

NOTES ON THE THEORY OF COMPUTATION

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CONTENTS

1 Automata and Formal Languages	1
1.1 Finite-State Automata and Regular Languages	1

AUTOMATA AND FORMAL LANGUAGES

An **alphabet** is a finite set Σ , and a **word over the alphabet** Σ is a finite sequence or **string** of the elements of Σ . If a word w is the sequence (w_0, \dots, w_n) for some $n \in \mathbb{N}$, we may write the word as $w_0 \cdots w_n$. The empty word is denoted by ε . The set of all words over Σ is Σ^{*1} . A **formal language over the alphabet** Σ is a subset of Σ^* .

An **automaton** is an ordered sequence that **accepts** some words over an alphabet. The set of words an automaton accepts forms a language, which is unique, in which case we say the automaton **recognises** the language. Given an automaton M , we may speak of the unique language recognised by M as the **language of the automaton** M and denote it by $L(M)$. A machine may accept no string, in which case the language thereof is \emptyset .

1.1 FINITE-STATE AUTOMATA AND REGULAR LANGUAGES

DEFINITION 1. A **finite-state automaton** is an ordered quintuple $(\Sigma, S, \delta, s_0, F)$ wherein

- (a) Σ is an alphabet,
- (b) S is a finite set of **states**,
- (c) $\delta : S \times \Sigma \rightarrow S$ is the **transition function**,
- (d) $s_0 \in S$ is the **initial state**, and
- (e) $F \subseteq S$ is the set of **final states** or **accept states**.

Let $M = (\Sigma, S, \delta, s_0, F)$ be a finite-state automaton and let $w = w_0 \cdots w_n$ wherein $n \in \mathbb{N}$ be a word over Σ . Then M accepts w if a finite sequence of states (r_0, \dots, r_n) in S exists such that

- (a) $r_0 = q_0$,
- (b) $\delta(r_i, w_i) = r_{i+1}$ for $i = 0, \dots, n-1$, and
- (c) $r_n \in F$.

DEFINITION 2. Let Σ be an alphabet, and let $a \in \Sigma$. Then some $R \subseteq \Sigma^*$ is a **regular language** if

^{1*} is the unary operator of Kleene star, defined as $A^* = \{a_0 \cdots a_n : n \in \mathbb{N} \wedge \forall i \in \mathbb{N}_{<n+1} (a_i \in A)\}$.

- (a) $R = \emptyset$,
- (b) $R = \{\varepsilon\}$,
- (c) $R = \{a\}$,
- (d) $R = R_1 \cup R_2$ wherein R_1 and R_2 are regular languages over Σ ,
- (e) $R = R_1 R_2^2$ wherein R_1 and R_2 are regular languages over Σ , or
- (f) $R = R_0^*$ wherein R_0 is a regular language over Σ .

An expressed used to characterise a regular language is a **regular expression**.

² $R_1 R_2$ is the concatenation of R_1 and R_2 , defined as $R_1 R_2 = \{xy : x \in R_1 \wedge y \in R_2\}$