Chapter 10: Free type constructions

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The interpreter pattern I. Expression trees

Main idea: Represent a program as a data structure, run it later

Example: a simple DSL for complex numbers

```
val a = "1+2*i".toComplex
val b = a * "3-4*i".toComplex
b.conj
Conj(
Mul(
Str("1+2*i"), Str("3-4*i")
))
```

• Unevaluated operations Str, Mul, Conj are defined as case classes:

```
sealed trait Prg
case class Str(s: String) extends Prg
case class Mul(p1: Prg, p2: Prg) extends Prg
case class Conj(p: Prg) extends Prg
```

An interpreter will "run" the program and return a complex number

```
def run(prg: Prg): (Double, Double) = ...
```

- Benefits: programs are data, can compose & transform before running
- Shortcomings: this DSL works only with simple expressions
 - Cannot represent variable binding and conditional computations
 - ► Cannot use any non-DSL code (e.g. a numerical algorithms library)

The interpreter pattern II. Variable binding

A DSL with variable binding and conditional computations

- Example: imperative API for reading and writing files
 - ▶ Need to bind a *non-DSL variable* to a value computed by DSL
 - ▶ Later, need to use that non-DSL variable in DSL expressions
 - ▶ The rest of the DSL program is a (Scala) function of that variable

```
val p = path("/file")
val str: String = read(p)
if (str.nonEmpty)
  read(path(str))
else "Error: empty path"

Bind(
  Read(Path(Literal("/file"))),
{ str ⇒ // read value 'str'
  if (str.nonEmpty)
      Read(Path(Literal(str)))
  else Literal("Error: empty path")
})
```

Unevaluated operations are implemented via case classes:

```
sealed trait Prg
case class Bind(p: Prg, f: String ⇒ Prg) extends Prg
case class Literal(s: String) extends Prg
case class Path(s: Prg) extends Prg
case class Read(p: Prg) extends Prg
.
```

• Interpreter: def run(prg: Prg): String = ...

The interpreter pattern III. Type safety

- So far, the DSL has no type safety: every value is a Prg
 - ▶ We want to avoid errors, e.g. Read(Read(...)) should not compile
- Let Prg[A] denote a DSL program returning value of type A when run:

```
sealed trait Prg[A]
case class Bind(p: Prg[String], f: String ⇒ Prg[String])
  extends Prg[String]
case class Literal(s: String) extends Prg[String]
case class Path(s: Prg[String]) extends Prg[nio.file.Path]
case class Read(p: Prg[nio.file.Path]) extends Prg[String]
```

- Interpreter: def run(prg: Prg[String]): String = ...
- Our example DSL program is type-safe now:

```
val prg: Prg[String] = Bind(
  Read(Path(Literal("/file"))),
     { str: String ⇒
     if (str.nonEmpty)
        Read(Path(Literal(str)))
     else Literal("Error: empty path")
})
```

The interpreter pattern IV. Cleaning up the DSL

Our DSL so far:

```
sealed trait Prg[A]
case class Bind(p: Prg[String], f: String ⇒ Prg[String])
  extends Prg[String]
case class Literal(s: String) extends Prg[String]
case class Path(s: Prg[String]) extends Prg[nio.file.Path]
case class Read(p: Prg[nio.file.Path]) extends Prg[String]
```

Problems with this DSL:

- Cannot use Read(p: nio.file.Path), only Read(p: Prg[nio.file.Path])
- Cannot bind variables or return values other than String

To fix these problems, make Literal a fully parameterized operation and replace Prg[A] by A in case class arguments

```
sealed trait Prg[A]
case class Bind[A, B](p: Prg[A], f: A⇒Prg[B]) extends Prg[B]
case class Literal[A](a: A) extends Prg[A]
case class Path(s: String) extends Prg[nio.file.Path]
case class Read(p: nio.file.Path) extends Prg[String]
```

• The type signatures of Bind and Literal are like flatMap and pure

The interpreter pattern V. Define Monad-like methods

We can actually define the methods map, flatMap, pure:

```
sealed trait Prg[A] {
  def flatMap[B](f: A \Rightarrow Prg[B]): Prg[B] = Bind(this, f)
  def map[B](f: A \Rightarrow B): Prg[B] = flatMap(this, f andThen Prg.pure)
}
object Prg { def pure[A](a: A): Prg[A] = Literal(a) }
```

- These methods don't run anything, only create unevaluated structures
- DSL programs can now be written as functor blocks and composed:

```
def readPath(p: String): Prg[String] = for {
   path \( \to \) Path(p)
   str \( \to \) Read(path)
} yield str

val prg: Prg[String] = for {
   str \( \to \) readPath("/file")
   result \( \to \) if (str.nonEmpty)
        readPath(str)
        else Prg.pure("Error: empty path")
} yield result

• Interpreter: def run[A](prg: Prg[A]): A = ...
```

The interpreter pattern VI. Refactoring to an abstract DSL

• Write a DSL for complex numbers in a similar way:

```
sealed trait Prg[A] { def flatMap ... } // no code changes case class Bind[A, B] (p: Prg[A], f: A \Rightarrow Prg[B]) extends Prg[B] case class Literal[A](a: A) extends Prg[A] type Complex = (Double, Double) // custom code starts here case class Str(s: String) extends Prg[Complex] case class Mul(c1: Complex, C2: Complex) extends Prg[Complex] case class Conj(c: Complex) extends Prg[Complex]
```

Refactor this DSL to separate common code from custom code:

```
sealed trait DSL[F[_], A] { def flatMap ... } // no code changes type Prg[A] = DSL[F, A] // just for convenience case class Bind[A, B](p: Prg[A], f: A \Rightarrow Prg[B]) extends Prg[B] case class Literal[A](a: A) extends Prg[A] case class Ops[A](f: F[A]) extends Prg[A] // custom operations here
```

- Interpreter is parameterized by a "value extractor" Ex^F ≡ ∀A. (F^A ⇒ A)
 def run[F[_], A](ex: Ex[F])(prg: DSL[F, A]): A = ...
- The constructor DSL[F[]. A] is called a free monad over F

The interpreter pattern VII. Handling errors

- To handle errors, we want to evaluate DSL[F[_], A] to Either[Err, A]
- Suppose we have a value extractor of type $\operatorname{Ex}^F \equiv \forall A. (F^A \Rightarrow \operatorname{Err} + A)$
- The code of the interpreter is almost unchanged:

```
def run[F[_], A](extract: Ex[F])(prg: DSL[F, A]): Either[Err, A] =
  prg match {
    case b: Bind[F, _, A] ⇒ b match { case Bind(p, f) ⇒
            run(extract)(p).flatMap(f andThen run(extract))
    } // Here, the .flatMap is from Either.
    case Literal(a) ⇒ Right(a) // pure: A ⇒ Err + A
    case Ops(f) ⇒ extract(f)
}
```

- The code of run only uses flatMap and pure from Either
- ullet We can generalize to any other monad M^A instead of Either[Err, A]

The resulting construction:

- ullet Start with an "operations type constructor" F^A (often not a functor)
- Use DSL^{F,A} and interpreter run^{M,A} : $(\forall X.F^X \Rightarrow M^X) \Rightarrow DSL^{F,A} \Rightarrow M^A$
- Create a DSL program prg : DSL^{F,A} and an extractor $ex^X : F^X \Rightarrow M^X$
- Run the program with the extractor: run(ex)(prg); get a value M^A

The interpreter pattern VIII. Monadic DSLs: summary

- Begin with a number of operations, which are typically functions of fixed known types such as $A_1 \Rightarrow B_1$, $A_2 \Rightarrow B_2$ etc.
- Define a type constructor (typically not a functor) encapsulating all the operations as case classes, with or without type parameters

```
sealed trait F[A] case class Op1(a1: A1) extends F[B1] case class Op2(a1: A2) extends F[B2]
```

- Use DSL[F,A] with this F to write monadic DSL programs prg: DSL[F,A]
- ullet Choose a target monad M[A] and implement an extractor ex:F[A] \Rightarrow M[A]
- Run the program with the extractor, val res: M[A] = run(ex)(prg)

Further directions (out of scope for this chapter):

- May choose another monad N[A] and use interpreter M[A] ⇒ N[A]
 - ▶ E.g. transform into another monadic DSL to optimize, test, etc.
- Since DSL[F,A] has a monad API, we can use monad transformers on it
- Can combine two or more DSLs in a disjunction: $DSL^{F+G+H,A}$

Monad laws for DSL programs

Monad laws hold for DSL programs only after evaluating them

- Consider the law flm (pure) = id; both functions $DSL^{F,A} \Rightarrow DSL^{F,A}$
- ullet Apply both sides to some prg : $DSL^{F,A}$ and get the new value

```
prg.flatMap(pure) == Bind(prg, a ⇒ Literal(a))
```

- This new value is not equal to prg, so this monad law fails!
 - ▶ Other laws fail as well because operations never reduce anything
- After interpreting this program into a target monad M^A , the law holds:

```
run(ex)(prg).flatMap((a ⇒ Literal(a)) andThen run(ex))
== run(ex)(prg).flatMap(a ⇒ run(ex)(Literal(a))
== run(ex)(prg).flatMap(a ⇒ pure(a))
== run(ex)(prg)
```

- \blacktriangleright Here we have assumed that the laws hold for M^A
- ightharpoonup All other laws also hold after interpreting into a lawful monad M^A

The monad law violations are "not observable"

Free constructions in mathematics: Example I

- \bullet Consider the Russian letter μ (tsè) and the Chinese word 水 (shuï)
- We want to *multiply* ц by 水. Multiply how?
- Say, we want an associative (but noncommutative) product of them
 - ► So we want to define a *semigroup* that *contains* 以 and 水 as elements

 * while we still know nothing about 以 and 水
- Consider the set of all *unevaluated expressions* such as ц·水·水·ц·水
 - ► Here $\mathbf{q} \cdot \mathbf{x}$ is different from $\mathbf{x} \cdot \mathbf{q}$ but $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
- ullet All these expressions form a **free semigroup** generated by ц and x
 - ▶ This is the most unrestricted semigroup that contains ц and 水
- Example calculation: (水水)·(ц·水)·ц = 水·水·ц·水·ц

How to represent this as a data type:

- Tree encoding: the full expression tree: (((水,水),(ц,水)),ц)
 - ▶ Implement the operation $a \cdot b$ as pair constructor (easy)
- Reduced encoding, as a "smart" structure: List(水,水,ц,水,ц)
 - ▶ Implement $a \cdot b$ by concatenating the lists (more expensive)

Free constructions in mathematics: Example II

- ullet Want to define a product operation for *n*-dimensional vectors: ${f v}_1 \otimes {f v}_2$
- The ⊗ must be linear and distributive (but not commutative):

$$\begin{split} u_1 \otimes v_1 + (u_2 \otimes v_2 + u_3 \otimes v_3) &= (u_1 \otimes v_1 + u_2 \otimes v_2) + u_3 \otimes v_3 \\ u \otimes (a_1 v_1 + a_2 v_2) &= a_1 (u \otimes v_1) + a_2 (u \otimes v_2) \\ (a_1 v_1 + a_2 v_2) \otimes u &= a_1 (v_1 \otimes u) + a_2 (v_2 \otimes u) \end{split}$$

- ▶ We have such a product for 3-dimensional vectors only; ignore that
- Consider unevaluated expressions of the form $\mathbf{u}_1 \otimes \mathbf{v}_1 + \mathbf{u}_2 \otimes \mathbf{v}_2 + ...$
 - A free vector space generated by pairs of vectors
- Impose the equivalence relationships shown above
 - ► The result is known as the **tensor product**
- Tree encoding: full unevaluated expression tree
 - ▶ A list of any number of vector pairs $\sum_i \mathbf{u}_i \otimes \mathbf{v}_i$
- Reduced encoding: an $n \times n$ matrix
 - Reduced encoding requires proofs and more complex operations

Worked example I: Free semigroup

Implement a free semigroup FSIS generated by two types Int and String

- A value of FSIS can be an Int; it can also be a String
- If x, y are of type FSIS then so is x |+| y

```
sealed trait FSIS // tree encoding: full expression tree
case class Wrap1(x: Int) extends FSIS
case class Wrap2(x: String) extends FSIS
case class Comb(x: FSIS, y: FSIS) extends FSIS
```

- Short type notation: $FSIS \equiv Int + String + FSIS \times FSIS$
- ullet For a semigroup S and given Int $\Rightarrow S$ and String $\Rightarrow S$, map FSIS $\Rightarrow S$
- ullet Simplify and generalize this construction by setting $Z=\operatorname{Int}+\operatorname{String}$

```
▶ The tree encoding is FS^Z \equiv Z + FS^Z \times FS^Z
```

```
def |+|(x: FS[Z], y: FS[Z]): FS[Z] = Comb(x, y)
def run[S: Semigroup, Z](extract: Z \Rightarrow S): FS[Z] \Rightarrow S = \{
case Wrap(z) \Rightarrow extract(z)
case Comb(x, y) \Rightarrow run(extract)(x) |+| run(extract)(y)
} // Semigroup laws will hold after applying run().
```

- The reduced encoding is $FSR^Z \equiv Z \times List^Z$ (non-empty list of Z's)
 - x |+| y requires concatenating the lists, but run() is faster

Worked example II: Free monoid

Implement a free monoid FM[Z] generated by type Z

- A value of FM[Z] can be the empty value; it can also be a Z
- If x, y are of type FM[Z] then so is x |+| y

```
sealed trait FM[Z] // tree encoding
case class Empty[Z]() extends FM[Z]
case class Wrap[Z](z: Z) extends FM[Z]
case class Comb[Z](x: FM[Z], y: FM[Z]) extends FM[Z]
```

- Short type notation: $\mathsf{FM}^{\mathsf{Z}} \equiv 1 + \mathsf{Z} + \mathsf{FM}^{\mathsf{Z}} \times \mathsf{FM}^{\mathsf{Z}}$
- For a monoid M and given $Z \Rightarrow M$, map $FM^Z \Rightarrow M$

```
def |+|(x: FM[Z], y: FM[Z]): FM[Z] = Comb(x, y)
def run[M: Monoid, Z](extract: Z \Rightarrow M): FM[Z] \Rightarrow M = {
   case Empty() \Rightarrow Monoid[M].empty
   case Wrap(z) \Rightarrow extract(z)
   case Comb(x, y) \Rightarrow run(extract)(x) |+| run(extract)(y)
} // Monoid laws will hold after applying run().
```

- The reduced encoding is $FMR^Z \equiv List^Z$ (list of Z's)
 - ► Implementing |+| requires concatenating the lists
- Reduced encoding and tree encoding give identical results after run()

Mapping a free semigroup to different targets

What if we interpret FS^X into another free semigroup?

- Given $Y \Rightarrow Z$, can we map $FS^Y \Rightarrow FS^Z$?
 - ▶ Need to map $FS^Y \equiv Y + FS^Y \times FS^Y \Rightarrow Z + FS^Z \times FS^Z$
 - ▶ This is straightforward since FS^X is a functor in X:

```
def fmap[Y, Z](f: Y \Rightarrow Z): FS[Y] \Rightarrow FS[Z] = {
  case Wrap(y) \Rightarrow Wrap(f(y))
  case Comb(a, b) \Rightarrow Comb(fmap(f)(a), fmap(f)(b))
}
```

- Now we can use run to interpret $FS^X \Rightarrow FS^Y \Rightarrow FS^Z \Rightarrow S$, etc.
 - ► Functor laws hold for FS^X, so fmap is composable as usual
 - ► The "interpreter" commutes with fmap as well (naturality law):

$$\mathsf{FS}^X \xrightarrow{\mathsf{run}^S(f \circ g)^{:X \Rightarrow S}} \mathsf{S}$$

• Combine two free semigroups: FS^{X+Y} ; inject parts: $FS^X \Rightarrow FS^{X+Y}$

Church encoding I: Motivation

- Multiple target semigroups S_i require many "extractors" $ex_i : Z \Rightarrow S_i$
- Refactor extractors ex_i into evidence of a typeclass constraint on S_i

```
// Typeclass ExZ[S] has a single method, extract: Z \Rightarrow S. implicit val exZ: ExZ[MySemigroup] = { z \Rightarrow ... } def run[S: ExZ : Semigroup](fs: FS[Z]): S = fs match { case Wrap(z) \Rightarrow implicitly[ExZ[S]].extract(z) case Comb(x, y) \Rightarrow run(x) |+| run(y) }
```

• run() replaces case classes by fixed functions parameterized by S: ExZ; instead we can represent FS[Z] directly by such functions, for example:

```
 \begin{array}{lll} def \ wrap[S: ExZ](z: Z): \ S = implicitly[ExZ[S]].extract(z) \\ def \ x[S: ExZ: Semigroup]: \ S = wrap(1) \ |+| \ wrap(2) \\ \end{array}
```

• The type of x is $\forall S. (Z \Rightarrow S) \times (S \times S \Rightarrow S) \Rightarrow S$; an equivalent type is $\forall S. ((Z + S \times S) \Rightarrow S) \Rightarrow S$

- This is the "**Church encoding**" (of the free semigroup over *Z*)
- The Church encoding is based on the theorem $A \cong \forall X. (A \Rightarrow X) \Rightarrow X$
 - ▶ this *resembles* the type of the continuation monad, $(A \Rightarrow R) \Rightarrow R$
 - ightharpoonup but $\forall X$ makes the function fully generic, like a natural transformation

Church encoding II: Disjunction types

- Consider the Church encoding for the disjunction type P + Q
 - ▶ The encoding is $\forall X. (P + Q \Rightarrow X) \Rightarrow X \cong \forall X. (P \Rightarrow X) \Rightarrow (Q \Rightarrow X) \Rightarrow X$ trait Disj[P, Q] { def run[X] (cp: P \Rightarrow X) (cq: Q \Rightarrow X): X }
- Define some values of this type:

```
def left[P, Q](p: P) = new Disj[P, Q] { def run[X](cp: P \Rightarrow X)(cq: Q \Rightarrow X): X = cp(p) }
```

- Now we can implement the analog of the case expression simply as val result = disj.run {p ⇒ ...} {q ⇒ ...}
- This works in programming languages that have no disjunction types
 General recipe for implementing the Church encoding:

```
trait Blah { def run[X](cont: ... \Rightarrow X): X }
```

- For convenience, define a type class Ex describing the inner function:
 trait Ex[X] { def cp: P ⇒ X; def cq: Q ⇒ X }
 - ▶ Different methods of this class return X; convenient with disjunctions
- Church-encoded types have to be "run" for pattern-matching

Church encoding III: How it works

Why is the type $\operatorname{Ch}^A \equiv \forall X. (A \Rightarrow X) \Rightarrow X$ equivalent to the type A? trait $\operatorname{Ch}[A]$ { def run[X] (cont: $A \Rightarrow X$): X }

• If we have a value of A, we can get a Ch^A
def a2c[A](a: A): Ch[A] = new Ch[A] {
 def run[X](cont: A ⇒ X): X = cont(a)
}

```
id: (A \Rightarrow A) \xrightarrow{\text{ch.run}^A} A
\downarrow^{\text{fmap}_{\text{Reader}_A}(f)} \downarrow^f
f: (A \Rightarrow X) \xrightarrow{\text{ch.run}^X} X
```

- If we have a ch : Ch^A , we can get an a : Adef c2a[A](ch: Ch[A]): $A = ch.run[A](a \Rightarrow a)$
- The functions a2c and c2a are inverses of each other
 - ► To implement a value ch^{:Ch^A}, we must compute an $x^{:X}$ given $f^{:A\Rightarrow X}$, for any X, which requires having a value $a^{:A}$ available
- To show that ch = a2c(c2a(ch)), apply both sides to an f: A⇒X and get ch.run(f) = a2c(c2a(ch)).run(f) = f(c2a(ch)) = f(ch.run(a⇒a))
 - ► This is naturality of ch.run as a transformation between Reader and Id
 - * Naturality of ch.run follows from parametricity of its code
 - ▶ It is straightforward to compute c2a(a2c(a)) = identity(a) = a
- Church encoding satisfies laws: it is built up from parts of run method

Worked example III: Free functor I

- The Functor type class has one method, fmap: $(Z \Rightarrow A) \Rightarrow F^Z \Rightarrow F^A$
- The tree encoding of a free functor over F^{\bullet} needs two case classes:

```
sealed trait FF[F[_], A] case class Wrap[F[_], A](fa: F[A]) extends FF[F, A] case class Fmap[F[_], A, Z](f: Z \Rightarrow A)(ffz: FF[F, Z]) extends FF[F, A]
```

• The constructor F_{map} has an extra type parameter Z, which is "hidden"

Consider a simple example of this:

```
sealed trait Q[A]; case class QZ[A, Z](a: A, z: Z) extends Q[A]
```

• Need to use specific type Z when constructing a value of Q[A], e.g.,

```
val q: Q[Int] = QZ[Int, String](123, "abc")
```

- ▶ The type Z is hidden inside $q : Q^{Int}$; all we know is that Z "exists"
- Type notation for this: $Q^A \equiv \exists Z.A \times Z$
 - ► The existential quantifier applies to the "hidden" type parameter
 - ▶ The constructor QZ has type $\exists Z. (A \times Z \Rightarrow Q^A)$
 - ▶ It is not $\forall Z$ because a specific Z is used when building up a value
 - ▶ The code does not show $\exists Z$ explicitly! We need to keep track of that

Encoding with an existential type: How it works

Show that
$$P^A \equiv \exists Z.Z \times (Z \Rightarrow A) \cong A$$

sealed trait P[A]; case class $PZ[A, Z](z: Z, f: Z \Rightarrow A)$ extends P[A]

- How to construct a value of type P^A for a given A?
 - ▶ Have a function $Z \Rightarrow A$ and a Z, construct $Z \times (Z \Rightarrow A)$
 - ▶ Particular case: $Z \equiv A$, have a : A and build $a \times id^{:A \Rightarrow A}$ def a2p[A](a: A): P[A] = PZ[A, A](a, identity)
- Cannot extract Z out of P^A the type Z is hidden
- Can extract A out of P^A do not need to know Z

def p2a[A]: P[A]
$$\Rightarrow$$
 A = { case PZ(z, f) \Rightarrow f(z) }

- Cannot transform P^A into anything else other than A
- A value of type P^A is observable only via p2a
 - Therefore the functions a2p and p2a are "observational" inverses (i.e. we need to use p2a in order to compare values of type P^A)

If
$$F^{\bullet}$$
 is a functor then $Q^A \equiv \exists Z.F^Z \times (Z \Rightarrow A) \cong F^A$

- A value of Q^A can be observed only by extracting an F^A from it
- \bullet Can define \$\frac{f2q}{2}\$ and \$q2f\$ and show that they are observational inverses

Worked example III: Free functor II

- Tree encoding of FF has type $FF^{F^{\bullet},A} \equiv F^A + \exists Z.FF^{F^{\bullet},Z} \times (Z \Rightarrow A)$
- Derivation of the reduced encoding:
 - ightharpoonup A value of type $FF^{F^{\bullet},A}$ must be of the form

$$\exists Z_1.\exists Z_2...\exists Z_n. F^{Z_n} \times (Z_n \Rightarrow Z_{n-1}) \times ... \times (Z_2 \Rightarrow Z_1) \times (Z_1 \Rightarrow A)$$

- ▶ The functions $Z_1 \Rightarrow A$, $Z_2 \Rightarrow Z_1$, etc., must be composed associatively
- ▶ The equivalent type is $\exists Z_n.F^{Z_n} \times (Z_n \Rightarrow A)$
- Reduced encoding: FreeF^{F^{\bullet} , $A \equiv \exists Z.F^Z \times (Z \Rightarrow A)$}
 - ▶ Substituted F^Z instead of FreeF^{F•,Z} and eliminated the case F^A
 - ▶ The reduced encoding is non-recursive
 - Requires a proof that this encoding is equivalent to the tree encoding
 - ▶ If F^{\bullet} is already a functor, can show $F^A \cong \exists Z.F^Z \times (Z \Rightarrow A)$
- Church encoding (starting from the tree encoding):

$$\mathsf{FreeF}^{F^{\bullet},A} \equiv \forall P^{\bullet}. \left(\forall C. \left(F^{C} + \exists Z. P^{Z} \times (Z \Rightarrow C) \right) \rightsquigarrow P^{C} \right) \Rightarrow P^{A}$$

- ▶ The structure of the type expression: $\forall P^{\bullet}. (\forall C.(...)^{C} \leadsto P^{C}) \Rightarrow P^{A}$
 - ★ Cannot move $\forall C$ or $\exists Z$ to the outside of the type expression!

Church encoding IV: Recursive types and type constructors

- Consider the recursive type $P \equiv Z + P \times P$ (tree with Z-valued leaves)
 - ▶ The Church encoding is $\forall X. ((Z + X \times X) \Rightarrow X) \Rightarrow X$
 - ► This is *non-recursive*: the inductive use of *P* is replaced by *X*
- Generalize to recursive type $P \equiv S^P$ where S^{\bullet} is a "induction functor":
 - ▶ The Church encoding of *P* is $\forall X. (S^X \Rightarrow X) \Rightarrow X$
 - ★ Church encoding of recursive types is non-recursive
 - ★ Example: Church encoding of List[Int]
- Church encoding of a type constructor P[•]:
 - Notation: P[●] is a type function; Scala syntax is P[_]
 - ▶ The Church encoding is $Ch^{P^{\bullet},A} = \forall F^{\bullet}. (\forall X.P^X \Rightarrow F^X) \Rightarrow F^A$
 - ▶ Note: $\forall X.P^X \Rightarrow F^X$ or $P^{\bullet} \sim F^{\bullet}$ resembles a natural transformation
 - \star Except that P^{\bullet} and F^{\bullet} are not necessarily functors, so no naturality law
 - Example: Church encoding of Option[_]
- Church encoding of a *recursively* defined type constructor P^{\bullet} :
 - ▶ Definition: $P^A \equiv S^{P^{\bullet},A}$ where $S^{P^{\bullet},A}$ describes the "induction principle"
 - ▶ Notation: $S^{\bullet^{\bullet},A}$ is a higher-order type function; Scala syntax: $S[_[],A]$
 - * Example: List^A $\equiv 1 + A \times \text{List}^A \equiv S^{\text{List}^{\bullet},A}$ where $S^{P^{\bullet},A} \equiv 1 + A \times P^A$
 - ▶ The Church encoding of P^A is $Ch^{P^{\bullet},A} = \forall F^{\bullet}.(S^{F^{\bullet}} \leadsto F^{\bullet}) \Rightarrow F^A$
 - ★ The Church encoding of List[_] is non-recursive

Church encoding V: Type classes

• Look at the Church encoding of the free semigroup:

$$\mathsf{ChFS}^{\mathsf{Z}} \equiv \forall X. \, (\mathsf{Z} \Rightarrow \mathsf{X}) \times (\mathsf{X} \times \mathsf{X} \Rightarrow \mathsf{X}) \Rightarrow \mathsf{X}$$

- If X is constrained to the Semigroup typeclass, we will already have a value $X \times X \Rightarrow X$, so we can omit it: $ChFS^Z = \forall X^{:Semigroup}.(Z \Rightarrow X) \Rightarrow X$
 - ▶ The "induction functor" for "semigroup over Z" is SemiG^X $\equiv Z + X \times X$
 - ▶ So the Church encoding is $\forall X. (SemiG^X \Rightarrow X) \Rightarrow X$

Generalize to arbitrary type classes:

- Type class C is defined by its operations $C^X \Rightarrow X$ (with a suitable C^{\bullet})
 - ightharpoonup call C^{ullet} the **method functor** of the inductive typeclass C
- Tree encoding of "free C over Z" is recursive, FreeC^Z $\equiv Z + C^{\text{FreeC}^Z}$
- Church encoding is FreeC^Z $\equiv \forall X. (Z + C^X \Rightarrow X) \Rightarrow X$
 - ▶ Equivalently, FreeC^Z $\equiv \forall X^{:C}.(Z \Rightarrow X) \Rightarrow X$
- Laws of the typeclass are satisfied automatically after "running"
- Works similarly for type constructors: operations $C^{P^{\bullet},A} \Rightarrow P^{A}$
- Free typeclass C over F^{\bullet} is $FreeC^{F^{\bullet},A} \equiv \forall P^{\bullet:C}. (F^{\bullet} \leadsto P^{\bullet}) \Rightarrow P^{A}$

Properties of free type constructions

Generalizing from our examples so far:

- We "enriched" Z to a monoid FM^Z , and F^A to a monad $DSL^{F,A}$
 - ► The "enrichment" adds case classes representing the needed operations
 - \triangleright Works for a generating type Z and for a generating type constructor F^A
- Obtain a free type construction, which performs no computations
 - ► FM^Z wraps Z in "just enough" stuff to make it look like a monoid
 - FreeF $^{F^{\bullet},\dot{A}}$ wraps \check{F}^{A} in "just enough" stuff to make it look like a functor
- A value of a free construction can be "run" to yield non-free values Questions:
 - Can we construct a free typeclass C over any type constructor F^A ?
 - ► Yes, with typeclasses: (contra)functor, filterable, monad, applicative
 - Which of the possible encodings to use?
 - ► Tree encoding, reduced encodings, Church encoding
 - What are the laws for the Free $C^{F,A}$ "free instance of C over F"?
 - ▶ For all F^{\bullet} , must have wrap [A]: $F^{A} \Rightarrow \text{Free} C^{F,A}$ or $F^{\bullet} \leadsto \text{Free} C^{F,\bullet}$
 - ▶ For all M^{\bullet} : C, must have run: $(F^{\bullet} \leadsto M^{\bullet}) \Rightarrow \text{Free}C^{F, \bullet} \leadsto M^{\bullet}$
 - ▶ The laws of typeclass C must hold after interpreting into an M^{\bullet} : C
 - Given any t: $F^{\bullet} \sim G^{\bullet}$, must have fmap(t): Free $C^{F, \bullet} \sim FreeC^{G, \bullet}$

Recipes for encoding free typeclass instances

- ullet Build a free instance of typeclass C over F^ullet , as a type constructor P^ullet
 - ▶ The typeclass *C* can be functor, contrafunctor, monad, etc.
- Assume that C has methods m_1 , m_2 , ..., with type signatures $m_1: Q_1^{P^{\bullet},A} \Rightarrow P^A$, $m_2: Q_2^{P^{\bullet},A} \Rightarrow P^A$, etc., where $Q_i^{P^{\bullet},A}$ are covariant in P^{\bullet}
 - ▶ Inductive typeclass is defined via a methods functor, $S^{P^{\bullet}} \sim P^{\bullet}$
- The tree encoded FC^A is a disjunction defined recursively by

$$FC^{A} \equiv F^{A} + Q_{1}^{FC^{\bullet},A} + Q_{2}^{FC^{\bullet},A} + \dots$$

```
sealed trait FC[A]; case class Wrap[A](fa: F[A]) extends FC[A]
case class Q1[A](...) extends FC[A]
case class Q2[A](...) extends FC[A]; ...
```

- ▶ Any type parameters within *Q_i* are then existentially quantified
- ▶ run() maps $F^{\bullet} \sim M^{\bullet}$ in the disjunction and recursively for other parts
- Derive a reduced encoding via reasoning about possible values of FC^A and by taking into account the laws of the typeclass C
- A Church encoding can use the tree encoding or the reduced encoding
 - ► Church encoding is "automatically reduced", but performance may differ

Properties of inductive typeclasses

If a typeclass C is inductive with methods $C^X \Rightarrow X$ then:

- A free instance of C over Z can be tree-encoded as $FreeC^Z \equiv Z + C^{FreeC^Z}$
 - ► All inductive typeclasses have free instances, FreeC^Z
- If $P^{:C}$ and $Q^{:C}$ then $P \times Q$ and $Z \Rightarrow P$ also belong to typeclass C
 - but not necessarily P + Q or $Z \times P$
 - ▶ Proof: can implement $(C^P \Rightarrow P) \times (C^Q \Rightarrow Q) \Rightarrow C^{P \times Q} \Rightarrow P \times Q$ and $(C^P \Rightarrow P) \Rightarrow C^{Z \Rightarrow P} \Rightarrow Z \Rightarrow P$, but cannot implement $(...) \Rightarrow P + Q$
- Analogous properties hold for type constructor typeclasses
 - ▶ Methods described as $C^{F^{\bullet},A} \Rightarrow F^{A}$ with type constructor parameter F^{\bullet}

What typeclasses cannot be tree-encoded (or have no "free" instances)?

- Any typeclass with a method not ultimately returning a value of P^A
 - ▶ Example: a typeclass with methods pt : $A \Rightarrow P^A$ and ex : $P^A \Rightarrow A$
 - Such typeclasses are not inductive
 - ▶ Typeclasses with methods of the form $P^A \Rightarrow ...$ are **co-inductive**

Worked example IV: Free contrafunctor

- Method contramap : $C^A \times (B \Rightarrow A) \Rightarrow C^B$
- Tree encoding: FreeCF^{F^{\bullet} , $B \equiv F^B + \exists A$.FreeCF^{F^{\bullet} , $A \times (B \Rightarrow A)$}}
- Reduced encoding: FreeCF^{F^{\bullet} , $B \equiv \exists A.F^A \times (B \Rightarrow A)$}
 - ► A value of type FreeCF^{F•,B} must be of the form

$$\exists Z_1.\exists Z_2...\exists Z_n. F^{Z_1}\times (B\Rightarrow Z_n) \, (Z_n\Rightarrow Z_{n-1})\times ...\times (Z_2\Rightarrow Z_1)$$

- ▶ The functions $B \Rightarrow Z_n$, $Z_n \Rightarrow Z_{n-1}$, etc., are composed associatively
- ▶ The equivalent type is $\exists Z_1.F^{Z_1} \times (B \Rightarrow Z_1)$
- The reduced encoding is non-recursive
- Example: $F^A \equiv A$, "interpret" into the contrafunctor $C^A \equiv A \Rightarrow String$ def prefixLog[A](p: A): A $\Rightarrow String = a \Rightarrow p.toString + a.toString$
- If F^{\bullet} is already a contrafunctor then FreeCF $^{F^{\bullet},A} \cong F^{A}$

Worked example V: Free pointed functor

Over an arbitrary type constructor F^{\bullet} :

- Pointed functor methods pt : $A \Rightarrow P^A$ and map : $P^A \times (A \Rightarrow B) \Rightarrow P^B$
- Tree encoding: FreeP^{F•,A} $\equiv A + F^A + \exists Z$.FreeP^{F•,Z} \times ($Z \Rightarrow A$)
- Derivation of the reduced encoding:
 - ▶ The tree encoding of a value FreeP $^{F^{\bullet},A}$ is either

$$\exists Z_1.\exists Z_2...\exists Z_n. F^{Z_n} \times (Z_n \Rightarrow Z_{n-1}) \times ... \times (Z_2 \Rightarrow Z_1) \times (Z_1 \Rightarrow A)$$

or

$$\exists Z_1.\exists Z_2...\exists Z_n.Z_n\times (Z_n\Rightarrow Z_{n-1})\times ...\times (Z_2\Rightarrow Z_1)\times (Z_1\Rightarrow A)$$

- ▶ Compose all functions by associativity; one function $Z_n \Rightarrow A$ remains
- ▶ The case $\exists Z_n.Z_n \times (Z_n \Rightarrow A)$ is equivalent to just A
- Reduced encoding: FreeP^{e^{\bullet}}, $A \equiv A + \exists Z.F^Z \times (Z \Rightarrow A)$, non-recursive
- This reuses the free functor as FreeP^{F^{\bullet} ,A} = A + FreeF^{F^{\bullet} ,A}

If the type constructor F^{\bullet} is already a functor, FreeF $^{F^{\bullet},A} \cong F^{A}$ and so:

- Free pointed functor over a functor F^{\bullet} is simplified: $A + F^{A}$
- If F[•] is already a pointed functor, need not use the free construction
 - If we do, we will have FreeP $^{F^{\bullet},A} \ncong F^{A}$
 - only functors and contrafunctors do not change under "free"

Worked example VI: Free filterable functor

• (See Chapter 6.) Methods:

$$\mathsf{map}: F^A \Rightarrow (A \Rightarrow B) \Rightarrow F^B$$
$$\mathsf{mapOpt}: F^A \Rightarrow (A \Rightarrow 1 + B) \Rightarrow F^B$$

- We can recover map from mapOpt, so we keep only mapOpt
- Tree encoding: FreeFi^{F^{\bullet} , $A \equiv F^A + \exists Z$.FreeFi^{F^{\bullet} , $Z \times (Z \Rightarrow 1 + A)$}}
 - ▶ If F^{\bullet} is already a functor, can simplify the tree encoding using the identity $\exists Z.P^Z \times (Z \Rightarrow 1+A) \cong P^A$ and obtain FreeFi $^{F^{\bullet},A} \equiv F^A + \text{FreeFi}^{F^{\bullet},1+A}$, which is equivalent to FreeFi $^{F^{\bullet},A} = F^A + F^{1+A} + F^{1+1+A} + \dots$
- Reduced encoding: FreeFi^{F•,A} $\equiv \exists Z.F^Z \times (Z \Rightarrow 1 + A)$, non-recursive
 - ▶ Derivation: $\forall Z_1...\forall Z_n.F^{Z_n} \times (Z_n \Rightarrow 1 + Z_{n-1}) \times ... \times (Z_1 \Rightarrow 1 + A)$ is simplified using the laws of mapOpt and Kleisli composition, and yields $\exists Z_n.F^{Z_n} \times (Z_n \Rightarrow 1 + A)$. Encode F^A as $\exists Z.F^Z \times (Z \Rightarrow 0 + Z)$.
 - ▶ If F^{\bullet} is already a functor, the reduced encoding is FreeFi^{F^{\bullet} ,A} = F^{1+A}
 - Free filterable over a filterable functor F^{\bullet} is not equivalent to F^{\bullet}
- Free filterable contrafunctor is constructed in a similar way

Worked example VII: Free monad

Methods:

pure :
$$A \Rightarrow F^A$$

$$\mathsf{flatMap}: F^A \Rightarrow (A \Rightarrow F^B) \Rightarrow F^B$$

- Can recover map from flatMap and pure, so we keep only flatMap Tree encoding: FreeM^{F^{\bullet} , $A \equiv F^A + A + \exists Z$. FreeM^{F^{\bullet} , $Z \times (Z \Rightarrow \text{FreeM}^{F^{\bullet},A})$}}
- Derivation of reduced encoding:
 - ▶ can simplify $A \times (A \Rightarrow \mathsf{FreeM}^{F^{\bullet},B}) \cong \mathsf{FreeM}^{F^{\bullet},B}$
 - ▶ use associativity to replace FreeM^A × (A \Rightarrow FreeM^B) × (B \Rightarrow FreeM^C) by FreeM^A \times (A \Rightarrow FreeM^B \times (B \Rightarrow FreeM^C))
 - ▶ therefore we can replace $\exists Z. \text{FreeM}^{F^{\bullet}, Z} \times ...$ by $\exists Z. F^{Z} \times ...$
- Reduced encoding: FreeM^{F^{\bullet},A} $\equiv A + \exists Z.F^Z \times (Z \Rightarrow \text{FreeM}^{F^{\bullet},A})$
- "Final Tagless style" means "Church encoding of free monad over F•"
- Free monad over a functor F^{\bullet} is $FreeM^{F^{\bullet},A} \equiv A + F^{FreeM^{F^{\bullet},A}}$
 - ▶ Free monad FreeM $^{M^{\bullet}, \bullet}$ over a monad M^{\bullet} is not equivalent to M^{\bullet}
- Free monad over a pointed functor F^{\bullet} is $FreeM^{F^{\bullet},A} \equiv F^A + F^{FreeM^{F^{\bullet},A}}$
 - ▶ start from half-reduced encoding $F^A + \exists Z.F^Z \times (Z \Rightarrow \mathsf{FreeM}^{F^{\bullet},A})$
 - ▶ replace the existential type by an equivalent type F^{FreeMF•,A}

Worked example VIII: Free applicative functor

Methods:

pure :
$$A \Rightarrow F^A$$

ap : $F^A \Rightarrow F^{A \Rightarrow B} \Rightarrow F^B$

- We can recover map from ap and pure, so we omit map
- Tree encoding: FreeAp^{F^{\bullet} , $A \equiv F^A + A + \exists Z$. FreeAp^{F^{\bullet} , $Z \times F$} FreeAp^{F^{\bullet} , $Z \Rightarrow A$}}
- Reduced encoding: FreeAp^{F^{\bullet} , $A \equiv A + \exists Z.F^Z \times \text{FreeAp}^{F^{\bullet},Z \Rightarrow A}$}
 - ▶ Derivation: a FreeAp^A is either $\exists Z_1...\exists Z_n.Z_1 \times \operatorname{FreeAp}^{Z_1 \Rightarrow Z_2} \times ...$ or $\exists Z_1...\exists Z_n.F^{Z_1} \times \operatorname{FreeAp}^{Z_1 \Rightarrow Z_2} \times ...$; encode $Z_1 \times \operatorname{FreeAp}^{Z_1 \Rightarrow Z_2}$ equivalently as $\operatorname{FreeAp}^{Z_1 \Rightarrow Z_2} \times ((Z_1 \Rightarrow Z_2) \Rightarrow Z_2)$ using the identity law; so the first FreeAp^Z is always F^A , or we have a pure value
- Free applicative over a functor F[•]:

$$\mathsf{FreeAp}^{F^{ullet},A} \equiv A + \mathsf{FreeZ}^{F^{ullet},A}$$

 $\mathsf{FreeZ}^{F^{ullet},A} \equiv F^A + \exists Z.F^Z \times \mathsf{FreeZ}^{F^{ullet},Z\Rightarrow A}$

- ► FreeZ^{F•},• is the reduced encoding of "free zippable" (no pure)
- FreeAp $^{F^{\bullet}, \bullet}$ over an applicative functor F^{\bullet} is not equivalent to F^{\bullet}

Laws for free typeclass constructions

Consider an inductive typeclass C with methods $C^A \Rightarrow A$ Define a free instance of C over Z recursively, FreeC $^Z \equiv Z + C^{\mathsf{FreeC}^Z}$

- FreeC^Z has an instance of C, i.e. we can implement $C^{FreeC^Z} \Rightarrow FreeC^Z$
- FreeC^Z is a functor in Z; $fmap_{FreeC} : (Y \Rightarrow Z) \Rightarrow FreeC^Y \Rightarrow FreeC^Z$
- For a $P^{:C}$ we can implement the functions

$$\operatorname{run}^{P}: (Z \Rightarrow P) \Rightarrow \operatorname{FreeC}^{Z} \Rightarrow P$$

$$\operatorname{wrap}: Z \Rightarrow \operatorname{FreeC}^{Z}$$

$$\operatorname{run}^{P}: (Z \Rightarrow P) \Rightarrow \operatorname{FreeC}^{Z} \Rightarrow P$$

$$\operatorname{run}^{P}: (Z \Rightarrow P) \Rightarrow \operatorname{FreeC}^{Z} \Rightarrow P$$

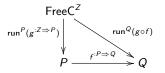
$$\operatorname{fmap} f^{:Y \Rightarrow Z} \downarrow \qquad \operatorname{run}(f \circ g)$$

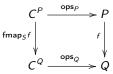
$$\operatorname{FreeC}^{Z} \Rightarrow P$$

Law 1: $\operatorname{run}(\operatorname{wrap}) = \operatorname{id}$; $\operatorname{law} 2$: $\operatorname{fmap} f \circ \operatorname{run} g = \operatorname{run}(f \circ g)$ (naturality of run)

• For any $P^{:C}$, $Q^{:C}$, $g^{:Z\Rightarrow P}$, and a typeclass-preserving $f^{:P\Rightarrow Q}$, we have

$$\operatorname{\mathsf{run}}^{\mathsf{P}}(g) \circ f = \operatorname{\mathsf{run}}^{\mathsf{Q}}(g \circ f)$$
 — "universal property" of $\operatorname{\mathsf{run}}$





• $f^{:P\Rightarrow Q}$ preserves typeclass C if the diagram on the right commutes

Combining the generating constructors in a free typeclass

- Consider FreeC^Z for an inductive typeclass C with methods $C^X \Rightarrow X$
- We would like to combine generating constructors Z_1 , Z_2 , etc.
 - ▶ In a monadic DSL combine different operations defined separately
 - ★ Note: monads do not compose in general
- To combine generators, use FreeC $^{Z_1+Z_2}$; an "instance over Z_1 and Z_2 "
 - but need to inject parts into disjunction, which is cumbersome
- Church encoding makes this easier to manage:
 - ▶ FreeC^Z $\equiv \forall X. (Z \Rightarrow X) \times (C^X \Rightarrow X) \Rightarrow X$ and then

$$\mathsf{FreeC}^{Z_1 + Z_2} \equiv \forall X. \big(Z_1 \Rightarrow X\big) \times \big(Z_2 \Rightarrow X\big) \times \big(C^X \Rightarrow X\big) \Rightarrow X$$

- ▶ Encode the functions $Z_i \Rightarrow X$ via typeclasses ExZ1, ExZ2, etc., where typeclass ExZ1 has method $Z_1 \Rightarrow X$, etc.
- Then

$$\mathsf{FreeC}^{Z_{\mathbf{1}} + Z_{\mathbf{2}}} = \forall X^{:E_{Z_{\mathbf{1}}}:E_{Z_{\mathbf{2}}}}. (C^X \Rightarrow X) \Rightarrow X$$

or equivalently

$$FreeC^{Z_1+Z_2} = \forall X^{:C:E_{Z_1}:E_{Z_2}}.X$$

- ▶ The code is easier to maintain
- ullet This works for all typeclasses C and any number of generators Z_i

Combining different free typeclasses

To combine free instances of different typeclasses C_1 and C_2 :

- Option 1: use functor composition, $FreeC_{12}^Z \equiv FreeC_1^{FreeC_2^Z}$
 - Order of composition matters!
 - ▶ Operations of C_2 need to be lifted into C_1
 - Works only for positive inductive typeclasses
- Option 2: use disjunction of method functors, $C^X \equiv C_1^X + C_2^X$, and build the free typeclass instance using C^X
 - ▶ Church encoding: FreeC^Z₁₂ $\equiv \forall X. (Z \Rightarrow X) \times (C_1^X + C_2^X \Rightarrow X) \Rightarrow X$
- Example 1: C_1 is functor, C_2 is contrafunctor
 - Interpret a free functor/contrafunctor into a profunctor
- Example 2: C_1 is monad, C_2 is applicative functor
 - ▶ Interpret into a monad that has an optimized zip implementation
 - ► Example: interpret into Future and convert zip into parallel execution
 - ► Each zip creates parallel branch, each flatMap creates sequential chain

Exercises

- ① Implement a free semigroup generated by a type Z in the tree encoding and in the reduced encoding. Show that the semigroup laws hold for the reduced encoding but not for the tree encoding before interpreting into a lawful semigroup S.
- ② Type P is of typeclass Mod_L (called "L-module") if a fixed monoid L "acts" on P via $\mathsf{act} \colon L \Rightarrow P \Rightarrow P$, with laws $\mathsf{act} \: x \circ \mathsf{act} \: y = \mathsf{act} \: (x \circ y)$ and $\mathsf{act} \: (1^{:L}) = \mathsf{id}$. Show that Mod_L is an inductive typeclass. Implement a free L-module over a type Z.
- Implement a monadic DSL with operations put: $A \Rightarrow 1$ and get: A; run examples.
- **1** Implement the Church encoding of the type constructor $P^A \equiv \text{Int} + A \times A$. For the resulting type constructor, implement a **Functor** instance.
- **5** Describe the monoid type class via a method functor C^{\bullet} (such that the monoid's operations are combined into the type $S^M \Rightarrow M$). Using S^{\bullet} , implement the free monoid over a type Z in the Church encoding.
- **3** Assuming that F^{\bullet} is a functor, define $Q^A \equiv \exists Z.F^Z \times (Z \Rightarrow A)$ and implement f2q: $F^A \Rightarrow Q^A$ and q2f: $Q^A \Rightarrow F^A$. Show that these functions are natural transformations, and that they are inverses of each other "observationally", i.e. after applying q2f in order to compare values of Q^A .
- Show: $\forall X.X = 0$; $\exists Z.Z \cong 1$; $\exists Z.Z \times A \cong A$; $\forall A. (A \times A \times A \Rightarrow A) \cong 1 + 1 + 1$.
- Oerive a reduced encoding for a free applicative functor over a pointed functor.
- **9** Implement a "free pointed filterable" typeclass (combining pointed and filterable) over a type constructor F^{\bullet} in the tree encoding. Derive a reduced encoding. Simplify these encodings when F^{\bullet} is already a functor.