Malmquist Safety Suite: Algorithm 1 PRD

Algorithm 1: UCCA Identification (Directly from thesis p. 100)

```
1. Algorithm 1: UCCA Identification
2.
3. Input: A, C^int, S
4. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)
5. // A: what controller can provide what control action (Tuple)
6. // C^int: set of interchangeable controllers (Set)
7. // S: special interactions to consider in refinement (Tuple)
8.
9. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*
10. 2. for x ∈ C^abs**
11. 3. if Context(C_x^abs) ≠ Ø**
12. 4. U^abs = U^abs ∪ (C_x^abs, context_x, U_x^rel)**
13. 5. for x ∈ U^abs*
14. 6. C_x^ref ← Refine-Combinations(U_x^abs, A, S) // [Equation (17)]*
15. 7. C_x^ref ← Prune-Equivalent(C_x^ref, C^int) // [Equation (21)]*
16. 8. U_x^ref ← Prioritize(C_x^ref, S) // [Heuristic, see discussion]*
17. 9. return (U^abs, U^ref)*
18.
19. // * Step automated; ** Step performed by human
20.
```

Line 1: Automated Enumeration of Control Action Combinations

```
1. Input: A, C^int, S

2. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)

3. // A: what controller can provide what control action (Tuple)

4. // C^int: set of interchangeable controllers (Set)

5. // S: special interactions to consider in refinement (Tuple)

6.

7. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*

8. 2. for x ∈ C^abs**

9. 3. if Context(C_x^abs) ≠ Ø**

10. 4. U^abs = Ū^abs ∪ (C_x^abs, context_x, U_x^rel)**

11. 5. for x ∈ U^abs*

12. 6. C_x^ref ← Refine-Combinations(U_x^abs, A, S) // [Equation (17)]*

13. 7. C_x^ref ← Prune-Equivalent(C_x^ref, C^int) // [Equation (21)]*

14. 8. U_x^ref ← Prioritize(C_x^ref, S) // [Heuristic, see discussion]*

15. 9. return (U^abs, U^ref)*

16. // * Step automated; ** Step performed by human

17.
```

```
1. FUNCTION Enumerate-Combinations(A)
      combinations = \emptyset
      N = A.Controllers // vector of controllers
4.
      M = A.ControlActions // vector of control actions
6.
      // Abstraction 2a Type 1-2
7.
      FOR EACH u a ∈ M
         FOR EACH u b \in M WHERE b \neq a
8.
9.
             combinations.add({
10.
                 a. \neg u_a \land \neg \exists u_b
                 b. \neg u \ a \land \exists u \ b
11.
12.
                c. u a ∧ ¬∃u b
                 d. u a∧∃u b
13.
14.
              \} given U_a(c_N), U_b(c_N)
15.
          END FOR
16.
       END FOR
17.
18.
       // Abstraction 2b Type 1-2
19.
       FOR EACH u a ∈ M
20.
          FOR EACH c i ∈ N
21.
             combinations.add({
                 a. \ \neg U\_a(c\_i) \land \neg \exists c\_j \ U\_a(c\_j)
22.
23.
                 b. U_a(c_i) \land \neg \exists c_j U_a(c_j)
24.
                 c. U_a(c_i) \land \exists c_j U_a(c_j)
25.
              \} given i \neq j \in N)
26.
          END FOR
27.
       END FOR
28.
       // Abstraction 2a Type 3-4 (LTL temporal patterns)
29.
30.
       FOR EACH u a \in M
          FOR EACH u b \in M WHERE b \neq a
31.
32.
             combinations.add({
33.
                 a. \exists u \ b[(\neg u \ a \land \neg u \ b) \ U \ (u \ a \land \neg u \ b) \ F \ u \ b]
34.
                 b. \exists u_b[(\neg u_a \land u_b) \cup (u_a \land u_b) \land \neg u_b]
35.
                 c. \exists u \ b[(u \ a \land \neg u \ b) \ U (\neg u \ a \land \neg u \ b) \ F \ u \ b]
36.
                 d. \exists u \ b[(u \ a \land u \ b) \ U \ (\neg u \ a \land u \ b) \ F \neg u \ b]
37.
                 e. \exists u \ b[(\neg u \ a \land \neg u \ b) \ U (\neg u \ a \land u \ b) \ F \ u \ a]
38.
                 f. \exists u \ b[(\neg u \ a \land u \ b) \ U \ (\neg u \ a \land \neg u \ b) \ F \ u \ a]
39.
                 g. \exists u_b[(u_a \land \neg u_b) U (u_a \land u_b) F \neg u_a]
40.
                 h. \exists u_b[(u_a \land u_b) \cup (u_a \land \neg u_b) \land \neg u_a]
41.
              } given U_a(c_N), U_b(c_N))
          END FOR
42.
43.
       END FOR
```

```
44.
45.
                                                            // Abstraction 2b Type 3-4
                                                                 FOR EACH u a ∈ M
46.
47.
                                                                                            FOR EACH c_i ∈ N
                                                                                                                    combinations.add({ a. (\neg U\_a(c\_i) \land \neg U\_a(c\_j)) \lor U(U\_a(c\_i) \land \neg U\_a(c\_j)) \lor U\_a(c\_j)} b. (\neg U\_a(c\_i) \land U\_a(c\_j)) \lor U(U\_a(c\_i) \land U\_a(c\_j)) \lor (U\_a(c\_j)) \lor U(\neg U\_a(c\_j)) \lor 
48.
49.
50.
51.
52.
53.
                                                                                                                           \} given i \neq j \in N
                                                                                                END FOR
54.
55.
                                                                 END FOR
56.
57. RETURN combinations
58. END FUNCTION
```

Lines 2-4: Human-Identified Context of UCCAs

```
1. Algorithm 1: UCCA Identification
3. Input: A, C^int, S
4. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)
5. // A: what controller can provide what control action (Tuple)
6. // C^int: set of interchangeable controllers (Set)
7. // S: special interactions to consider in refinement (Tuple)
9. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*
10. 2. for x \in C^abs^*
11. 3. if Context(C_x^abs) \neq \emptyset**
           U^abs = U^abs \cup (C_x^abs, context_x, U_x^rel)^**
13. 5. for x \in U^abs^*
14. 6. C x^ref ← Refine-Combinations(U x^abs, A, S) // [Equation (17)]*
15. 7. C_x^ref \leftarrow Prune-Equivalent(C_x^ref, C^int) // [Equation (21)]^*
16. 8. U x^ref \leftarrow Prioritize(C x^ref, S) // [Heuristic, see discussion]*
17. 9. return (U^abs, U^ref)*
19. // * Step automated; ** Step performed by human
```

Pseudocode:

39.

```
Pseudocode(A): Data Structure
1. // Helper function to normalize text for consistent hashing.
2. FUNCTION NormalizeText(STRING text)
3. RETURN text.Trim().ToLower().CollapseWhitespace()
4. END FUNCTION
6. // (Hazard, ControlAction, Controller, AbstractedCombination, StructuredContext classes remain the same)
8. CLASS UnsafeCombination (UCCA)
9. STRING UCCA ID
                                 // The unique key, potentially salted after a collision.
    AbstractedCombination SourceCombination
11.
     StructuredContext Context
    LIST<Hazard> LinkedHazards
   LIST<ControlAction> RelevantActions
13.
14.
    STRING AnalystID
    DATETIME Timestamp
15.
16.
    // **ENHANCEMENT**: Now accepts an optional salt for collision recovery.
17.
    FUNCTION GenerateUniqueKey(STRING salt = "")
18.
19.
       STRING contextWhen = NormalizeText(Context.When)
20
       STRING contextWhy = NormalizeText(Context.WhyUnsafe)
       STRING contextConstraint = NormalizeText(Context.ConstraintViolated)
21.
22.
23.
       STRING contextKey = HASH(contextWhen + contextWhy + contextConstraint)
24.
       STRING hazardsKey = GENERATE_KEY_FROM_SORTED_LIST(LinkedHazards.GetIDs())
25.
       STRING actionsKey = GENERATE KEY FROM SORTED LIST(RelevantActions.GetIDs())
26.
27.
       RETURN HASH(SourceCombination.ID + contextKey + hazardsKey + actionsKey + salt)
28.
     END FUNCTION
29.
30.
    // **ENHANCEMENT**: IsEqualTo now includes the ConstraintViolated field for parity with the key.
31.
     FUNCTION IsEqualTo(UCCA otherUCCA)
32.
       BOOL sourceMatch = (this.SourceCombination.ID == otherUCCA.SourceCombination.ID)
       33.
34.
                 NormalizeText(this.Context.WhyUnsafe) == NormalizeText(otherUCCA.Context.WhyUnsafe) AND
35.
                  NormalizeText(this.Context.ConstraintViolated) == NormalizeText(otherUCCA.Context.ConstraintViolated))
       BOOL hazardsMatch = ARE SETS EQUAL(this.LinkedHazards.GetIDs(), otherUCCA.LinkedHazards.GetIDs())
36.
37.
       BOOL actionsMatch = ARE SETS EQUAL(this.RelevantActions.GetIDs(), otherUCCA.RelevantActions.GetIDs())
38.
```

RETURN sourceMatch AND contextMatch AND hazardsMatch AND actionsMatch

```
40. END FUNCTION
41. END CLASS
42.
43. //**NEW**: A dedicated result class to make the workflow explicit.
44. CLASS ReviewResult
45. BOOL IsUnsafe, IsSafe, IsDeferred
46. LIST<UCCA> UCCAs
47. SafeReviewedCombination SafeCombination
48. END CLASS
49.
```

Pseudocode(B): Identify Unsafe Combinations

```
2. // FUNCTION: IdentifyUnsafeCombinations (Final Version)
3. // PURPOSE: Orchestrates the complete human-in-the-loop process with all
         enhancements, including the corrected bulk-triage logic.
6. FUNCTION IdentifyUnsafeCombinations (LIST<AbstractedCombination> potentialCombinations, LIST<Hazard> projectHazards,
STRING currentAnalystID)
    // --- Initialize Data Stores ---
    LIST<UnsafeCombination> identifiedUCCAs = NEW LIST()
9. LIST<SafeReviewedCombination> safeCombinations = NEW LIST()
10. MAP<STRING, UCCA> uccaMap = NEW MAP()
     SET<STRING> reviewedCombinationIDs = NEW SET()
11.
12.
13.
     // --- Main UI Initialization ---
     UI.DisplayUCCAAnalysisDashboard(potentialCombinations, identifiedUCCAs, safeCombinations)
14.
15.
16.
    // --- 1. Bulk Triage Path (Corrected Logic) ---
     // The UI dashboard allows the analyst to multi-select rows and apply a "Mark Selected as Safe" action.
     LIST<AbstractedCombination> combinationsToBulkMarkSafe = UI.GetBulkSafeSelections()
18.
19.
20.
     FOR EACH combo IN combinationsToBulkMarkSafe
       SafeReviewedCombination safeCombo = NEW SafeReviewedCombination()
21.
22.
       safeCombo.SourceCombination = combo
23.
        safeCombo.AnalystID = currentAnalystID
24.
        safeCombo.Timestamp = GetCurrentTimestamp()
25.
       safeCombo.Justification = "Marked safe in bulk triage."
26.
27.
       safeCombinations.Add(safeCombo)
28.
       // **CORRECTION**: Add the combo ID to the reviewed set to prevent it from
30.
       // reappearing for individual review later in this session.
31.
       reviewedCombinationIDs.Add(combo.ID)
32.
33.
34.
     // Refresh the dashboard to reflect the updated status of bulk-reviewed items.
     IF combinationsToBulkMarkSafe IS NOT EMPTY
35.
       UI.RefreshDashboard()
37.
    END IF
38.
39.
     // --- 2. Individual Review Path ---
     // Loop through every potential combination for detailed analysis.
41.
     FOR EACH combination IN potentialCombinations
42.
        // Skip any combination that was already processed via the bulk action.
43.
       IF reviewedCombinationIDs.Contains(combination.ID)
          CONTINUE LOOP
44.
45.
46.
47.
       // Prompt the analyst for a detailed review of the specific combination.
48.
       ReviewResult result = UI.PromptForAnalysis(combination, projectHazards, currentAnalystID, uccaMap)
49.
50.
51.
          // Add any newly defined UCCAs to the master list and the key map.
52.
          FOR EACH ucca IN result.UCCAs
```

```
53.
             identifiedUCCAs.Add(ucca)
54.
             uccaMap.Put(ucca.UCCA ID, ucca)
55.
          END FOR
          reviewedCombinationIDs.Add(combination.ID)
56.
          UI.UpdateCombinationStatus(combination.ID, "Reviewed - Unsafe")
57.
58.
        ELSE IF result.IsSafe
59
          // Add the explicitly marked-safe combination to the safe list.
60.
          safeCombinations.Add(result.SafeCombination)
61.
          reviewedCombinationIDs.Add(combination.ID)
          UI.UpdateCombinationStatus(combination.ID, "Reviewed - Safe")
62.
63.
        // If the result is IsDeferred, the combination remains pending, and no status is updated.
64.
     END FOR
65.
66.
     RETURN (identifiedUCCAs, safeCombinations)
68. END FUNCTION
```

Pseudocode(C): UI Screen

```
// ... (Dashboard display methods) ...
    STATIC FUNCTION PromptForAnalysis(AbstractedCombination combo, LIST<Hazard> hazards, STRING analystID,
MAP<STRING, UCCA> existingUCCAMap)
5.
       LIST<UCCA> identifiedUCCAsForThisCombo = NEW LIST()
6.
7.
       WHILE TRUE
8.
         // ... (Display logic for the combination) ...
9
10.
          // **ENHANCEMENT**: Clearer button labels for an explicit workflow.
          STRING userAction = ShowButtons(["Add Unsafe Context", "Mark as Safe", "Cancel / Return"])
11.
12.
          IF userAction == "Cancel / Return"
13.
            // **ENHANCEMENT**: Return deferred status to keep item pending.
14.
            RETURN NEW ReviewResult(IsDeferred=TRUE, UCCAs=identifiedUCCAsForThisCombo)
15.
16.
17.
          ELSE IF userAction == "Mark as Safe"
18.
            // ... (Logic for creating and returning a SafeReviewedCombination) ...
19.
20.
          ELSE IF userAction == "Add Unsafe Context"
21.
            // ... (Logic to gather context, hazards, relevantActions, including validation hook) ...
22.
23.
            UCCA tempUCCA = NEW UnsafeCombination()
24.
            // ... (Populate tempUCCA with user input) ...
25.
26.
            STRING newKey = tempUCCA.GenerateUniqueKey()
27.
            // **ENHANCEMENT**: Full De-duplication and Hash Collision Handling.
28.
29.
            IF existingUCCAMap.ContainsKey(newKey)
30.
              UCCA existingUCCA = existingUCCAMap.Get(newKey)
31.
              IF tempUCCA.IsEqualTo(existingUCCA) // Deep comparison
32.
33.
                 ShowAlertDialog("Duplicate Context: This exact context already exists.")
34.
                 CONTINUE LOOP // Prevent saving duplicate.
35.
              ELSE
36.
                 // HASH COLLISION DETECTED
                 LOG WARNING("Hash collision detected on key: " + newKey + ". Attempting auto-recovery with salt.")
37.
38.
39.
                 // **ENHANCEMENT**: Auto-recovery attempt.
40.
                 STRING saltedKey = tempUCCA.GenerateUniqueKey(salt=" collision retry 1")
41.
42.
                 IF existing UCCAMap. Contains Key (salted Key)
                   // Collision on the salted key is astronomically rare and requires manual intervention.
43.
44.
                   ShowCriticalError("CRITICAL ERROR: Unrecoverable hash collision. Please contact system administrator.")
45.
                   LOG_ERROR("Unrecoverable hash collision on salted key: " + saltedKey)
```

```
46.
                   CONTINUE LOOP // Halt this save.
47.
                   newKey = saltedKey // Use the salted key for this UCCA.
48.
49.
                 END IF
50.
               END IF
51.
            END IF
52.
            // If we passed the checks, finalize and add the UCCA. tempUCCA.UCCA_ID = newKey
53.
54.
            tempUCCA.AnalystID = analystID
55.
56.
            tempUCCA.Timestamp = GetCurrentTimestamp()
            identifiedUCCAsForThisCombo.Add(tempUCCA)
57.
58.
59.
            // Add key to the map immediately to prevent duplicates within the same session.
60.
            existingUCCAMap.Put(newKey, tempUCCA)
61.
62.
            ShowSuccessMessage("Unsafe context added.")
63.
          END IF
        END WHILE
64.
65.
     END FUNCTION
66. END CLASS
67.
```

Line 5-6: Automated Refinement of UCCAs:

```
1. Algorithm 1: UCCA Identification
2.
3. Input: A, C^int, S
4. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)
5. // A: what controller can provide what control action (Tuple)
6. // C^int: set of interchangeable controllers (Set)
7. // S: special interactions to consider in refinement (Tuple)
8.
9. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*
10. 2. for x ∈ C^abs**
11. 3. if Context(C_x^abs) ≠ Ø**
12. 4. U^abs = U^abs ∪ (C_x^abs, context_x, U_x^rel)**
13. 5. for x ∈ U^abs*
14. 6. C_x^ref ← Refine-Combinations(U_x^abs, A, S) // [Equation (17)]*
15. 7. C_x^ref ← Prioritize(C_x^ref, C^int) // [Equation (21)]*
16. 8. U_x^ref ← Prioritize(C_x^ref, S) // [Heuristic, see discussion]*
17. 9. return (U^abs, U^ref)*
18.
19. // * Step automated; ** Step performed by human
20.
```

```
3. * DEFINITIVE DATA STRUCTURE DEFINITIONS
7. // Defines the authority mapping for the collaborative system. (Cross-ref: Thesis Alg. 1 Input A)
8. STRUCTURE AuthorityTuple
9. STRING LIST Controllers
10. STRING LIST ControlActions
11. BOOLEAN MATRIX AuthorityMatrix
12. END STRUCTURE
13.
14. // Defines a special interaction rule. (Cross-ref: Thesis Alg. 1 Input S)
15. STRUCTURE SpecialInteractionRule
16. STRING_LIST InputControlActions
17. STRING InputVerb
18. STRING RuleLogic
19. STRING OutputVerb
20. END STRUCTURE
22. // Represents a high-level, abstracted UCCA.
23. STRUCTURE AbstractUCCA
24. INTEGER Id
25. STRING AbstractionType
26. STRING UCCAType
27. STRING_LIST AbstractControllers
28. STRING_LIST AbstractActions29. STRING_LIST Verbs
30. STRING Context
31. STRING LIST RelevantActions
32. END STRUCTURE
34. ENUM TemporalVerb { START, END }
36. // NOTE: AFTER and OVERLAP are included for future expansion and are not used by the current algorithm.
37. ENUM TemporalRelation { BEFORE, AFTER, OVERLAP }
39. STRUCTURE TemporalEvent
40. STRING Controller
```

```
41. STRING Action
42. TemporalVerb Verb
43. INTEGER SequencePosition
44. END STRUCTURE
45.
46. STRUCTURE RefinedUCCA
47. STRING Id
48. // For T12, this is a MAP<STRING, STRING> (StateCombination).
49. // For T34, this is a TEMPORAL EVENT LIST (TemporalSequence).
50. VARIANT Combination
51. TemporalRelation Relation // Populated for T34 UCCAs.52. STRING Context
53. END STRUCTURE
54.
55. // ... (Main function RefineAllAbstractUCCAs and the dispatcher RefineSingleUCCA remain unchanged) ...
57./*********************************
58. *
59. * REFINEMENT HELPER FUNCTIONS
60. *
63. // ... (RefineType12_Abs2a, RefineType12_Abs2b, RefineType34_Abs2a, RefineType34_Abs2b remain unchanged) ...
64.
67. *
68. * UTILITY FUNCTIONS
71.
72. FUNCTION ParseTemporalVerb(STRING verbString) -> TemporalVerb
73. // Provides robust, fail-fast parsing of verb strings.
74. IF verbString == "starts" THEN RETURN TemporalVerb.START
75. ELSE IF verbString == "ends" THEN RETURN TemporalVerb.END
76.
    ELSE
      THROW InvalidTemporalVerbException("Unknown verb: "" + verbString + "'. Must be 'starts' or 'ends'.")
77.
78.
    END IF
79. END FUNCTION
80.
81. FUNCTION DetermineUccaCategory(STRING LIST verbs) -> STRING
82. // This helper must be robust to verb order.
83.
84. INTEGER providesCount = verbs.count("provides")
85. INTEGER notProvidesCount = verbs.count("not provides")
86.
87. // FIX: Added assertion to fail-fast on malformed input.
88. ASSERT (providesCount + notProvidesCount) == verbs.size() AND verbs.size() == 2, "DetermineUccaCategory expects a list of
exactly two 'provides'/'not provides' verbs."
89.
90. IF providesCount == 0
91.
      RETURN "gap"
92. ELSE IF providesCount == 1
93.
      RETURN "mismatch"
94. ELSE // providesCount > 1
95.
      RETURN "overlap"
96. END IF
97. END FUNCTION
99. FUNCTION GenerateAllConcreteCombinations(AuthorityTuple authority) -> ITERABLE<CONCRETE COMBINATION>
100. // Implements the set P from Eq. (17). (Cross-ref: Thesis, pg. 104)
101. // NOTE: This should be implemented as a generator/iterator to keep memory usage flat for large systems.
102. // The implementation MUST only generate combinations where a "provides" verb is used if
103. // authority.AuthorityMatrix allows it, preventing the creation of infeasible states. 104. // ...
105. END FUNCTION
```

106.
107. // (Other utility functions like GetCanonicalSignature, etc.)
108.
109. /***********************************
110. *
111. * SUGGESTED UNIT TESTS
112. * A comprehensive test harness would validate the algorithm's core properties:
113.*
114. * 1. Jam-Interference Rule Test: Confirms that a special interaction rule correctly maps a concrete
115. * overlap to a collective "gap" for both Abstraction 2a and 2b UCCAs.
116. *
117. * 2. RelevantActions Filter Test: A property-based test confirming that adding an irrelevant
118. * control action to an abstract UCCA's definition has zero impact on the final set of
119. * refined UCCAs.
120. *
121. * 3. Interchangeable Pruning Test: Confirms that for any pair of interchangeable controllers
122. * (c_i, c_j), a refined combination and its commuted version appear exactly once.
123. *
124. * 4. Pruning Fuzz Test: A property-based test that generates random controller groups and
125. * interchangeable sets, then asserts that no two items in the pruned output map to the
126. * same canonical signature, ensuring the pruning logic is robust.
127. *
128. ************************************
129.

Lines 7: Automated Pruning of Additional Equivalent Combinations

```
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3. Input: A, C^int, S
4. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)
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6. // C^int: set of interchangeable controllers (Set)
7. // S: special interactions to consider in refinement (Tuple)
9. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*
10. 2. for x \in C^abs^*
11. 3. if Context(C_x^abs) \neq \emptyset^**
          U^abs = U^abs \cup (C x^abs, context x, U x^rel)**
13. 5. for x \in U^abs^*
14. 6. C_x^ref \leftarrow Refine-Combinations(U_x^abs, A, S) // [Equation (17)]*
15. 7. C x^ref \leftarrow Prune-Equivalent(C x^ref, C^int) // [Equation (21)]^*
16. 8. U x^ref \leftarrow Prioritize(C x^ref, S) // [Heuristic, see discussion]*
17. 9. return (U^abs, U^ref)*
19. // * Step automated; ** Step performed by human
```

```
2. * MODULE: UCCA RefineAndPrune
3. *
4. * Implements Algorithm 1 (Chapter 4 of Kopeikin PhD thesis) — Lines 5-7
5. * ------
6. *
   • Line 5 — iterate over each abstract UCCA (done in caller)
7. *
    • Line 6 — RefineCombinations (Eq. 17) → Cxref
8. *
    • Line 7 — PruneEquivalentCombinations (Eq. 21) → Cxref
9. *
10. * Scope
11. * ---
12. * • Supports Type 1-2 UCCAs (simultaneous "provide / not-provide" cases).
13. * • Type 3-4 (temporal permutations) is SAFELY GUARDED with NotImplementedException.
14. *
15. * Complexity
16. * -----
17. * • Refinement : O(2P) where P = authorised (controller, action) pairs.
18. * • Pruning : O(M) where M = |Cxref| (canonical-signature hash).
19. *
20. * Key symbols (directly from thesis):
21. * A = AuthorityTuple (N, M, A matrix)
     S = set of special-interaction rules (tuple (U, Tin, \Sigma, Tout))
     Cint= set of interchangeable-controller groups
25.
26.
27.
                                         — LINE 6 — REFINEMENT –
30. FUNCTION RefineCombinations(abstractedUcca, authorityTuple, specialInteractions)
31. /*
     * Returns Set<RefinedUCCA> (Cxref x in thesis)
32.
     * Implements Eq. (17): U \{Pe \mid Pe' \equiv Uabs' \}
33.
34.
35.
    primitiveSpace ← GeneratePrimitiveCombinations(authorityTuple,
36.
                           abstractedUcca.getType())
37.
38.
    refined \leftarrow new Set()
39.
    FOR EACH primitive IN primitiveSpace
40.
```

```
41.
        IF abstractedUcca.isType34() THEN
42.
           collective ← CalculateUnionOfEfforts(primitive)
                                                                  // S ignored
43.
44.
          collective ← ApplySpecialInteractions(primitive, specialInteractions)
45.
46.
47.
        IF IsEquivalentToAbstractedUCCA(collective, abstractedUcca) THEN
48.
          refined.add(primitive)
49.
        END IF
50.
     END FOR
51.
52.
      RETURN refined
53. END FUNCTION
54.
55.
56.
                                Primitive-space generator —
57./*
58.
59. FUNCTION GeneratePrimitiveCombinations(authorityTuple, uccaType)
60.
     IF uccaType == TYPE_1_2 THEN
        authorised Pairs \leftarrow authority Tuple.get Authorised Pairs \textcolor{red}{()}
61.
                                                                    // (ci,aj)
        primitiveSet ← new Set()
62.
                  \leftarrow 2^(authorisedPairs.length)
63.
                                                            // bitmask loop
64.
65.
        FOR mask = 0 TO limit-1
66.
          pc ← new RefinedUCCA()
           FOR idx = 0 TO authorisedPairs.length-1
67.
68.
             pair ← authorisedPairs[idx]
69.
             isProvided \leftarrow ((mask >> idx) & 1) == 1
70.
             pc.setEffort(pair.controller, pair.action, isProvided)
71.
           END FOR
          primitiveSet.add(pc)
72.
        END FOR
73.
74.
75.
        RETURN primitiveSet
                                                           // 2P combos
76.
      ELSE IF uccaType == TYPE 3 4 THEN
77.
78.
        THROW new NotImplementedException(
79.
            "Temporal permutation generation for Type 3-4 UCCAs not yet implemented." +
            "See thesis §4.2.3, Eq.(19-20) for algorithmic requirements.")
80.
81. END IF
82. END FUNCTION
83.
84.
85.
86./*-
                                     S-rule evaluator —
87.
88. FUNCTION ApplySpecialInteractions(primitiveCombination, specialInteractionRules)
89. /*
      * Each rule S = \langle U, Tin, \Sigma, Tout \rangle
90.
91.
      * Tin — input effort pattern
92.
      * Σ — predicate
93.
      * Tout — rewritten collective output
94.
95. collective ← CalculateUnionOfEfforts(primitiveCombination)
96.
97. FOR EACH rule IN specialInteractionRules
98.
        IF rule.appliesTo(primitiveCombination) THEN
99.
          collective ← rule.transform(collective)
100.
         END IF
101. END FOR
102. RETURN collective
103. END FUNCTION
104.
105.
106.
```

```
——— Abstract-vs-collective test —
109. FUNCTION IsEquivalentToAbstractedUCCA(collectiveOutput, abstractedUcca)
     relevant ← abstractedUcca.getRelevantActions()
110.
111.
112.
      // Early rejection
113.
      IF NOT collectiveOutput.hasAllActions(relevant) THEN RETURN FALSE
114.
115.
      FOR EACH action IN relevant
        IF abstractedUcca.isProvided(action) \neq collectiveOutput.isProvided(action) THEN
116.
117.
           RETURN FALSE
118.
         END IF
119. END FOR
120. RETURN TRUE
121. END FUNCTION
122.
123.
124.
                               _____ LINE 7 — PRUNING (O(M)) —
125./*-
126.
127. FUNCTION PruneEquivalentCombinations(refinedUccaSet, interchangeableControllerSets)
      controllerToSet ← buildControllerToSetMap(interchangeableControllerSets)
129.
130.
      unique ← new Set()
131.
      seenHash \leftarrow new Set()
132.
      ordered ← convertSetToSortedList(refinedUccaSet)
                                                         // deterministic output
133.
134.
      FOR EACH ucca IN ordered
135.
         sig ← GenerateCanonicalSignature(ucca, controllerToSet)
136.
        IF NOT seenHash.contains(sig) THEN
           seenHash.add(sig)
137.
           unique.add(ucca)
138.
         END IF
139.
140. END FOR
      RETURN unique
                                               // Cxref
141.
142. END FUNCTION
143.
144.
145.
                 ——— Canonical signature (implements thesis Eq. 21) —
146. /*-
147.
148. FUNCTION GenerateCanonicalSignature(ucca, controllerToSetMap)
149. /*
      * Eq. 21 equivalence:
150.
       * 1) swap efforts of any c_i,c_j ∈ same Cint_z
151.
152.
       * 2) others identical
       * -> We sort efforts *within* each interchangeable set and
153.
154.
       * list non-interchangeables verbatim → identical hashes for equivalents.
155.
156.
      nonInt ← new List()
                             // groupID → List<string>
157.
      intSets ← new Map()
158.
159.
      FOR EACH ctrl IN ucca.getAllControllers()
160.
         vector ← GetControlEfforts(ucca, ctrl).toString()
161.
         IF controllerToSetMap.hasKey(ctrl) THEN
162.
           gid \leftarrow controllerToSetMap.get(ctrl).getID()
163.
           intSets.setdefault(gid, new List()).add(vector)
164.
         ELSE
165.
           nonInt.add(ctrl + ":" + vector)
166.
         END IF
167.
      END FOR
168.
169.
      sort(nonInt)
                                      // \alpha-order for determinism
170.
      groupStrings ← new List()
171.
      FOR EACH gid IN intSets.getKeys().sort()
172.
         list ← intSets[gid]; sort(list)
                                     // sort efforts in group
```

```
173.
         groupStrings.add("G" + gid + ":" + list.join("|"))
174.
175.
      RETURN nonInt.join(";") + ";" + groupStrings.join(";")
176.
177. END FUNCTION
178.
179.
180.
                                                — Helper utilities
182.
183. FUNCTION buildControllerToSetMap(interchangeableSets)
     map \leftarrow new Map()
185. id \leftarrow 0
186. FOR EACH s IN interchangeableSets
        FOR EACH c IN s
188.
           map[c] = \{ set: s, id: id \}
189.
        END FOR
190.
        id += 1
191. END FOR
192. RETURN map
193. END FUNCTION
194.
195. FUNCTION GetControlEfforts(ucca, controllerID)
196. RETURN ucca.efforts[controllerID]
                                             // thin accessor
197. END FUNCTION
```

Developer Notes & How-To

```
File Layout
1. src/
— AuthorityTuple.ts // typedefs & matrix utilities
                      // AbstractUCCA, RefinedUCCA classes
4.

    UCCA Core.ts

      refine_prune.ts // **DROP CODE ABOVE HERE**
5.
6.
      - tests/
7.
       ucca table4 15.spec.ts
8.
Special Interaction Rule API
1. interface SpecialInteractionRule {
2. appliesTo(pc: RefinedUCCA): boolean // match on Tin + \Sigma
3. transform(out: CollectiveOutput): CollectiveOutput // apply Tout
5.
```

See thesis §4.2.2 for the full tuple (U, Tin, Σ , Tout).

Unit Test (Table 4-15)

- Reproduce the seven primitives (4.1–4.7) for "Team ¬TRACK ∧ STRIKE".
- PruneEquivalentCombinations must drop 4.3 & 4.5 only.
- Add to CI; runtime O(2p)=128 combinations in thesis example.

Layer Worst-case Notes

GeneratePrimitiveCombinations 2^P

P=#authorised (c,a) pairs; guards quickly for $p \le 15$ in UAV case.

Layer	Worst-case		Notes
ApplySpecialInteractions	O(#rules) per primitive	Usually ≤ 10 .	
PruneEquivalentCombinations	O(M)	M=	

Extending to Type 3-4

- Replace the NotImplementedException with a permutation generator:
 - Enumerate **temporal events** (controller, action, START/END).
 - Filter permutations against LTL patterns (§4.2.3, Eq. 19-20).
 - Apply same **S**-bypass rule.

Traceability

All major functions cite the exact thesis artefact they implement:

Function	Thesis reference
RefineCombinations	Eq. 17
Generate Primitive Combinations	§4.2.3 lines 34-46
ApplySpecialInteractions	$\S4.2.2 \text{ tuple } \langle U,Tin,\Sigma,Tout \rangle$
PruneEquivalentCombinations	Eq. 21
GenerateCanonicalSignature	Eq. 21, cond. 1-3

Lines 8-9: Automated Prioritization and Output of UCCAs

```
1. Algorithm 1: UCCA Identification
2.
3. Input: A, C^int, S
4. Output: U^abs, U^ref sets of UCCAs, abstracted & refined (Tuple)
5. // A: what controller can provide what control action (Tuple)
6. // C^int: set of interchangeable controllers (Set)
7. // S: special interactions to consider in refinement (Tuple)
8.
9. 1. C^abs ← Enumerate-Combinations(A) // [Table 4-14]*
10. 2. for x ∈ C^abs**
11. 3. if Context(C_x^abs) ≠ Ø**
12. 4. U^abs = U^abs ∪ (C_x^abs, context_x, U_x^rel)**
13. 5. for x ∈ U^abs*
14. 6. C_x^ref ← Refine-Combinations(U_x^abs, A, S) // [Equation (17)]*
15. 7. C_x^ref ← Prune-Equivalent(C_x^ref, C^int) // [Equation (21)]*
16. 8. U_x^ref ← Prioritize(C_x^ref, S) // [Heuristic, see discussion]*
17. 9. return (U^abs, U^ref)*
18.
19. // * Step automated; ** Step performed by human
20.
```

```
1. // Main algorithm entry point.
 ALGORITHM UCCA_Identification(
       IN authority_tuple,
4.
       IN interchangeable_controllers,
5.
       IN special interactions,
       IN enable prioritization = TRUE // Optional flag to control prioritization flow.
6.
7.)
       // ... Lines 1-7 of Algorithm 1 are executed first to produce ...
8.
      // 1. abstracted uccas: A set of UCCA tuples.
9.
      // 2. refined_and_pruned_uccas_map: A map where each key is an abstracted UCCA and its
10.
            value is the corresponding set of refined and pruned UCCAs (C_x_ref').
11.
12.
13.
       // This map holds the final output. The name reflects that its contents
14.
       // may be unordered if prioritization is disabled.
15.
       LET final refined uccas map = NEW MAP()
16.
17.
       // Corresponds to the loop starting at Line 5 of the source algorithm.
18.
       FOR EACH abstracted ucca IN abstracted uccas:
19.
          LET C x ref prime = refined and pruned uccas map.get(abstracted ucca)
20.
          LET U x ref // This will hold the final output structure for this iteration.
21.
          22.
23.
          // FINAL PSEUDOCODE FOR LINE 8: Automated Prioritization
24.
          25.
          IF enable_prioritization THEN
              // Prioritization is enabled; call the function.
26.
27.
             U_x_ref = Prioritize(C_x_ref_prime, special_interactions, abstracted_ucca)
28.
              // When disabled, the ordered_view is NULL, indicating original set order.
29.
30.
             U_x_ref = { set: C_x_ref_prime, ordered_view: NULL }
31.
32.
33.
          final_refined_uccas_map.put(abstracted_ucca, U_x_ref)
34.
35.
       36.
       // FINAL PSEUDOCODE FOR LINE 9: Automated Output
       // -----
37.
38.
       RETURN (abstracted_uccas, final_refined_uccas_map)
39.
40. END ALGORITHM
```

```
41.
42. //-----
43. // FINAL PRIORITIZE FUNCTION (ALGORITHM 1, LINE 8)
44. //-----
45. FUNCTION Prioritize(
46.
        IN C_x_ref_prime,
                                     // The refined, pruned UCCA set.
47.
        IN special_interactions,
                                     // The set S.
48.
        IN abstracted_ucca
                                      // The parent abstracted UCCA.
49.)
        // Implements the heuristic from the thesis.
50.
51.
52.
        // RETURNS: A structure containing:
53.
        // - {set}: The original, unordered set of UCCAs (C_x_ref_prime).
           - {ordered view}: A list of the UCCAs with human-readable priority labels,
 54.
55.
              sorted for presentation purposes. The ordering does not change the semantic
56.
              nature of the output, which is still a set (u_r^{ef}).
57.
58.
        LET temp_list = NEW LIST()
59.
        LET abstraction_type = abstracted_ucca.type
60.
        FOR EACH ucca IN C_x_ref_prime:
61.
62.
            LET priority_level = 1 // Default: High
63.
64.
            // --- Precedence Rule 1: Special Interactions (S) ---
65.
            // S rules have the highest precedence.
            // If multiple S rules match, choose the rule with the lowest numeric_priority (highest
66.
importance).
67.
            LET special priority = NULL
68.
            FOR EACH interaction IN special_interactions:
69.
                IF DoesInteractionApply(ucca, interaction) THEN
70.
                    IF special priority == NULL OR interaction.priority level < special priority
THEN
71.
                        special_priority = interaction.priority_level
                    END IF
72.
                FND TF
73.
74.
            END FOR
75.
76.
            IF special_priority != NULL THEN
77.
                priority_level = special_priority
78.
            FISE
79.
                // --- Precedence Rule 2: Default Heuristics ---
80.
                // Heuristic 1: Single controller cases are lowest priority.
                IF GetActiveControllerCount(ucca) == 1 THEN
81.
                    priority_level = 4 // Lowest
82.
                ELSE
83.
84.
                    action_counts = GetActionCounts(ucca)
85.
                    // Heuristic 2: Abstraction 2a defaults duplicates (>=2) to low priority.
                    // Exceptions must be handled by an S rule to be promoted.
86.
87.
                    IF abstraction_type == "Abstraction 2a" AND any count in action_counts >= 2
THEN
88.
                        priority_level = 3 // Low
                    // Heuristic 3: Abstraction 2b demotes triplicates (>=3) or more.
89.
                    ELSE IF abstraction_type == "Abstraction 2b" AND any count in action_counts >=
90.
3 THEN
91.
                        priority_level = 3 // Low
                    END IF
92.
93.
                FND TF
94.
            END IF
95.
            ADD {ucca: ucca, numeric_priority: priority_level} TO temp_list
96.
97.
        sorted_list = SortBy(temp_list, "numeric_priority", ASCENDING)
98.
99.
        LET ordered_view_with_labels = NEW_LIST()
100.
        FOR EACH item IN sorted_list:
```

```
ADD {ucca: item.ucca, priority: MapPriorityToString(item.numeric priority)} TO
ordered view with labels
102.
       END FOR
103.
104.
       RETURN { set: C x ref prime, ordered view: ordered view with labels }
105.
106. END FUNCTION
107.
108. //-----
109. // HELPER FUNCTION for mapping numeric priorities to strings.
110. //-----
111. // This mapping makes the output more intuitive for analysts.
112. // Mapping: 1 -> "High", 2 -> "Medium", 3 -> "Low", 4 -> "Lowest"
113. FUNCTION MapPriorityToString(IN numeric_priority)
114.
       SWITCH numeric priority:
           CASE 1: RETURN "High"
115.
           CASE 2: RETURN "Medium"
116.
117.
          CASE 3: RETURN "Low"
           CASE 4: RETURN "Lowest"
118.
119.
           DEFAULT: RETURN "Undefined"
120.
       END SWITCH
121. END FUNCTION
122.
123. //-----
124. // IMPLEMENTATION NOTES
125. //-----
126. // **Unit Testing:** A robust test suite is critical for this algorithm.
127. // 1. **Optionality Test:** Run the main algorithm twice on the same input, once with
128. //
          `enable_prioritization = TRUE` and once with `FALSE`. Assert that the output `set` is
129. //
          identical in both runs and that `ordered_view` is `NULL` only when the flag is `FALSE`.
130. // 2. **Heuristic Validation:**
131. //
          - Use the refined UCCAs from the MUM-T case study's **Table 5-5** as a test fixture.
         - Create a special interaction rule for the "two fix" case: `IF action_counts['fix'] ==
132. //
2 THEN priority = 2.
133. //
        - Assert that UCCA 10.5.4 is correctly assigned "Medium" (Priority 2).
         - Assert that UCCA 10.5.1 and 10.5.2 are assigned "High" (Priority 1).
134. //
135. //
          - Assert that UCCA 10.5.3, 10.5.5, and 10.5.6 are assigned "Low" (Priority 3).
136. // 3. **Special Interaction Precedence:** Create a test for a single-controller UCCA. Without
an `S`
          rule, assert its priority is "Lowest". With an `S` rule that promotes it to priority 1,
137. //
assert
          its priority is "High".
138. //
139.
```