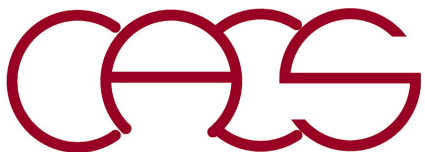


Newton Method for Root Finding

Aiichiro Nakano

*Collaboratory for Advanced Computing & Simulations
Department of Computer Science
Department of Physics & Astronomy
Department of Quantitative & Computational Biology
University of Southern California*

Email: anakano@usc.edu



Chemical Potential

- Fermi distribution**

$$N_v = f(\varepsilon_v) = \frac{2}{\exp((\varepsilon_v - \mu)/k_B T) + 1}$$

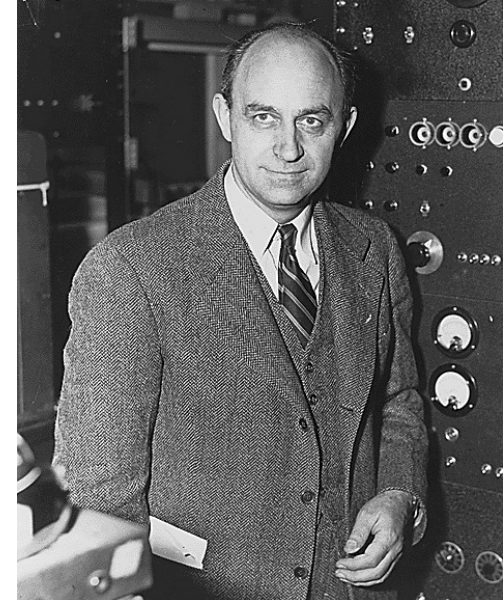
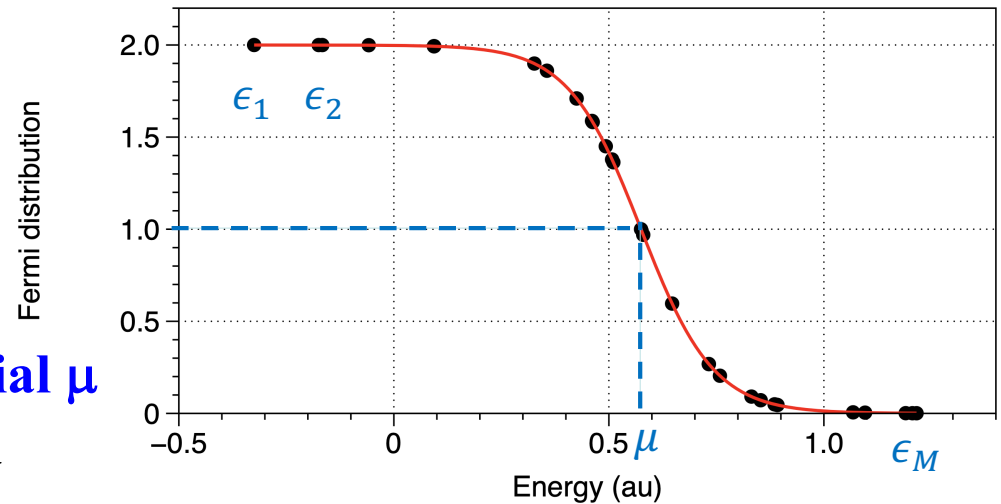
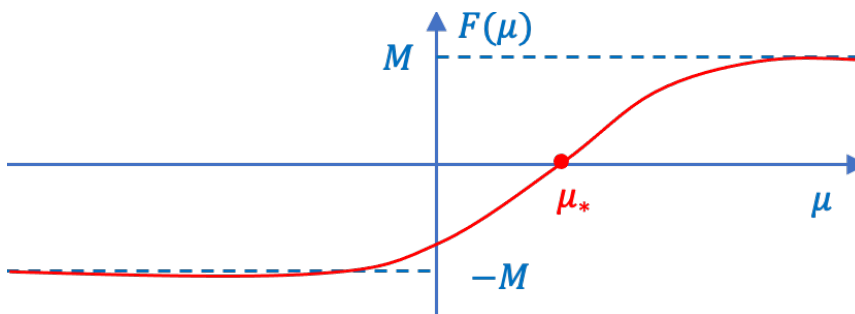
- Determination of chemical potential μ**

$$\sum_v N_v = \sum_v \frac{2}{\exp((\varepsilon_v - \mu)/k_B T) + 1} = M$$

Total # of electrons
 $M = 4n_{\text{Atom}}$ for Si
valence electrons

- Root finding**

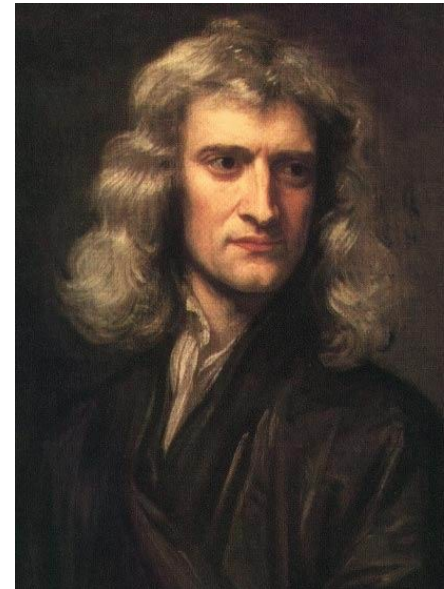
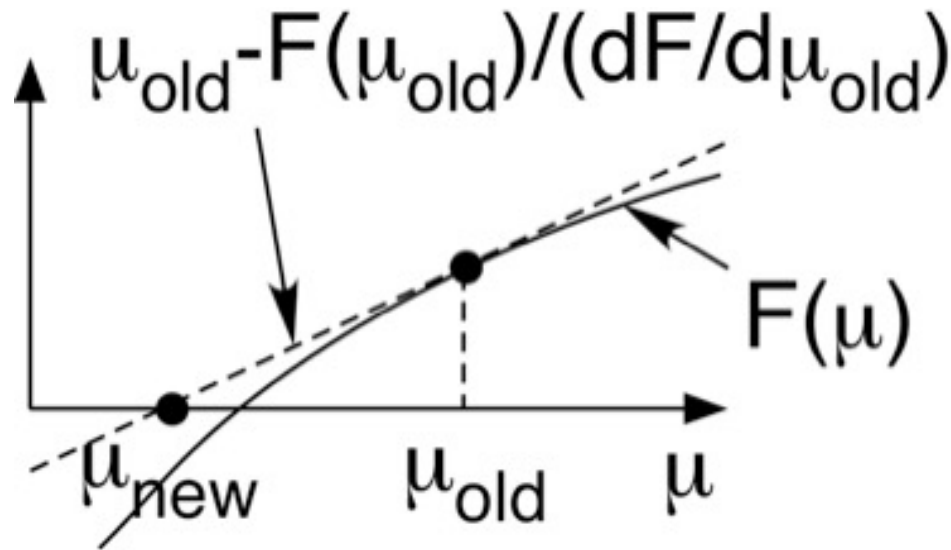
$$F(\mu) = \sum_v \frac{2}{\exp((\varepsilon_v - \mu)/k_B T) + 1} - M = 0$$



Newton Method

- Repeated linear approximation

$$F(\mu) \cong F(\mu_{\text{old}}) + \left. \frac{dF}{d\mu} \right|_{\mu=\mu_{\text{old}}} (\mu - \mu_{\text{old}}) = 0 \rightarrow \mu_{\text{new}} = \mu_{\text{old}} - \frac{F(\mu_{\text{old}})}{dF/d\mu|_{\mu=\mu_{\text{old}}}}$$



- Algorithm

1. Begin with an initial guess, μ , of the root
2. Repeat the recursion

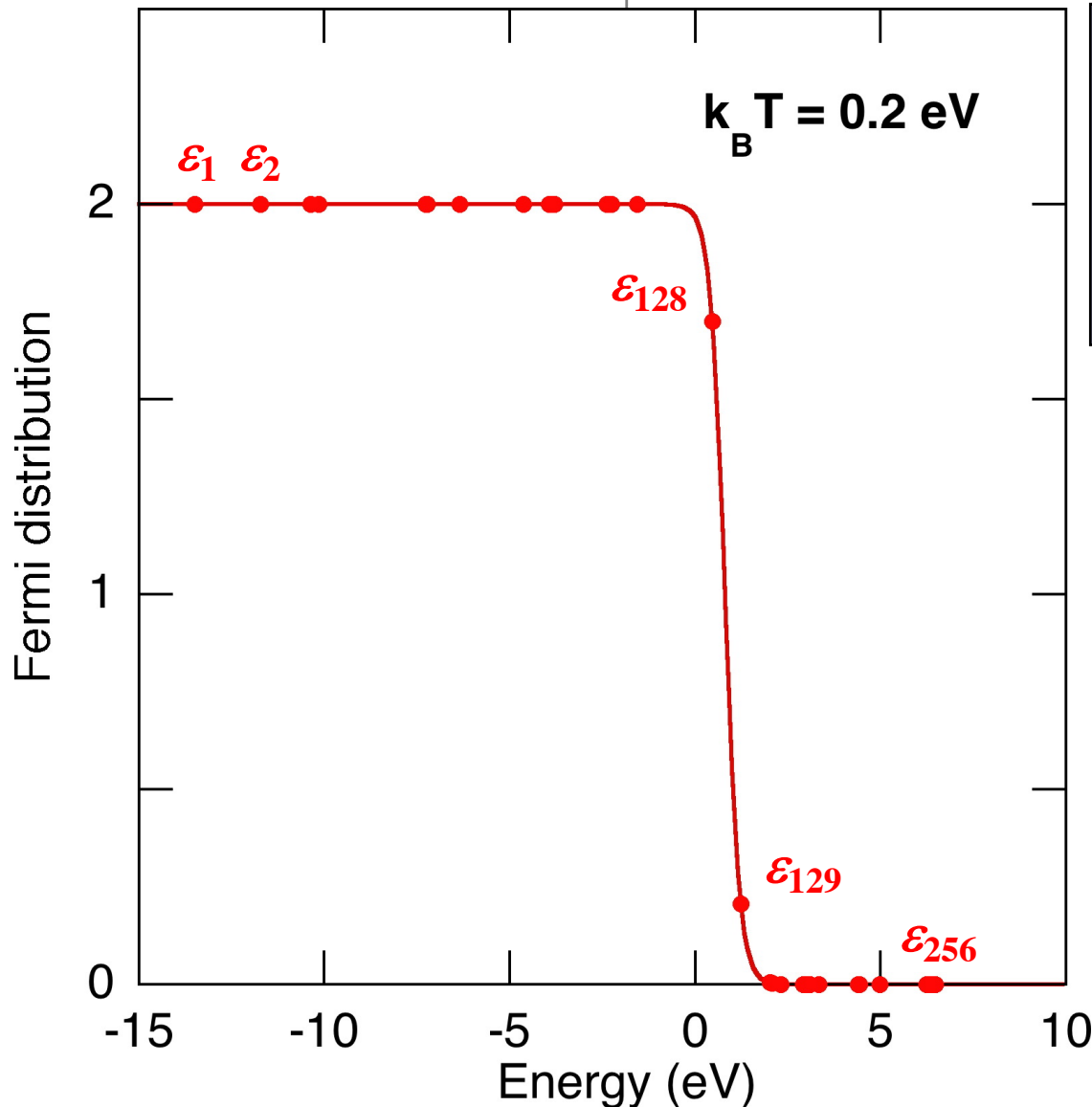
$$\mu \leftarrow \mu - \frac{F(\mu)}{dF/d\mu}$$

until the difference, $|F/(dF/d\mu)|$, between successive approximations becomes less than the prescribed error tolerance, μ_{tol}

Example: Silicon Crystal

- Tight-binding energy eigenvalues for $M = 4 \times 64 = 256$

$$\mu = 0.8082659 \text{ [eV]}$$



1. $\mu \leftarrow \mu_{\text{guess}} = \epsilon_M/2$

2. Repeat

$$\mu \leftarrow \mu - \frac{F(\mu)}{dF/d\mu}$$

until $|F/(dF/d\mu)| < \mu_{\text{tol}} = 10^{-10}$

$$F(\mu) = \sum_v \frac{2}{\exp((\epsilon_v - \mu)/k_B T) + 1} - M$$

$$dF/d\mu = ?$$