

# The Design Smells Breaking the Boundary between Android Variants and AOSP

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**Abstract**—Phone vendors customize their Android variants to enhance system functionalities based on the Android Open Source Project (AOSP). While independent development, Android variants have to periodically evolve with the upstream AOSP and merge code changes from AOSP. Vendors have invested great effort to maintain their variants and resolve merging conflicts. In this paper, we characterize the design smells with recurring patterns that break the design boundary between Android variants and AOSP. These smells are manifested as problematic dependencies across the boundary, hindering Android variants' maintainability and co-evolution with AOSP. We propose the DroidDS for automatically detecting design smells. We collect 22 Android variant versions and 22 corresponding AOSP versions, involving 4 open-source projects and 1 industrial project. Our results demonstrate that: files involved in design smells consume higher maintenance costs than other files; these infected files are not merely the files with large code size, increased complexity, and object-oriented smells; the infected files have been involved in more than half of code conflicts induced by re-applying AOSP's changes to Android variants; a substantial portion of design issues could be *mitigable*. Practitioners can utilize our DroidDS to pinpoint and prioritize design problems for Android variants. Refactoring these problems will help keep a healthy coupling between diverse variants and AOSP, potentially improving maintainability and reducing conflict risks.

**Index Terms**—design smell, software maintenance, Android

## I. INTRODUCTION

In the Android ecosystem, mobile device vendors develop and maintain their Android variants based on Google's open-source mobile operating system (i.e., Android Open Source Project, AOSP [1]). For example, Honor MagicOS, Xiaomi MiUI, and Samsung One UI are built upon AOSP to enhance and customize features [2, 3]. While independent development, Android variants need to periodically keep in sync with the AOSP version, merging code changes from AOSP updates. Since Google releases new versions of AOSP frequently, Android variant updates have been routine activities. As revealed by recent studies [4, 5], half of the merge commits incur conflicts averaged on their investigated variants. It is a time-consuming and manually intensive task to re-apply AOSP changes to variants [6]. Android vendors have invested great

efforts in resolving conflicts and fixing incompatible code to maintain their versions.

To facilitate the maintainability and evolvability of an Android variant, its customized source code should unidirectionally depend on AOSP code via stable APIs, while keeping *a clear design boundary* between them [5]. However, diverse customization and limited update periods present challenges for Android variants to uphold this clear boundary. Design smells [7, 8] are the symptoms of problematic designs with software evolution, typically involving particular patterns of undesired dependencies among code elements. A plethora of work [9–11] has identified design smells in individual systems; however, the design smells in the Android ecosystem are distinct, particularly in breaking the boundary between AOSP maintained by Google and Android variants maintained by vendors. Despite being managed separately, an Android variant must be updated periodically with AOSP changes. In this ecosystem, design smells can disrupt the boundary between the AOSP and downstream variants, impeding the co-evolution of Android variants with AOSP and leading to code conflicts.

In this paper, we formally define the design smells and propose a detection approach namely DroidDS for Android variants. First, in terms of the code element composition (termed *ownership* [5]) of an Android variant, we design an Ownership-attributed Dependency Graph (ODG) to characterize the Android variant architecture co-evolving with AOSP. This architecture can be regarded as *a structure of two high-level modules that are typically managed separately*, i.e., the upstream module managed by AOSP and the downstream module managed by an Android vendor. Next, we summarize 3 design smells with 13 recurring patterns that erode the boundary between the upstream and downstream modules, including Inline Dependency (ID), Unstable Dependency (UD), and Cyclic Dependency (CD). They violate fundamental design principles [12], blurring, enlarging, and twining the module boundary. Third, we propose the DroidDS to detect these violations. DroidDS creates an ODG from the code facts of the Android variant repository and then it searches smell

subgraphs from the ODG after graph pruning.

To evaluate design smells, we investigate four research questions.

RQ1: Do entities involved in design smells consume more maintenance costs than other entities in Android variants?

RQ2: To what extent do the entities involved in design smells capture conflicts due to Android variants merging with AOSP changes?

RQ3: To what extent can design smell instances be mitigable that require minor code changes for fixing?

RQ4: Are entities involved in Android design smells not merely the files with larger code size, increased complexity, and object-oriented smells?

We collected 22 Android variant versions and 22 corresponding AOSP versions for study, analyzing 197 million lines of code and 27 million history commits. Our results show that design smells are strongly associated with high maintenance costs, i.e., the files involved in design smells are 9.42 times more change-prone and bug-prone than other files in Android variants. We also observed that the design smells can capture 68.87% merge conflict files and 72.26% conflict blocks. Moreover, our results indicate that 52.37% design problems can be *mitigable*. Our collaborators responsible for the industrial project confirmed our results and planned to address ID and UD issues with priority.

In summary, our work makes the following contributions:

- Our work is the first to formally propose and characterize the design smells that break the boundary between Android variants and AOSP.
- We implement the DroidDS to detect these design smells. Practitioners can utilize our DroidDS to monitor the boundary between their Android variants and AOSP.
- Our empirical study reveals and evaluates the impact of design smells on maintainability and conflicts due to merging with AOSP updates into Android variants.
- We contribute our datasets [13], the largest size of the Android OS dataset as far as we know.

## II. METHODOLOGY

### A. Software Architecture of Android Variants

According to the code composition of an Android variant, we regard the Android variant architecture co-evolving with AOSP as a structure of two high-level *separately managed* modules: the *upstream module* reusing the code from AOSP and the *downstream module* extended by this variant, while the *downstream module* has to periodically keep in sync with upstream changes. To formalize this architecture, we define an *Ownership-attributed Dependency Graph (ODG)* extracted from code facts. Each node denotes an entity that has an attribute named *ownership* to distinguish whether its source code is newly developed by the variant or reuses the AOSP.

**Ownership-attributed Dependency Graph (ODG).** An ODG is a graph structure extracted from code facts of an Android variant, i.e.,  $G = \langle V, D, O \rangle$ .  $V$  is a set of entities and  $V = \{e_i\}$ . An entity  $e_i$  can be a kind of *variable*, *method*, or *class*.  $e_i.t$  labels the entity kinds.  $D$  is a set of dependencies

between entities. A dependency  $d = e_i \rightarrow e_j$  denotes the relation from  $e_i$  to  $e_j$  like *include*, *call*, *inherit* and *implement*.  $O$  is a set of *ownership attributes* that an entity carries.

We classify three kinds of *ownership attributes* for code entities, including *actively native*, *extensive*, and *intrusively native*. Concretely, the code of a variant is typically composed of three parts [5]: 1) the code cloned from the AOSP version (i.e., *actively native*); the code that is newly developed by the variant version (i.e., *extensive*); the code that is originally from AOSP while further intrusively modified by the variant (i.e., *intrusively native*). That is,  $O = \{\text{"actively native"}, \text{"intrusively native"}, \text{"extensive"}\}$ , and  $e_i.o \in O$ .

Figure 1 illustrates a code snippet in the AOSP version (Android-11.0.0\_r46) and the corresponding variant version (LineageOS-18.1). LineageOS-18.1 extends from Android-11.0.0\_r46. In LineageOS-18.1, the ownership attribute of `setRebootProgress` is “*actively native*” because its source code is completely the same as that of `setRebootProgress` defined in the AOSP version; the ownership attribute of `showShutDownDialog` is “*intrusively native*” since the AOSP declares this method while the variant modifies it; the ownership attribute of `rebootCustom` is “*extensive*” since the variant version newly defines this method.

| File : services/core/java/com/android/server/power/ShutdownThread.java |   |   |
|--|---|---|
| Line   | AOSP version : Android-11.0.0_r46                                     | Android variant version : LineageOS-18.1                              |
| 1  | <code>private void setRebootProgress(final int progress,</code>       | <code>private void setRebootProgress(final int progress,</code>       |
| 2  | <code>final CharSequence message) {</code>                            | <code>final CharSequence message) {</code>                            |
| 3  | <code>mHandler.post(new Runnable() {</code>                           | <code>mHandler.post(new Runnable() {</code>                           |
| 4  | <code>...</code>  | <code>...</code>  |
| 5  | <code>} private static ProgressDialog showShutDownDialog</code>       | <code>} private static ProgressDialog showShutDownDialog</code>       |
| 6  | <code>(Context context) {</code>                                      | <code>(Context context) {</code>                                      |
| 7  | <code>if (showSysuiReboot()) {</code>                                 | <code>+ boolean mRebootCustom = false;</code>                         |
| 8  | <code>return null;</code>   | <code>+ if (showSysuiReboot()) {</code>                               |
| 9  | <code>}</code>  | <code>+ if (mRebootCustom &amp;&amp; showSysuiReboot()) {</code>      |
| 10   | <code>return null;</code>   | <code>return null;</code>   |
| 11   | <code>}</code>  | <code>}</code>  |
| 12   | <code>+ public static void rebootCustom(final Context context,</code> | <code>+ public static void rebootCustom(final Context context,</code> |
| 13   | <code>String reason, boolean confirm) {</code>                        | <code>String reason, boolean confirm) {</code>                        |
| 14   | <code>mReboot = true;</code>  | <code>+ mReboot = true;</code>  |
| 15   | <code>...</code>  | <code>...</code>  |

Fig. 1: An example of entity ownership attributes

**Software Architecture based on ODG.** We define the software architecture of an Android variant co-evolving with AOSP as a structure of two high-level *separately managed* modules, including an *upstream module* ( $M_{up}$ ) and a *downstream module* ( $M_{down}$ ).  $M_{up}$  is a subgraph of ODG that only contains (*actively/intrusively*) *native* entities as nodes and their relationships as edges;  $M_{down}$  is a subgraph of ODG that only contains *extensive* entities along with their dependencies; the dependencies between *native* entities and *extensive* entities link the two modules. In the Android ecosystem, the source code of  $M_{up}$  and  $M_{down}$  are independently developed by the Google AOSP and Android vendors in separate codebases. As a result, once a new version of  $M_{up}$  is released by AOSP and can be accessible,  $M_{down}$  has to upgrade and merge with new changes from  $M_{up}$ . It is inevitable to incur code conflicts during code merging. Android vendors invest substantial effort to maintain their customized variants and resolve conflicts [6, 14, 15].

## B. Design Smells in Android Variants

For a well-designed architecture of an Android variant,  $M_{down}$  should depend on  $M_{up}$  through stable APIs and keep a clear design boundary between them [5] (Figure 2(a)). A clear boundary will facilitate the maintainability of customized Android code and the mitigation of conflict risks. However, the special software architecture (i.e., it is a structure of two modules managed by independent organizations) and customization requirements make it difficult for an Android variant to persist in a clear design boundary. Prior empirical studies [4–6] reported a tight coupling between Android variants and the AOSP. However, it is still unclear about the design smells severely eroding the boundary between  $M_{up}$  and  $M_{down}$  and hindering Android variant maintainability.

We adhere to the well-recognized definition of design smell—“a structure in a design that indicates a violation of fundamental design principles, and which can negatively impact the project’s quality.” [9, 16–18] A design smell is typically embodied as a group of undesired dependencies among code elements [8]. Lots of work studied design smells while rarely considering software domains. It is necessary to characterize and detect the potentially problematic dependencies for design smells that hinder the co-evolution of Android variants with AOSP. After scrutinizing large-scale Android OS and engaging in discussions with Android architects from a leading mobile vendor which has maintained its Android variants for more than 10 years, we summarized 3 kinds of design smells with 13 recurring patterns. The architects have confirmed that these adverse patterns are major concerns to be addressed. Table I describes them.

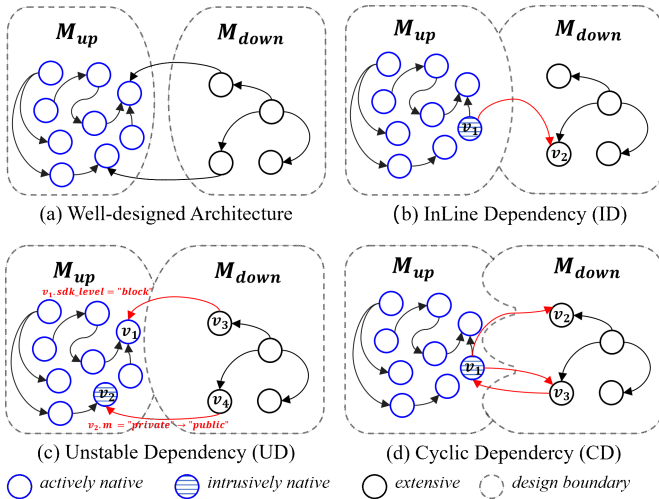


Fig. 2: Design smells for Android variants

We referenced existing work [8] for general definition frameworks, upon which we introduced the design smells for Android in terms of *rationale*, *description*, *adverse impact*, *dependency patterns*, and *formalization*. For each design smell, *rationale* illustrates its general definition as well as the design principles it violates, which is typically software domain-agnostic; *description* explains the module-level manifestation

of this design smell specifically for Android variants; *adverse impact* shows how this smell erodes boundary between the two separately-managed modules, leading to heavy maintenance cost and code conflicts; *dependency pattern* illustrates kinds of recurring patterns for this smell; *formalization* expresses these patterns based on the ODG.

### 1. Inline Dependency (ID).

**Rationale:** This design smell refers to that the elements, supposed to be in one module, are embedded into another module. It violates the Single Responsibility Principle [16].

**Description:** In Android variants, this smell means that the code implementations conducted by an Android variant are inlined to the code scope originally defined by AOSP. That is, the entities inside  $M_{up}$  are intrusively modified by  $M_{down}$  and embed code from  $M_{down}$ .

**Adverse Impact:** the intrusive modifications to  $M_{up}$  would blur the design boundary between an Android variant and its upstream AOSP code, bringing in *textual* or *syntactic* conflicts [6, 19] during Android update. In Figure 2(b),  $M_{down}$  modifies  $v_1$  inside  $M_{up}$  to inline  $v_2$  that was developed by  $M_{down}$ . If  $v_1$  is updated by the AOSP new version while the embedded  $v_2$  is updated by the Android variant, a merge commit will incur inside the code block of  $v_1$ .

**Dependency Patterns:** As listed in Table I, we summarized 4 recurring patterns (i.e., ID1–ID4) for this smell. ID1 describes that an *intrusive native* class in  $M_{up}$  embeds the field or method members that are newly developed by  $M_{down}$ .

ID2, ID3, and ID4 take into account different entity kinds involved in inline dependencies.

**Formalization:**

Pre :  $\exists e_i \rightarrow e_j \in D \wedge e_i.o = \text{"intrusively native"} \wedge e_j.o = \text{"extensive"}$   
 $T = \{\text{"inner class"}, \text{"anonymous class"}, \text{"anonymous method"}\}$   
ID1 : Pre  $\wedge e_i.t = \text{"class"} \wedge e_j.t \in \{\text{"field"}, \text{"method"}\}$   
ID2 : Pre  $\wedge e_i.t = \text{"method"} \wedge e_j.t = \text{"parameter"}$   
ID3 : Pre  $\wedge e_i.t \in T$   
ID4 : Pre  $\wedge e_i.t = \text{"method"} \wedge e_j.t \in \{\text{"class"}, \text{"method"}, \text{"variable"}\}$  (1)

### 2. Unstable Dependency (UD).

**Rationale:** As defined by existing work [20, 21], this design smell refers to an element that depends on other elements that are less stable than itself. The affected element might cause a ripple effect of changes in a software system. This smell violates the Stable Dependency Principle [16].

**Description:** In Android variants, this smell indicates that entities in  $M_{down}$  depend on less stable entities provided by the upstream  $M_{up}$ . Unstable entities can be either claimed by Android non-SDK restrictions [22] or non-public APIs indicated by modifiers.

**Adverse Impact:** The dependencies in this smell would enlarge the design boundary between  $M_{down}$  and  $M_{up}$ , excessively exposing hidden entities of  $M_{up}$  to  $M_{down}$ . The AOSP would often update unstable entities in  $M_{up}$ , leading to  $M_{down}$  having to change with them frequently and hence introducing *syntactic conflict* risks. Figure 2 (c) presents two unstable dependencies.  $v_3 \rightarrow v_1$ , where  $v_1$  is a unstable non-SDK API ( $v_1.sdk\_level = \text{"block"}$ ) encapsulated in  $M_{up}$  while  $v_3 \in M_{down}$  accesses it. Another dependency is  $v_4 \rightarrow v_2$ ,

TABLE I: Dependency patterns for design smells in Android variants

| Design Smell             | Dependency Patterns in Android Variants   |
|--------------------------|---|
| Inline Dependency (ID)   | ID1: An <i>intrusively native</i> class embeds <i>extensive</i> class fields or method members.   |
|                          | ID2: An <i>intrusively native</i> method embeds <i>extensive</i> parameters.  |
|                          | ID3: An <i>intrusively native</i> entity embeds <i>extensive</i> inner classes or <i>extensive</i> anonymous entities.                      |
|                          | ID4: An <i>intrusively native</i> method embeds <i>extensive</i> local code blocks.   |
| Unstable Dependency (UD) | UD1: An <i>extensive</i> entity depends on <i>native</i> non-SDK APIs;  |
|                          | UD2: An <i>extensive</i> entity depends on <i>native</i> non-SDK API of which API level is promoted through intrusive modifications.        |
|                          | UD3: An <i>extensive</i> entity depends on <i>native</i> entities of which accessibility level is promoted through intrusive modifications. |
|                          | UD4: An <i>extensive</i> entity inherits or rewrites final <i>native</i> entities through intrusive modifications.                          |
|                          | UD5: An <i>extensive</i> entity dynamically depends on <i>native</i> entities through reflection mechanism.                                 |
| Cyclic Dependency (CD)   | CD1: An <i>intrusively native</i> entity depends on <i>extensive</i> base classes.  |
|                          | CD2: An <i>intrusively native</i> entity depends on <i>extensive</i> interface entities.  |
|                          | CD3: An <i>intrusively native</i> entity depends on <i>extensive</i> object entities.   |
|                          | CD4: There exists an cyclic shape between <i>intrusively native</i> entities and <i>extensive</i> entities through transitive dependencies. |

where  $v_2$  is a *private* API originally defined in  $M_{up}$  while is further modified by  $M_{down}$  into *public* for access.

**Dependency Patterns:** There are three manners to indicate whether a code entity of AOSP can be stably and safely depended upon by Android variants: (1) the SDK level proposed by Google non-SDK restriction policy, i.e., `sdk,unsupported,max-target-'X'`, and `blocked` [22]; (2) the accessibility level, i.e., `public`, `protected`, `private` and `default`; and (3) the modifier keyword like `final`. The order of stability is “`sdk > unsupported > max-target-'X' > block`” and “`public > protected > default > private`” for an entity. The final classes cannot be inherited by other classes, and final methods cannot be rewritten by others. The code developed by Android variants sometimes makes intrusive modifications to promote the API accessibility initially claimed by AOSP for extensive reuse. For example, downstream Android code might change the `private` APIs of AOSP into `public` and subsequently depend on them. As listed in Table I, the *UD1*, *UD2* and *UD5* break the design contracts indicated by non-SDK claims, *UD3* violates the design contracts implied by the accessibility level, and *UD4* breaks the constraints imposed by the modifier keywords.

#### Formalization:

$$\begin{aligned}
\text{Pre1} : \exists e_i \rightarrow e_j \in D \wedge e_i.o = \text{"extensive"} \wedge e_j.o = \text{"actively native"} \\
\text{Pre2} : \exists e_i \rightarrow e_j \in D \wedge e_i.o = \text{"extensive"} \wedge e_j.o = \text{"intrusively native"} \\
\text{Pre3} : T = \{\text{"class"}, \text{"method"}\} \wedge e_i.t \in T \wedge e_j.t \in T \wedge e_j.sdk\_level \\
\quad \in \{\text{"blocked"}, \text{"unsupported"}, \text{"max-target-'X'"}\} \\
\text{Pre4} : T = \{\text{"class"}, \text{"method"}, \text{"field"}\} \wedge e_i.t \in T \wedge e_j.t \in T \\
UD1 : \text{Pre1} \wedge \text{Pre3} \\
UD2 : \text{Pre2} \wedge \text{Pre3} \wedge e_j.sdk\_level > \text{map}(e_j).sdk\_level \\
UD3 : \text{Pre2} \wedge \text{Pre4} \wedge e_j.m > \text{map}(e_j).m \\
UD4 : \text{Pre2} \wedge d.t \in \{\text{"inherit"}, \text{"override"}\} \\
\quad \wedge e_j.m \neq \text{"final"} \wedge \text{map}(e_j).m = \text{"final"} \\
UD5 : \text{Pre2} \wedge \text{Pre4} \wedge d.t = \text{"reflect"}
\end{aligned} \tag{2}$$

### 3. Cyclic Dependency (CD).

**Rationale:** CD describes that elements directly or indirectly depend on each other to function correctly, as defined in prior work [23–25]. The chain of dependencies among elements breaks the desirable acyclic nature of a sub-system’s dependency structure. Code elements involved in a cycle can be hard to maintain in isolation.

**Description:** In Android variants, this smell indicates that  $M_{down}$  first modifies the *native* entities in  $M_{up}$  and then

makes these entities depend on *extensive* code in  $M_{down}$ . Such dependencies from  $M_{up}$  to  $M_{down}$  lead to a dependency cycle at the design level between  $M_{up}$  and  $M_{down}$ .

**Adverse Impact:** If any entities in  $M_{down}$  were changed, the upstream entities in  $M_{up}$  have to be adapted accordingly, and vice versa. The dependencies in this smell would *twine the design boundary* between Android variants and AOSP. Consequently, the change to either party (Android variants or AOSP) would cause *semantic* conflicts manifested as build or test failures[19, 26] when merging changes from AOSP. Figure 2(d) shows that both  $\{v_1 \rightarrow v_3, v_3 \rightarrow v_1\}$  and  $\{v_1 \rightarrow v_2, v_3 \rightarrow v_1\}$  result in dependency cycles between  $M_{up}$  and  $M_{down}$ .

**Dependency Patterns:** As listed in Table I, *CD1–CD3* presents four major reasons for the unhealthy reverse dependencies from  $M_{up}$  to  $M_{down}$  in terms of dependency kinds and entity kinds.

*CD4* additionally considers indirect dependencies that are calculated by transitive closure [27].

#### Formalization:

$$\begin{aligned}
\text{Pre1} : \exists e_i \rightarrow e_j \in D \wedge e_i.o = \text{"intrusively native"} \wedge e_j.o = \text{"extensive"} \\
\text{Pre2} : \exists (e_i \rightarrow e_j \in D \wedge e_j \rightarrow e_i \in D) \wedge e_i.o = \text{"intrusively native"} \\
\quad \wedge e_j.o = \text{"extensive"} \\
\text{Pre3} : T = \{\text{"class"}, \text{"method"}, \text{"field"}\} \wedge e_i.t \in T \wedge e_j.t \in T \\
CD1 : \text{Pre1} \wedge d.t = \text{"inherit"} \\
CD2 : \text{Pre1} \wedge d.t = \text{"implement"} \\
CD3 : \text{Pre1} \wedge \text{Pre3} \wedge d.t \notin \{\text{"inherit"}, \text{"implement"}\} \\
CD4 : \text{Pre2} \wedge \text{Pre3}
\end{aligned} \tag{3}$$

### C. Detection Approach

We implement an approach named DroidDS to reveal design smells. Figure 3 illustrates the approach overview. The input includes the source code (*Src*) and commit records (*Cmt*) of an Android variant, and the source code (*Src<sub>u</sub>*) and commit history (*Cmt<sub>u</sub>*) of the corresponding AOSP version that the Android variant is developed based on. DroidDS first creates an ODG for an Android variant, and then it detects design smells from the ODG after pruning. The output is a set of design smell instances labeled *Res*.

1) *Ownership-Attributed Dependency Graph Creation:* This module extracts the ODG for an Android variant.

**Dependency Graph Extraction.** First, we employ a static code analysis tool named *ENRE* [28] to extract a dependency graph (i.e.,  $G$ ) from *Src* and a dependency graph (i.e.,  $G_u$ )

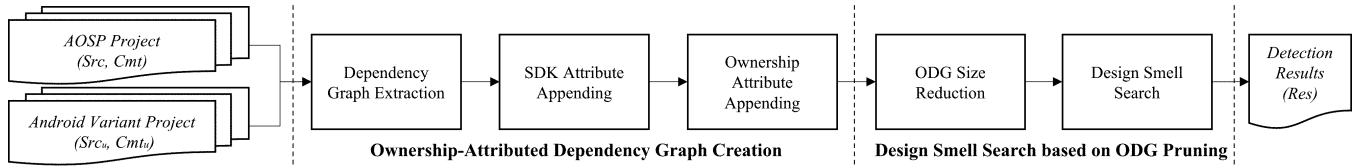


Fig. 3: The overview of DroidDS

from  $Src_u$ , respectively for the Android variant and its corresponding AOSP version.  $G = \langle V, D \rangle$ ,  $G_u = \langle V_u, D_u \rangle$ . Each node in  $V \cup V_u$  denotes an entity  $e$ ; each edge (i.e.,  $d = e_i \rightarrow e_j$ ) in  $D \cup D_u$  denotes one dependency from  $e_i$  to  $e_j$ .  $e$  carries several attributes:  $e.t$  denotes the entity kind like method and class;  $e.qualifiedName$  denotes its qualified name;  $e.m$  records a set of modifiers that decorate this entity, such as `public`, `private`, and `final`;  $e.loc$  denotes the line number where this entity is defined in source code. For one dependency  $d = e_i \rightarrow e_j$ ,  $d.t$  denotes the dependency kind such as `call`, `inherit`, and `contain`.

**SDK Attribute Appending.** As proposed by Google, each release of Android (either AOSP or variants) should claim the non-SDK API lists since Android 9 [22]. The non-SDK API lists show the restriction levels of API entities. As introduced in Section II-B, `sdk` means an API entity can be publicly accessed; `blocked` indicates that an entity should not be accessed; `unsupported` indicates that an entity can be exploited by developers while would be changed anytime; and `max-target-‘X’` means that an entity can be accessed in Android versions not later than the “X” version [22]. We adopt the process in prior work [5] to export non-SDK information and append them to the attributes of entities in  $G$  and  $G_u$ .  $e.sdk\_level \in \{\text{“blocked”}, \text{“sdk”}, \text{“unsupported”}, \text{“max-target-‘X’”}\}$ .

**Ownership Attribute Appending.** We employ the method proposed by Jin et al. [5] to identify the ownership attribute for entities in  $G$ , producing  $G' = \langle V, D, O \rangle$  from  $G = \langle V, D \rangle$  of an Android variant. For each  $e \in V$ , this step leverages *git blame* [29] to track the commit sets  $cmt_e$  from  $Cmt$ . If  $cmt_e$  has intersections with  $Cmt_u$  and also has intersections with  $Cmt \setminus Cmt_u$ , we set the ownership attribute of  $e$  as  $e.o = \text{“intrusively native”}$ ; if  $cmt_e$  only has intersections with  $Cmt_u$ ,  $e.o = \text{“actively native”}$ ; otherwise,  $e.o = \text{“extensive”}$ . After processing each  $e \in V$  for  $G$ , it finally generates the ODG for an Android variant, i.e.,  $G' = \langle V, D, O \rangle$ . Please note that we use *git blame* solely to serve the ownership attribute identification.

2) *Design Smell Search based on ODG Pruning:* Using the ODG ( $G'$ ) of an Android variant, we transform the smell detection as a sub-graph search on  $G'$ .

**ODG Size Reduction.** We prune  $G'$  to reduce the search scope of smell graph detection. In terms of the ownership attributes (i.e.,  $e.o$ ), we obtain the upstream module namely  $M_{up}$  and downstream module namely  $M_{down}$  for  $G'$  using the formalization in Section II-A. Since we focus on design smells across the boundary between  $M_{up}$  and  $M_{down}$  along with associated dependencies, we employ two prune strategies

to reduce  $G'$  as follows.

The first strategy prunes the dependencies between *native* entities except for `define` dependencies. The basic `define` dependencies are retained since they often act as candidate edges to form a design smell sub-graph. The second strategy filters out the dependencies between *extensive* entities. That is, if one dependency only connects *extensive* entities and there is no *native* entity connecting the two entities, this dependency will be removed. After traversing each edge  $d \in D$  in  $G'$ , it produces a smaller-sized  $G'^s$ .

**Design Smell Search.** We use the dependency patterns (denoted as  $\{G\_smell_i\}$ ) of design smells formalized in Section II-B to search sub-graphs from  $G'^s$ . Besides entity kinds and dependency kinds, the design smells also concern the attribute changes of *native* entities like modifier ( $e.m$ ) and non-sdk restriction level ( $e.sdk\_level$ ). As a result, this step first builds a set of entity pairs  $\{(e, e_u)\}$  (where  $e.o = \text{“intrusively native”}$ ), indicating that  $e \in V$  in  $G'^s$  is derived from  $e_u \in V_u$  in  $G_u$  via code modifications made by the Android variant into AOSP. Next, it runs a depth-first search algorithm to retrieve isomorphic instances of  $G\_smell_i$  from  $G'^s$ . Finally, we store detected smell instances into  $Res$ .

### III. RESEARCH QUESTIONS AND SUBJECT COLLECTION

#### A. Research Questions

We study four research questions to evaluate the impact of the design smells on the maintenance of Android variants.

**RQ1:** *Do entities involved in design smells consume more maintenance costs than other entities in Android variants?* We will compare the change-proneness and bug-proneness of the file entities involved in design smells with those of other files. The positive answer will imply the impact of design smells on the maintainability of Android variants.

**RQ2:** *To what extent do the entities involved in design smells capture conflicts due to Android variants merging with AOSP changes?* One major maintenance activity is to handle conflicts due to AOSP updates [5, 6]. We will explore the correlation between design smells and conflicts, and evaluate the precision and recall using design smells to capture conflicts.

**RQ3:** *To what extent can design smells be mitigable that require minor code changes for fixing?* Based on industrial collaborators’ experience, some design smell instances would be *mitigable* if their *descriptive* dependencies diverge from *prescriptive* ones. The *prescriptive* dependencies indicate the intended dependencies supposed to be introduced by a smell; the *descriptive* dependencies indicate the defacto dependencies that have been associated with this smell.

TABLE II: The collected project versions for study

| Project       | Version        | Downstream Version |         |             |            | Upstream (AOSP) Version  |         |            |            | Merge Commits |          |
|---------------|----------------|--------------------|---------|-------------|------------|--------------------------|---------|------------|------------|---------------|----------|
|               |                | Version Abbr.      | #File   | #LoC        | #Commit    | Version                  | #File   | #LoC       | #Commit    | #MergeCmt     | #ConfCmt |
| AOSPA[30]     | Topaz          | A-T                | 30,551  | 5,267,551   | 795,029    | android13.0.0_r32        | 30,312  | 5,218,902  | 782,268    | 10            | 6        |
|               | Sapphire       | A-S                | 27,539  | 4,575,525   | 674,953    | android12-gsi            | 27,308  | 4,526,922  | 661,807    | 46            | 37       |
|               | Ruby           | A-R                | 26,497  | 4,165,240   | 501,248    | android11-qpr1-d-release | 26,429  | 4,147,331  | 650,983    | 64            | 47       |
|               | Quartz         | A-Q                | 19,939  | 3,188,444   | 420,479    | android11-d2-release     | 19,913  | 3,175,862  | 498,028    | 99            | 52       |
| CalyxOS[31]   | android13      | C-13               | 30,549  | 5,226,916   | 784,306    | android-13.0.0_r35       | 30,312  | 5,218,909  | 782,288    | 8             | 3        |
|               | android12      | C-12               | 27,384  | 4,530,132   | 651,640    | android-12.0.0_r29       | 27,308  | 4,526,923  | 649,754    | 5             | 2        |
|               | android11      | C-11               | 26,699  | 4,169,261   | 482,229    | android-11.0.0_r46       | 26,463  | 4,155,454  | 497,949    | 10            | 1        |
| LineageOS[32] | LineageOS-20.0 | L-20.0             | 30,544  | 5,232,233   | 784,381    | android-13.0.0_r35       | 30,312  | 5,218,909  | 782,288    | 8             | 4        |
|               | LineageOS-19.1 | L-19.1             | 27,685  | 4,576,171   | 663,065    | android-12.1.0_r7        | 27,469  | 4,558,550  | 659,793    | 3             | 1        |
|               | LineageOS-18.1 | L-18.1             | 26,713  | 4,190,090   | 499,427    | android-11.0.0_r46       | 22,534  | 3,669,603  | 505,590    | 17            | 8        |
|               | LineageOS-17.1 | L-17.1             | 22,951  | 3,711,094   | 426,543    | android-10.0.0_r41       | 22,455  | 3,652,993  | 571,185    | 28            | 12       |
|               | LineageOS-16.0 | L-16.0             | 2,0293  | 3,212,780   | 371,425    | android-9.0.0_r61        | 19,871  | 3,171,811  | 369,065    | 50            | 12       |
| OmniROM[33]   | android-13.0   | O-13.0             | 30,357  | 5,223,536   | 784,163    | android-13.0.0_r35       | 30,312  | 5,218,909  | 782,288    | 5             | 4        |
|               | android-12.0   | O-12.0             | 27,351  | 4,530,005   | 650,684    | android-12.0.0_r28       | 27,308  | 4,526,922  | 650,983    | 4             | 2        |
|               | android-11     | O-11               | 25,866  | 4,147,440   | 502,111    | android-11.0.0_r38       | 25,796  | 4,131,630  | 687,952    | 178           | 106      |
|               | android-10     | O-10               | 22,744  | 3,696,397   | 426,463    | android-10.0.0_r41       | 22,558  | 3,677,983  | 650,983    | 10            | 3        |
| IndustrialX   | android-9      | O-9                | 19,930  | 3,186,526   | 371,214    | android-9.0.0_r34        | 19,824  | 3,173,299  | 423,947    | 43            | 3        |
|               | E              | I-E                | 38,108  | 6,277,516   | 818,119    | android-13.0.0_r1        | 29,007  | 4,810,366  | 762,679    | 4             | 4        |
|               | D              | I-D                | 38,118  | 6,267,146   | 816,998    | android-13.0.0_r1        | 29,007  | 4,810,366  | 762,679    | 4             | 4        |
|               | C              | I-C                | 37,974  | 6,235,618   | 815,815    | android-13.0.0_r1        | 29,007  | 4,810,366  | 762,679    | 4             | 4        |
|               | B              | I-B                | 35,546  | 5,866,790   | 661,243    | android-12.0.0_r10       | 27,161  | 4,511,991  | 648,567    | 2             | 2        |
| Summary       | A              | I-A                | 31,181  | 4,909,996   | 491,418    | android-11.0.0_r35       | 26,454  | 4,152,622  | 418,833    | 14            | 14       |
|               | 22 versions    |                    | 624,519 | 102,386,407 | 13,392,953 | 22 versions              | 577,120 | 95,066,623 | 13,962,588 | 616           | 331      |

**RQ4:** Are entities involved in Android design smells not merely the files with larger code size, increased complexity, and object-oriented smells? Code size, complexity, and object-oriented (OO) smells impact change- and fault-proneness [34–37]. We will evaluate whether the identified design smells are not dominated by large files (measured by size and complexity) and OO smells. The answer will imply that our smells are new factors correlated with Android maintenance costs.

#### B. Subject Collection

Our study subjects include open-source Android variants and industrial projects for diversity. Concretely, we followed existing work [4, 5] to collect open-source Android variant projects. Our selection criteria consider three aspects. First, a project has been actively maintained and managed by the Version Control System like Git [29]. Second, a project has been evolving with the Android framework of AOSP to merge changes from AOSP. Third, the version information of a project has been well-recorded through git branches or tags. We collected an industrial project anonymously named IndustrialX from a famous Android device vendor. For the past three years, the vendor has continuously taken the top 3 ranking in domestic Android market share. In summary, we collected 5 Android variant projects, including 4 open-source ones and 1 industrial project. For each project, we selected the latest versions. We also obtained the AOSP version corresponding to each variant based on the version information.

Finally, we collected 22 Android variant versions and 22 upstream AOSP versions accordingly for investigation. Similar to existing work [4, 5], our study also focused on the Java code of the Android *framework base*. The major reason is that Android variants usually customize the framework base for extension [6]. Table II lists collection results. The *Summary* row shows that our work analyzed 197 million lines of Android code and 27 million commits.

## IV. EVALUATION

### A. Maintenance Cost Verification (RQ1)

We investigate whether the files involved in design smells are more change-prone and bug-prone in Android variants.

1) *Study Setup:* Prior work [8, 38, 39] employed the maintenance history managed by a version control system to verify design smells. They assumed that if the files in design smells are error-prone and change-prone as recorded in the revision history, the connections of these files would be problematic and significantly impact architecture maintenance. Similarly, we also investigate whether the files infected by design smells are more error-prone and change-prone in Android variants. The positive results will imply the adverse effects of design smells on Android maintenance.

2) *Measures:* Following existing work [8, 38, 39], we calculated six measures including *#issue*, *#author*, *#changeCmt*, *#changeLoc*, *#issueCmt*, and *#issueLoc* to evaluate the change-proneness and error-proneness of a file. *#issue* counts the issues that a file participated in the commit history; *#author* counts the developers who contributed to the revision of a file; *#changeCmt* and *#changeLoc* denote the number of commit records and the lines of code where a file was modified; *#issueCmt* and *#issueLoc* considers the commits and lines of code related to issue fix commits.

TABLE III: A summary of the detected design smells

| Project        | #Ins                | #a_File%                | #b_File% | Project                 | #Ins   | #a_File% | #b_File% |
|----------------|---------------------|-------------------------|----------|-------------------------|--------|----------|----------|
| A-T            | 3,073               | 2.76%                   | 4.84%    | L-16.0                  | 1,196  | 2.80%    | 5.40%    |
| A-S            | 10,141              | 10.82%                  | 15.31%   | O-13.0                  | 305    | 0.75%    | 1.43%    |
| A-R            | 2,287               | 2.79%                   | 3.41%    | O-12.0                  | 307    | 0.75%    | 1.43%    |
| A-Q            | 1,061               | 2.33%                   | 3.99%    | O-11                    | 2,564  | 3.49%    | 5.70%    |
| C-13           | 444                 | 0.84%                   | 1.49%    | O-10                    | 1,231  | 2.34%    | 4.98%    |
| C-12           | 260                 | 0.56%                   | 1.32%    | O-9                     | 959    | 2.19%    | 4.64%    |
| C-11           | 366                 | 0.75%                   | 1.78%    | I-E                     | 36,278 | 14.02%   | 46.34%   |
| L-20.0         | 399                 | 0.81%                   | 1.57%    | I-D                     | 38,215 | 13.78%   | 51.81%   |
| L-19.1         | 1,216               | 1.94%                   | 3.48%    | I-C                     | 36,777 | 14.75%   | 46.43%   |
| L-18.1         | 1,388               | 2.30%                   | 4.20%    | I-B                     | 36,931 | 15.21%   | 46.19%   |
| L-17.1         | 1,384               | 2.56%                   | 5.14%    | I-A                     | 28,327 | 21.59%   | 47.60%   |
| <b>Summary</b> | <b>Summary #Ins</b> | <b>Average #a_File%</b> |          | <b>Average #b_File%</b> |        |          |          |
| 22 versions    | 205,111             | 5.46%                   |          | 14.02%                  |        |          |          |



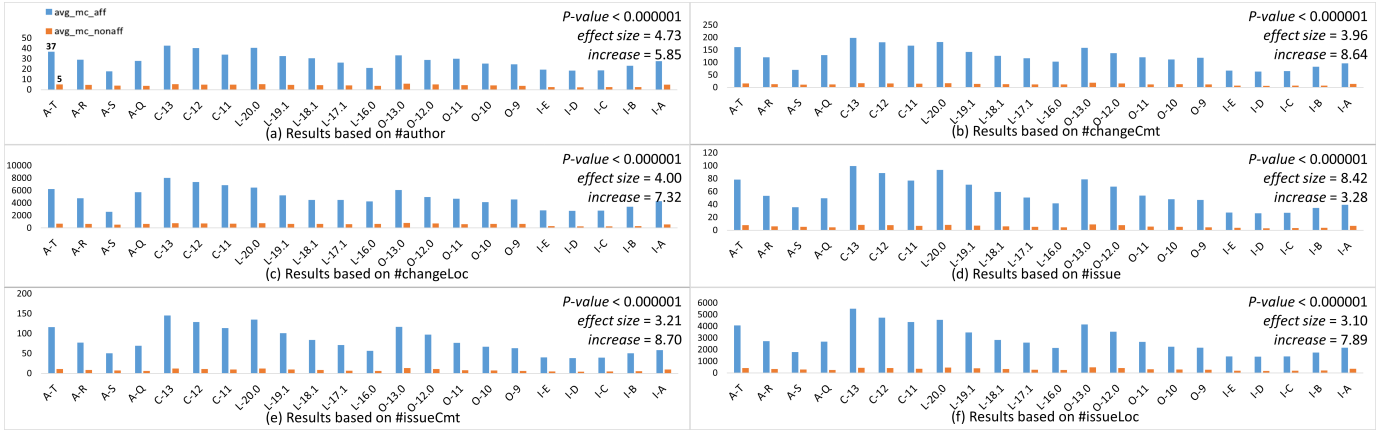


Fig. 4: The results based on all commit history in RQ1

These six measures are calculated based on commit history. We considered two approaches to determine the scope of the commit history. Firstly, similar to previous research [8, 27, 40], we took into account all changes and issues in the revision history to calculate maintenance costs. This is because large-scale projects typically undergo long-term evolution and maintenance. Secondly, following the findings of research [41, 42], we assessed the impact of design smells on maintenance costs after their introduction. For a specific software version where design smells are identified, we selected the commit history after this version to calculate maintenance costs.

For each investigated subject, we computed the average maintenance cost (i.e.,  $avg\_mc\_aff$ ) of the files involved in design smells and the average values (i.e.,  $avg\_mc\_nonaff$ ) of other files in terms of each measure. To rigorously study RQ1, we employed the Wilcoxon Sign-Rank tests [43] to test whether the values of  $avg\_mc\_aff$  are significantly higher than those of  $avg\_mc\_nonaff$  in all subjects. We defined the *Null Hypothesis* ( $H_0$ )— *there is no difference between the population of  $avg\_mc\_aff$  and the population of  $avg\_mc\_nonaff$* . This hypothesis test reports  $P\text{-value}$  and  $effect\ size$ . If the evidence rejects  $H_0$  hypothesis, we further calculated the relative increase of  $avg\_mc\_aff$  compared to  $avg\_mc\_nonaff$ , i.e.,  $increase = \frac{avg\_mc\_aff - avg\_mc\_nonaff}{avg\_mc\_nonaff}$ .

3) *Results*: Table III shows the design smells detected from all project versions.  $\#Ins$  counts the design smell instances,  $\#a\_File\%$  counts the percentage of the distinct files involved in design smells to all files in a project, and  $\#b\_File\%$  denotes their percentage to the files that link the upstream module and downstream module in a project. We can observe that the design smells indeed occurred in all Android variants we studied. Since the detected design smells concern the problematic dependencies across an Android variant’s upstream and downstream modules, the file percentage calculated by  $\#b\_File\%$  is always larger than  $\#a\_File\%$ . On average, the files participating in design smells account for 14.02% of the files across the module boundary.

Figure 4 visualizes the measurement results when using all changes and issues in revision history to calculate maintenance costs. Regarding each maintenance cost measure, blue-

TABLE IV: The results based on the commit history after the version where design smells are detected in RQ1

|                  | #author  | #changeCmt | #changeLoc | #issue   | #issueCmt | #issueLoc |
|------------------|----------|------------|------------|----------|-----------|-----------|
| $P\text{-value}$ | < 0.0002 | < 0.0002   | < 0.0002   | < 0.0002 | < 0.0002  | < 0.0002  |
| $effect\ size$   | 2        | 2.37       | 2.69       | 2.36     | 2.41      | 2.53      |
| $increase$       | 6.45     | 10.56      | 15.27      | 9.99     | 10.48     | 13.45     |

rendered bars and orange-rendered bars in Figure 4 correspond to the average value for files infected by design smells and that for non-infected files, i.e.,  $avg\_mc\_aff$  and  $avg\_mc\_nonaff$ . Considering the project A-T (i.e., AOSPA Topaz) in Figure 4(a), the two bars show that the files involved in design smells required 6.4 times (i.e.,  $increase = (37-5)/5$ ) more contributors in maintenance. Each sub-figure is labeled with three values, i.e.,  $P\text{-value}$ ,  $effect\ size$  and the average of  $increase$ .  $P\text{-value}$  and  $effect\ size$  are reported for the Wilcoxon Sign-Rank tests based on each group of ( $avg\_mc\_aff$ ,  $avg\_mc\_nonaff$ ). The labeled  $increase$  computes the average of  $increase$  on all projects. All  $P\text{-values}$  are smaller than 0.000001, meaning that the maintenance measures for infected files are significantly greater than those for other files. All  $effect\ size$  values are larger than 0.8 [44], demonstrating that the difference between the maintenance costs of files affected by design smells and those of other files is significant. Moreover, the average of  $increase$  is 5.85, 8.64, 7.32, 8.42, 8.70, and 7.89 in terms of six maintenance measures, respectively. In general, all sub-figures present consistent results.

Table IV summarizes the measurement results, which uses the commit history after the version where design smells are detected to calculate maintenance costs. We also made Wilcoxon Sign-Rank tests and reported  $P\text{-values}$  and  $effect\ size$ . Table IV indicates that the maintenance costs of infected files are significantly greater than those of other files. The average of  $increase$  is 6.45, 10.56, 15.27, 9.99, 10.48, and 13.45 in terms of six maintenance measures. The observation is consistent with that of Figure 4.

**RQ1 Summary.** The experiments demonstrated that the files involved in design smells consume 9.42 times higher maintenance costs than non-infected files, averaged on all Android variants. It suggests that design smells are strongly correlated with heavy maintenance costs.

### B. Merge Conflict Analysis (RQ2)

We study the relation between the design smells and conflicts that are caused by re-applying AOSP's changes to Android variants.

1) *Study Setup*: We followed existing work [4, 5] to collect code conflicts due to Android variants merging the updates from AOSP. First, we employed the *git log* to identify merge commits from the revision history of an Android variant. Next, we performed a series of git commands to simulate these merge commits locally. It will produce conflict logs if a conflict occurs. The last two columns of Table II list the merge commits we collected. *#MerCmt* counts the merge commits, and *#ConfCmt* counts the conflict commits. We then evaluated the extent to which the files in design smells are correlated with merge conflicts during Android updates. If most conflicting files and blocks are prone to be involved in design smells, we assume that design smells strongly hinder Android maintenance activities.

We further identified recurring conflict blocks. First, we computed the similarity of each pair of conflict blocks based on the Longest Common Subsequence [45]. This algorithm has been commonly adopted by *diff* utility for textual merging and conflict report [19]. We calculated the similarity using  $Sim = R/N$ , where  $R$  counts the text length of a conflict block, and  $N$  denotes the text length of the matched text. If the  $Sim$  is larger than the threshold  $\sigma$ , the two conflict blocks would be considered recurring ones.

We conducted a group of experiments under  $\sigma = [10\%, 20\%, 30\%, 40\%, 50\%, 60\%, 70\%, 80\%, 90\%, 100\%]$ . We observed that the detection results produced by  $\sigma = 50\%$  are closest to manual results. Therefore, we used this configuration.

2) *Measures*: We measured *avg\_confB\_aff* and *avg\_confB\_nonaff* to count the average conflict blocks within design smell files and those outside design smell files. We defined the Null Hypothesis ( $H_0$ )— *there is no difference between the population of avg\_confB\_aff and the population of avg\_confB\_nonaff*. This hypothesis test reports *P-value* and *effect size*. If the evidence rejects  $H_0$ , it indicates that conflict blocks are significantly correlated with design smells.

If the evidence rejects  $H_0$ , we further calculated  $Precision = \frac{|ConfF\_cap|}{|CapF|}$ ,  $Recall = \frac{|ConfF\_cap|}{|ConfF|}$  and  $P\_ConfB\_ds = \frac{|ConfB\_ds|}{|ConfB|}$ . *Precision* measures the percentage of conflict files (i.e., *ConfF\_cap*) involved in design smells to all design smell files (i.e., *CapF*). *Recall* measures the percentage of conflict files (i.e., *ConfF\_cap*) involved in design smells to all conflict files (i.e., *ConfF*).  $P\_ConfB\_ds$  measures the percentage of conflict blocks (i.e., *ConfB\_ds*) captured by design smells. Larger values of these measures will indicate the more likely that conflicts are involved in design smells.

We also measured *ConfB\_recur*, the recurring conflict blocks that not only occurred more than one time but also were captured by design smells. Out of *ConfB\_cap*, we computed the percentage of *ConfB\_com*, *ConfB\_ver*, and *ConfB\_pro* that occurred repeatedly in different commits of the same versions, different versions of the same projects, and different projects.

TABLE V: Results of Wilcoxon sign-rank test in RQ2

| <i>P-value</i> | <i>effect size</i> | <i>times</i> |
|----------------|--------------------|--------------|
| 0.002          | 0.974              | 2            |

3) *Results*: Table V presents the Wilcoxon Sign-Rank Test results with *P-value* of 0.002 and *effect size* of 0.974. It indicates that the number of code conflict blocks involved with design smell files is significantly larger than that of other files. On average, the files affected by design smells have been involved in 2 times (indicated by *times* = 2) more conflict blocks than the non-affected files.

Table VI lists the *Precision* and *Recall* results of conflicts involved with design smells. Using the project A-T as an example, among 329 files affected by design smells, 60 files (i.e., *Precision*=12.16%) are involved in merge conflicts; 40 out of conflicting files (i.e., *Recall*=66.67%) are involved in design smells.

Averaged on all projects, the *Recall* is 68.87%; the *Precision* is smaller than *Recall* due to that our experiments only collected direct code conflicts during the merging process of Android variants with AOSP. In addition, Table VII showed that 72.26% conflict blocks have been involved in design smells averaged on all versions.

TABLE VI: The precision and recall results in RQ2

| Project        | <i>Precision</i>          | <i>Recall</i> | Project                | <i>Precision</i> | <i>Recall</i> |
|----------------|---------------------------|---------------|------------------------|------------------|---------------|
| A-T            | 12.16%                    | 66.67%        | L-16.0                 | 6.27%            | 69.57%        |
| A-S            | 9.23%                     | 73.77%        | O-13.0                 | 27.08%           | 61.90%        |
| A-R            | 33.03%                    | 64.70%        | O-12.0                 | 2.00%            | 22.22%        |
| A-Q            | 44.81%                    | 70.90%        | O-11                   | 35.08%           | 58.43%        |
| C-13           | 22.00%                    | 50.00%        | O-10                   | 10.53%           | 72.22%        |
| C-12           | 1.33%                     | 5.56%         | O-9                    | 3.52%            | 70.00%        |
| C-11           | 1.11%                     | 100%          | I-E                    | 22.60%           | 90.82%        |
| L-20.0         | 29.55%                    | 67.53%        | I-D                    | 21.75%           | 94.01%        |
| L-19.1         | 0.76%                     | 100%          | I-C                    | 22.35%           | 94.01%        |
| L-18.1         | 3.64%                     | 55.56%        | I-B                    | 20.44%           | 90.80%        |
| L-17.1         | 7.78%                     | 53.49%        | I-A                    | 14.64%           | 82.87%        |
| <b>Average</b> | <i>Precision</i> : 15.98% |               | <i>Recall</i> : 68.87% |                  |               |

TABLE VII: The conflict blocks involved with design smells

| Project        | ConfB       | ConfB_ds | $P\_ConfB\_ds$ | Project                 | ConfB | ConfB_ds | $P\_ConfB\_ds$ |
|----------------|-------------|----------|----------------|-------------------------|-------|----------|----------------|
| A-T            | 140         | 78       | 55.71%         | L-16.0                  | 37    | 29       | 78.38%         |
| A-S            | 677         | 509      | 75.18%         | O-13.0                  | 111   | 56       | 50.45%         |
| A-R            | 710         | 555      | 78.17%         | O-12.0                  | 15    | 4        | 26.67%         |
| A-Q            | 479         | 362      | 75.57%         | O-11                    | 1311  | 972      | 74.14%         |
| C-13           | 95          | 74       | 77.89%         | O-10                    | 65    | 47       | 72.31%         |
| C-12           | 57          | 1        | 1.75%          | O-9                     | 16    | 12       | 75.00%         |
| C-11           | 1           | 1        | 100%           | I-E                     | 2359  | 2261     | 95.85%         |
| L-20.0         | 190         | 128      | 67.37%         | I-D                     | 2359  | 2304     | 97.67%         |
| L-19.1         | 5           | 5        | 100%           | I-C                     | 2359  | 2304     | 97.67%         |
| L-18.1         | 32          | 15       | 46.88%         | I-B                     | 2846  | 2754     | 96.77%         |
| L-17.1         | 97          | 56       | 57.73%         | I-A                     | 1322  | 1170     | 88.50%         |
| <b>Average</b> | ConfB : 695 |          | ConfB_ds : 623 | $P\_ConfB\_ds$ : 72.26% |       |          |                |

TABLE VIII: Recurring conflicts captured by design smells

| ConfB_ds | ConfB_recur  (%) | ConfB_com  (%) | ConfB_ver  (%) | ConfB_pro  (%) |
|----------|------------------|----------------|----------------|----------------|
| 13,697   | 2,686 (19.61%)   | 1,093 (7.98%)  | 1,251 (9.13%)  | 1,433 (10.46%) |

Table VIII summarizes the recurring conflict blocks that both were captured by design smells and occurred repeatedly. Among all 13,697 conflict blocks captured by design smells, 19.61% of them belong to *ConfB\_recur*, recurring blocks that occurred more than one time in merge conflicts.



*ConfB\_com*, *ConfB\_ver*, and *ConfB\_pro* columns indicate that 7.98%, 9.13%, and 10.46% of conflict blocks involved in design smells frequently occurred across commits, versions and projects respectively.

Figure 5 presents three cases of the recurring conflicts captured by design smells. The sub-figure (a) shows the CD smell-induced conflict, occurring repeatedly in 3 different commits of the O-10 project. A *native* *NavigationModeController* (NMC for short) in the upstream reversely depends on *OmniSettingsObserver*, causing a dependency cycle between upstream and downstream. When merging changes of NMC made by AOSP, conflicts occurred and their impact propagated into both modules via cycles. The sub-figure (b) depicts the ID smell-induced conflicts recurring in 7 commits across two versions of LineageOS. A *native* *onShowStateChanged* was modified to inline *extensive* code blocks. During every merging AOSP change, the same conflict occurred. Sub-figure (c) is the UD smell-induced conflict recurring in 5 commits across two projects (i.e., OmniROM and AOSPA). An *extensive* *BluetoothCodecConfig* relies on *native* *SOURCE\_CODEC\_TYPE\_SBC* that is an unstable API initially decorated by *unsupported*. Due to its instability, AOSP often changed this API, causing conflicts frequently.

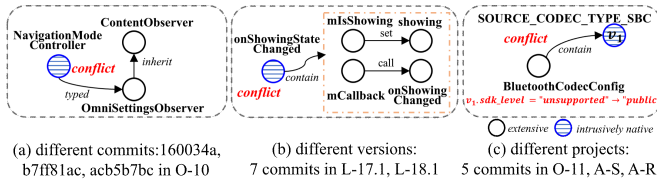


Fig. 5: Three recurring conflict cases

**RQ2 Summary.** Our hypothesis test shows that the number of conflict blocks within design smell files is significantly larger than that of other files. Moreover, 72.26% of conflicts are involved in design smells; 19.61% of them are recurring conflicts across commits, versions, and projects. These observations imply that addressing design smells has the potential to mitigate Android update conflicts, especially recurring ones.

### C. Mitigable Smell Analysis (RQ3)

We explore mitigable design smell instances that present an inconsistency between their intended dependencies and their defacto associated dependencies.

1) *Study Setup:* Industrial collaborators discussed that some design smell instances could be easily eradicated because their associated dependencies present redundant dependencies or lack intended dependencies. We term such instances as readily *mitigable* smells.

We summarized the *isMitigable* predicates and possible resolution in Table IX for several design smells based on the ODG defined in Section II-A.

We identified mitigable instances based on ODG automatically. Concretely, for each smell instance, we traversed each dependency, identifying missing dependencies or redundant dependencies as specified by *isMitigable* predicates. If the

detection results are not null for this smell instance, we consider it a mitigable smell. We then observed the distribution of these smells across different types and projects.

In addition, we conducted a random sampling of 24 instances of mitigable design smell eliminations in the commit history for our case studies. We manually scrutinized the modifications made by developers in the commits, while observing the number of lines of code that were altered to address a mitigable design smell. If the number of modified code lines is relatively small, it suggests that mitigable smells can be easily resolved with minor code modifications.

TABLE IX: Mitigable design smells

|  |
|--|
| An ID2 instance is mitigable if it misses <i>call</i> dependencies from other <i>extensive</i> entities to the <i>intrusively native</i> entities involved in this smell.<br><b>Solution:</b> adding <i>call</i> to remove intrusive modifications or add dependencies from <i>extensive</i> entities to <i>intrusively native</i> entities. |
| A UD1 instance is mitigable if it presents redundant dependencies of non-SDK API entities involved in this smell.<br><b>Solution:</b> removing the dependencies of non-SDK API.  |
| A UD3 instance is mitigable if it presents redundant promotion of accessibility where the <i>actively native</i> entity is originally accessible.<br><b>Solution:</b> removing the intrusive modification to undo this promotion.  |
| A UD4 instance is mitigable if it misses <i>inherit</i> or <i>override</i> dependencies from other <i>extensive</i> entities to the <i>intrusively native</i> entity.<br><b>Solution:</b> removing the intrusive modification of deleting <i>final</i> .   |
| A UD5 instance is mitigable if it presents redundant <i>reflect</i> dependencies from <i>extensive</i> entities to the <i>intrusively native</i> entity with <i>sdk_level</i> ="sdk".<br><b>Solution:</b> removing <i>reflect</i> dependencies and then depending on the <i>intrusively native</i> entity directly.                          |
| A CD1 instance is mitigable if it misses <i>inherit</i> dependencies from other <i>extensive</i> entities to the <i>intrusively native</i> entity.<br><b>Solution:</b> removing <i>inherit</i> dependency from the <i>actively native</i> entity to <i>extensive</i> entity.   |

2) *Measures:* We calculated  $MP_i$  ( $i$  denotes the design smell kind) to observe the percentage of mitigable instances to the total number of detected instances. A larger  $MP_i$  would suggest that a substantial portion of smell instances could be resolved easily and hence should be avoidable. Refactoring them is cost-effective to reduce the maintenance costs of the Android variants in the short term.

3) *Results:* Table X shows the distribution of  $MP_i$  in terms of design smell kinds. We can see that among all ID2 detection results in all project versions, 85.70% of instances are easily mitigable. The percentage for mitigable smells is the largest for ID2, followed by UD5 and UD3. On average, the mitigable instances take a portion of 52.37% among all smells that have *isMitigable* predicates.

Table XI lists the distribution of  $MP_i$  in terms of project versions. Considering the six kinds of smells that have *isMitigable* predicates, 17 out of 22 Android variants present more than 50% mitigable instances. Our case studies examined 24 instances of mitigable design smell eradicated in the commit history. Our findings revealed that 16 instances were rectified by developers through a single-line code modification, while 8 necessitated two lines of code adjustments. This indicates that mitigable smells could be fixed with minor code alterations.

The high percentage of mitigable smells and our case study results suggest that a significant number of design issues can be readily resolved. Our forthcoming research will entail analyzing a broader range of cases.

TABLE X: Mitigable design smells categorized by kinds

| Smell | ID2    | UD1    | UD3    | UD4    | UD5    | CD1    | Average |
|-------|--------|--------|--------|--------|--------|--------|---------|
| MP_i  | 85.70% | 30.71% | 61.07% | 47.58% | 65.24% | 23.93% | 52.37%  |

TABLE XI: Mitigable design smells categorized by projects

| Project      | A-Q    | A-R    | A-S    | A-T    | C-13   | C-12   | C-11   | L-20.0 |
|--------------|--------|--------|--------|--------|--------|--------|--------|--------|
| MP_i         | 87.50% | 81.82% | 75.36% | 88.89% | 100%   | 93.33% | 80.00% | 93.67% |
| Project MP_i | L-19.1 | L-18.1 | L-17.1 | L-16.0 | O-13.0 | O-12.0 | O-11   | O-10   |
|              | 67.66% | 70.33% | 78.13% | 76.39% | 100%   | 83.33% | 77.94% | 68.75% |
| Project MP_j | O-9    | I-E    | I-D    | I-C    | I-B    | I-A    |        |        |
|              | 62.16% | 32.45% | 32.40% | 32.94% | 33.13% | 35.24% |        |        |

Figure 6 illustrates mitigable design smell cases in *IndustrialX* project: UD3 and UD4 smells about *ActivityManagerService* (i.e., AMS). In I-B version, the accessibility of *cleanUpApplicationRecordLocked* (i.e., *cleanUp*) is promoted from *default* to *protected* and its *final* modifier is also removed, producing UD3 and UD4 smells. Developers introduced them to extend AMS with a sub-class namely *XXActivityManagerService* and overwrote *cleanUp* method. Therefore, UD3 and UD4 instances are non-mitigable, i.e., the defacto dependencies associated with them are consistent with their intended dependencies. In a later I-C version, developers optimized the class inheritance for AMS by deprecating the base class and sub-class without rolling back the obsolete changes of accessibility and modifier. Consequently, UD3 and UD4 become mitigable ones. Developers eradicated these smells by readily removing those obsolete changes.

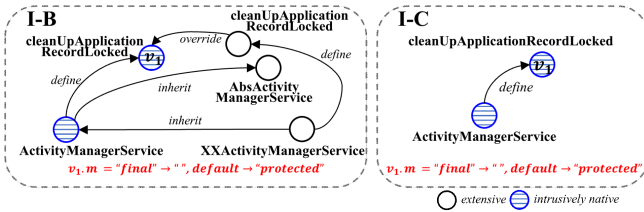


Fig. 6: A mitigable design smell case in *IndustrialX* project

**RQ3 Summary.** On average, 52.37% of design smell instances under investigation are mitigable. These mitigable smells might be resolved by minor code changes. The mitigable portion is the largest for ID2, followed by UD5 and UD3. The results are encouraging that a substantial portion of design smells can be mitigated.

#### D. Confounding Factors Analysis (RQ4)

Many studies revealed that other factors like code size and complexity are correlated with maintenance costs. In this RQ4, we investigated whether the detected design smells are not merely a set of files with larger code size, increased complexity, or object-oriented (OO) smells.

TABLE XII:  $P_{ol}$  results in RQ4

| Metric   | Top 1% |       | Top 5% |        | Top 10% |        | Average |        |
|----------|--------|-------|--------|--------|---------|--------|---------|--------|
|          | SLOC   | CC    | SLOC   | CC     | SLOC    | CC     | SLOC    | CC     |
| $P_{ol}$ | 13.33% | 6.52% | 32.06% | 31.06% | 49.46%  | 49.86% | 31.62%  | 29.15% |
| Smell    | AWD    | CH    | FE     | MH     | SS      |        | Average |        |
| $P_{ol}$ | 44.60% | 1.46% | 20.89% | 3.12%  | 10.30%  |        | 16.07%  |        |

1) *Study Setup:* We utilized a Python module called Lizard [46] to calculate the Cyclomatic Complexity (CC) [47] and code size (SLOC) for each file. Next, we used GAP [48] to identify five types of OO smells, including Abstraction without Decoupling (AWD) [49], Cyclic Hierarchy (CH) [49], Feature Envy (FE) [50], Multipath Hierarchy (MH) [49], and Shotgun Surgery (SS) [50]. We chose these five OO smells because they have been well-studied in existing work [51, 52] and involve problematic dependencies.

2) *Measures:* We computed  $P_{ol}$ , similar to previous work [8], to assess the extent of overlap between files identified as having design smells and those with high values (i.e., top 1%, 5%, 10%) of SLOC, CC, or those affected by OO smells. Given the large scale of the Android projects we studied, averaging 4.65 million SLOC, we used three thresholds (1%, 5%, and 10%) to select files with the highest SLOC and CC. A lower  $P_{ol}$  value would suggest that our design smells are not dominated by large files and OO smells. This would imply that our design smells are new factors correlated with higher maintenance costs.

3) *Results:* The first three rows in Table XII illustrate  $P_{ol}$  in terms of SLOC and CC measures under different settings. Each cell denotes the value of  $P_{ol}$  averaged on all studied projects. Considering the top 5% configuration, we observed that 32.06% of design smell instances are found in the top 5% files with the largest size, and 31.06% of design smell instances are found in the top 5% files with the largest complexity. On average,  $P_{ol}$  is 31.62% and 29.15% in terms of SLOC and CC, respectively.

Similarly, the last two rows in Table XII list  $P_{ol}$  values regarding five different OO smells. Using FE (Feature Envy) as an example,  $P_{ol} = 20.89\%$  indicates that only 20.89% of design smell files we detected are also affected by FE smells. We achieved consistent results for all OO smells across all projects, with an average value of  $P_{ol} = 16.07\%$ . In summary, our results indicate that the detected design smells are not dominated by large files or OO Smells.

**RQ4 Summary.** The results indicate that the design smell instances we detected are not dominated by large files (in terms of SLOC and CC) or OO smells. On average, only 31.62% of our design smell files are found in large files, 29.15% of design smells are found in complex files, and 16.07% of design smells we detected are found in OO smells.

#### V. DISCUSSION

RQ1 and RQ4 results have demonstrated that files involved in design smells consume higher maintenance costs than other files and these design issues are new factors correlated with increased maintenance costs. In the practice of the industrial project, the architects have admitted these problematic dependencies are pain points to maintain. We advise that **practi-**

**tioners can utilize DroidDS to localize problematic designs that hinder the maintainability of Android variants.**

Merging AOSP changes into Android variants is a routine maintenance activity of Android updates, inevitably leading to code conflicts. Our RQ2 study revealed that 72.26% of conflicts can be captured by design smells. 19.61% of such conflicts are recurring ones across different versions and projects. The observations suggest that **fixing design smells has the potential to mitigate conflict risks.**

In our RQ3 results, we found that 33.23% of design smell instances in the five versions of the *IndustrialX* project are *mitigable*. We shared these results with the industrial developers working on the project. The developers admitted that they sometimes intentionally introduce design smells to expedite version releases. In response to our findings on mitigable smells, they are now **prioritizing refactoring efforts to address *mitigable* issues related to ID and UD** since these smells take a substantial portion.

The maintenance and co-evolution issues can also be observed in other software ecosystems. For example, OpenHarmony is a new open-source mobile platform at an earlier stage compared to Android and iOS [53]. In the web browser domain, popular browsers like Microsoft Edge [54, 55] and Brave Browser [56] are developed upon and evolve with the open-source Chromium [57]. **Our work will facilitate the studies of other ecosystems that consist of an original base software and divergent variants.**

## VI. RELATED WORK

**Design Smell Detection.** Lippert and Roock [17] provided an initial category of smells. Much work [7, 51, 58] followed it to enrich the categories. Cai et al. [8] conveyed a similar notion through the term “architecture anti-patterns”, highlighting that such issues lead to heavy maintenance costs. Recent work focused on Cyclic Dependency [59, 60] and Unstable Dependency [20, 21, 61]. Some work [62–64] detected and refactored smells in Android applications (i.e., APPs). Various techniques have been designed to detect smells, such as metrics-based [65–67], optimization-based [68, 69], graph-based [70, 71], and learning-based ones [72, 73]. The work of [74] identified smells by predicting package-level dependencies. Jafari et al. [75] investigated dependency smells, i.e., library dependencies and configuration dependencies, in JavaScript. Unlike them, our work concerns the design smells eroding the boundary between Android variants and AOSP. We define these smells and propose DroidDS to reveal them.

**Android Evolution Studies.** The rapid evolution of the Android OS and the diverse customizations by manufacturers have brought many challenges such as compatibility issues [76, 77], complexity [5], deprecated API updates [78, 79], and security problems [80, 81]. Prior work proposed diverse solutions to address the issue of Android updates. Haryono et al. [82, 83] developed tools to update deprecated Android APIs for mobile APPs. Researchers [4, 6] empirically studied merge conflicts due to updates. Jin et al. [5] demystified the coupling between the AOSP and its various customizations.

Our work is the first to formally define design smells for Android customizations, evaluating their severe impact on maintenance costs and merge conflicts.

## VII. THREATS TO VALIDITY

The first threat is the accuracy of our DroidDS. It is impossible to verify all design smells due to their large number of associated dependencies. To mitigate this, we randomly sampled 947 instances for manual checking, taking a portion of 4.6% from detection results. The recall and the precision achieved 100% and 98.94%. Second, we cannot claim that our work covers all patterns and smells that erode the design boundary between Android customizations and AOSP. We also can not claim that our results are generalizable across all Android projects. To reduce it, we collected 22 Android variants and 22 AOSP versions for an extensive study, including one industrial project. As far as we can know, our data set is the largest compared to related work. Third, Like previous studies [8, 40, 59], we are not claiming that we have considered all confounding factors (e.g., code refactoring) that can affect change-proneness and bug-proneness. Fourth, similar to previous research [8, 40], we conducted a correlation analysis between the presence of design smells and increased maintenance costs. We are not asserting a causal relationship between them. Another threat is the *isMitigable* predicates in Table IX. To be rigorous, we only reported the predicates that our industrial collaborators confirmed consistently. We will explore other *mitigable* smells.

## VIII. CONCLUSION

We have formally defined the design smells that break the clear design boundary between Android variants and AOSP. We have designed DroidDS to detect these violations based on an Ownership-attributed Dependency Graph. Our results on 22 Android variant versions and 22 corresponding AOSP versions demonstrate the severe impact of design smells on Android variant maintenance. Files involved in design smells are change-prone and bug-prone, capturing more than half of update conflicts. Industrial collaborators responsible for the investigated commercial project have confirmed our results and embarked upon integrating DroidDS into their software engineering platform to alert erosion. Our work provides a foundation for developers to pinpoint and prioritize design issues that hinder the co-evolution of their Android customization with AOSP. We envision our research will also benefit other software ecosystems that consist of one original project and divergent variants.

## ACKNOWLEDGMENT

This work was supported by National Key R&D Program of China (2022YFB2703500), National Natural Science Foundation of China (62232014, 62372368, 62272377, 62293501, 62293502, 62032010, 62372367), the Fundamental Research Funds for the Central Universities, the Honor Corporation, and Young Talent Fund of Association for Science and Technology in Shaanxi, China.

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