# AIM¹-001: Changeable Validator Set

liayoo 2021-01-20

## **Problem Description**

As of writing, the validator set is static, meaning it is set when a genesis block is created
and cannot be changed later on. This AIM addresses the issue and proposes ways to
make the validator set incrementally flexible.

# **Proposed Changes**

#### AS-IS

- Whitelist
  - o ref: /consensus/whitelist/\${address}: 250
  - o set by owner at genesis
  - determined by NUM\_VALIDATORS or the default (accounts 0...4)
- Stake
  - default deposit expiration = 30 days
- Validator prerequisites
  - whitelisted
  - but voting only allowed if staked (checked by write rules)
- Can't restart after a consensus failure
  - e.g. No / not enough validators (=> failed to elect proposer)

#### TO-BE

### Stage 1 (ComCom-only)

- Whitelist
  - write rule: "auth === getValue('/sharding/config/sharding\_path') === '/' ? '0xAAAf6f50A0304F12119D218b94bea8082642515B' : getValue('/sharding/config/shard\_owner')"
  - determined by GENESIS\_WHITELIST
- Stake
  - o deposit request ref: /deposit/consensus/\${address}/\${key}/value
  - o deposit ref: /deposit\_accounts/consensus/\${address}: { value, expire\_at }

<sup>&</sup>lt;sup>1</sup> Al Network Improvement Memo. Visit <a href="https://docs.ainetwork.ai">https://docs.ainetwork.ai</a> for the full list.

- default deposit expiration = 6 months (180 days)
- o 2 ways
  - env var: STAKE=250
  - json rpc: SET\_VALUE at /deposit/consensus/\${address}/\${key}/value
- Validator prerequisites
  - whitelisted && staking
  - o whitelisted = getValue(`/consensus/whitelist/\${address}`) === true
  - staking = getValue(`/deposit\_accounts/consensus/\${address}/value`) >
     MIN\_STAKE\_AMOUNT\_PER\_VALIDATOR &&
     getValue(`/deposit\_accounts/consensus/\${address}/expire\_at`) > Date.now() +
     THRESHOLD
- GENESIS WHITELIST
  - owner sets the /consensus/whitelist as GENESIS\_WHITELIST

```
GENESIS_WHITELIST = {
    "0x000AF024FEDb636294867bEff390bCE6ef9C5fc4": true,
    "0x001Ac309EFFFF6d307CbC2d09C811aCD7dD8A35d": true,
    "0x002A273ECd3aAEc4d8748f4E06eAdE3b34d83211": true,
    "0x003AD6FdB06684175e7D95EcC36758B014517E4b": true,
    "0x004A2550661c8a306207C9dabb279d5701fFD66e": true
}
```

- MIN\_NUM\_VALIDATORS = 5
  - o consensus protocol begins iff MIN\_NUM\_VALIDATORS validators stake
- MIN\_STAKE\_AMOUNT\_PER\_VALIDATOR = 100
- Deprecate NUM\_VALIDATORS
- In case of consensus failure (no / not enough validators)
  - o option 1. set validators to GENESIS WHITELIST
  - o option 2. get validators from N 100 block

### Stage 2 (Permissioned / Public)

- Penalties (slashing)
- Whitelist
  - o write rule: "auth === '\$OWNER ADDRESS'"

## Document History

Date	Who	Change	Notes
2021-01-20	liayoo	Initial draft	
2021-02-02	liayoo, platfowner, minsulee2, cshcomcom, shyun-comcom	Internal review	

2021-05-13	platfowner	Published	