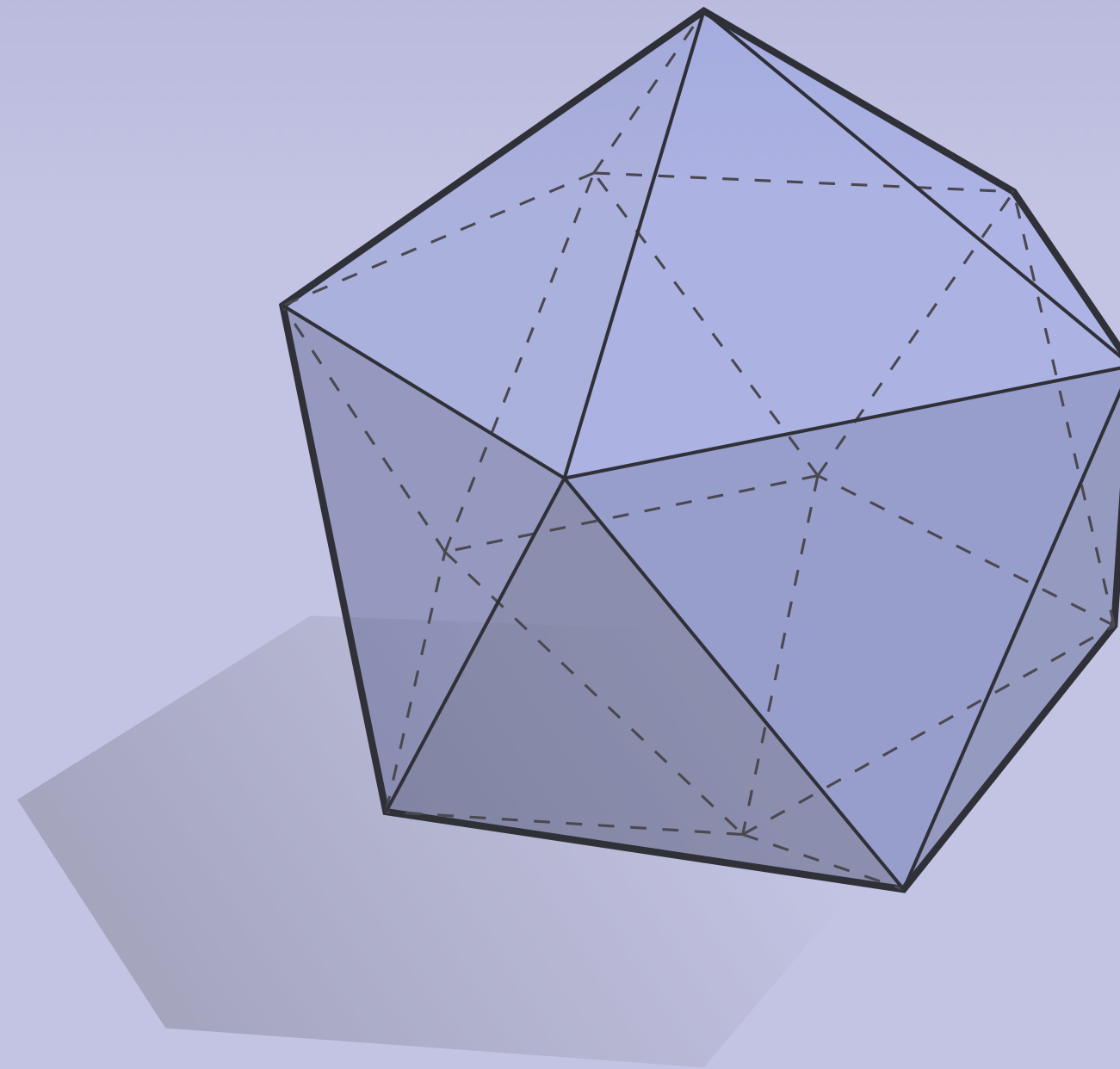


DISCRETE DIFFERENTIAL  
GEOMETRY:  
AN APPLIED INTRODUCTION  
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# DISCRETE CURVATURE I



DISCRETE DIFFERENTIAL  
GEOMETRY:

AN APPLIED INTRODUCTION

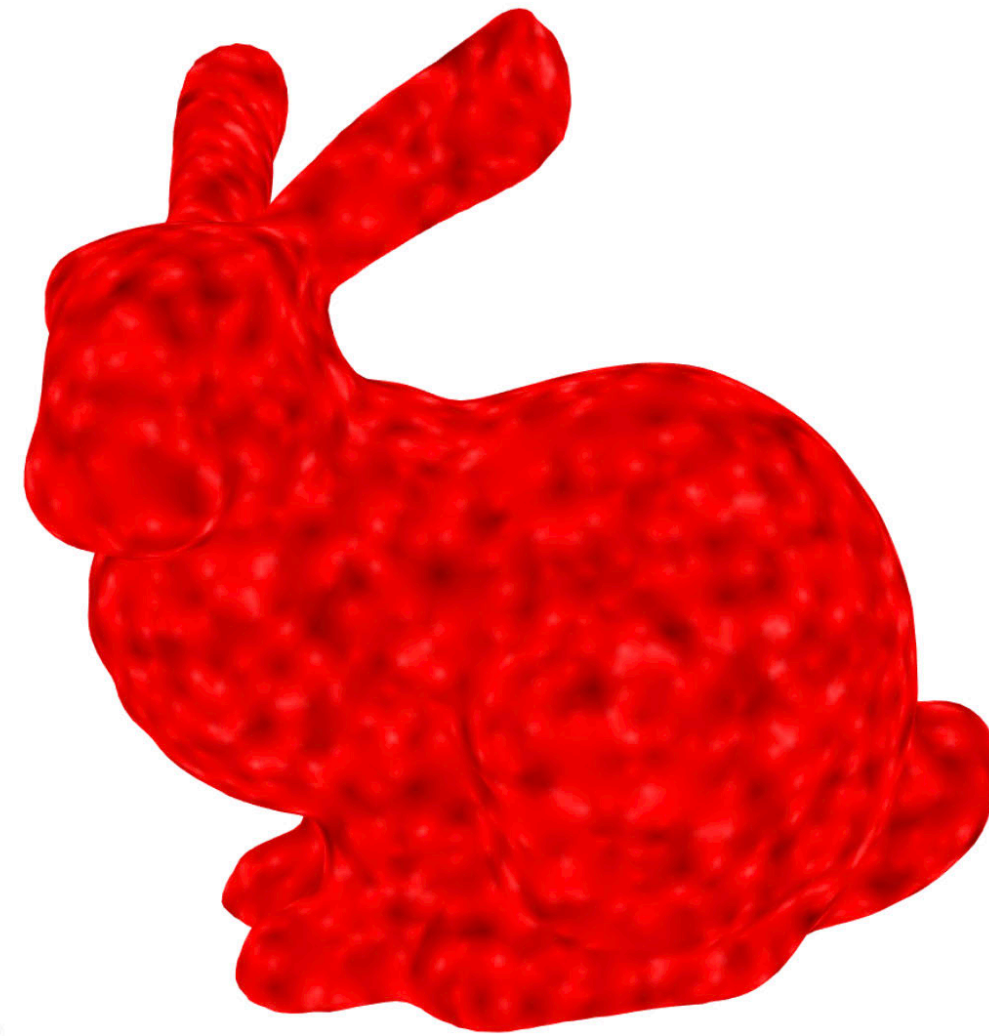
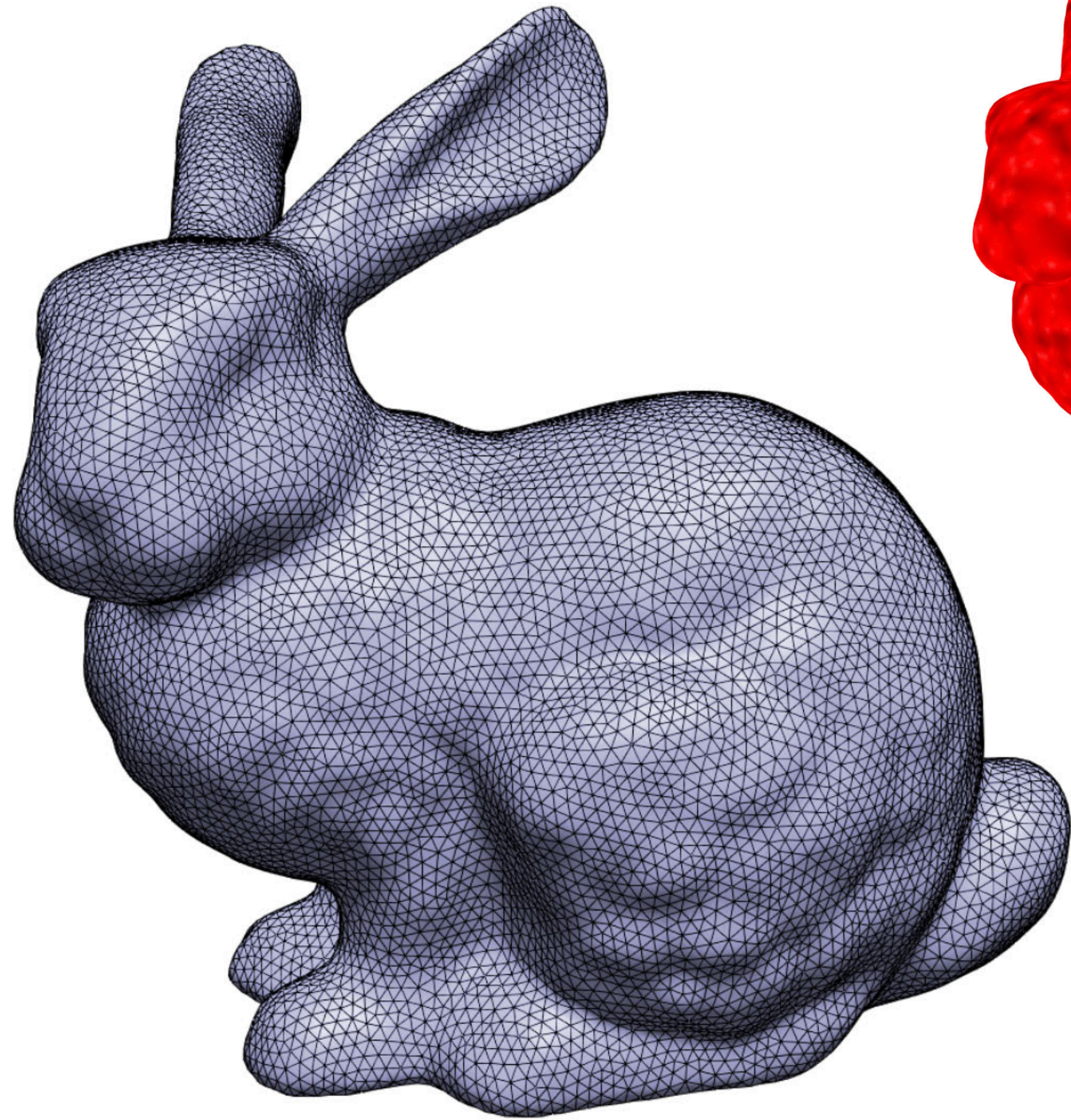
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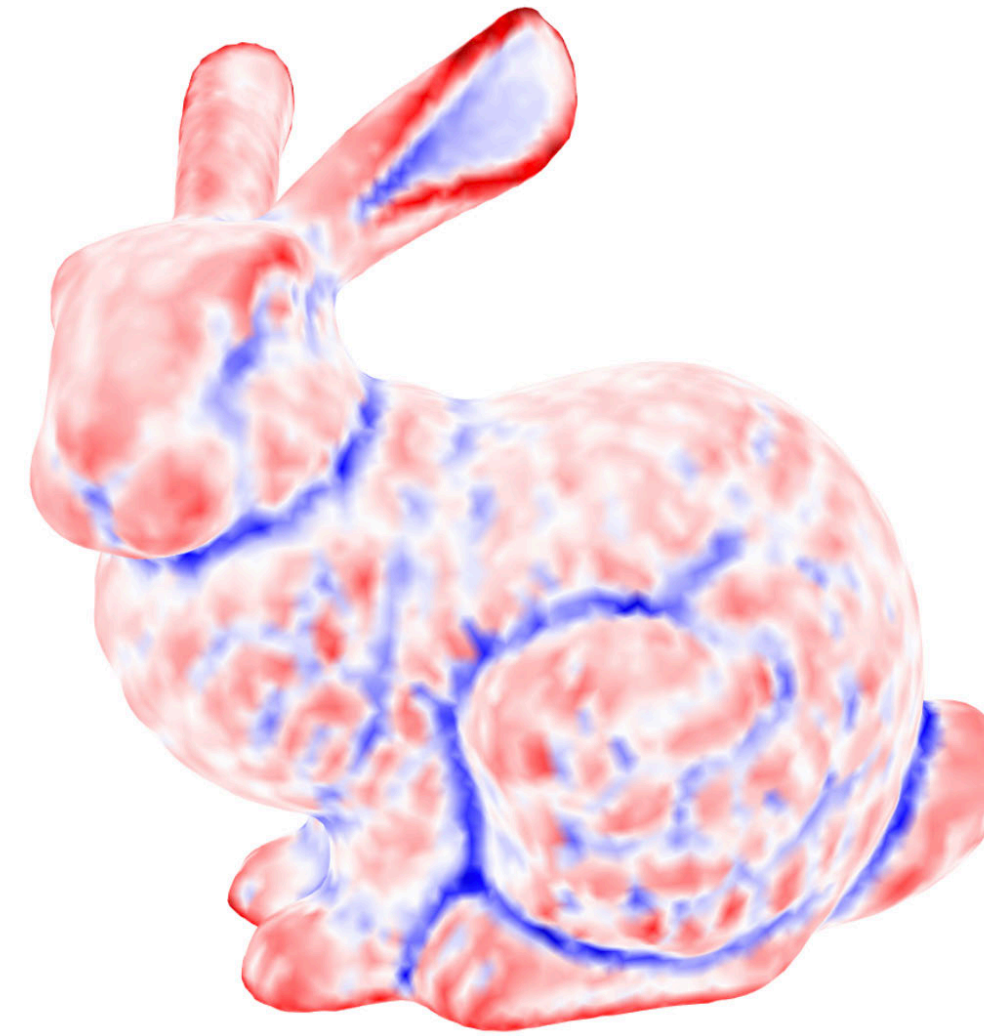
# *Discrete Curvature*



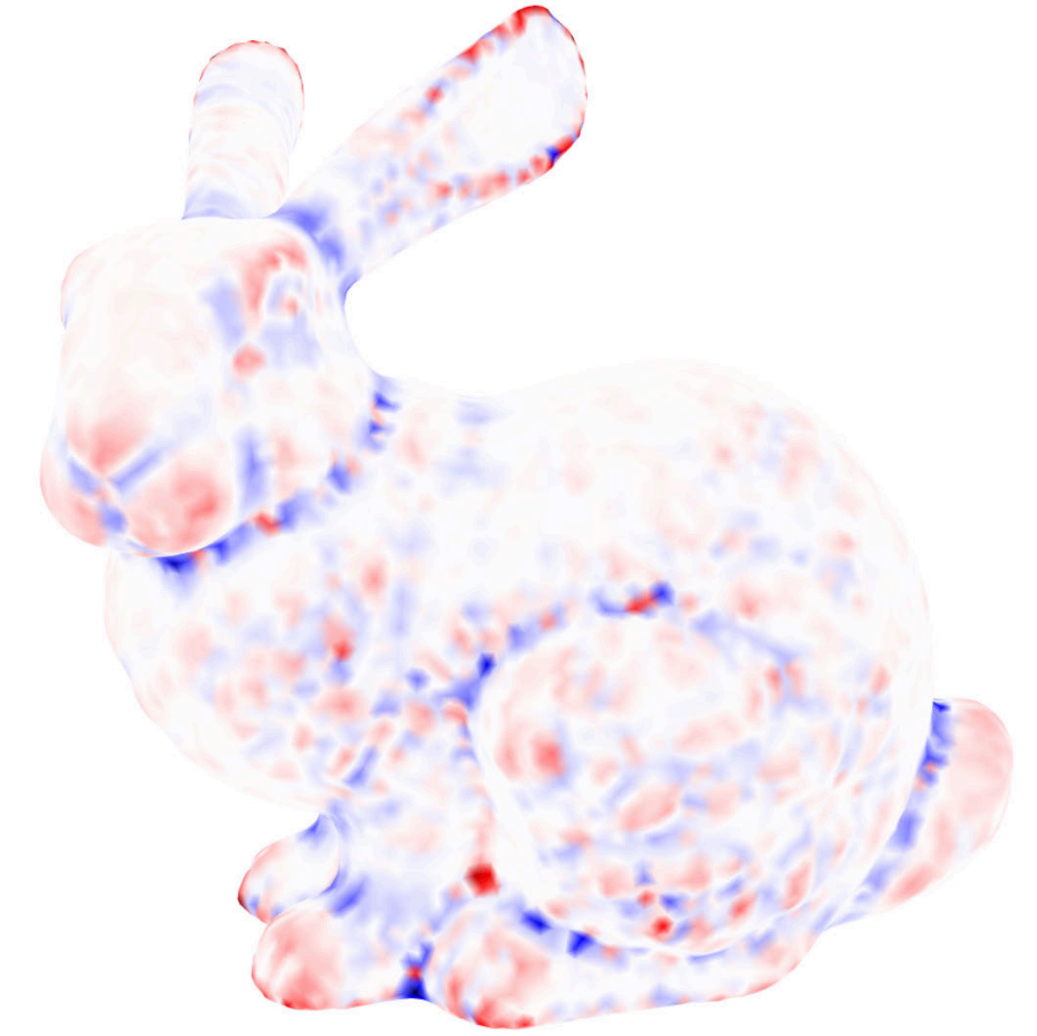
# *Discrete Curvature—Visualized*



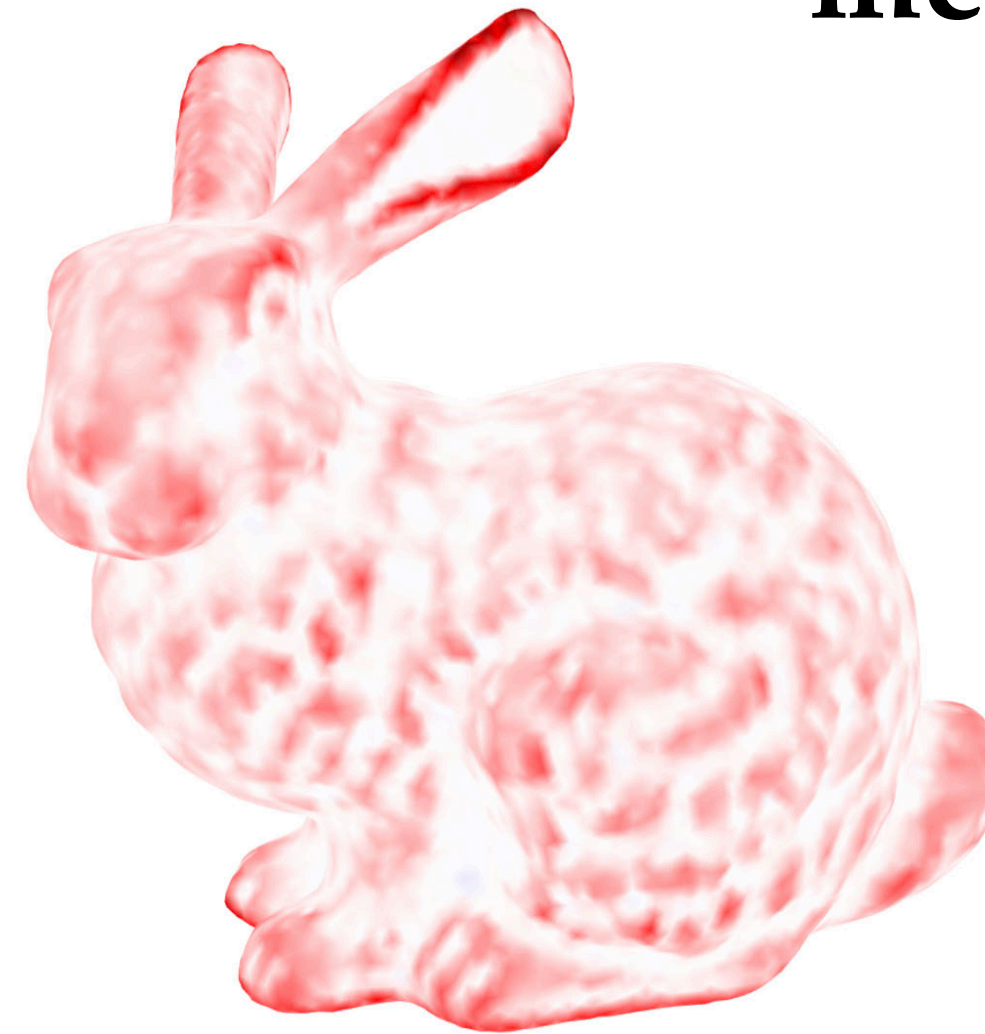
**area**



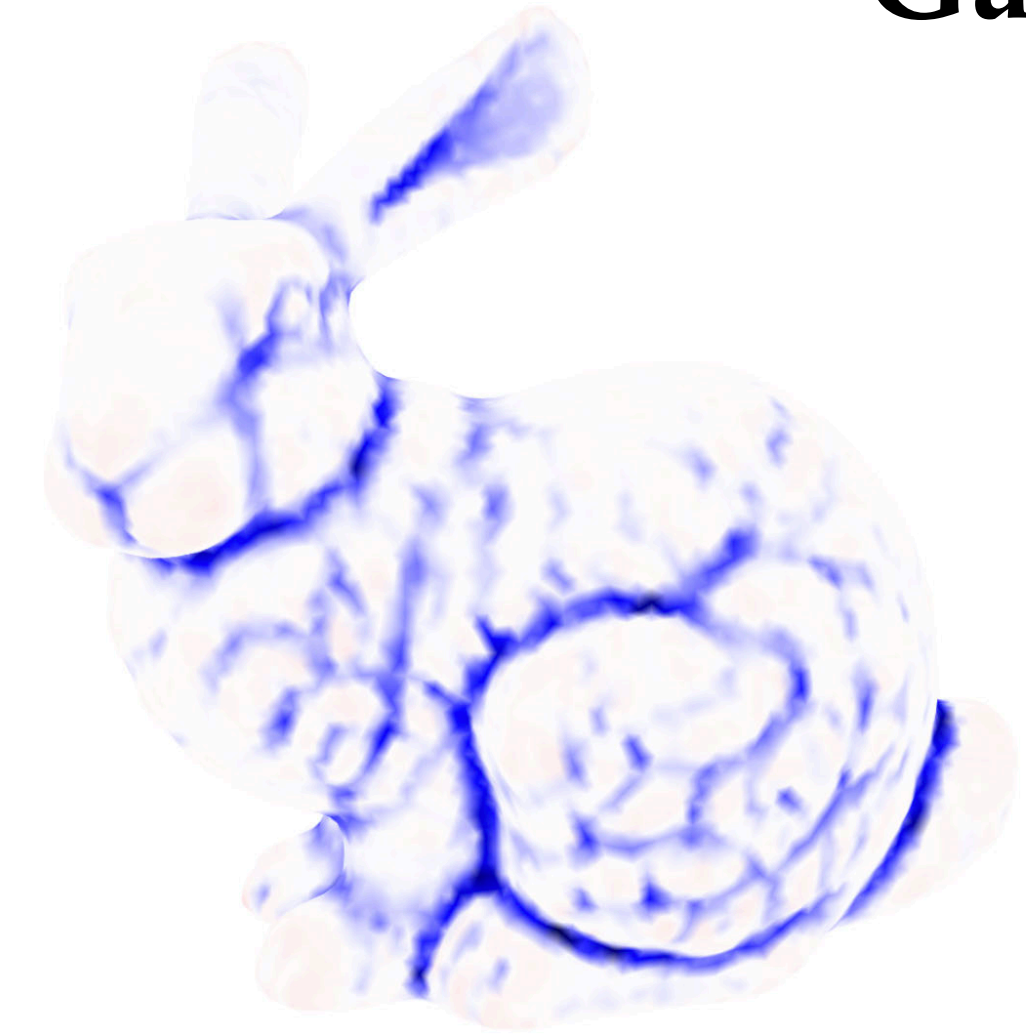
**mean**



**Gauss**



**maximum**

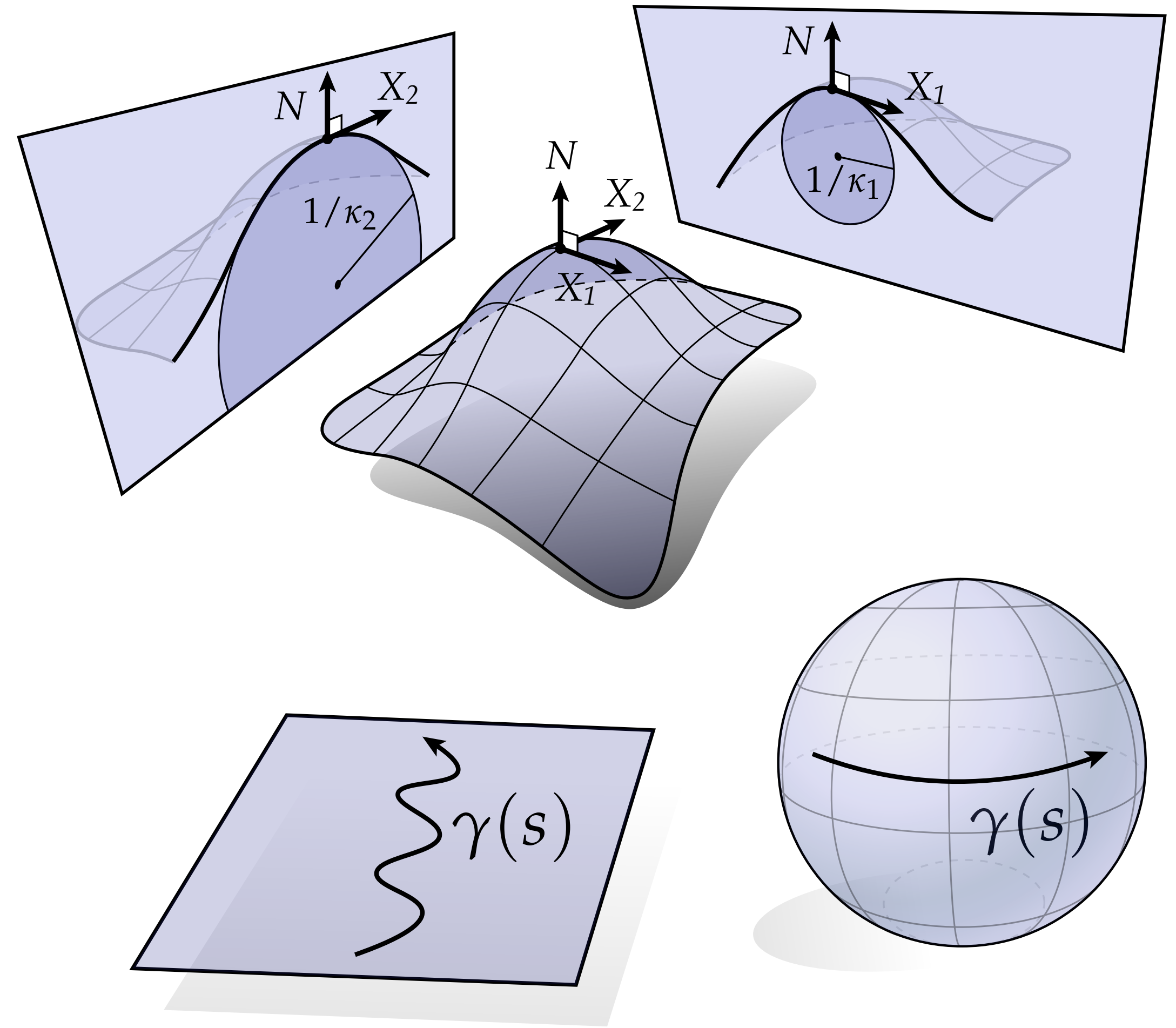


**minimum**



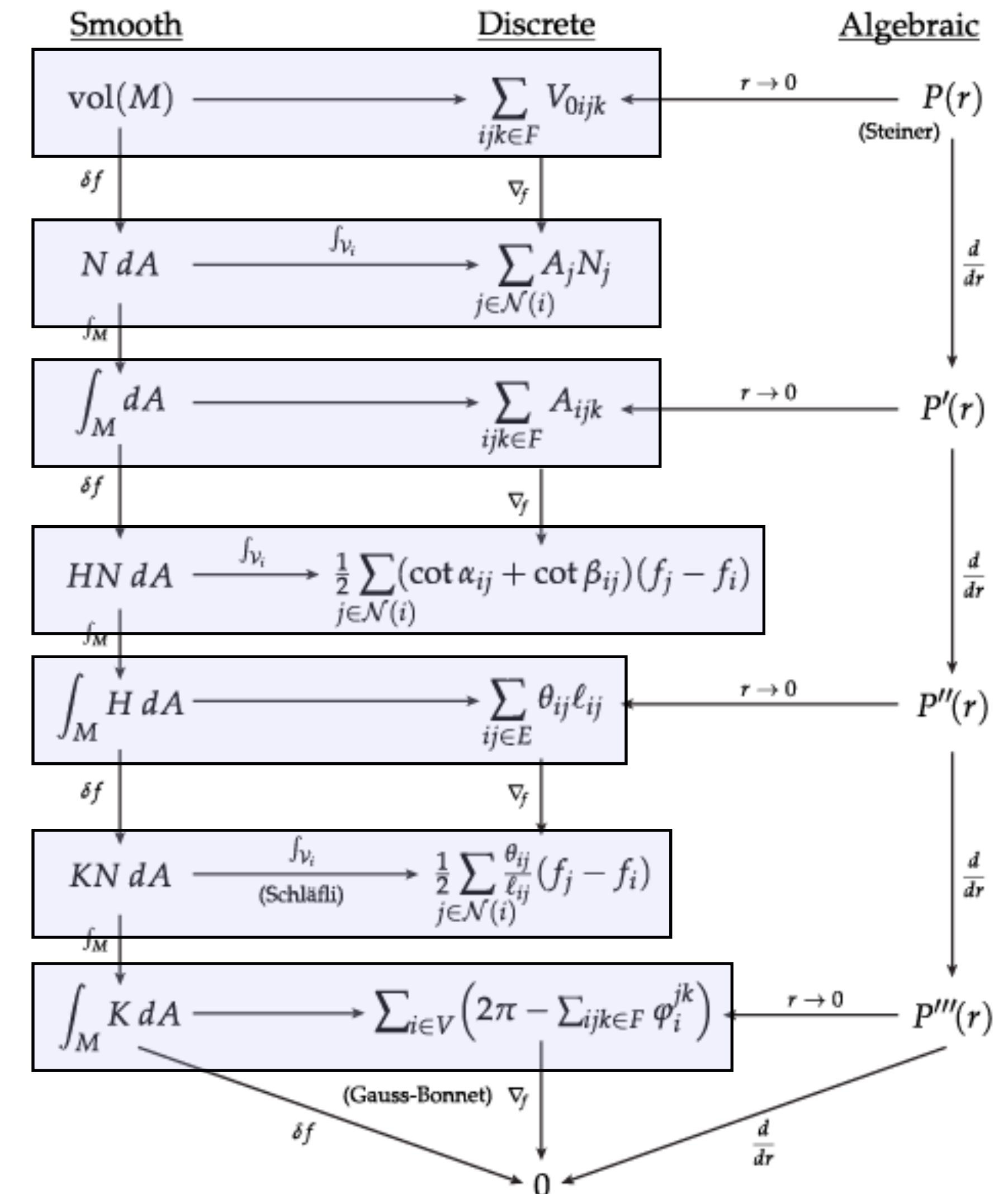
# Curvature of Surfaces

- In smooth setting, had many different curvatures (normal, principal, Gauss, mean, geodesic, ...)
- In discrete setting, appear to be many disconnected ways to discretize curvatures
- Actually, there is a unified viewpoint that helps explain many common choices...



# A Unified Picture of Discrete Curvature

- By making some connections between smooth and discrete surfaces, we get a unified picture of many different discrete curvatures scattered throughout the literature
- To tell the full story we'll need a few pieces:
  - **geometric derivatives**
  - **Steiner polynomials**
  - **sequence of curvature variations**
  - **basic theorems** (Gauss-Bonnet, Schläfli,  $\Delta f = 2HN$ )
- Start with *integral* viewpoint (1st lecture), then cover *variational* viewpoint (2nd lecture).

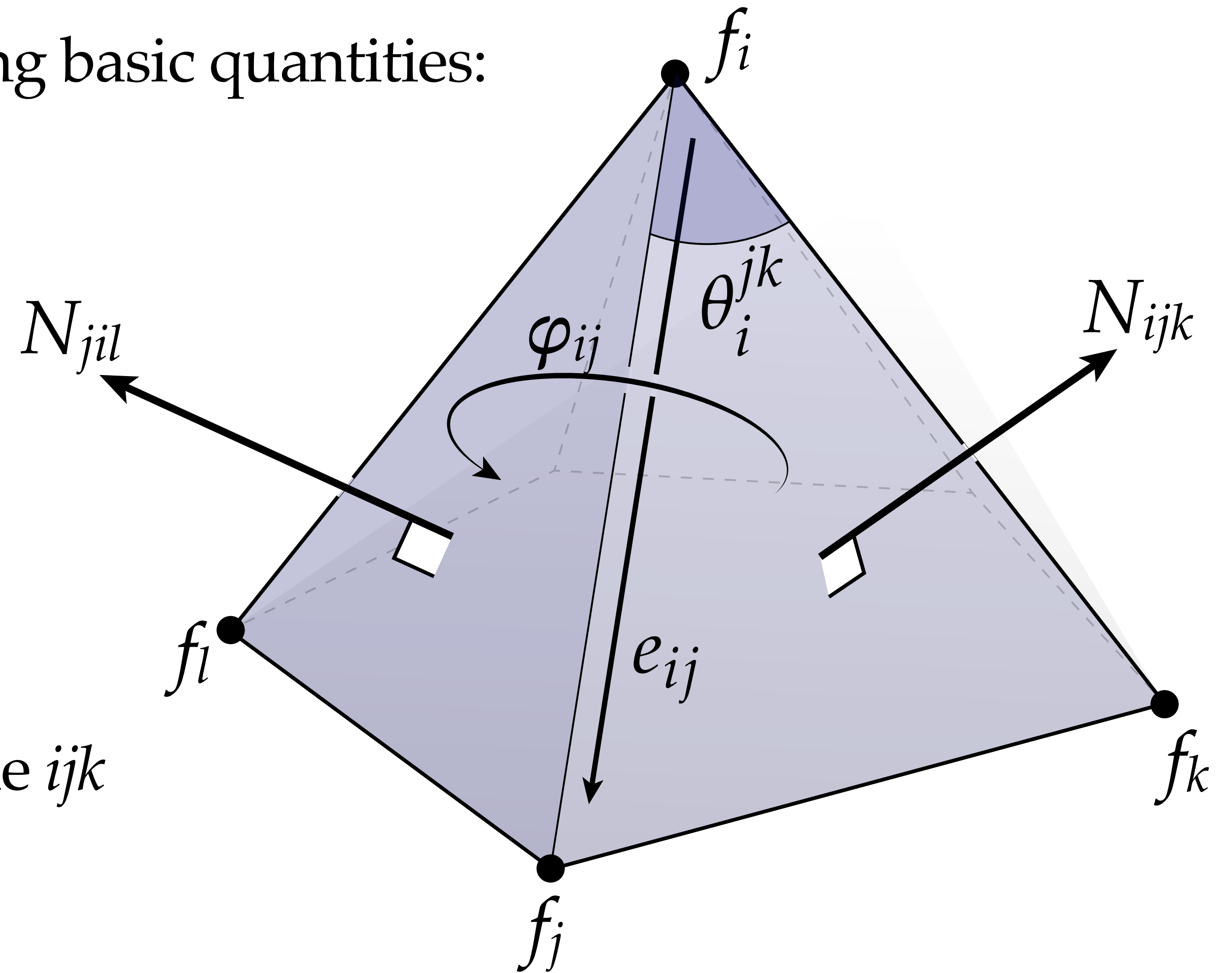


# Quantities & Conventions

- Throughout we will consider the following basic quantities:

- $f_i$  — position of vertex  $i$
- $e_{ij}$  — vector from  $i$  to  $j$
- $\ell_{ij}$  — length of edge  $ij$
- $A_{ijk}$  — area of triangle  $ijk$
- $N_{ijk}$  — unit normal of triangle  $ijk$
- $\theta_i^{jk}$  — interior angle at vertex  $i$  of triangle  $ijk$
- $\varphi_{ij}$  — dihedral angle at oriented edge  $ij$

$$\varphi_{ij} := \text{atan2}(\hat{e}_{ij} \cdot N_{ijk} \times N_{jil}, N_{ijk} \cdot N_{jil}), \quad \hat{e}_{ij} := e_{ij} / \ell_{ij}$$



**Q:** Which of these quantities are discrete differential forms? (And what kind?)





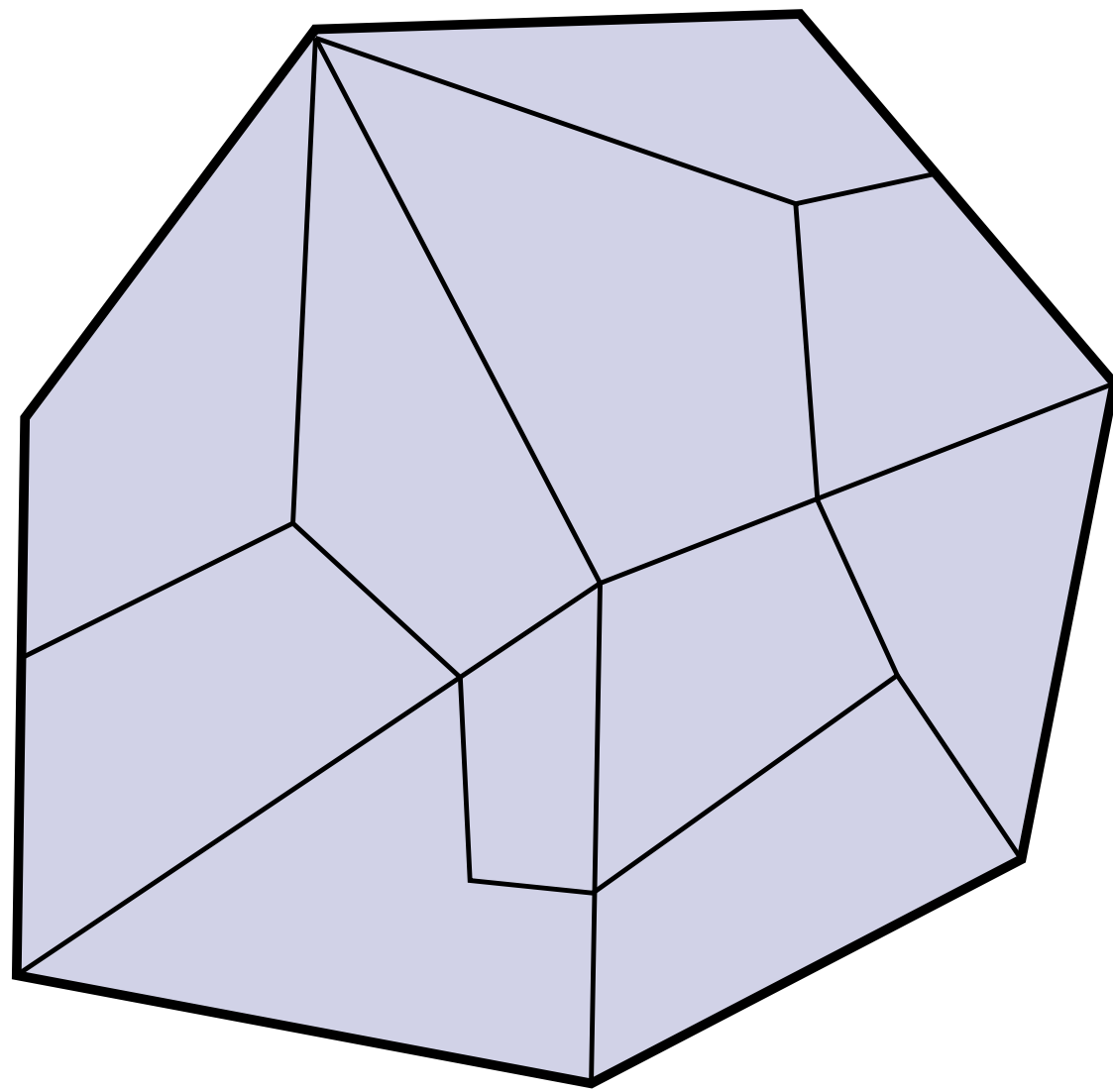
# *Discrete Gaussian Curvature*



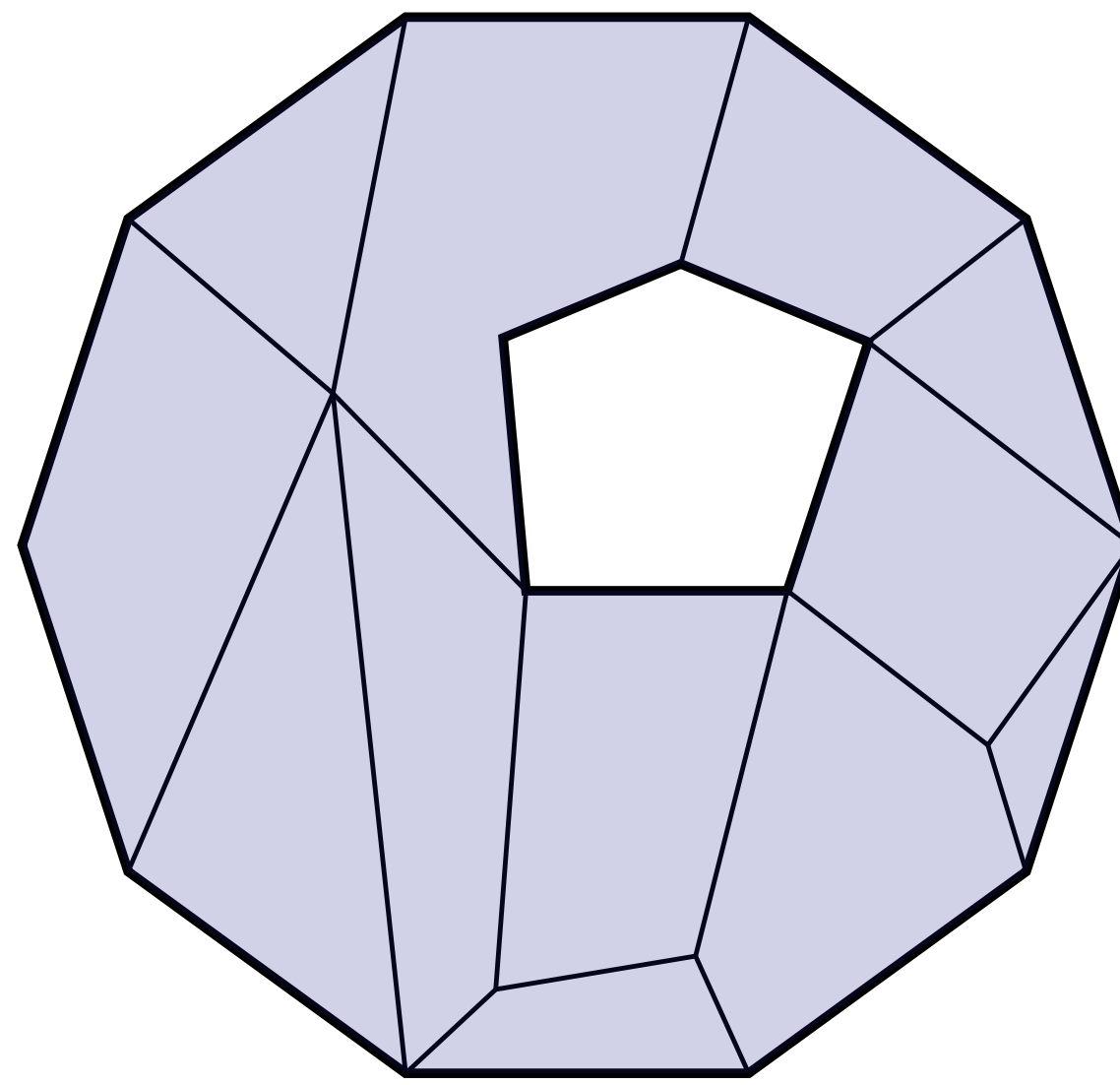
# *Euler Characteristic*

The **Euler characteristic** of a polyhedral surface is the constant

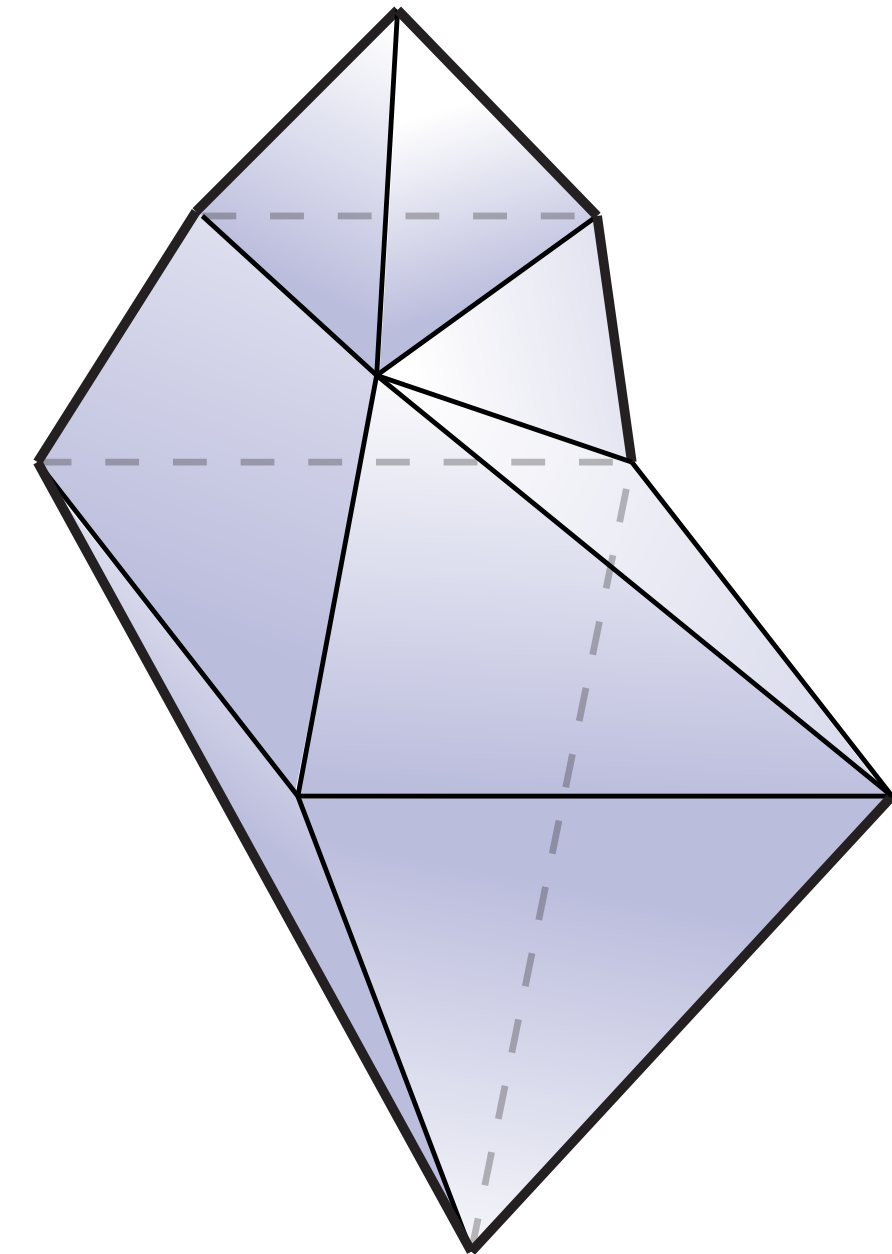
$$\chi := V - E + F$$



$$\chi = 1$$



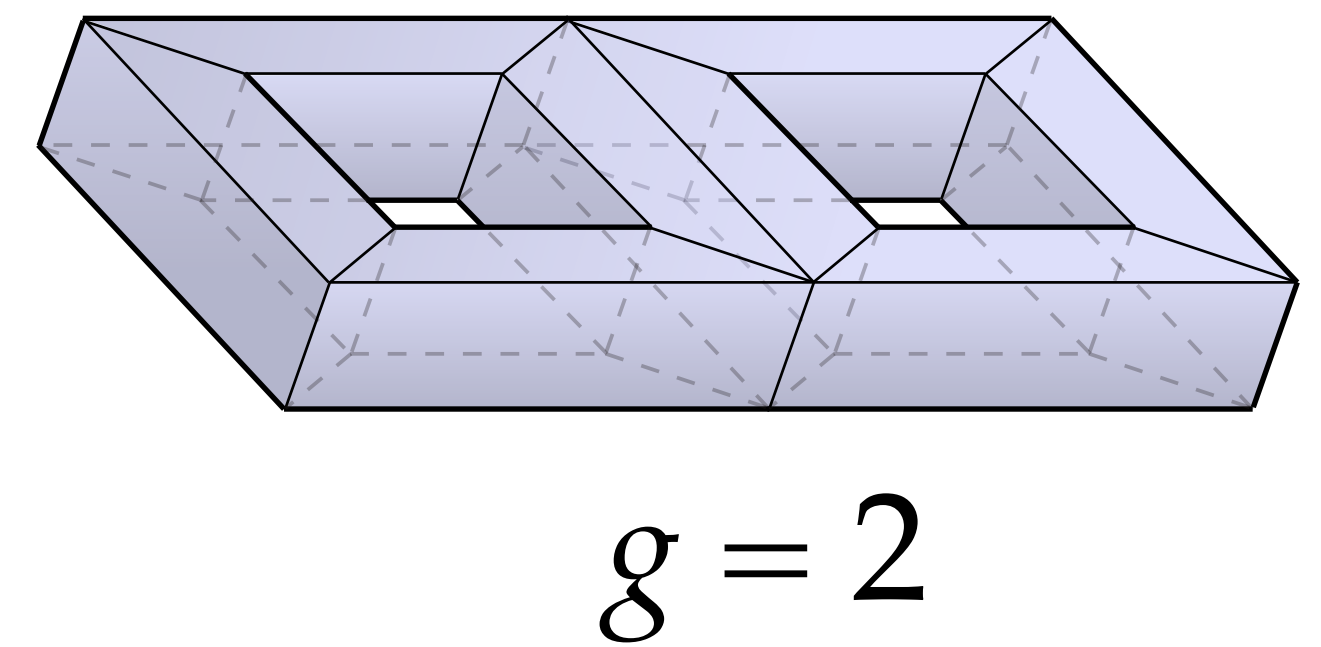
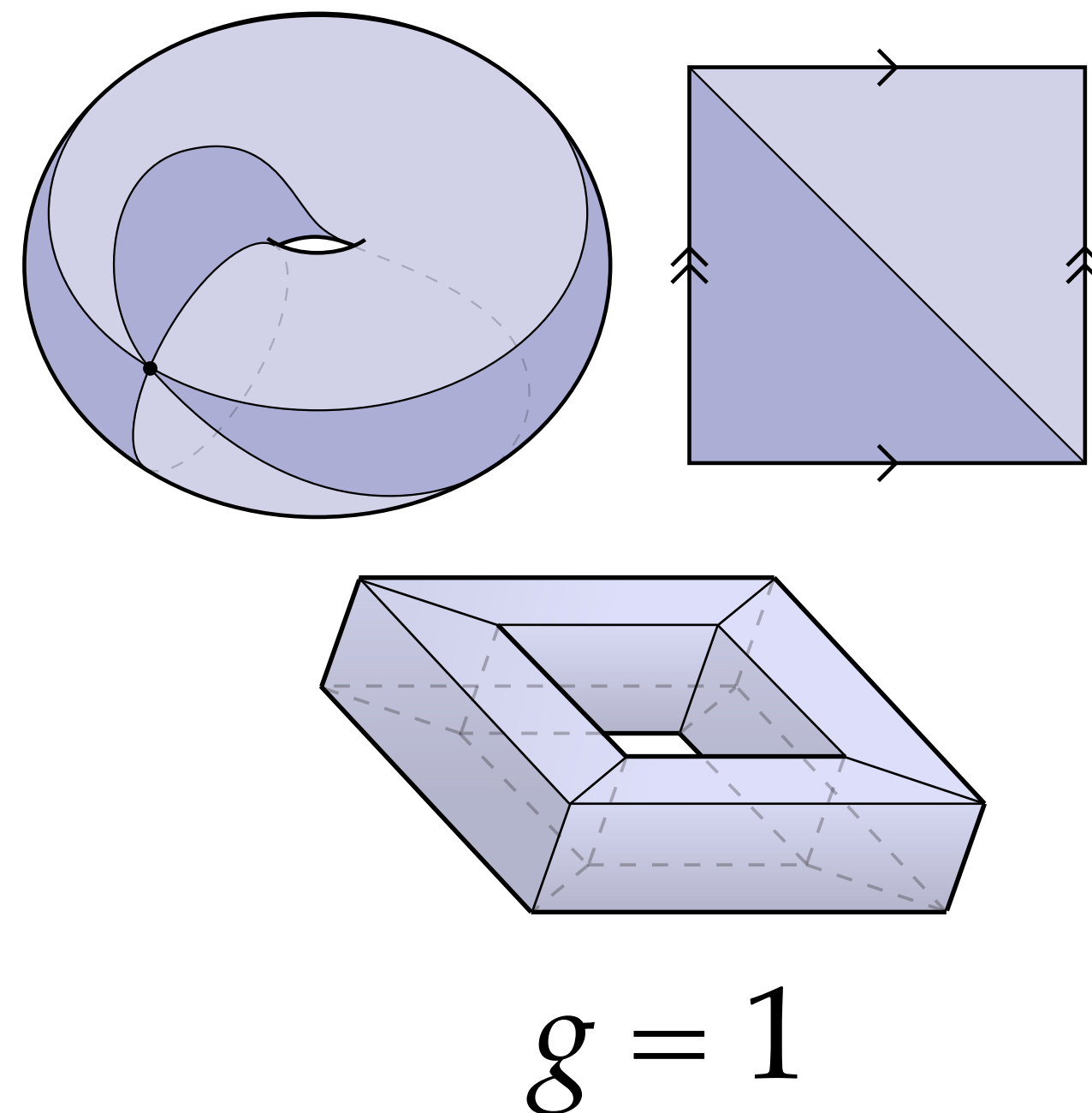
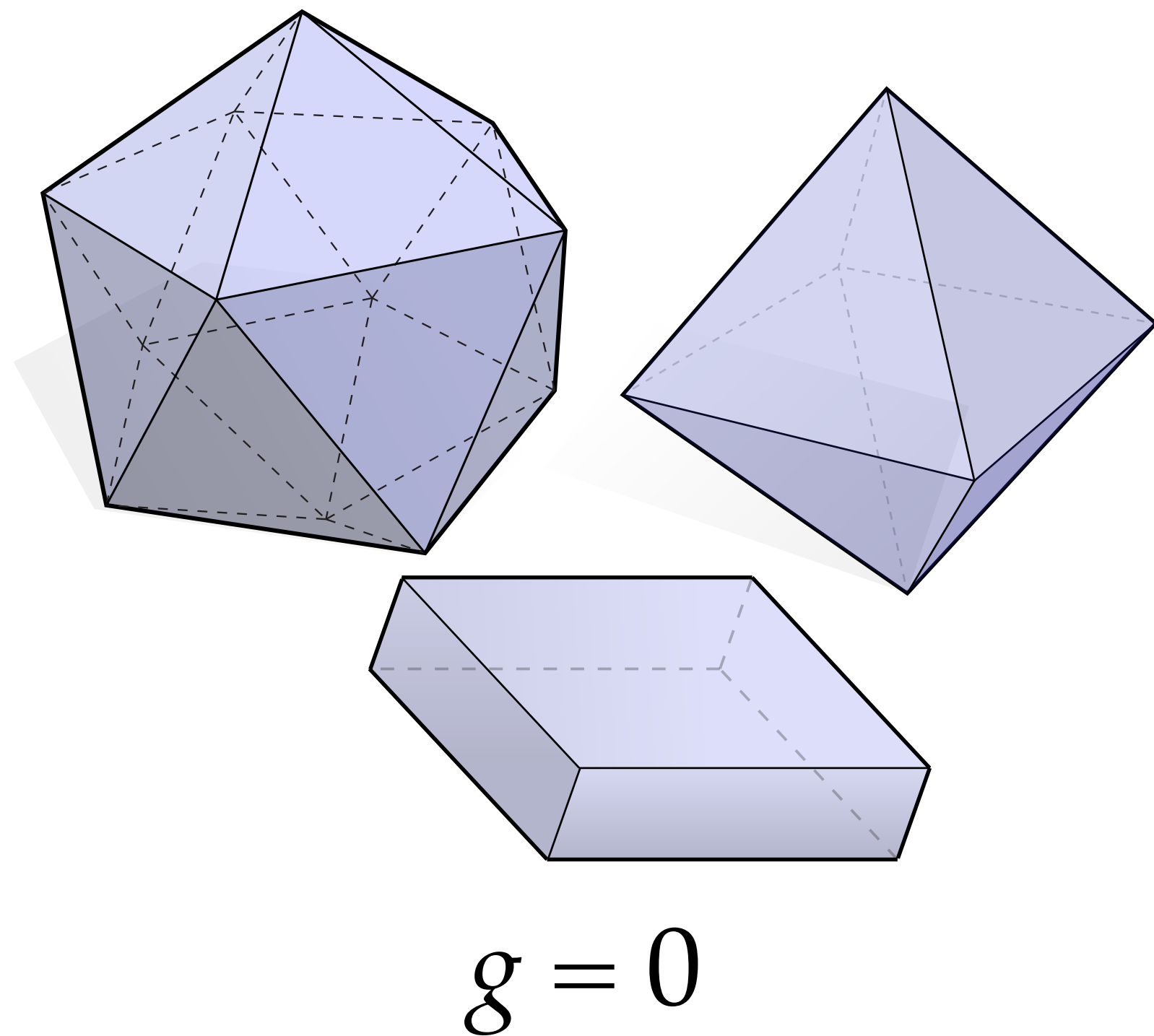
$$\chi = 0$$



$$\chi = 2$$

# Topological Invariance of the Euler Characteristic

**Fact.** (L'Huilier) The Euler characteristic is a *topological invariant* of a polyhedral surface, i.e., it does not depend on the vertex positions or choice of tessellation. *E.g.*, for a torus of genus  $g$ ,  $\chi = 2-2g$ :

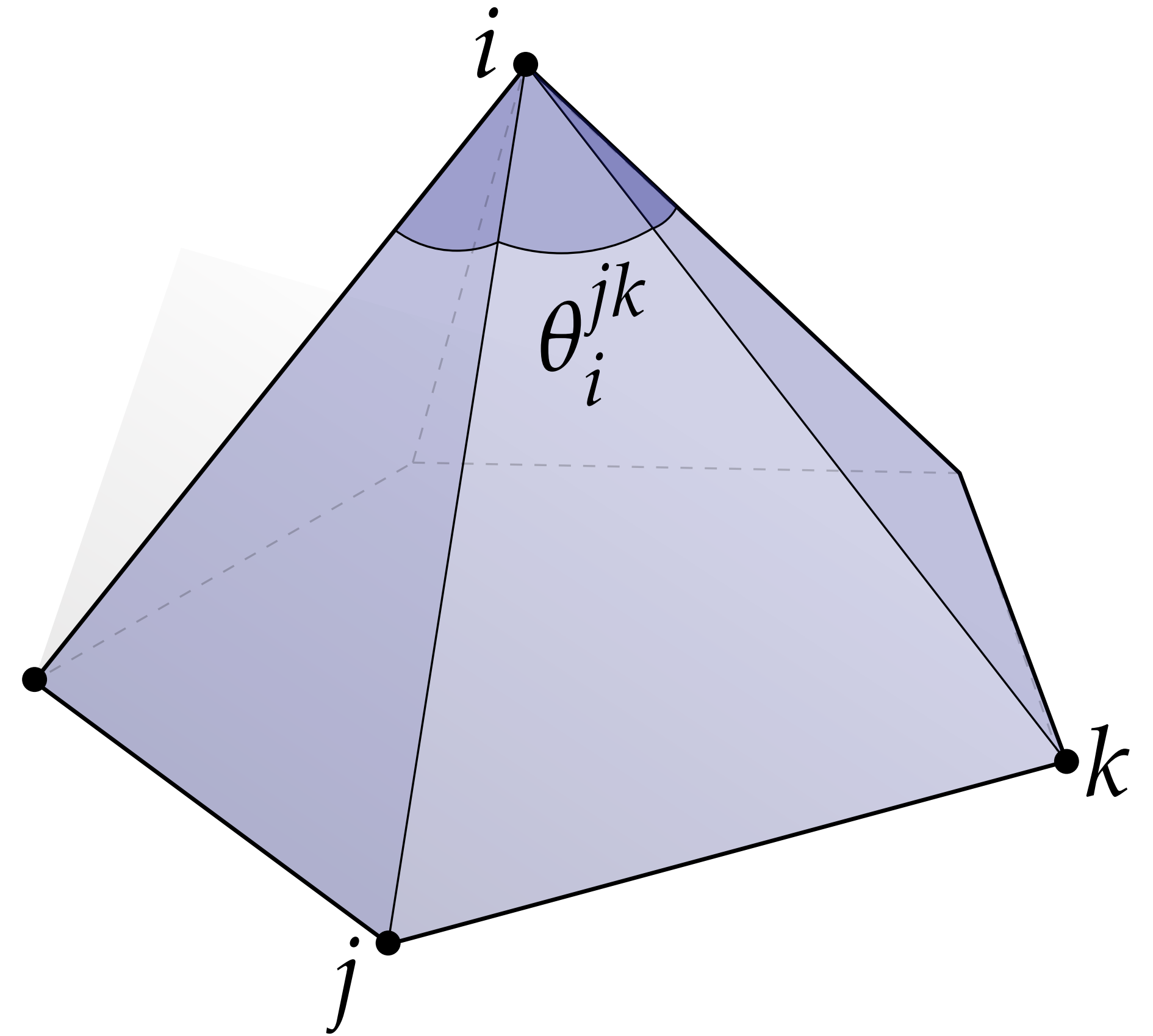




# Angle Defect

- The **angle defect** at a vertex  $i$  is the deviation of the sum of interior angles from the Euclidean angle sum of  $2\pi$ :

$$\Omega_i := 2\pi - \sum_{ijk} \theta_i^{jk}$$



**Intuition:** how “flat” is the vertex?

# Gaussian Curvature as Ratio of Ball Areas

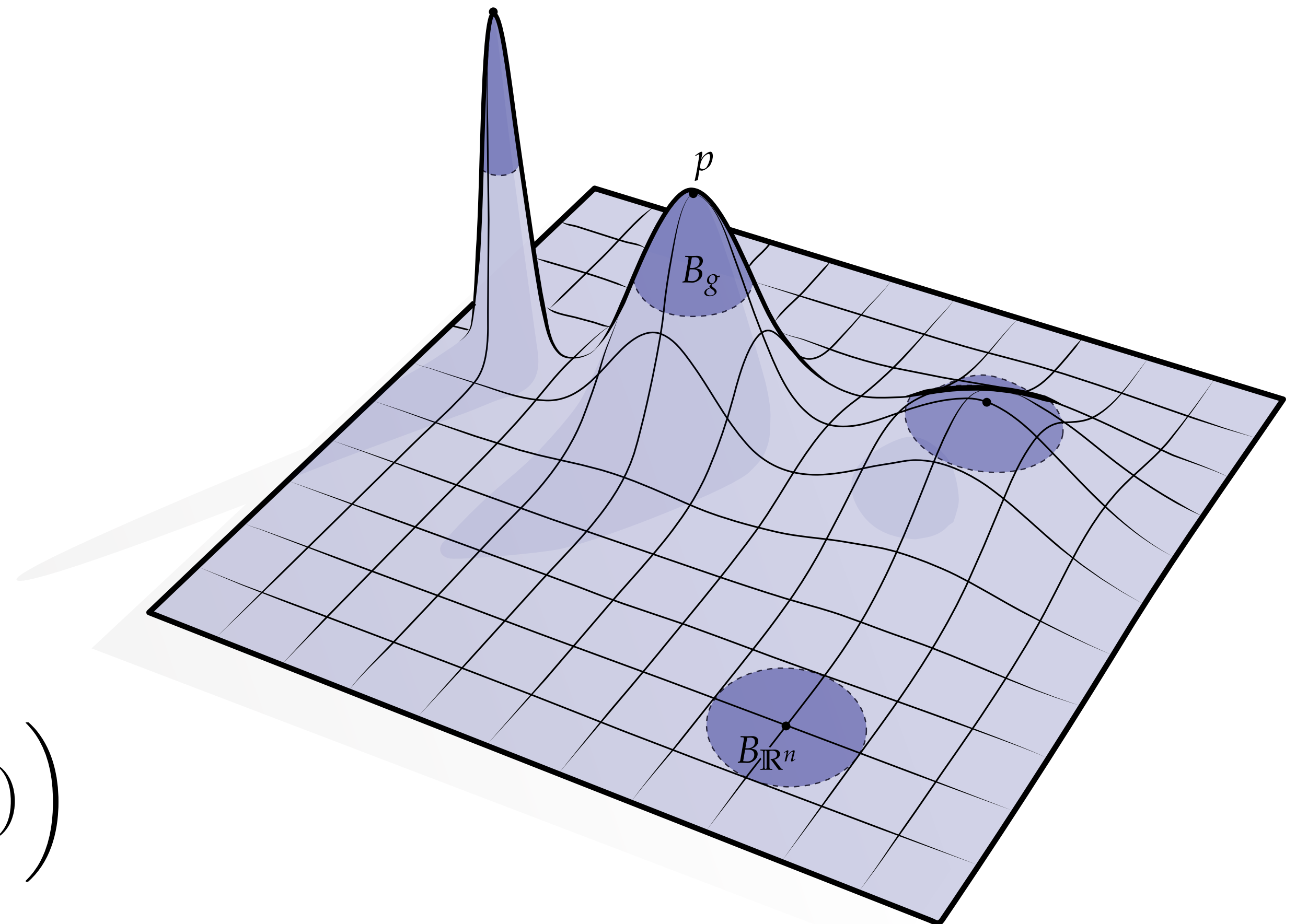
- Recall that Gaussian curvature captures deviation of the area of a small ball on a surface from a ball of equal radius in the plane

Roughly speaking,

$$K \propto 1 - \frac{|B_g|}{|B_{\mathbb{R}^2}|}$$

More precisely:

$$|B_g(p, \varepsilon)| = |B_{\mathbb{R}^2}(p, \varepsilon)| \left( 1 - \frac{K}{12} \varepsilon^2 + O(\varepsilon^3) \right)$$





# Discrete Gaussian Curvature—Intrinsic

- For small radii  $\varepsilon$ , we have

$$\frac{\varepsilon^2}{12}K \approx 1 - \frac{|B_g(\varepsilon)|}{|B_{\mathbb{R}^2}(\varepsilon)|}$$

**Discrete case:**

*area of Euclidean ball*  $|B_{\mathbb{R}^2}(\varepsilon)| = \pi\varepsilon^2$

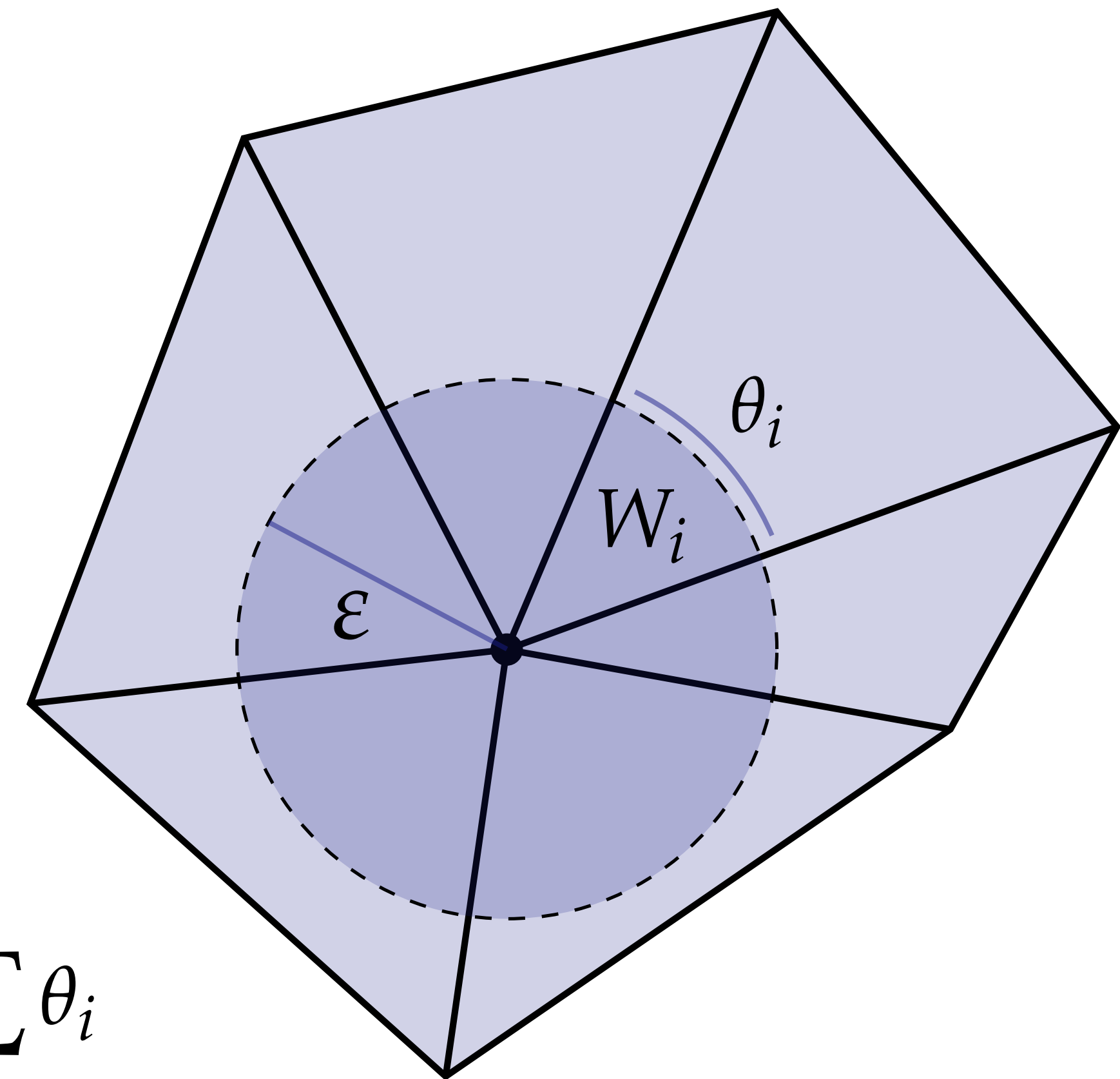
*area of geodesic “wedge”*  $W_i(\varepsilon) = \frac{\theta_i}{2\pi} |B_{\mathbb{R}^2}| = \frac{1}{2}\varepsilon^2\theta_i$

*area of geodesic ball*  $|B_g(\varepsilon)| = \sum_i W_i(\varepsilon) = \frac{\varepsilon^2}{2} \sum_i \theta_i$

Then

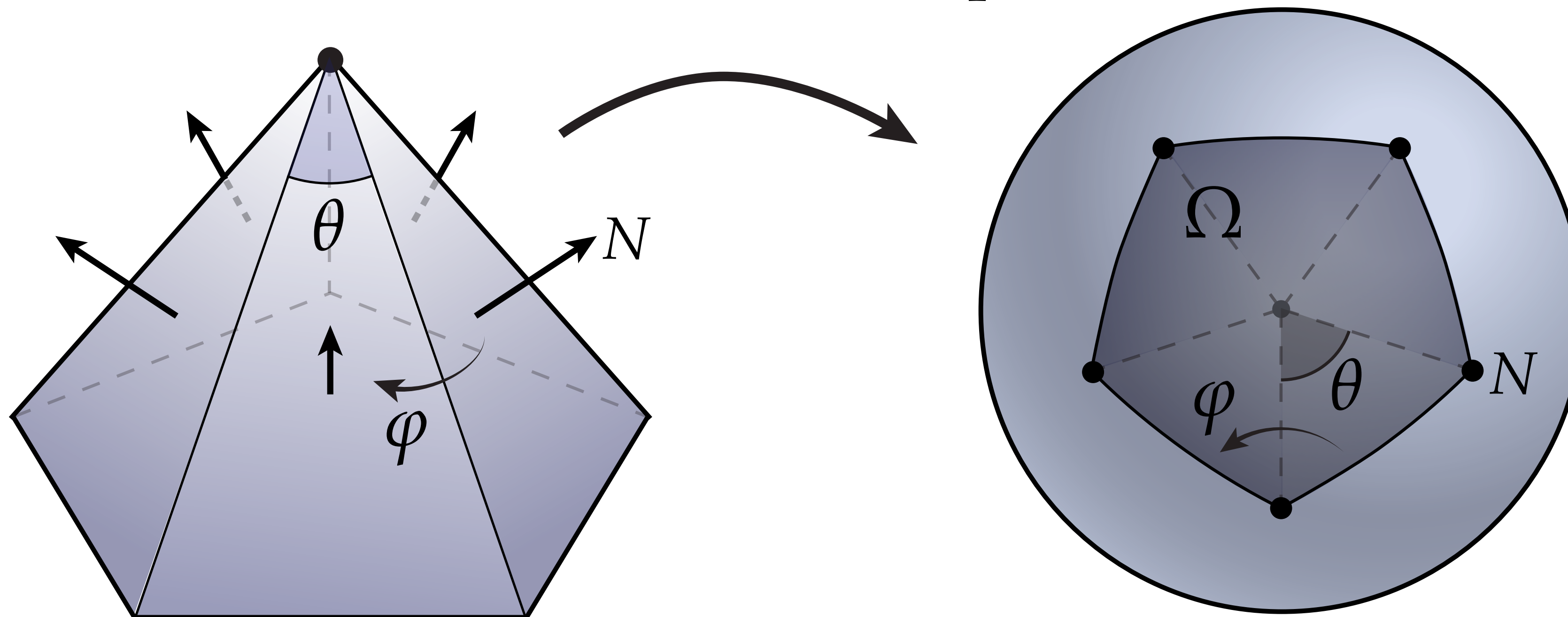
$$\frac{\varepsilon^2}{12}K = 1 - \frac{1}{2\pi} \sum_i \theta_i \iff \boxed{2\pi - \sum_i \theta_i = \frac{1}{6}\pi\varepsilon^2 K}$$

(can think of angle defect as *integrated curvature*)



# Discrete Gaussian Curvature—Extrinsic

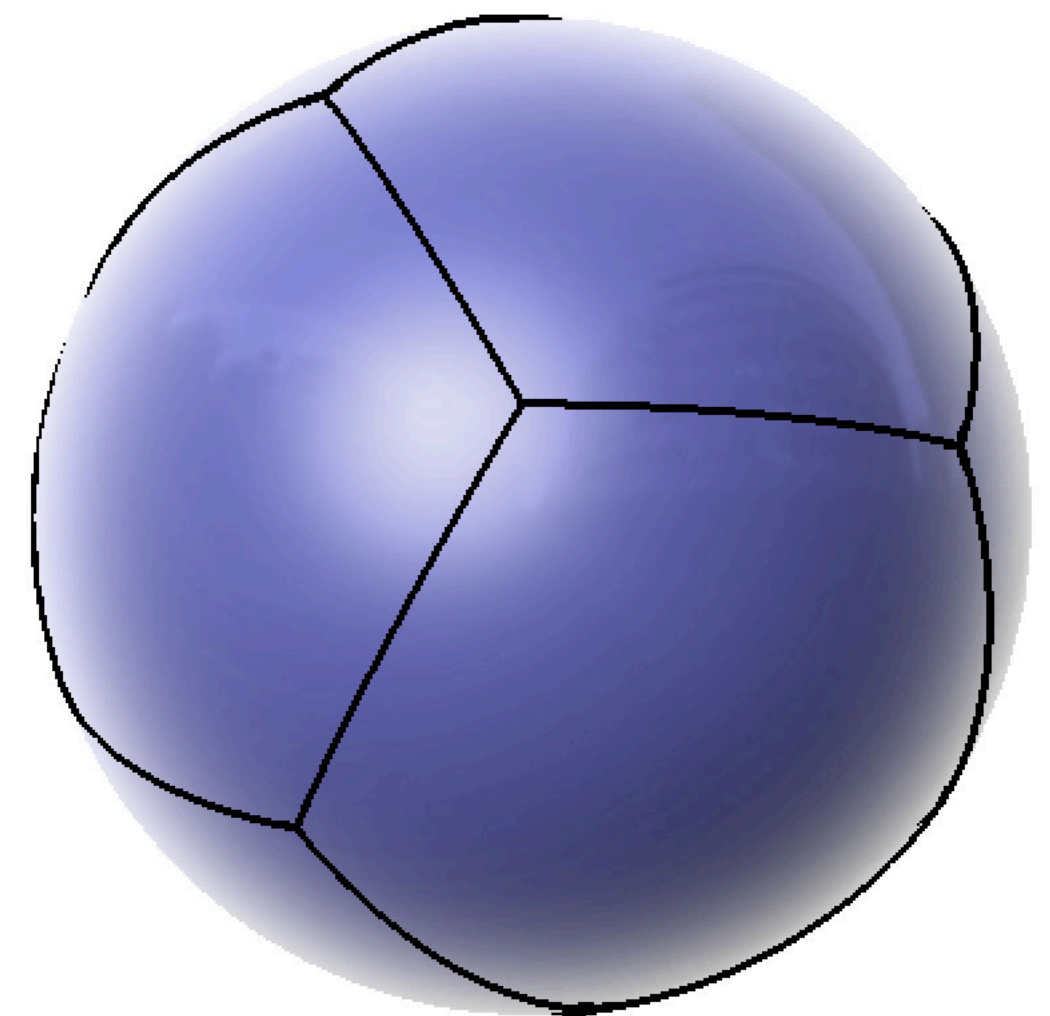
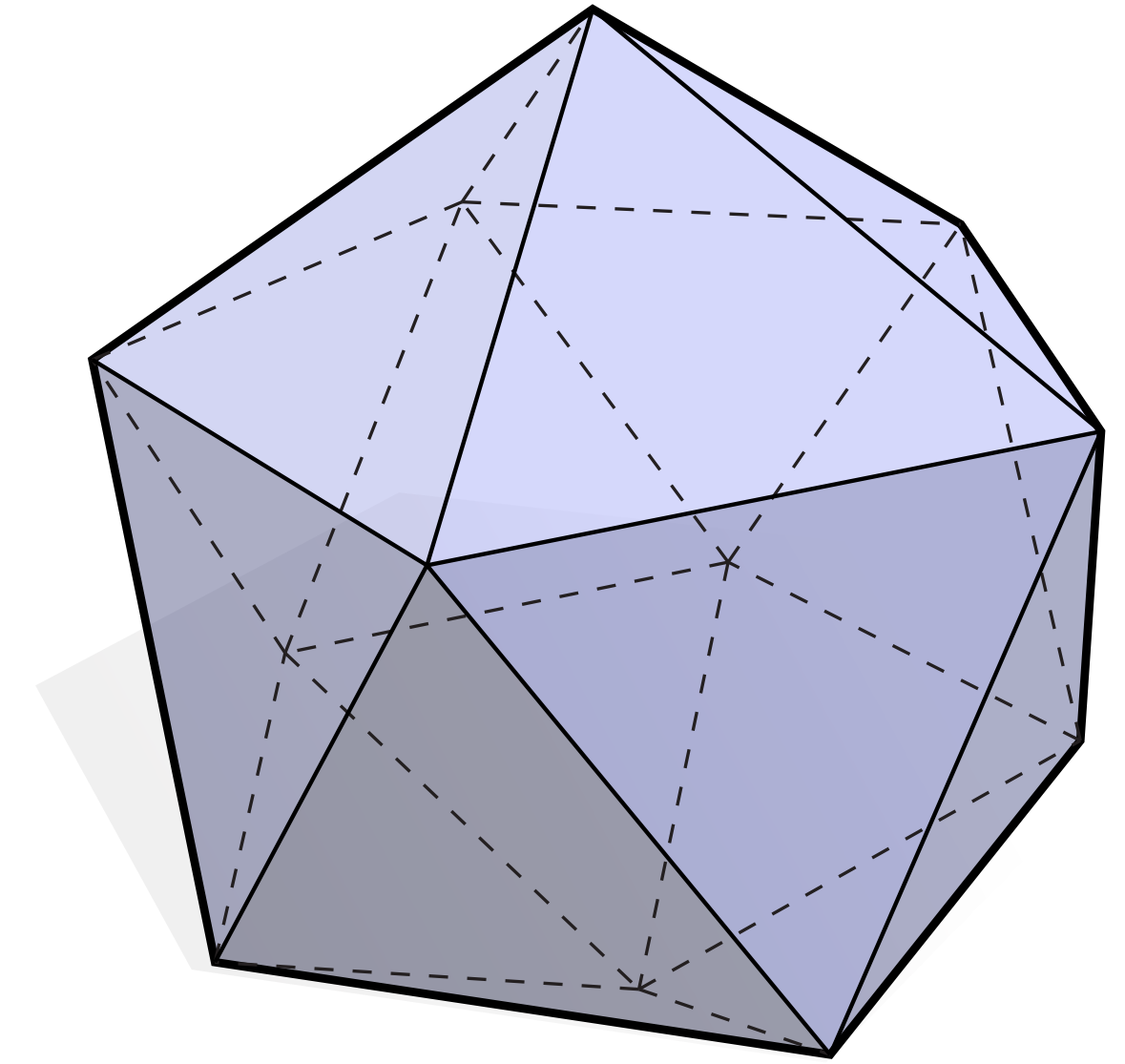
- Consider the discrete Gauss map:
  - unit normals on surface become points on the sphere
  - connecting these points to the sphere center makes a new vertex star
  - dihedral angles on surface become interior angles on sphere and vice versa
  - **angle defect on surface becomes area on the sphere**





# Total Angle Defect Theorem

- Consider a closed convex polyhedron in  $R^3$
- **Q:** Given each angle defect is an area on the sphere, what might we guess about total angle defect?
- **A:** Equal to area of unit sphere!  $4\pi$
- Can in fact argue that total angle defect is equal to  $4\pi$  for *any* polyhedron with spherical topology
- **Theorem.** For any polyhedron of genus  $g$ , total angle defect is equal to  $2\pi(2-2g)$ .
- Does this theorem remind you of anything?

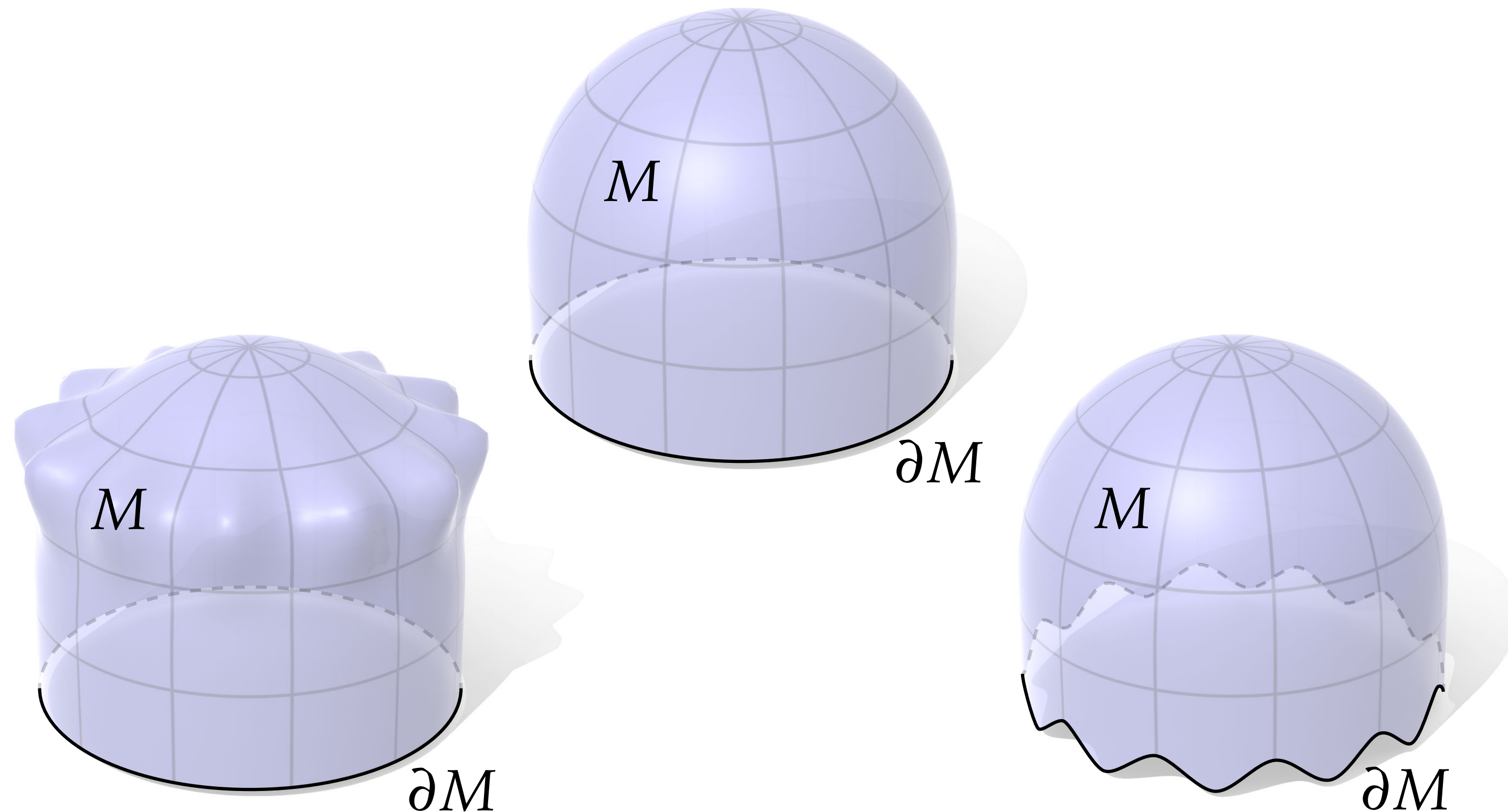


# Review: Gauss-Bonnet Theorem

- Gauss-Bonnet theorem says total Gaussian curvature plus geodesic curvature along the boundary is always equal to  $2\pi$  times *Euler characteristic*  $\chi$
- “Total angle defect theorem” is really a discrete analogue of Gauss-Bonnet
- **Q:** How do we generalize discrete theorem to surfaces with boundary?

## Gauss-Bonnet

$$\int_M K dA + \int_{\partial M} \kappa_g ds = 2\pi\chi$$

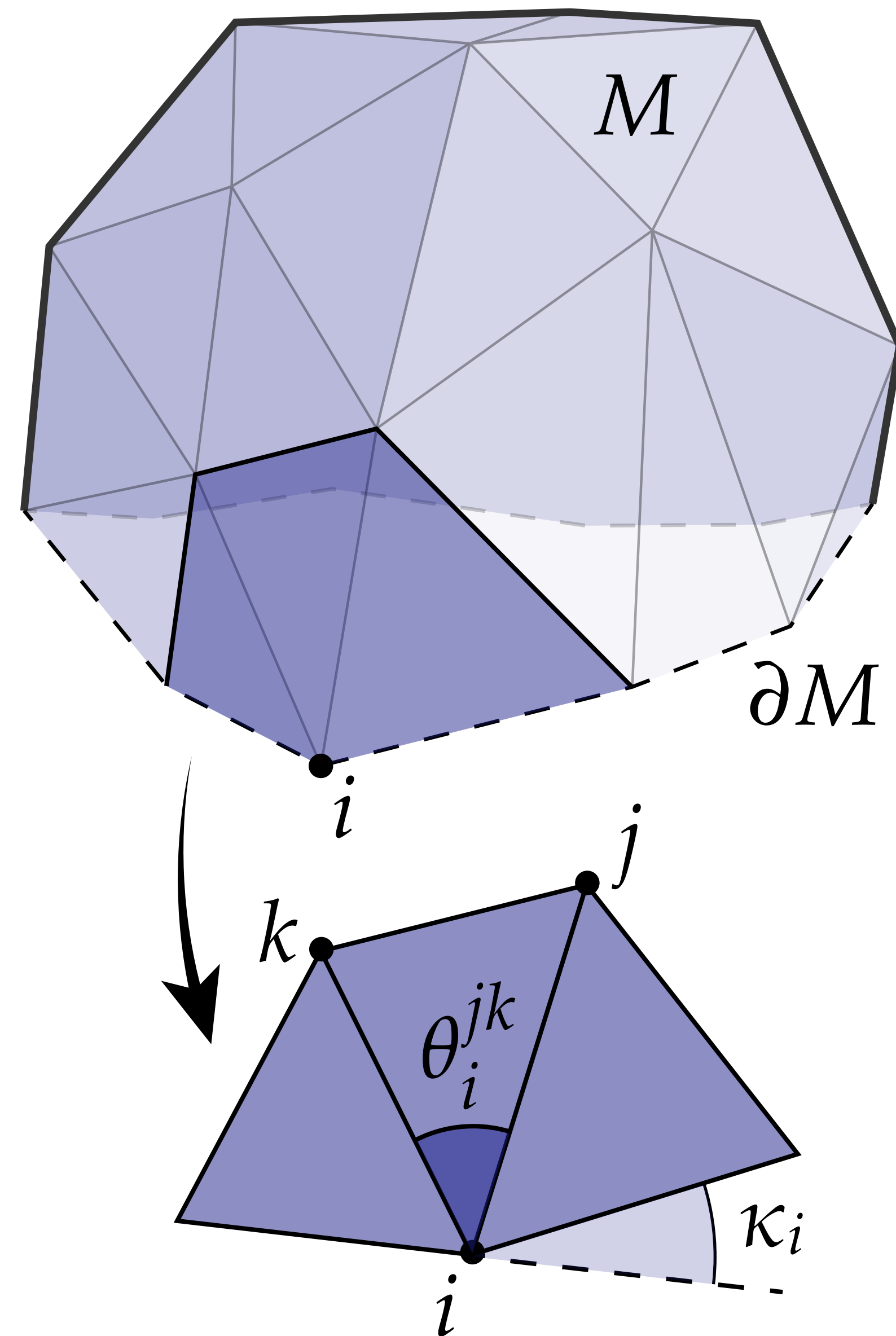




# Discrete Boundary Curvature

- Angle defect  $\Omega_i$  provides discrete analogue of Gaussian curvature  $K$
- Intuitively: captures failure of vertex star to be “flattenable”
- Since every boundary vertex star can be flattened without stretching, *boundary vertices have zero Gaussian curvature*
- But can still measure how straight boundary itself is, via discrete geodesic curvature:

$$\kappa_i := \pi - \sum_{ijk} \theta_i^{jk}$$



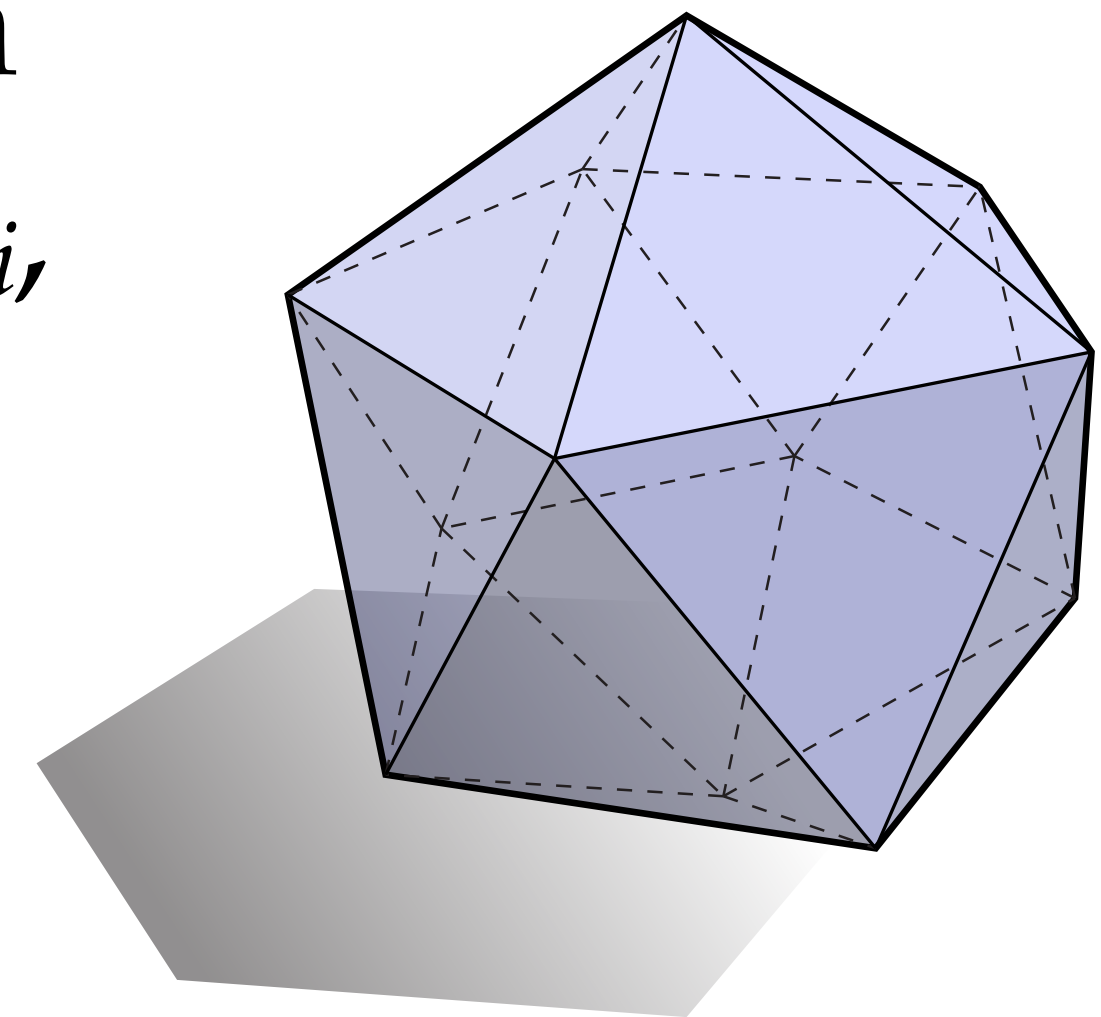
# *Discrete Gauss Bonnet Theorem*

**Theorem.** For a smooth surface  $M$  with Gauss curvature  $K$  and geodesic curvature  $\kappa_g$ ,

$$\int_M K \, dA + \int_{\partial M} \kappa_g \, ds = 2\pi\chi$$

**Theorem.** For a simplicial surface  $K = (V, E, F)$  with interior angle defects  $\Omega_i$ , boundary angle defects  $\kappa_i$ ,

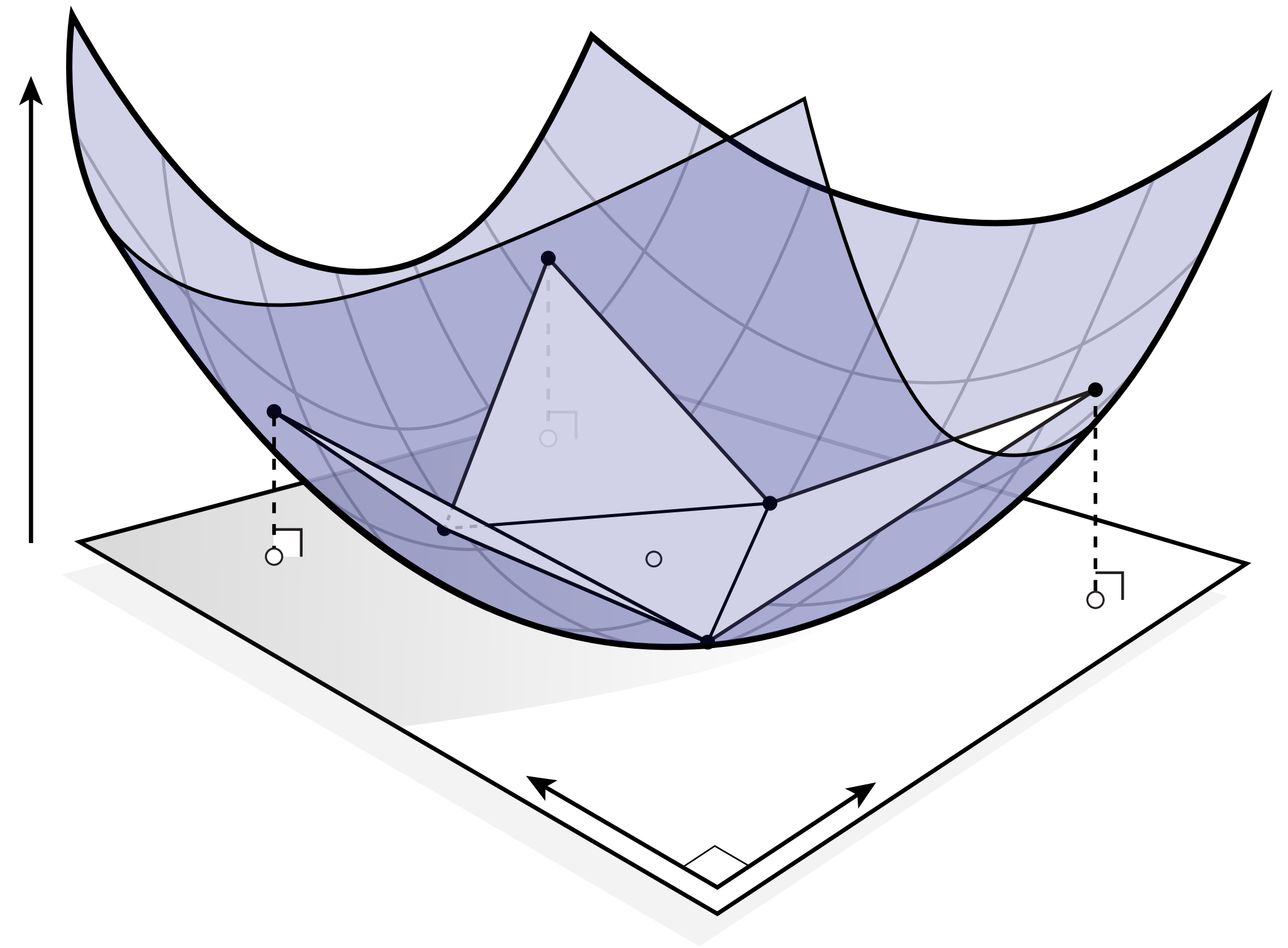
$$\sum_{i \in \text{int} V} \Omega_i + \sum_{i \in \partial V} \kappa_i = 2\pi\chi$$





# Approximating Gaussian Curvature

- Many other ways to approximate Gaussian curvature
- *E.g.*, locally fit quadratic functions, compute smooth Gaussian curvature
- Which way is “best”?
  - values from quadratic fit won't satisfy Gauss-Bonnet
  - angle defects won't converge<sup>1</sup> unless vertex valence is 4 or 6
- In general, no best way; each choice has its own pros & cons



<sup>1</sup>Borrelli, Cazals, Morvan, “On the angular defect of triangulations and the pointwise approximation of curvatures”

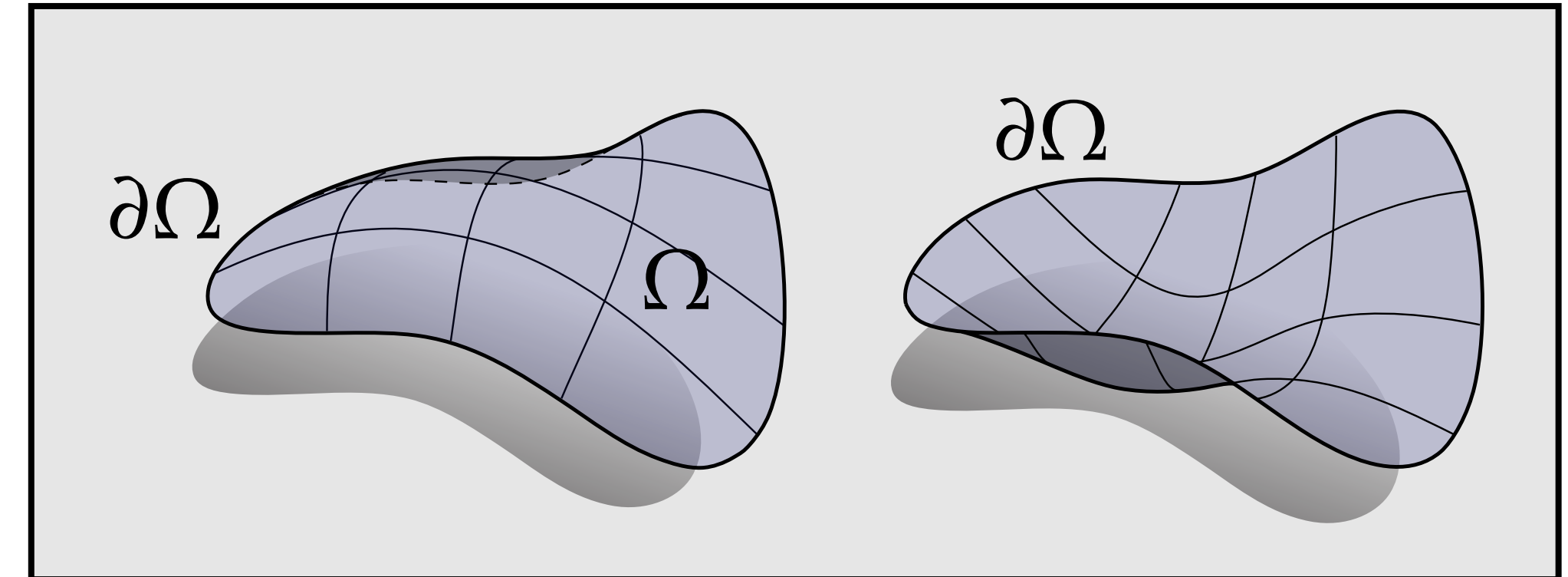
A 3D diagram of a curved surface, possibly a paraboloid, rendered in a light blue color. The surface is covered with a grid of lines, with some lines being solid and others dashed. A dashed line extends from a point on the surface, representing a normal vector. The background is a solid light blue color.

# *Curvature Normals*



# Curvature Normals

- Earlier we saw vector area, which was the integral of the 2-form  $NdA$
- This 2-form is one of three quantities we can naturally associate with a surface:



$$\frac{1}{2} df \wedge df = NdA \quad \text{(area normal)}$$

$$\frac{1}{2} df \wedge dN = HNdA \quad \text{(mean curvature normal)}$$

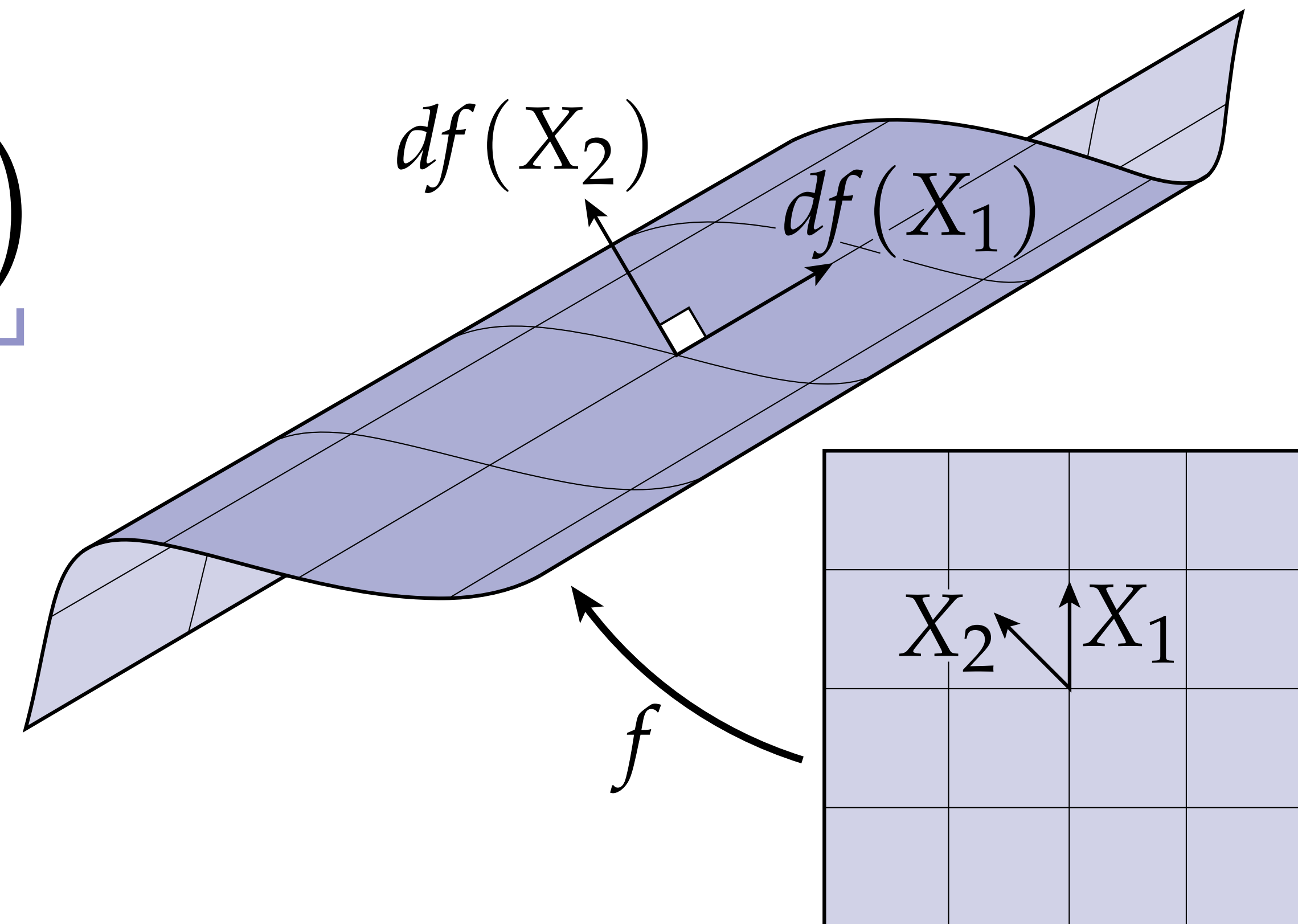
$$\frac{1}{2} dN \wedge dN = KNdA \quad \text{(Gauss curvature normal)}$$

- Effectively “*mixed areas*” of ordinary surface area and area on sphere

# Review: Principal Curvature

- **Principal directions**  $X_1, X_2$  describe directions of min / max bending
- Key relationship that is helpful in many derivations:

$$\overbrace{dN(X_i)}^{\text{change in normal}} = \overbrace{\kappa_i}^{\text{\textit{i}th principal curvature}} \underbrace{df(X_i)}_{\substack{\text{\textit{i}th principal} \\ \text{direction } (R^3)}}$$





# Curvature Normals—Derivation

For any surface  $f$  with normals  $N$ , we have:

$$\begin{aligned} df \wedge df(X_1, X_2) &= df(X_1) \times df(X_2) - df(X_2) \times df(X_1) = \\ &2df(X_1) \times df(X_2) = \boxed{2NdA(X_1, X_2)} \end{aligned}$$

---

$$\begin{aligned} df \wedge dN(X_1, X_2) &= df(X_1) \times dN(X_2) - df(X_2) \times dN(X_1) = \\ &\kappa_1 df(X_1) \times df(X_2) - \kappa_2 df(X_2) \times df(X_1) = \\ &(\kappa_1 + \kappa_2)df(X_1) \times df(X_2) = \boxed{2HNdA(X_1, X_2)} \end{aligned}$$

---

$$\begin{aligned} dN \wedge dN(X_1, X_2) &= dN(X_1) \times dN(X_2) - dN(X_2) \times dN(X_1) = \\ &\kappa_1 \kappa_2 df(X_1) \times df(X_2) - \kappa_2 \kappa_1 df(X_2) \times df(X_1) = \\ &2Kdf(X_1) \times df(X_2) = \boxed{2KNdA(X_1, X_2)} \end{aligned}$$

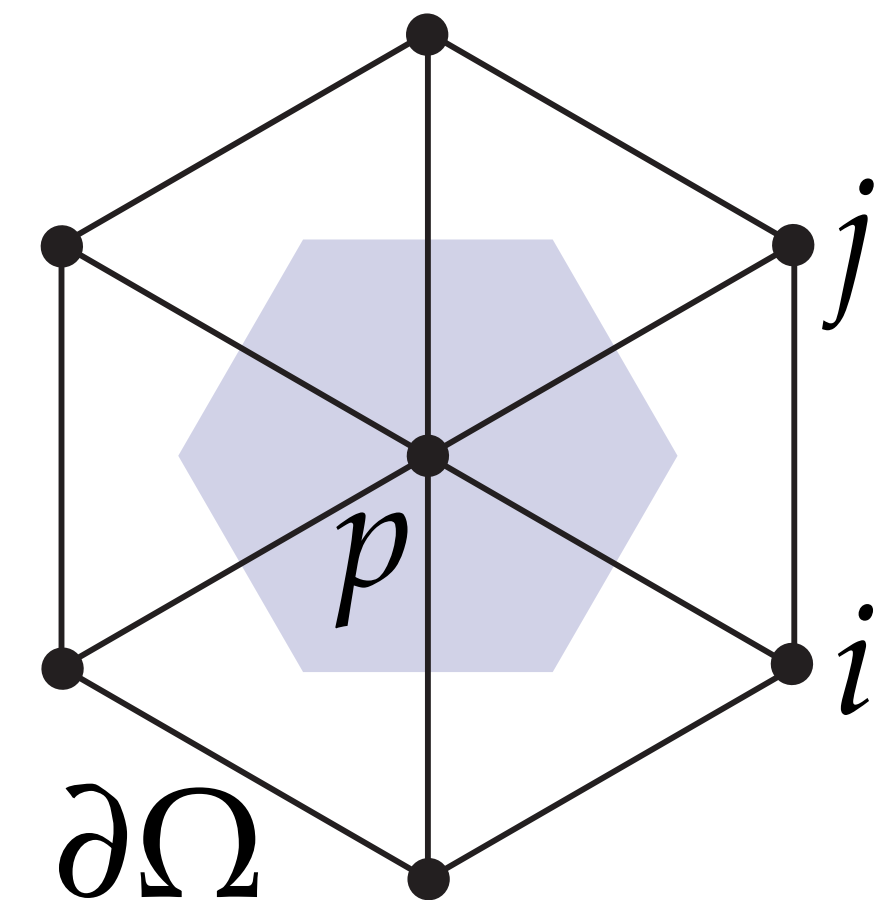
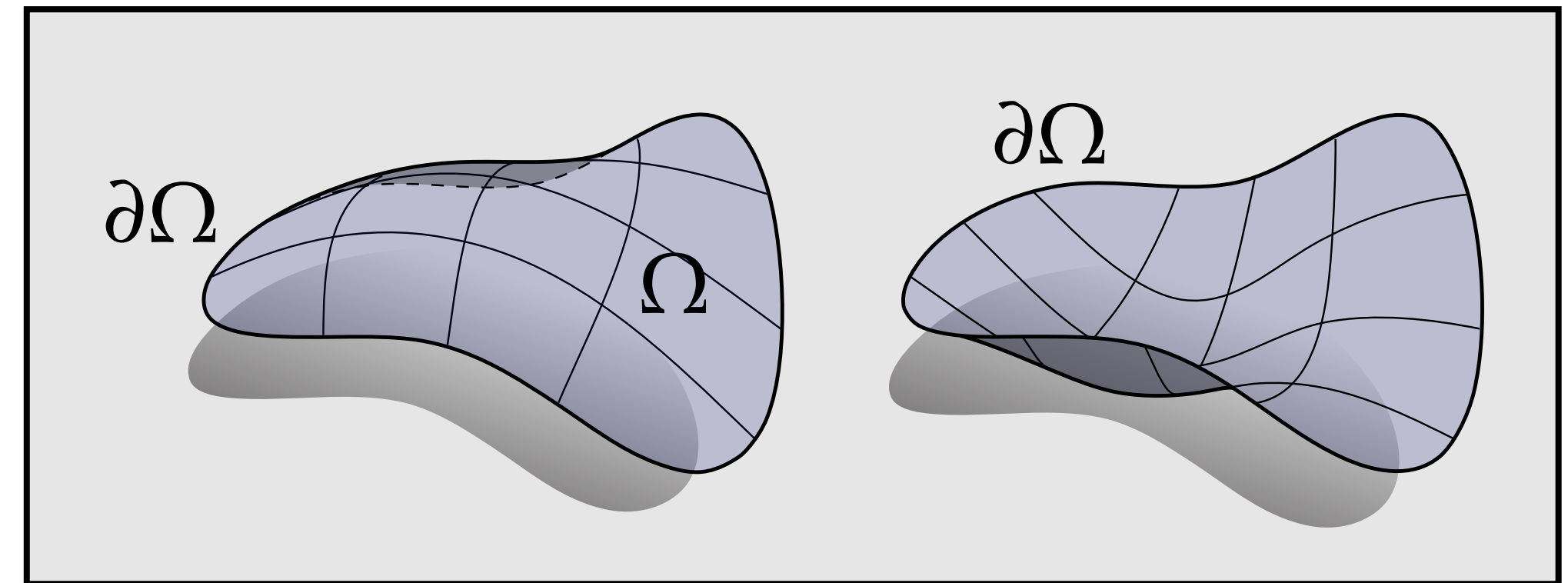
# Discrete Vector Area

- Recall smooth vector area:  $\int_{\Omega} N dA = \frac{1}{2} \int_{\Omega} df \wedge df = \frac{1}{2} \int_{\partial\Omega} f \times df$
- Idea:** Integrate  $NdA$  over dual cell to get normal at vertex  $p$

$$\frac{1}{3} \int_{\Omega} N dA = \frac{1}{6} \int_{\partial\Omega} f \times df =$$

$$\frac{1}{6} \sum_{ij \in \partial\Omega} \int_{e_{ij}} f \times df =$$

$$\frac{1}{6} \sum_{ij \in \partial\Omega} \frac{f_i + f_j}{2} \times (f_j - f_i) = \boxed{\frac{1}{6} \sum_{ij \in \partial\Omega} f_i \times f_j}$$



**Q:** What kind of quantity is the final expression?



# Discrete Mean Curvature Normal

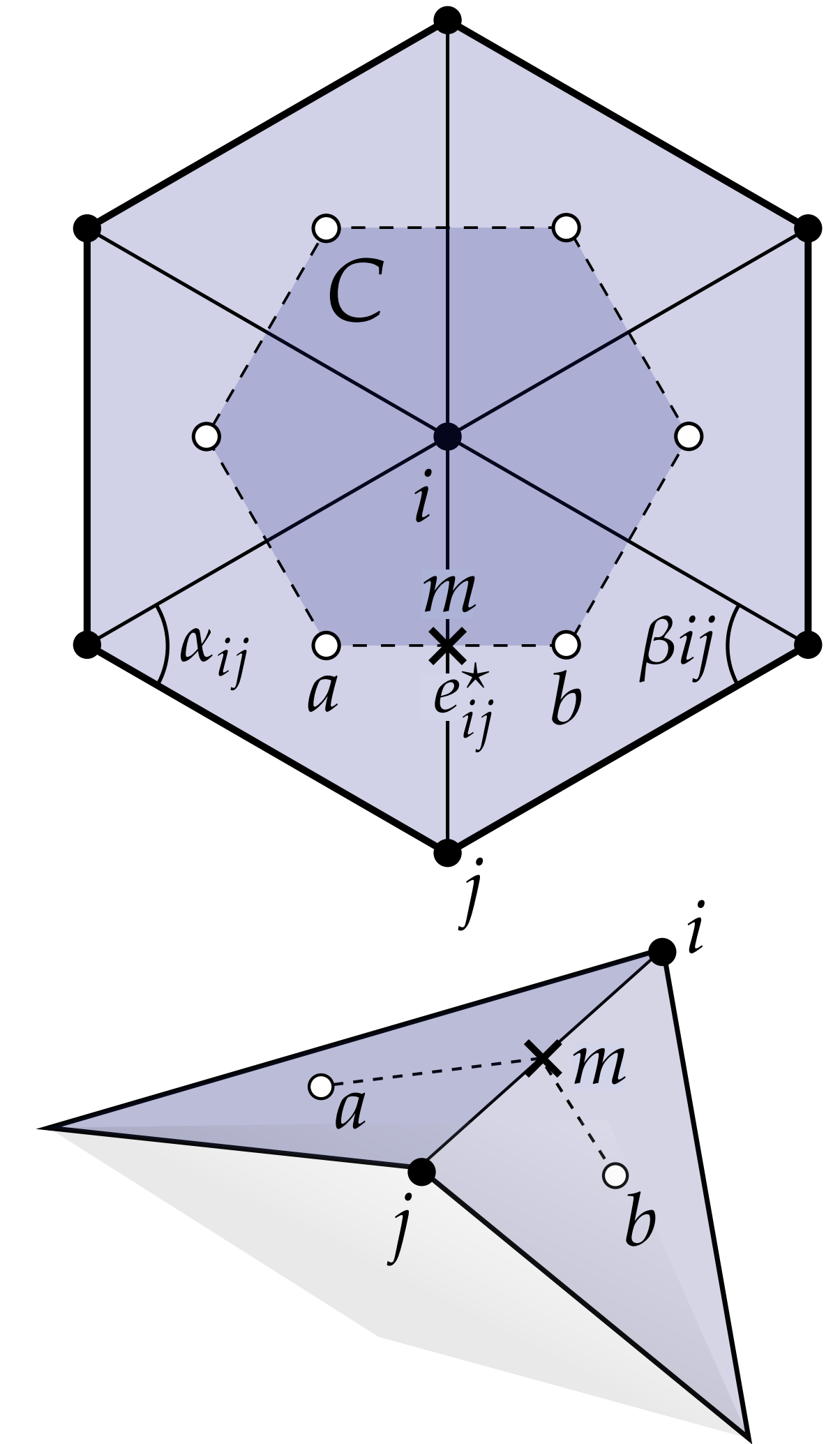
Similarly, integrating  $HN$  over a circumcentric dual cell  $C$  yields

$$\int_C HN \, dA = \int_C df \wedge dN = \int_C dN \wedge df = \int_C d(N \wedge df) =$$

$$\int_{\partial C} N \wedge df = \sum_j \int_{e_{ij}^*} N \wedge df = \sum_j N_a \times (m - a) + N_b \times (b - m)$$

- Since  $N \times$  is an in-plane 90-degree rotation, both terms in the summand are parallel to the edge vector  $e_{ij}$
- The length of the sum equals the length  $\ell_{ij}^*$  of the dual edge
- Ratio of dual / primal length is given by cotan formula, yielding

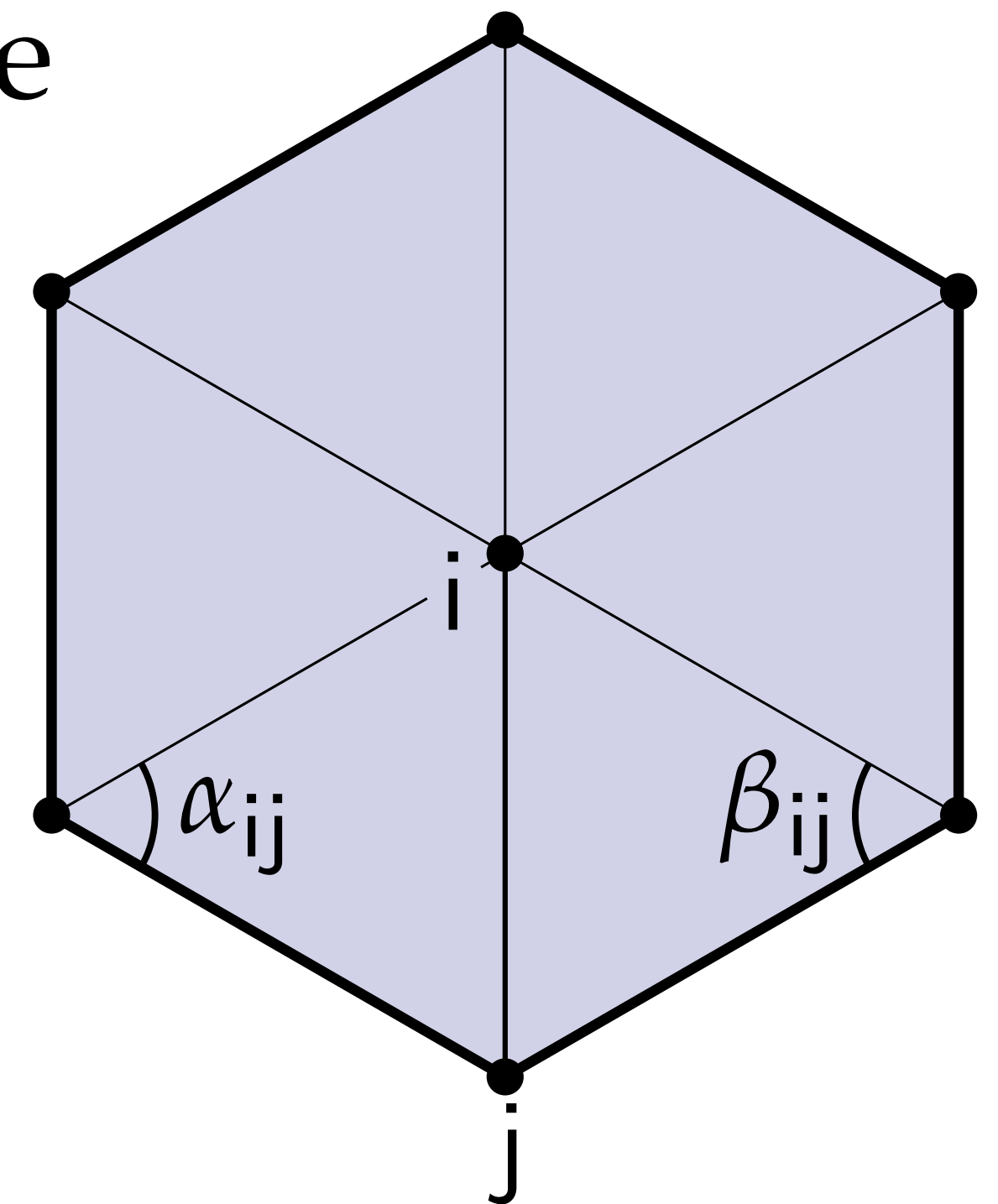
$$(HN)_i := \frac{1}{2} \sum_{ij \in E} (\cot \alpha_{ij} + \cot \beta_{ij})(f_i - f_j)$$



# Mean Curvature Normal via Laplace-Beltrami

- Another well-known fact: the mean curvature normal can be expressed via the *Laplace-Beltrami operator*\*  $\Delta$
- **Fact.** For any smooth immersed surface  $f$ ,  $\Delta f = 2HN$ .
- Discretizing  $\Delta$  via the *cotangent formula* yields the same expression for the discrete mean curvature normal:

$$(\Delta f)_i = \frac{1}{2} \sum_{ij \in E} (\cot \alpha_{ij} + \cot \beta_{ij})(f_j - f_i)$$



\*Will see *much* more of the Laplacian in upcoming lectures!

# Discrete Gauss Curvature Normal

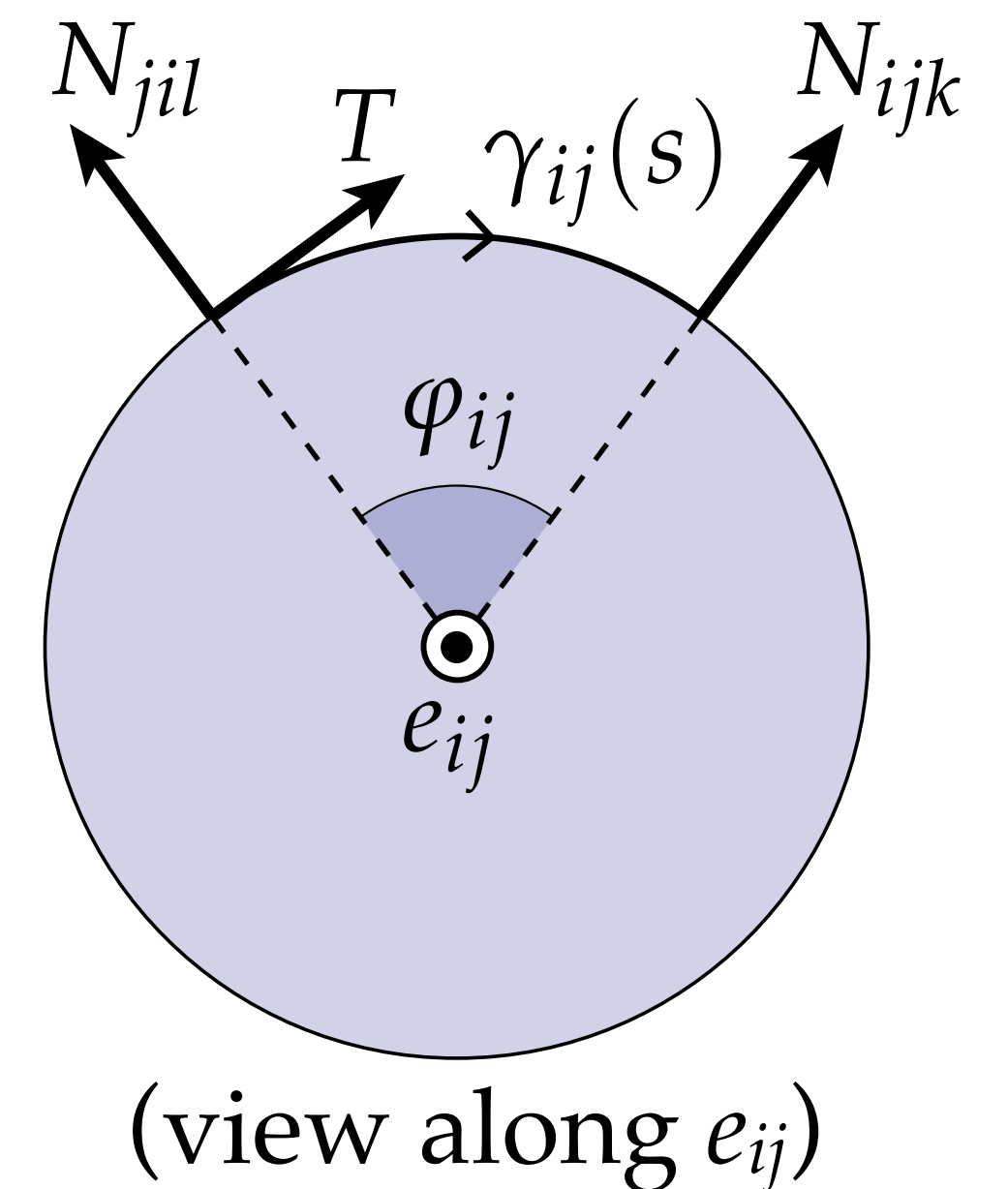
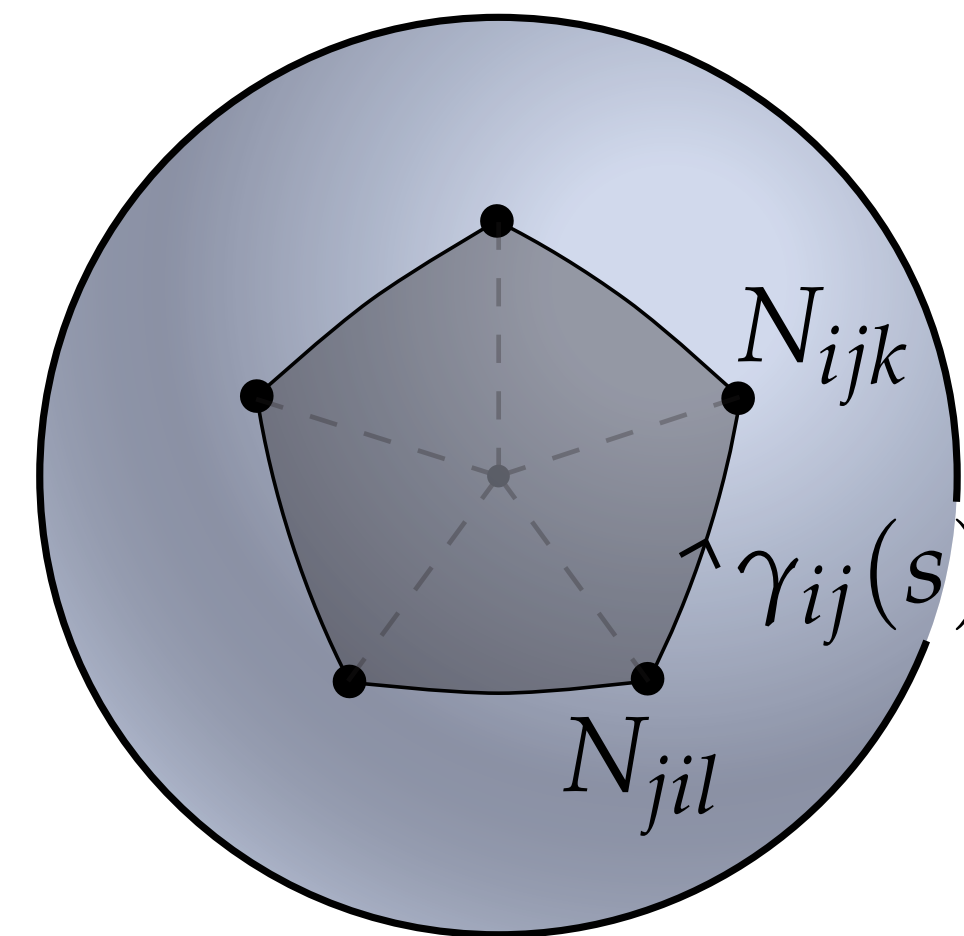
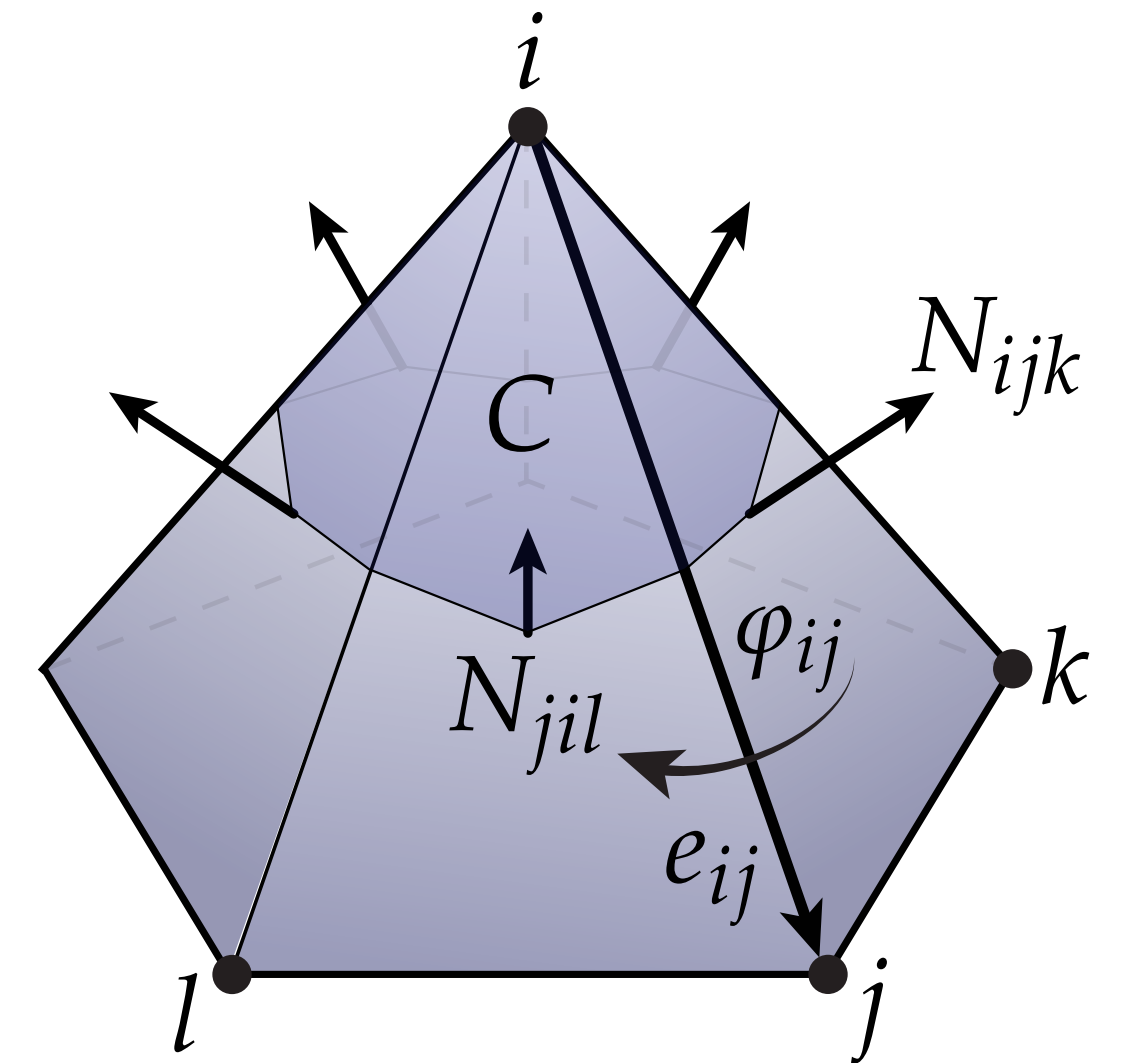
- A similar calculation leads to an expression for the (discrete) Gauss curvature normal
- One key difference: rather than viewing  $N$  as linear along edges, we imagine it makes an arc on the unit sphere

$$2 \int_C KN \, dA = \int_C dN \wedge dN = \int_C d(N \wedge dN) =$$

$$\int_{\partial C} N \wedge dN = \int_{\partial C} N \times dN(\gamma') \, ds =$$

$$\int_{\partial C} N \times T \, ds = \sum_j \int_{\partial C} \frac{e_{ij}}{|e_{ij}|} \, ds = \sum_j \frac{e_{ij}}{\ell_{ij}} \varphi_{ij}$$

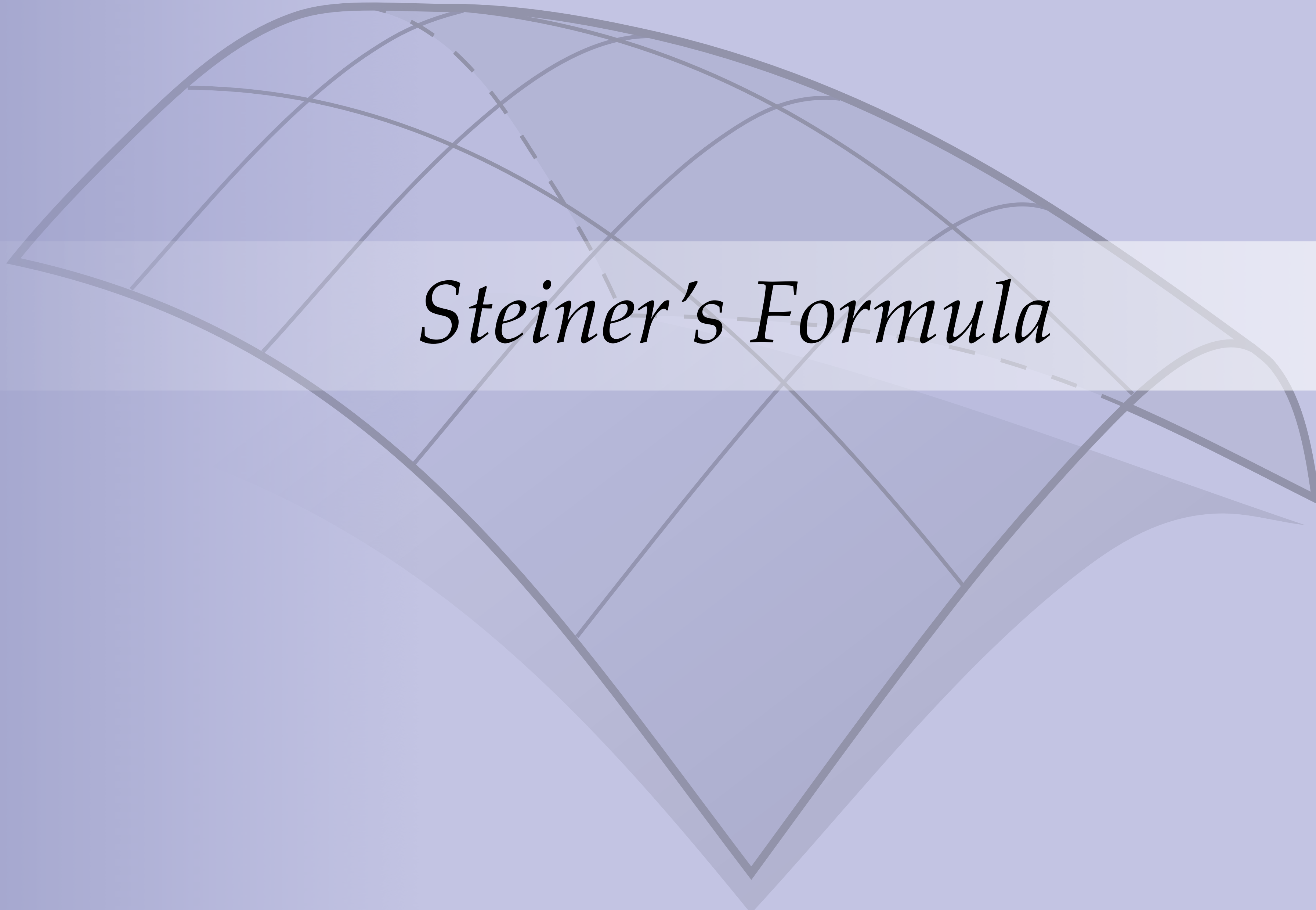
$$(KN)_i := \frac{1}{2} \sum_{ij \in E} \frac{\varphi_{ij}}{\ell_{ij}} (f_j - f_i)$$





# Discrete Curvature Normals—Summary

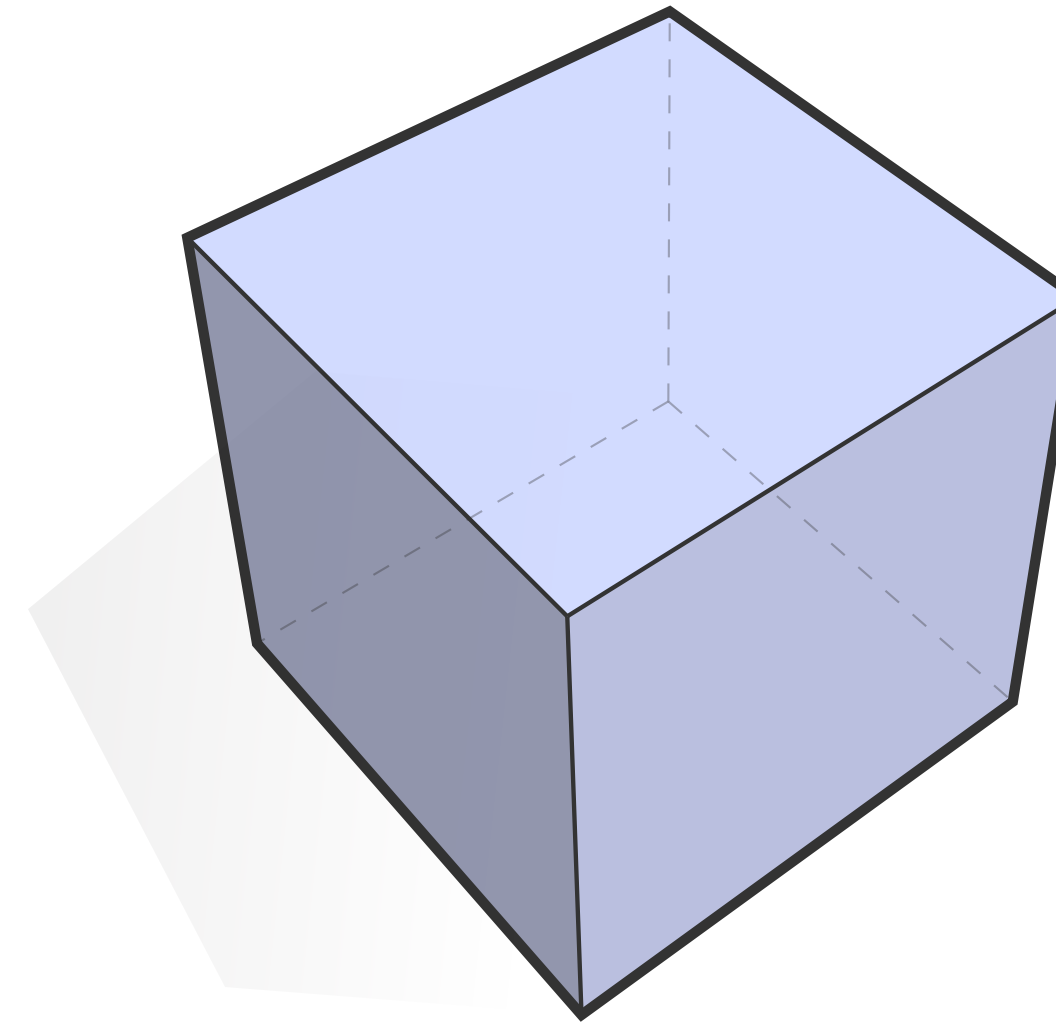
	area ( $NdA$ )	mean ( $HNdA$ )	Gauss ( $KNdA$ )
smooth	$\frac{1}{2} df \wedge df$	$\frac{1}{2} df \wedge dN$	$\frac{1}{2} dN \wedge dN$
discrete	$\frac{1}{6} \sum_{ijk \in \text{St}(i)} f_j \times f_k$	$\frac{1}{2} \sum_{ij \in \text{St}(i)} (\cot \alpha_{ij} + \cot \beta_{ij})(f_i - f_j)$	$\frac{1}{2} \sum_{ij \in \text{St}(i)} \frac{\varphi_{ij}}{\ell_{ij}} (f_j - f_i)$

A diagram illustrating Steiner's Formula. It shows a large, light-blue, diamond-shaped region with a thick black outline. Inside this region, there is a network of thin black lines forming a grid of smaller diamond shapes. A dashed line runs diagonally from the top-left towards the bottom-right, intersecting the grid. The text "Steiner's Formula" is written in a black, italicized serif font across the center of the diagram.

# *Steiner's Formula*

# Steiner Approach to Curvature

- What's the curvature of a discrete surface (polyhedron)?
- Simply taking derivatives of the surface gives a useless answer: zero except at vertices / edges, where derivative is ill-defined (“infinite”)
- **Steiner approach:** “smooth out” the surface; define discrete curvature in terms of this *mollified* surface



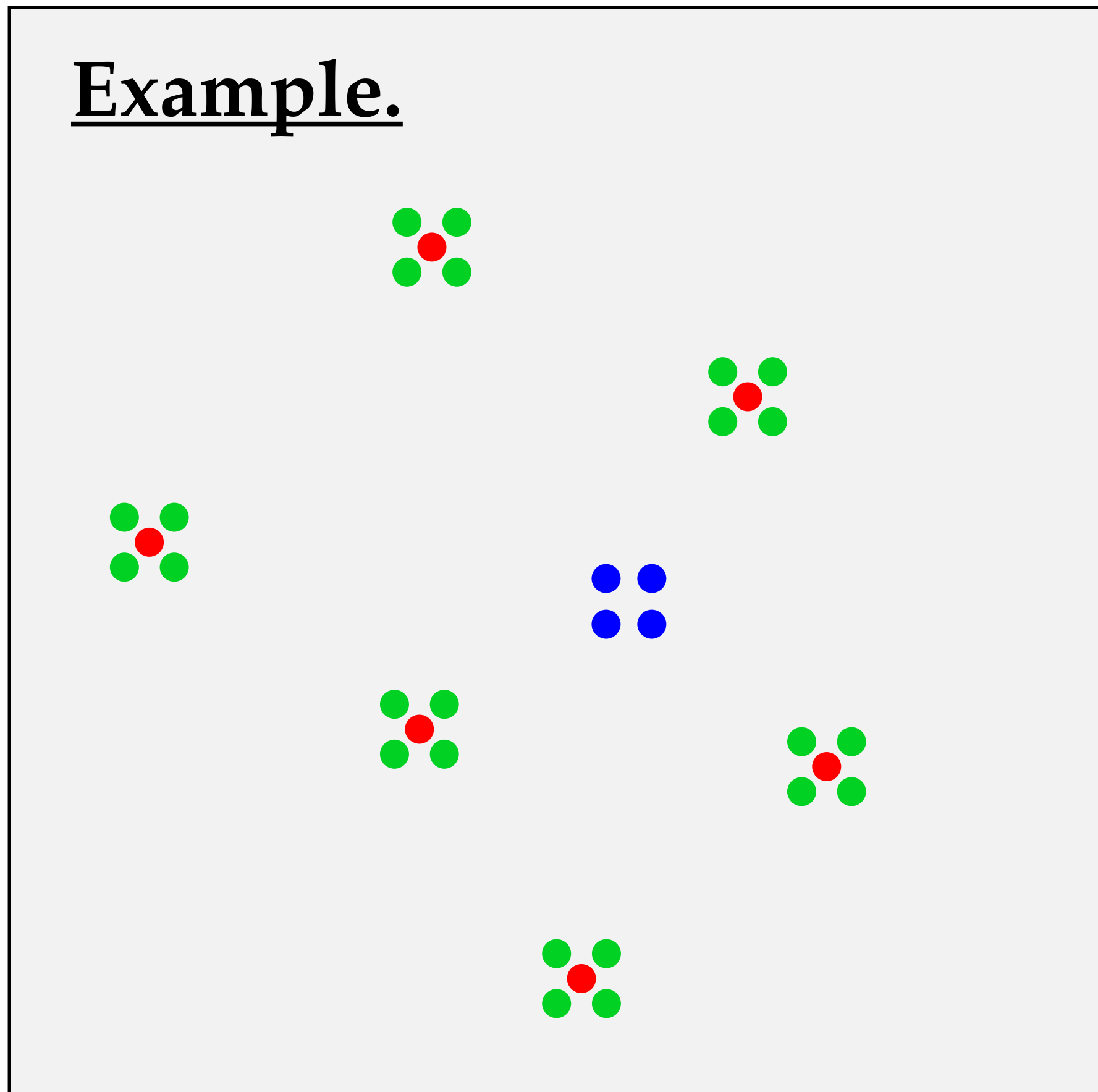
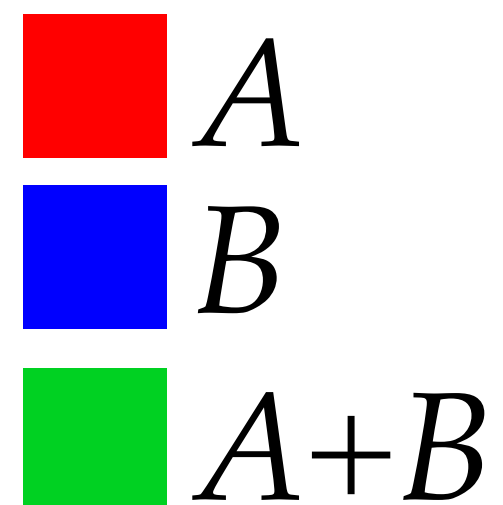


# Minkowski Sum

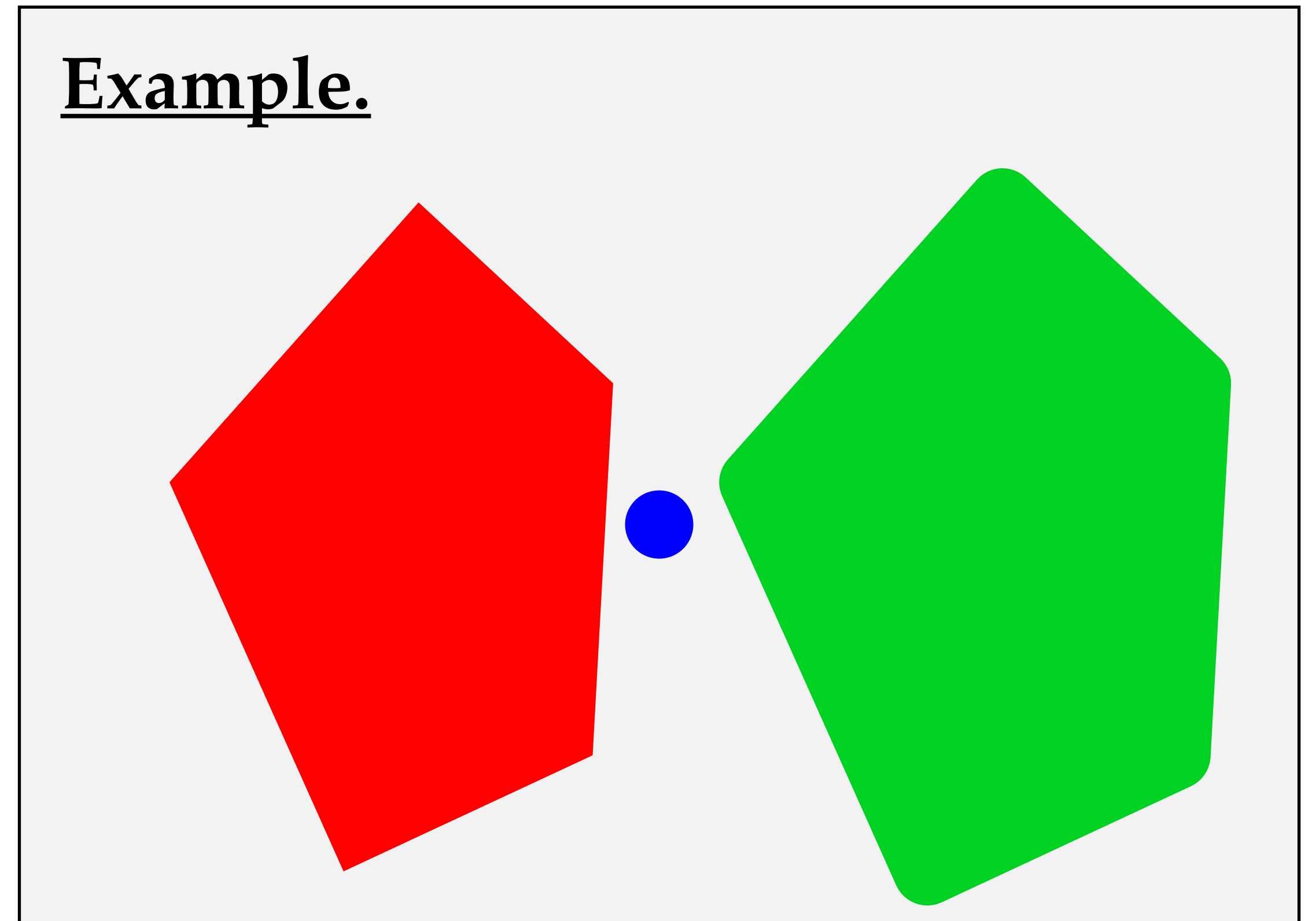
- Given two sets  $A, B$  in  $R^n$ , their *Minkowski sum* is the set of points

$$A + B := \{a + b \mid a \in A, b \in B\}$$

Example.



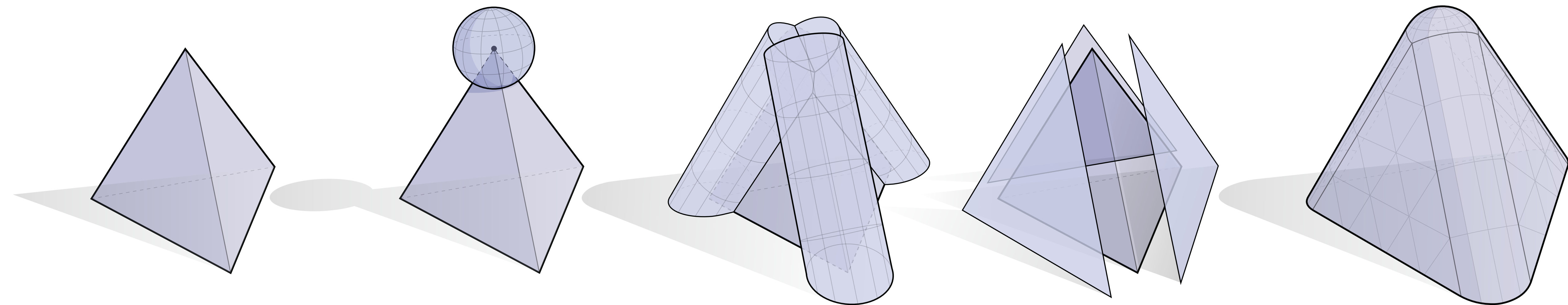
Example.



Q: Does translation of  $A, B$  matter?

# *Mollification of Polyhedral Surfaces*

- **Steiner approach:** smooth out or “mollify” polyhedral surface by taking Minkowski sum with ball of radius  $\varepsilon > 0$
- Measure curvature, take limit as  $\varepsilon$  goes to zero to get discrete definition
- Have to be careful about nonconvex polyhedra... same formulas hold



# Steiner Formula

- **Theorem.** (Steiner) Let  $A$  be any convex body in  $R^n$ , and let  $B_\varepsilon$  be a ball of radius  $\varepsilon$ . Then the volume of the Minkowski sum  $A+B_\varepsilon$  can be expressed as a polynomial in  $\varepsilon$ :

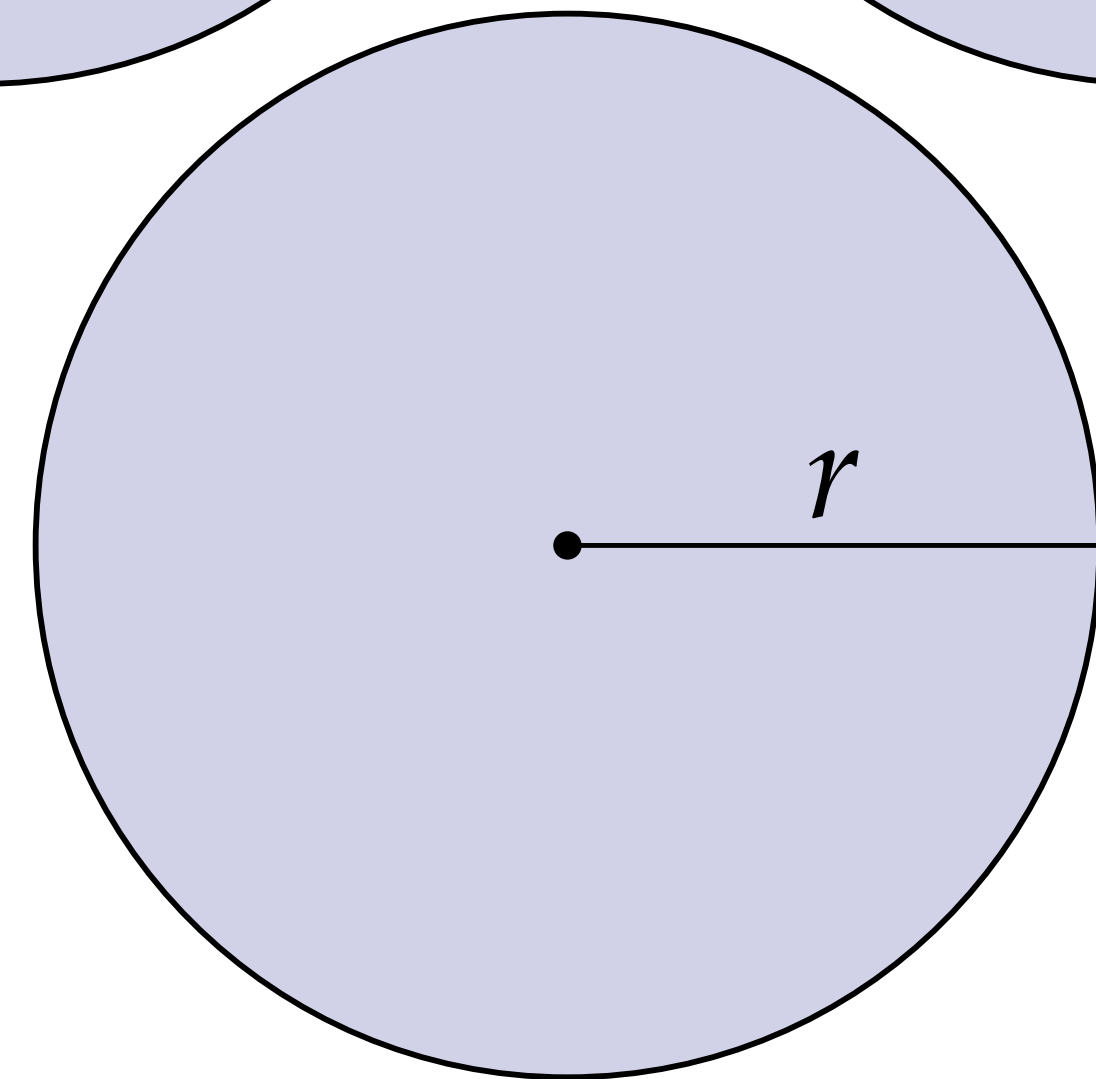
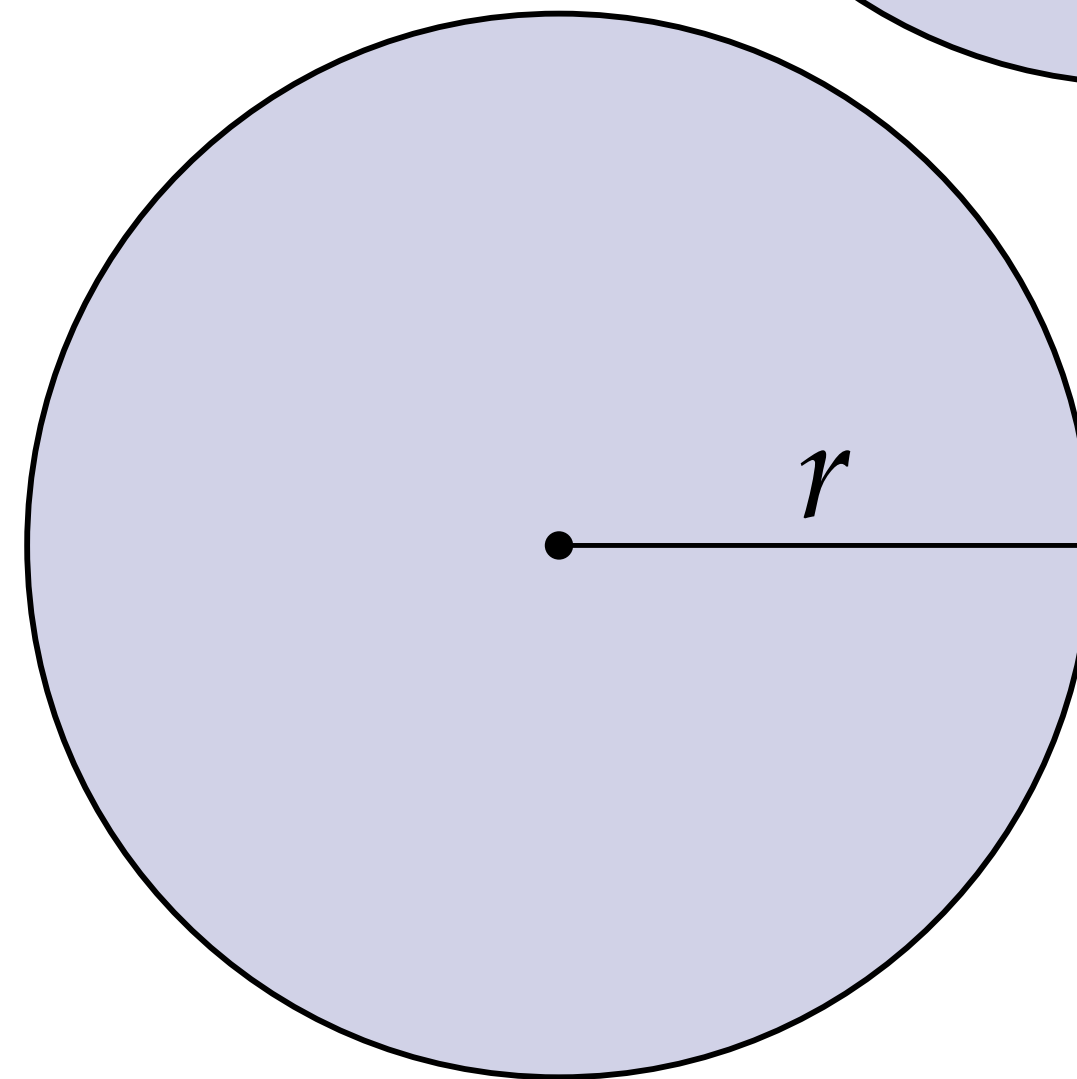
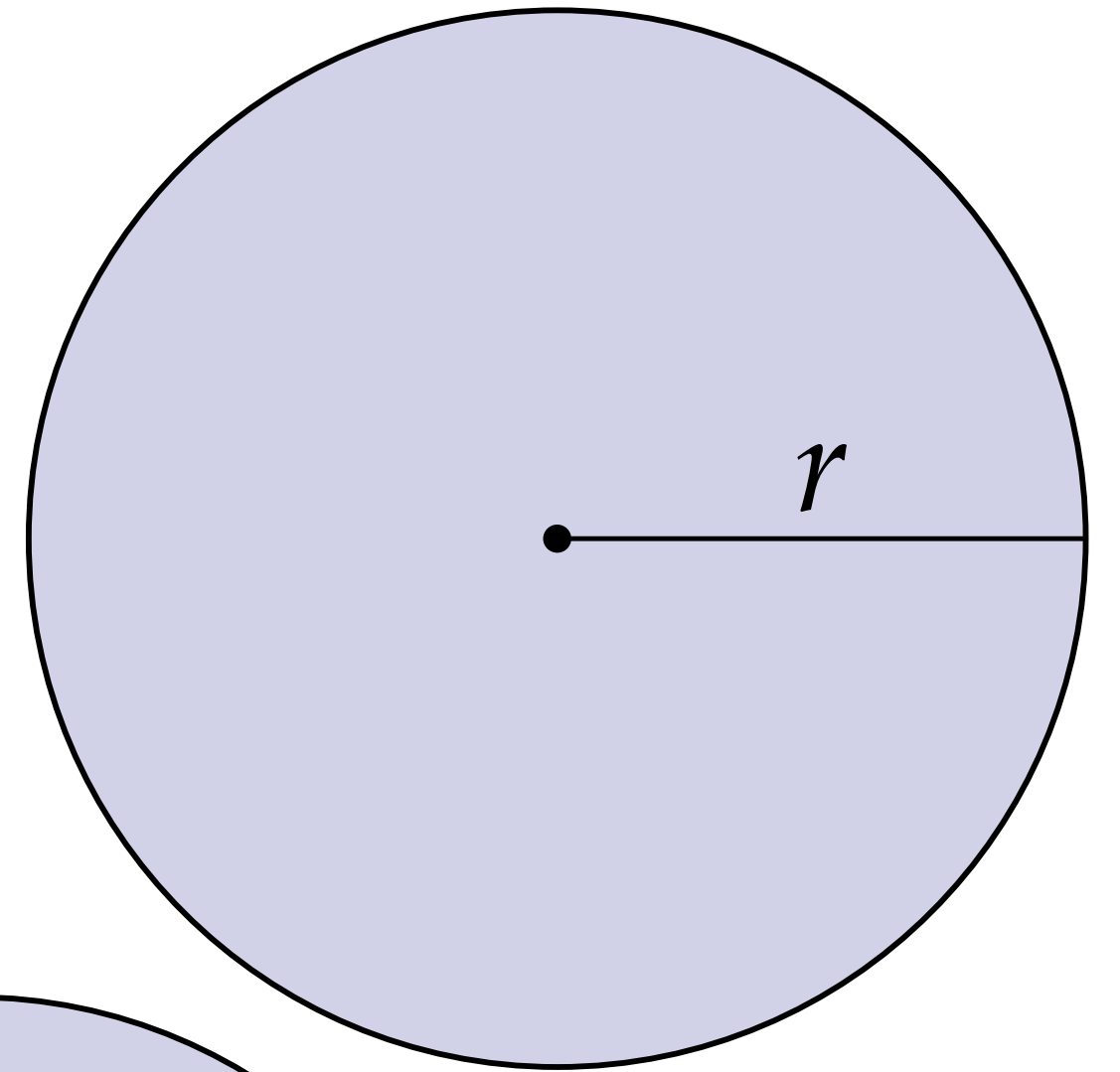
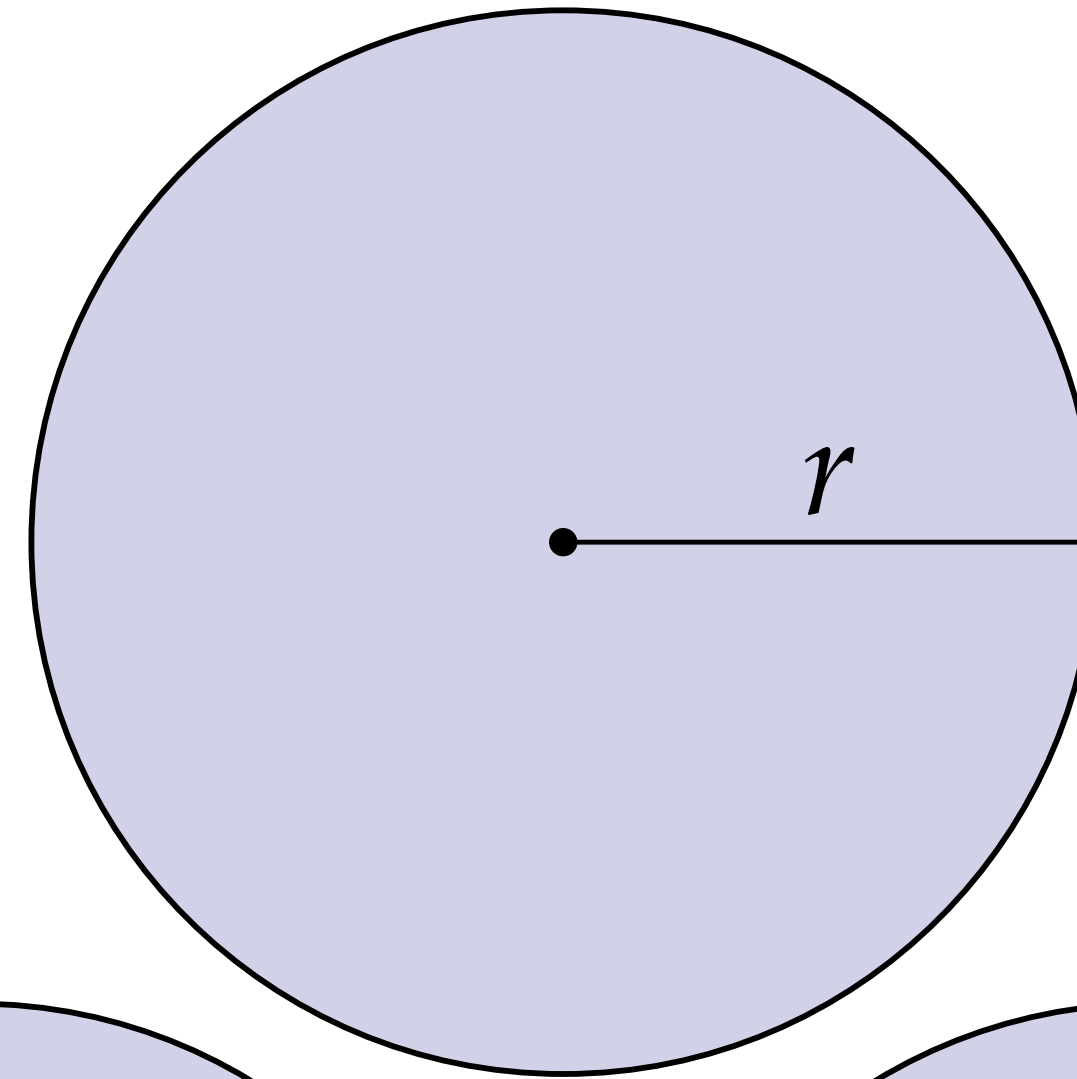
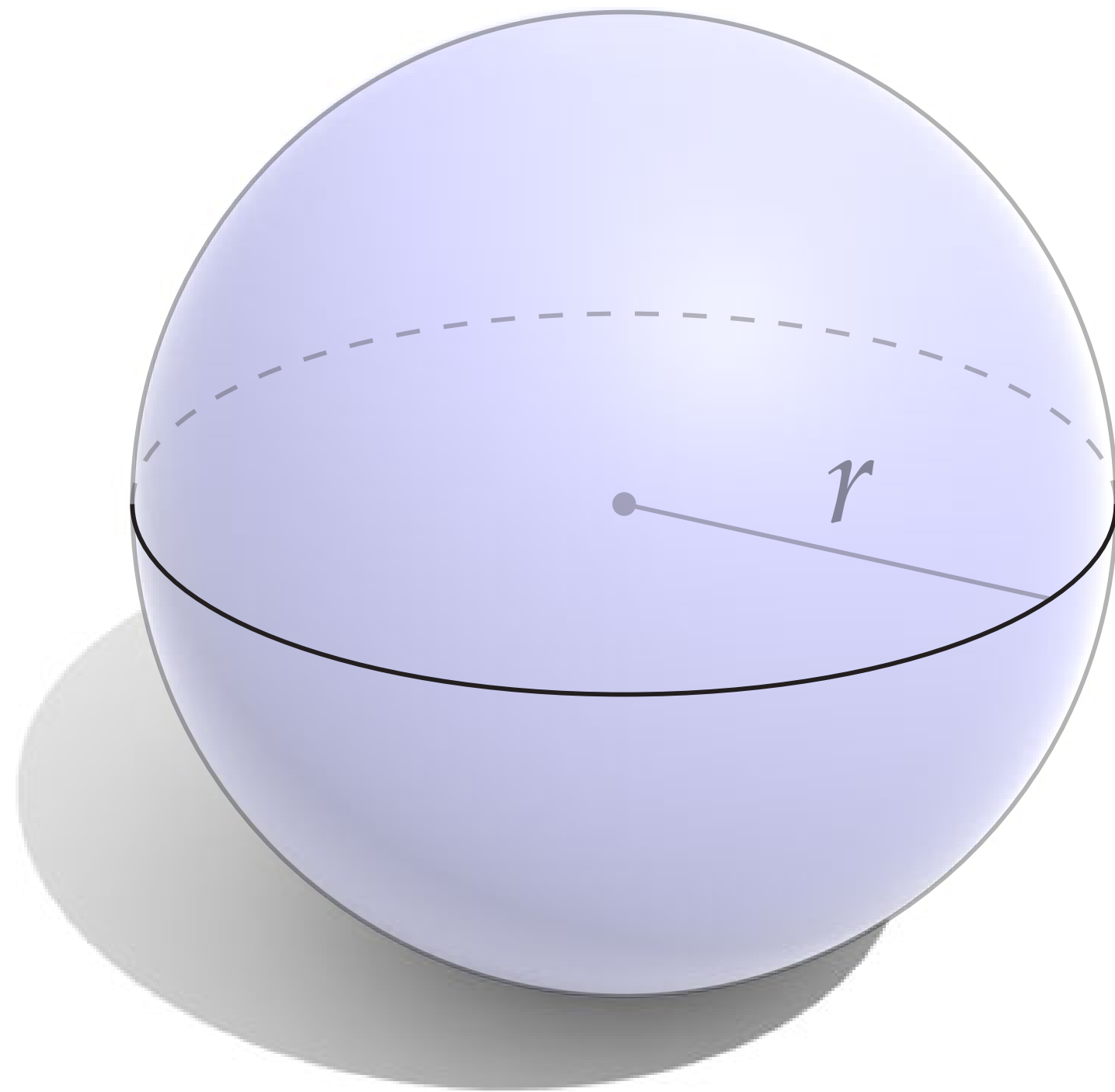
$$\text{volume}(A + B_\varepsilon) = \text{volume}(A) + \sum_{k=1}^n \Phi_k(A) \varepsilon^k$$

- Coefficients  $\Phi_k$  are called *quermassintegrals* (“cross-dimension integrals”)
  - describe how quickly the volume grows
- This volume growth is related to (discrete) curvature...



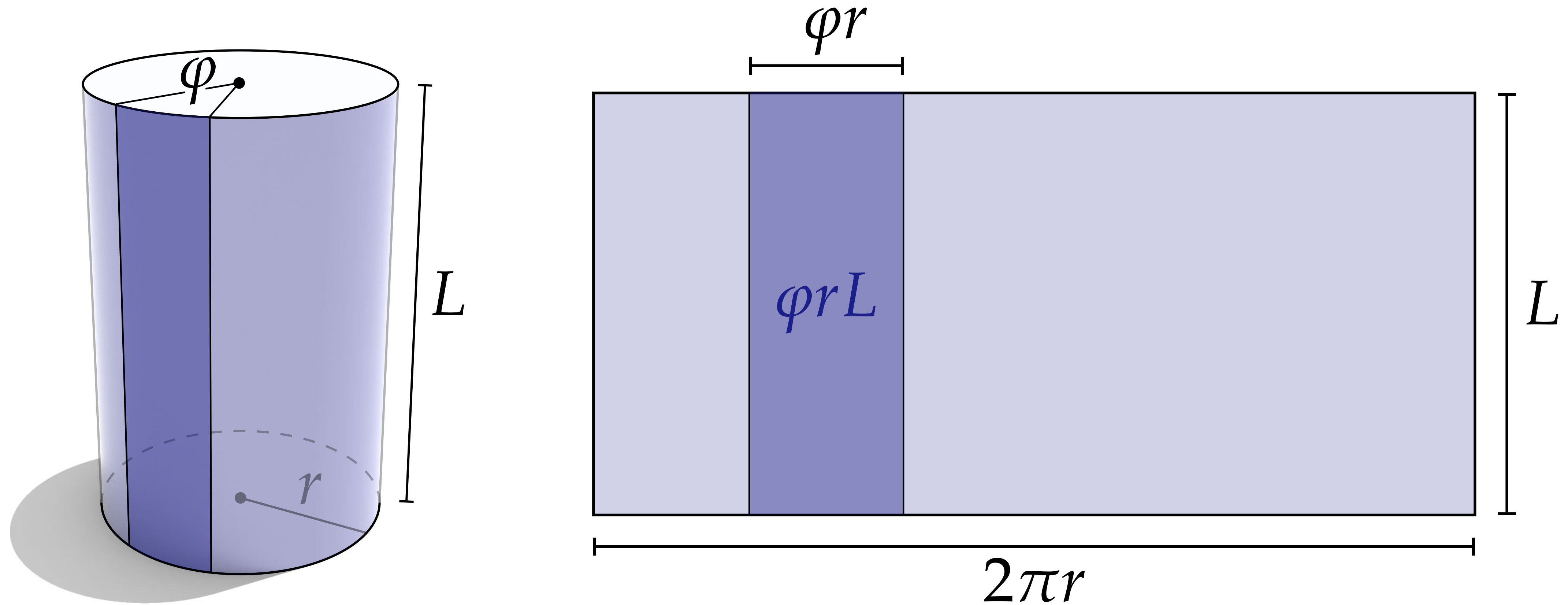
# *Surface Area of a Sphere*

Area of a sphere of radius  $r$  is  $4\pi r^2$



# Surface Area of a Cylinder

Area of a cylinder of radius  $r$  and length  $L$  is  $2\pi rL$  (omitting end caps)



More generally, area of a cylindrical arc of angle  $\varphi$  is equal to  $\varphi r L$

# Gaussian Curvature of Mollified Surface

- **Q:** Consider a *closed, convex* polyhedron in  $R^3$ ; what's the Gaussian curvature  $K$  of the mollified surface for a ball of radius  $\varepsilon$ ?

- **Triangles:**  $K = 0$

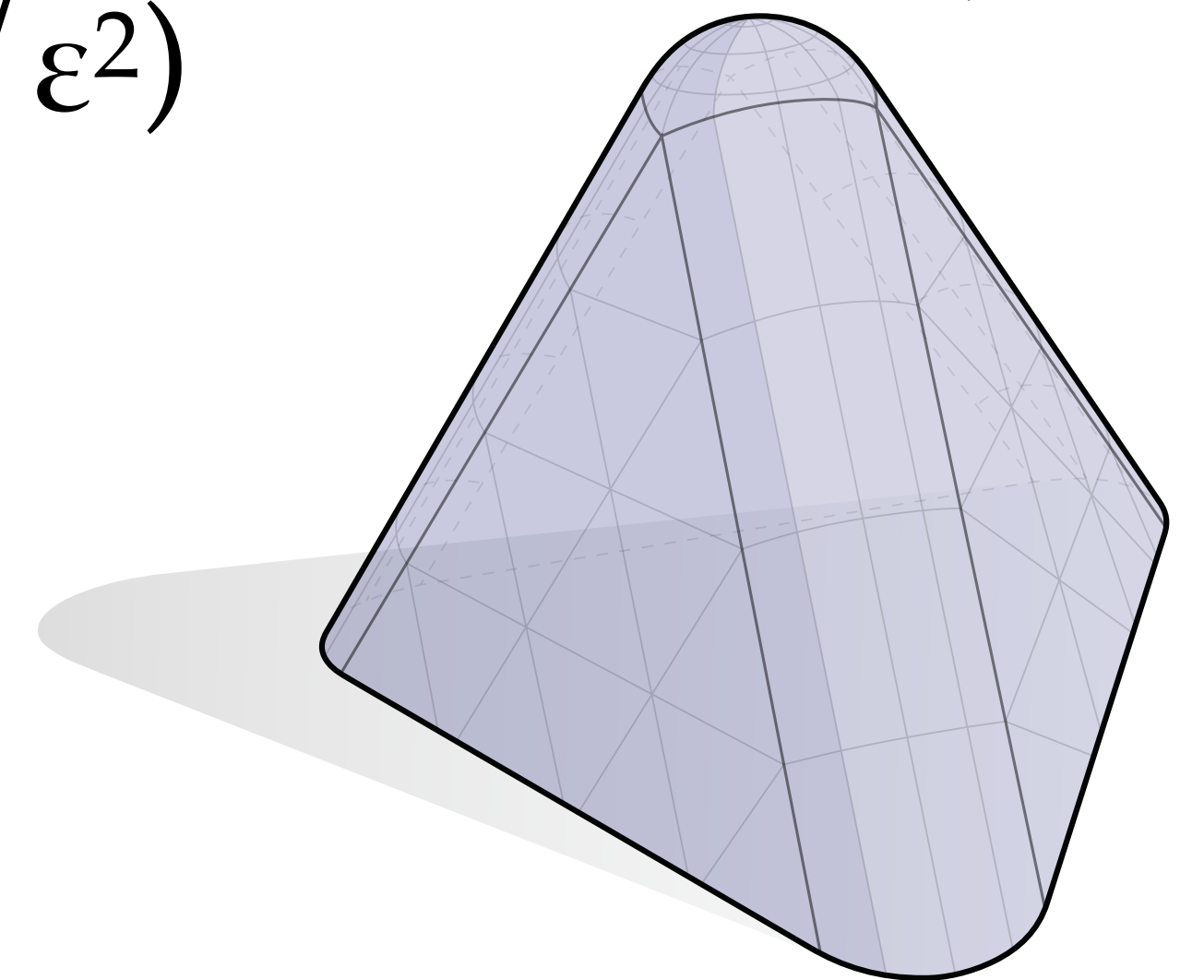
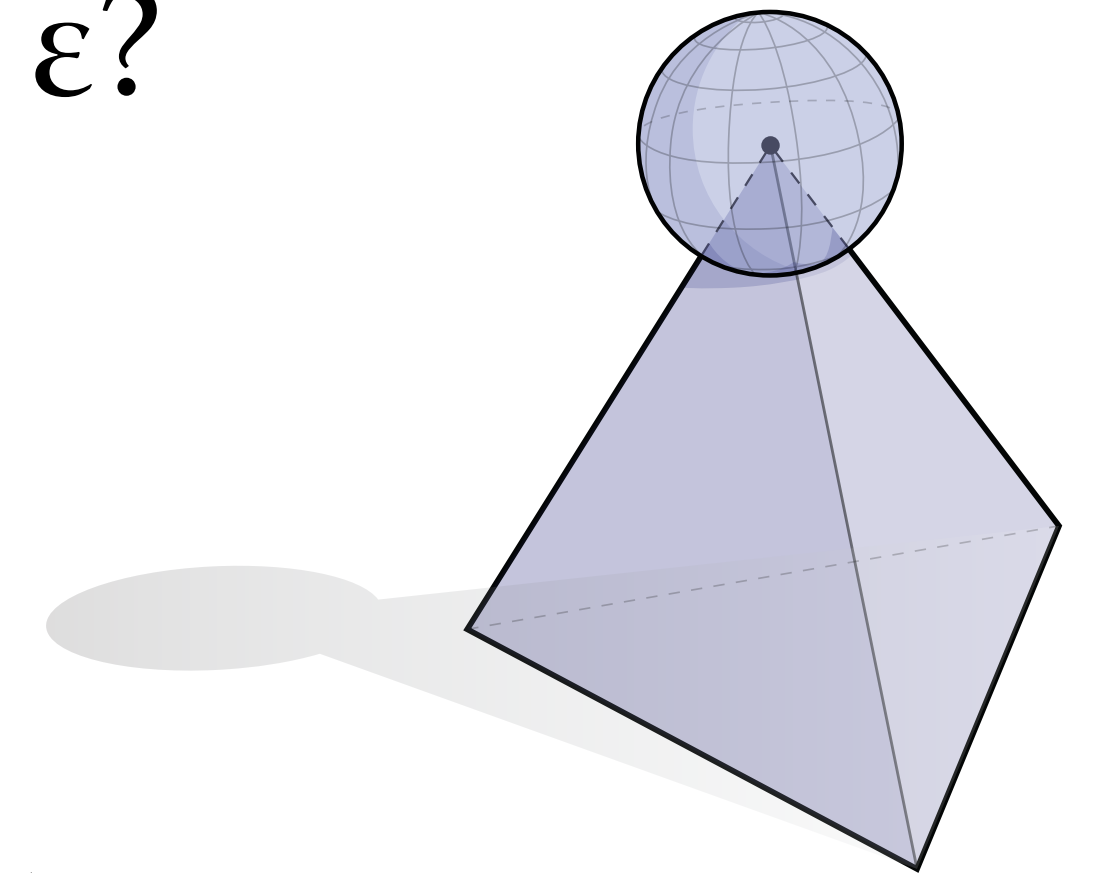
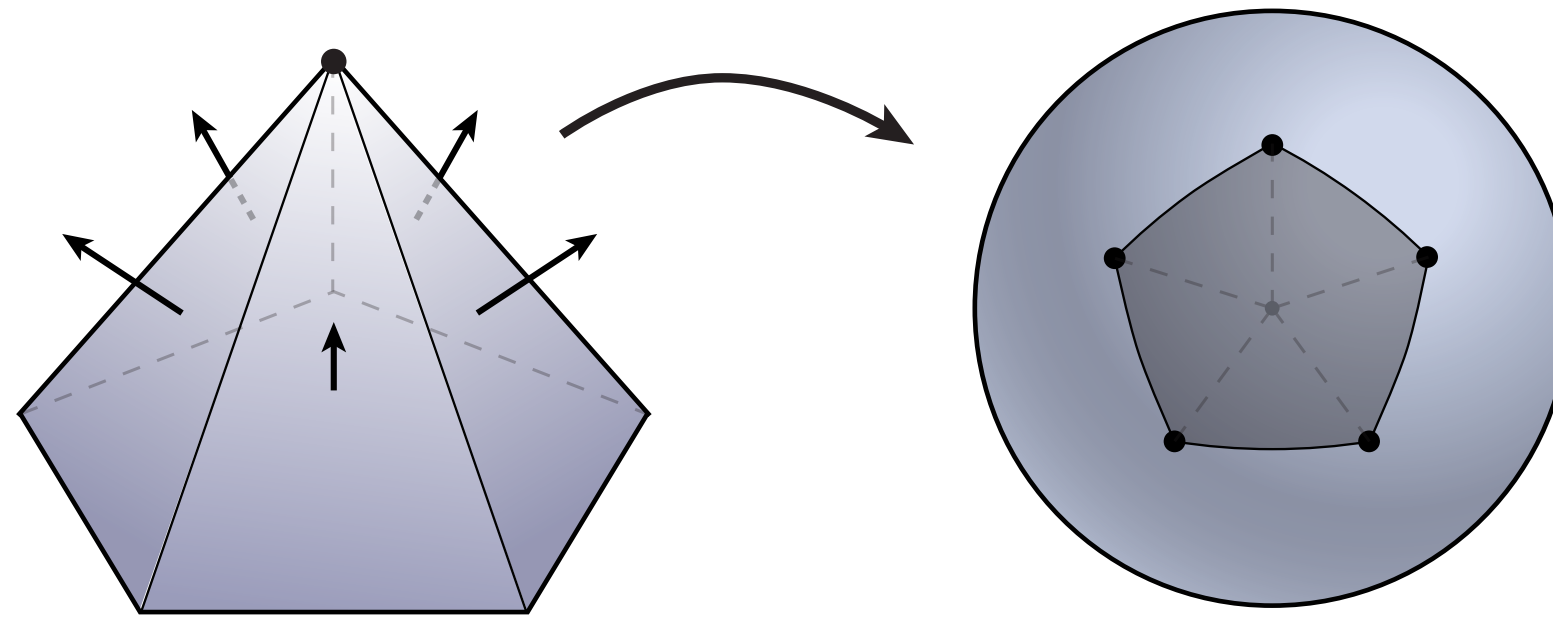
- **Edges:**  $K = 0$

- **Vertices?**

- each contributes a piece of sphere of radius  $\varepsilon$  ( $K=1/\varepsilon^2$ )
- recall (unit) spherical area is equal to *angle defect*  $\Omega_i$
- *total* curvature associated with vertex  $i$  is hence

$$A_i K_i = \left( \frac{\Omega_i}{4\pi} 4\pi\varepsilon^2 \right) \frac{1}{\varepsilon^2} = \Omega_i$$

- For whole surface, have  $\text{Gauss}(\varepsilon) = \sum_{i \in V} \Omega_i$





# Mean Curvature of Mollified Surface

- **Q:** What's the mean curvature  $H$  of the mollified surface?

- **Faces:**  $H = 0$

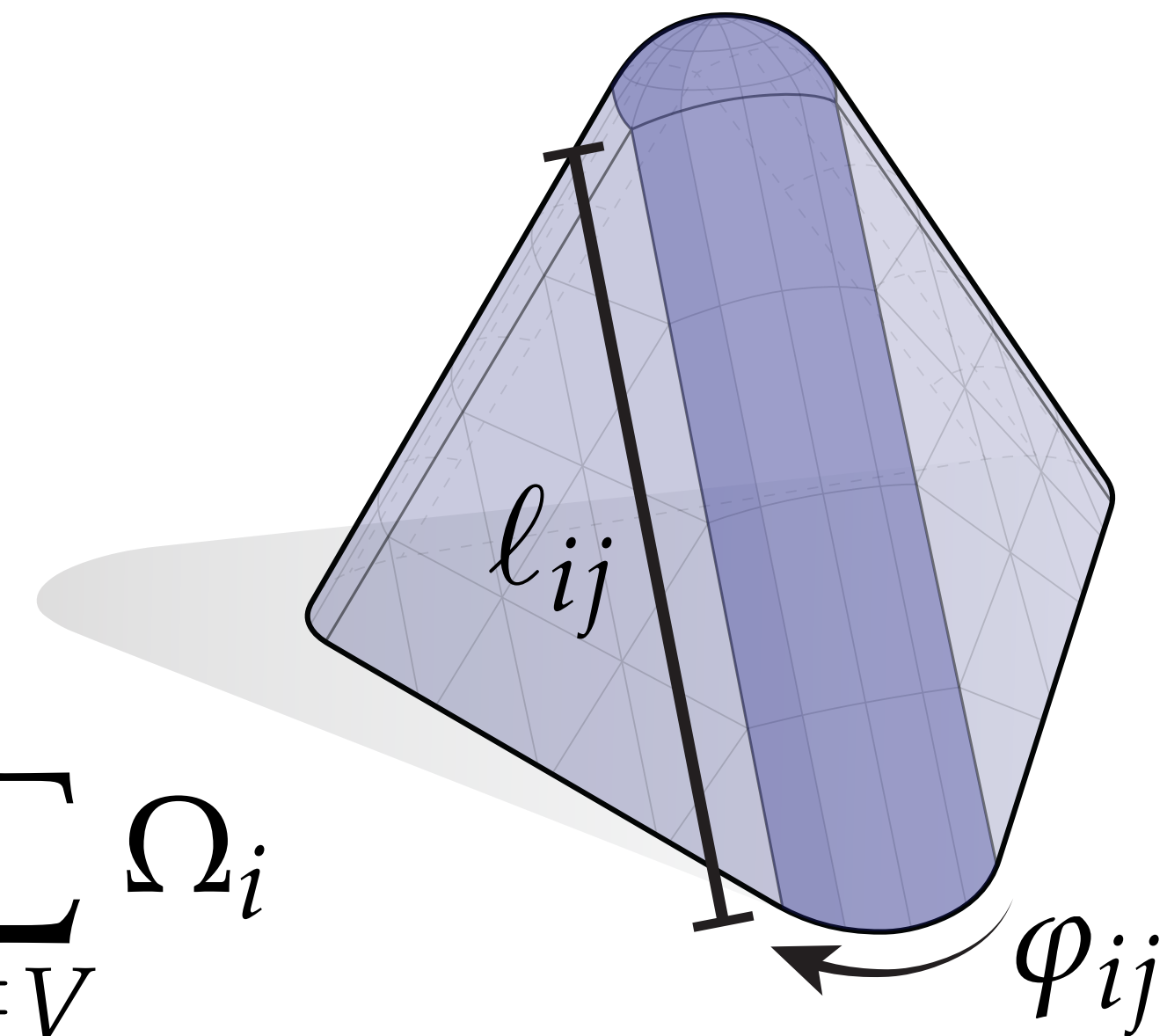
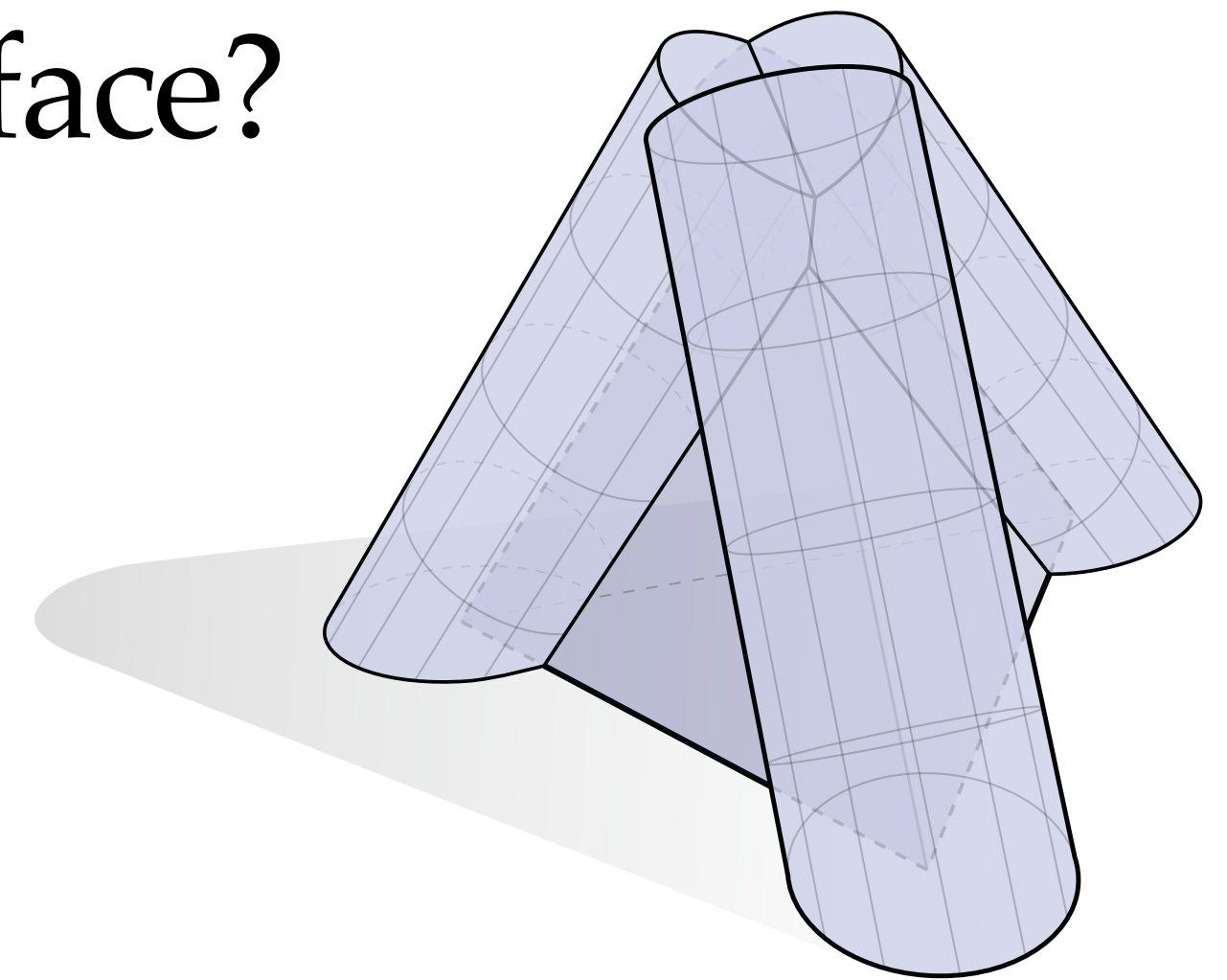
- **Edges?**

- each contributes a piece of a cylinder ( $H=1/2\varepsilon$ )
- area of cylindrical piece is  $\ell_{ij}\varphi_{ij}\varepsilon$
- total mean curvature for edge is hence  $H_{ij} = \frac{1}{2}\ell_{ij}\varphi_{ij}$

- **Vertices?**

- each contributes a piece of the sphere ( $H=1/\varepsilon$ )
- area is  $(\Omega_i/4\pi)4\pi\varepsilon^2 = \Omega_i\varepsilon^2$ , hence  $H_i = \Omega_i\varepsilon$

- For whole surface, have  $\text{mean}(\varepsilon) = \frac{1}{2} \sum_{ij \in E} \ell_{ij}\varphi_{ij} + \varepsilon \sum_{i \in V} \Omega_i$



# Area of a Mollified Surface

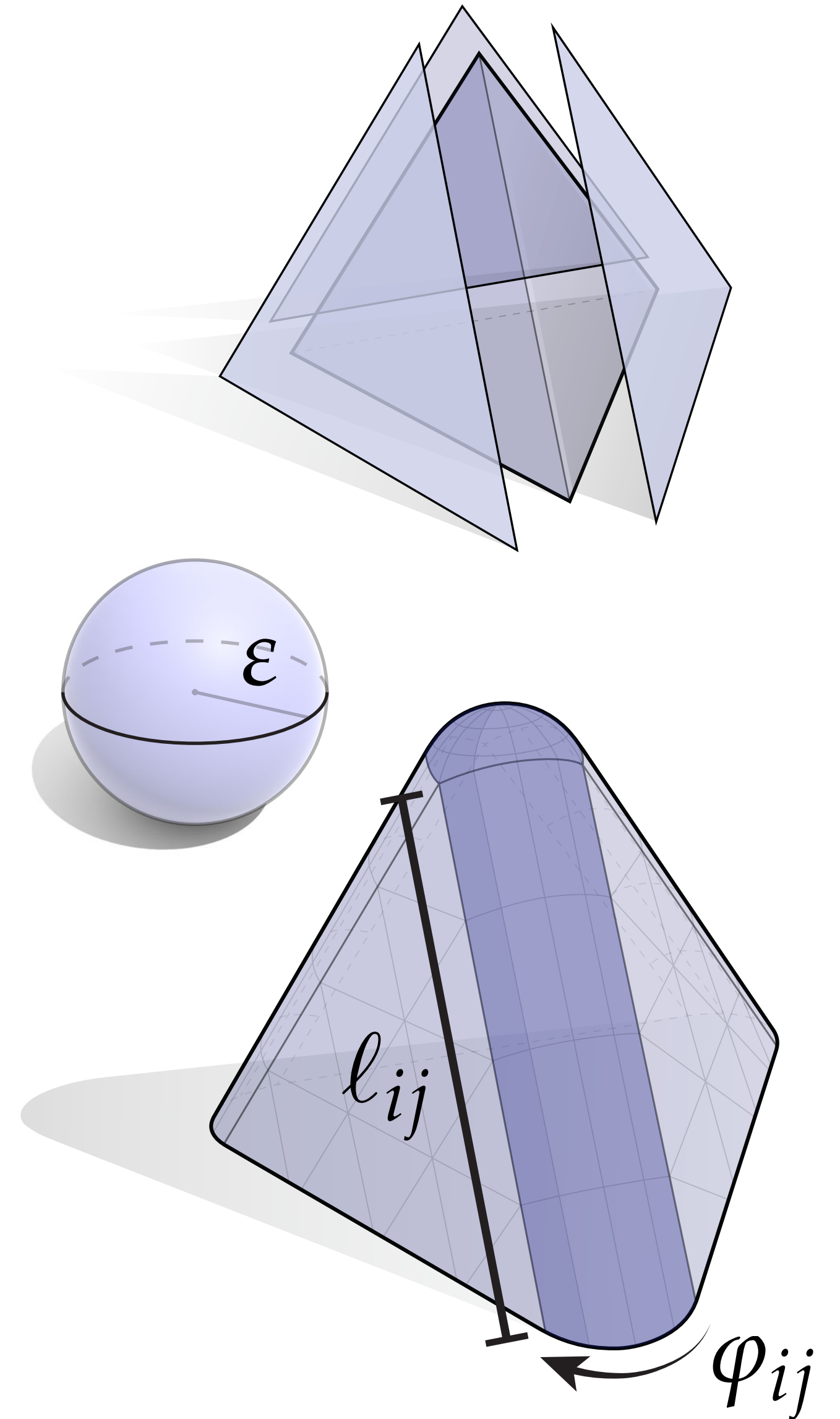
- **Q:** What's the area of the mollified surface?

- **Faces:** just the original area  $A_{ijk}$
- **Edges:**  $\ell_{ij}\varphi_{ij}\varepsilon$
- **Vertices:**  $\Omega_i\varepsilon^2$

- Total area of the whole surface is then

$$\text{area}(\varepsilon) = \sum_{ijk \in F} A_{ijk} + \varepsilon \sum_{ij \in E} \ell_{ij} \varphi_{ij} + \varepsilon^2 \sum_{i \in V} \Omega_i$$

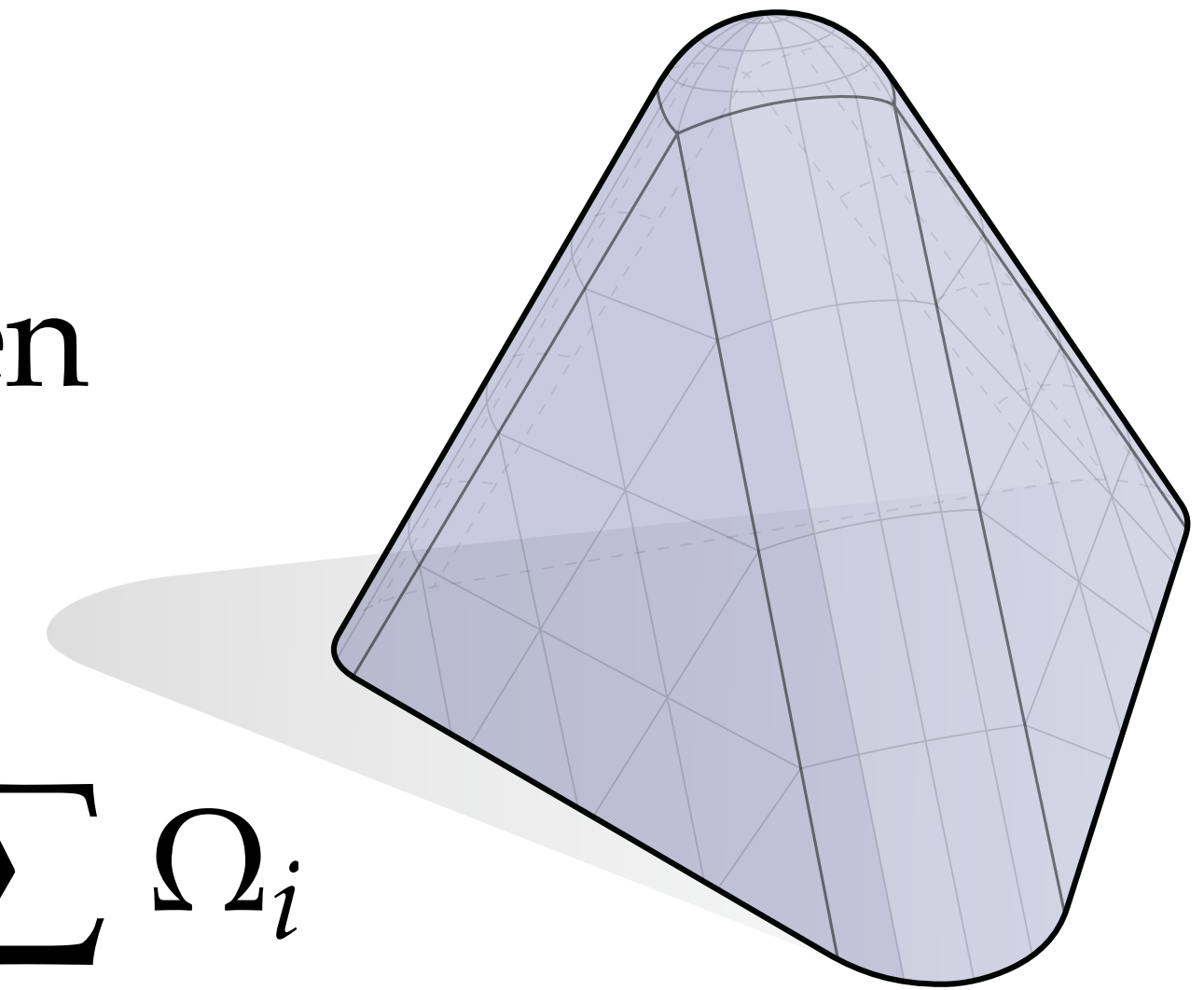
- By (discrete) Gauss-Bonnet, last sum equals  $2\pi\chi$



# Volume of Mollified Surface

- **Q:** What's the total volume of the mollified surface?
- Starting to see a pattern—if  $V_0$  is original volume, then

$$\text{volume}(\varepsilon) = V_0 + \varepsilon \sum_{ijk \in F} A_{ijk} + \frac{1}{2} \varepsilon^2 \sum_{ij \in E} \ell_{ij} \varphi_{ij} + \frac{1}{3} \varepsilon^3 \sum_{i \in V} \Omega_i$$



- **Faces** add “slabs” of thickness  $\varepsilon$ , hence volume  $\varepsilon A$
- **Edges** add cylindrical wedges of volume  $\frac{1}{2} \ell_{ij} \varphi_{ij} \varepsilon^2$  (cylinder:  $\pi r^2 L$ )
- **Vertices** add spherical cones of volume  $\frac{1}{3} \Omega_i \varepsilon^3$  (sphere:  $4\pi r^3 / 3$ )



# Steiner Polynomial for Polyhedra in $R^3$

- Volume of mollified polyhedron is a polynomial in radius  $\varepsilon$

$$\text{volume}(\varepsilon) = V_0 + \varepsilon \sum_{ijk \in F} A_{ijk} + \frac{1}{2} \varepsilon^2 \sum_{ij \in E} \ell_{ij} \varphi_{ij} + \frac{1}{3} \varepsilon^3 \sum_{i \in V} \Omega_i$$

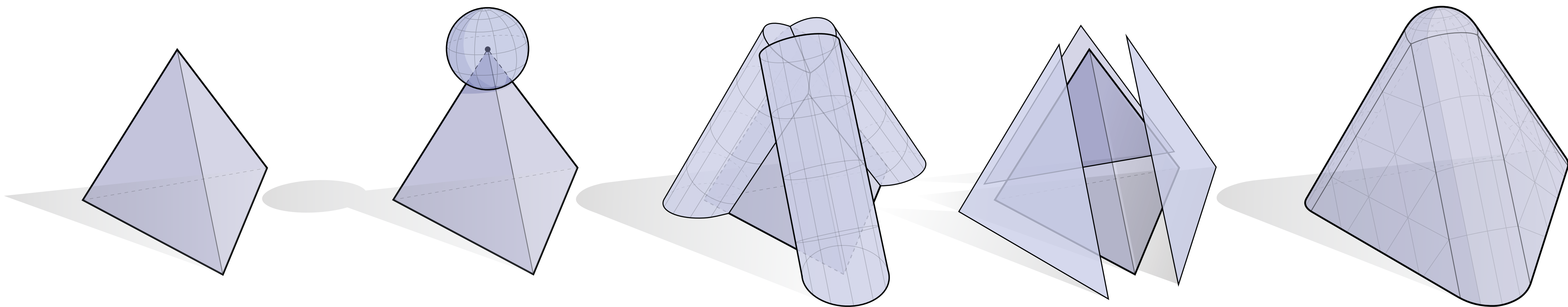
- Derivatives w.r.t.  $\varepsilon$  give total area, mean curvature, Gauss curvature:

$$\frac{d}{d\varepsilon} \text{volume}_\varepsilon = \text{area}_\varepsilon$$

$$\frac{d}{d\varepsilon} \text{area}_\varepsilon = 2\text{mean}_\varepsilon$$

$$\frac{d}{d\varepsilon} \text{mean}_\varepsilon = \text{Gauss}_\varepsilon$$

$$\frac{d}{d\varepsilon} \text{Gauss}_\varepsilon = 0$$



# Steiner Polynomial for Surfaces in $R^3$

- Not surprisingly, there is an analogous formula for surfaces in  $R^3$
- Taking a Minkowski sum w/ a small ball of radius  $\varepsilon > 0$  is the same as shifting the surface in the normal direction by  $\varepsilon$
- Consider a surface  $f: M \rightarrow R^3$  with Gauss map  $N$ ; let  $f_t := f + tN$
- How is the area of the “smoothed” surface changing?

$$dA_t = \frac{1}{2} \langle N, df_t \wedge df_t \rangle$$

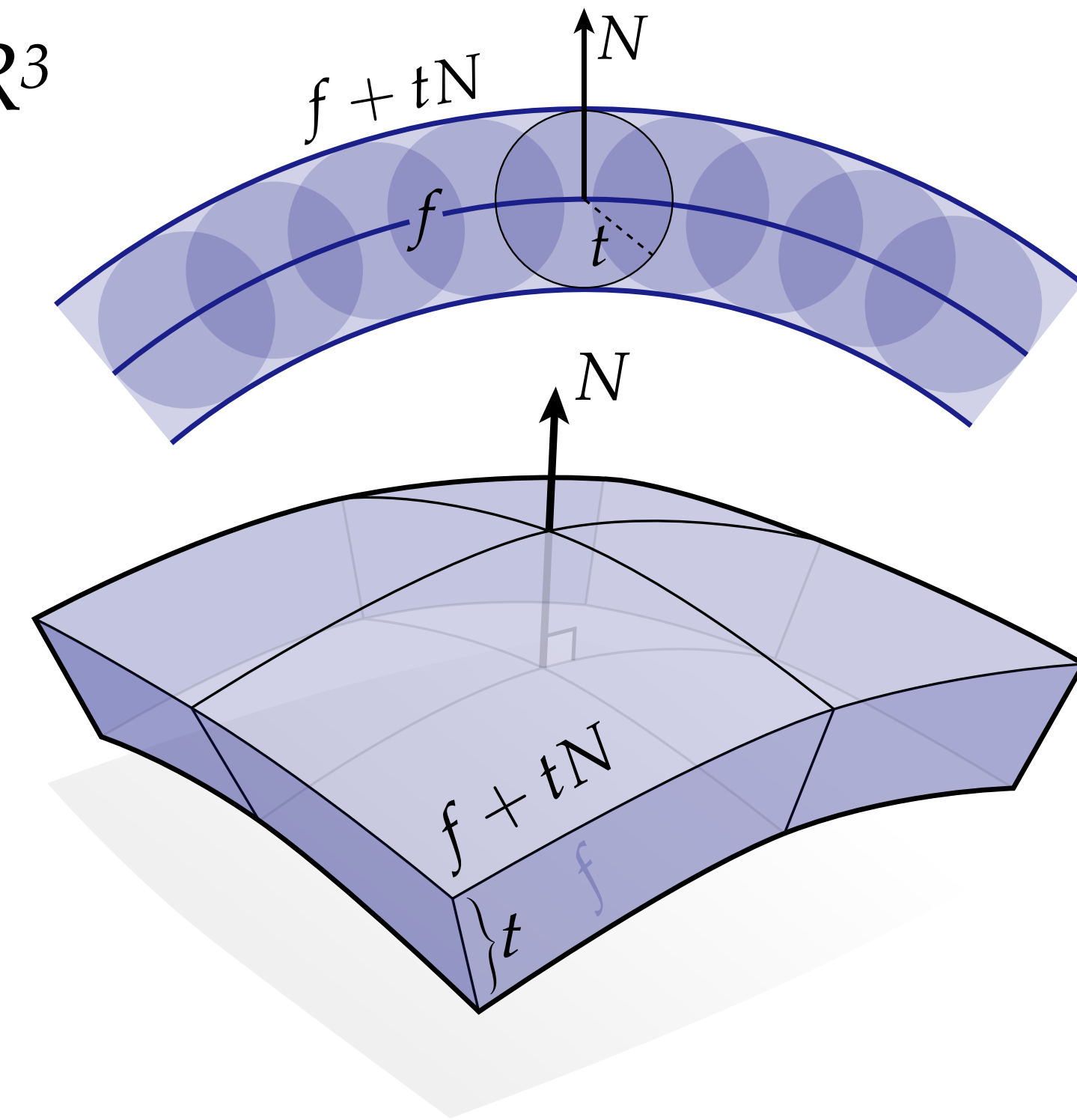
$$df_t \wedge df_t =$$

$$(df + t dN) \wedge (df + t dN) =$$

$$df \wedge df + 2t df \wedge dN + t^2 dN \wedge dN =$$

$$(1 + 2tH + t^2 K) df \wedge df$$

$$\implies dA_t = (1 + 2tH + t^2 K) dA_0$$

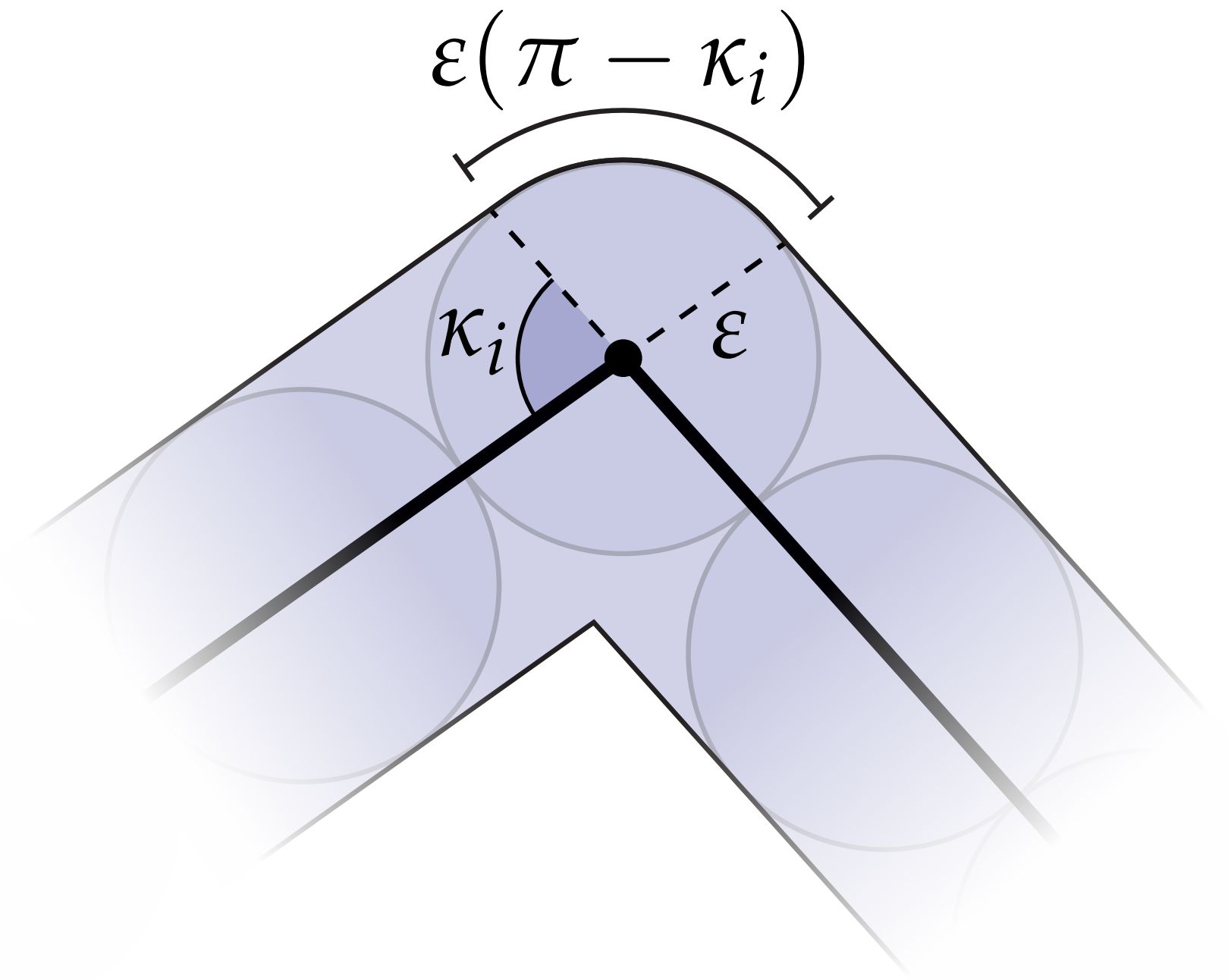


## Notice:

- surface area given by  $df \wedge df$
- spherical area  $dN \wedge dN$  gives Gauss curvature
- “mixed area”  $df \wedge dN$  gives mean curvature

# Discrete Curvature of $n$ -Manifolds

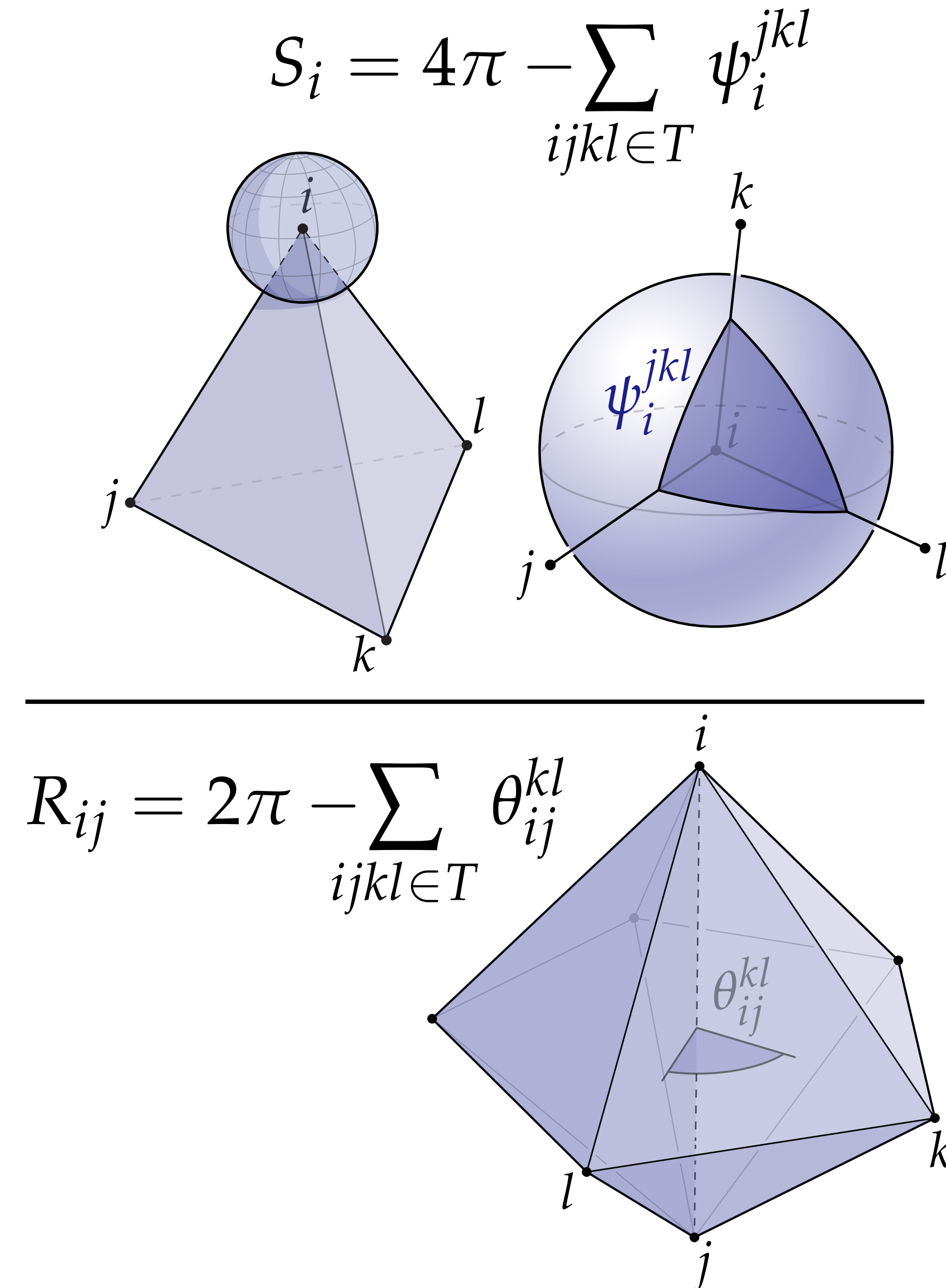
- Can use this same machinery to define / understand discrete curvature in any dimension
- *E.g.*, for planar curves recover “turning angle” definition of curvature



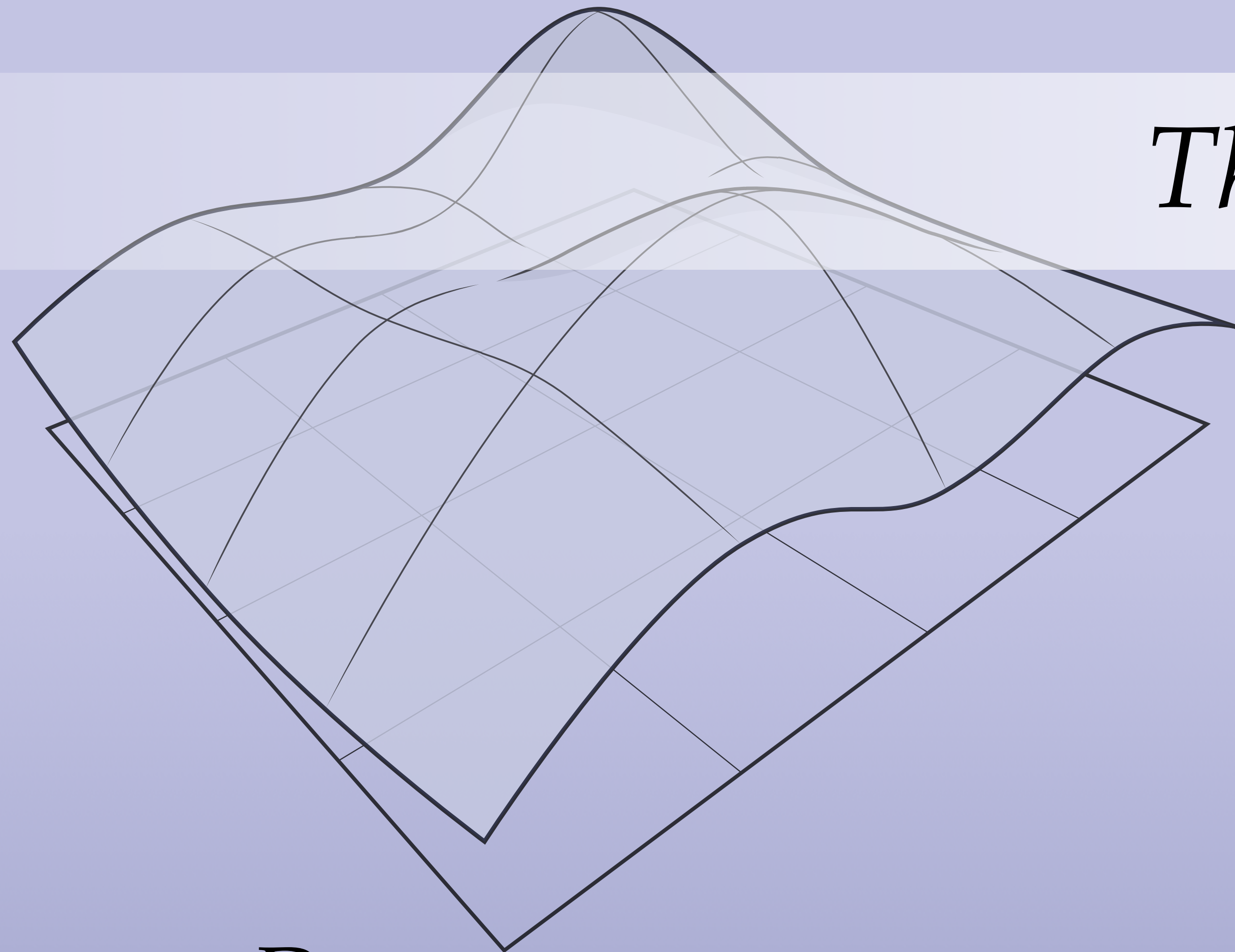


# Discrete Curvature of $n$ -Manifolds

- Can use this same machinery to define / understand discrete curvature in any dimension
- E.g., for planar curves recover “turning angle” definition of curvature
- For 3-manifolds:
  - **scalar curvature:** compare total solid angle around vertex to area of Euclidean sphere
  - **Riemann curvature:** compare total dihedral angle around edge to  $2\pi$
- In general: consider volume of Minkowski sum with  $(n+1)$ -ball of radius  $\varepsilon$ ; derivatives with respect to  $\varepsilon$  give all the discrete curvatures



*Thanks!*



DISCRETE DIFFERENTIAL  
GEOMETRY:  
AN APPLIED INTRODUCTION

Keenan Crane • CMU 15-458/858