. transform into another monadic DSL to optimize, test, etc.
- Since DSL[F,A] has a monad API, we can use monad transformers on it
- Can combine two or more DSLs in a disjunction:  $DSL^{F+G+H,A}$

## Monad laws for DSL programs

Monad laws hold for DSL programs only after evaluating them

- Consider the law flm (pure) = id; both functions  $DSL^{F,A} \Rightarrow DSL^{F,A}$
- ullet Apply both sides to some prg :  $DSL^{F,A}$  and get the new value

```
prg.flatMap(pure) == Bind(prg, a \Rightarrow Literal(a))
```

- This new value is not equal to prg, so this monad law fails!
  - ▶ Other laws fail as well because operations never reduce anything
- After interpreting this program into a target monad  $M^A$ , the law holds:

```
run(ex)(prg).flatMap((a ⇒ Literal(a)) andThen run(ex))
== run(ex)(prg).flatMap(a ⇒ run(ex)(Literal(a))
== run(ex)(prg).flatMap(a ⇒ pure(a))
== run(ex)(prg)
```

- $\blacktriangleright$  Here we have assumed that the laws hold for  $M^A$
- ightharpoonup All other laws also hold after interpreting into a lawful monad  $M^A$

The monad law violations are "not observable"

## Free constructions in mathematics: Example I

- $\bullet$  Consider the Russian letter μ (tsè) and the Chinese word 水 (shuï)
- We want to *multiply* ц by 水. Multiply how?
- Say, we want an associative (but noncommutative) product of them
  - ► So we want to define a *semigroup* that *contains* µ and 水 as elements

    ★ while we still know nothing about µ and 水
- Consider the set of all *unevaluated expressions* such as ц·水·水·ц·水
  - ► Here  $\mathbf{q} \cdot \mathbf{x}$  is different from  $\mathbf{x} \cdot \mathbf{q}$  but  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
- ullet All these expressions form a **free semigroup** generated by ц and x
  - ▶ This is the most unrestricted semigroup that contains ц and 水
- Example calculation: (水水)·(ц·水)·ц = 水·水·ц·水·ц

#### How to represent this as a data type:

- Tree encoding: the full expression tree: (((水,水),(ц,水)), ц)
  - ▶ Implement the operation  $a \cdot b$  as pair constructor (easy)
- Reduced encoding, as a "smart" structure: List(水,水,ц,水,ц)
  - ▶ Implement  $a \cdot b$  by concatenating the lists (more expensive)

## Free constructions in mathematics: Example II

- ullet Want to define a product operation for *n*-dimensional vectors:  ${f v}_1 \otimes {f v}_2$
- The ⊗ must be linear and distributive (but not commutative):

$$\begin{split} u_1 \otimes v_1 + \left(u_2 \otimes v_2 + u_3 \otimes v_3\right) &= \left(u_1 \otimes v_1 + u_2 \otimes v_2\right) + u_3 \otimes v_3 \\ u \otimes \left(a_1 v_1 + a_2 v_2\right) &= a_1 \left(u \otimes v_1\right) + a_2 \left(u \otimes v_2\right) \\ \left(a_1 v_1 + a_2 v_2\right) \otimes u &= a_1 \left(v_1 \otimes u\right) + a_2 \left(v_2 \otimes u\right) \end{split}$$

- ▶ We have such a product for 3-dimensional vectors only; ignore that
- Consider unevaluated expressions of the form  $\mathbf{u}_1 \otimes \mathbf{v}_1 + \mathbf{u}_2 \otimes \mathbf{v}_2 + ...$ 
  - A free vector space generated by pairs of vectors
- Impose the equivalence relationships shown above
  - ► The result is known as the **tensor product**
- Tree encoding: full unevaluated expression tree
  - ▶ A list of any number of vector pairs  $\sum_i \mathbf{u}_i \otimes \mathbf{v}_i$
- Reduced encoding: an  $n \times n$  matrix
  - Reduced encoding requires proofs and more complex operations

#### Worked example I: Free semigroup

Implement a free semigroup FSIS generated by two types Int and String

- A value of FSIS can be an Int; it can also be a String
- If x, y are of type FSIS then so is x |+| y

```
sealed trait FSIS // tree encoding: full expression tree
case class Wrap1(x: Int) extends FSIS
case class Wrap2(x: String) extends FSIS
case class Comb(x: FSIS, y: FSIS) extends FSIS
```

- Short type notation:  $FSIS \equiv Int + String + FSIS \times FSIS$
- ullet For a semigroup S and given Int  $\Rightarrow S$  and String  $\Rightarrow S$ , map FSIS  $\Rightarrow S$
- Simplify and generalize this construction by setting Z = Int + String
   The tree encoding is FS<sup>Z</sup> ≡ Z + FS<sup>Z</sup> × FS<sup>Z</sup>

```
def |+|(x: FS[Z], y: FS[Z]): FS[Z] = Comb(x, y)
def run[S: Semigroup, Z](extract: Z \Rightarrow S): FS[Z] \Rightarrow S = {
   case Wrap(z) \Rightarrow extract(z)
   case Comb(x, y) \Rightarrow run(extract)(x) |+| run(extract)(y)
} // Semigroup laws will hold after applying run().
```

- The reduced encoding is  $FSR^Z \equiv Z \times List^Z$  (non-empty list of Z's)
  - x |+| y requires concatenating the lists, but run() is faster

#### Worked example II: Free monoid

Implement a free monoid FM[Z] generated by type Z

- A value of FM[Z] can be the empty value; it can also be a Z
- If x, y are of type FM[Z] then so is x |+| y

```
sealed trait FM[Z] // tree encoding
case class Empty[Z]() extends FM[Z]
case class Wrap[Z](z: Z) extends FM[Z]
case class Comb[Z](x: FM[Z], y: FM[Z]) extends FM[Z]
```

- Short type notation:  $\mathsf{FM}^{\mathsf{Z}} \equiv 1 + Z + \mathsf{FM}^{\mathsf{Z}} \times \mathsf{FM}^{\mathsf{Z}}$
- For a monoid M and given  $Z \Rightarrow M$ , map  $FM^Z \Rightarrow M$

```
def |+|(x: FM[Z], y: FM[Z]): FM[Z] = Comb(x, y)
def run[M: Monoid, Z](extract: Z \Rightarrow M): FM[Z] \Rightarrow M = {
   case Empty() \Rightarrow Monoid[M].empty
   case Wrap(z) \Rightarrow extract(z)
   case Comb(x, y) \Rightarrow run(extract)(x) |+| run(extract)(y)
} // Monoid laws will hold after applying run().
```

- The reduced encoding is  $FMR^Z \equiv List^Z$  (list of Z's)
  - ► Implementing |+| requires concatenating the lists
- Reduced encoding and tree encoding give identical results after run()

## Mapping a free semigroup to different targets

What if we interpret  $FS^X$  into another free semigroup?

- Given  $Y \Rightarrow Z$ , can we map  $FS^Y \Rightarrow FS^Z$ ?
  - ▶ Need to map  $FS^Y \equiv Y + FS^Y \times FS^Y \Rightarrow Z + FS^Z \times FS^Z$
  - ▶ This is straightforward since  $FS^X$  is a functor in X:

```
def fmap[Y, Z](f: Y \Rightarrow Z): FS[Y] \Rightarrow FS[Z] = {
  case Wrap(y) \Rightarrow Wrap(f(y))
  case Comb(a, b) \Rightarrow Comb(fmap(f)(a), fmap(f)(b))
}
```

- Now we can use run to interpret  $FS^X \Rightarrow FS^Y \Rightarrow FS^Z \Rightarrow S$ , etc.
  - ► Functor laws hold for FS<sup>X</sup>, so fmap is composable as usual
  - ► The "interpreter" commutes with fmap as well (naturality law):

$$\mathsf{FS}^X \xrightarrow{\mathsf{run}^S(f \circ g)^{:X \Rightarrow S}} \mathsf{S}$$

• Combine two free semigroups:  $FS^{X+Y}$ ; inject parts:  $FS^X \Rightarrow FS^{X+Y}$ 

## Church encoding I. Motivation

- Multiple target semigroups  $S_i$  require many "extractors"  $ex_i : Z \Rightarrow S_i$
- Refactor extractors  $ex_i$  into evidence of a typeclass constraint on  $S_i$

```
// Typeclass ExZ[S] has the single method 'extract: Z \Rightarrow S'. implicit val exZ: ExZ[MySemigroup] = { z \Rightarrow ... } def run[S: ExZ: Semigroup] (fm: FM[Z]): S = fm match { case Wrap(z) \Rightarrow implicitly[ExZ[S]].extract(z) case Comb(x, y) \Rightarrow run(x) |+| run(y) }
```

Refactor run using a helper function wrap

```
def wrap[S: ExZ](z: Z): S = implicitly[ExZ[S]].extract(z)
```

- Refactor the rest of run into functions with constraint [S: ExZ],
  - def x[S: ExZ : Semigroup]: S = wrap(1) |+| wrap(2)
- The type of x is  $\forall S. (Z \Rightarrow S) \times (S \times S \Rightarrow S) \Rightarrow S$ ; equivalently:

$$\forall S. (Z \Rightarrow S) \times (S \times S \Rightarrow S) \Rightarrow S \cong \forall S. ((Z + S \times S) \Rightarrow S) \Rightarrow S$$

- This is known as the "Church encoding" (for the free semigroup)
- Church encoding works for any type:  $A \cong \forall X. (A \Rightarrow X) \Rightarrow X$ 
  - which resembles the type of the continuation monad,  $(A \Rightarrow R) \Rightarrow R$
  - lacktriangle but orall X makes the function fully generic and a natural transformation

## Church encoding II. Disjunction types

- Consider the Church encoding for the disjunction type P + Q
  - ▶ The encoding is  $\forall X. (P + Q \Rightarrow X) \Rightarrow X \cong \forall X. (P \Rightarrow X) \Rightarrow (Q \Rightarrow X) \Rightarrow X$ trait Disj[P, Q] { def run[X] (cp: P  $\Rightarrow$  X) (cq: Q  $\Rightarrow$  X): X }
- Define some values of this type:

```
def left[P, Q](p: P) = new Disj[P, Q] { def run[X](cp: P \Rightarrow X)(cq: Q \Rightarrow X): X = cp(p) }
```

- Now we can implement the analog of the case expression simply as val result = disj.run  $\{p \Rightarrow ...\}$   $\{q \Rightarrow ...\}$
- This works in programming languages that have no disjunction types General recipe for implementing the Church encoding:

```
trait Blah { def run[X](cont: ... \Rightarrow X): X }
```

- For convenience, define a type class Ex describing the inner function:
   trait Ex[X] { def cp: P ⇒ X; def cq: Q ⇒ X }
  - ▶ Different methods of this class return X; convenient with disjunctions
- Church-encoded types have to be "run" for pattern-matching

# Church encoding III. How it works

Why is the type  $\operatorname{Ch}^A \equiv \forall X. (A \Rightarrow X) \Rightarrow X$  equivalent to the type A? trait  $\operatorname{Ch}[A]$  { def run[X] (cont:  $A \Rightarrow X$ ): X }

• If we have a value of A, we can get a Ch<sup>A</sup>
def a2c[A](a: A): Ch[A] = new Ch[A] {
 def run[X](cont: A ⇒ X): X = cont(a)
}

```
id: (A \Rightarrow A) \xrightarrow{\text{ch.run}^A} A
\downarrow^{\text{fmap}_{\text{Reader}_A}(f)} \downarrow^f
f: (A \Rightarrow X) \xrightarrow{\text{ch.run}^X} X
```

- If we have a ch :  $Ch^A$ , we can get an a : Adef c2a[A](ch: Ch[A]):  $A = ch.run[A](a \Rightarrow a)$
- The functions a2c and c2a are inverses of each other
  - ► To implement a value ch<sup>:Ch<sup>A</sup></sup>, we must compute an  $x^{:X}$  given  $f^{:A\Rightarrow X}$ , for any X, which requires having a value  $a^{:A}$  available
- To show that ch = a2c(c2a(ch)), apply both sides to an f: A⇒X and get ch.run(f) = a2c(c2a(ch)).run(f) = f(c2a(ch)) = f(ch.run(a⇒a))
  - This is naturality of ch.run as a transformation between Reader and Id
     Naturality of ch.run follows from parametricity of its code
  - ► It is straightforward to compute c2a(a2c(a)) = identity(a) = a
- Church encoding satisfies laws: it is built up from parts of run method

# Church encoding IV. Recursive types and type constructors

- Consider the recursive type  $P \equiv Z + P \times P$  (tree with Z-typed leaves)
  - ▶ The Church encoding is  $\forall X. ((Z + X \times X) \Rightarrow X) \Rightarrow X$
  - ightharpoonup This is non-recursive: the recursive use of P is replaced by X
- Generalize to recursive type  $P \equiv S^P$  where S is a "structure functor":
  - ▶ The Church encoding is  $\forall X. (S^X \Rightarrow X) \Rightarrow X$ 
    - ★ Church encoding of recursive types is non-recursive
- Church encoding for a type constructor  $P^{\bullet}$ :
  - ► Notation: P• is a type function; Scala syntax is P[\_]
  - ▶ The Church encoding is  $Ch^{P^{\bullet},A} = \forall F^{\bullet}. (\forall X.P^X \Rightarrow F^X) \Rightarrow F^A$
  - ▶ Note:  $\forall X.P^X \Rightarrow F^X$  or  $P^{\bullet} \leadsto F^{\bullet}$  resembles a natural transformation
    - $\star$  Except that  $P^{\bullet}$  and  $F^{\bullet}$  are not necessarily functors, so no naturality law
- Church encoding for a recursively defined type constructor  $P^{\bullet}$ :
  - ▶ Definition:  $P^A \equiv S^{P^{\bullet},A}$  where  $S^{\bullet^{\bullet},A}$  is the "structure transformation"
  - ▶ Notation:  $S^{\bullet^{\bullet},A}$  is a higher-order type function; Scala syntax:  $S[_[],A]$ 
    - \* Example: List<sup>A</sup>  $\equiv 1 + A \times \text{List}^A \equiv S^{\text{List}^{\bullet}, A}$  where  $S^{P^{\bullet}, A} \equiv 1 + A \times P^A$
  - ▶ The Church encoding of  $P^A$  is  $Ch^{P^{\bullet},A} = \forall F^{\bullet}. \left(S^{F^{\bullet}} \leadsto F^{\bullet}\right) \Rightarrow F^A$
- Works the same if  $P^{\bullet}$  belongs to a typeclass use  $\forall F^{\bullet}$  : C.(...)
  - Laws of the typeclass are satisfied automatically

# Properties of free type constructions

#### Generalizing from our examples so far:

- We "enriched" Z to a monoid  $FM^Z$  and  $F^A$  to a monad  $DSL^{F,A}$ 
  - ► The "enrichment" adds case classes representing the needed operations
  - $\triangleright$  Very similar recipes for a type Z and for a type constructor  $F^A$
- Obtain a free type construction, which performs no computations
  - wrap Z in just enough stuff to make it look like a monoid
- A value of a free construction can be "run" to yield concrete values Questions:
  - Can we construct a free typeclass C over any type constructor  $F^A$ ?
    - Yes, with typeclasses: (contra)functor, filterable, monad, applicative
  - Which of the possible encodings to use?
    - ► Tree encoding, reduced encodings, Church encoding
  - What are the laws for the FreeC $^{F,A}$  "free instance of C over F"?
    - ▶ For all  $F^{\bullet}$ , must have wrap[A] :  $F^{A} \Rightarrow \text{FreeC}^{F,A}$  or  $F^{\bullet} \rightsquigarrow \text{FreeC}^{F,\bullet}$
    - ▶ For all  $M^{\bullet}$ : C, given  $F^{\bullet} \sim M^{\bullet}$ , must have run: FreeC<sup>F,•</sup>  $\sim M^{\bullet}$
    - ▶ The laws of typeclass C must hold after interpreting into an  $M^{\bullet}$ : C
    - Given any  $t: F^{\bullet} \sim G^{\bullet}$ , must have  $fmap(t): FreeC^{F, \bullet} \sim FreeC^{G, \bullet}$

#### Worked example III: free functor I

- The Functor type class has one method, fmap:  $(Z \Rightarrow A) \Rightarrow F^Z \Rightarrow F^A$
- The tree encoding of a free functor over  $F^{\bullet}$  needs two case classes:

```
sealed trait FF[F[_], A] case class Wrap[F[_], A](fa: F[A]) extends FF[F, A] case class Fmap[F[_], A, Z](f: Z \Rightarrow A)(ffz: FF[F, Z]) extends FF[F, A]
```

• The constructor  $F_{map}$  has an extra type parameter Z, which is "hidden"

#### Consider a simple example of this:

```
sealed trait Q[A]; case class QZ[A, Z](a: A, z: Z) extends Q[A]
```

• Need to use specific type Z when constructing a value of Q[A], e.g.,

```
val q: Q[Int] = QZ[Int, String](123, "abc")
```

- ▶ The type Z is hidden inside  $q: Q^{Int}$ ; all we know is that Z "exists"
- Type notation for this:  $Q^A \equiv \exists Z.A \times Z$ 
  - ▶ The existential quantifier applies to the "hidden" type parameter
  - ▶ The constructor QZ has type  $\exists Z. (A \times Z \Rightarrow Q^A)$
  - ▶ It is not  $\forall Z$  because a specific Z is used when building up a value
  - ▶ The code does not show  $\exists Z$  explicitly! We need to keep track of that

## Worked example III: free functor II

- Tree encoding of FF has type  $FF^{F^{\bullet},A} \equiv F^A + \exists Z.FF^{F^{\bullet},Z} \times (Z \Rightarrow A)$
- Derivation of the reduced encoding:
  - $\triangleright$  A value of type  $FF^{\bullet,A}$  must be of the form

$$\exists Z_1.\exists Z_2...F^A \times (Z_1 \Rightarrow A) \times (Z_2 \Rightarrow Z_1) \times ...$$

- ▶ The functions  $Z_1 \Rightarrow A$ ,  $Z_2 \Rightarrow Z_1$ , etc., must be composed associatively
- ▶ The equivalent type is  $\exists Z.F^A \times (Z \Rightarrow A)$
- Reduced encoding: FreeF<sup>F•</sup>, $A \equiv \exists Z.F^Z \times (Z \Rightarrow A)$ 
  - ▶ Substituted  $F^Z$  instead of FreeF<sup>F•,Z</sup> and eliminated the case  $F^A$
  - ► The reduced encoding is non-recursive
  - Requires a proof that this encoding is equivalent to the tree encoding
  - ▶ If  $F^{\bullet}$  is already a functor, can show  $F^A \cong \exists Z.F^Z \times (Z \Rightarrow A)$
- Church encoding (starting from the tree encoding):

$$\mathsf{FreeF}^{F^{\bullet},A} \equiv \forall P^{\bullet}. \left( \forall C. \left( F^{C} + \exists Z. P^{Z} \times (Z \Rightarrow C) \right) \rightsquigarrow P^{C} \right) \Rightarrow P^{A}$$

- ▶ The structure of the type expression:  $\forall P^{\bullet}. (\forall C.(...)^{C} \rightsquigarrow P^{C}) \Rightarrow P^{A}$ 
  - ★ Cannot move  $\forall C$  or  $\exists Z$  to the outside of the type expression!

## Encoding with an existential type: how it works

Show that 
$$P^A \equiv \exists Z.Z \times (Z \Rightarrow A) \cong A$$

sealed trait P[A]; case class  $PZ[A, Z](z: Z, f: Z \Rightarrow A)$  extends P[A]

- How to construct a value of type  $P^A$  for a given A?
  - ▶ Have a function  $Z \Rightarrow A$  and a Z, construct  $Z \times (Z \Rightarrow A)$
  - ▶ Particular case:  $Z \equiv A$ , have a : A and build  $a \times id^{:A \Rightarrow A}$  def a2p[A](a: A): P[A] = PZ[A, A](a, identity)
- Cannot extract Z out of  $P^A$  the type Z is hidden
- Can extract A out of  $P^A$  do not need to know Z

def p2a[A]: P[A] 
$$\Rightarrow$$
 A = { case PZ(z, f)  $\Rightarrow$  f(z) }

- Cannot transform  $P^A$  into anything else other than A
- A value of type  $P^A$  is observable only via p2a
  - ► Therefore the functions a2p and p2a are "observational" inverses (i.e. we need to use p2a in order to compare values of type P<sup>A</sup>)

If  $F^{\bullet}$  is a functor then  $Q^A \equiv \exists Z.F^Z \times (Z \Rightarrow A) \cong F^A$ 

- A value of  $Q^A$  can be observed only by extracting an  $F^A$  from it
- $\bullet$  Can define  ${\tt f2q}$  and  ${\tt q2f}$  and show that they are observational inverses

# Recipe for encoding free type constructor typeclasses

- To build a "free typeclass C over  $F^{\bullet}$ " as a type constructor  $P^{\bullet}$ ▶ The typeclass C can be functor, contrafunctor, monad, etc.
- Assume that C has methods  $m_1, m_2, ...,$  with type signatures  $m_1: Q_1^{P^{\bullet},A} \Rightarrow P^A, m_2: Q_2^{P^{\bullet},A} \Rightarrow P^A, \text{ etc., where } Q_i \text{ are known}$
- The tree encoded FC<sup>A</sup> is a disjunction defined recursively by  $FC^A \equiv F^A + Q_1^{FC^{\bullet},A} + Q_2^{FC^{\bullet},A} + \dots$ sealed trait FC[A]; case class Wrap[A](fa: F[A]) extends FC[A] case class Q1[A](...) extends FC[A] case class Q2[A](...) extends FC[A]; ...
- Any type parameters within  $Q_i$  are then existentially quantified
- run maps  $F^{\bullet} \sim M^{\bullet}$  in the disjunction and recursively for other parts
- Derive a reduced encoding via reasoning about possible values of FC<sup>A</sup> and by taking into account the laws of the typeclass C
- A Church encoding can use the tree encoding or the reduced encoding What typeclasses cannot be encoded in this way?
- Any typeclass with a method not ultimately returning a value of P<sup>A</sup>
  - Example: a typeclass with methods pt :  $A \Rightarrow P^A$  and ex :  $P^A \Rightarrow A$

# Worked examples IV: free contrafunctor

- Method contramap :  $C^A \times (B \Rightarrow A) \Rightarrow C^B$
- Tree encoding: FreeCF<sup> $F^{\bullet}$ , $B \equiv F^B + \exists A$ .FreeCF<sup> $F^{\bullet}$ , $A \times (B \Rightarrow A)$ </sup></sup>
- Reduced encoding: FreeCF<sup> $F^{\bullet}$ ,B</sup>  $\equiv \exists A.F^{A} \times (B \Rightarrow A)$ 
  - ▶ The reduced encoding is non-recursive
  - ▶ Example:  $F^A \equiv A$ , "interpret" into the contrafunctor  $C^A \equiv A \Rightarrow \text{String}$

```
def prefixLog[A](p: A): A \Rightarrow String = a \Rightarrow p.toString + a.toString
```

• If  $F^{\bullet}$  is already a contrafunctor then FreeCF $^{F^{\bullet},A} \cong F^{A}$ 

# Worked examples V: free pointed functor

Over an arbitrary type constructor  $F^{\bullet}$ :

- Pointed functor methods pt :  $A \Rightarrow P^A$  and map :  $P^A \times (A \Rightarrow B) \Rightarrow P^B$
- Tree encoding: FreeP<sup> $F^{\bullet}$ ,  $A \equiv A + F^A + \exists Z$ . FreeP<sup> $F^{\bullet}$ ,  $Z \times (Z \Rightarrow A)$ </sup></sup>
- Reduced encoding: FreeP<sup> $F^{\bullet}$ ,  $A \equiv A + \exists Z.F^Z \times (Z \Rightarrow A)$ </sup>
- This reuses the free functor as FreeP<sup> $F^{\bullet}$ ,A</sup> = A + FreeF<sup> $F^{\bullet}$ ,A</sup>

If the type constructor  $F^{\bullet}$  is already a functor, FreeF $^{F^{\bullet},A} \cong F^{A}$  and so:

- Free pointed functor over a functor  $F^{\bullet}$  is simplified:  $A + F^{A}$
- ullet If  $F^ullet$  is already a pointed functor, need not use the free construction
  - ▶ If we do, we will have FreeP<sup> $F^{\bullet}$ ,A</sup>  $\ncong$   $F^{A}$
  - only functors and contrafunctors do not change under "free"

## Worked examples VI: free filterable functor

Methods:

$$\mathsf{map}: F^A \Rightarrow (A \Rightarrow B) \Rightarrow F^B$$
$$\mathsf{mapOpt}: F^A \Rightarrow (A \Rightarrow 1 + B) \Rightarrow F^B$$

- We can recover map from mapOpt, so we keep only mapOpt
- Tree encoding: FreeFi<sup> $F^{\bullet}$ , $A \equiv F^A + \exists Z$ .FreeFi $^{F^{\bullet}}$ , $Z \times (Z \Rightarrow 1 + A)$ </sup>
- Reduced encoding: FreeFi $^{F^{\bullet},A} \equiv \exists Z.F^Z \times (Z \Rightarrow 1+A)$ , non-recursive
- If  $F^{\bullet}$  is already a functor, can simplify: FreeFi $^{F^{\bullet},A} = F^{1+A}$ 
  - ▶ Free filterable over a filterable functor  $F^{\bullet}$  is  $F^{1+A} \ncong F^{A}$

## Worked examples VII: free monad

Methods:

pure : 
$$A \Rightarrow F^A$$
  
flatMap :  $F^A \Rightarrow (A \Rightarrow F^B) \Rightarrow F^B$ 

- Can recover map from flatMap and pure, so we keep only flatMap Tree encoding: FreeM<sup>F•,A</sup>  $\equiv F^A + A + \exists Z.$ FreeM<sup>F•,Z</sup>  $\times$   $(Z \Rightarrow \text{FreeM}^{F•,A})$
- Derive a reduced encoding:
  - ▶ can simplify  $A \times (A \Rightarrow \mathsf{FreeM}^{F^{\bullet},B}) \cong \mathsf{FreeM}^{F^{\bullet},B}$
  - ▶ use associativity to replace FreeM<sup>A</sup> × (A  $\Rightarrow$  FreeM<sup>B</sup>) × (B  $\Rightarrow$  FreeM<sup>C</sup>) by  $\mathsf{FreeM}^A \times (A \Rightarrow \mathsf{FreeM}^B \times (B \Rightarrow \mathsf{FreeM}^C))$
  - ▶ therefore we can replace  $\exists Z. \text{FreeM}^{F^{\bullet}, Z} \times ...$  by  $\exists Z. F^{Z} \times ...$
- Reduced encoding: FreeM<sup> $F^{\bullet}$ , $A \equiv A + \exists Z.F^Z \times (Z \Rightarrow \text{FreeM}^{F^{\bullet},A})$ </sup>
- Free monad over a functor F• is still recursive:

$$\mathsf{FreeM}^{F^{ullet},A} \equiv A + F^{\mathsf{FreeM}^{F^{ullet},A}}$$

- ► Free monad FreeM $^{M^{\bullet}, \bullet}$  over a monad  $M^{\bullet}$  is not the same as  $M^{\bullet}$
- Free monad over a pointed functor F<sup>•</sup> is

## Worked examples VIII: free applicative

Methods:

pure : 
$$A \Rightarrow F^A$$
  
ap :  $F^A \Rightarrow F^{A \Rightarrow B} \Rightarrow F^B$ 

We can recover map from ap and pure, so we keep only ap

- Tree encoding:  $FreeAp^{F^{\bullet},A} \equiv F^A + A + \exists Z.FreeAp^{F^{\bullet},Z} \times FreeAp^{F^{\bullet},Z\Rightarrow A}$
- Reduced encoding: FreeAp<sup> $F^{\bullet}$ , $A \equiv A + \exists Z.F^Z \times \text{FreeAp}^{F^{\bullet},Z \Rightarrow A}$ </sup>
- Free applicative over a functor F<sup>•</sup>:

FreeAp<sup>$$F^{\bullet},A$$</sup>  $\equiv A+???$ 

- FreeAp $^{F^{\bullet}, \bullet}$  over an applicative functor  $F^{\bullet}$  is not the same as  $F^{\bullet}$
- FreeAp $^{F^{\bullet}, \bullet}$  over a pointed functor  $F^{\bullet}$  is FreeAp $^{F^{\bullet}, A} = ???$

#### Laws for free constructions

- Laws hold after "running"
- Free constructions are "universal" DSL programs

#### Exercises

- Implement a free semigroup generated by a type Z in the tree encoding and in the reduced encoding. Show that the semigroup laws hold for the reduced encoding but not for the tree encoding before interpreting into a lawful semigroup S.
- ② Consider a free monoid generated by a type Z when Z is already a monoid. Show that the resulting type is not equivalent to Z.
- ③ Assuming that  $F^{\bullet}$  is a functor, define  $Q^A \equiv \exists Z.F^Z \times (Z \Rightarrow A)$  and implement f2q:  $F^A \Rightarrow Q^A$  and q2f:  $Q^A \Rightarrow F^A$ . Show that these functions are natural transformations, and that they are inverses of each other "observationally", i.e. after applying q2f in order to compare values of  $Q^A$ .
- Implement a "free pointed filterable" typeclass (combining pointed and filterable) over a type constructor  $F^{\bullet}$ . Start from the tree encoding and derive a reduced encoding. Find a simplified encoding for the case when  $F^{\bullet}$  is already a functor.