

# Challenges and Solutions to the Student Dropout Prediction Problem in Online Courses

*Bardh Prenkaj, Giovanni Stilo and Lorenzo Madeddu*

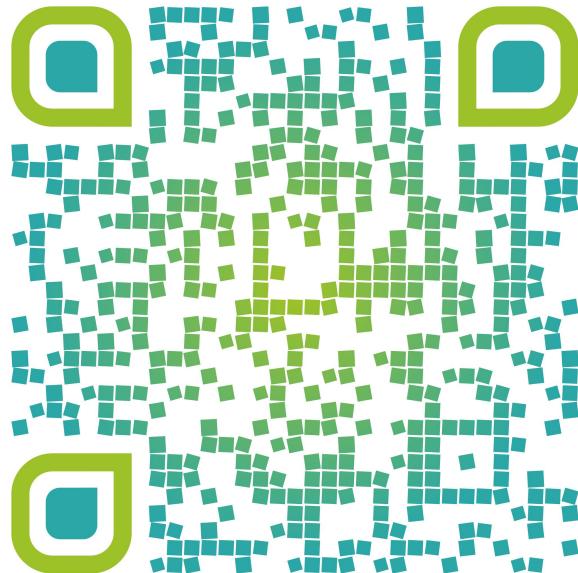


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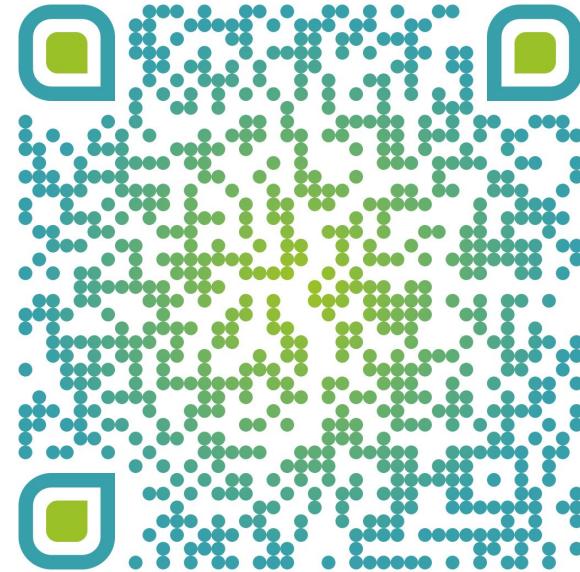


# Online Resources

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# **Part Zero**

## Tutors' Presentation and Tutorial Roadmap

# Tutors Biography

*Bardh Prenkaj*

- PhD in Machine Learning
- Winner of a co-founded scholarship in e-learning analytics
- First author of an exhaustive survey on SDP [1]
- Research interests:
  - Machine Learning
  - Temporal Data Mining
  - Time-series Analytics
  - Anomaly Detection



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# Tutors Biography

## *Giovanni Stilo*

- Associate Professor in Data Mining @ L'Aquila University
- Published works in the area of
  - Graph Mining and Network Medicine
  - Temporal Data Mining and Semantics-aware recommender systems
  - Anomaly Detection and Ensemble Mechanisms
  - Educational Data Mining and Learning Analytics
- Co-organiser of international workshops in top-tier conferences (ICDM, CIKM, ECIR)
- Editor and reviewer in TITS, TKDE, DMKD, AI, KAIS, AIIM
- Recently, formalised definitions in an exhaustive survey on SDP [1]



# Tutors Biography

## *Lorenzo Madeddu*

- PhD in Graph Mining and Network Biology
- Contributed as graph mining expert.
- Research interests:
  - Machine Learning
  - Network Medicine
  - Network Pharmacology



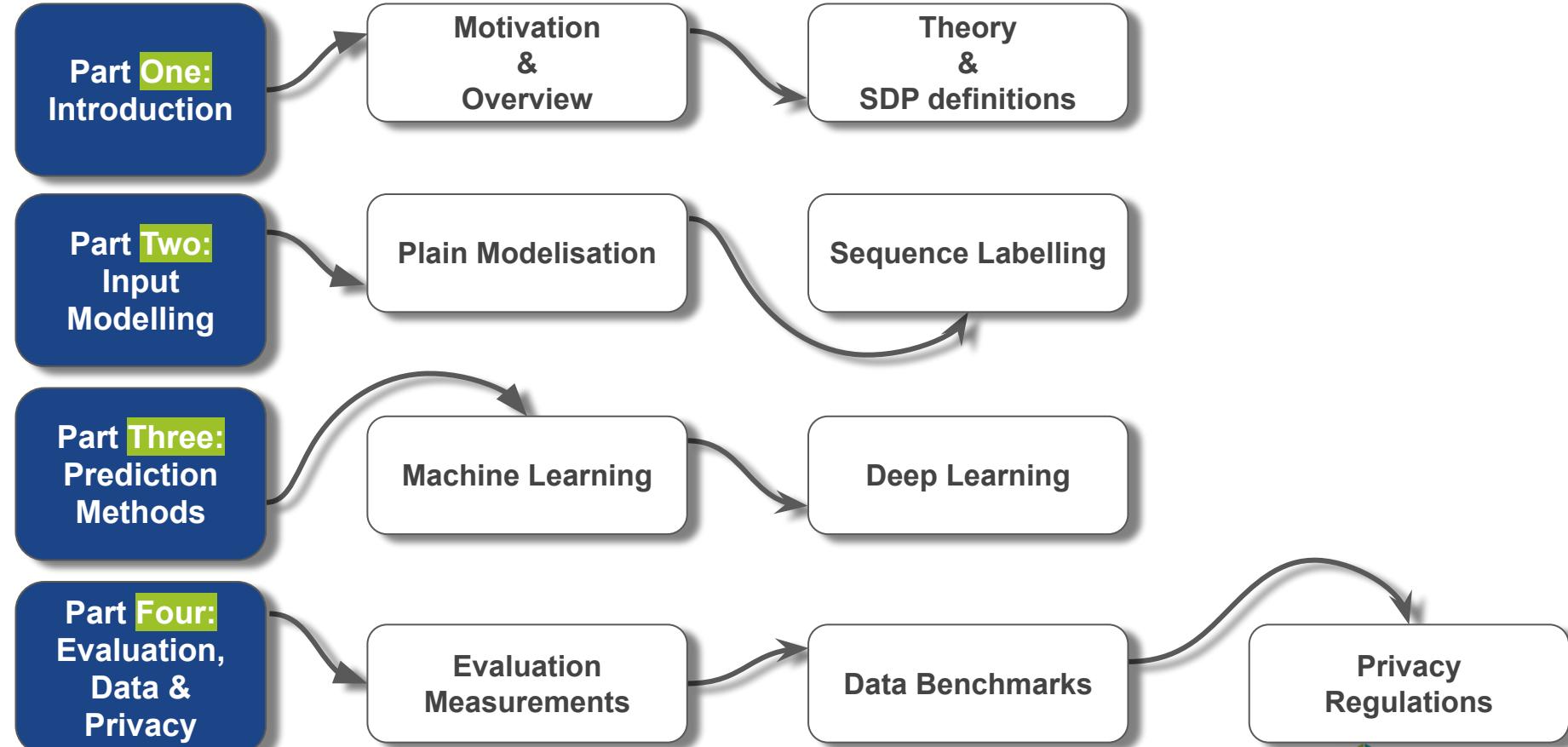
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# Roadmap

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- Introduction and background theory [30 min]:
  - Motivation and overview [GS]
  - Theory and SDP definitions [GS]
- Input modelling techniques [50 min]
  - Plain Modelisation [LM]
  - Sequence Labelling [BP]
- Methods in SDP [60 min]
  - Machine Learning methods [LM]
  - Deep Learning methods [BP]
- Evaluation, datasets & privacy, open challenges [BP&GS] [40 min]

# Roadmap

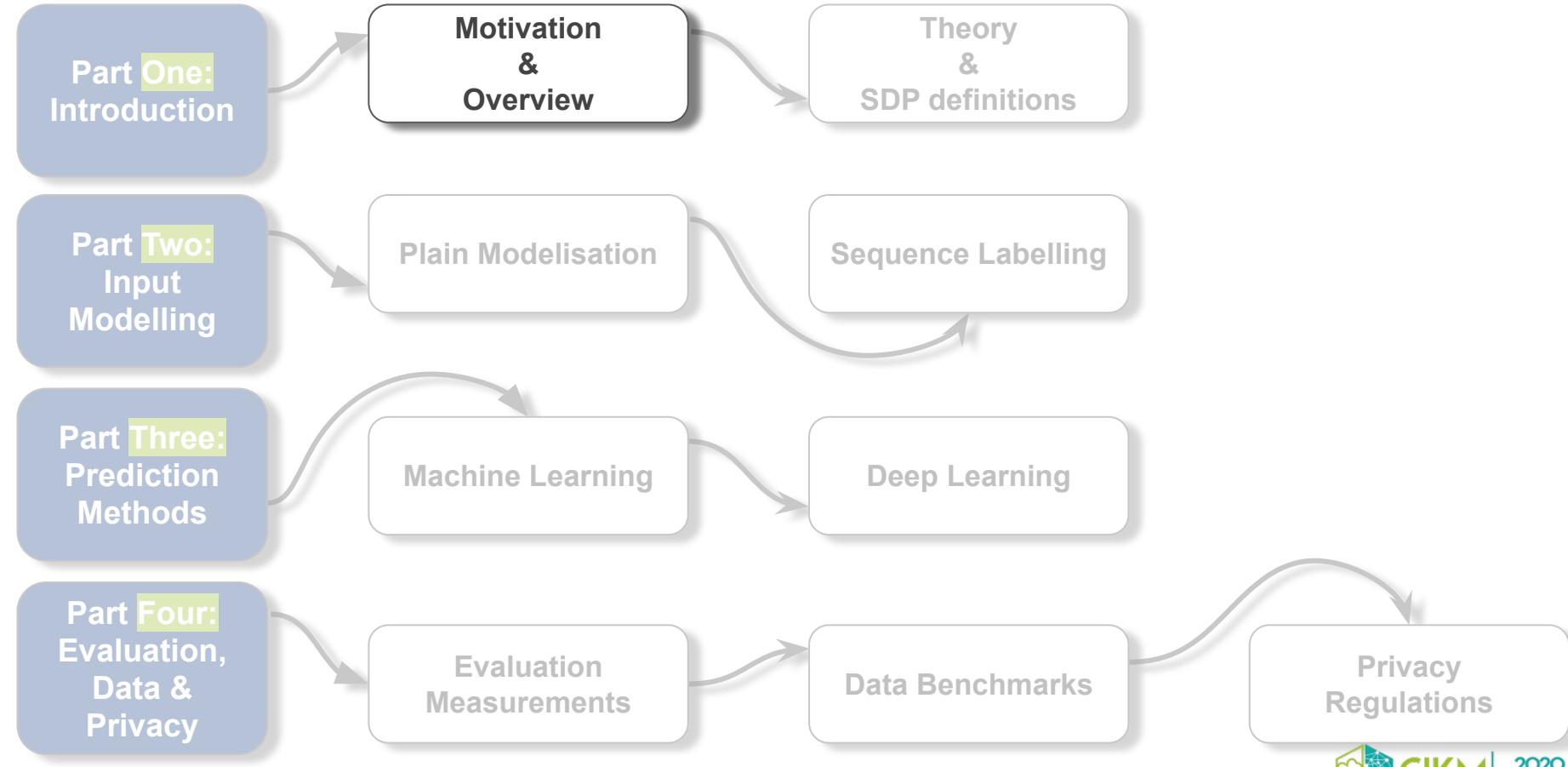


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# **Part One**

# Introduction and Background Theory

# Roadmap



# What is the SDP?

- The objective of SDP is to **analyse** student **dropout** in **distance learning environments**:
  - **modelling** student **behaviour** when interacting with **e-learning** platforms.
- Student dropout prediction (SDP) is a research topic in the **multidisciplinary** field of **Learning Analytics (LA)** [40].
- More precisely, it belongs to the area of **Educational Data Mining (EDM)** (see [5,27,60] for an overview of this field).



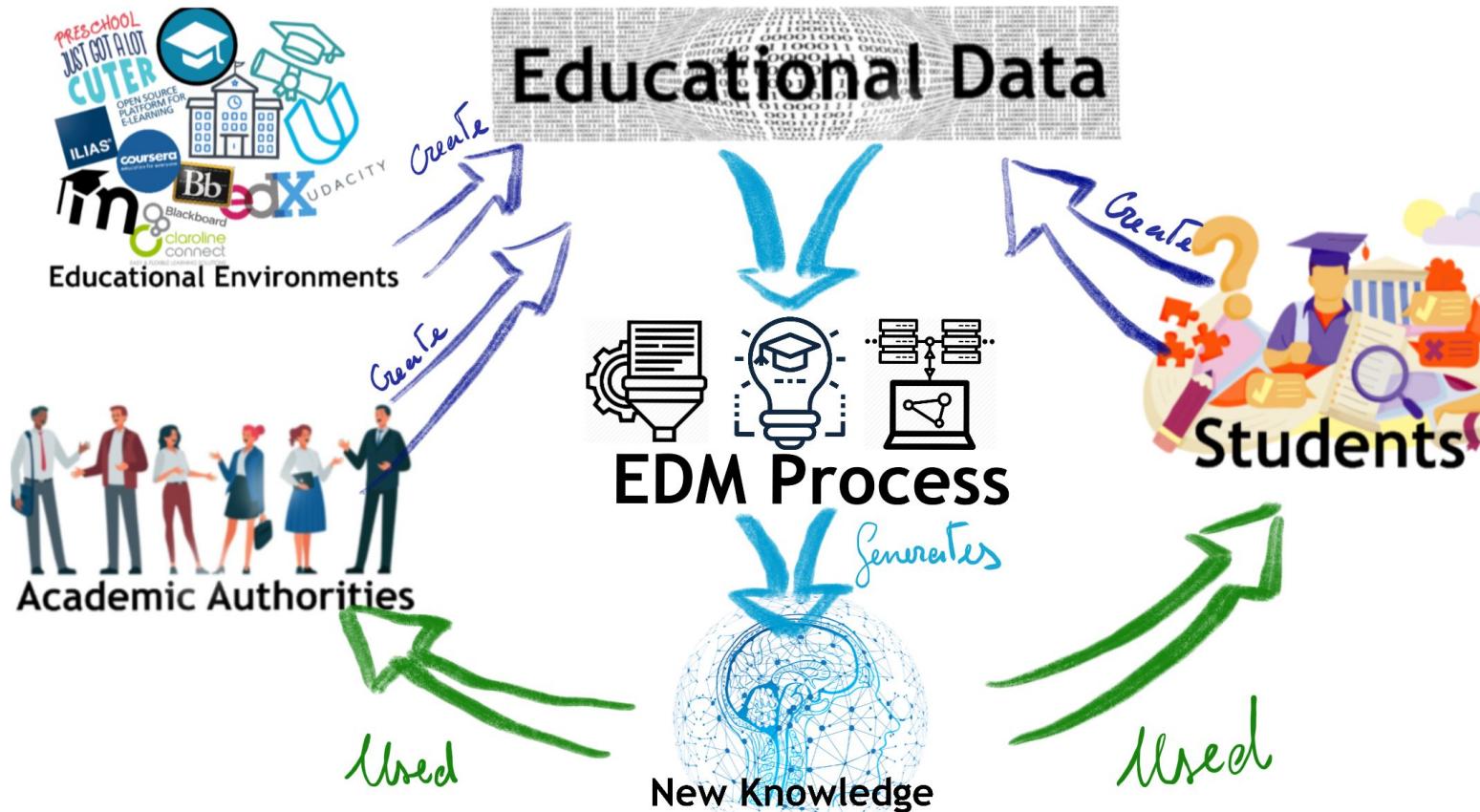
[5] Behdad Bakhshinateghi, Osmar R Zaiane, Samira El Atia, and Donald Ipperciel. 2018. Educational data mining applications and tasks: A survey of the last 10 years. *Education and Information Technologies* 23, 1 (2018)

[27] S Hari Ganesh and A Joy Christy. 2015. Applications of educational data mining: a survey. In 2015 International Conference on Innovations in Information, Embedded and Communication Systems (ICIIECS). IEEE.

[40] Usha Keshavamurthy and H. S. Guruprasad. 2014. Learning Analytics: A Survey. *International Journal of Computer Trends and Technology (IJCTT)* (2014).

[60] Alejandro Peña-Ayala. 2014. Educational data mining: A survey and a data mining-based analysis of recent works. *Expert systems with applications* 41, 4 (2014), 1432–1462.

# Educational Data Mining (EDM) Process



Inspired by <https://onlinelibrary.wiley.com/doi/abs/10.1002/widm.1355>

# Educational Data Mining (EDM)

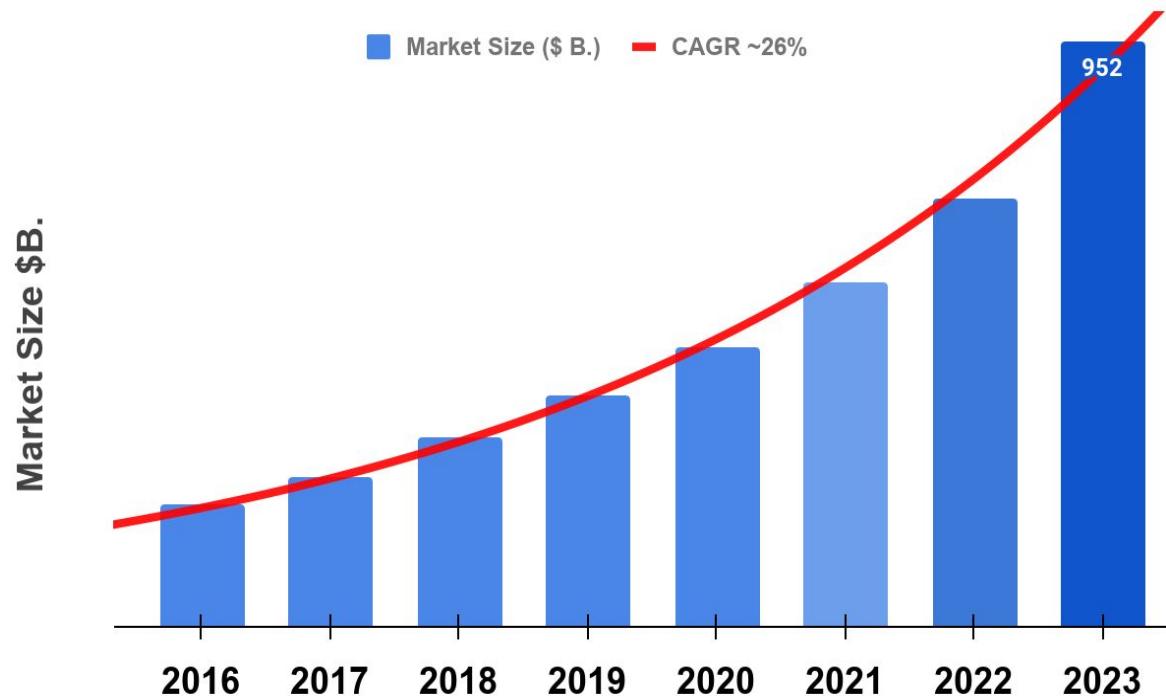
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Help Answer Question Like:



- What sequence of **topics** is **most effective** for a specific student?
- Which student **action** are **associated** with better learning and **higher grades**?
- Which **Actions** indicate satisfaction and **engagement**?
- What **features** of an online **learning environment** lead to better learning?
- Which **student** is more **prone** to **drop studies**?

# Learning Market



Compound annual growth rate (**CAGR**) is the **rate of return** that would be **required** for an investment to **grow** from its **beginning balance** to its ending **balance**.

# Smart Education and Learning Market

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## Software:

- Learning Management System (LMS)
- Learning Content Management System
- Adaptive Learning Platform
- Assessment Services
- Others

## Hardware:

- Interactive White Boards (WBS)
- Interactive Displays
- Interactive Tables
- Student Response Systems

## Service:

- Managed Services
- Professional Services

## Application:

- Government
- Enterprise/Business Education
- NGOs and Association
- Professional Services
- Healthcare

## Deployment:

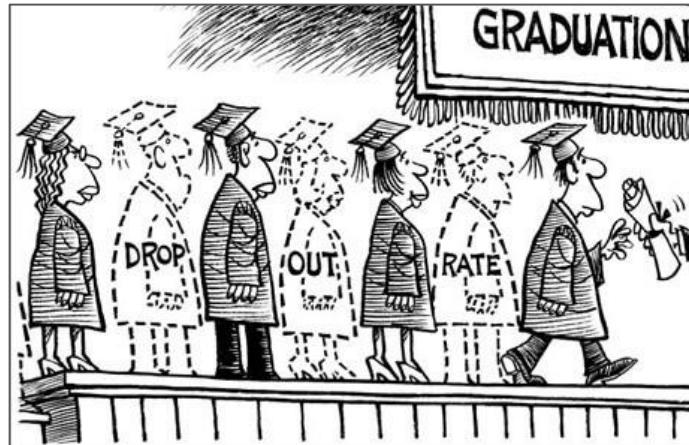
- Cloud
- On-Premise

## Organization Size:

- Small And Medium Organization
- Large Organization

# Dropout in Online Environment

- Students in **online degree** programs have a **higher chance** of dropping out than those attending a **conventional classroom environment** [12, 21, 23, 26, 35].
- Smith [68] highlights that **40-80% of online students drop out** from online classes
- Their **retention rate** is approximately **10-20% lower** than that of **traditional universities** [34].
- Possibly because, **students can leave** the course at any time **without notice** and further consequences.



[12] Vicki Carter. 1996. Do media influence learning? Revisiting the debate in the context of distance education. *Open Learning: The Journal of Open, Distance and e-Learning* 11, 1 (1996), 31–40.

[21] David P. Diaz. 2000. Comparison of student characteristics, and evaluation of student success, in an online health education course. Ph.D. Dissertation. Nova Southeastern University.

[23] William Doherty. 2006. An analysis of multiple factors affecting retention in web-based community college courses. *The Internet and Higher Education* 9, 4 (2006), 245–255.

[26] Karen Frankola. 2001. Why online learners dropout. *WORKFORCE-COSTA MESA-80,10(2001),52-61.* <http://www.workforce.com/feature/00/07/29>

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[35] Erin Heyman. 2010. Overcoming student retention issues in higher education online programs. *Online Journal of Distance Learning Administration* 13, 4 (2010).

[68] Belinda G. Smith. 2010. E-learning technologies: A comparative study of adult learners enrolled on blended and online campuses engaging in a virtual classroom. Ph.D. Dissertation. Capella University.

[70] Denise E. Stanford-Bowers. 2008. Persistence in online classes: A study of perceptions among community college stakeholders. *Journal of Online Learning and Teaching* 4, 1 (2008), 37–50.

# Benefits of SDP strategies

- direct impact: by **increasing** the **retention** and **completion** rates;
- improve **learning quality**: helps to develop intervention **strategies** to provide individually **tailored** support;
- dropouts cause significant **economic wastes**: universities have a clear interest in **investing** in this type of **predictive actions**;
- **prestige point-of-view**: institutions who exhibit **higher graduation rates attract** a higher number of **students**;



- [12] Vicki Carter. 1996. Do media influence learning? Revisiting the debate in the context of distance education. *Open Learning: The Journal of Open, Distance and e-Learning* 11, 1 (1996), 31–40.
- [21] David P. Diaz. 2000. Comparison of student characteristics, and evaluation of student success, in an online health education course. Ph.D. Dissertation. Nova Southeastern University.
- [23] William Doherty. 2006. An analysis of multiple factors affecting retention in web-based community college courses. *The Internet and Higher Education* 9, 4 (2006), 245–255.
- [26] Karen Frankola. 2001. Why online learners dropout. *WORKFORCE-COSTA MESA* 80,10(2001),52-61. <http://www.workforce.com/feature/00/07/29>
- [34] Michael Herbert. 2006. Staying the course: A study in online student satisfaction and retention. *Online Journal of Distance Learning Administration* 9, 4 (2006), 300–317.
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- [70] Denise E. Stanford-Bowers. 2008. Persistence in online classes: A study of perceptions among community college stakeholders. *Journal of Online Learning and Teaching* 4, 1 (2008), 37–50.

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▲ Fallowfield in south Manchester, where a group of students in halls were investigated for a 'positive party'. Photograph: Christopher Thomond/The Guardian



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# The five dimensions to study SDP

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- Prenkaj et al. [61] describes the five dimensions of the **principal literature reviews** today available in the **field of SDP**:
  - **Field of Study**, which affects the perspective and objectives of the study;  
Analytic / Computational
  - **Gathered Data** used to analyse the problem;  
Polls and questionnaires / MOOCs and e-Courses
  - **Student Modelling** strategies employed to process the raw data;  
plain modelisation / sequence labelling
  - **Methods** to model and solve the SDP problem;  
Analytic / machine learning / deep Learning
  - **Evaluation measures**.

[61] Bardh Prenkaj, Paola Velardi, Giovanni Stilo, Damiano Distante, and Stefano Faralli. 2020. A Survey of Machine Learning Approaches for Student Dropout Prediction in Online Courses. 53, 3, Article 57 (May 2020).

# Comparison of surveys in the literature

Surveys	Field of study			Gathered Data			Student Modelling		Methods			Evaluation	
	Education	Psychology	Computer Science	Polls	MOOCs	e-courses	Plain modelisation	Sequence labelling	Analytic examination	Classic learning	Deep learning	Metrics	
Surveys	George D. Kuh [47]	✓	✓	-	✓	-	-	-	-	-	-	-	
	E. Yukseltur and F. A. Inan [78]	✓	-	-	✓	-	-	-	-	✓	-	-	
	P. A. Willging and S. D. Johnson [74]	✓	-	-	✓	-	-	-	✓	-	-	-	
	Michael Morgan et al. [58]	✓	✓	-	✓	-	-	-	-	-	-	-	
	Mukesh Kumar et al.[49]	-	-	✓	-	-	-	-	-	✓	-	-	
	Fisnik Dalipi et al. [18]	-	-	✓	-	✓	-	-	-	✓	✓	-	
	J. Gardner and C. Brooks [28]	-	-	✓	-	✓	-	✓	-	✓	✓	✓	
	Dagim Solomon [69]	-	-	✓	-	-	-	-	-	✓	-	✓	
	Bardh Prenkaj et al. [61]	-	-	✓	-	✓	✓	✓	✓	✓	✓	✓	

[47] George D. Kuh. 2009. The National Survey of Student Engagement: Conceptual and empirical foundations. *New Directions for Institutional Research* 2009 (12 2009), 5–20. <https://doi.org/10.1002/ir.283>

[78] Eran Yukseltur and Fethi Ahmet Inan. 2006. Examining the Factors Affecting Student Dropout in an Online Learning Environment. *Turkish Online Journal of Distance Education* 7, 3 (2006), 76–88.

[74] Pedro A. Willging and Scott D. Johnson. 2009. Factors that influence students' decision to dropout of online courses. *Journal of Asynchronous Learning Networks* 13, 3 (2009), 115–127.

[58] Michael Morgan, Matthew Butler, Neena Thota, and Jane Sinclair. 2018. How CS academics view student engagement. In *Proceedings of the 23rd Annual ACM Conference on Innovation and Tech in Computer Science Education*. ACM

[49] Mukesh Kumar, AJ Singh, and Disha Handa. 2017. Literature survey on educational dropout prediction. *International Journal of Education and Management Engineering* 7, 2 (2017), 8.

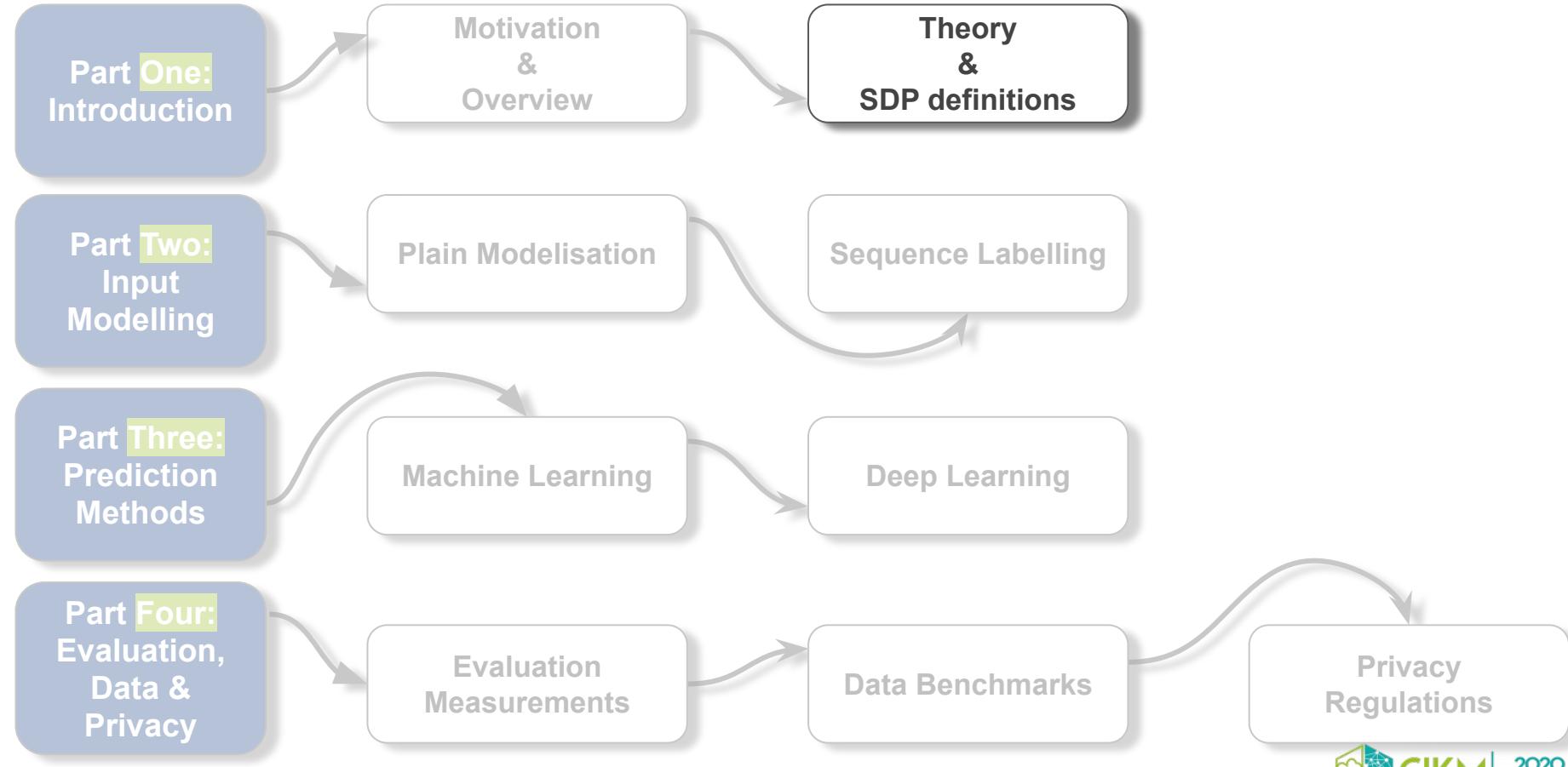
[18] Fisnik Dalipi, Ali Sharig Imran, and Zenun Kastrati. 2018. MOOC dropout prediction using machine learning techniques: Review and research challenges. In *2018 IEEE Global Engineering Education Conference (EDUCON)*. IEEE, 1007–101

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[69] Dagim Solomon. 2018. Predicting Performance and Potential Difficulties of University Student using Classification: Survey Paper. *International Journal of Pure and Applied Mathematics* 118, 18 (2018), 2703–2707.

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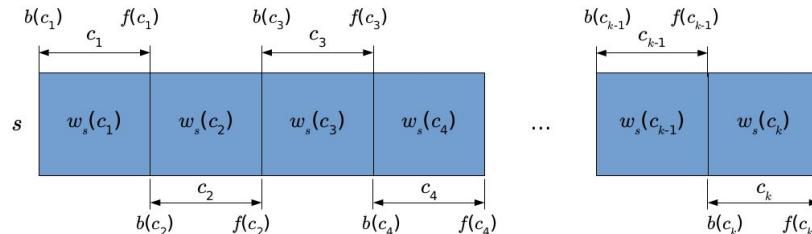
# Roadmap



# Adopted Notation

Relation	Notation	Meaning
Course	$C$	The set of all courses in an online degree
	$c$	A single course belonging to $C$
	$c_i$	The $i$ -th phase of course $c$ ( $1 \leq i \leq k$ )
	$b(c_i)$	The time when phase $c_i$ begins
	$f(c_i)$	The time when phase $c_i$ finishes
Student	$S$	The set of all enrolled students in an online degree
	$S_c$	The set of students enrolled in course $c$
	$s$	A single student belonging to $S_c$
E-tivity	$\mathcal{E}$	The set of all possible e-tivities
	$e_{c_i}^{t_j}$	A single e-tivity performed at time $t_j$ in course phase $c_i$
	$w_s(t_b, t_f, c_i)$	The set of e-tivities that $s$ performs in phase $c_i$ in the time interval $[t_b, t_f]$
	$w_s(c_i)$	The set of e-tivities that $s$ performs in phase $c_i$ in the time interval $[b(c_i), f(c_i)]$
	$w_s(t_b, t_f, c)$	The set of e-tivities that $s$ performs in course $c$ in the time interval $[t_b, t_f]$

# SDP's Formulations



- **Plain dropout formulation:**

The student-platform interactions are independent in time. Given the e-tivities  $w_s(t_b, t_f, c)$  that  $s \in S_c$  performs, plain dropout determines whether  $s$  drops out or not regardless of how the e-tivities are sequenced in the interval  $[t_b, t_f]$ .

- **Recurrent dropout formulation:**

Uses information from previous course phases to decide a student's dropout status.

Hidden information from phase  $c_{i-1}$  to  $c_i$ .

Therefore, the **label** of  $s$  in phase  $c_i$  depends on the **activities** performed in the preceding phases  $c_{i-r}$ ,  $r \in \{1, \dots, p \leq i - 1\}$ .

$p$  is the window used to consider previous phases.

# SDP's Definitions[1]

---

- **Definition**

1.

Plain

dropout:

*Given a time interval  $[t_b, t_f]$ , a student  $s$  is a dropout from course  $c$  if they do not survive until the end of the time span.*

*In other words,  $s$  is a dropout if  $\exists t_u \in [t_b, t_f] \text{ s.t. } w_s(t_u, t_f, c) = \emptyset$ .*

- The dropout condition does not depend on the information passed from phase  $c_{i-1}$  to  $c_i$ .
- The dropout label is based on all the e-tivities  $w_s(t_u, t_f, c)$  that  $s$  does after a certain point in time  $t_u$ .

# SDP's Definitions [2]

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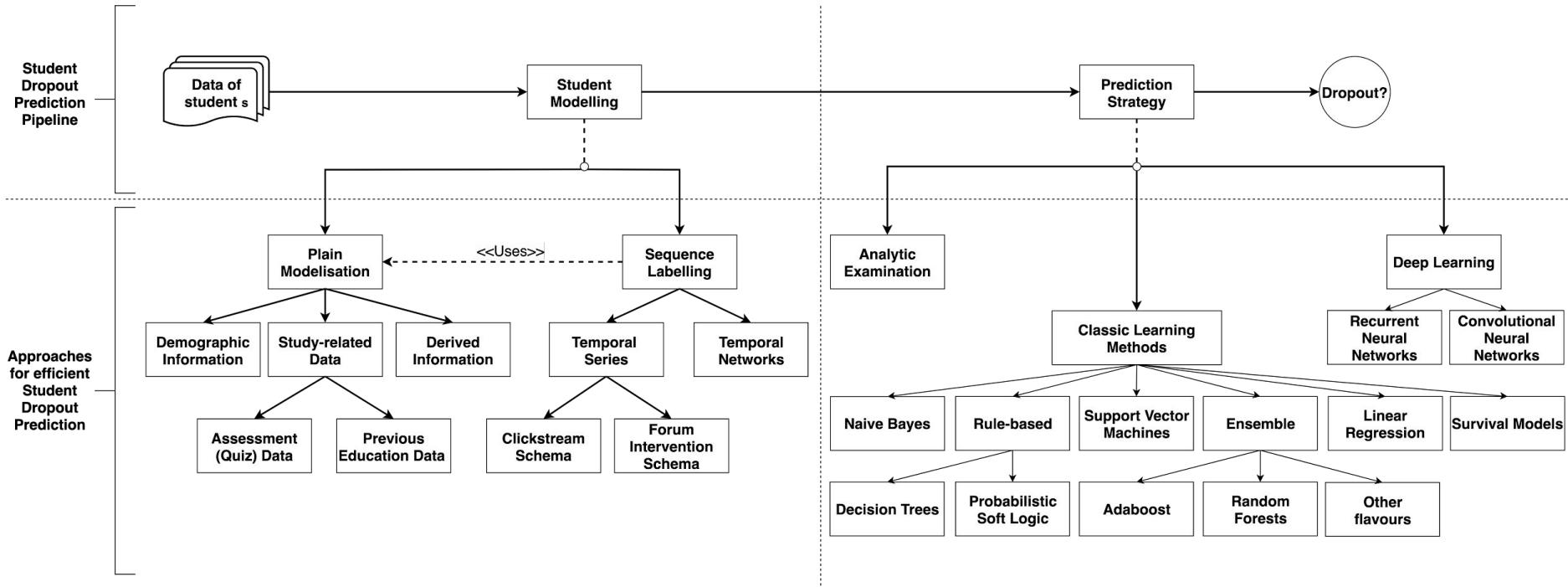
- **Definition 2. Participation in the final course phase:**  
*A student  $s$  is a dropout if they do not persist until the last phase,  $c_k$ , of a course  $c$ ; otherwise, they are a persister. In other words,  $s$  is a dropout if  $w_s(c_k) = \emptyset$ .*
- **Definition 3. Last phase of engagement:**  
*A student  $s$  is a dropout if they do not produce any e-tivities after the current phase  $c_i$ ; i.e.  $s$  is a dropout if  $w_s(c_i) \neq \emptyset \wedge \forall j \in [i+1, k], w_s(c_j) = \emptyset$ .*  
Notice that this definition is a generalisation of the previous one:  
i.e. we emulate 2 by setting  $i = k - 1$ .
- **Definition 4. Participation in the next phase:**  
*A student  $s$  is a dropout if they do not have e-tivities in the next phase,  $c_{i+1}$ .  
Hence,  $s$  is a dropout if  $w_s(c_{i+1}) = \emptyset \wedge i \neq k$ .*

# Advantages and disadvantages

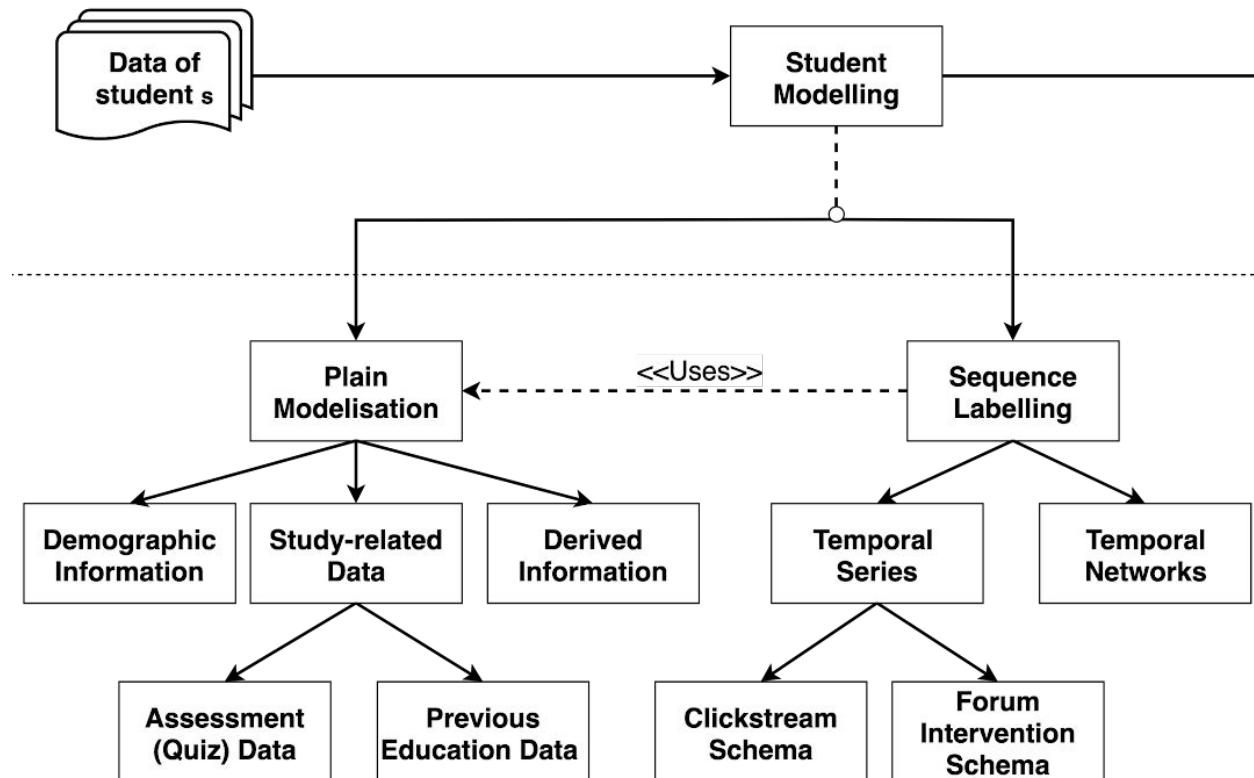
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Definition	Pro	Contra
Def. 2	- Simple student survivability model	- Final dropout status prediction - No preemptive identification
Def. 3	- Prediction using a partial view of student e-tivities	- Final dropout status prediction - No preemptive identification
Def. 4	- Ongoing dropout status prediction - Prediction using a partial view of student e-tivities - Real-time prediction - Temporarily inactive student recognition - Monitoring of student behaviour in each phase	- Complex prediction mechanism required

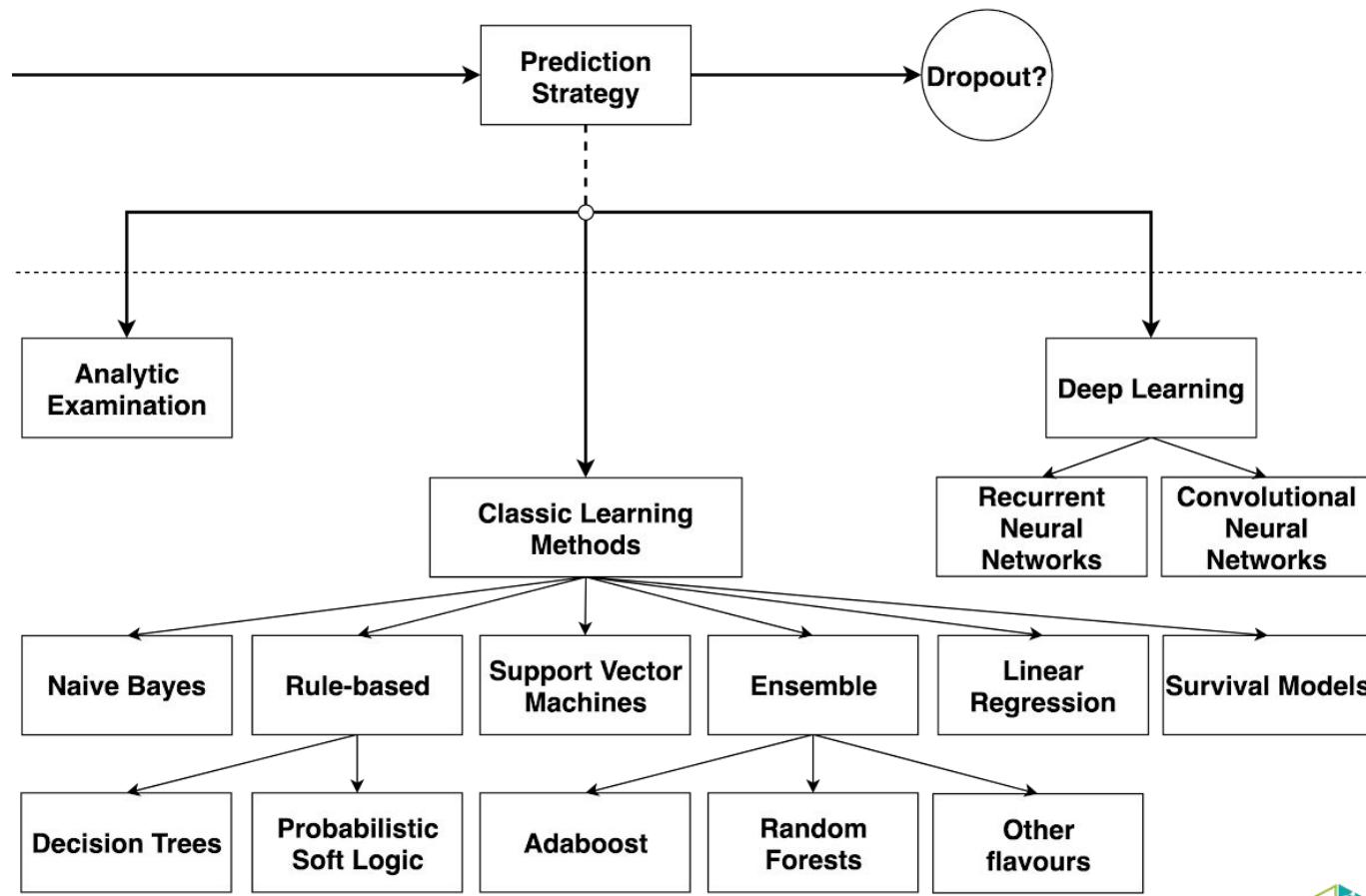
# A taxonomy of SDP design choices



# A taxonomy of student modelling approaches



# A taxonomy of prediction strategy approaches



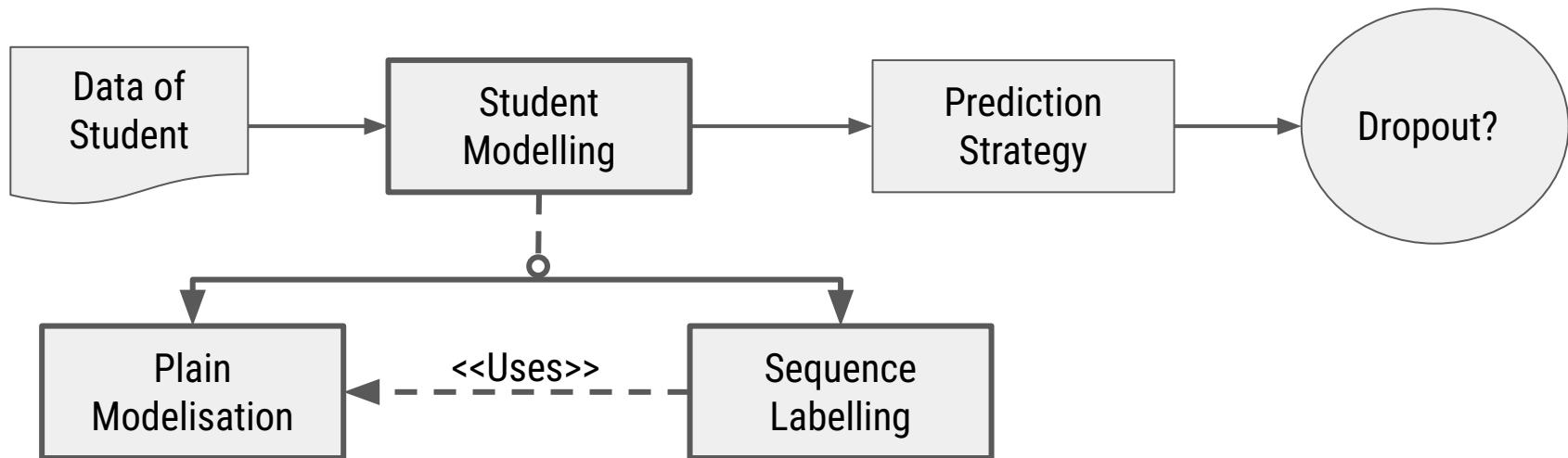
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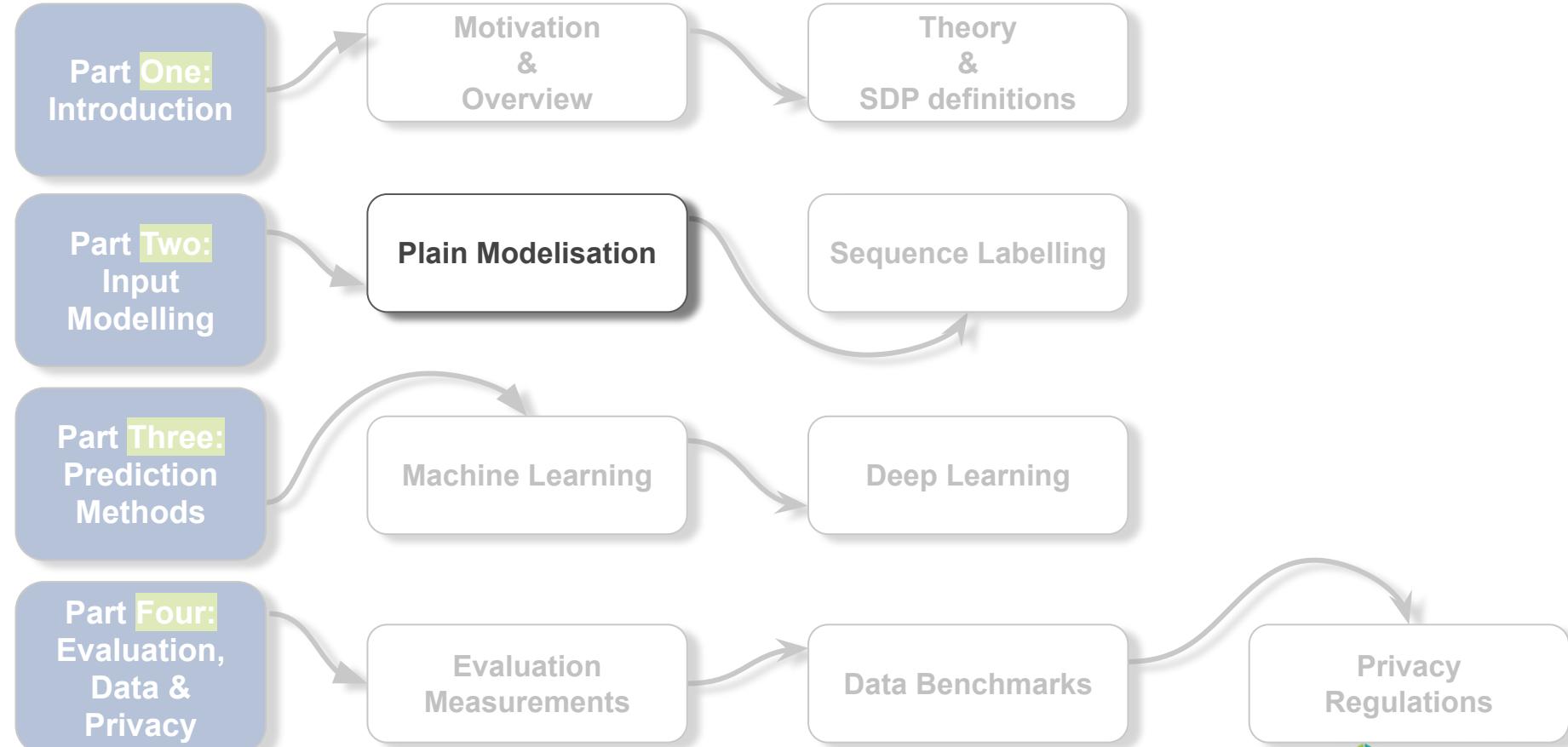
## Input modelling techniques

# Student Data Modelling Techniques

- Plain modelisation
- Sequence labelling



# Roadmap



# Plain Modelisation

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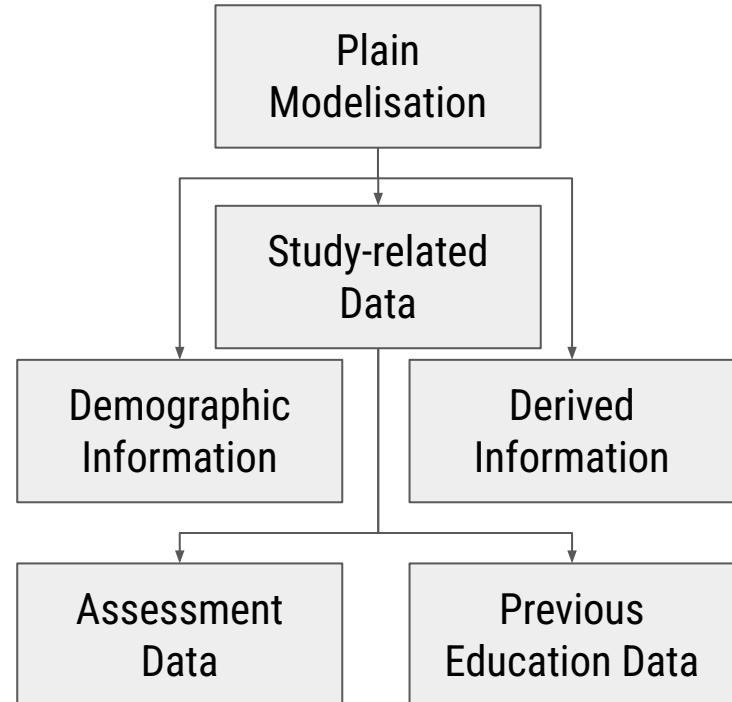
Plain modelisation exploits student demographic information and study-related data as input for a prediction strategy.

- Static or time-invariant data
- Flatten time-variant data

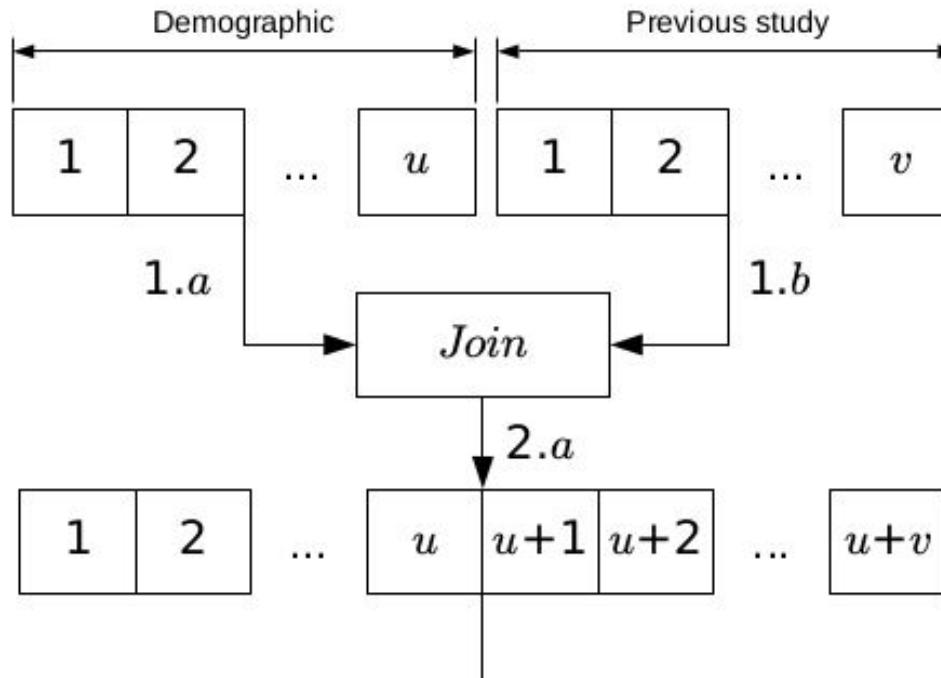
# Plain Modelisation

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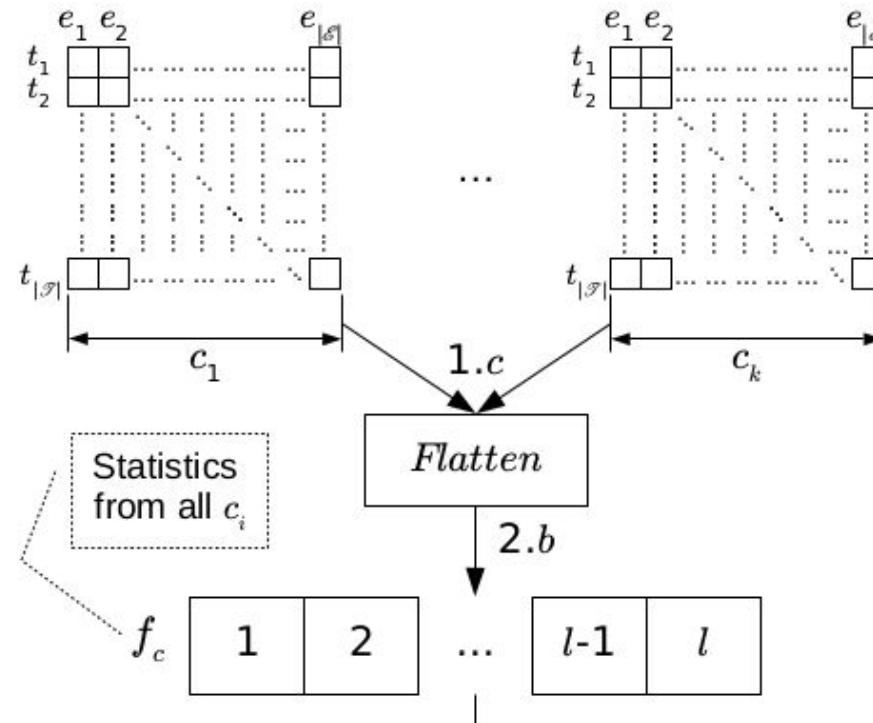
- Demographic Information
- Study-related Data
  - Assessment (Quiz) Data
  - Previous Education Data
- Derived Information



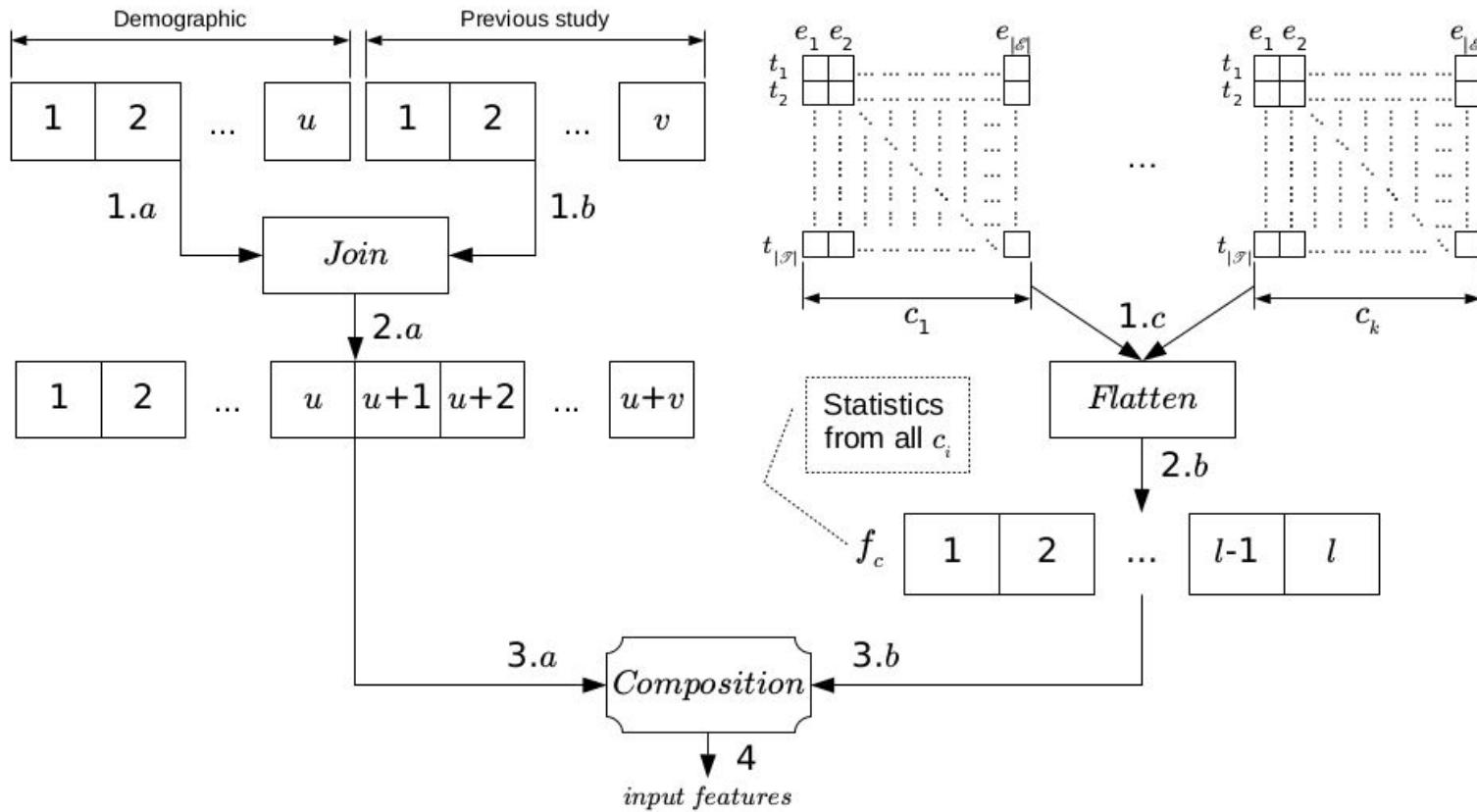
# Plain Modelisation



# Plain Modelisation



# Plain Modelisation



# Demographic Information

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Demographic information describes the living context of the student:

- Personal characteristics
- Economic-related information
- Family-related information



# Demographic Information

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- Personal characteristics:

- Sex<sub>[1, 2, 8, 42, 44, 45, 46, 53, 76]</sub>
- Age<sub>[1, 8, 42, 44, 45, 46, 75]</sub>
- Ethnicity<sub>[2, 46]</sub>
- Residency<sub>[1, 2, 53]</sub>
- Citizenship<sub>[8]</sub>
- English language skill level<sub>[53]</sub>
- Computer literacy<sub>[42, 44, 45, 76]</sub>
- Disability<sub>[46]</sub>

[1] Qasem A. Al-Radaideh, Emad M. Al-Shawakfa, and Mustafa I. Al-Najjar. 2006. Mining student data using decision trees. In Proceedings of the International Arab Conference on Information Technology (ACIT'2006). 1–5.

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# Demographic Information

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- Economic-related information:
  - Occupation<sup>[42, 44, 45, 46, 53]</sup>
  - Funding<sup>[1]</sup>



[1] Qasem A. Al-Radaideh, Emad M. Al-Shawakfa, and Mustafa I. Al-Najjar. 2006. Mining student data using decision trees. In Proceedings of the International Arab Conference on Information Technology (ACIT'2006). 1–5.

[42] Georgios Kostopoulos, Sotiris Kotsiantis, and Panagiotis Pintelas. 2015. Estimating student dropout in distance higher education using semi-supervised techniques. In Proceedings of the 19th Panhellenic Conference on Informatics. ACM, New York, NY, 38–43.

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# Demographic Information

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- Family information:

- Marital Status<sup>[2, 42, 44, 45, 76]</sup>
- Number of children<sup>[42, 44, 45]</sup>
- Parents education<sup>[2]</sup>
- Parents occupation<sup>[2]</sup>



[2] Sattar Ameri, Mahtab J. Fard, Ratna B. Chinnam, and Chandan K. Reddy. 2016. Survival analysis based framework for early prediction of student dropouts. In Proceedings of the 25th ACM International Conference on Information and Knowledge Management. ACM, 903–912.

[42] Georgios Kostopoulos, Sotiris Kotsiantis, and Panagiotis Pintelas. 2015. Estimating student dropout in distance higher education using semi-supervised techniques. In Proceedings of the 19th Panhellenic Conference on Informatics. ACM, New York, NY, 38–43.

[44] Sotiris Kotsiantis, Christos Pierrakeas, and Panagiotis Pintelas. 2003. Preventing student dropout in distance learning using machine learning techniques. In Proceedings of the International Conference on Knowledge-based and Intelligent Information and Engineering Systems. Springer, New York, NY, 267–274.

[45] Sotiris Kotsiantis, Christos Pierrakeas, Ioannis Zaharakis, and Panagiotis Pintelas. 2003. Efficiency of Machine Learning Techniques in Predicting Students Performance in Distance Learning Systems. University of Patras Press, 297–306.

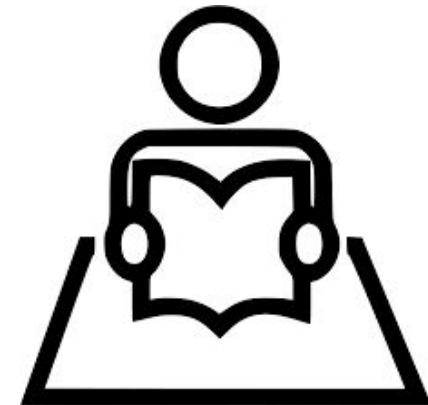
[76] Michalis Xenos, Christos Pierrakeas, and Panagiotis Pintelas. 2002. A survey on student dropout rates and dropout causes concerning the students in the course of informatics of the Hellenic Open University. Comput. Educ. 39, 4 (2002), 361–377.

# Study-related Data

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Study-related data provides a view of the effort and interests of the student.

- Current study-related data
- Assessment (Quiz) Data
- Previous Education Data



# Study-related Data

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- Current study-related features:
  - Enrollment of the student<sup>[46]</sup>
  - Form of study<sup>[1]</sup>
  - Lecturer's working position, sex<sup>[1]</sup>

[1] Qasem A. Al-Radaideh, Emad M. Al-Shawakfa, and Mustafa I. Al-Najjar. 2006. Mining student data using decision trees. In Proceedings of the International Arab Conference on Information Technology (ACIT'2006). 1–5.

[46] Zlatko J. Kovačić. 2010. Early prediction of student success: Mining student enrollment data. In Proceedings of the Informing Science & IT Education Conference. Citeseer.

# Study-related Data: Assessment Data

---

- Assessment Features:

- Grades<sup>[8, 29, 42, 43, 44, 45, 53, 75, 76]</sup>
- Number of submissions<sup>[3, 43]</sup>
- Participation<sup>[42, 43, 44, 45, 76]</sup>



[3] Bussaba Amnueyponsakul, Suma Bhat, and Phakpoom Chinpruthiwong. 2014. Predicting attrition along the way: The UIUC model. In Proceedings of the EMNLP Workshop on Analysis of Large Scale Social Interaction in MOOCs. Association for Computational Linguistics, 55–59.

[8] Johannes Berens, Kerstin Schneider, Simon Görtz, Simon Oster, and Julian Burghoff. 2019. Early detection of students at risk - Predicting student dropouts using administrative student data from German Universities and machine learning methods. Journal of Educational Data Mining 11, 3 (2019), 1–41.

[42] Georgios Kostopoulos, Sotiris Kotsiantis, and Panagiotis Pintelas. 2015. Estimating student dropout in distance higher education using semi-supervised techniques. In Proceedings of the 19th Panhellenic Conference on Informatics. ACM, New York, NY, 38–43.

[43] Sotiris Kotsiantis, Kiriacos Patriarcheas, and Michalis Xenos. 2010. A combinational incremental ensemble of classifiers as a technique for predicting students' performance in distance education. Knowl.-based Syst. 23, 6 (2010), 529–535.

[45] Sotiris Kotsiantis, Christos Pierrakeas, Ioannis Zaharakis, and Panagiotis Pintelas. 2003. Efficiency of Machine Learning Techniques in Predicting Students Performance in Distance Learning Systems. University of Patras Press, 297–306.

[53] Ioanna Lykourentzou, Ioannis Giannoukos, Vassilis Nikolopoulos, George Mpardis, and Vassili Loumos. 2009. Dropout prediction in e learning courses through the combination of machine learning techniques. Comput. Educ. 53, 3 (2009), 950–965.

[75] Annika Wolff, Zdenek Zdrahal, Andriy Nikолов, and Michal Pantucek. 2013. Improving retention: Predicting at-risk students by analysing clicking behaviour in a virtual learning environment. In Proceedings of the 3rd International Conference on Learning Analytics and Knowledge. ACM, New York, NY, 145–149.

[76] Michalis Xenos, Christos Pierrakeas, and Panagiotis Pintelas. 2002. A survey on student dropout rates and dropout causes concerning the students in the course of informatics of the Hellenic Open University. Comput. Educ. 39, 4 (2002), 361–377.

# Study-related Data: Previous Education Data

---

Previous education information describes the skills of the student.

- Previous education type (Scientific, etc..) [1, 20]
- High school country [1, 8, 20]
- Academic qualifications and grades [1, 2, 8, 20, 53]

[1] Qasem A. Al-Radaideh, Emad M. Al-Shawakfa, and Mustafa I. Al-Najjar. 2006. Mining student data using decision trees. In Proceedings of the International Arab Conference on Information Technology (ACIT'2006). 1–5.

[8] Johannes Berens, Kerstin Schneider, Simon Götz, Simon Oster, and Julian Burghoff. 2019. Early detection of students at risk - Predicting student dropouts using administrative student data from German Universities and machine learning methods. Journal of Educational Data Mining 11, 3 (2019), 1–41.

[20] Gerben W. Dekker, Mykola Pechenizkiy, and Jan M. Vleeshouwer. 2009. Predicting students drop out: A case study. In Proceedings of the International Conference on Educational Data Mining (EDM'09).

[53] Ioanna Lykourentzou, Ioannis Giannoukos, Vassilis Nikolopoulos, George Mpardis, and Vassili Loumos. 2009. Dropout prediction in e-learning courses through the combination of machine learning techniques. Comput. Educ. 53, 3 (2009), 950–965.

# Derived Information

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Student's performance and behavioural statistics derived from temporal data or analytical tools.

- Average grade, GPA, Earned credits [2, 8, 29, 53, 54]
- Parallel/Failed/Completed courses [1, 8, 31]
- Actions stats(i.e. clicks, page visits, sessions, percentage use a learning resource or forum interactions) [3, 25, 29, 31, 37, 53, 75]
- NLP stats (word count) [66]

[3] Bussaba Amnueyponsakul, Suma Bhat, and Phakpoom Chinpruthiwong. 2014. Predicting attrition along the way: The UIUC model. In Proceedings of the EMNLP Workshop on Analysis of Large Scale Social Interaction in MOOCs. Association for Computational Linguistics, 55–59.

[25] Wenzheng Feng, Jie Tang, and Tracy Xiao Liu. 2019. Understanding dropouts in MOOCs. In Proceedings of the AAAI Conference on Artificial Intelligence (AAAI'19).

[29] Elena Gaudioso, Miguel Montero, and Felix Hernandez-Del-Olmo. 2012. Supporting teachers in adaptive educational systems through predictive models: A proof of concept. *Exp. Syst. Applic.* 39, 1 (2012), 621–625.

[31] Cameron C. Gray and Dave Perkins. 2019. Utilizing early engagement and machine learning to predict student outcomes. *Comput. Educ.* 131 (2019), 22–32.

[37] Ya-Han Hu, Chia-Lun Lo, and Sheng-Pao Shih. 2014. Developing early warning systems to predict students' online learning performance. *Comput. Human Behav.* 36 (2014), 469–478.

[46] Zlatko J. Kovačić. 2010. Early prediction of student success: Mining student enrollment data. In Proceedings of the Informing Science & IT Education Conference. Citeseer.

[53] Ioanna Lykourentzou, Ioannis Giannoukos, Vassilis Nikolopoulos, George Mpardis, and Vassili Loumos. 2009. Dropout prediction in e-learning courses through the combination of machine learning techniques. *Comput. Educ.* 53, 3 (2009), 950–965.

[54] Laci Mary Barbosa Manhães, Sérgio Manuel Serra da Cruz, and Geraldo Zimbrão. 2014. WAVE: An architecture for predicting dropout in undergraduate courses using EDM. In Proceedings of the 29th ACM Symposium on Applied Computing. ACM, New York, NY, 243–247.

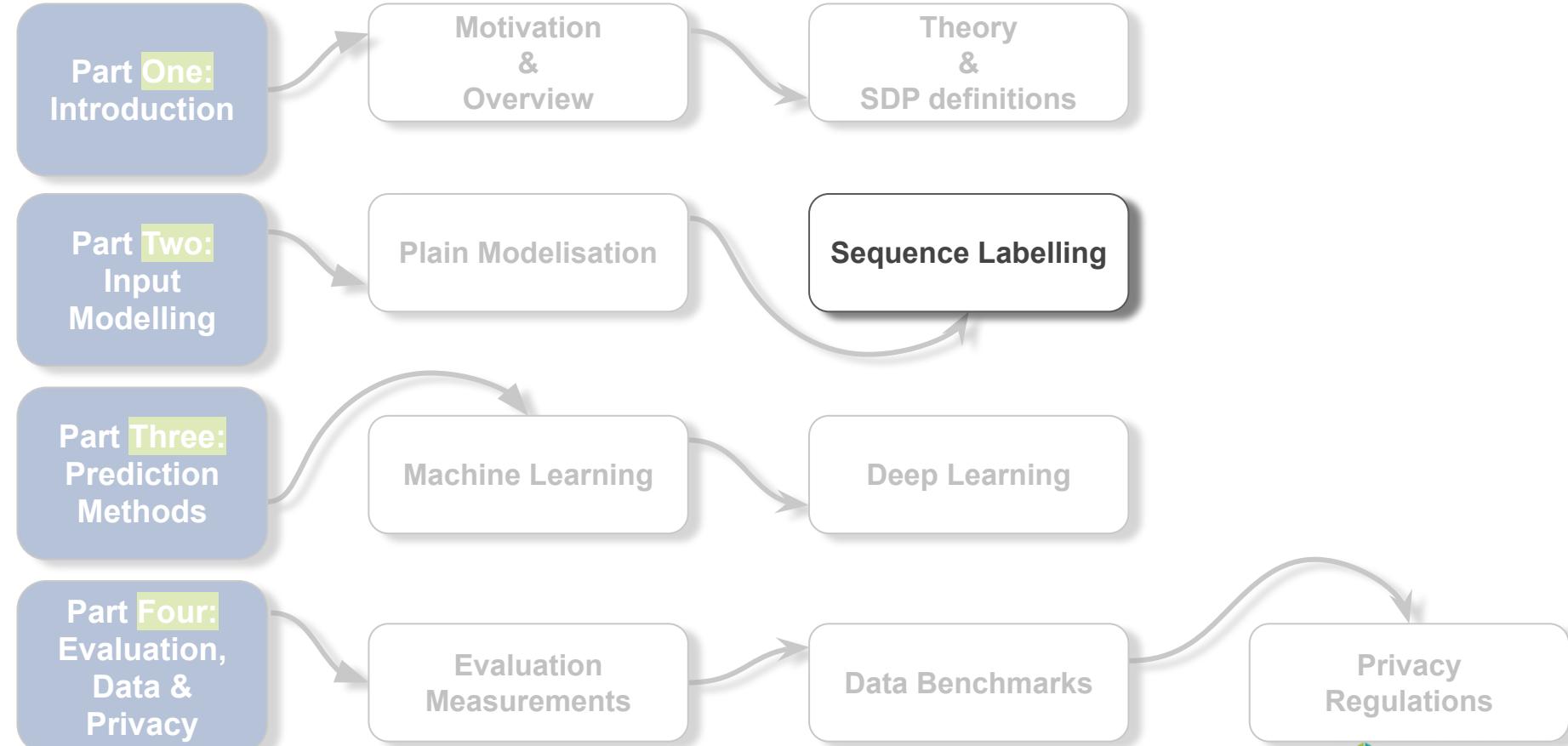
[66] Carly Robinson, Michael Yeomans, Justin Reich, Chris Hulleman, and Hunter Gehlbach. 2016. Forecasting student achievement in MOOCs with natural language processing. In Proceedings of the 6th International Conference on Learning Analytics & Knowledge. ACM, New York, NY, 383–387.

[75] Annika Wolff, Zdenek Zdrahal, Andriy Nikolov, and Michal Pantucek. 2013. Improving retention: Predicting at-risk students by analysing clicking behaviour in a virtual learning environment. In Proceedings of the 3rd International Conference on Learning Analytics and Knowledge. ACM, New York, NY, 145–149.

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**Break Time**  
10 minutes

# Roadmap



# Sequence Labelling

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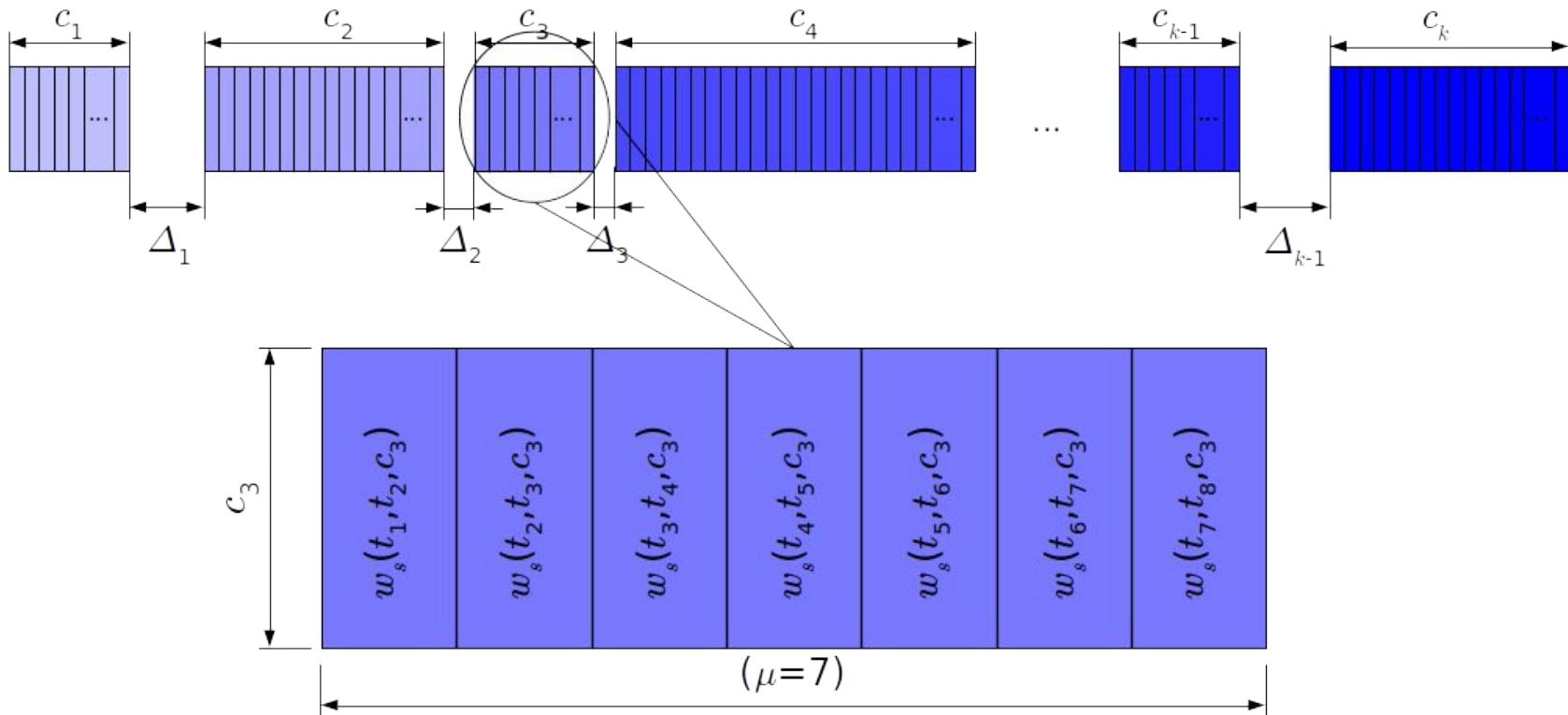
- Discrete-time temporal series
  - Clickstream-based schema
  - Forum-intervention-based schema
- Temporal networks
  - Newcomers respond to all
  - Star networks

# Discrete-time temporal series

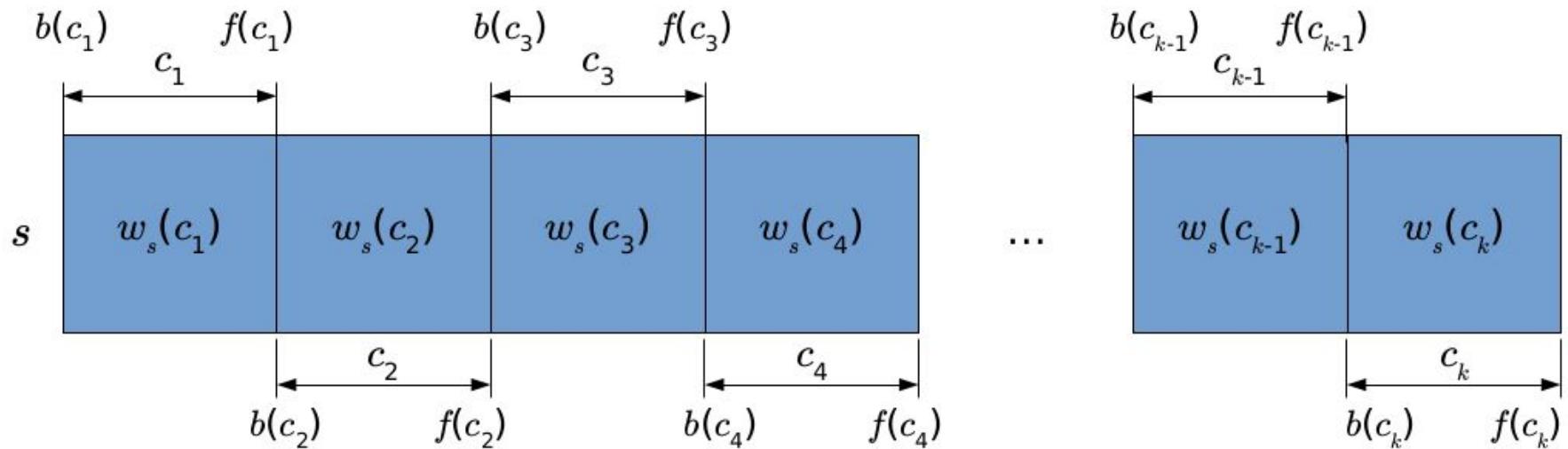
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- Shape raw data into *discrete time-series* of e-tivities
- **Discrete time-series** = set of observations recorded at time  $t$  belonging to a finite set of times  $T$
- Divide each course phase into  $\mu$  consecutive temporal slices
- **Interphasic gaps** or consecutive course phases?

# Discrete-time temporal series



# Discrete-time temporal series

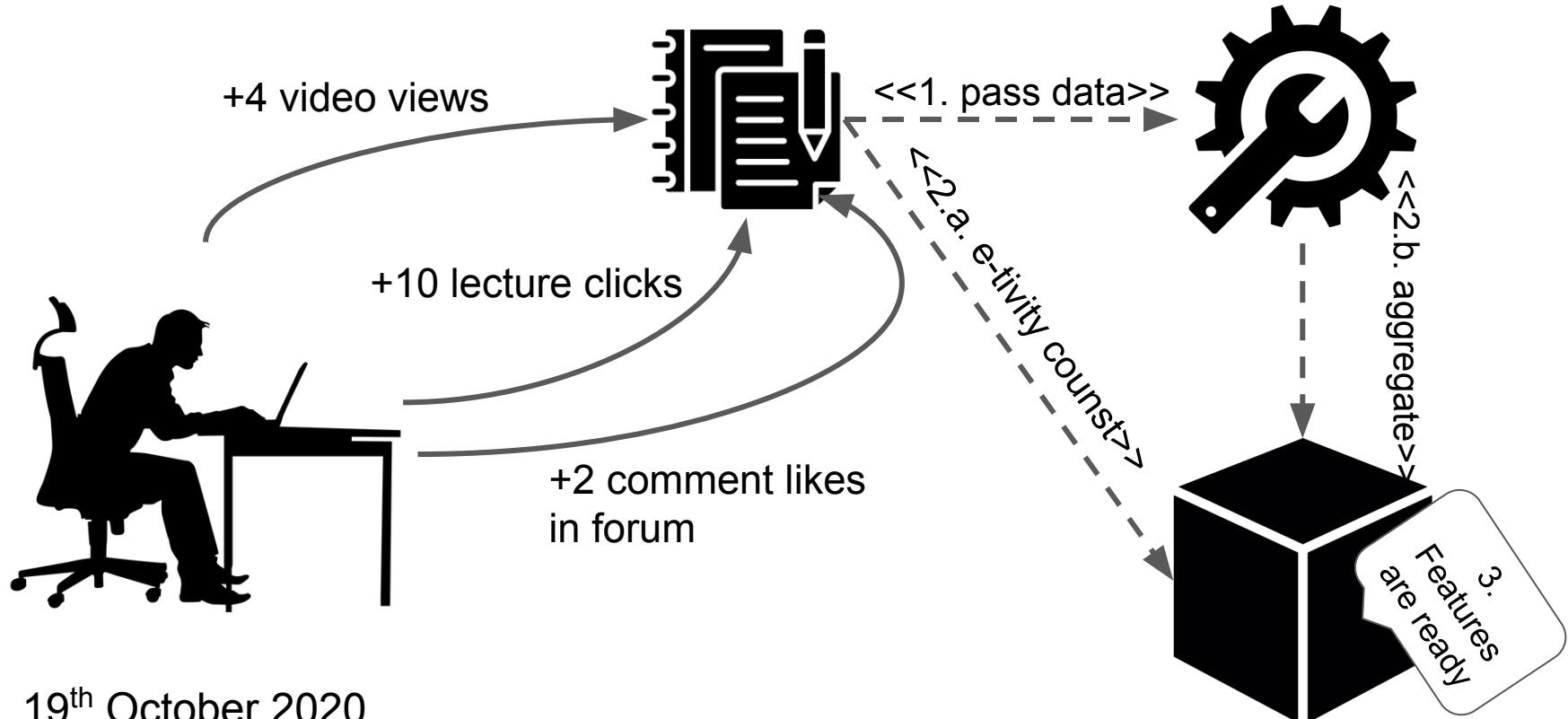


# Clickstream-based schema

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- Clicking resource e-tivities (e.g. page-view, video-view)
- Aggregate same type click e-tivities of each student
- **NO** forum thread discussions
- **NO** homework submission details

# Clickstream-based schema



19<sup>th</sup> October 2020

# Clickstream-based schema (e.g.)

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- Weekly aggregation of page and video views [41]
- Lecture views and quiz answers for active/passive engagements [65]
- Clickstream data from video viewing patterns [59,62]

[41] Marius Kloft, Felix Stiehler, Zhilin Zheng, and Niels Pinkwart. 2014. Predicting MOOC dropout over weeks using machine learning methods. In Proceedings of the EMNLP 2014 Workshop on Analysis of Large Scale Social Interaction in MOOCs 60–65

[65] Arti Ramesh, Dan Goldwasser, Bert Huang, Hal Daume III, and Lise Getoor. 2014. Learning latent engagement patterns of students in online courses. In 28th AAAI Conference on Artificial Intelligence.

[59] Saurabh Nagrecha, John Z. Dillon, and Nitesh V. Chawla. 2017. MOOC dropout prediction: lessons learned from making pipelines interpretable. In Proceedings of the 26th International Conference on World Wide Web Companion. International World Wide Web Conferences Steering Committee. 351–359.

[62] Jiezhong Qiu, Jie Tang, Tracy Xiao Liu, Jie Gong, Chenhui Zhang, Qian Zhang, and Yufei Xue. 2016. Modeling and predicting learning behavior in MOOCs. In Proceedings of the 9th ACM Int. Conf. on WSDM.. ACM, ACM, New York, NY, USA, 93–102.

# Clickstream-based schema (e.g.)

---

- Homework submissions, grades, and user clicks [33]
- Lecture views, downloads, and quiz attempts [24]
- Behavioural patterns [50] and characteristics from weekly records [14]

[33] Jiazen He, James Bailey, Benjamin I.P. Rubinstein, and Rui Zhang. 2015. Identifying at-risk students in massive open online courses. In 29th AAAI Conference on Artificial Intelligence.

[24] Mi Fei and Dit-Yan Yeung. 2015. Temporal models for predicting student dropout in massive open online courses. In 2015 IEEE ICDMW. IEEE, 256–263.

[50] Wentao Li, Min Gao, Hua Li, Qingyu Xiong, Junhao Wen, and Zhongfu Wu. 2016. Dropout prediction in MOOCs using behavior features and multi-view semi-supervised learning. In 2016 IJCNN. IEEE, 3130–3137.

[14] Jing Chen, Jun Feng, Xia Sun, Nannan Wu, Zhengzheng Yang, and Susheng Chen. 2019. MOOC Dropout Prediction Using a Hybrid Algorithm Based on Decision Tree and Extreme Learning Machine. Mathematical Problems in Engineering 2019 (2019)

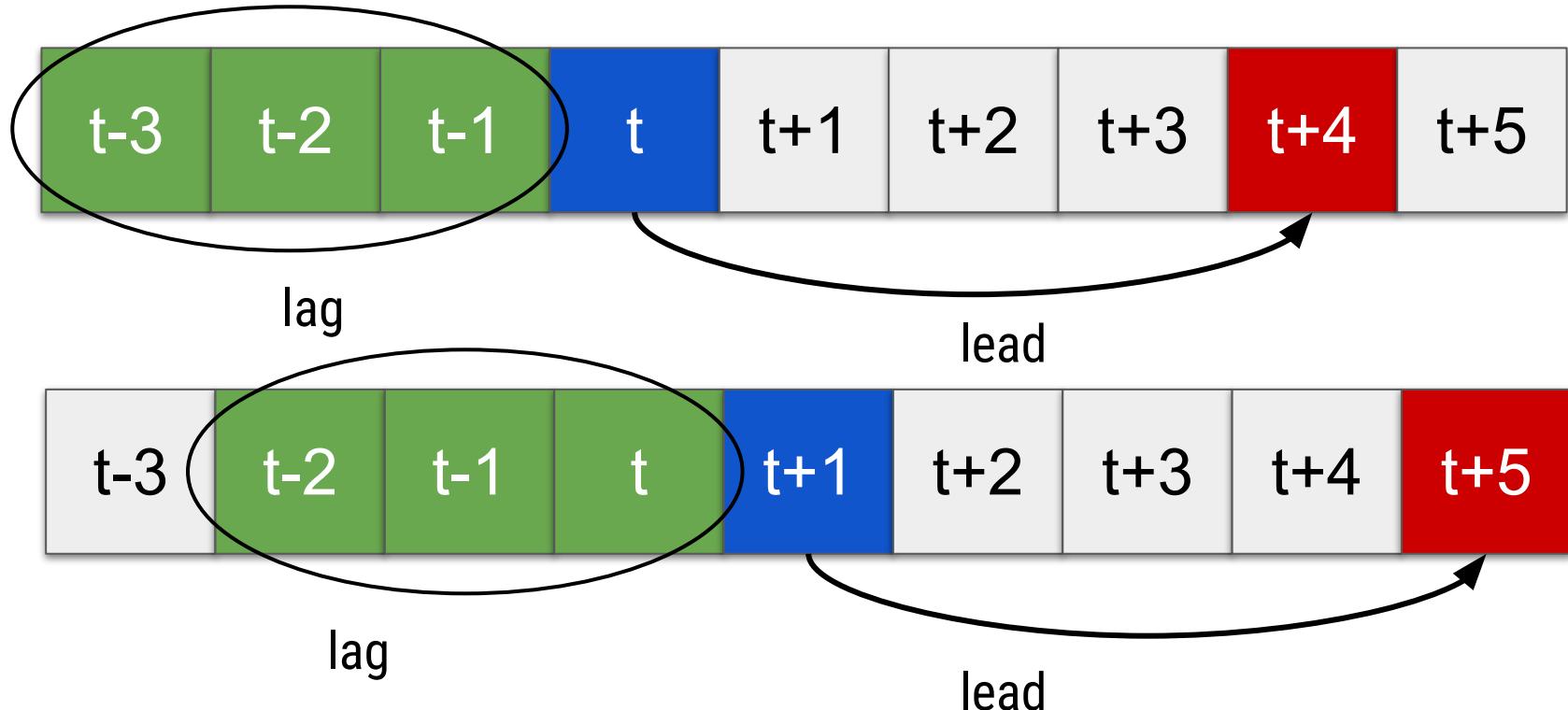
# Clickstream-based schema (e.g. [72])

---

- Compute statistics at the end of a week
  - Average number of attempts on each assignment done by week i
- Introduction of **lag** and **lead**
- Use a portion (lag) of the history of a student and predict in the future (lead)

[72] Colin Taylor, Kalyan Veeramachaneni, and Una-May O'Reilly. 2014. Likely to stop? predicting stopout in massive open online courses.

# Clickstream-based schema (e.g. [72])



[72] Colin Taylor, Kalyan Veeramachaneni, and Una-May O'Reilly. 2014. Likely to stop? predicting stopout in massive open online courses.

# Forum-intervention-based schema

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- Students discuss with their peers and tutors
- Thread initiatives, comments, and replies
- Use forum-derived data and NLP metrics in each course phase
  - Total number of responses
  - Text length/density
  - ...

# Forum-intervention-based schema (e.g.)

---

- Linguistic characteristics and structural typology [65]
- Investigate forum views, thread initiations, posts & comments [24]
- Derived features and student homophily correlation [62]

[65] Arti Ramesh, Dan Goldwasser, Bert Huang, Hal Daume III, and Lise Getoor. 2014. Learning latent engagement patterns of students in online courses. In 28th AAAI Conference on Artificial Intelligence.

[24] Mi Fei and Dit-Yan Yeung. 2015. Temporal models for predicting student dropout in massive open online courses. In 2015 IEEE ICDMW. IEEE, 256–263.

[62] Jiezhong Qiu, Jie Tang, Tracy Xiao Liu, Jie Gong, Chenhui Zhang, Qian Zhang, and Yufei Xue. 2016. Modeling and predicting learning behavior in MOOCs. In Proceedings of the 9th ACM Int. Conf. on WSDM.. ACM, ACM, New York, NY, USA, 93–102.

# Temporal networks

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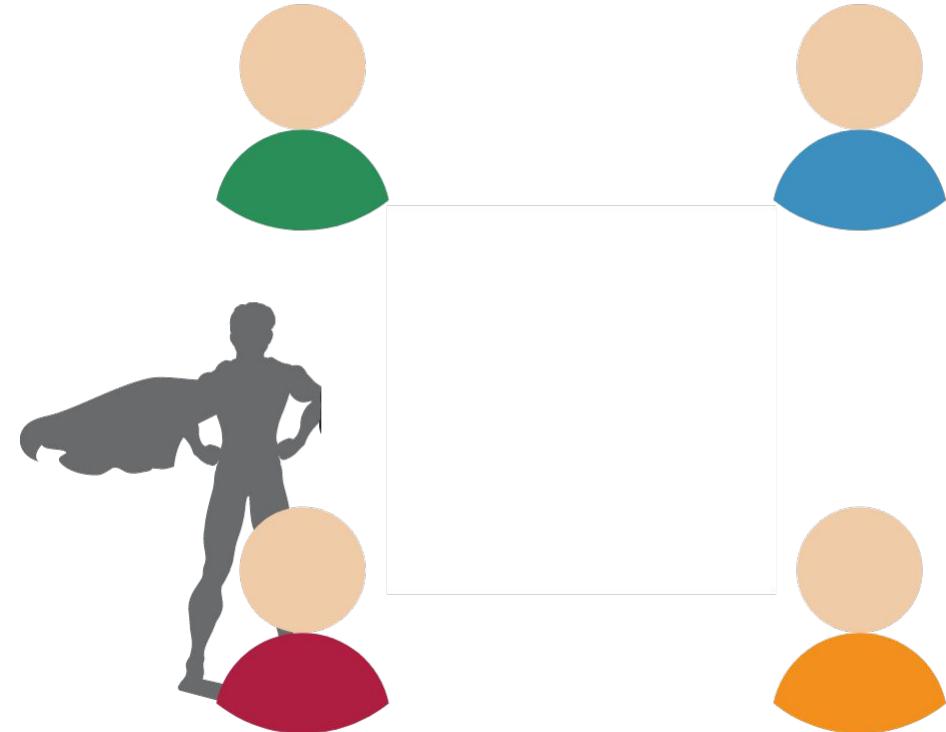
- Distinguish hugely **engaging** students from less determined students
- Commenting and replying to threads suggest a **lower** dropout probability
- Capture both **temporal & structural** properties



# Temporal networks

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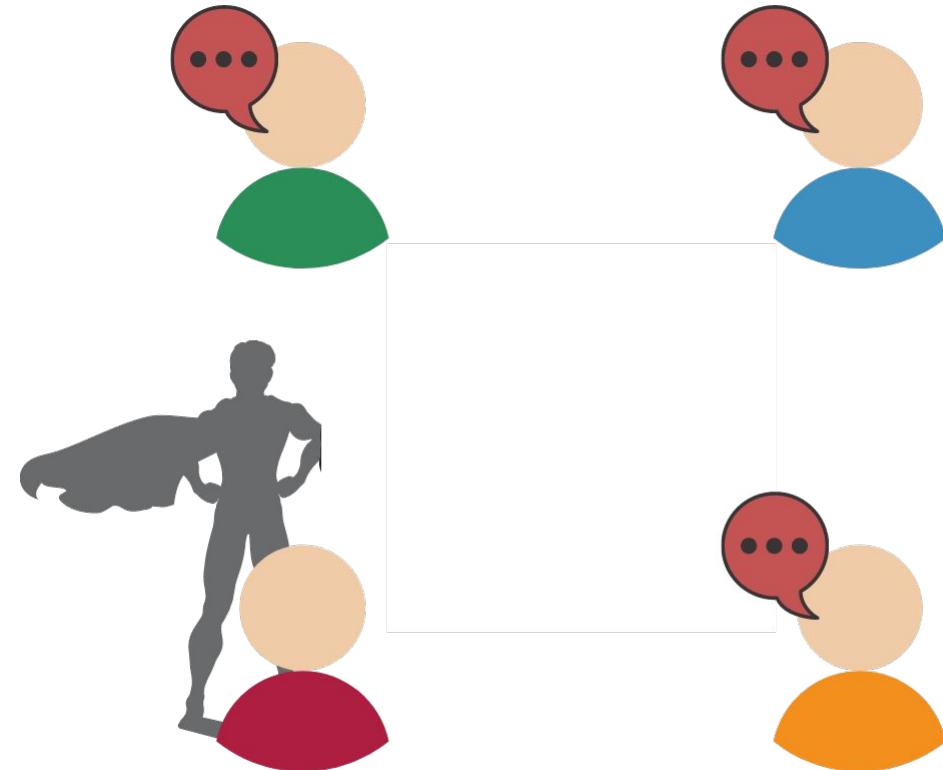
- **Thread init** = the action of creating a new argument  $\theta$  w/  $[0,n]$  comments. The student that inits the thread is noted as  $OP(\theta)$



# Temporal networks

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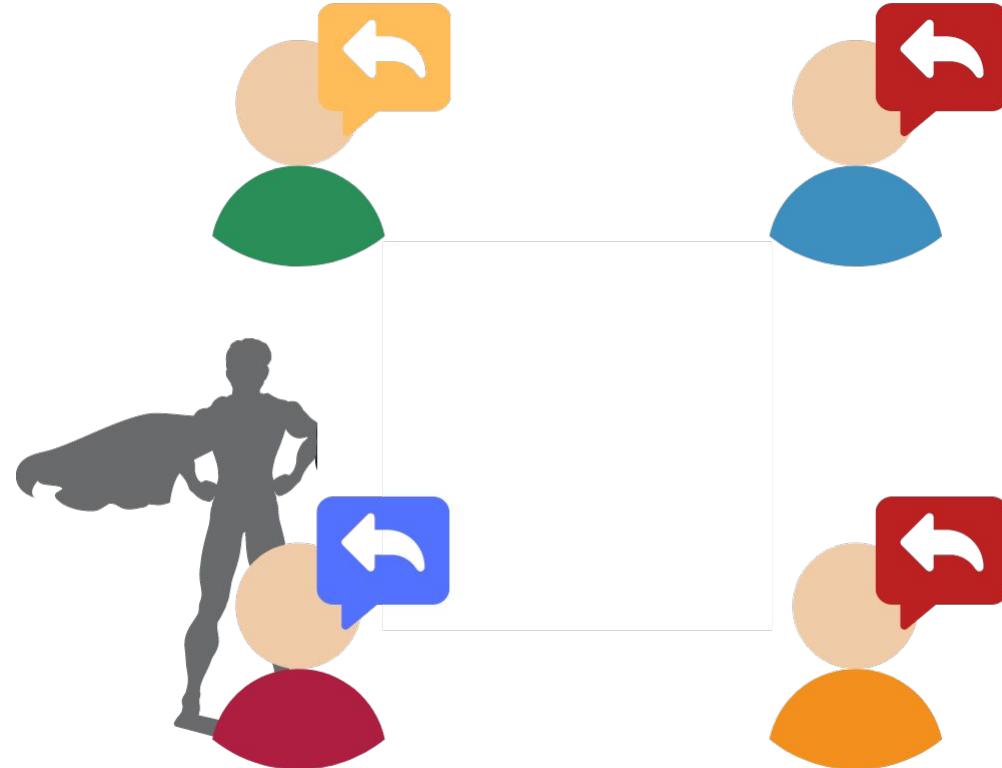
- **Comment** = posts directly related to the thread w/ [0,n] replies



# Temporal networks

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- **Reply** = responses to comment messages w/ other nested replies if applicable



# Temporal networks (formalisation [6,56])

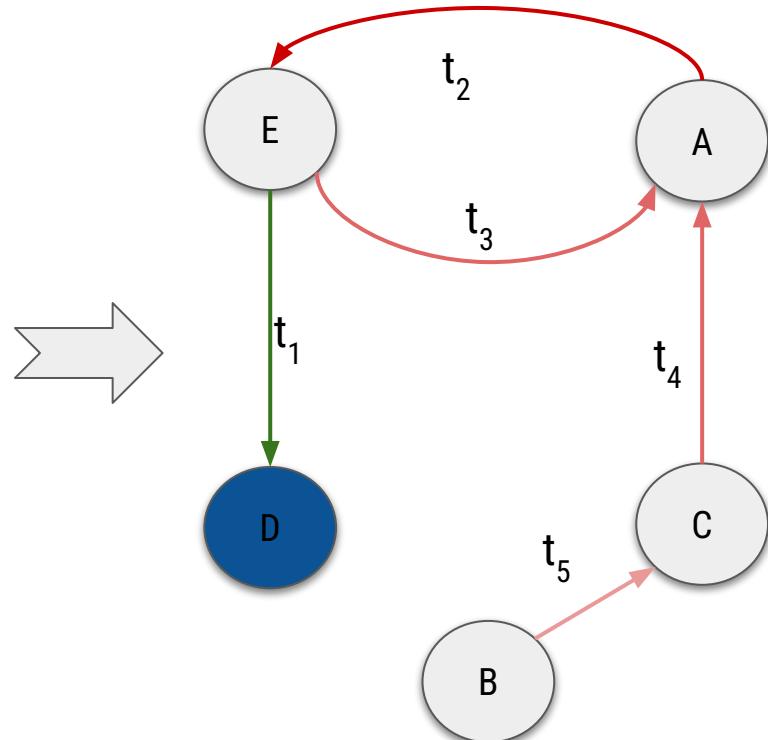
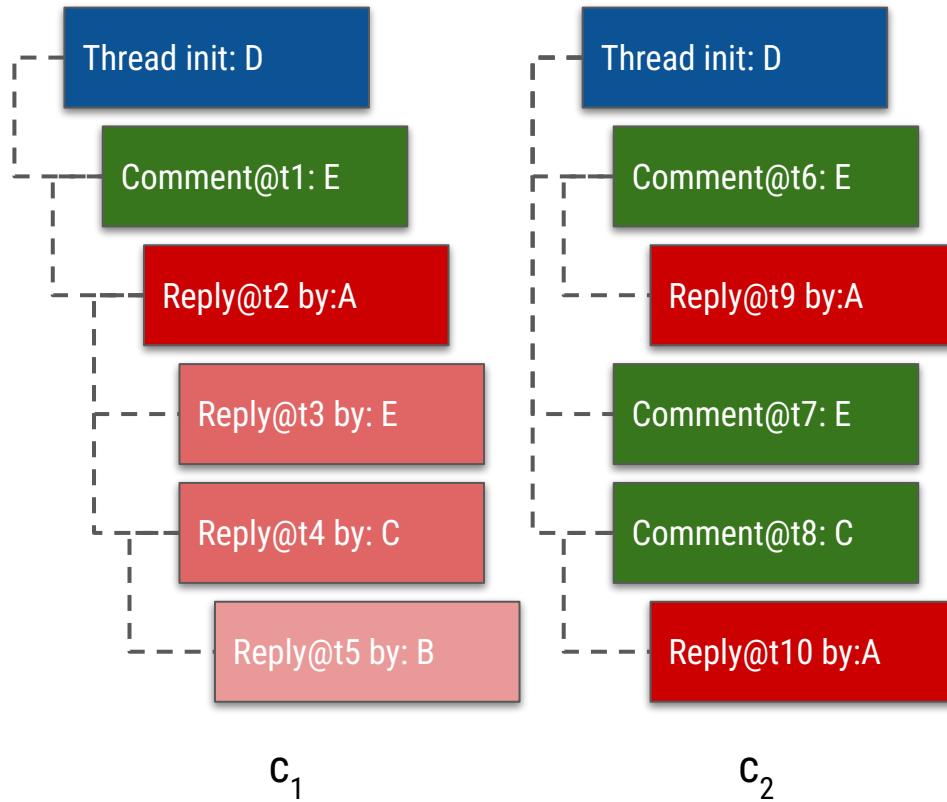
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- $\Theta_c = \{\theta^1, \theta^2, \dots, \theta^x\}$
- Forum-based network for  $\theta^r \in \Theta_c$  in  $[t_b, t_f]$  = labelled multidigraph  $\mathcal{M}_{[t_b, t_f]}^{\theta^r} = (V, A, \ell_A)$
- A is the set of arcs (s',s'')
  - s' comments thread  $\theta^r$  that s'' has generated
  - s' replies to a comment of s'' in thread  $\theta^r$
- $\ell_A : A \rightarrow \mathcal{T}$  is a function that maps the edges (s',s'') to timestamps

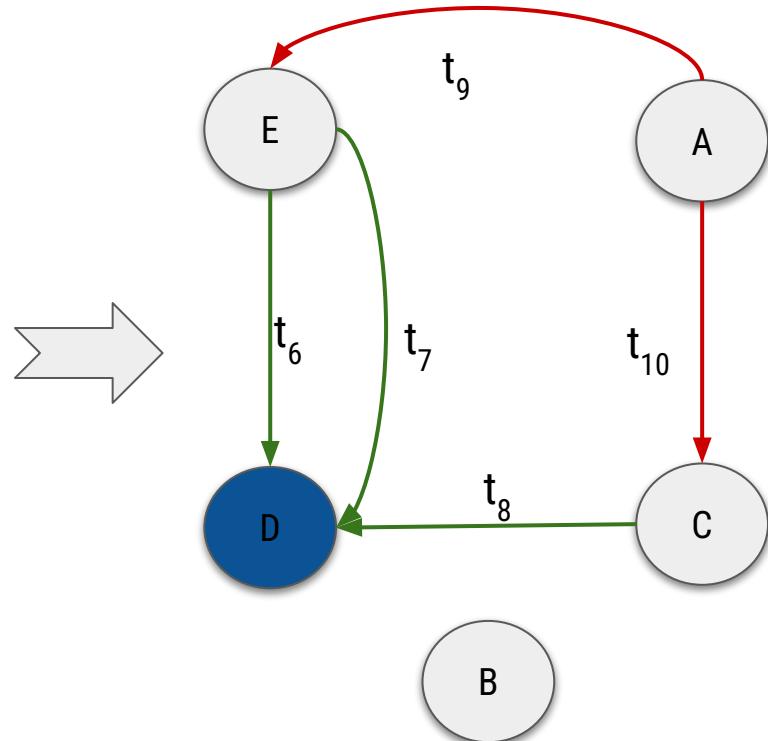
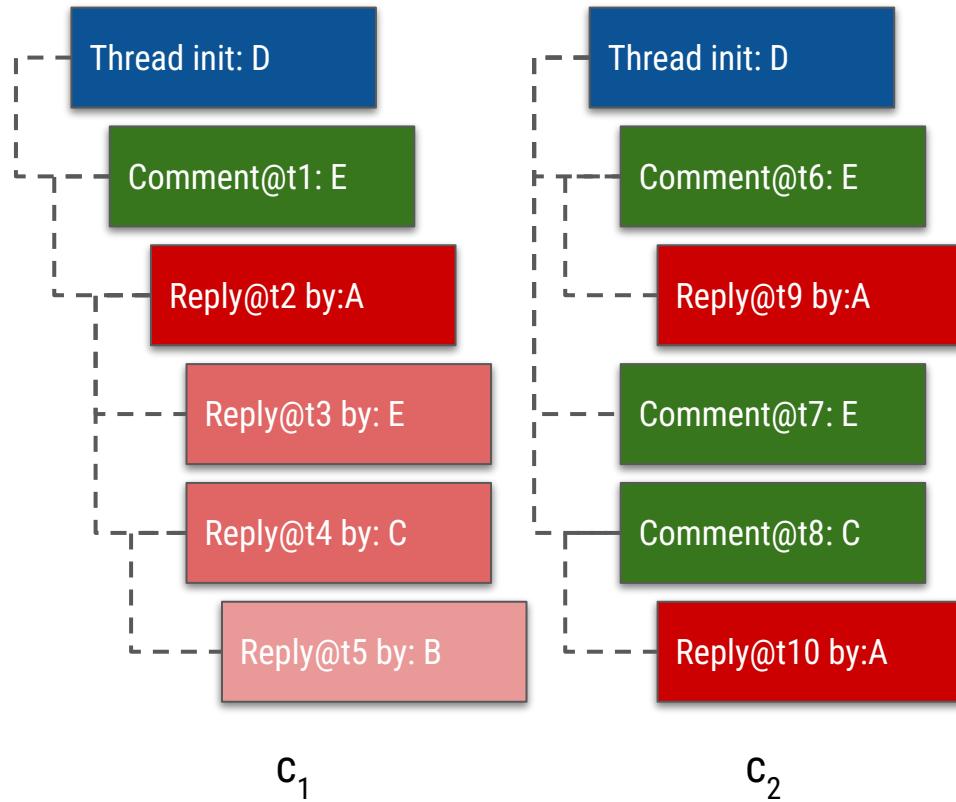
[6] V.K. Balakrishnan. 1997. Schaum's Outline of Graph Theory: Including Hundreds of Solved Problems . McGraw Hill Professional, New York, NY, USA.

[56] Othon Michail. 2016. An introduction to temporal graphs: An algorithmic perspective. Internet Mathematics 12, 4 (2016), 239–280

# Temporal networks (e.g.)



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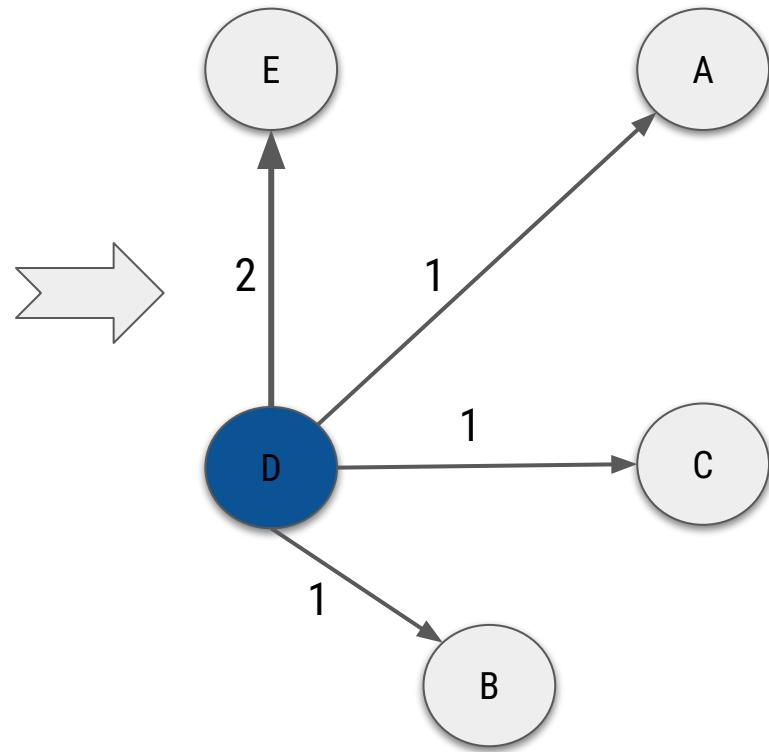
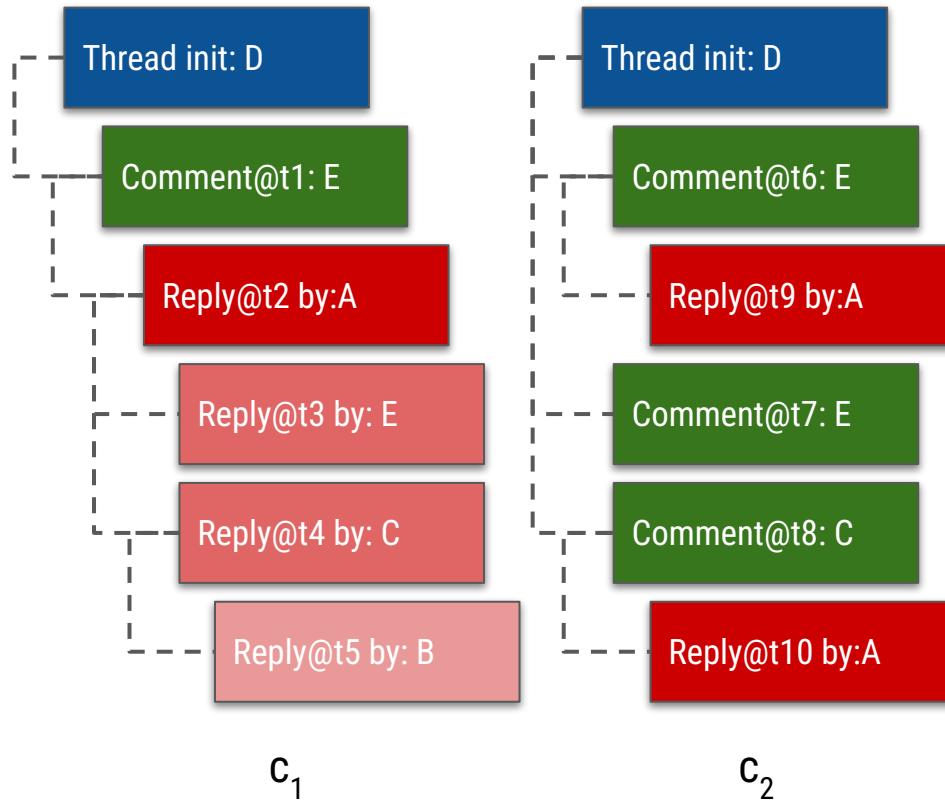
# Thread initiator w/ outgoing edges (e.g. [77])

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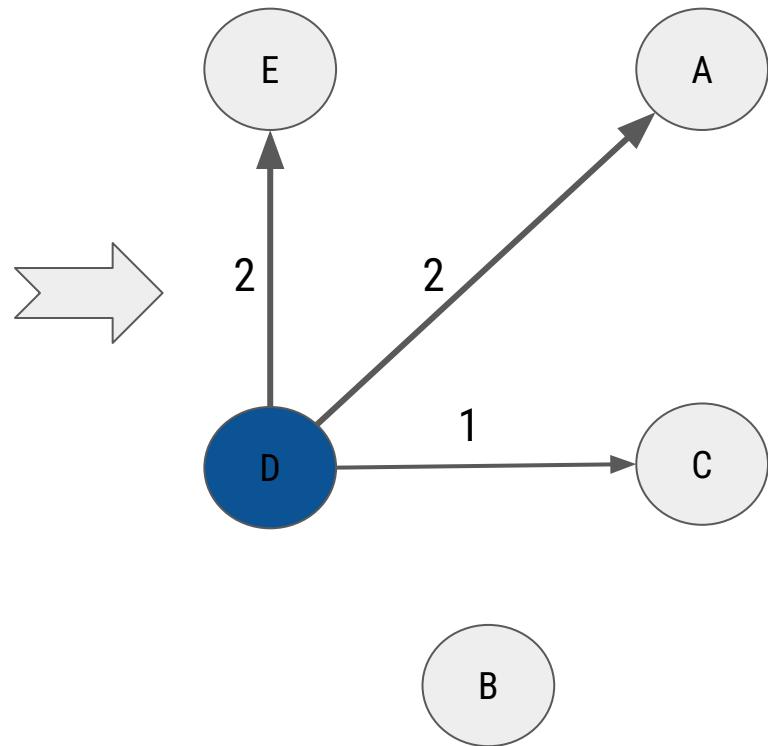
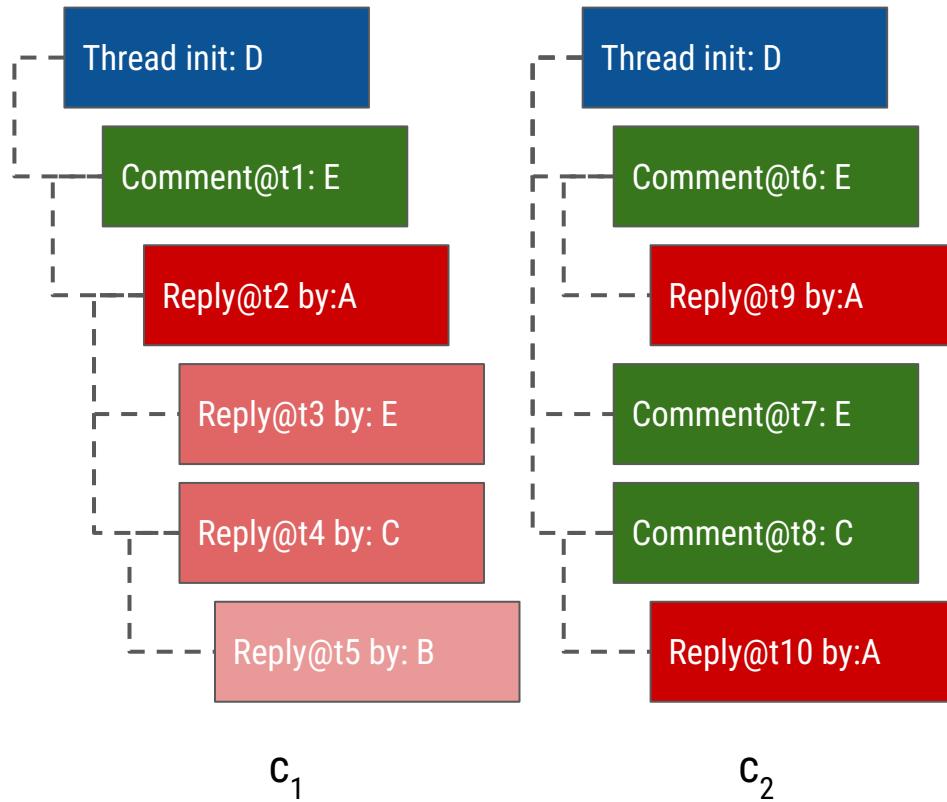
- Thread initiators have outward links to all students participating in the discussion
- **Transpose** our multidigraph in each course phase
  - No parallel connections
  - Label multiple connections with the number of interactions between the end-points

[77] Diyi Yang, Tanmay Sinha, David Adamson, and Carolyn Penstein Rosé. 2013. Turn on, tune in, drop out: Anticipating student dropouts in massive open online courses. In Proceedings of the 2013 NIPS Data-driven education workshop. Vol. 11. Curran Associates, Inc., USA, 14.

# Thread initiator w/ outgoing edges (e.g. [77])



# Thread initiator w/ outgoing edges (e.g. [77])



# Newcomers respond to all (e.g. [11,30])

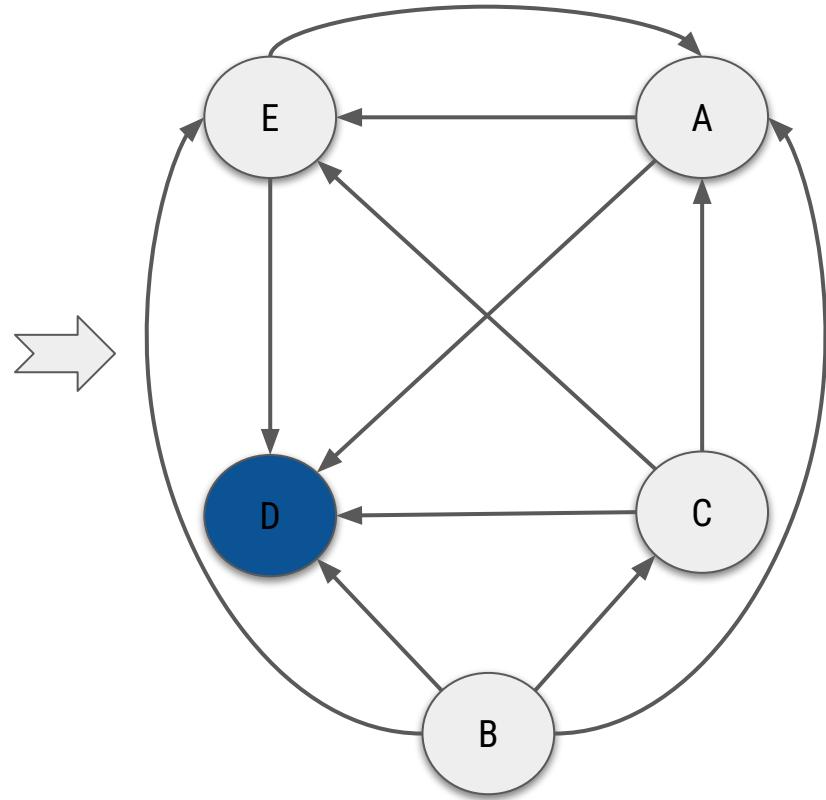
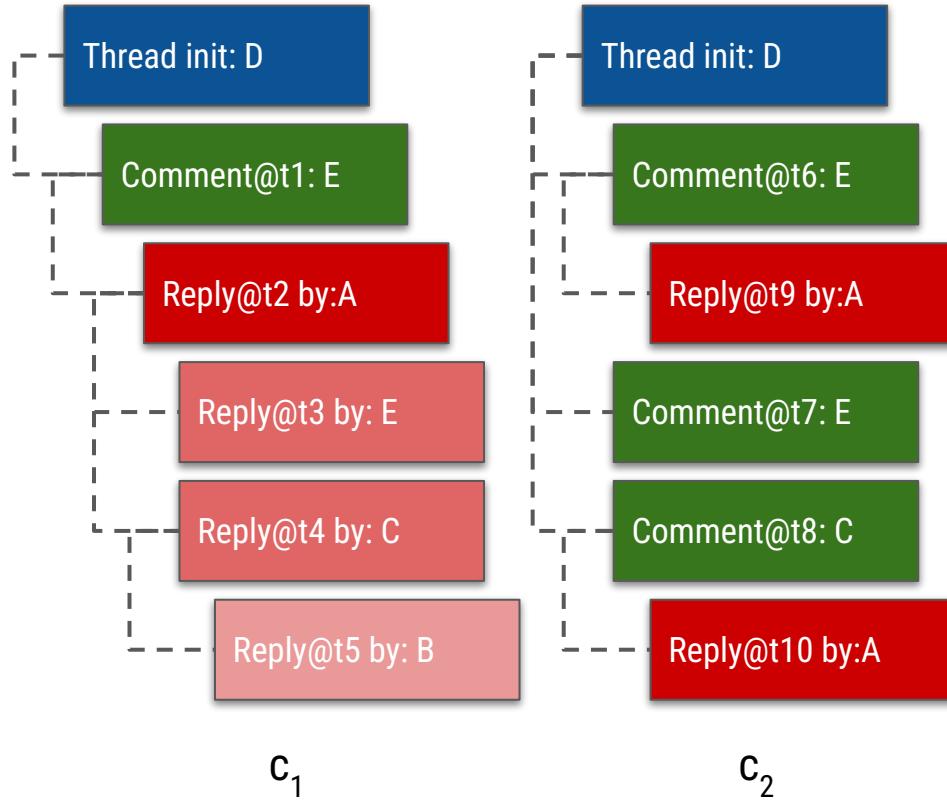
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- **Assumption:** every new participant has read the previous comments/replies in a thread = **respond to everyone**
- **Transpose** our multidigraph in each course phase
  - No parallel connections
  - No labels for multiple connections between the same endpoints

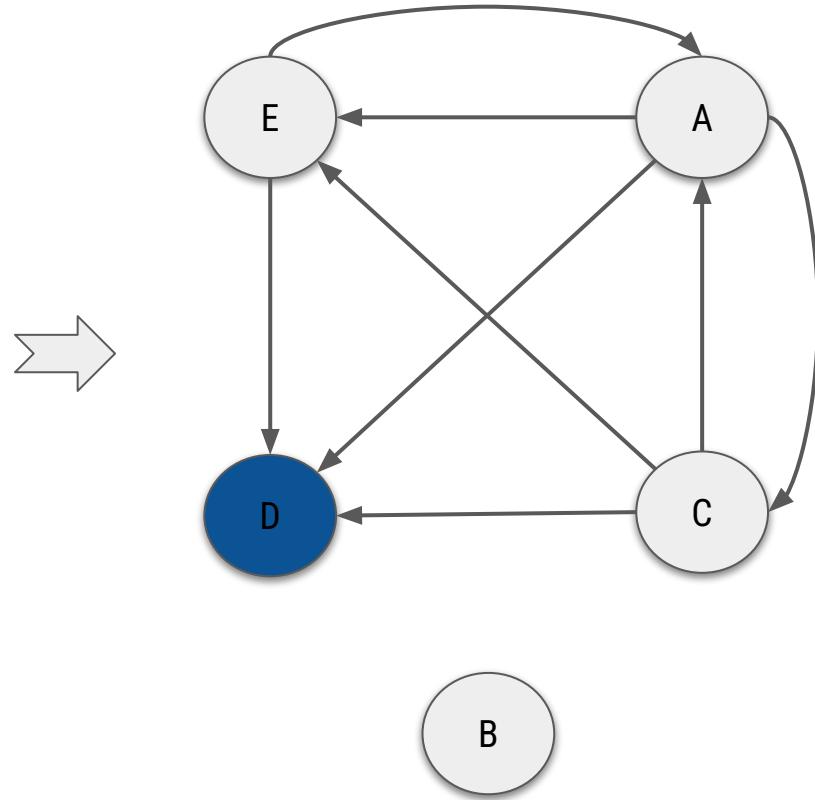
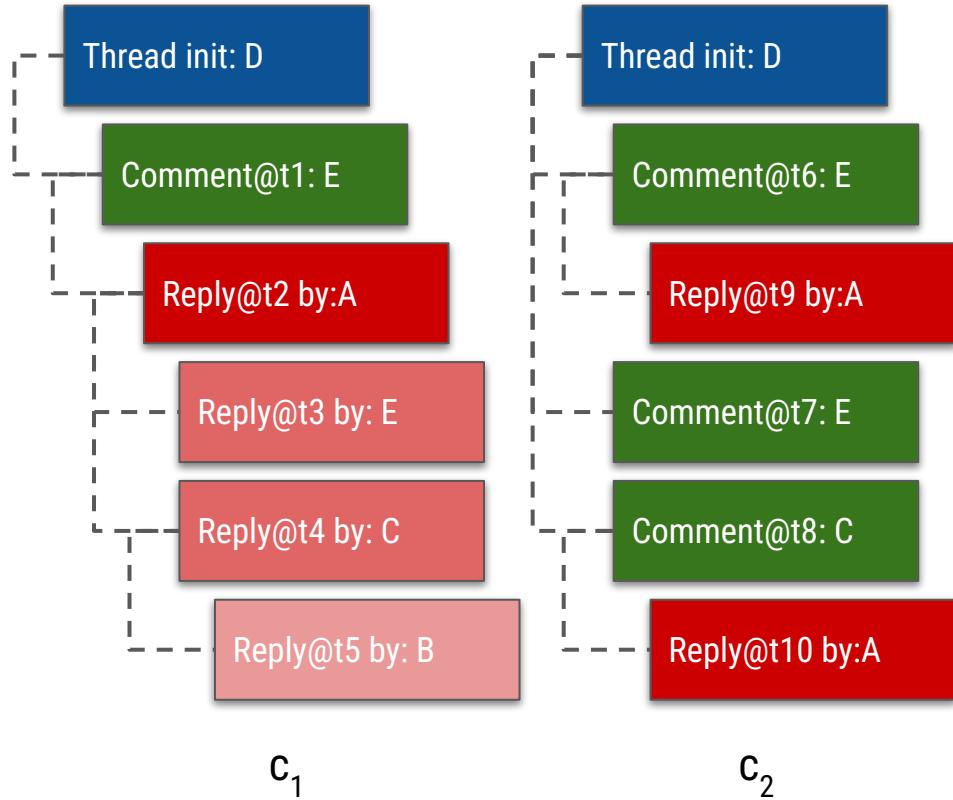
[11] Rebecca Brown, Collin Lynch, Yuan Wang, Michael Eagle, Jennifer Albert, Tiffany Barnes, Ryan Shaun Baker, Yoav Bergner, and Danielle S. McNamara. 2015. Communities of Performance & Communities of Preference. In CEUR Workshop Proceedings, Vol. 1446. CEUR-WS, USA

[30] Niki Gitinabard, Farzaneh Khoshnevisan, Collin F. Lynch, and Elle Yuan Wang. 2018. Your Actions or Your Associates? Predicting Certification and Dropout in MOOCs with Behavioral and Social Features.

# Newcomers respond to all (e.g. [11,30])



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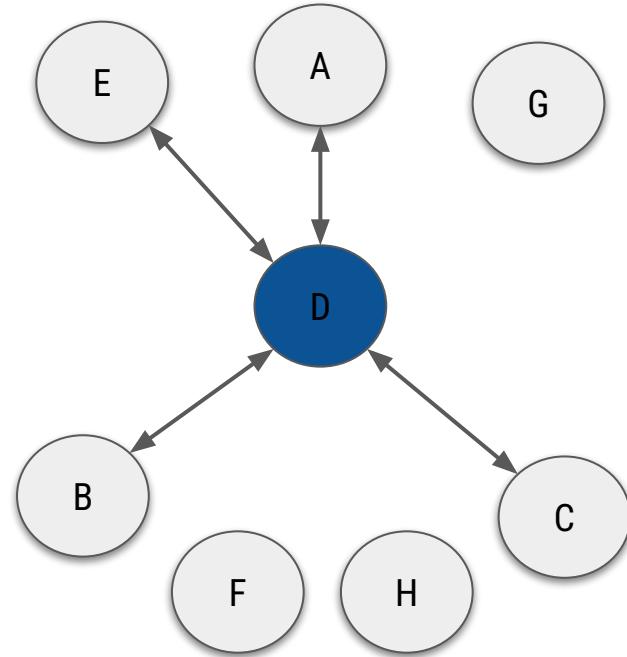
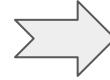
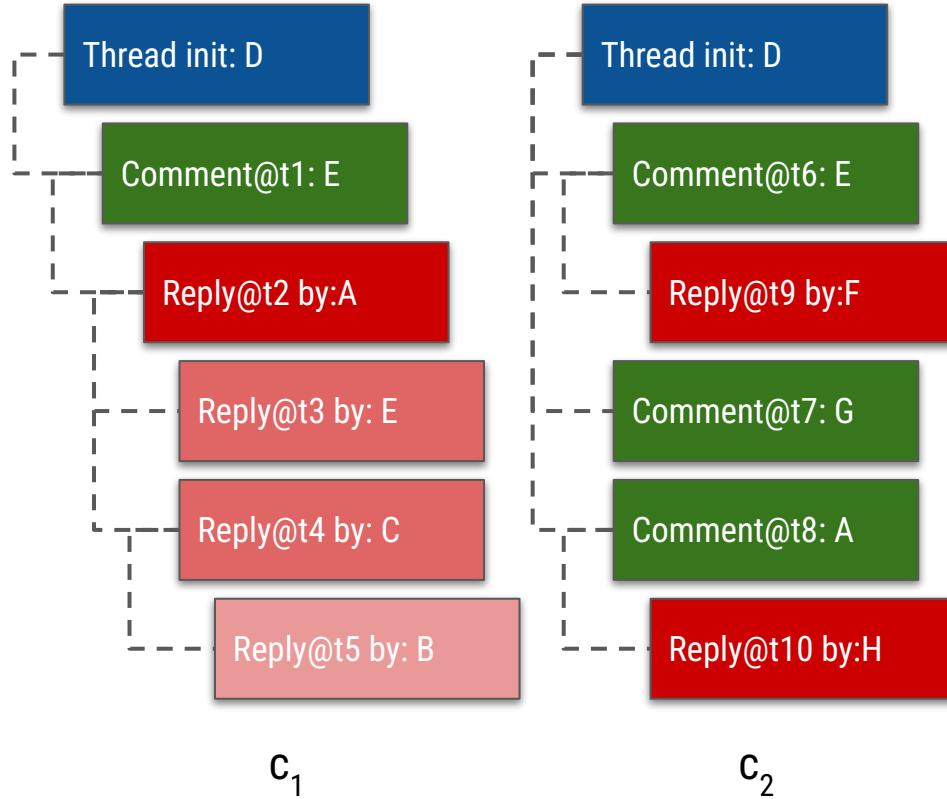
# Temporal star network (e.g. [30,80])

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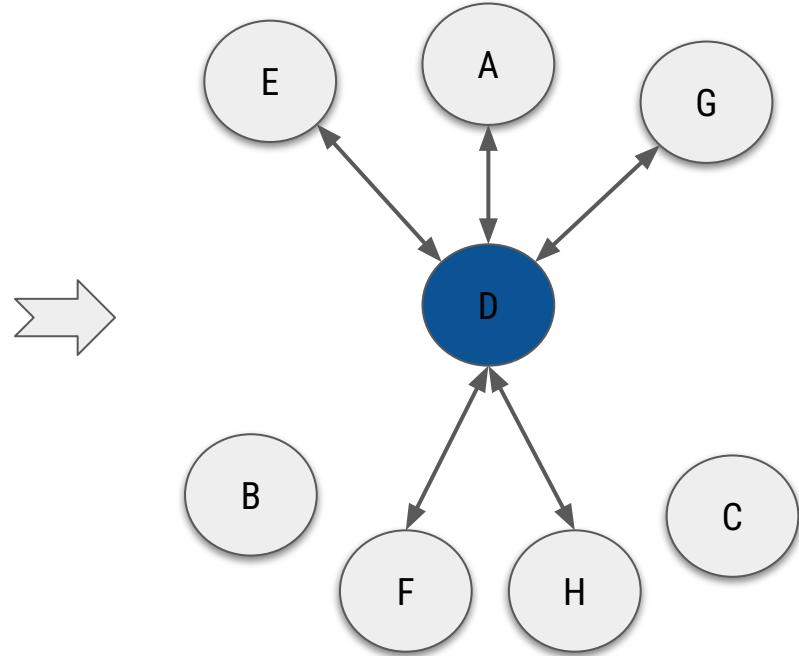
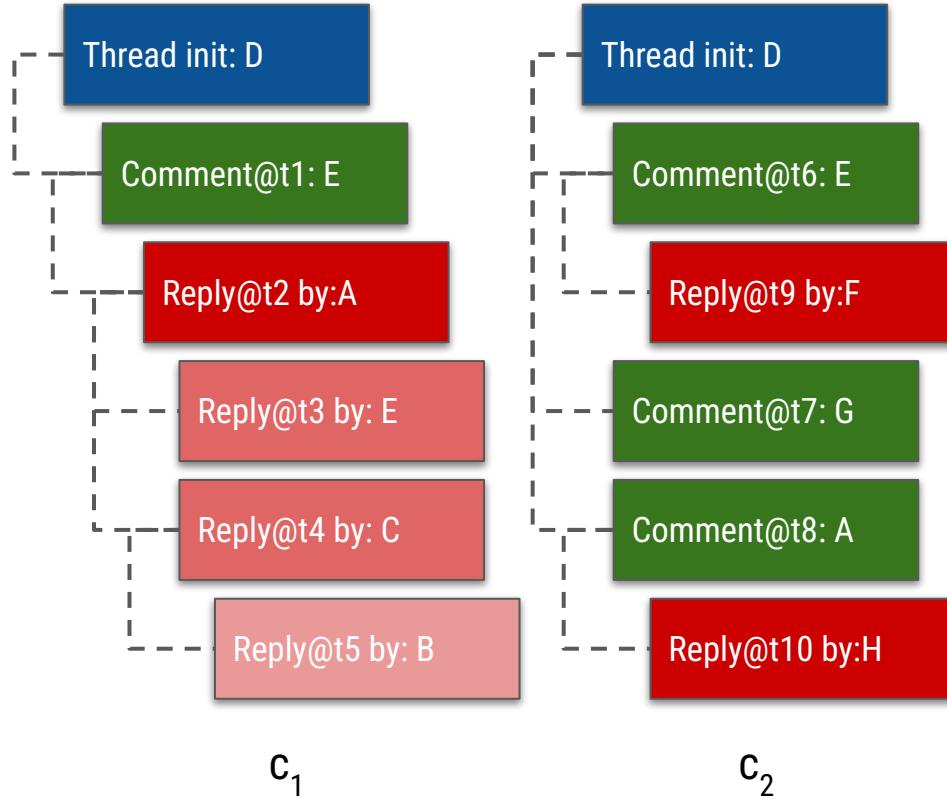
- **Thread-tracing** networks
- Comments and replies are the same
- Connect all the students with  $OP(\theta)$
- **Transform** our multidigraph in each course phase
  - Bidirectional edges between  $OP(\theta)$  and all participants
  - Thread starters are at the centre of a star network

[80] Mengxiao Zhu, Yoav Bergner, Yan Zhan, Ryan Baker, Yuan Wang, and Luc Paquette. 2016. Longitudinal engagement, performance, and social connectivity: a MOOC case study using exponential random graph models. In Proceedings of the Sixth International Conference on Learning Analytics & Knowledge. ACM, ACM, New York, NY, USA, 223–230

# Temporal star network (e.g. [30,80])



# Temporal star network (e.g. [30,80])



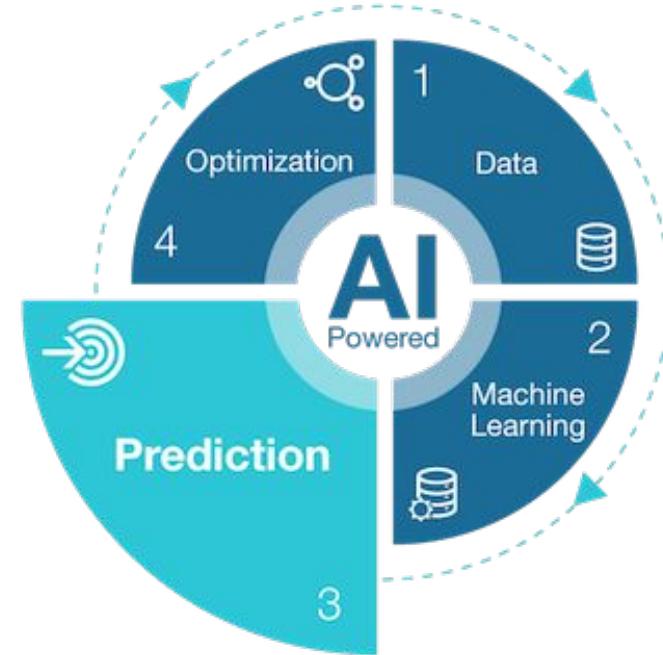
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# **Part Three**

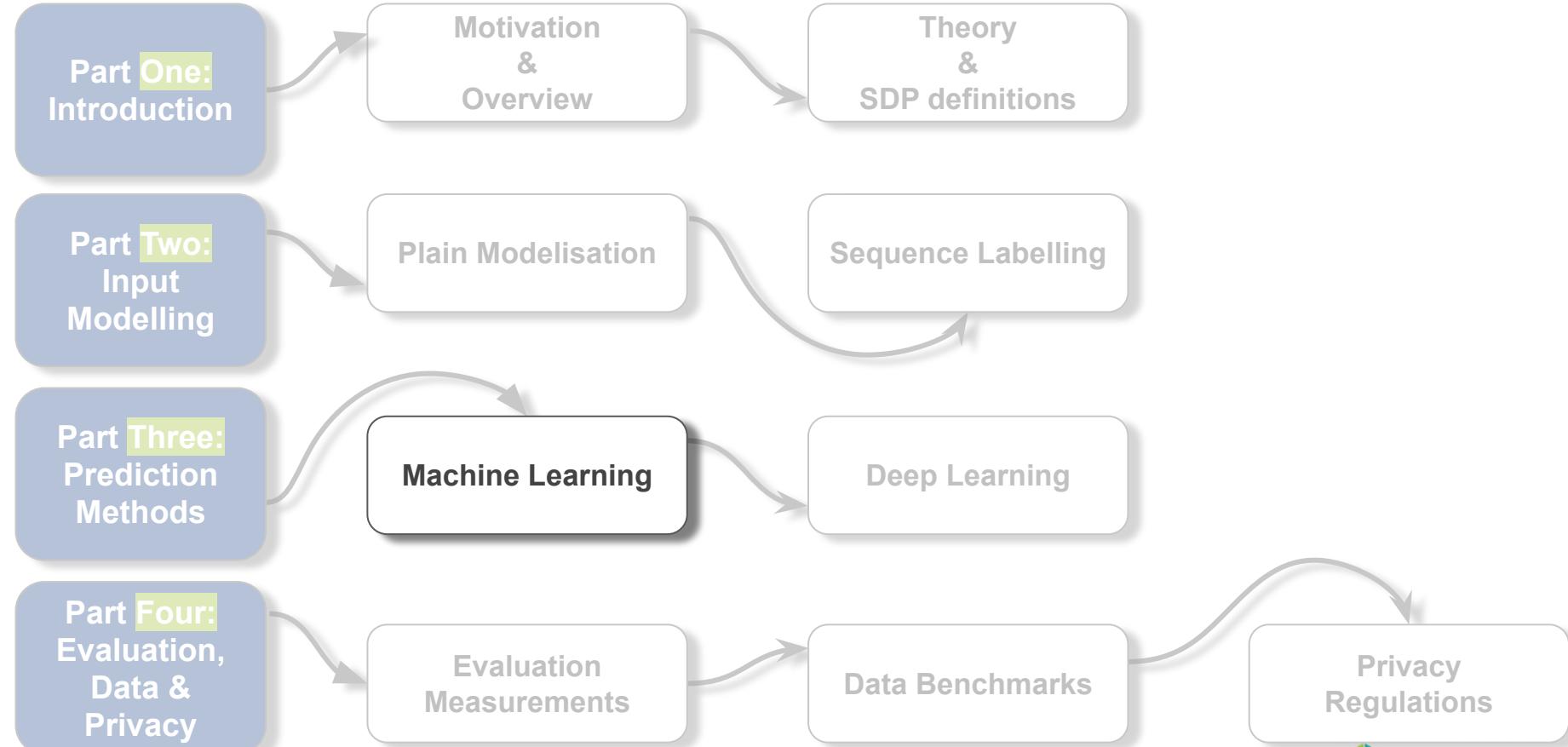
# **Prediction Methods**

# Prediction methods in SDP

- **Classic learning methods**
  - Typical machine learning algorithms
  - Widely supported by programmatic frameworks
  - **Mostly plain modelisation**
- **Deep learning strategies**
  - Complex strategies based on recurrent and convolutional neural networks
  - **Avoid manual feature engineering**



# Roadmap



# Classic learning methods

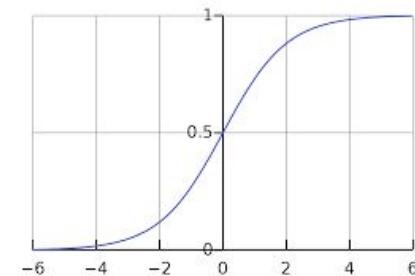
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- **Off-the-shelf:**
  - Logistic Regression
  - Naive Bayes Classifiers
  - Support-Vector Machine
  - Decision Tree
- **Ensembles:**
  - Random Forest
  - AdaBoost
- **Feed-Forward Neural Network**

# Logistic Regression

Logistic Regression is a supervised probabilistic binary classifier that attempts to learn a decision function by estimating the classification probability of a given vector of features' values.

$$y^* = P(y = 1|x) = \sigma(w^T x + b)$$



- Adjust weights to reduce the error

$$f(z) = \frac{1}{1+e^{-z}}$$

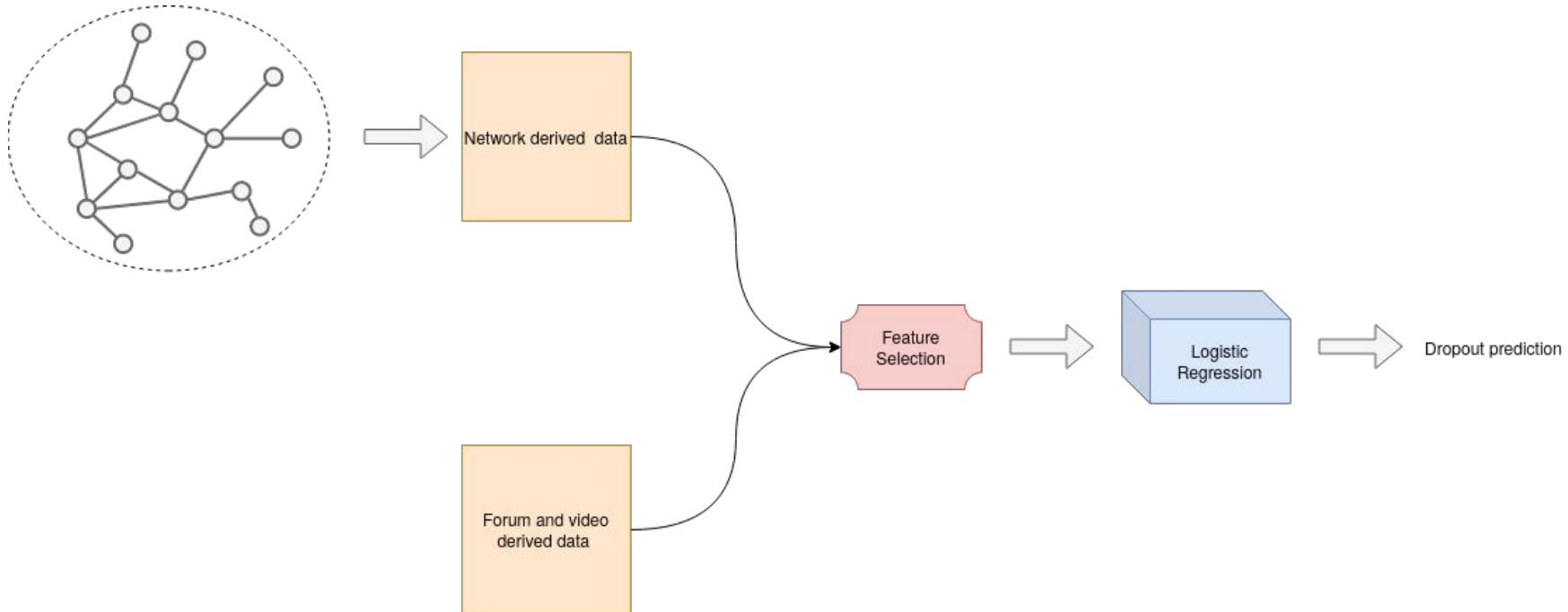
[30] Niki Gitinabard, Farzaneh Khoshnevisan, Collin F. Lynch, and Elle Yuan Wang. 2018. Your actions or your associates? Predicting certification and dropout in MOOCs with behavioral and social features.

[33] Jiazen He, James Bailey, Benjamin I. P. Rubinstein, and Rui Zhang. 2015. Identifying at-risk students in massive open online courses. In Proceedings of the 29th AAAI Conference on Artificial Intelligence.

[66] Carly Robinson, Michael Yeomans, Justin Reich, Chris Hulleman, and Hunter Gehlbach. 2016. Forecasting student achievement in MOOCs with natural language processing. In Proceedings of the 6th International Conference on Learning Analytics & Knowledge. ACM, New York, NY, 383–387.

[72] Colin Taylor, Kalyan Veeramachaneni, and Una-May O'Reilly. 2014. Likely to stop? Predicting stopout in massive open online courses.

# Logistic Regression (e.g. [30])



[30] Niki Gitinabard, Farzaneh Khoshnevisan, Collin F. Lynch, and Elle Yuan Wang. 2018. Your actions or your associates? Predicting certification and dropout in MOOCs with behavioral and social features.

# Naive Bayes Classifiers

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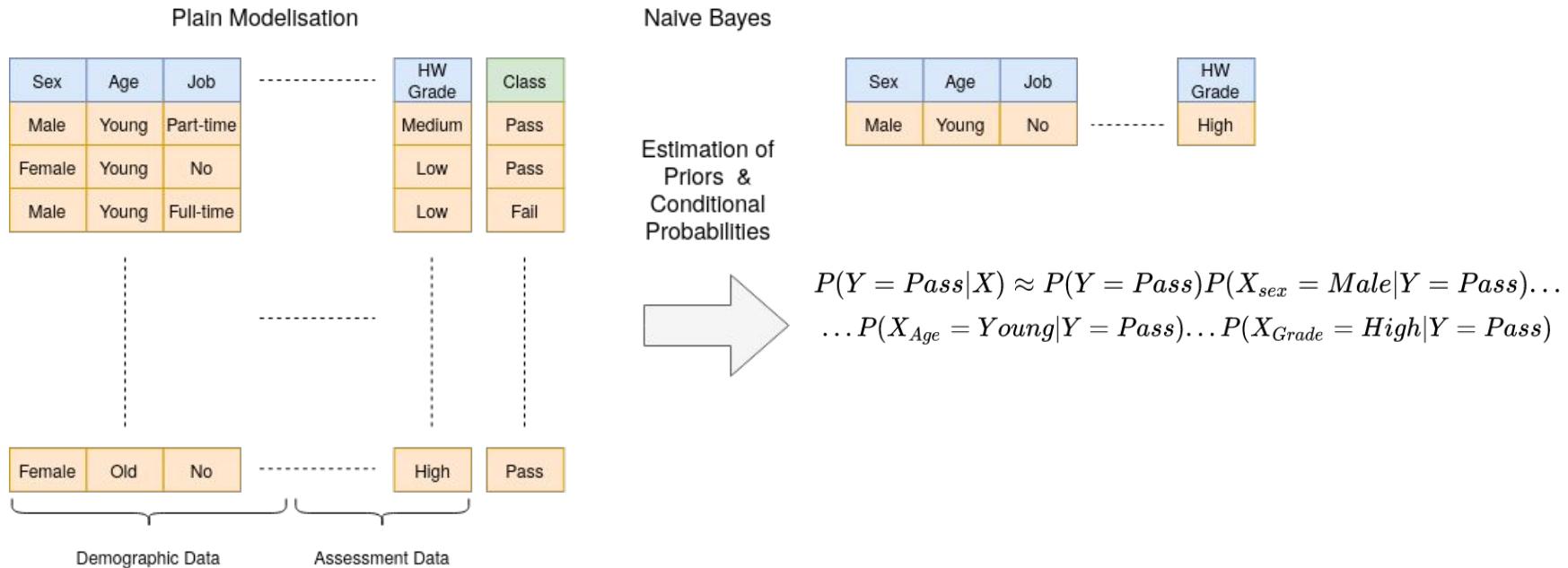
Naive Bayes classifiers are a set of supervised probabilistic classifiers based on Bayes' theorem with the “naive” assumption.

$$y^* \approx \text{argmax}_{y_i} = P(Y = y_i | X = x_j) = P(Y = y_i) \prod_{j=1}^d P(X = x_j | Y = y_i)$$

- **Naive assumption:** Each feature contributes independently to the outcome
- Naive Bayes estimates the probabilities by Maximum Likelihood

[29] Elena Gaudioso, Miguel Montero, and Felix Hernandez-Del-Olmo. 2012. Supporting teachers in adaptive educational systems through predictive models: A proof of concept. *Exp. Syst. Applic.* 39, 1 (2012), 621–625.  
[44] Sotiris Kotsiantis, Christos Pierrakeas, and Panagiotis Pintelas. 2003. Preventing student dropout in distance learning using machine learning techniques. In Proceedings of the International Conference on Knowledge-based and Intelligent Information and Engineering Systems. Springer, New York, NY, 267–274.  
[45] Sotiris Kotsiantis, Christos Pierrakeas, Ioannis Zaharakis, and Panagiotis Pintelas. 2003. Efficiency of Machine Learning Techniques in Predicting Students Performance in Distance Learning Systems. University of Patras Press, 297–306.  
[50] Wentao Li, Min Gao, Hua Li, Qingyu Xiong, Junhao Wen, and Zhongfu Wu. 2016. Dropout prediction in MOOCs using behavior features and multi view semi-supervised learning. In Proceedings of the International Joint Conference on Neural Networks (IJCNN’16). IEEE, 3130–3137.  
[54] Laci Mary Barbosa Manhães, Sérgio Manuel Serra da Cruz, and Geraldo Zimbrão. 2014. WAVE: An architecture for predicting dropout in undergraduate courses using EDM. In Proceedings of the 29th ACM Symposium on Applied Computing. ACM, New York, NY, 243–247.

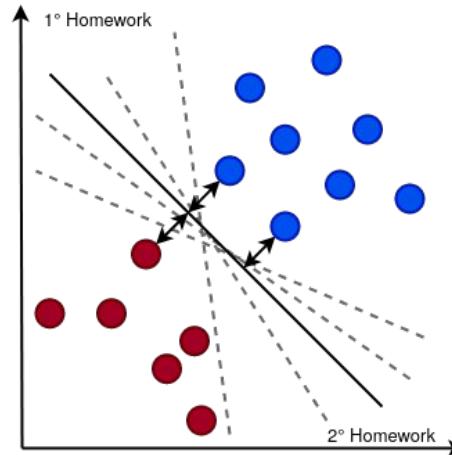
# Naive Bayes Classifiers (e.g. [45])



# Support-Vector Machine

Support-Vector Machine (SVM) is a supervised algorithm that attempts to separate classes of data in the feature space using a hyperplane.

- Handle nonlinear feature space

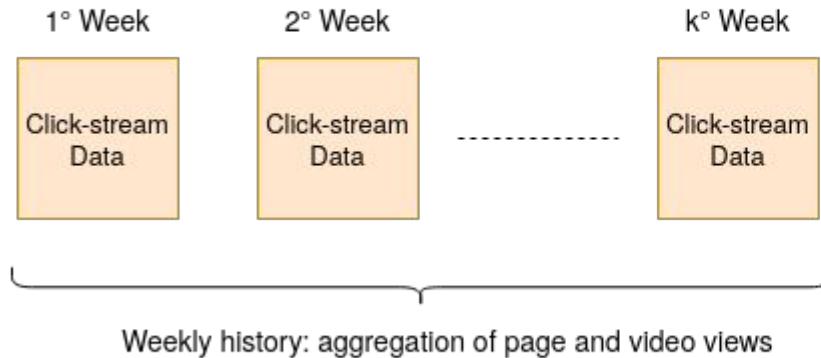


[3] Bussaba Amnueyponsakul, Suma Bhat, and Phakpoom Chinprutthiwong. 2014. Predicting attrition along the way: The UIUC model. In Proceedings of the EMNLP Workshop on Analysis of Large Scale Social Interaction in MOOCs. Association for Computational Linguistics, 55–59.

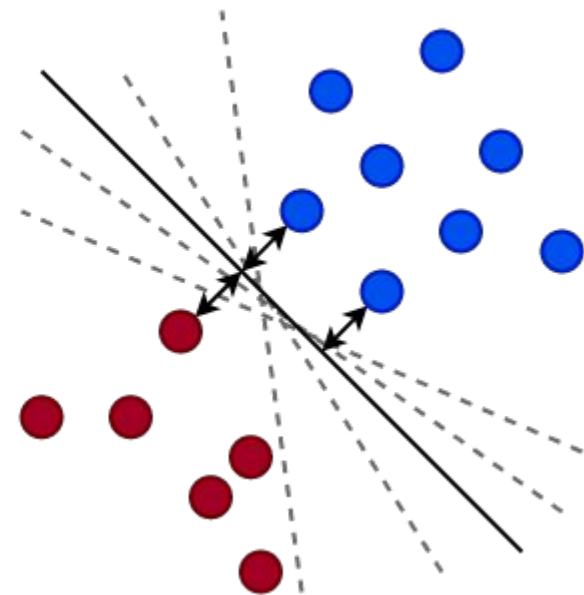
[41] Marius Kloft, Felix Stiehler, Zhilin Zheng, and Niels Pinkwart. 2014. Predicting MOOC dropout over weeks using machine learning methods. In Proceedings of the EMNLP Workshop on Analysis of Large Scale Social Interaction in MOOCs. 60–65.

# Support-Vector Machine (e.g. [41])

Sequence Labelling: Clickstream-based schema



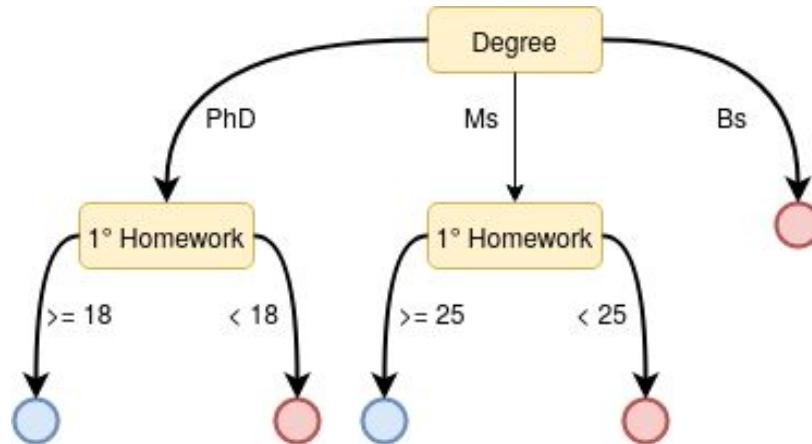
Dropout students in  $k+1^{\circ}$  Week



[41] Marius Kloft, Felix Stiehler, Zhilin Zheng, and Niels Pinkwart. 2014. Predicting MOOC dropout over weeks using machine learning methods. In Proceedings of the EMNLP Workshop on Analysis of Large Scale Social Interaction in MOOCs. 60–65.

# Decision Tree

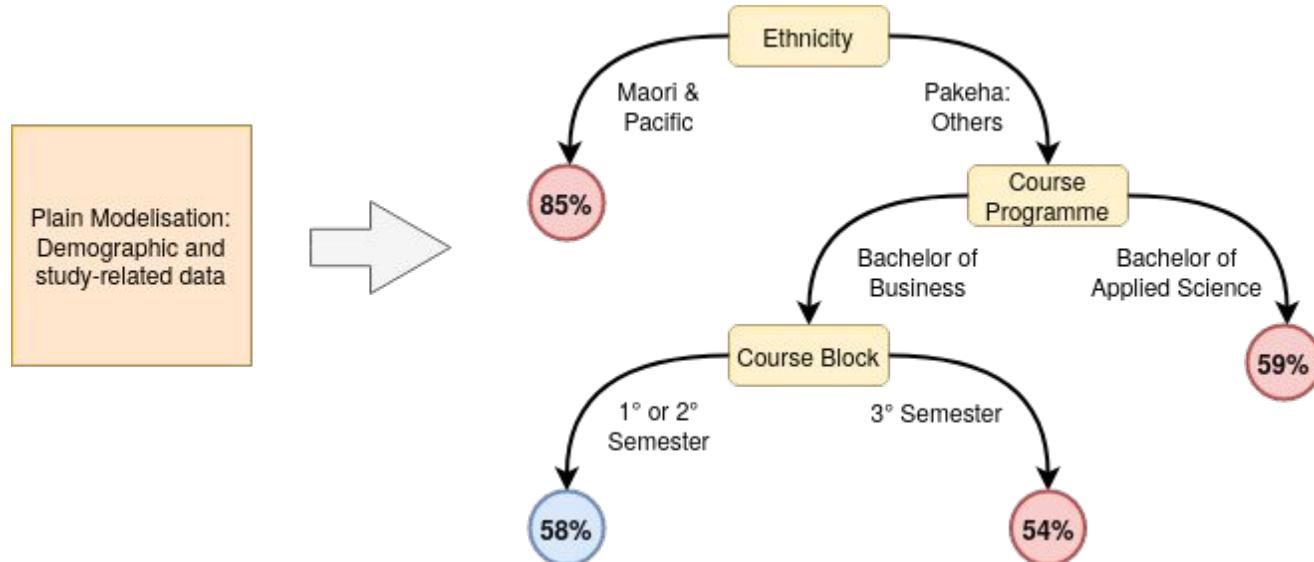
Decision Tree (dtree) is a rule-based supervised classifier that infers decision rules in a tree-like structure from the input data features.



- [1] Qasem A. Al-Radaideh, Emad M. Al-Shawakfa, and Mustafa I. Al-Najjar. 2006. Mining student data using decision trees. In Proceedings of the International Arab Conference on Information Technology (ACIT'2006). 1–5.
- [20] Gerben W. Dekker, Mykola Pechenizkiy, and Jan M. Vleeshouwer. 2009. Predicting students drop out: A case study. In Proceedings of the International Conference on Educational Data Mining (EDM'09).
- [42] Georgios Kostopoulos, Sotiris Kotsiantis, and Panagiotis Pintelas. 2015. Estimating student dropout in distance higher education using semi-supervised techniques. In Proceedings of the 19th Panhellenic Conference on Informatics. ACM, New York, NY, 38–43.
- [46] Zlatko J. Kovačić. 2010. Early prediction of student success: Mining student enrollment data. In Proceedings of the Informing Science & IT Education Conference. Citeseer.
- [59] Saurabh Nagrecha, John Z. Dillon, and Nitesh V. Chawla. 2017. MOOC dropout prediction: Lessons learned from making pipelines interpretable. In Proceedings of the 26th International Conference on World Wide Web Companion. International World Wide Web Conferences Steering Committee, 351–359.

# Decision Tree (e.g. [46])

Decision Tree for SDP in the Information Systems course at the Open Polytechnic of New Zealand



[46] Zlatko J. Kovačić. 2010. Early prediction of student success: Mining student enrollment data. In Proceedings of the Informing Science & IT Education Conference. Citeseer.

# Ensembles

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**Principle:** combine the outputs of several models to reduces the risk of making an incorrect prediction.

1. **Learning** phase: Multiple models are trained
  2. **Aggregation** phase: The final prediction is the result of the aggregation of the outcomes of the trained models
- Resistant to overfitting

# Ensembles: Random Forest

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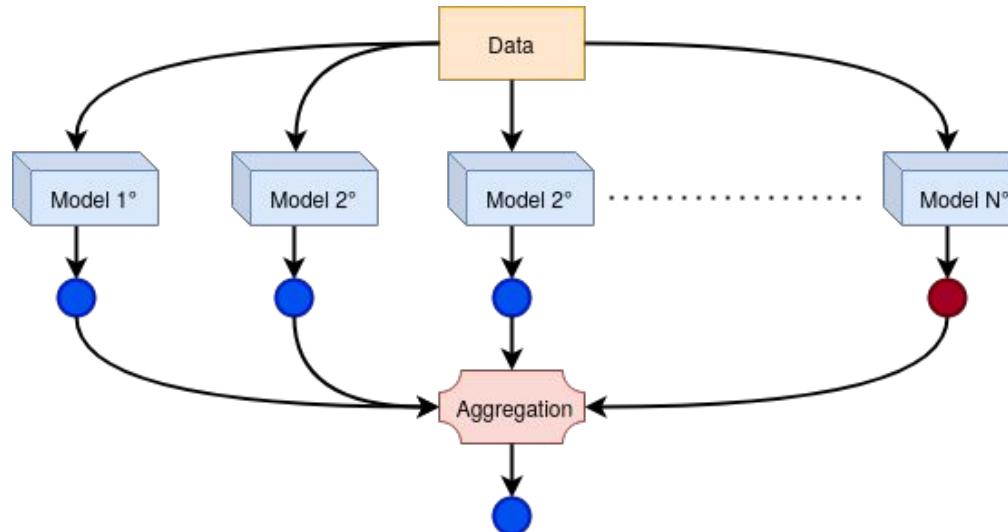
- **Learning phase:** Every d-tree is trained independently on a random subset of the input features
- **Aggregation phase:** The final outcome is the most predicted by the d-trees in the forest (i.e. majority voting)

[31] Cameron C. Gray and Dave Perkins. 2019. Utilizing early engagement and machine learning to predict student outcomes. *Comput. Educ.* 131 (2019), 22–32.

[32] Liu Haiyang, Zhihai Wang, Phillip Benachour, and Philip Tubman. 2018. A time series classification method for behaviour-based dropout prediction. In Proceedings of the IEEE 18th International Conference on Advanced Learning Technologies (ICALT'18). IEEE, 191–195.

# Ensembles: Random Forests (e.g. [31],[32])

- In [31], authors feed derived data to Random Forests to determine drop-out cases
- In [32], authors use Time-Series Forests to identify the course period most affecting the learning process of a student.



[31] Cameron C. Gray and Dave Perkins. 2019. Utilizing early engagement and machine learning to predict student outcomes. *Comput. Educ.* 131 (2019), 22–32.

[32] Liu Haiyang, Zhihai Wang, Phillip Benachour, and Philip Tubman. 2018. A time series classification method for behaviour-based dropout prediction. In Proceedings of the IEEE 18th International Conference on Advanced Learning Technologies (ICALT'18). IEEE, 191–195.

# Ensembles: AdaBoost

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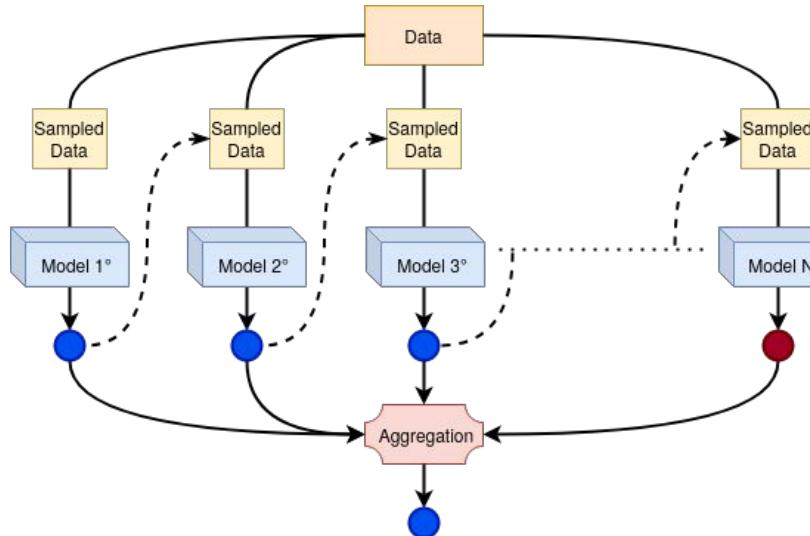
- **Learning phase:** Models are trained iteratively based on the performance of the previous model
- **Aggregation phase:** AdaBoost weights the contribution of each model to the final outcome (e.i. weighted voting)

[8] Johannes Berens, Kerstin Schneider, Simon Görts, Simon Oster, and Julian Burghoff. 2019. Early detection of students at risk - Predicting student dropouts using administrative student data from German Universities and machine learning methods. *Journal of Educational Data Mining* 11, 3 (2019), 1–41.

[37] Ya-Han Hu, Chia-Lun Lo, and Sheng-Pao Shih. 2014. Developing early warning systems to predict students' online learning performance. *Comput. Human Behav.* 36 (2014), 469–478.

# Ensembles: AdaBoost (e.g. [8], [37])

- In [8], AdaBoost combines the prediction powers of linear regression, neural networks, and random forests to distinguish at-risk students and persisters.
- In [37], AdaBoost use decision trees to predict successful or failing students for a course



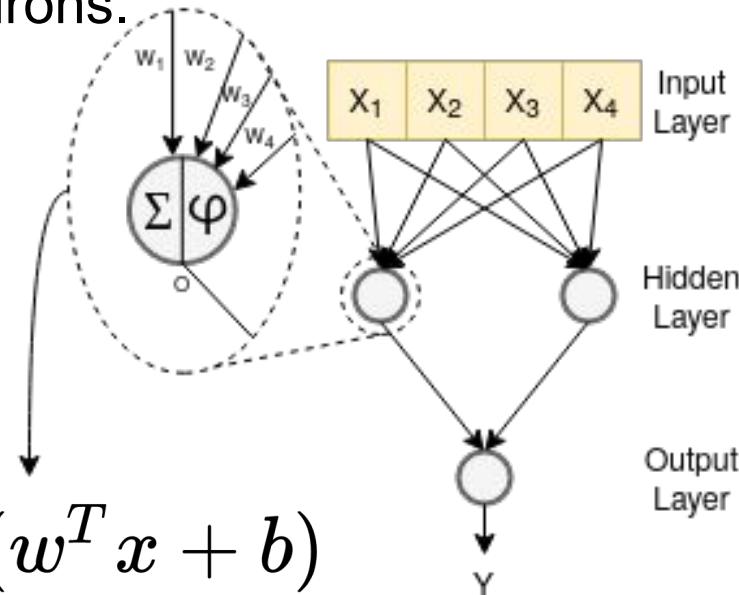
[8] Johannes Berens, Kerstin Schneider, Simon Görts, Simon Oster, and Julian Burghoff. 2019. Early detection of students at risk - Predicting student dropouts using administrative student data from German Universities and machine learning methods. Journal of Educational Data Mining 11, 3 (2019), 1–41.

[37] Ya-Han Hu, Chia-Lun Lo, and Sheng-Pao Shih. 2014. Developing early warning systems to predict students' online learning performance. Comput. Human Behav. 36 (2014), 469–478.

# Feed-Forward Neural Network

A Feed-Forward neural network (FFNN) is a layered graph architecture made up of computational units called neurons.

- Information is forwarded through the layers
- Error is back-propagated to adjust the weights

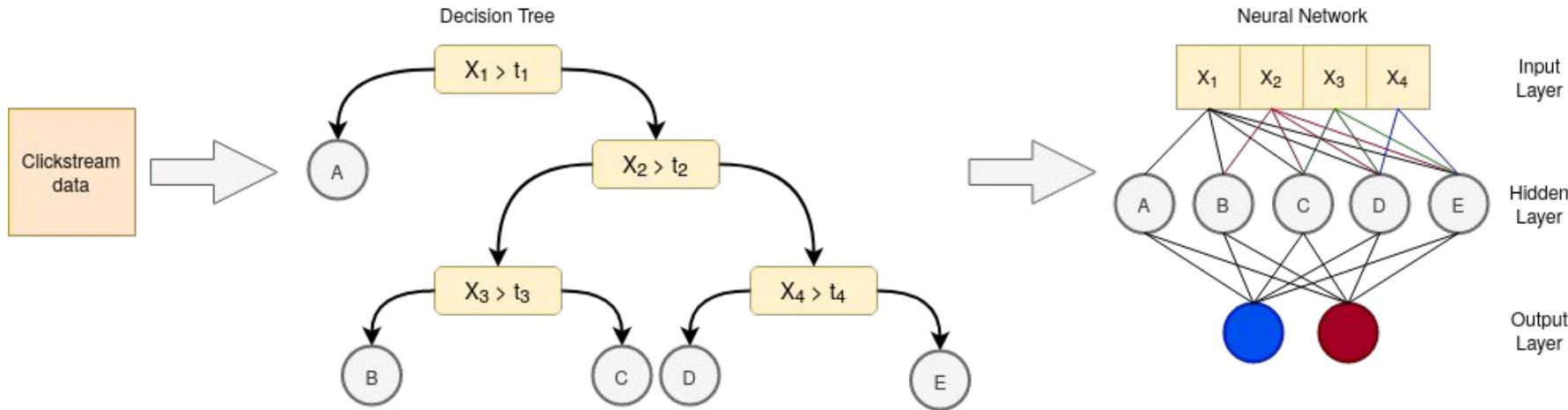


$$o = \varphi(w^T x + b)$$

[14] Jing Chen, Jun Feng, Xia Sun, Nannan Wu, Zhengzheng Yang, and Susheng Chen. 2019. MOOC dropout prediction using a hybrid algorithm based on decision tree and extreme learning machine. *Math. Probl. Eng.* 2019 (2019).

# Feed-Forward Neural Network (e.g. [14])

An Extreme Learning Machine (ELM) is a FFNN with a different learning algorithm that does not need to tune the weights of hidden nodes. ELM need only one iteration to train.

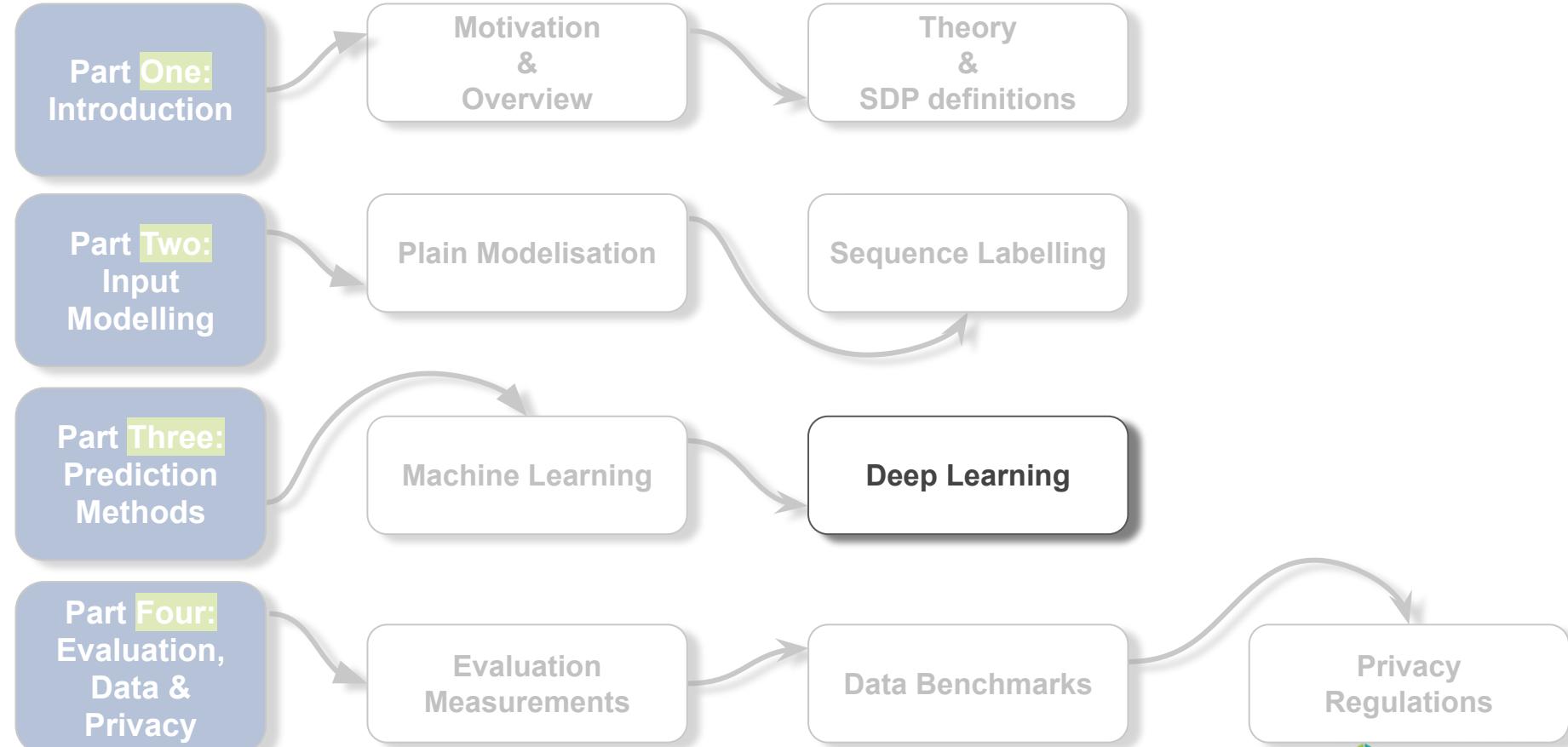


[14] Jing Chen, Jun Feng, Xia Sun, Nannan Wu, Zhengzheng Yang, and Susheng Chen. 2019. MOOC dropout prediction using a hybrid algorithm based on decision tree and extreme learning machine. *Math. Probl. Eng.* 2019 (2019).

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**Break Time**  
10 minutes

# Roadmap



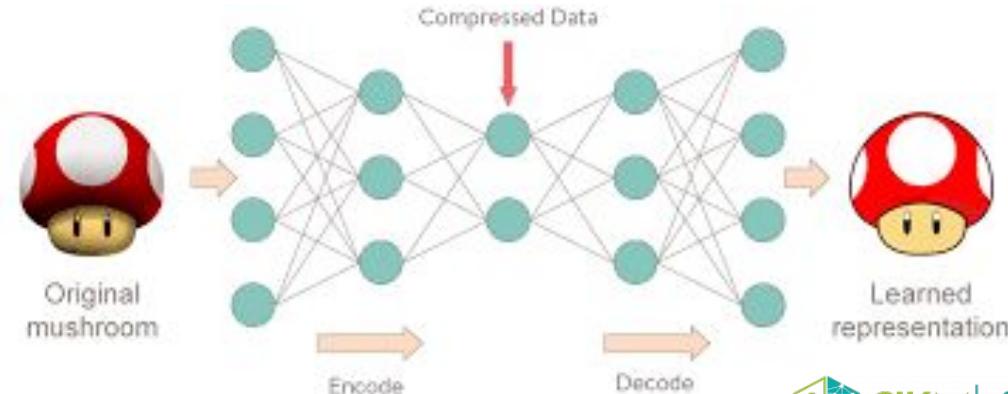
# Deep learning strategies

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- Autoencoders (*preface*)
- Long-short Term Memory (LSTMs)
- Attention mechanism (*preface*)
- Convolutional neural networks (CNNs)
- RNNs + CNNs

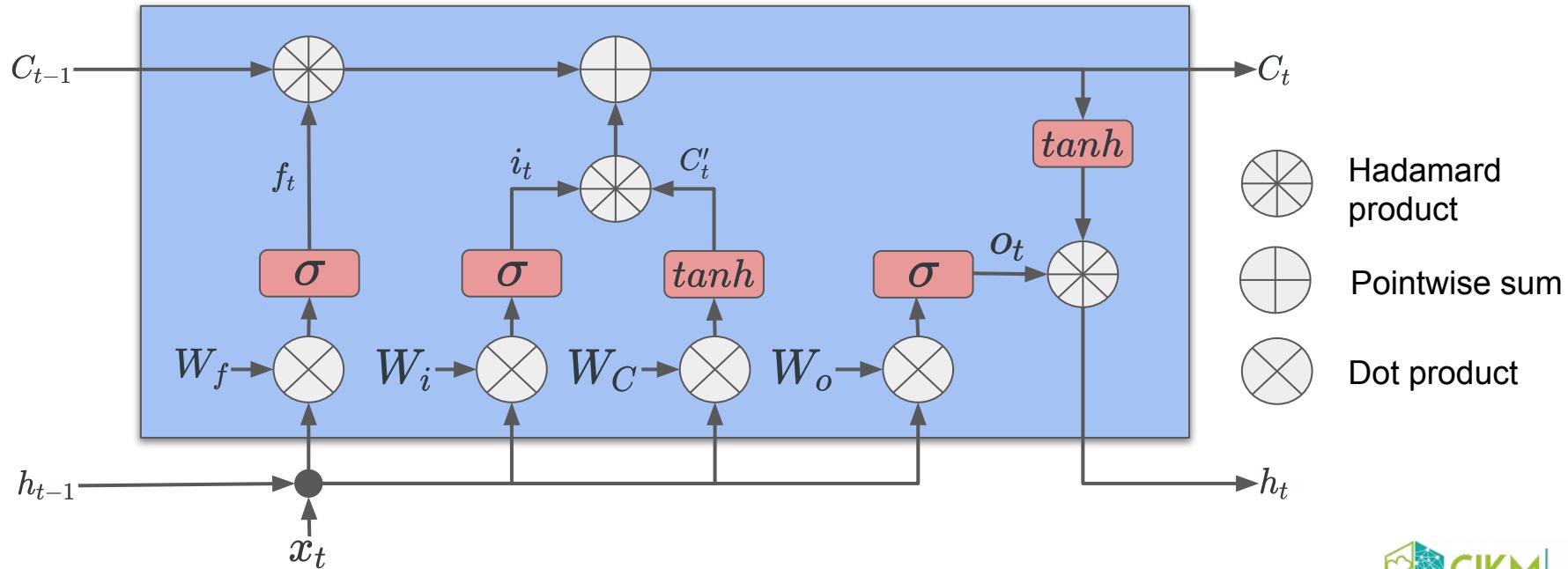
# Autoencoders

- Unsupervised technique for **representation learning**
- Bottleneck in the network to compress the original input
  - If features are independent, *the task is difficult*
  - If there is **structure**, learn it
- Applications
  - Anomaly detection
  - Data denoising
  - Image inpainting
  - Information retrieval



# LSTMs

- E-tivities closer in time have a more dominant influence than those that are distant



# LSTMs + AE (e.g. [22])

---

- Learn compact and effective representations from raw data
- Unsupervised approach to extract hidden info from the input sequences
- LSTM + AE for sequence-to-sequence learning
- **LSTM encoder + 2 LSTM decoders**

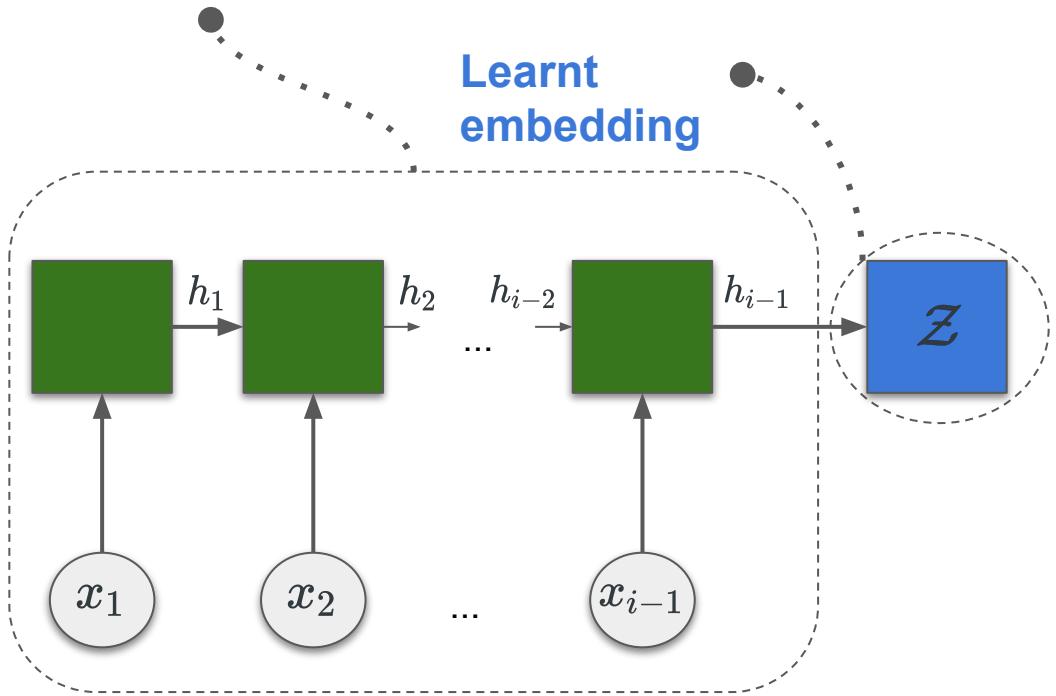
# LSTMs + AE (e.g. [22])

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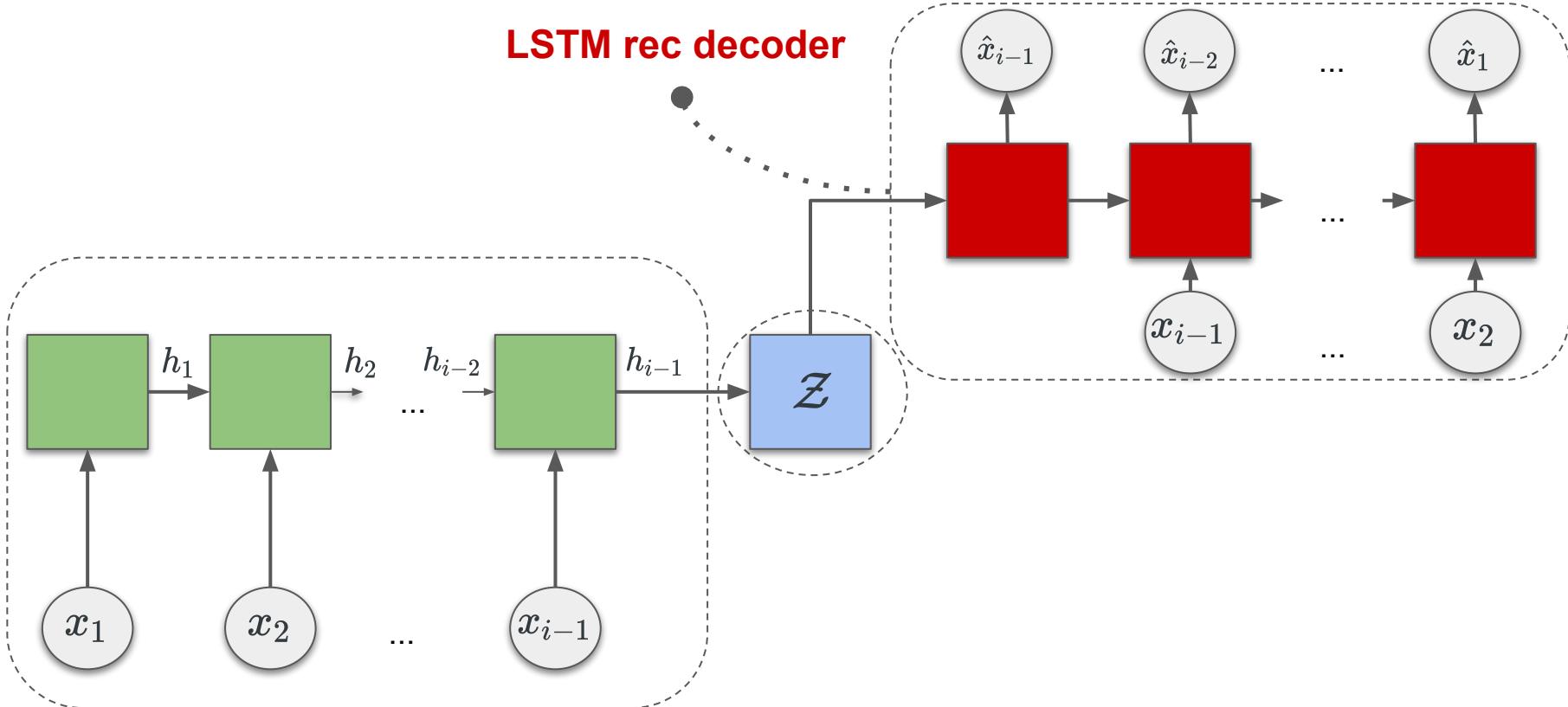
- Predict the student performance with behavioural patterns up to the  $i$ -th course phase
- Three components:
  - *LSTM encoder (encode up to  $i$ )*
  - *LSTM reconstruction decoder (decode up to  $i$ )*
  - *LSTM prediction decoder (decode up to  $k > i$ )*

# LSTMs + AE (e.g. [22])

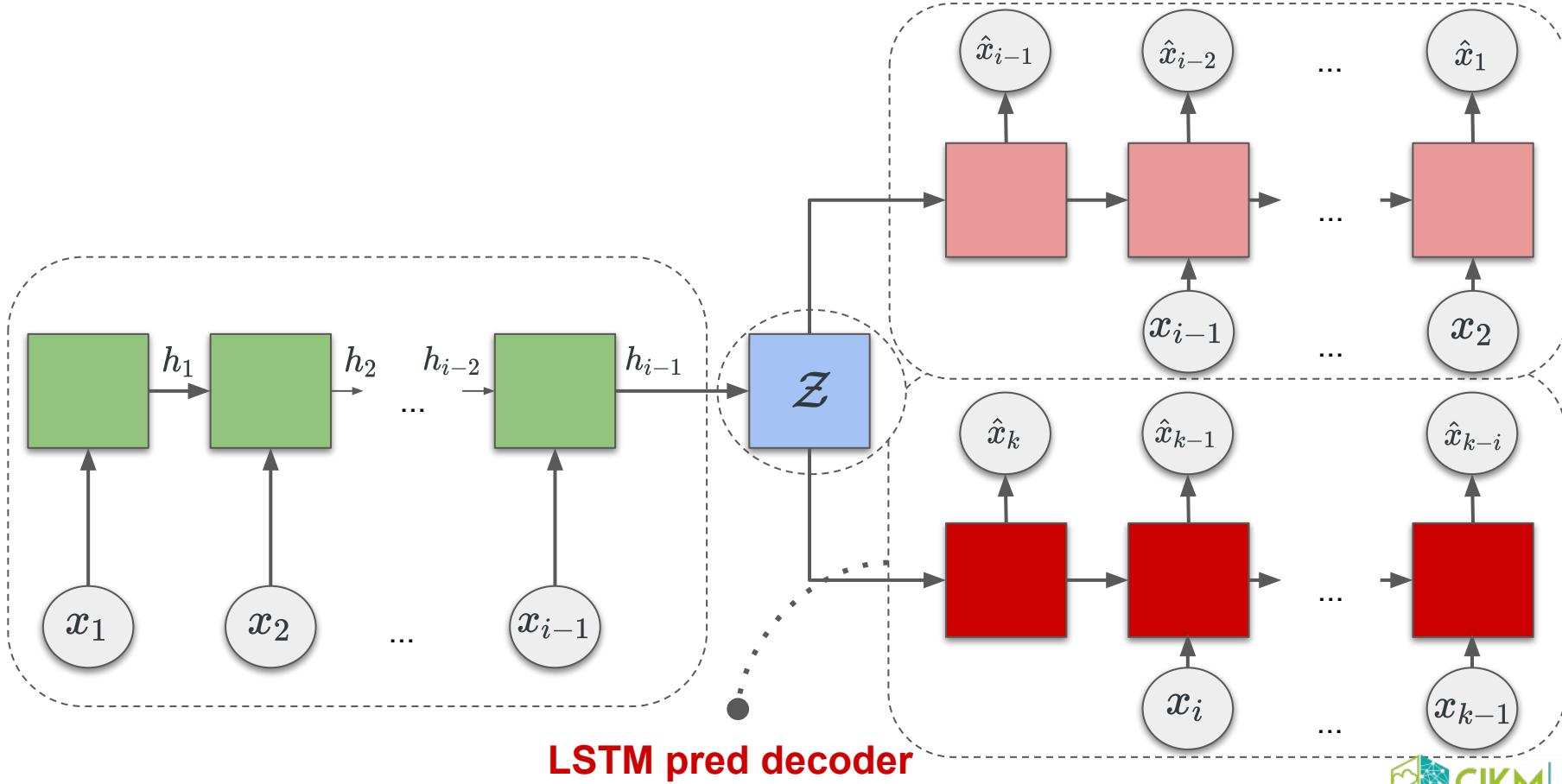
## LSTM encoder



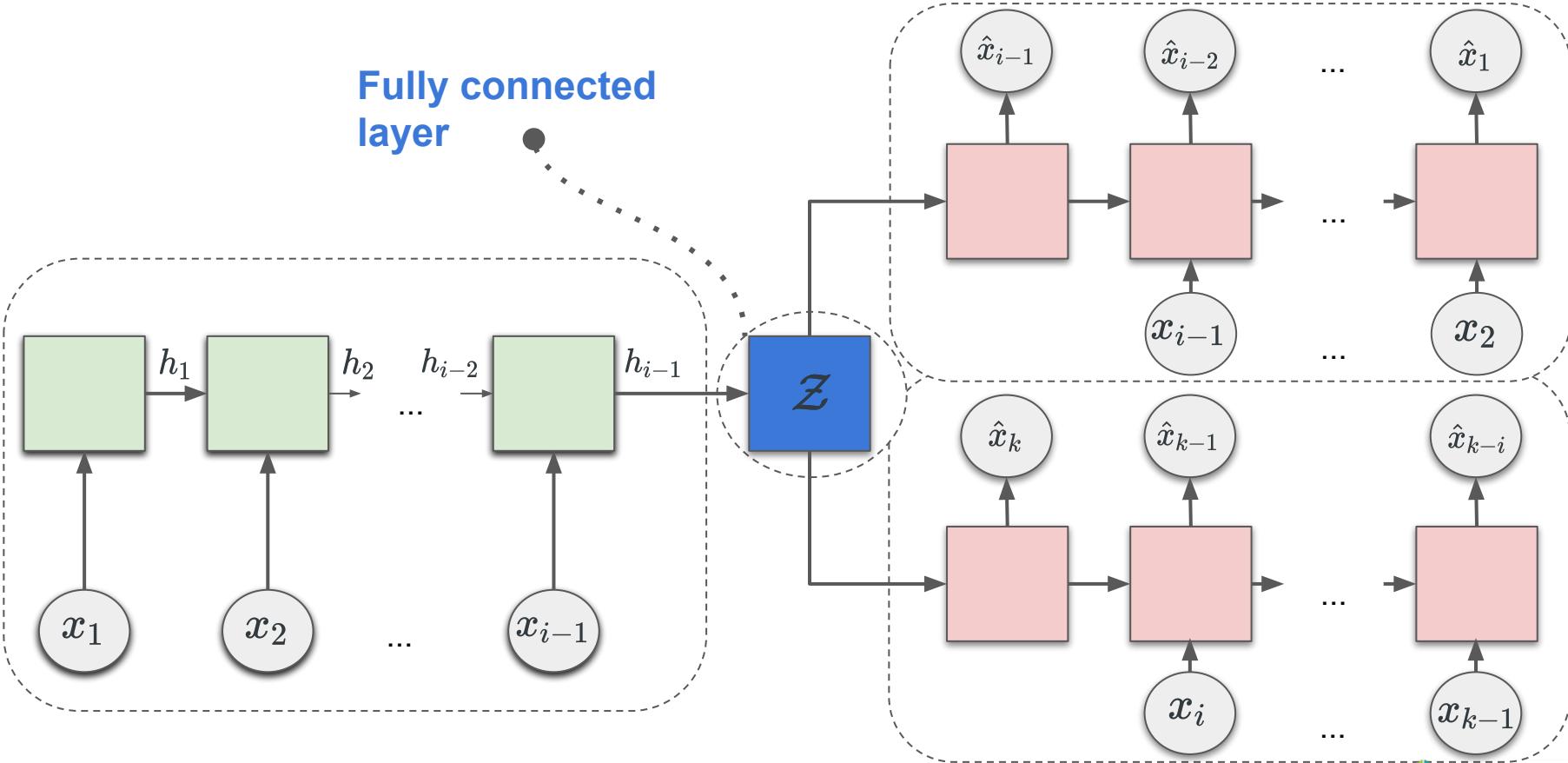
# LSTMs + AE (e.g. [22])



# LSTMs + AE (e.g. [22])



# LSTMs + AE (e.g. [22])



# LSTMs + AE (e.g. [22])

---

- Loss function is Mean Square Error (**MSE**) between  $\{x_1, \dots, x_k\}$  and  $\{\hat{x}_1, \dots, \hat{x}_k\}$
- Encourage the model to use contextual locality as **1<sup>st</sup> priority**:
  - Assign **Gaussian weights** to each of the MSEs between true and reconstructed pairs

$$\mathcal{L} = \frac{1}{k} \sum_{n=1}^k e^{\frac{(i-n)^2}{2\sigma^2}} (x_n - \hat{x}_n)^2$$

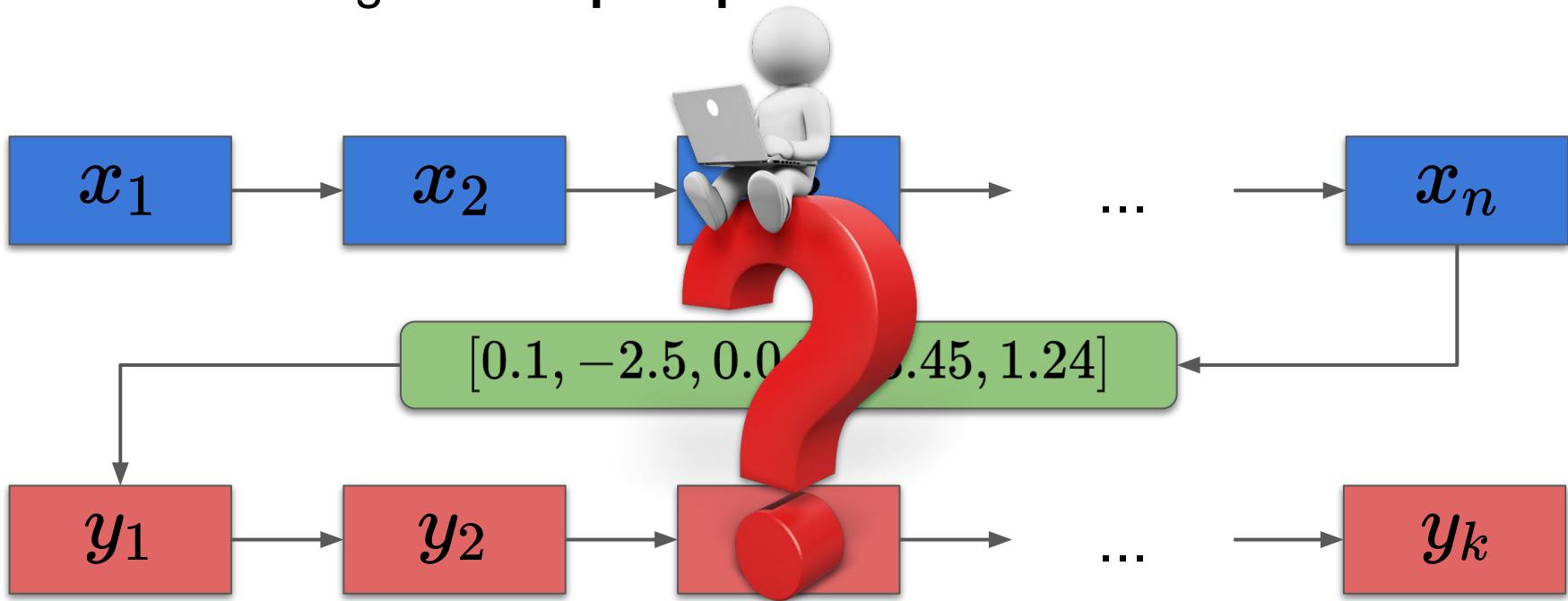
# Attention mechanism

---

- Human visual attention focuses on certain regions
  - Look at priority first
  - Check less important features afterwards
- Humans can explain the relationship between words in a sentence
- **General idea:** interpret attention as a vector of importance weights according to the context

# Attention mechanism [100]

- What's wrong with Seq2Seq model?



[100] Bahdanau, D., Cho, K. and Bengio, Y., 2014. Neural machine translation by jointly learning to align and translate. *arXiv preprint arXiv:1409.0473*.

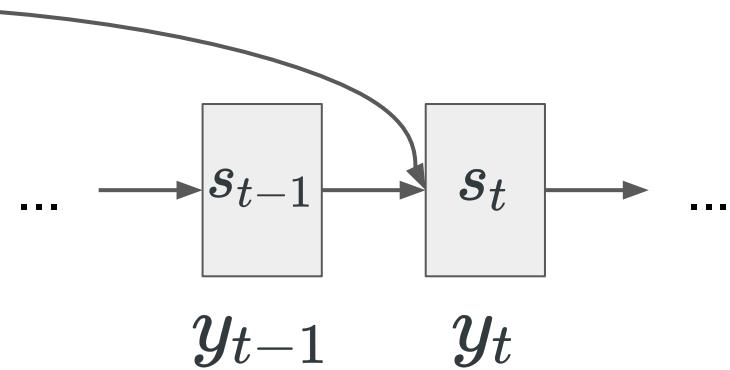
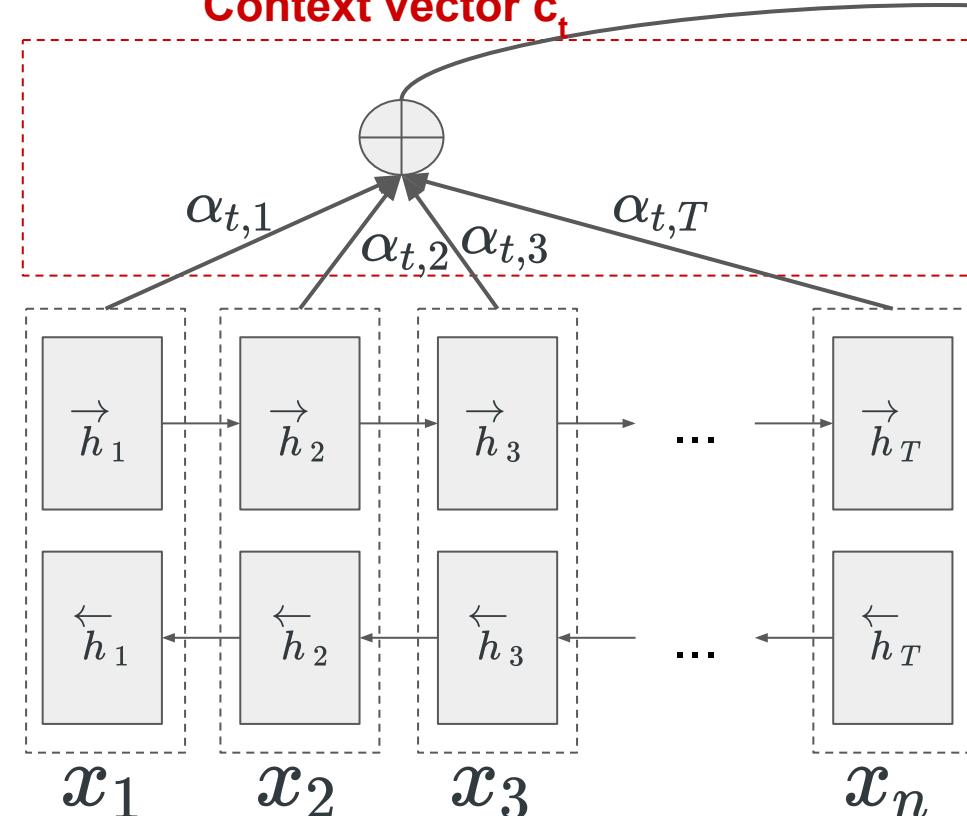
# Attention mechanism

---

- **Don't build** a single context vector from the encoder's last hidden state
- **Create shortcuts** between the context vector and the input sequence
- **Don't worry about forgetting:**
  - The context vector **remembers** encoder/decoder hidden states, and the alignment between source and target

# Attention mechanism

Context vector  $c_t$



$$c_t = \sum_{i=1}^n \alpha_{t,i} [\vec{h}_i, \overleftarrow{h}_i]$$

$$\alpha_{t,i} = \text{softmax}(s_{t-1}, [\vec{h}_i, \overleftarrow{h}_i])$$

# CNNs

---

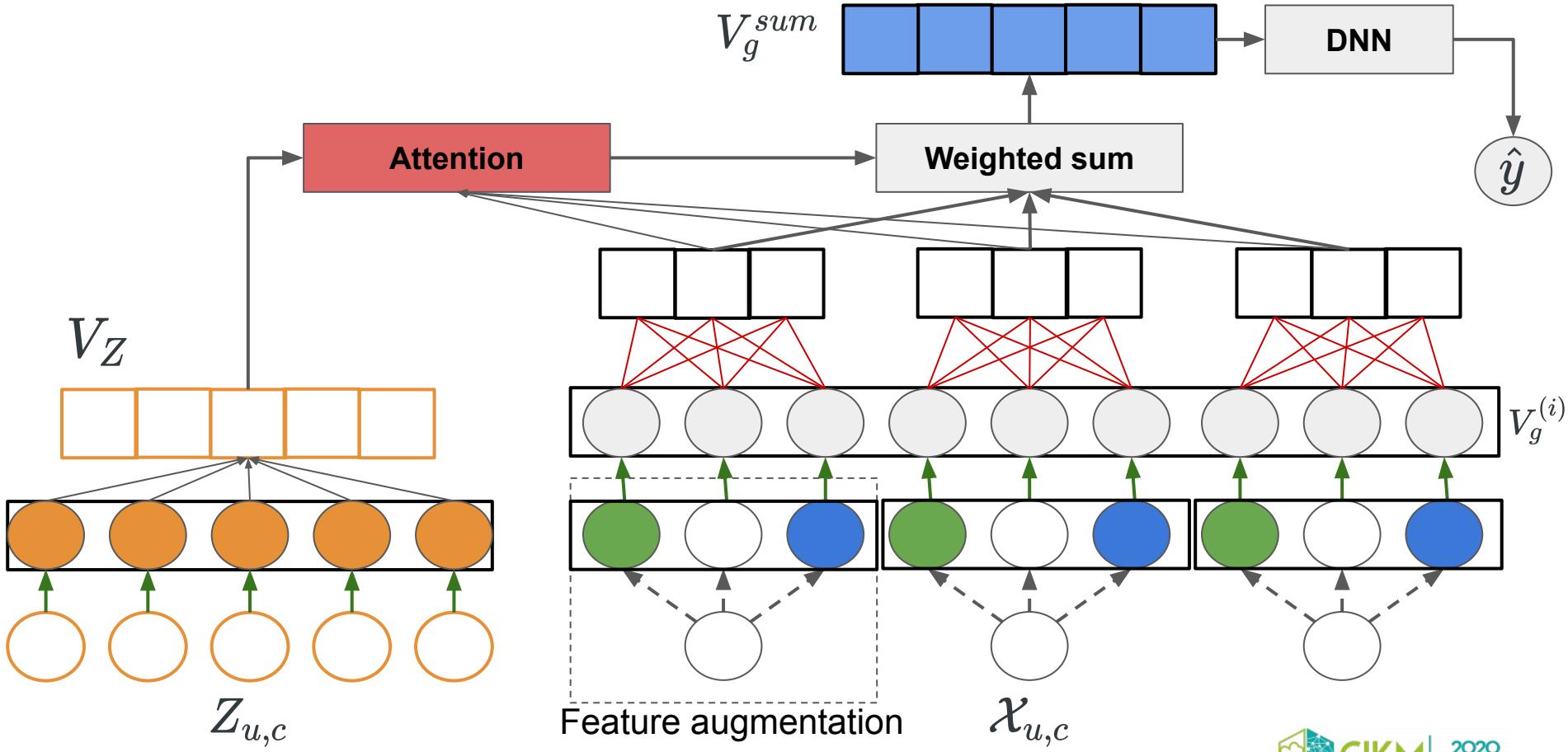
- Use the convolutional operation to extract structural and temporal features of student behaviour
- **Combination with attention mechanism:** learn a context-aware representation for each e-tivity
- **Choice of using pooling layers or not**

# CNNs [25]

---

- **1-d convolution**
- Include context data
  - User and course information
- Three step training:
  - Context-smoothing - feature augmentation and embedding
  - Attention mechanism - **global attention**
  - Prediction component - dense neural network on the weighted-sum vector of the attention layer

# CNNs [25]



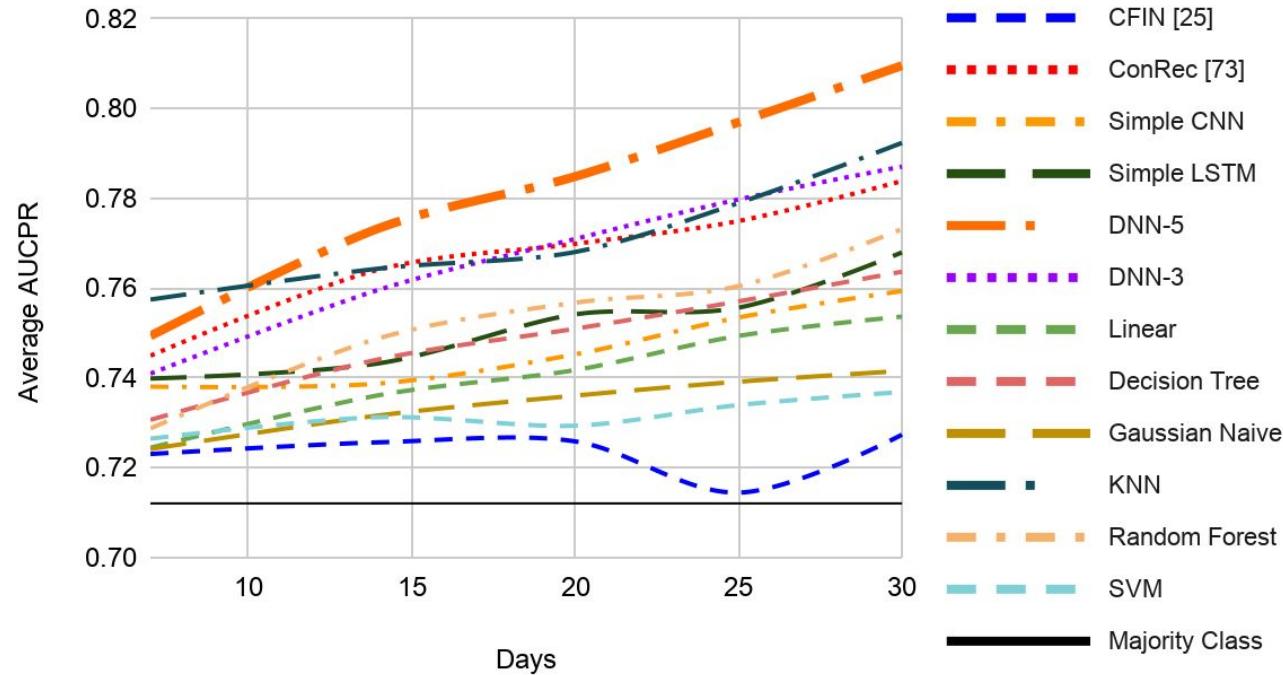
# RNNs + CNNs [73]

---

- Get the best of both worlds
  - Spatial and temporal feature extraction
- CNN extracts features for the e-tivity matrices in each time slice
- Fuse the extracted features from the convolutional and pooling layers
- Give the fusion to the RNN to predict dropout/persistent students

# Best performing method<sup>1</sup>

XuetangX

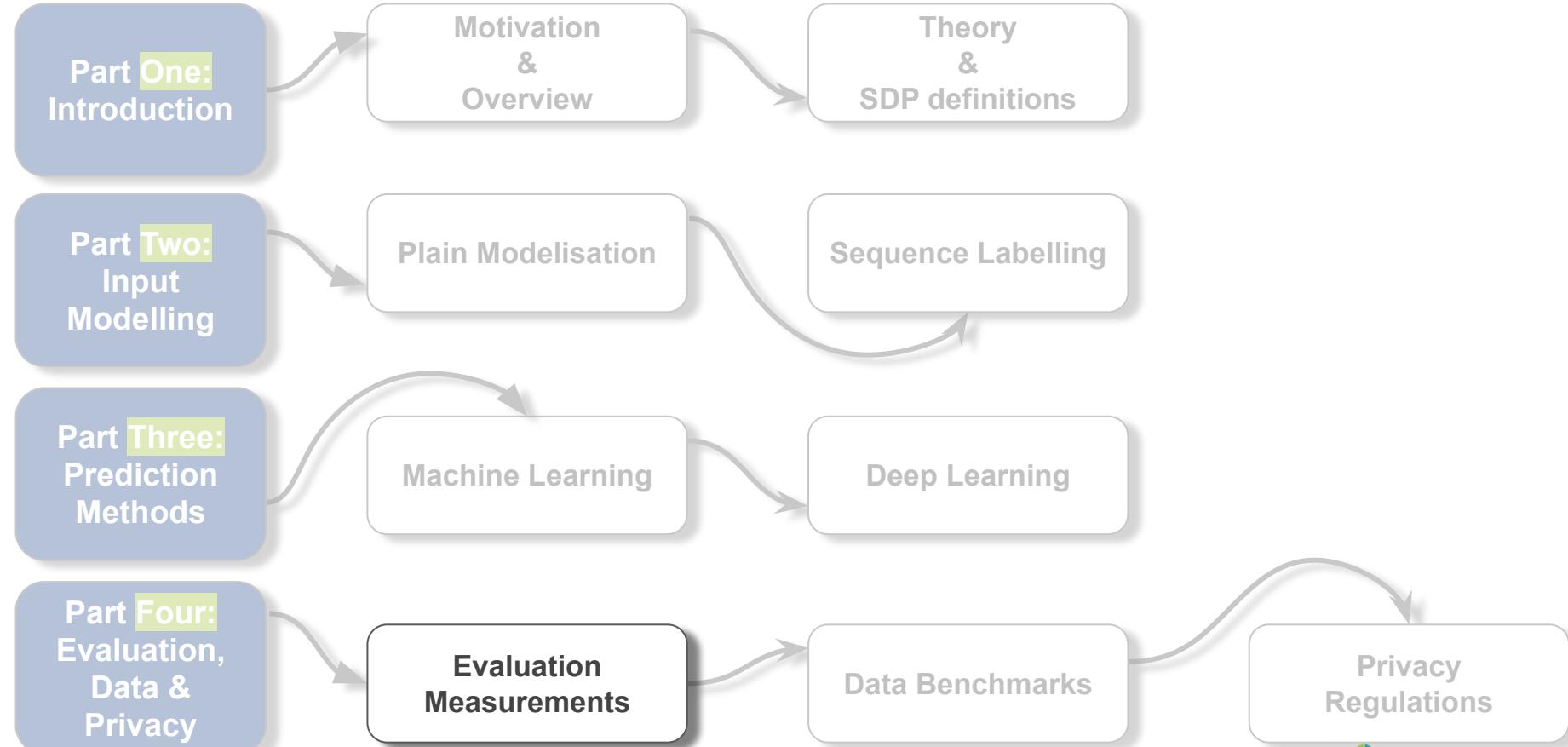


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# **Part Four**

# Evaluation, Data and Privacy

# Roadmap



# Evaluation, datasets & privacy, open challenges

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- Suitable evaluation measurements
- Lack of standard benchmarks
- Privacy regulations
- Data online publications
- Open challenges and future research in SDP

# Evaluation measurements

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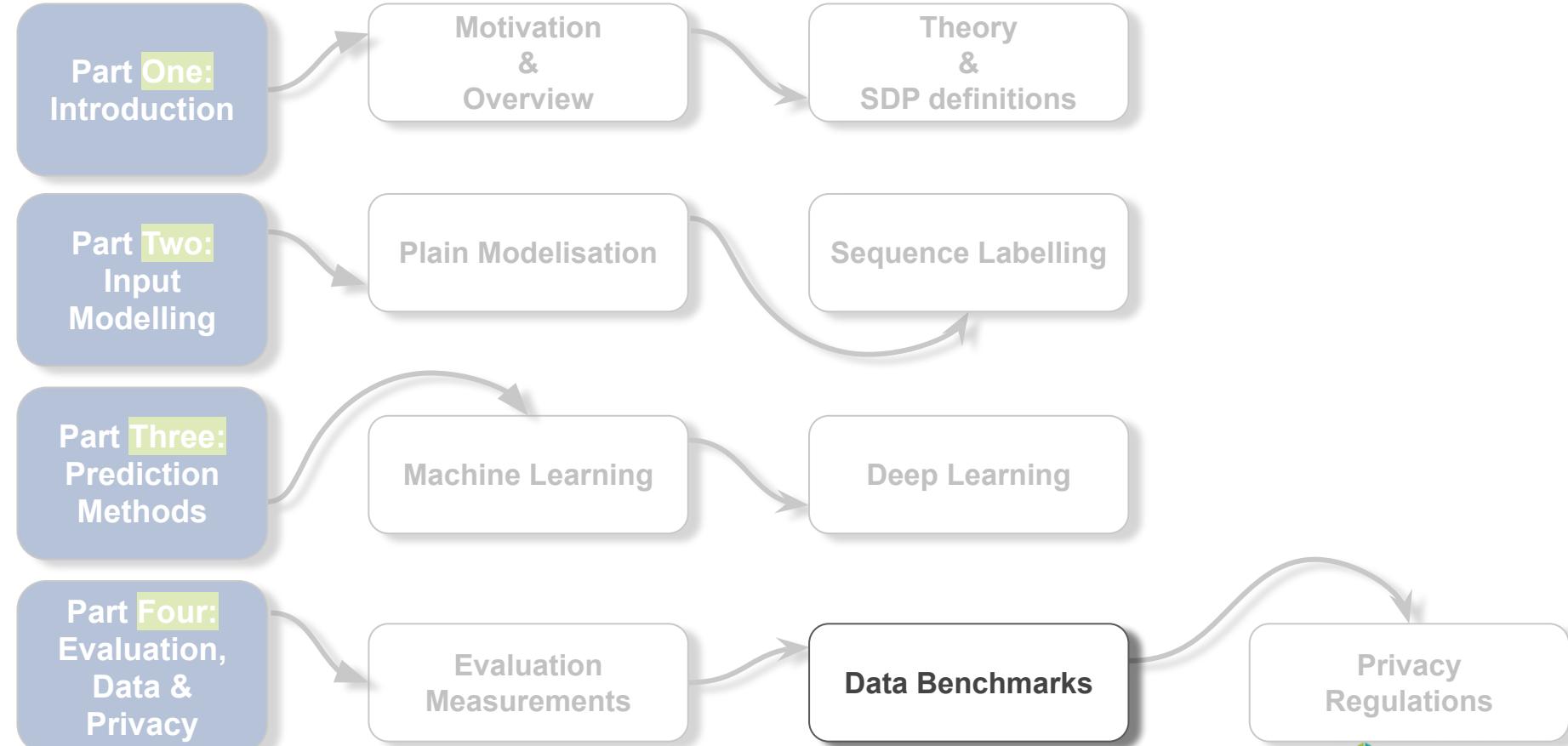
- Dropout instances ≠ persister instances
- Data unbalance
- Use evaluation metrics suitable to these problematics
- **Pioneer works used simple metrics (e.g. accuracy)**

# Evaluation measurements

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- Accuracy
- Recall, Precision, F1 score
- ROC plots
- PR plots
- AUC scores

# Roadmap



# Used features in the literature

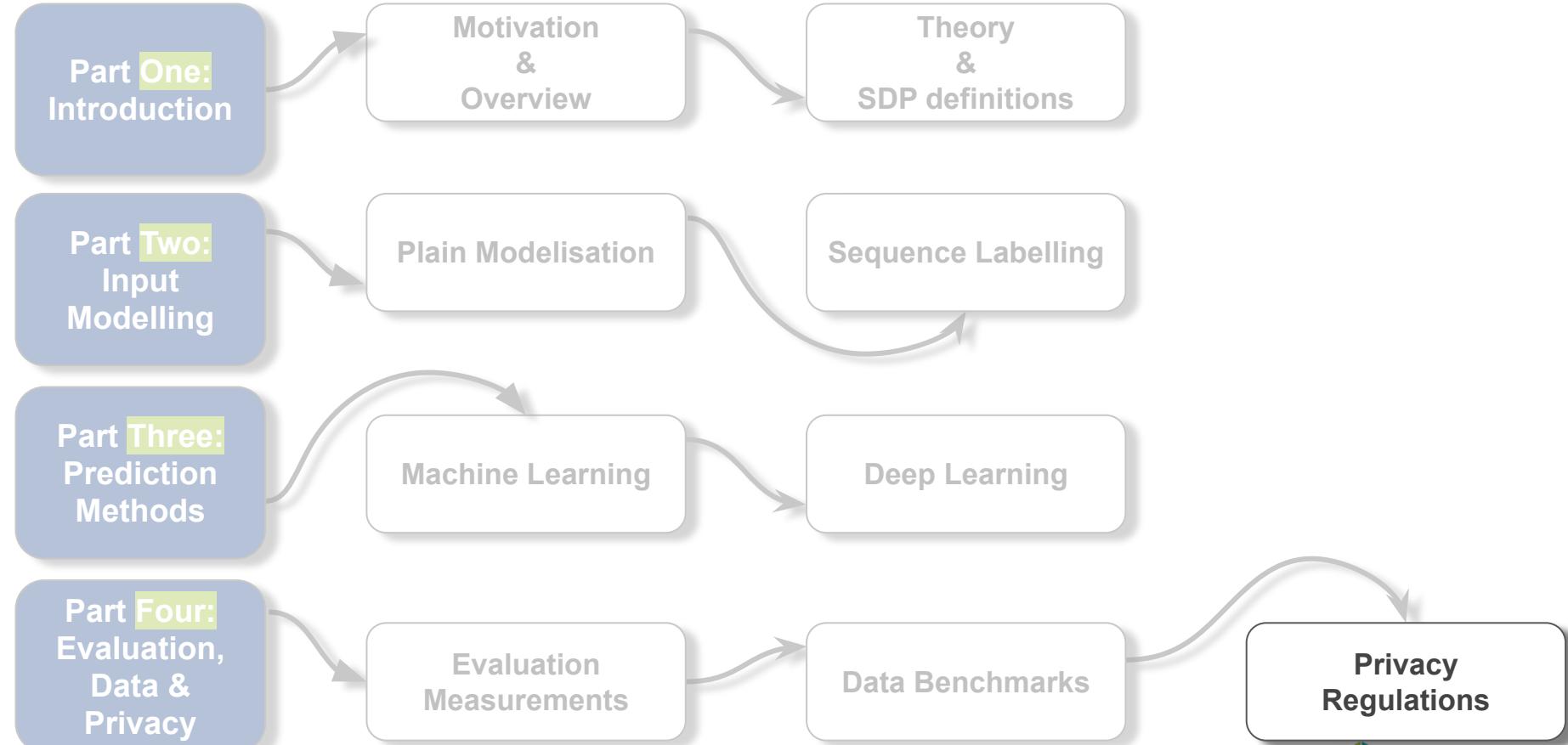
		E-tivity related										Demography		Study-related	
		nº of clicks					Forum			Derived from e-tivities					
		Video	Navigation	Quiz	Submissions	Discussions	Thread init	Comment	Reply	Biography	Background	Grades	Previous education data		
Analytic Examination	Wolff et al. [52]	-	-	-	-	-	-	-	-	-	-	✓	✓	-	-
	Xenos et al. [54]	-	-	-	-	-	-	-	-	-	-	-	✓	✓	-
Classic Learning	Al-Radaideh et al. [1]	-	-	-	-	-	-	-	-	-	✓	✓	-	-	-
	Amnueypronsakul et al. [2]	-	-	-	-	-	-	-	-	-	✓	-	-	✓	-
	Berens et al. [3]	-	-	-	-	-	-	-	-	-	✓	✓	✓	✓	✓
	Chen et al. [5]	✓	✓	✓	✓	✓	-	-	-	-	✓	-	-	-	-
	Dekker et al. [9]	-	-	-	-	-	-	-	-	-	-	-	-	-	✓
	Gaudioso et al. [14]	-	-	-	-	-	-	-	-	-	✓	✓	✓	✓	-
	Gitinabard et al. [15]	-	-	-	-	-	✓	✓	✓	-	-	-	-	-	-
	Gray and Perkins [16]*	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Haiyang et al. [18]	✓	-	-	-	-	✓	-	-	-	-	-	-	-	-
	He et al. [20]	-	-	-	-	-	-	-	-	-	✓	-	-	✓	-
	Hu et al. [24]	-	-	-	-	-	-	-	-	-	✓	-	-	-	-
	Kloft et al. [26]	✓	✓	✓	-	-	-	-	-	-	-	-	-	-	-
	Kostopoulos et al. [27]	-	-	-	-	-	-	-	-	-	✓	✓	✓	✓	-
	Kotsiantis et al. [28]	-	-	-	-	-	-	-	-	-	-	-	-	✓	-
	Kotsiantis et al. [29]	-	-	-	-	-	-	-	-	-	✓	✓	✓	-	-
	Kotsiantis et al. [30]	-	-	-	-	-	-	-	-	-	✓	✓	✓	✓	-
	Kovačić [31]	-	-	-	-	-	-	-	-	-	✓	✓	✓	-	-
	Li et al. [32]	✓	✓	✓	-	-	✓	-	-	-	-	-	-	-	-
	Lykourentzou et al. [33]*	-	-	-	-	-	-	-	-	-	✓	✓	✓	✓	✓
	Manhæs et al. [35]*	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Nagrecha et al. [37]	✓	-	-	-	-	-	-	-	-	✓	-	-	-	-
	Ramesh et al. [42]	-	-	-	-	-	-	-	-	-	✓	-	-	-	-
	Robinson et al. [44]*	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Taylor et al. [50]	-	-	-	-	✓	-	-	-	-	✓	-	-	-	-
	Yang et al. [55]	-	-	-	-	-	✓	-	-	-	✓	-	-	-	-
Deep Learning	Ding et al. [10]	✓	✓	-	-	-	-	-	-	-	-	-	-	-	-
	Fei and Yeung [11]	✓	-	✓	-	✓	✓	✓	✓	-	-	-	-	-	-
	Feng et al. [12]	-	-	-	-	-	-	-	-	-	✓	-	-	-	-
	Qiu et al. [41]	✓	✓	✓	✓	✓	✓	-	-	-	-	-	-	-	-
	Wang et al. [51]	-	-	-	-	-	-	-	-	-	✓	-	-	-	-

# Standard Benchmarks

---

- Time-series and time-related information
  - [XuetangX](#)
  - [KDDCup15](#)
- Time-agnostic and student background information
  - [HarvardX](#)

# Roadmap



# Privacy Regulations

---

- Data coming from **institutions** or well-established and **notorious MOOCs** (e.g. Coursera, edX, and Udemy). Typically the legal **responsibilities** to the body of the data **property**;
- Data **privacy** is becoming a **primary** concern **worldwide**;
- The **US** lacks a comprehensive **federal law** that regulates the collection and use of personal information. Instead, the **government** has **approached** privacy and security by **adjusting** only **actors** and **types** of sensitive **information**, creating overlapping and **contradictory protections**;
- The **EU** General Data Protection Regulation (**GDPR**) intends to:
  - **Harmonise** data privacy **laws** across Europe.
  - **Protect** and **empower** all EU citizens data privacy.
  - **Reshape** the way organisations across the region **approach** data privacy

# Privacy-compliant dataset publication

---

- **Students** with their **personal** information generally **anonymised**.  
Notice that the **interaction** among them should be **protected** as well.
- **Courses** are allotted in course phases, each of whom **contains** several **resources** (e.g. videos, quizzes, reading lectures).
- **E-tivities** are the activities produced by events that **students** cause during the **interaction** with the e-platform.
- **Privacy-Preserving Data Mining (PPDM)**, a multi-disciplinary research area, consists of:
  - transforms the original data so that the data mining processes do not violate privacy constraints:
    - Randomisation;
    - Anonymisation;
    - Encryption;
- For a detailed review of the previous strategies, we point to [81]

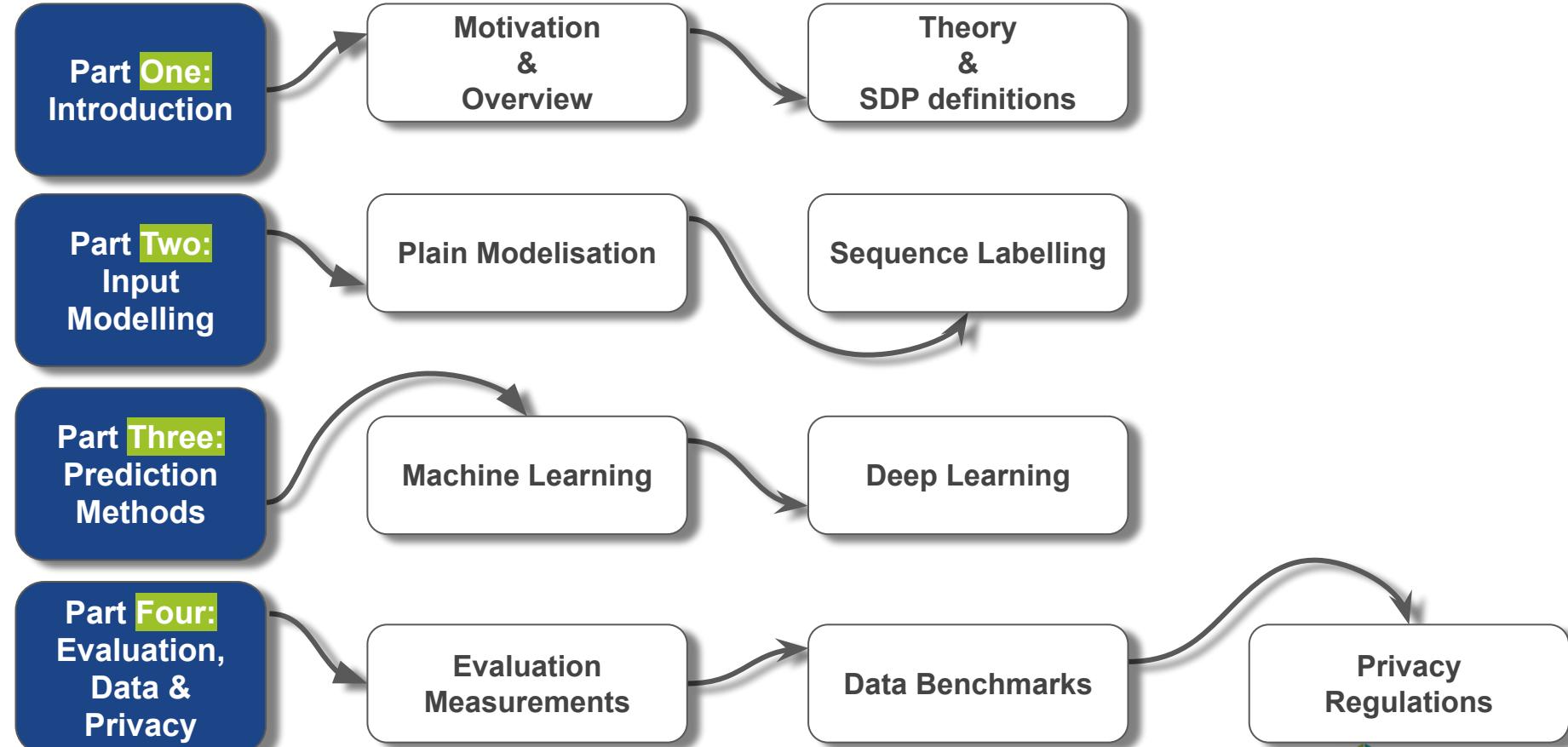
[81] Aobakwe Senosi and George Sibiya. 2017. Classification and evaluation of privacy preserving data mining: a review. In 2017 IEEE AFRICON. IEEE, 849–855.

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# Part Five

# Conclusion

# Roadmap



# Open Challenges

---

- Adopt better common benchmarks:
  - Exist difficulties in comparing different approaches;
    - **absence** of standard **benchmarks**;
    - Identifies an index of available **datasets**;
    - set **common** evaluation **metrics** and baselines;
    - systematic organisation of public **challenges**.
- Deep sequential methods should be better explored:
  - **information** from previous course **phases** is **relevant**
  - **Deep** learning methods are better suited for **sequence labelling**;
  - Deep learning in SDP is still **not** sufficiently **explored**;
  - Deep methods, are **poor interpretability**  
(Interpretability is critical in the e-learning field, to prescribe effective prevention strategies );

# Open Challenges

---

- Online degree peculiarities:
  - literature does **not** focus on **online degree** (fast-paced and short-term MOOCs);
  - universities offer online degree courses, **sophisticated models** are needed;
  - abandon may arise from **complex interactions** (sequential and **parallel** activities);
- Duration of e-tivity problems:
  - literature does **not** consider **temporal lags** on completing e-tivities;
  - **modelling intervals** the amount of time that a student **engages**;
  - **crucial** for corporate **universities**;
  - **inter-stage** gaps that can be **tailored ad-hoc** for each **student** (personalization).

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thank you!



*Bardh Prenkaj*



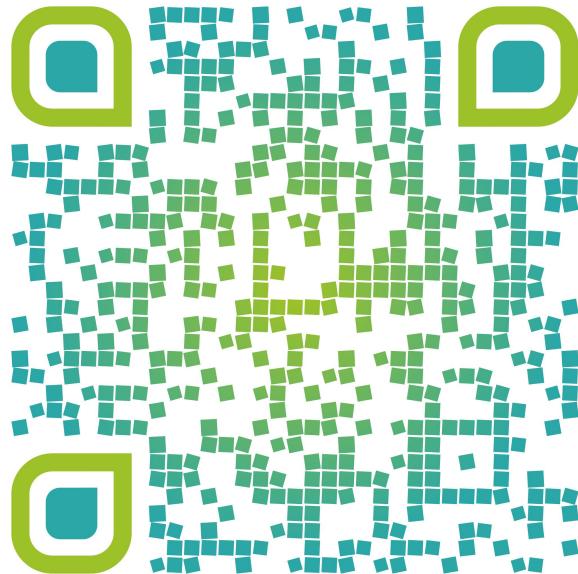
*Giovanni Stilo*



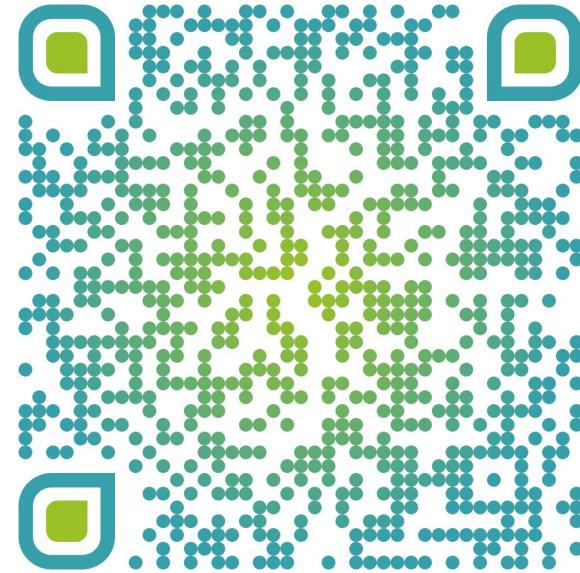
*Lorenzo Madeddu*

# Online Resources

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Tutorials Website



Tutorials Slides

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