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**COSCI223: MACHINE LEARNING 3**

# **AUTOENCODERS**

# Description

- a neural network that is trained to attempt to copy its input to its output
- designed to be unable to learn to copy perfectly

Reference: Goodfellow, I., Bengio, Y., & Courville, A. (2016). Deep learning. Retrieved from <http://www.deeplearningbook.org>



# General Architecture



References: Hinton, GE, & Salakhutdinov (2006). Reducing the dimensionality of data with neural networks. *Science*, 313 (5786), 504-507.  
Goodfellow, I., Bengio, Y., & Courville, A. (2016). Deep learning. Retrieved from <http://www.deeplearningbook.org>

# Background

## A Learning Algorithm for Boltzmann Machines\*

DAVID H. ACKLEY  
GEOFFREY E. HINTON

*Computer Science Department  
Carnegie-Mellon University*

TERRENCE J. SEJNOWSKI  
*Biophysics Department  
The Johns Hopkins University*

## ENCODER PROBLEM

$V_1$

$v$  units

$V_2$

$v$  units

Reference: Ackley, DH, Hinton, GE, & Sejnowski, TJ. (1985). A learning algorithm for Boltzmann Machines. *Cognitive Science*, 9, 147-169. Retrieved from <http://www.cs.utoronto.ca/~hinton/absps/cogscibm.pdf>



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## ENCODER PROBLEM

$V_1$

$v$  units

$H$

$h$  units  
( $h < v$ )

$V_2$

$v$  units

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## ENCODER PROBLEM

bottleneck

$V_1$

$v$  units

$H$

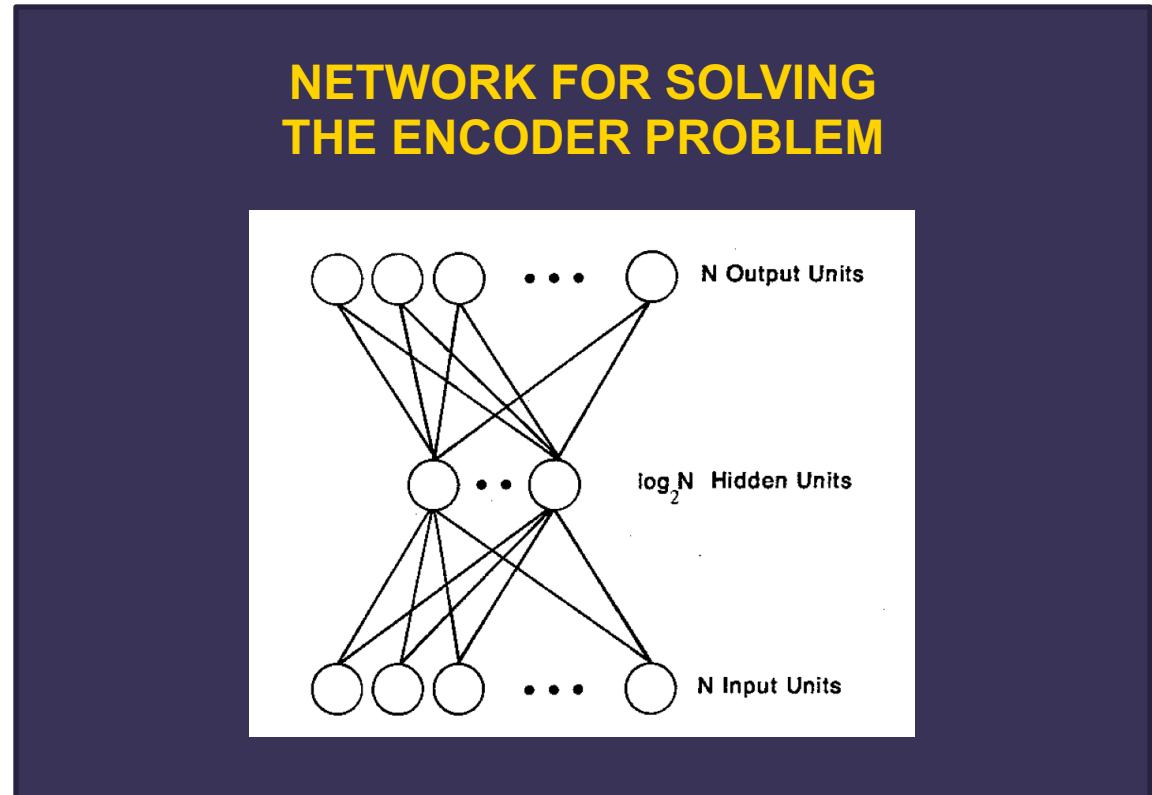
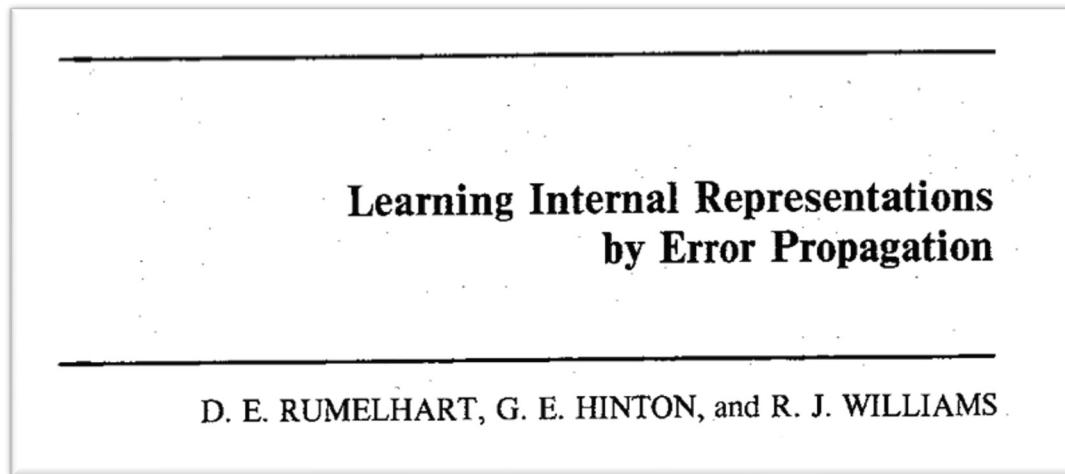
$h$  units  
( $h < v$ )

$V_2$

$v$  units

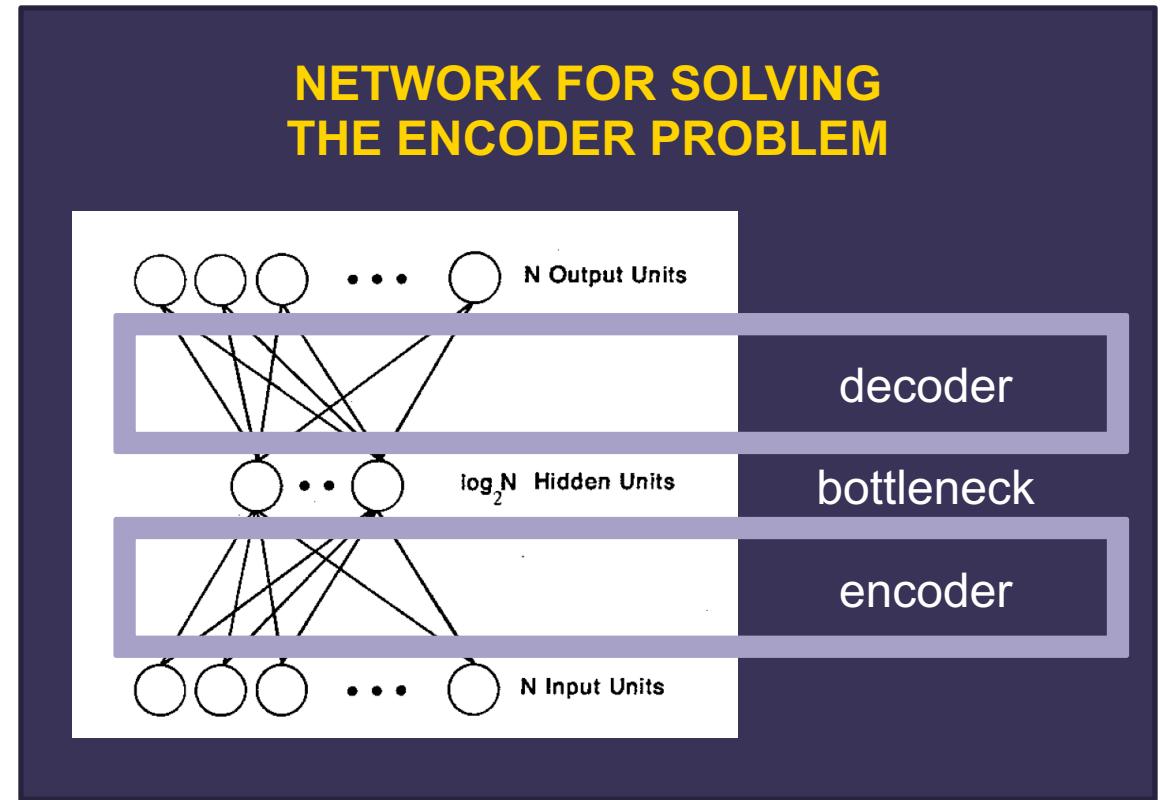
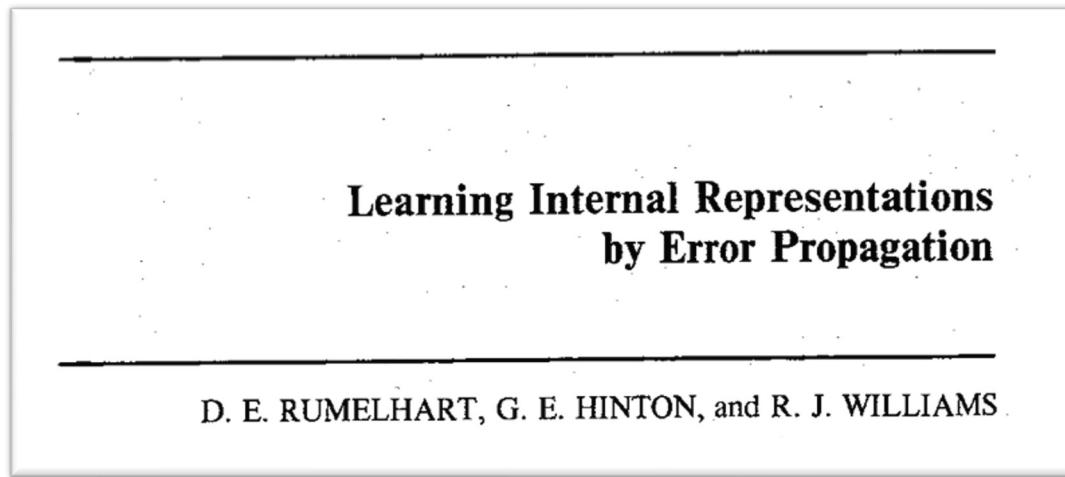
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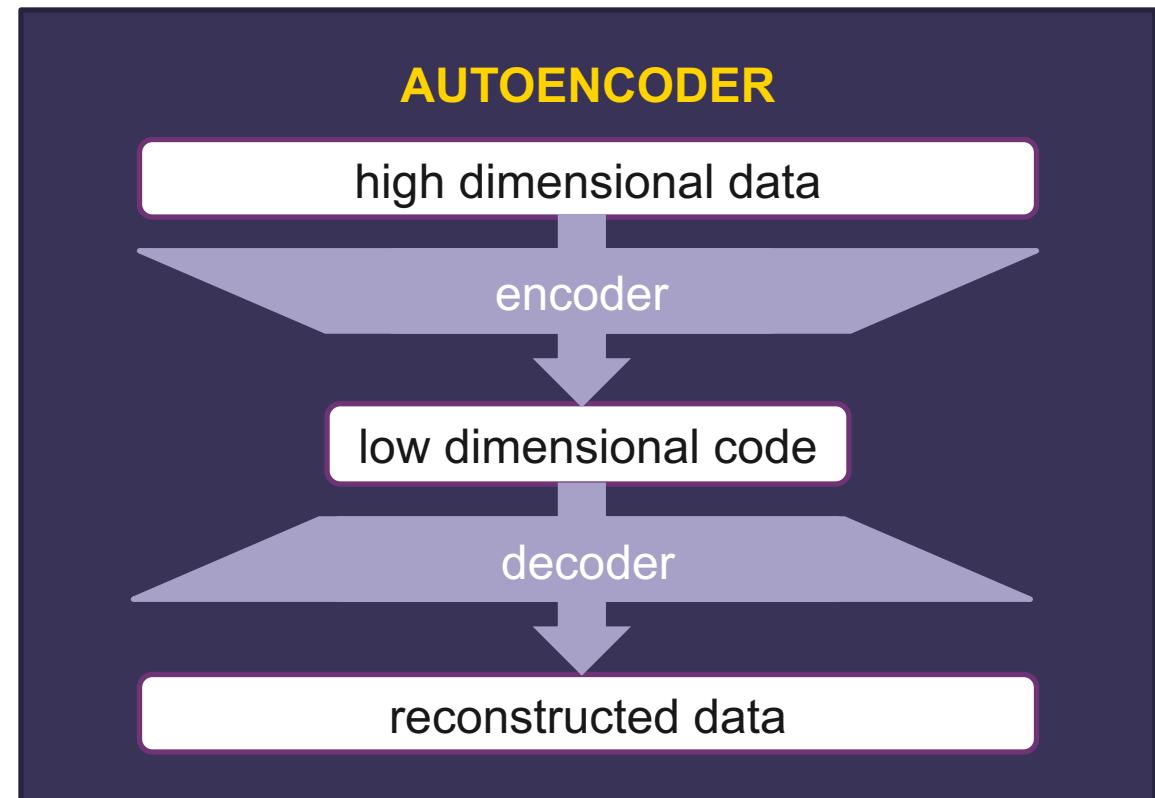


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## Reducing the Dimensionality of Data with Neural Networks

G. E. Hinton\* and R. R. Salakhutdinov



Reference: Hinton, GE, & Salakhutdinov (2006). Reducing the dimensionality of data with neural networks. *Science*, 313 (5786), 504-507.



# Background

## Reducing the Dimensionality of Data with Neural Networks

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### AUTOENCODER

high dimensional data



reconstructed data

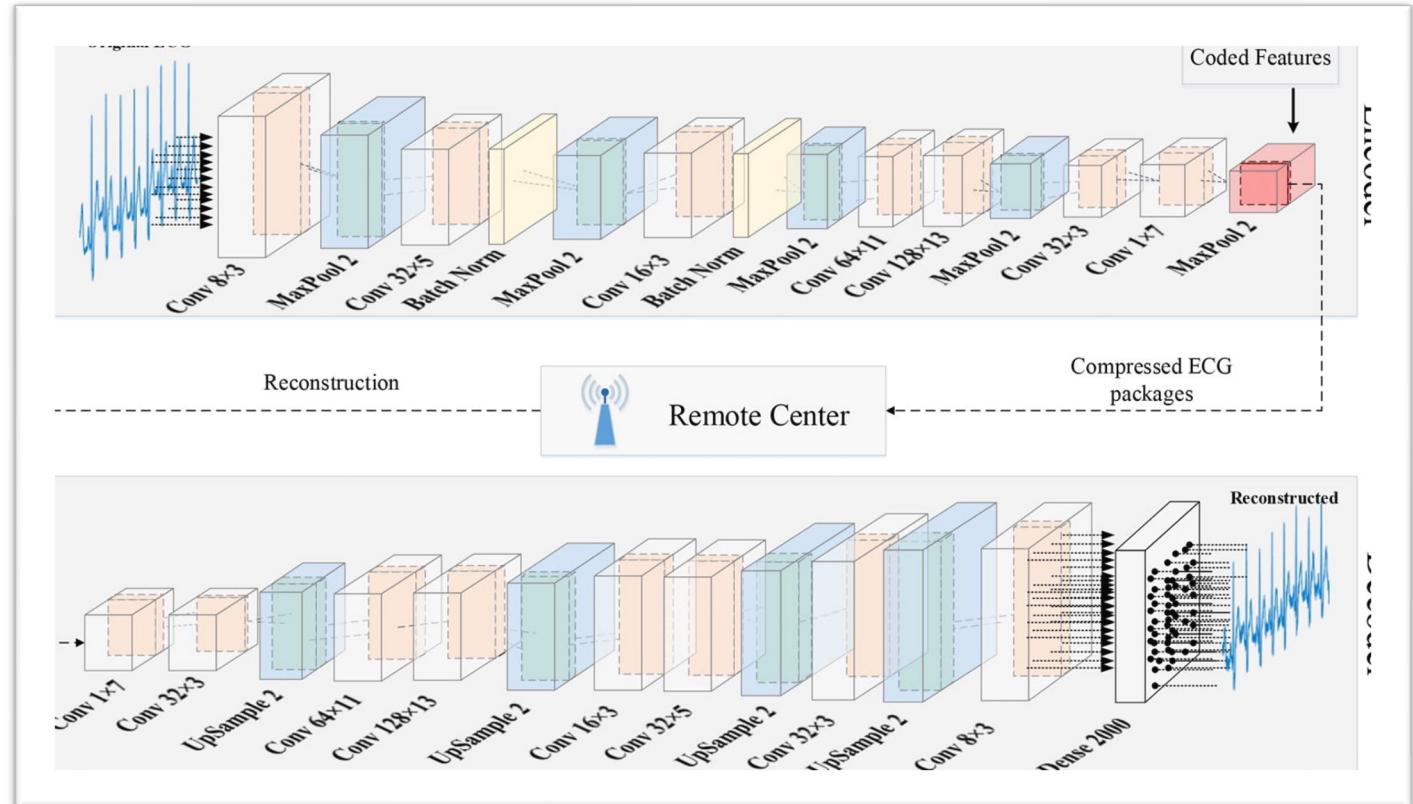
Reference: Hinton, GE, & Salakhutdinov (2006). Reducing the dimensionality of data with neural networks. *Science*, 313 (5786), 504-507.



# Applications

- **IMAGE COMPRESSION**

- ✓ ECG signal compression in wearables for lesser hardware resource requirements

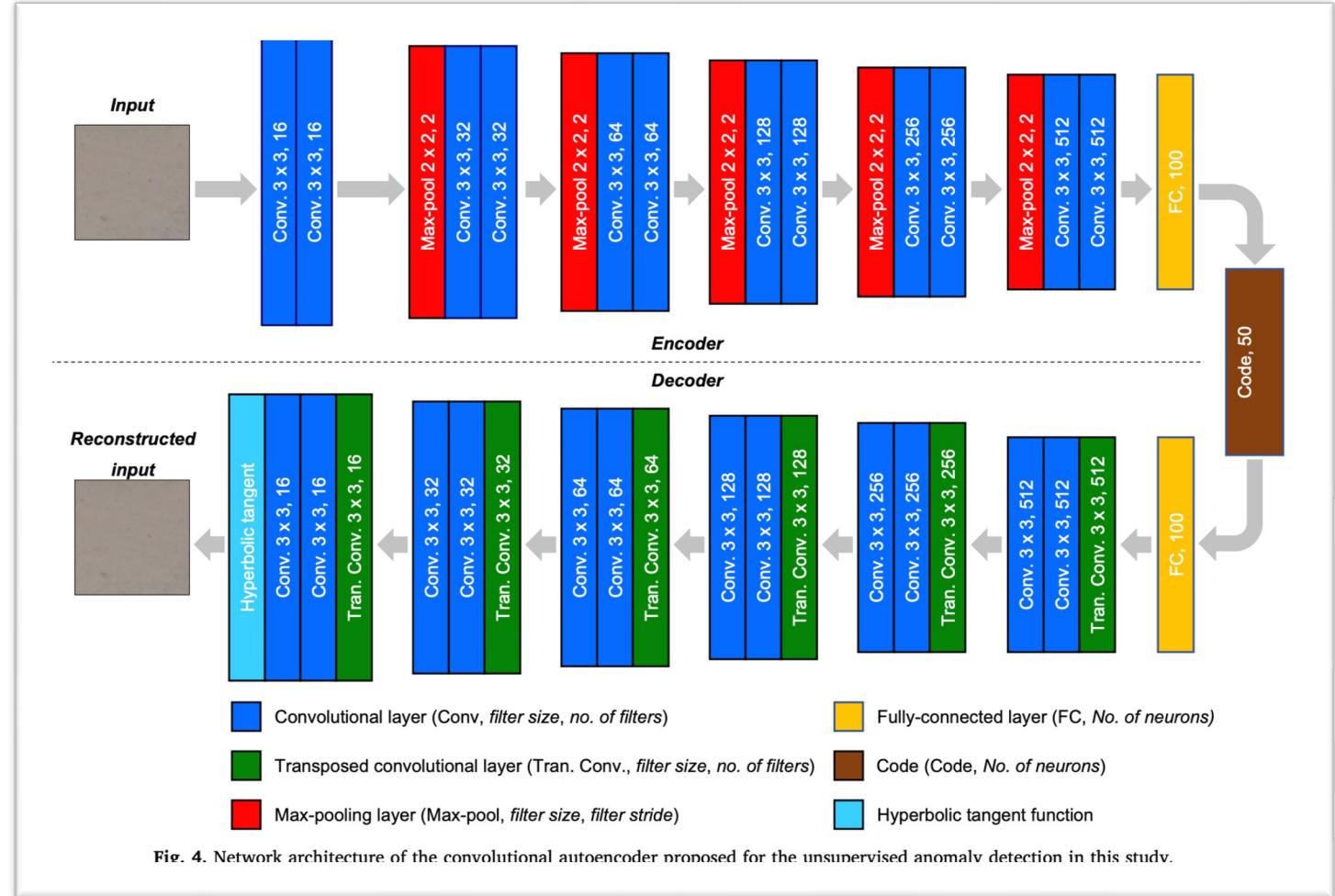


Reference: Yildirim, O., Tan, RS, Acharya, UR. (2018). An efficient compression of ECG signals using deep convolutional autoencoders. *Cognitive Systems Research*, 52, 198-211. Retrieved from <https://doi.org/10.1016/j.cogsys.2018.07.004>

# Applications

- **ANOMALY DETECTION**

- ✓ Facilitate targeted visual inspection of civil infrastructure by detecting cracks/faults in unlabeled concrete images

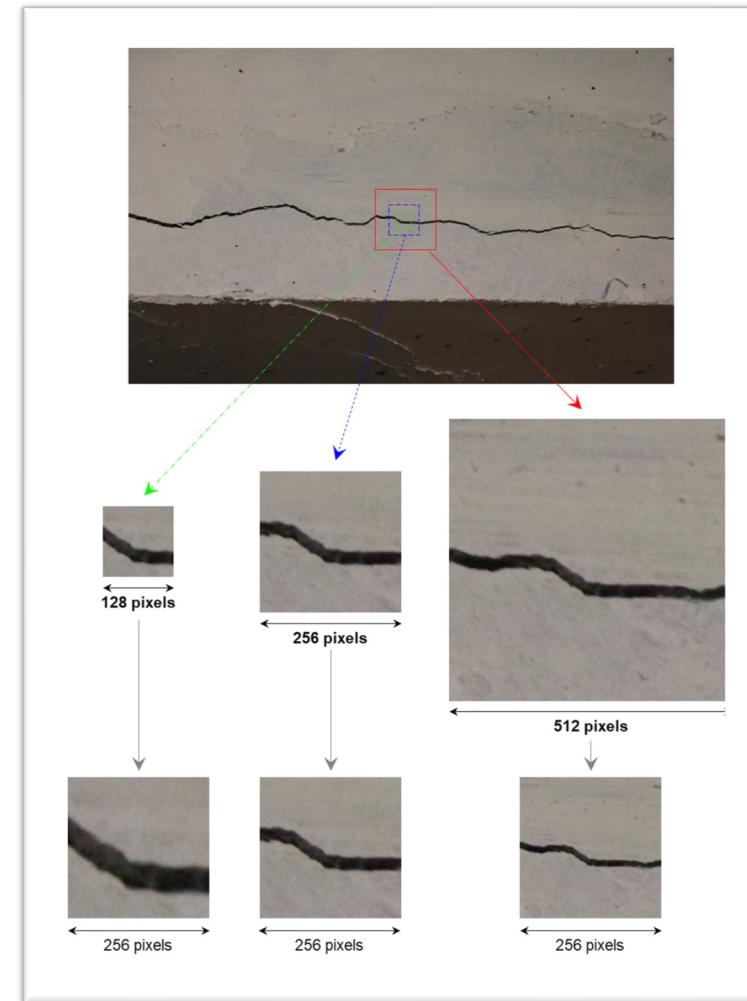


Reference: Chow, J. K., Su, Z., Wu, J., Tan, P. S., Mao, X., & Wang, Y. H. (2020). Anomaly detection of defects on concrete structures with the convolutional autoencoder. *Advanced Engineering Informatics*, 45, 101105. doi:10.1016/j.aei.2020.101105

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# Applications

- **IMAGE DENOISING**
  - ↳ using small sample size, denoising autoencoders constructed using convolutional layers can be used for efficient denoising of medical images

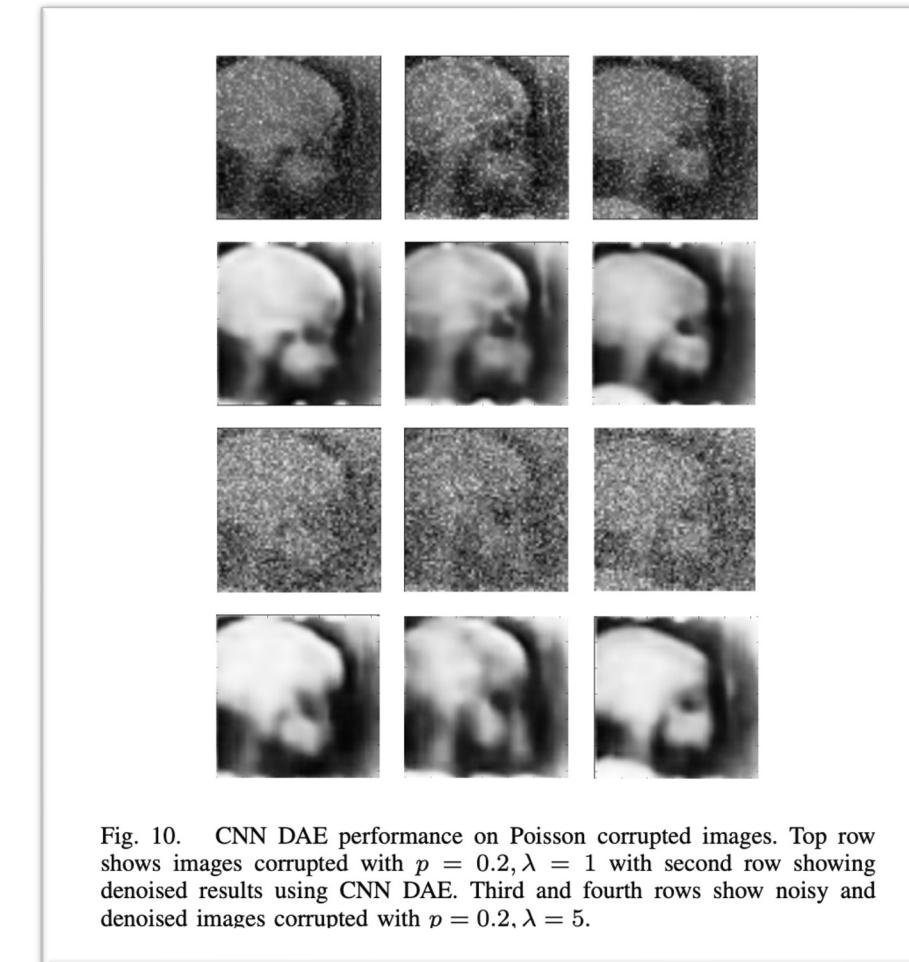


Fig. 10. CNN DAE performance on Poisson corrupted images. Top row shows images corrupted with  $p = 0.2, \lambda = 1$  with second row showing denoised results using CNN DAE. Third and fourth rows show noisy and denoised images corrupted with  $p = 0.2, \lambda = 5$ .

Reference: Gondara, L. (2016). Medical Image Denoising Using Convolutional Denoising Autoencoders. 2016 IEEE 16th International Conference on Data Mining Workshops (ICDMW). doi:10.1109/icdmw.2016.0041

# Applications

- **GENERATIVE MODELING**
  - ↳ Oversampling images to balance an imbalanced dataset

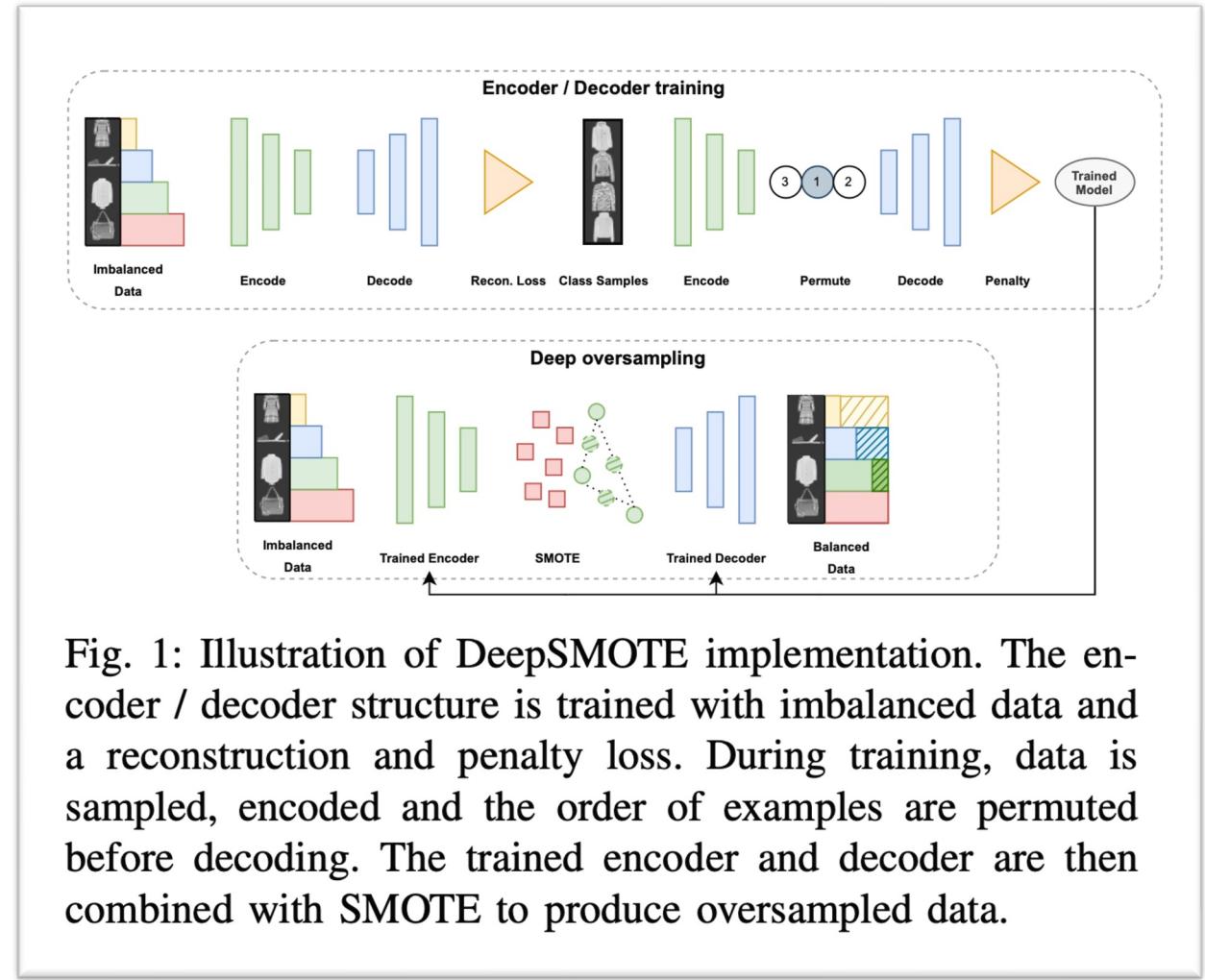
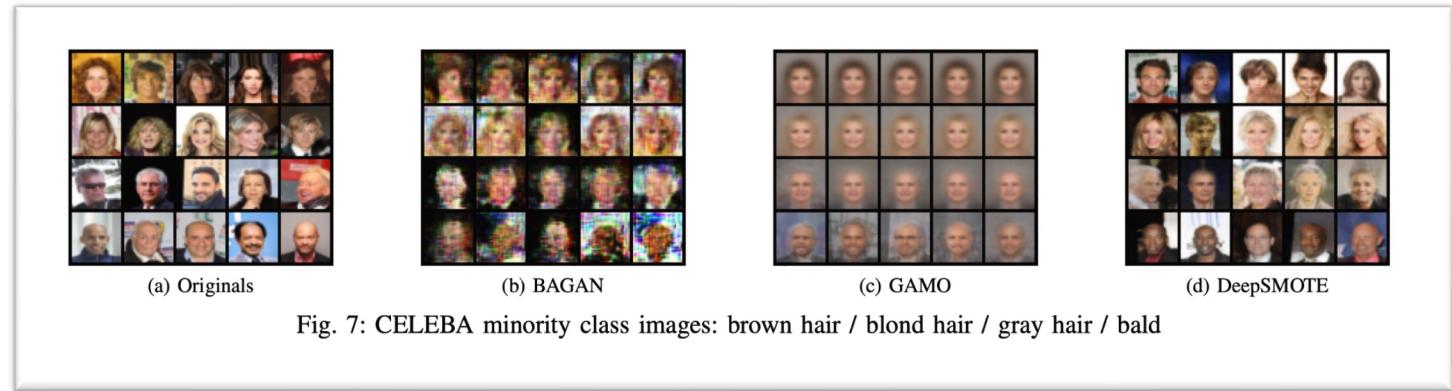


Fig. 1: Illustration of DeepSMOTE implementation. The encoder / decoder structure is trained with imbalanced data and a reconstruction and penalty loss. During training, data is sampled, encoded and the order of examples are permuted before decoding. The trained encoder and decoder are then combined with SMOTE to produce oversampled data.

Reference: Dablain, D. & Krawczyk, B. (2021). DeepSMOTE: Fusing Deep Learning and SMOTE for Imbalanced Data. Retrieved from <https://arxiv.org/pdf/2105.02340.pdf>

# Applications

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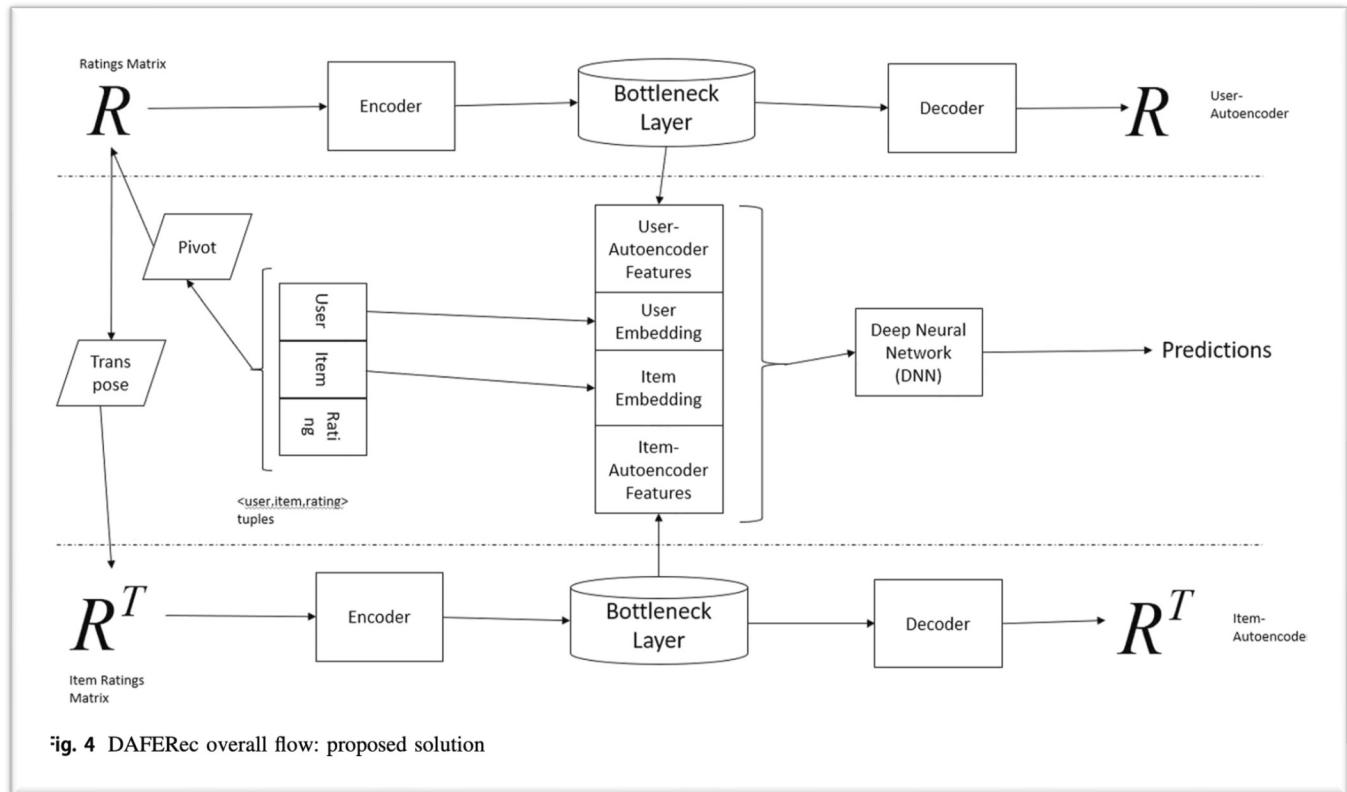


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# Applications

- **FEATURE LEARNING**

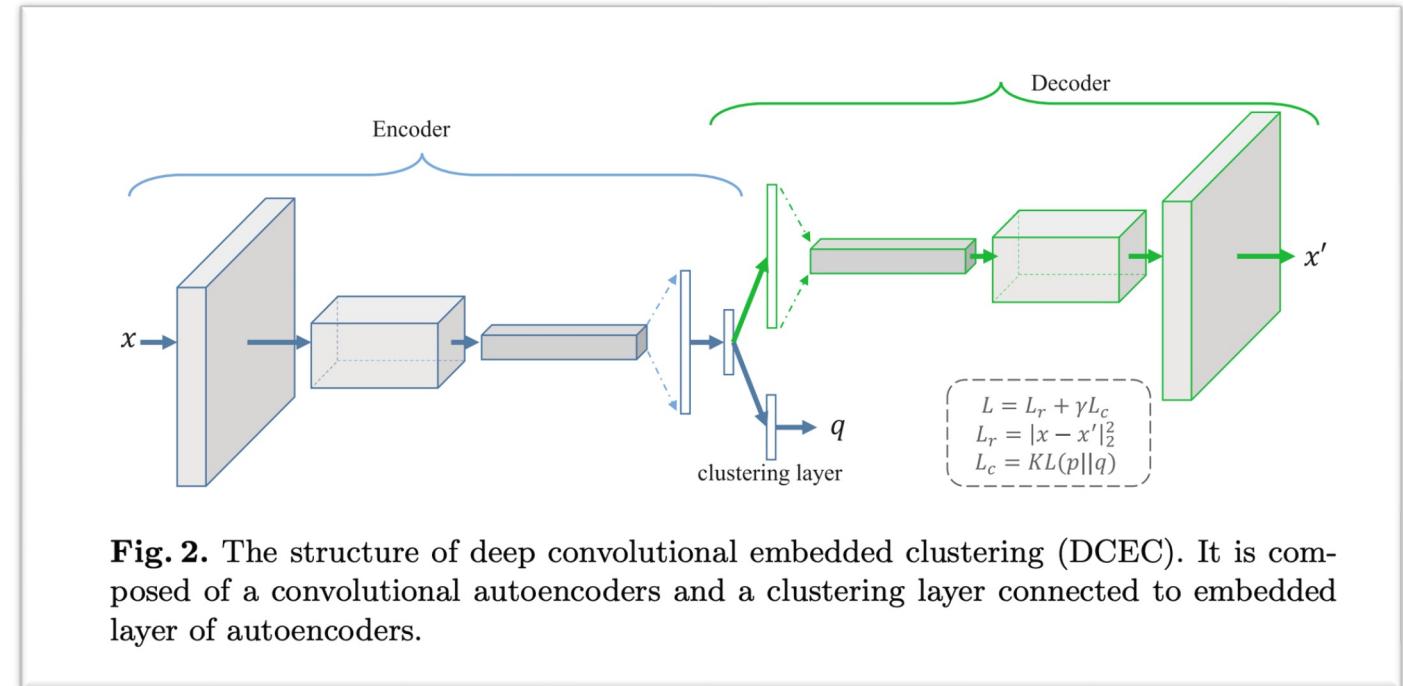
- ✓ Used the features in the bottleneck layer as inputs to a deep neural network recommender system



Reference: Rama, K., Kumar, P., & Bhasker, B. (2021). Deep autoencoders for feature learning with embeddings for recommendations: a novel recommender system solution. *Neural Computing and Applications*. doi:10.1007/s00521-021-06065-9

# Applications

- **CLUSTERING**
  - ↳ learns good features with local structure preserved by using convolutional autoencoders and manipulates feature space by incorporating a clustering-oriented loss



**Fig. 2.** The structure of deep convolutional embedded clustering (DCEC). It is composed of a convolutional autoencoders and a clustering layer connected to embedded layer of autoencoders.

Reference: Guo, X., Liu, X., Zhu, E., & Yin, J. (2017). Deep Clustering with Convolutional Autoencoders. Lecture Notes in Computer Science, 373–382. doi:10.1007/978-3-319-70096-0\_39

# Applications

- **FORECASTING**

- Time series prediction with uncertainty estimation

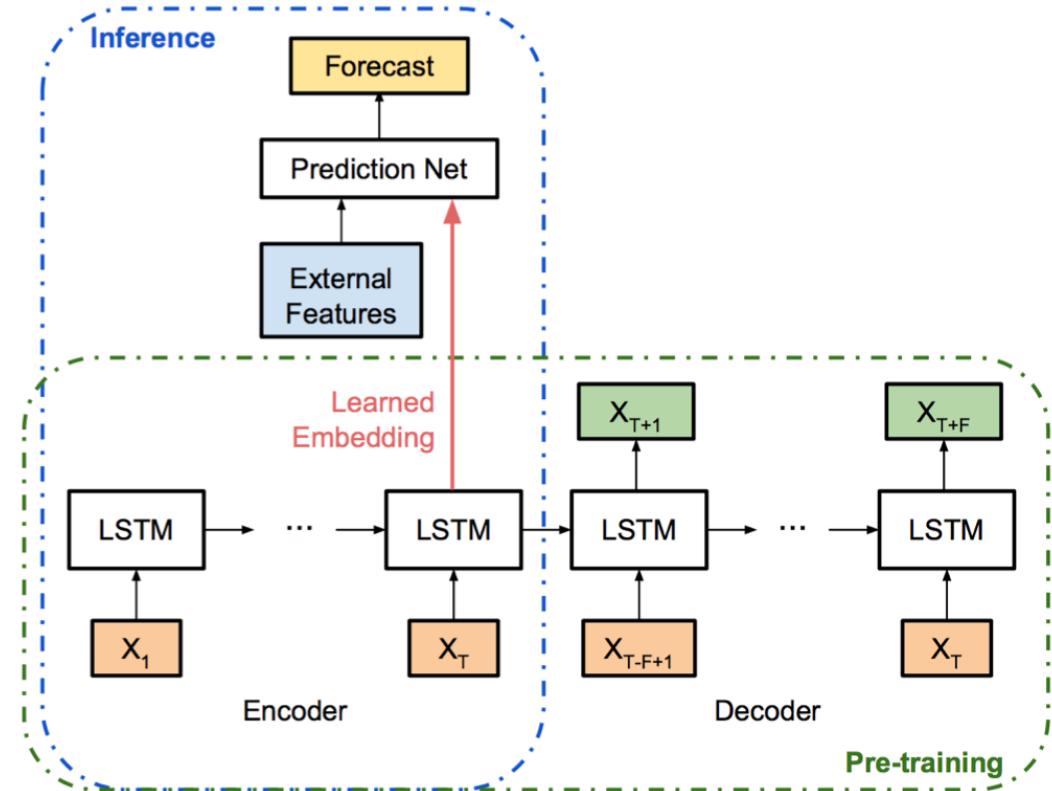


Figure 1. Neural network architecture, with a pre-training phase using a LSTM encoder-decoder, followed by a prediction network, with input being the learned embedding concatenated with external features.

Reference: Zhu, L. & Laptev, N. (2017). Deep and confident prediction for time series at Uber. *2017 IEEE International Conference on Data Mining Workshops*. Retrieved from <https://arxiv.org/pdf/1709.01907.pdf>

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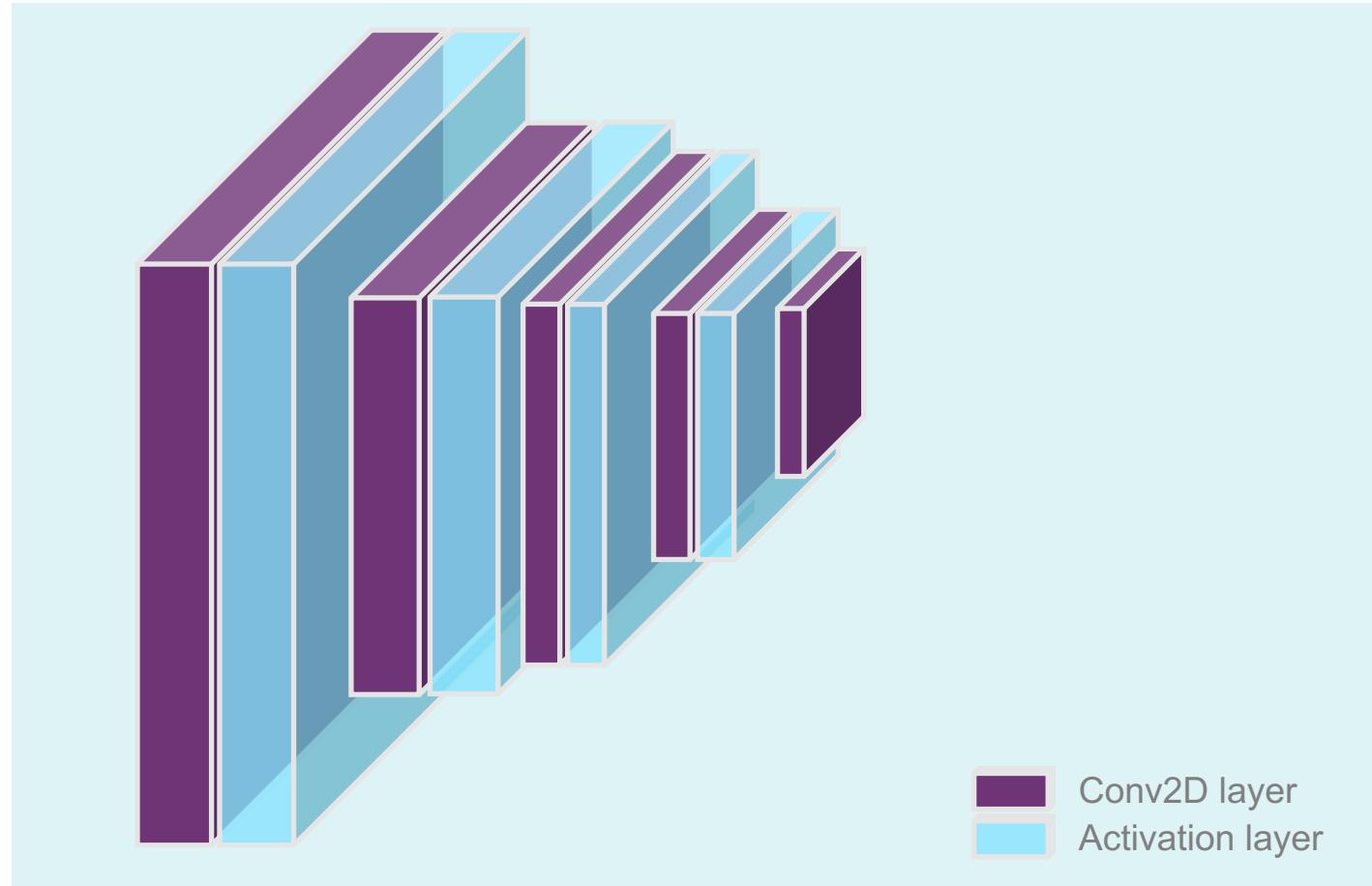
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encoder

# Sample architecture



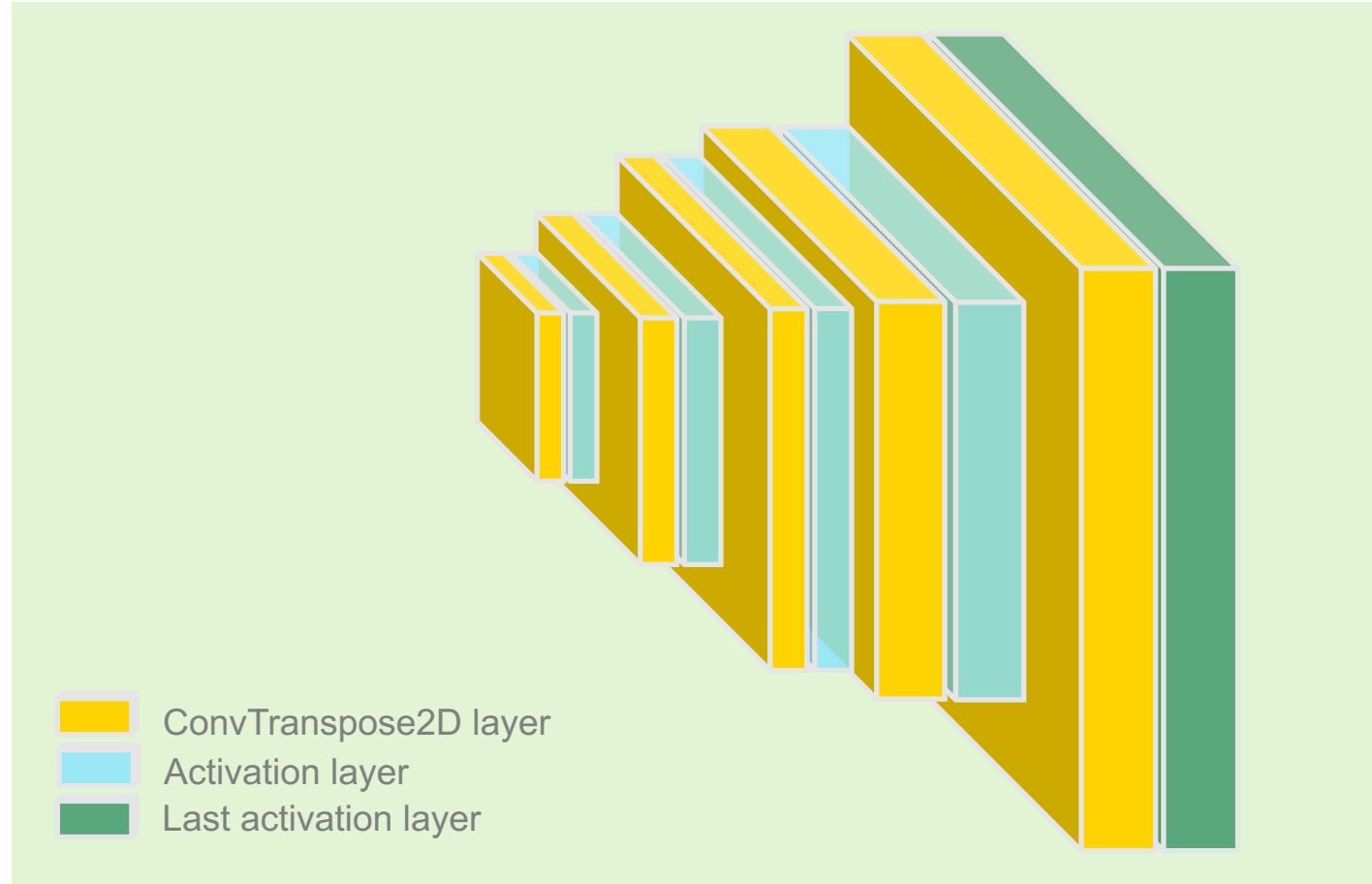
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decoder

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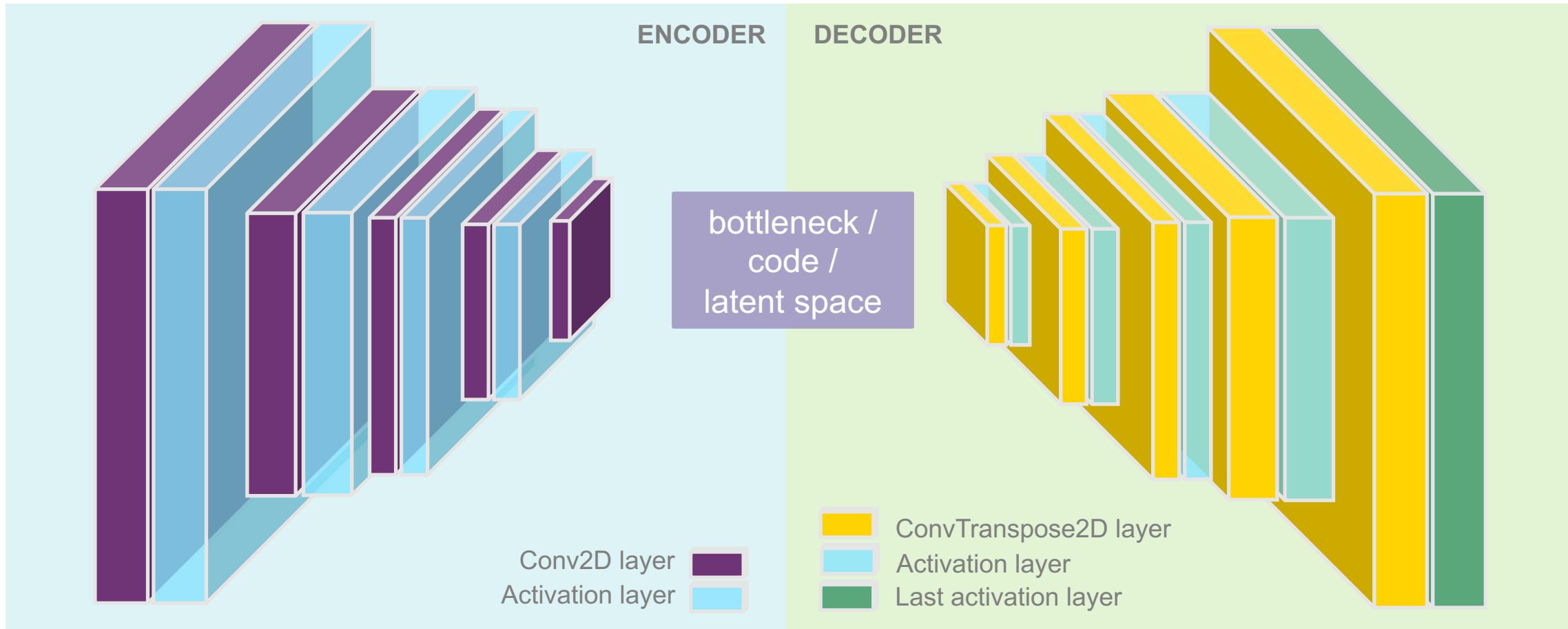


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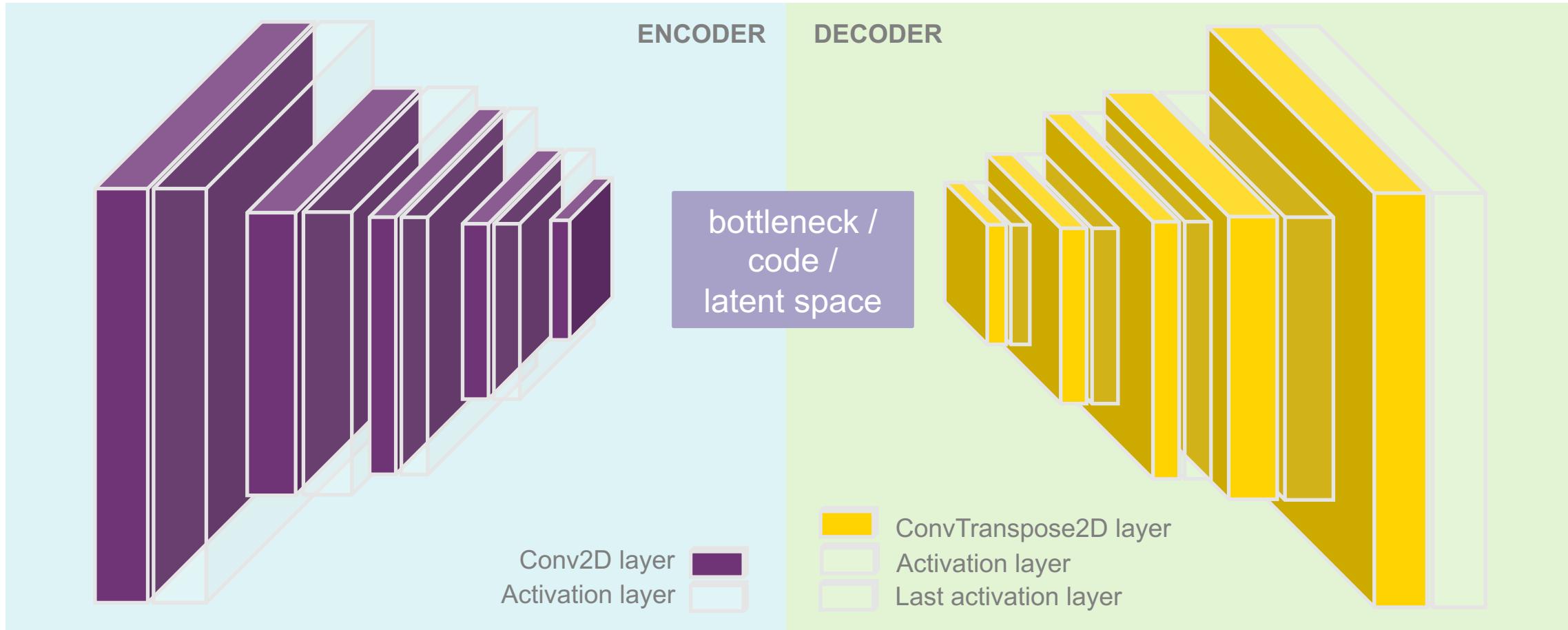


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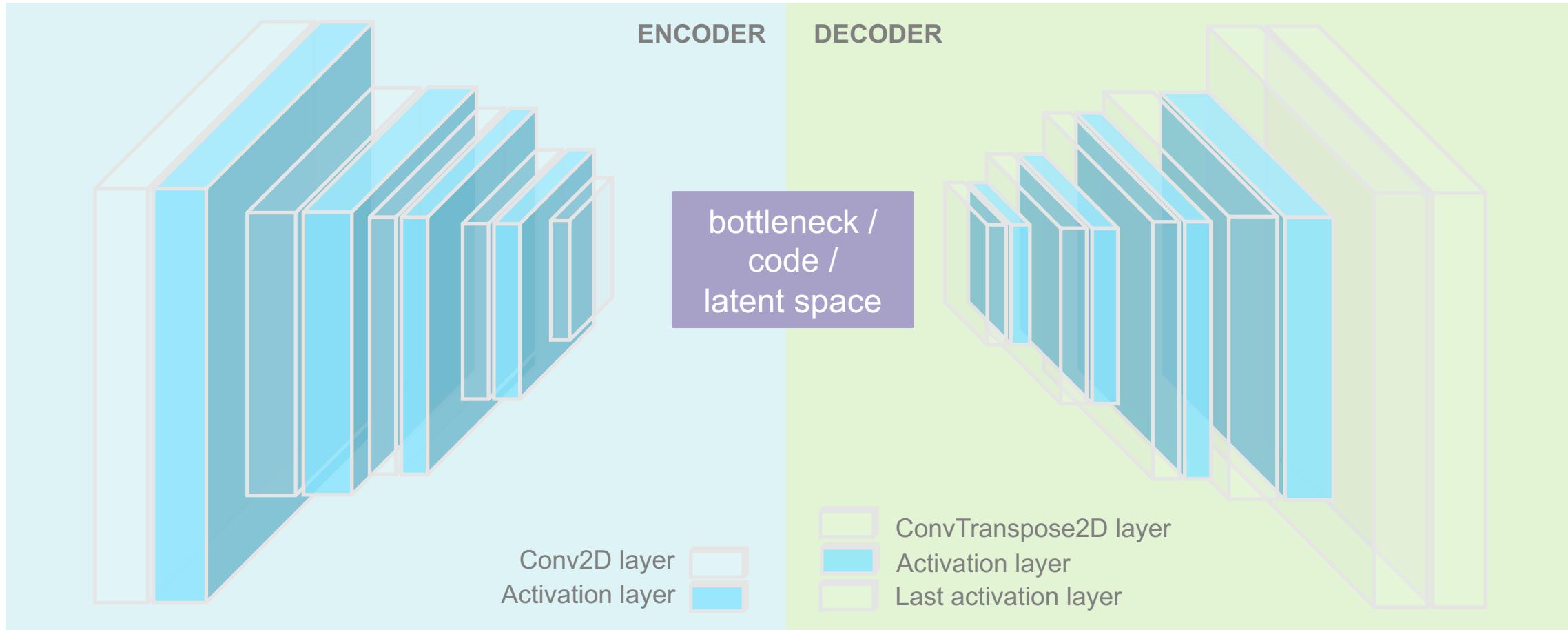
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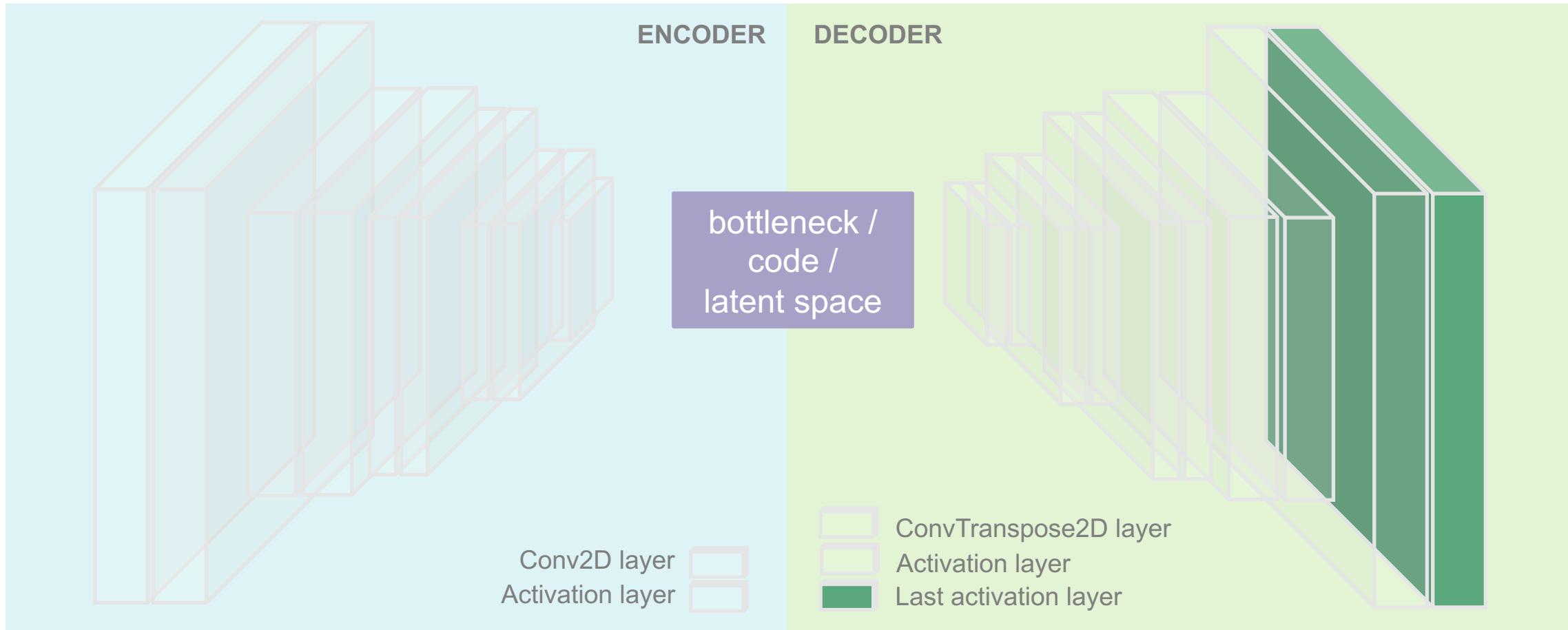
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# Building a Convolutional Autoencoder using PyTorch



# Training a Convolutional Autoencoder using PyTorch



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