

# AI 大模型开发工程师 之大模型核心之算法

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# 从代码层面理解Transformer

模型	结构	位置编码	激活函数	layer norm方法
原生Transformer	Encoder-Decoder	Sinusoidal编码	ReLU	Post layer norm
BERT	Encoder	绝对位置编码	GeLU	Post layer norm
LLaMA	Casual decoder	RoPE	SwiGLU	Pre RMS Norm
ChatGLM-6B	Prefix decoder	RoPE	GeGLU	Post Deep Norm
Bloom	Casual decoder	ALiBi	GeLU	Pre Layer Norm

Pyton

Pytorch

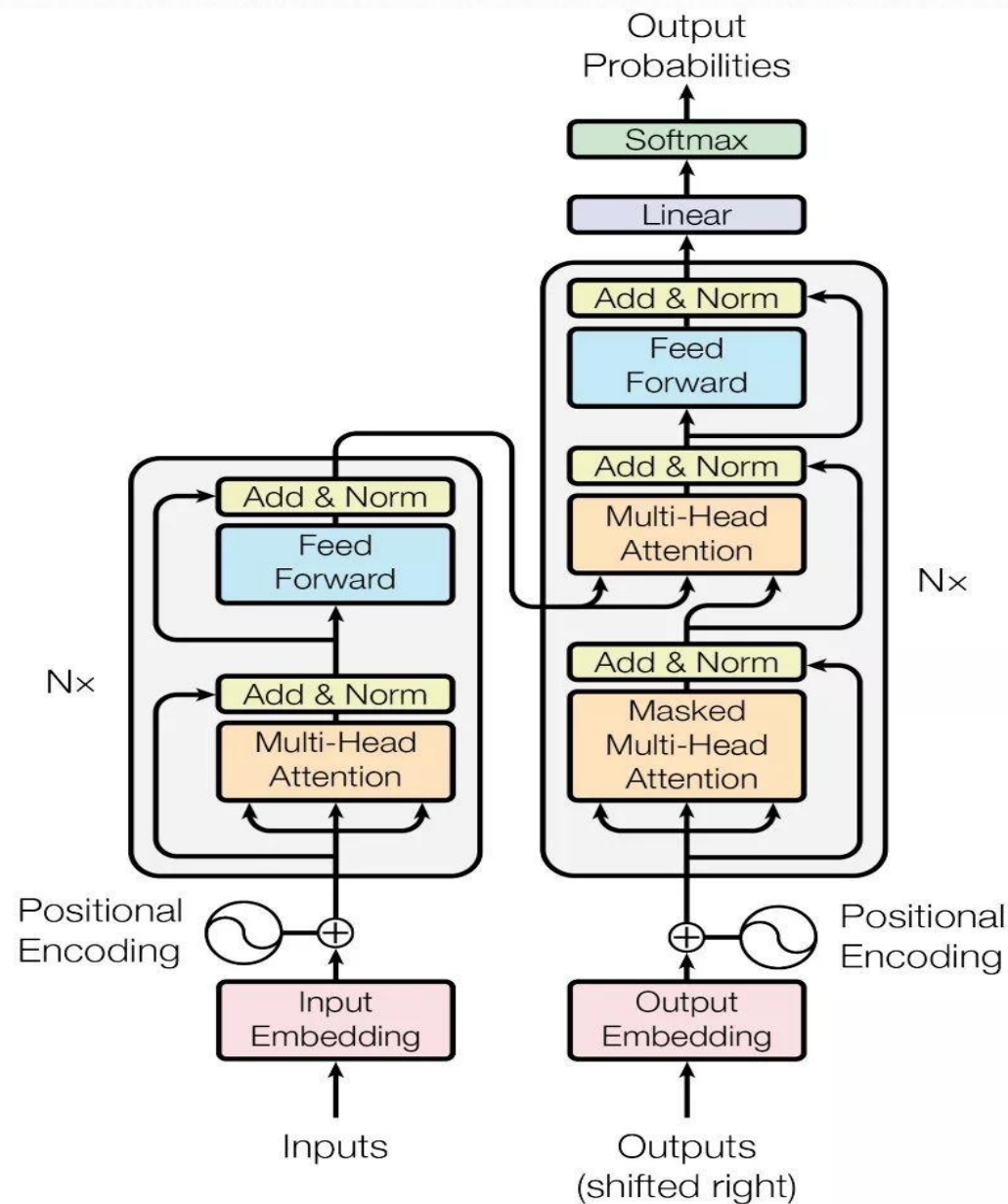


Figure 1: The Transformer - model architecture.

## 1、准备数据

```
1 x_data = torch.tensor([[1.0],[2.0],[3.0]])
2 y_data = torch.tensor([[2.0],[4.0],[6.0]])
```

## 2、设计模型

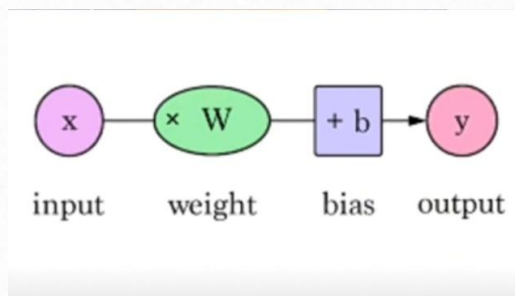
```
1 class LinearModel(torch.nn.Module):
2     def __init__(self):
3         super(LinearModel,self).__init__()
4         self.linear = torch.nn.Linear(1,1)
5
6     def forward(self, x):
7         y_pred = self.linear(x)
8         return y_pred
9
10 model = LinearModel()
```

## 3、构造损失函数和优化器

```
1 criterion = torch.nn.MSELoss(reduction='sum')
2 optimizer = torch.optim.SGD(model.parameters(),lr=0.01)
```

## 4、训练过程

```
1 epoch_list = []
2 loss_list = []
3 w_list = []
4 b_list = []
5 for epoch in range(1000):
6     y_pred = model(x_data)           # 计算预测值
7     loss = criterion(y_pred, y_data)  # 计算损失
8     print(epoch,loss)
9
10    epoch_list.append(epoch)
11    loss_list.append(loss.data.item())
12    w_list.append(model.linear.weight.item())
13    b_list.append(model.linear.bias.item())
14
15    optimizer.zero_grad()  # 梯度归零
16    loss.backward()        # 反向传播
17    optimizer.step()       # 更新
```



## 5、结果展示

展示最终的权重和偏置：

```
1 # 输出权重和偏置
2 print('w = ',model.linear.weight.item())
3 print('b = ',model.linear.bias.item())
```

结果为：

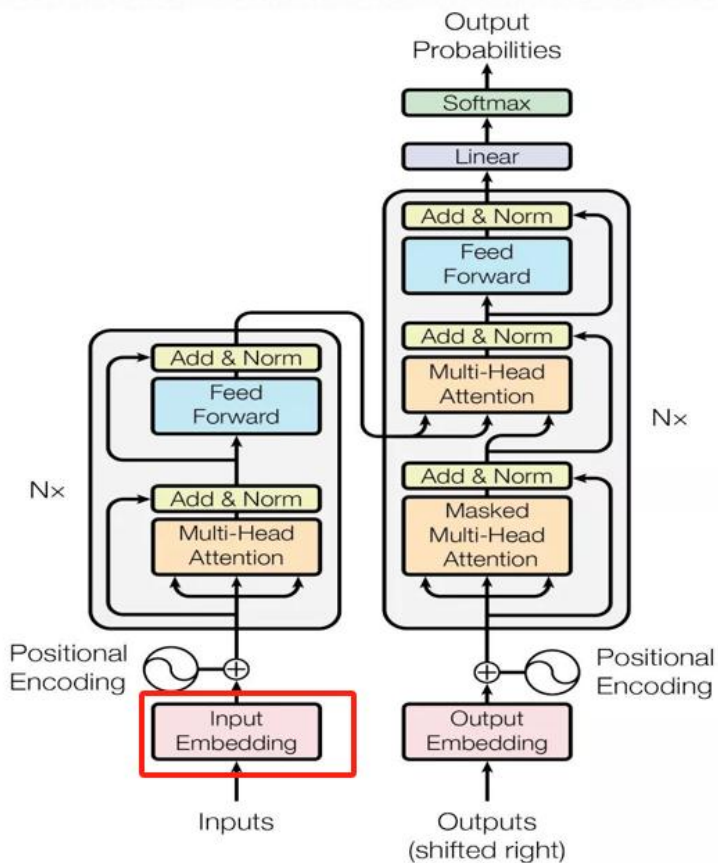
```
1 w =  1.9998501539230347
2 b =  0.0003405189490877092
```

模型测试：

```
1 # 测试模型
2 x_test = torch.tensor([[4.0]])
3 y_test = model(x_test)
4 print('y_pred = ',y_test.data)
```

```
1 y_pred =  tensor([[7.9997]])
```





```
class WordEmbedding(nn.Module):
```

```
    """
    把向量构造成d_model维度的词向量, 以便后续送入编码器
    """
```

```
def __init__(self, vocab_size, d_model):
```

```
    """
```

```
    :param vocab_size: 字典长度
```

```
    :param d_model: 词向量维度
```

```
    """
```

```
    super(WordEmbedding, self).__init__()
```

```
    self.d_model = d_model
```

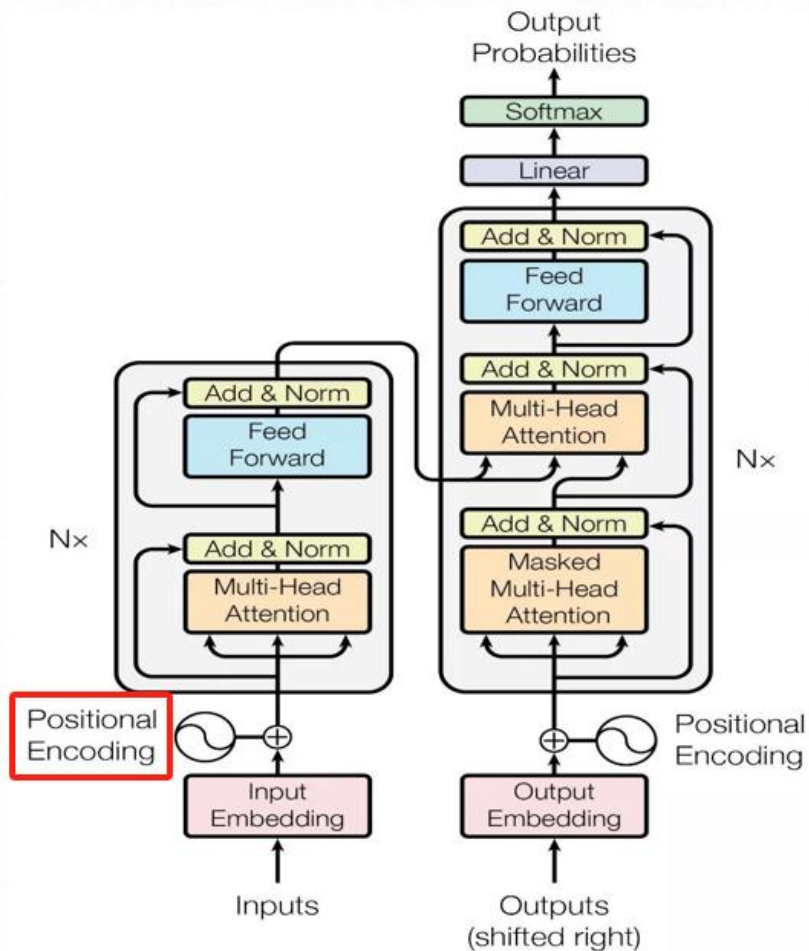
```
    # 字典中有vocab_size个词, 词向量维度是d_model, 每个词将会被映射成d_model维度的向量
```

```
    self.embedding = nn.Embedding(vocab_size, d_model)
```

```
    self.embed = self.embedding
```

```
def forward(self, x):
```

```
    return self.embed(x) * math.sqrt(self.d_model)
```



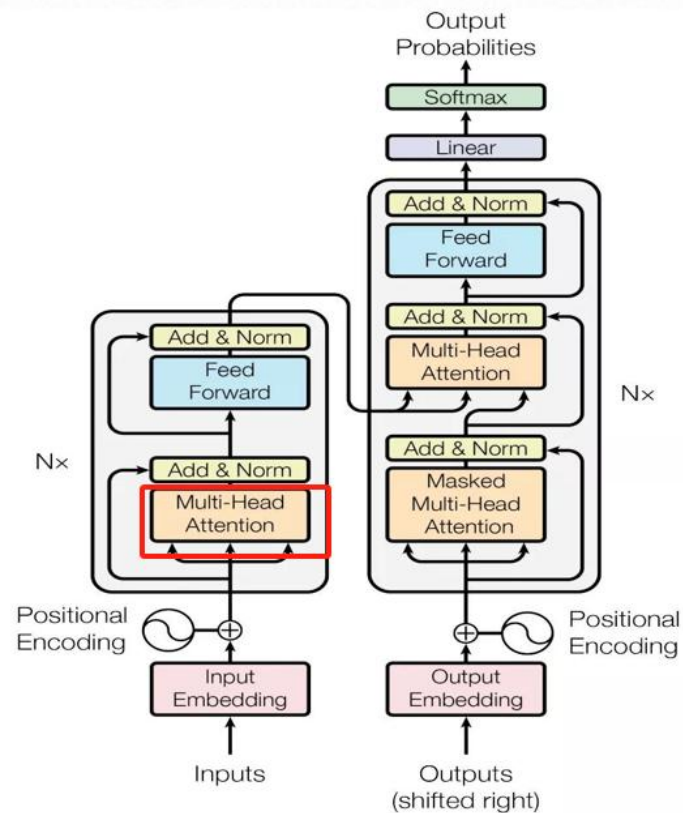
$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

```
class PositionalEncoding(nn.Module):
    def __init__(self, dim: int, dropout: float, max_len=5000):
        # 判断能够构建位置向量
        if dim % 2 != 0:
            raise ValueError(f"不能使用 sin/cos 位置编码, 应该使用偶数维度")
        """
        pe公式为:
        PE(pos, 2i/2i+1) = sin/cos(pos/10000^{2i/d_{model}})
        """

        pe = torch.zeros(max_len, dim) # 初始化pe
        position = torch.arange(0, max_len).unsqueeze(1) # 构建pos, 为句子的长度, 相当于pos
        div_term = torch.exp((torch.arange(0, dim, 2, dtype=torch.float) * torch.tensor(
            -(math.log(10000.0) / dim)))) # 复现位置编码sin/cos中的公式
        pe[:, 0::2] = torch.sin(position.float() * div_term) # 偶数使用sin函数
        pe[:, 1::2] = torch.cos(position.float() * div_term) # 奇数使用cos函数
        pe = pe.unsqueeze(1) # 扁平化成一维向量
        super(PositionalEncoding, self).__init__()
        self.register_buffer('pe', pe) # pe不是模型的一个参数, 通过register_buffer把pe写入内存缓冲区, 当做一个内存中的常量
        self.drop_out = nn.Dropout(p=dropout)
        self.dim = dim

    def forward(self, emb, step=None):
        """
        词向量和位置编码拼接并输出
        :return: 词向量和位置编码的拼接
        """
        emb = emb * math.sqrt(self.dim)
        if step is None:
            emb = emb + self.pe[:emb.size(0)] # 拼接词向量和位置编码
        else:
            emb = emb + self.pe[step]
        emb = self.drop_out(emb)
        return emb
```



$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

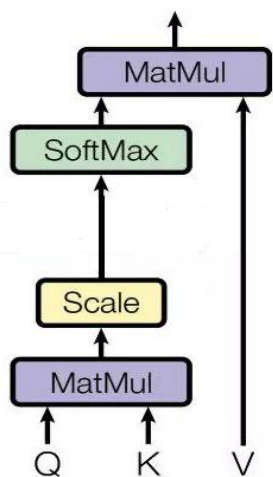
```
def self_attention(q, k, v, d_k, mask=None, dropout=None):
    scores = torch.matmul(q, k.transpose(-2, -1)) / math.sqrt(d_k)

    if mask is not None:
        mask = mask.unsqueeze(1)
        scores = scores.masked_fill(mask == 0, -1e9)

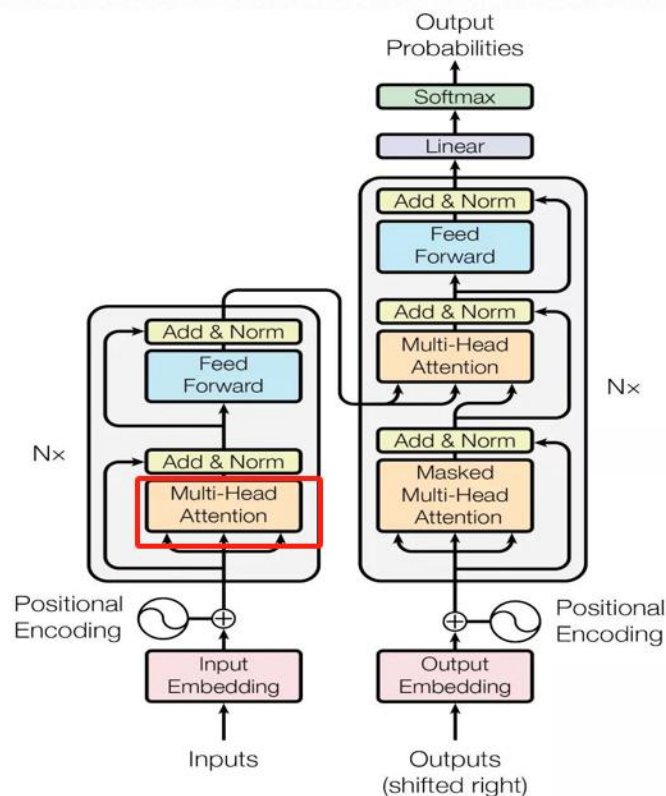
    scores = F.softmax(scores, dim=-1)

    if dropout is not None:
        scores = dropout(scores)

    output = torch.matmul(scores, v)
    return output
```







```
class MultiHeadAttention(nn.Module):
    def __init__(self, heads, d_model, dropout = 0.1):
        super().__init__()
        self.d_model = d_model
        self.d_k = d_model // heads
        self.h = heads

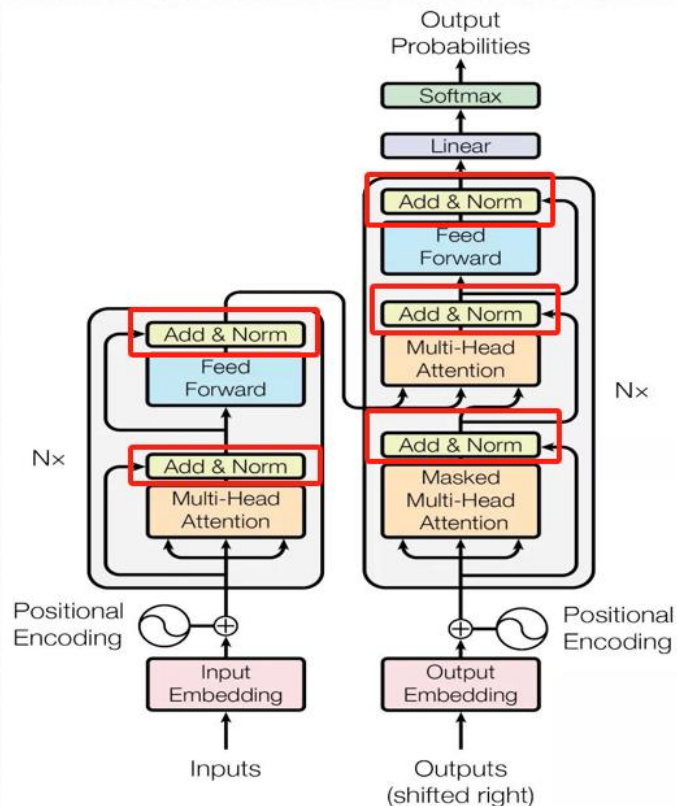
        self.q_linear = nn.Linear(d_model, d_model)
        self.v_linear = nn.Linear(d_model, d_model)
        self.k_linear = nn.Linear(d_model, d_model)

        self.dropout = nn.Dropout(dropout)
        self.out = nn.Linear(d_model, d_model)

    def forward(self, q, k, v, mask=None):
        bs = q.size(0)
        # perform linear operation and split into N heads
        k = self.k_linear(k).view(bs, -1, self.h, self.d_k)
        q = self.q_linear(q).view(bs, -1, self.h, self.d_k)
        v = self.v_linear(v).view(bs, -1, self.h, self.d_k)

        # transpose to get dimensions bs * N * sl * d_model
        k = k.transpose(1,2)
        q = q.transpose(1,2)
        v = v.transpose(1,2)
        # calculate attention using function we will define next
        scores = self_attention(q, k, v, self.d_k, mask, self.dropout)
        # concatenate heads and put through final linear layer
        concat = scores.transpose(1,2).contiguous()\
            .view(bs, -1, self.d_model)
        output = self.out(concat)

        return output
```



```
class LayerNorm(nn.Module):
```

```
"""
```

构建一个LayerNorm Module

LayerNorm的作用: 对x归一化, 使x的均值为0, 方差为1

LayerNorm计算公式:  $x - \text{mean}(x) / \sqrt{\text{var}(x) + \epsilon} = x - \text{mean}(x) / \text{std}(x) + \epsilon$

```
"""
```

```
def __init__(self, x_size, eps=1e-6):
```

```
    super(LayerNorm, self).__init__()
```

```
    self.ones_tensor = nn.Parameter(torch.ones(x_size))
```

```
    self.zeros_tensor = nn.Parameter(torch.zeros(x_size))
```

```
    self.eps = eps
```

```
def forward(self, x):
```

```
    mean = x.mean(-1, keepdim=True)
```

```
    std = x.std(-1, keepdim=True) # 求标准差
```

```
    return self.ones_tensor * (x - mean) / (std + self.eps) + self.zeros_tensor # LayerNorm的计算公式
```

$$\tilde{Z}_j = \gamma_j \cdot \frac{Z_j - \mu_j}{\sqrt{\sigma^2 + \epsilon}} + \beta_j$$

```
class SublayerConnection(nn.Module):
```

```
"""
```

子层的连接:  $\text{layer\_norm}(x + \text{sublayer}(x))$

上述可以理解为一个残差网络加上一个LayerNorm归一化

```
"""
```

```
def __init__(self, size, dropout=0.1):
```

```
    """
```

```
    :param size: d_model
```

```
    :param dropout: drop比率
```

```
    """
```

```
    super(SublayerConnection, self).__init__()
```

```
    self.layer_norm = LayerNorm(size)
```

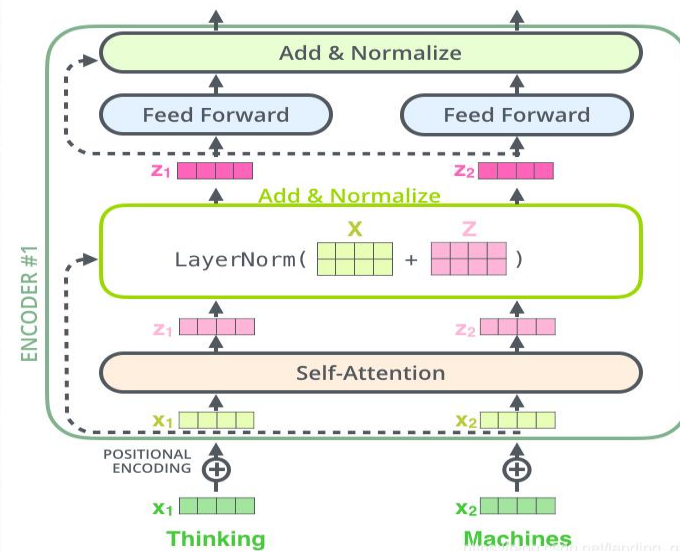
```
    # TODO: 在SublayerConnection中LayerNorm可以换成nn.BatchNorm2d
```

```
    # self.layer_norm = nn.BatchNorm2d()
```

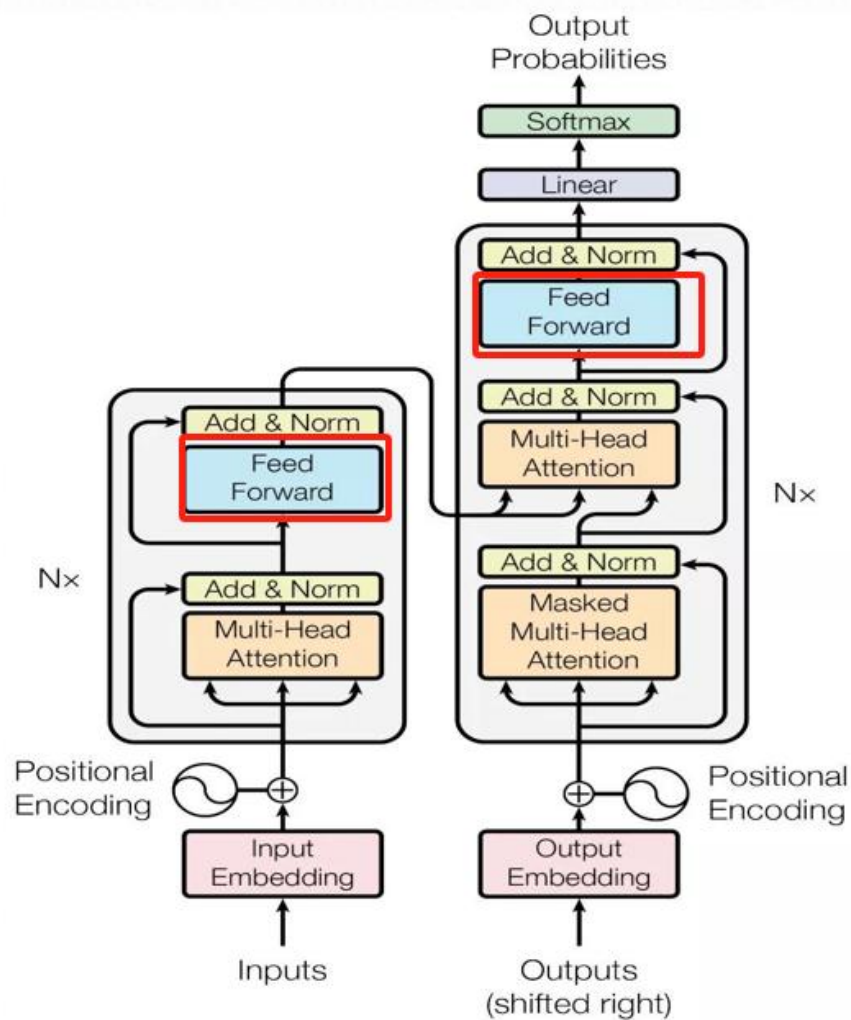
```
    self.dropout = nn.Dropout(p=dropout)
```

```
def forward(self, x, sublayer):
```

```
    return self.dropout(self.layer_norm(x + sublayer(x)))
```







```
class FeedForward(nn.Module):
```

```
    """
```

```
    两层具有残差网络的前馈神经网络, FNN网络
```

```
    """
```

```
def __init__(self, d_model: int, d_ff: int, dropout=0.1):
```

```
    """
```

```
    :param d_model: FNN第一层输入的维度
```

```
    :param d_ff: FNN第二层隐藏层输入的维度
```

```
    :param dropout: drop比率
```

```
    """
```

```
    super(FeedForward, self).__init__()
```

```
    self.w_1 = nn.Linear(d_model, d_ff)
```

```
    self.w_2 = nn.Linear(d_ff, d_model)
```

```
    self.layer_norm = nn.LayerNorm(d_model, eps=1e-6)
```

```
    self.dropout_1 = nn.Dropout(dropout)
```

```
    self.relu = nn.ReLU()
```

```
    self.dropout_2 = nn.Dropout(dropout)
```

```
def forward(self, x):
```

```
    """
```

```
    :param x: 输入数据, 形状为(batch_size, input_len, model_dim)
```

```
    :return: 输出数据(FloatTensor), 形状为(batch_size, input_len, model_dim)
```

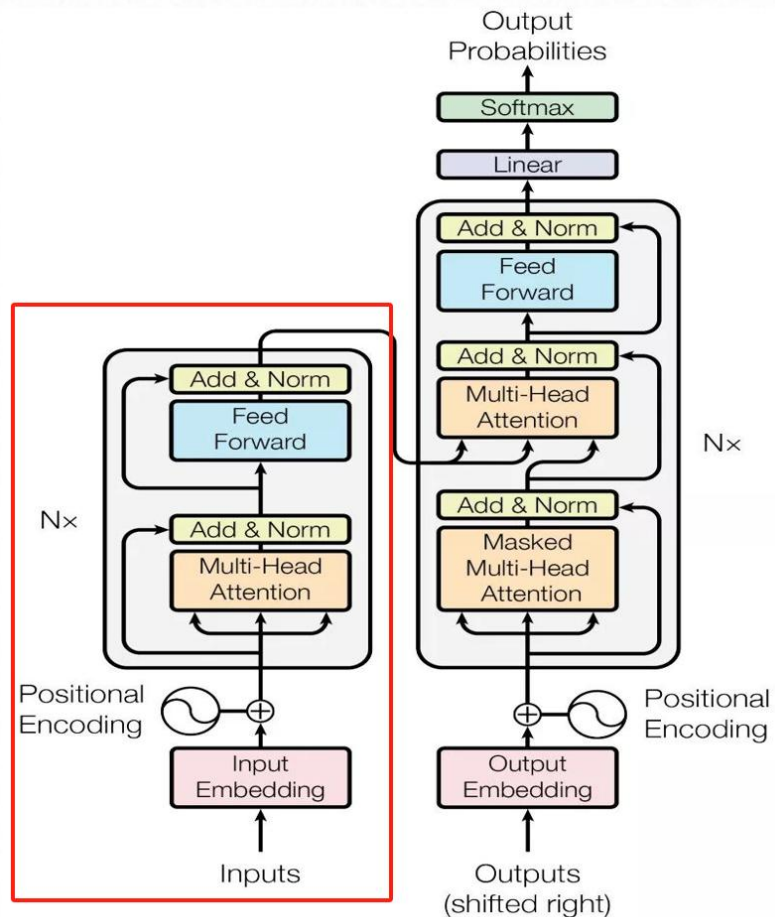
```
    """
```

```
    inter = self.dropout_1(self.relu(self.w_1(self.layer_norm(x))))
```

```
    output = self.dropout_2(self.w_2(inter))
```

```
    # return output + x, 即为残差网络
```

```
    return output # + x
```



```
def clone_module_to_modulelist(module, module_num):
    """
    :param module: 被克隆的module
    :param module_num: 被克隆的module数
    :return: 装有module_num个相同module的ModuleList
    """
    return nn.ModuleList([deepcopy(module) for _ in range(module_num)])
```

```
class EncoderLayer(nn.Module):
    """
    一层编码Encoder层
    """
    def __init__(self, size, attn, feed_forward, dropout=0.1):
        """
        :param size: d_model
        :param attn: 已经初始化的Multi-Head Attention层
        :param feed_forward: 已经初始化的Feed Forward层
        :param dropout: drop比率
        """
        super(EncoderLayer, self).__init__()
        self.attn = attn
        self.feed_forward = feed_forward
        self.sublayer_connection_list = clone_module_to_modulelist(SublayerConnection(size, dropout), 2)

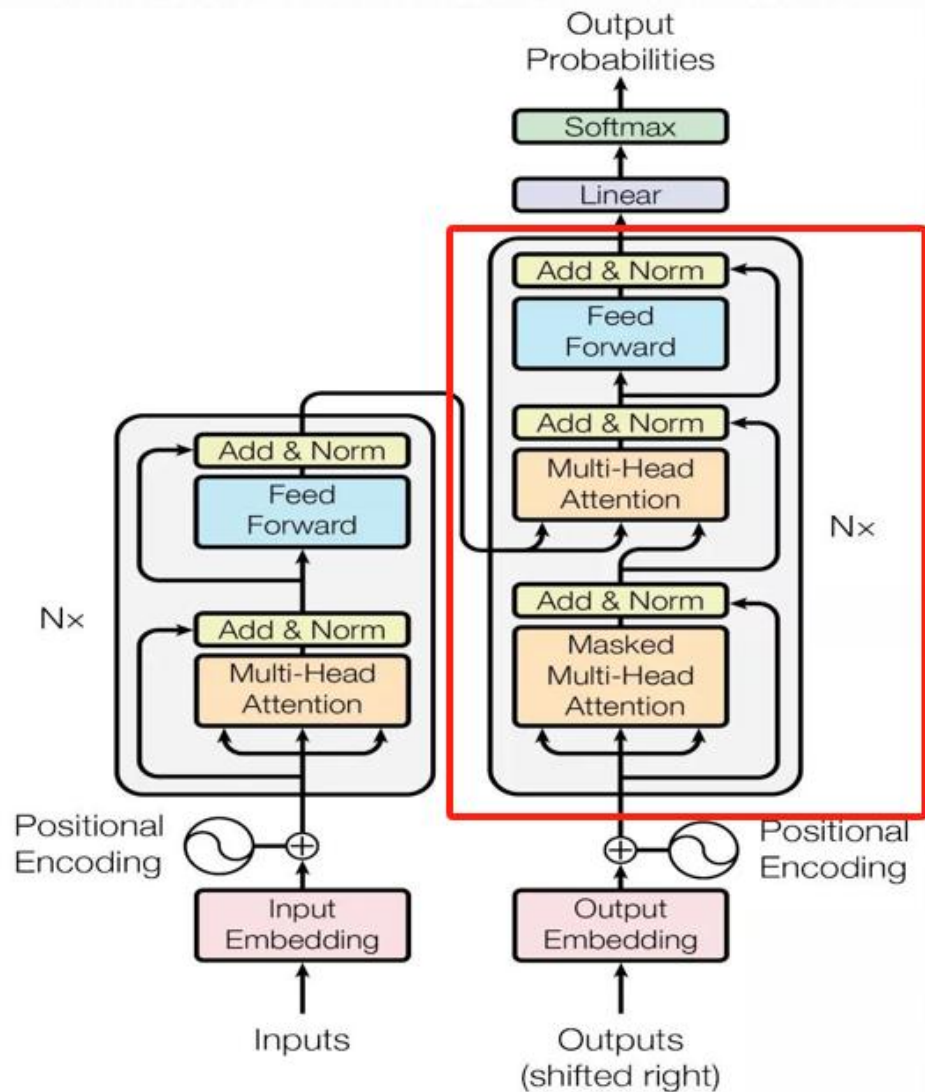
    def forward(self, x, mask):
        """
        编码层第一层子层
        self.attn 应该是一个已经初始化的Multi-Head Attention层
        把Encoder的输入数据x和经过一个Multi-Head Attention处理后的x_attn送入第一个残差网络进行处理得到first_x
        """
        first_x = self.sublayer_connection_list[0](x, lambda x_attn: self.attn(x, x, x, mask))

        """
        编码层第二层子层
        把经过第一层子层处理后的数据first_x与前馈神经网络送入第二个残差网络进行处理得到Encoder层的输出
        """
        return self.sublayer_connection_list[1](first_x, self.feed_forward)
```

```
class Encoder(nn.Module):
    def __init__(self, n, encoder_layer):
        """
        :param n: Encoder层的层数
        :param encoder_layer: 初始化的Encoder层
        """
        super(Encoder, self).__init__()
        self.encoder_layer_list = clone_module_to_modulelist(encoder_layer, n)

    def forward(self, x, src_mask):
        """
        :param x: 输入数据
        :param src_mask: mask标志
        :return: 经过n层Encoder处理后的数据
        """
        for encoder_layer in self.encoder_layer_list:
            x = encoder_layer(x, src_mask)
        return x
```





```
class DecoderLayer(nn.Module):
    """
    一层解码器Decoder层
    """
    def __init__(self, d_model, attn, feed_forward, sublayer_num, dropout=0.1):
        super(DecoderLayer, self).__init__()
        self.attn = attn
        self.feed_forward = feed_forward
        self.sublayer_connection_list = clone_module_to_modulelist(SublayerConnection(d_model, dropout), sublayer_num)

    def forward(self, x, l2r_memory, src_mask, trg_mask, r2l_memory=None, r2l_trg_mask=None):
        """
        解码器第一层子层
        把Decoder的输入数据x和经过一个Masked Multi-Head Attention处理后的first_x_attn送入第一个残差网络进行处理得到first_x
        """
        first_x = self.sublayer_connection_list[0](x, lambda first_x_attn: self.attn(x, x, x, trg_mask))

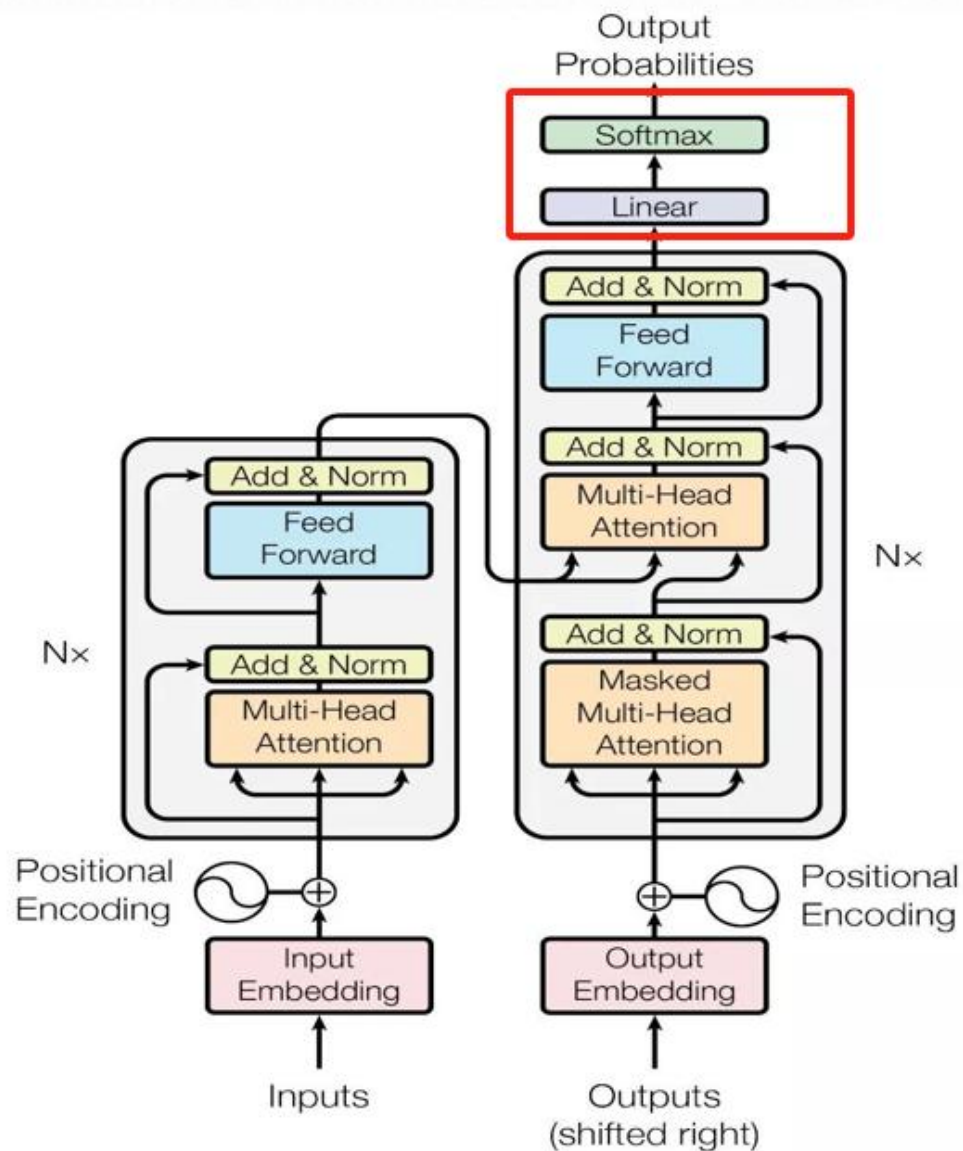
        """
        解码器第二层子层
        把第一层子层得到的first_x和
        经过一个Multi-Head Attention处理后的second_x_attn (由first_x和Encoder的输出进行自注意力计算)
        送入第二个残差网络进行处理
        """
        second_x = self.sublayer_connection_list[1](first_x,
            lambda second_x_attn: self.attn(first_x, l2r_memory, l2r_memory,
            src_mask))

        return self.sublayer_connection_list[-1](second_x, self.feed_forward)
```

```
class R2LDecoder(nn.Module):
    """
    n个含有R2L自注意计算的解码层, 该解码层只有3个残差网络
    """
    def __init__(self, n_layers, decoder_layer):
        """
        :param n_layers: Decoder层的层数
        :param decoder_layer: 初始化的Decoder层
        """
        super(R2LDecoder, self).__init__()
        self.decoder_layer_list = clone_module_to_modulelist(decoder_layer, n_layers)

    def forward(self, x, memory, src_mask, trg_mask):
        for decoder_layer in self.decoder_layer_list:
            # 没有传入r2l_memory和r2l_trg_mask, 默认值为None, 即该Decoder只有3个残差网络
            x = decoder_layer(x, memory, src_mask, trg_mask)
        return x
```





```
class WordProbGenerator(nn.Module):
```

```
    """
```

```
    文本生成器, 即把Decoder层的输出通过最后一层softmax层变化为词概率
```

```
    """
```

```
def __init__(self, d_model, vocab_size):
```

```
    """
```

```
    :param d_model: 词向量维度
```

```
    :param vocab_size: 词典大小
```

```
    """
```

```
    super(WordProbGenerator, self).__init__()
```

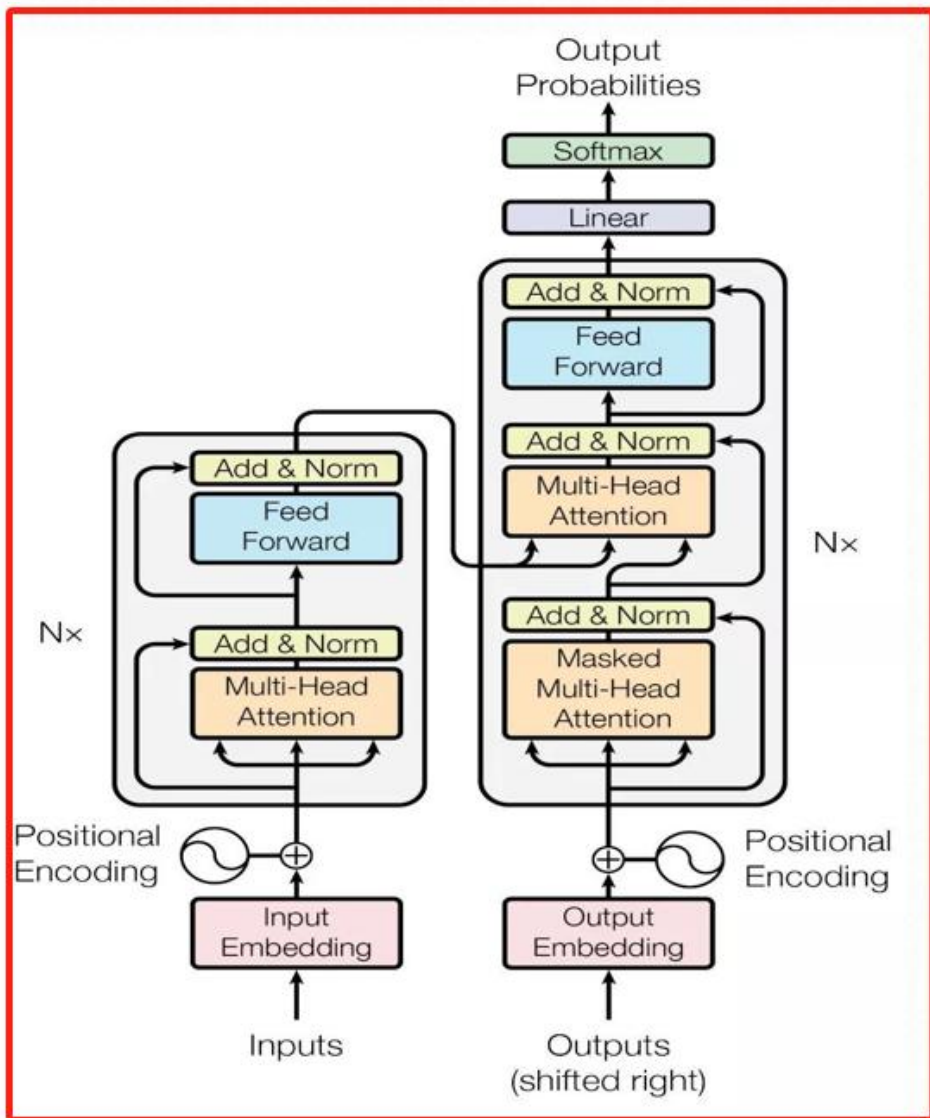
```
    # 通过线性层的映射, 映射成词典大小的维度
```

```
    self.linear = nn.Linear(d_model, vocab_size)
```

```
def forward(self, x):
```

```
    # 通过softmax函数对词概率做出估计
```

```
    return F.log_softmax(self.linear(x), dim=-1)
```



```
class Transformer(nn.Module):
    def __init__(self, src_vocab, trg_vocab, d_model, N, heads, dropout):
        super().__init__()
        self.encoder = Encoder(src_vocab, d_model, N, heads, dropout)
        self.decoder = Decoder(trg_vocab, d_model, N, heads, dropout)
        self.out = nn.Linear(d_model, trg_vocab)

    def forward(self, src, trg, src_mask, trg_mask):
        e_outputs = self.encoder(src, src_mask)
        #print("DECODER")
        d_output = self.decoder(trg, e_outputs, src_mask, trg_mask)
        output = self.out(d_output)
        return output
```

**谢谢观看**