PointGroupNRG Manual

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Contents

1	Overview	2
2	Julia basics 2.1 Simple variables	
3	Symmetry and irreducible representations	4
4	Workflow	5
5	Clebsch-Gordan coefficients	5
6	Multiplet calculation: compute_multiplets 6.1 Necessary arguments	. 6 . 7 . 7 . 7
7	NRG calculation: nrgfull 7.1 Necessary arguments	. 9 . 9 . 9 . 10 . 10 . 10 . 10

7.2.4	<pre>onsite::Dict{SF,Vector{ComplexF64}}=Dict{}}()</pre>	11	
7.2.5	<pre>interaction::Dict{Tuple{String,Float64},Matrix{Com</pre>	plexF64}}=Dict{}()	11
7.2.6	<pre>spectrum::Dict{Tuple{Int64,String,Float64},Vector{</pre>	Float64}}=Dict{}()	12
7.2.7	<pre>lehmann_iaj::Dict{G3,Array{ComplexF64,4}}=Dict{</pre>	<pre>}() 13</pre>	
7.2.8	until::String=""	13	
7.2.9	clebschgordan_path::String=""	13	
7.2.10	identityrep::String=""		
	max_SJ2::Int64=10		
7.2.12	<pre>channels_dos::Dict{SF,VectorFunction}=Dict{SF,Vect</pre>	orFunction}() 14	
7.2.13	mine::Float64=0.0	14	
7.2.14	betabar::Float64=1.0	14	
7.2.15	<pre>spectral::Bool=false</pre>	14	
7.2.16	broadening_distribution::String="loggaussian"	14	
7.2.17	spectral_broadening::Float64=0.5	14	
7.2.18	<pre>K_factor::Float64=2.0</pre>	14	
7.2.19	<pre>compute_impurity_projections::Bool=false</pre>	14	
7.2.20	band_width::Float64=1.0	14	
Averaging	over various discretizations	14	

1 Overview

This manual describes how to use the PointGroupNRG code. Some context and theory are introduced at certain points, but it does not serve as a comprehensive guide to the NRG technique. For a comprehensive review of the NRG method, see Ref. [bulla2008]. For a description of the theory and implementation specific to PointGroupNRG, see Refs. [calvo-fernandez2024] and [new arxiv], which also contain useful references specific to the applications of symmetry in the NRG.

This manual starts in Section 4 by describing the typical steps in the preparation and execution of an NRG calculation. Section 5 describes the format for the Clebsch-Gordan coefficients that have to be provided in order to use finite point or double group symmetries. Sections 6 and 7 deal with the main functions provided by the code and are organized by describing first the required arguments and then the optional ones, introducing the necessary information along the way. Section 6 covers the compute_multiplets function of the PointGroupNRG.MultipletCalculator submodule, which constitutes the first step in the setup of a model. Section 7 gives information about the nrgfull function of the PointGroupNRG.NRGCalculator submodule, which constructs the model and solves it using the NRG.

The functions compute_multiplets and nrgfull as they are defined in their respective submodules have more optional keyword arguments than those described here. The arguments not described here are in general related to features in development and changing their value might cause the program to crash.

2 Julia basics

To make the manual self-contained, here we describe the variable types required to use the code and how to construct them. Usage examples can be found in the examples/ directory. Nonetheless, we encourage using the official Julia documentation [juliadoc].

The main functions in PointGroupNRG have typed arguments, meaning that the variables given as input need to be of the required type for the function to recognize them. Since it is not possible in Julia to define a variable to be of a definite type in global scope as one would do inside a function, e.g. n::Int64=1, here we describe how to initialize variables to match the desired type.

2.1 Simple variables

- Int64: Integer numbers with 64-bit precision. For example, n=1 initializes n as an Int64.
- Float64: Real floating point number with 64-bit precision. For example, f=1.0 initializes f as a Float64.
- ComplexF64: Complex floating point number with 64-bit precision. For example, c=1.0+0.0im or c=ComplexF64(1.0) initialize c as the same ComplexF64.
- String: For example, s="PointGroupNRG" initializes s as a String.

2.2 Containers

- Tuple{T1,T2,...,Tn}: immutable aggregation of n values, each with its own type. For example, t=(1,"a",1.0) is a tuple with type Tuple{Int64,String,Float64}.
- Array{T,N}: N-dimensional array containing elements of type T. For example, zeros(ComplexF64,2,3,4) creates a 3-dimensional array of complex numbers with dimensions 2, 3 and 4 in the indices 1, 2 and 3, respectively.
- Vector{T}: 1-dimensional array containing elements of type T. For example, v=[1.0 2.0 3.0] and v=Float64[1 2 3] create equal vectors of Float64 elements.
- Matrix{T}: 2-dimensional array containing elements of type T. For example, m=[1.0+0.0im 0.0im; 0.0im 1.0+0.0im] and m=ComplexF64[1 0; 0 1] create equal matrices containing elements of type ComplexF64. One-dimensional matrices can be created as, e.g., m1d=[1.0+0.0im;;].
- Dict{Tk,Tv}: Dictionary containing keys of type Tk pointing to values of type Tv. For example, d=Dict("a"=>[1.0]) and d=Dict{String,Vector{Float64}}("a"=>[1.0]) create equal dictionaries of type Dict{String,Vector{Float64}}.

3 Symmetry and irreducible representations

The code works for three symmetry types, one for each symmetry-breaking situation and each with its own irreducible representations, although the latter share the same structure:

• Crystal field:

$$G_{\text{UPS}} = U(1)_{\text{C}} \otimes P_{\text{O}} \otimes SU(2)_{\text{S}}$$
 (1)

is the combination of the unitary charge symmetry $U(1)_{\rm C}$ leading to particle conservation, the orbital point group symmetry $P_{\rm O}$, and the rotational symmetry in spin space $SU(2)_{\rm S}$ leading to total spin conservation. The irreps are

$$\Gamma_{\text{UPS}} = (N, I, S), \tag{2}$$

where N is the particle number, I is the point group irrep, and S is the total spin, and they are represented in the code with variables of the type Tuple{Int64,String,Float64}, for instance (2,"A1g",2.0) represents the irrep of states with N=2 particles, orbital symmetry $I=A_{1g}$ and total spin S=2; this is the same representation that is going to be used for all symmetry groups: the Int64 slot is used for the particle number, the String slot for the finite (point or double) group irrep, and the Float64 for the total spin or total angular momentum.

• Spin-orbit coupling:

$$G_{\rm UJ} = U(1)_{\rm C} \otimes SU(2)_{\rm OS} \tag{3}$$

is the combination of the unitary charge symmetry $U(1)_{\rm C}$ leading to particle conservation and the spin-orbital rotation group $SU(2)_{\rm OS}$ leading to the conservation of total angular momentum. The irreps are of the type (2,"anything",3.5), which is the irrep of states with N=2 particles and total angular momentum J=3.5; "anything" in the String slot just means that the slot is unused, so it can be filled with any String and the code will internally handle it as an identity irrep.

• Crystal field and spin-orbit coupling:

$$G_{\rm UD} = U(1)_{\rm C} \otimes D_{\rm OS} \tag{4}$$

is the combination of the unitary charge symmetry $U(1)_{\rm C}$ leading to particle conservation and the spin-orbital double group $D_{\rm OS}$. The irreps are of the type (2, "A1g", 0.0), which is the irrep of states with N=2 particles and belonging to the double group irrep I="A1g"; 0.0 is the identity irrep of angular momentum (spin S or total angular momentum J), which serves to turn off the continuous rotation symmetry SU(2).

 $G_{\rm UD}$ and $G_{\rm UJ}$.

4 Workflow

The number of steps required for an NRG calculation varies depending on the amount of setup information that is already available. Here we describe all the necessary steps for a full calculation starting from scratch for a model requiring Clebsch-Gordan coefficients for a point or double group. The workflow consists of three main steps:

- 1. Prepare the directory containing the Clebsch-Gordan coefficients following the format specificed in Section 5. These coefficients will then be read by the functions executed in the next steps. This step is not required for models with total angular momentum conservation, since the Clebsch-Gordan coefficients for the SU(2) spin-orbital symmetry group are computed by the program.
- 2. Compute the multiplet states for the electronic degrees of freedom of the conduction band and, if necessary, for the impurity (see Section 7.2.2). This is achieved with the compute_multiplets function described in Section 6, which calculates the multiplet states and stores them into an appropriate format. This step has to be performed only once for each irrep of the electronic degrees of freedom of a given model, so it is recommended to include it in an independent script separate from step 3.
- 3. Construct the model and perform the NRG calculation using the nrgfull function described in Section 7.2.2.

5 Clebsch-Gordan coefficients

Calculations for models with point or double group symmetries require the Clebsch-Gordan coefficients for the group given in the following format: The coefficients are organized according to the reduction of irrep products. If A and B are the user-given names of two irreps of the finite group G, then their decomposition is

$$A \boxtimes B = \bigoplus_{C} L(C)C, \tag{5}$$

where C are irreps of G and L(C) is the number of times C appears in the decomposition. On top of the names, the irreps of G must be arbitrarily numbered by the user, starting from 0. If A, B and C are the a-th, b-th and c-th irreps of G, respectively, then the Clebsch-Gordan coefficients of the irrep decomposition of A \boxtimes B must be contained in a file called axb_AxB.txt. That file should be organized in paragraphs separated by blank lines, each paragrah containing the Clebsch-Gordan coefficients associated to the subspaces belonging to each irrep in the following format:

where $l=1,\ldots,L$ labels the distinct subspaces belonging to C that arise in the decomposition of $A\boxtimes B$, and $<(A, 1; B, 1 \mid C, 1)>$ is meant to be substituted by the value of the Clebsch-Gordan coefficient given in any format that Julia can parse into a complex number. The dots ... have to be filled with the rest of the Clebsch-Gordan coefficients following the same format,

```
(gA gB | gC) = <(A, gA; B, gB | C, gC)
```

where gA, gB and gC are the partner numbers of the irreps A, B and C, respectively. Only the non-zero coefficients are necessary. To compare this with the notation in Refs. [calvo-fernandez2024] and [new arxiv], substitute the irreps (partners) following the rule $\Gamma_A \leftrightarrow A (\gamma_A \leftrightarrow gA)$, $\Gamma_B \leftrightarrow B (\gamma_B \leftrightarrow gB)$ and $\Gamma_C \leftrightarrow C (\gamma_C \leftrightarrow gC)$. The files for all the irrep combinations A\B with a\geq b (to avoid redundancy) must be stored into a directory.

6 Multiplet calculation: compute_multiplets

The function compute_multiplets computes the many-body multiplet states arising from the combination of electrons in states belonging to the same one-electron irrep. If the impurity and conduction electrons occupy states belonging to irreps Γ_1 and Γ_2 , for instance, with dimensions $\dim(\Gamma_1)$ and $\dim(\Gamma_2)$, respectively, then compute_multiplets has to be run once for Γ_1 and once for Γ_2 . This is also the case if there are several multiplet subspaces belonging to Γ_1 , for example, because the resulting states are distinguished only by an outer multiplicity label that is taken care of by nrgfull (see Section 7). The function is defined as itemize

```
function compute_multiplets(
    symmetry::String;
    irrep::SF="" ,
    multiplets_path::String="multiplets" ,
    clebschgordan_path::String=""
) where {SF<:Union{String,Float64}}</pre>
```

6.1 Necessary arguments

6.1.1 symmetry::String

Determines the type of symmetry. The accepted values are:

• "pointspin" or "PS": Uses the symmetry type

$$G_{\text{UPS}} = U(1)_{\text{C}} \otimes P_{\text{O}} \otimes SU(2)_{\text{S}},$$
 (6)

which is the outer direct product of unitary charge symmetry $U(1)_{\rm C}$ (particle conservation), the orbital point group $P_{\rm O}$, and spin rotation symmetry $SU(2)_{\rm S}$ (conservation of total spin).

• "doublegroup" or "D": Uses the symmetry type

$$G_{\text{UOS}} = U(1)_{\text{C}} \otimes D_{\text{OS}},$$
 (7)

which is the outer direct product of unitary charge symmetry $U(1)_{\rm C}$ (particle conservation) and the spin-orbital double group $D_{\rm OS}$.

• "totalangularmomentum" or "J": Uses the symmetry type

$$G_{\rm UJ} = U(1)_{\rm C} \otimes SU(2)_{\rm OS}, \tag{8}$$

which is the outer direct product of unitary charge symmetry $U(1)_{\rm C}$ (particle conservation) and spin-orbital rotational invariance (conservation of total angular momentum).

6.2 Optional keyword arguments

6.2.1 irrep::SF=""

One-electron irrep for which to compute the multiplet states. The specification of the irrep changes depending on the value of symmetry (6.1.1):

- If symmetry=="PS" or symmetry=="pointspin", then irrep must be a String and is the name of the name of the point group irrep as it appears in the Clebsch-Gordan coefficient files (see 5).
- If symmetry=="D" or symmetry=="doublegroup", then irrep must be a String and is the name of the name of the double group irrep as it appears in the Clebsch-Gordan coefficient files (see 5).
- If symmetry="J" or symmetry="totalangularmomentum", then irrep must be a Float and is the value of the total angular momentum J of the electrons, where J = L + S.

6.2.2 clebschgordan_path::String=""

Absolute or relative path of the directory where the Clebsch-Gordan coefficients are stored (see 5). It must be provided only if symmetry=="D", symmetry=="doublegroup", symmetry=="PS", symmetry=="pointspin", as the Clebsch-Gordan coefficients of SU(2) are computed by the program.

6.2.3 multiplets_path::String="multiplets"

Absolute or relative path of the parent directory where the directories containing the multiplet states for the chosen irreps (see 6.2.1) will be stored. It must be the same for irreps intented to be used in the same NRG calculation. If it does not already exist, it is automatically created.

7 NRG calculation: nrgfull

The nrgfull function constructs the model from the input and the multiplet states computed by compute_multiplets (see 6), and it solves it using the NRG method. It can be used to calculate thermodynamic functions (see 7.2.2), spectral functions (see 7.2.15), and/or thermodynamic weights (see)

```
function nrgfull(
    symmetry::String ,
    label::String ,
   L::Float64 ,
    iterations::Int64,
    cutoff::IF ,
    shell_config::Dict{SF,Int64} ,
    tunneling::Dict{SF,Matrix{ComplexF64}} ;
   multiplets_path::String="multiplets" ,
    calculation::String="IMP" ,
    impurity_config::Dict{SF,Int64}=Dict{SF,Int64}() ,
    onsite::Dict{SF,Vector{ComplexF64}}=Dict{SF,Vector{ComplexF64}}() ,
    interaction::{Tuple{String,Float64},Matrix{ComplexF64}}=Dict{ Tuple{String,Float64}}
    spectrum::Dict{ClearIrrep,Vector{Float64}}=Dict{ClearIrrep,Vector{Float64}}() ,
    lehmann_iaj::Dict{ClearTripleG,Array{ComplexF64,4}}=Dict{ClearTripleG,Array{ComplexF
    # run only until a certain point for partial information
    # - 2-particle multiplets
    # - impurity spectrum
    # - impurity-shell spectrum
    until::String = "",
    clebschgordan_path::String="" ,
    identityrep::String="",
    z::Float64=0.0 ,
   max_SJ2::Int64=10 ,
    channels_dos::Dict{ SF , Vector{Function} }=Dict{ SF , Vector{Function} }() ,
   mine::Float64=0.0,
    betabar::Float64=1.0,
    spectral::Bool=false ,
   broadening_distribution::String="loggaussian",
    spectral_broadening::Float64=0.5,
    K_factor::Float64=2.0 ,
    compute_impurity_projections::Bool=false ,
   band_width::Float64=1.0 ,
   print_spectrum_levels::Int64=0 ,
) where {SF<:Union{String,Float64},IF<:Union{Int64,Float64}}
```

7.1 Necessary arguments

7.1.1 symmetry::String

See 6.1.1.

7.1.2 label::String

Label or name given to the system. It will appear in the names of the output files: thermodynamic functions, spectral functions and impurity projections. Output files are ovewritten by subsequent calculations with the same value of label.

7.1.3 L::Float64

Discretization parameter Λ . See Ref. [bulla2008]. Lower values provide more accurate results, larger values result in convergence for lower cutoffs (see 7.1.5). Large values of Λ usually produce low resolution thermodynamic and spectral functions that can contain spurious oscillations. Oscillations can be removed in many cases by averaging over even and odd step results, which is done automatically, resulting in improved spectral functions and often completely satisfactory thermodynamic functions. It is possible to go further by averaging over various discretizations (see 8). In general, it is recommended to use low values $\Lambda \in [2,3]$ for the spectral functions, while for thermodynamic functions values as large as $\Lambda = 10$ often give satisfactory results.

7.1.4 iterations::Int64

Number of iterations in the NRG calculation. Lower temperatures (for thermodynamic function calculations) and lower energies (for spectral function calculations) are reached with more iterations.

7.1.5 cutoff: IF

Cutoff imposed on the multiplets: after each iterations, multiplets above the cutoff are discarded. The type of cutoff varies depending on the type of the input cutoff:

- If cutoff is an Int, e.g. 300, then it specifies the number of multiplets kept at each iteration.
- If cutoff is a Float, e.g. 7.0, then it specifies the cutoff energy: multiplets with energies larger than that are discarded.

In both cases, the program tries to avoid breaking accidental degeneracies by checking for a small energy window above the imposed cutoff and keeping also those states.

7.1.6 shell_config::Dict{SF,Matrix{ComplexF64}}

It specifies the configuration of the conduction channels. It has the structure

```
Dict(
    G1 => n1,
    G2 => n2,
    ...
)
```

where Gi are the irreps in the format described in 6.2.1 and ni specify the number of channels with the symmetry defined by the irrep. The multiplet states for each irrep Gi must have been calculated previously with compute_multiplets (6).

7.1.7 tunneling::Dict{SF,Matrix{ComplexF64}}

It specifies the tunneling amplitudes $V(\Gamma_a)_{r_ar_b}$ as a dictionary with the format

```
Dict(
    G1 => amplitudes1,
    G2 => amplitudes2,
    ...
)
```

where Gi are the irreps Γ_a in the format described in 6.2.1 and amplitudesi are the amplitudes given as a MatrixComplexF64 with indices r_a, r_b .

7.2 Optional keyword arguments

7.2.1 multiplets_path::String="multiplets"

Same as 6.2.3.

7.2.2 calculation::String="IMP"

The value of this variable sets whether the impurity is included in the calculation or not, and is relevant in particular for the calculation of thermodynamic functions, which is performed automatically in every case. These are the possible values:

• calculation="IMP": the impurity is included in the calculation, which is necessary for spectral function calculations (7.2.15) and impurity projection (7.2.19) calculations. The thermodynamic functions for the model are stored in the directory thermodata/, which is created automatically if it does not previously exist, and are distinguished by containing imp in their names. If a calculation="CLEAN" run has been performed (see below), the impurity contribution to the thermodynamic functions is automatically computed by subtracting the "CLEAN" results from the "IMP"

results (see Ref. [bulla2008] for more details), and the resulting functions are stored in thermodata and distinguished by containing diff in their names.

• calculation="CLEAN": the impurity is excluded for the calculation, leaving only the conduction channels in the model. The resulting thermodynamic functions are stored in thermodata and distinguished by containing clean in their names. This is typically only used for calculating the impurity contribution to the thermodynamic functions.

7.2.3 impurity_config::Dict{SF,Int64}

It defines the degrees of freedom of the impurity for the standard Anderson model (not the ionic model) defined in terms of the on-site energies (7.2.4) and the interaction term (7.2.5). The program assumes that one model or the other is intended based on the value of 7.2.6 and it checks whether the rest of the necessary variables for that model are provided. impurity_config follows the same format as 7.1.6 and it also requires the previous calculation of the multiplet states using compute_multiplets (6).

7.2.4 onsite::Dict{SF, Vector{ComplexF64}}=Dict{...}}()

On-site energy term

$$\sum_{a} \epsilon(\Gamma_a)_{r_a} c_a^{\dagger} c_a \tag{9}$$

given in the format

```
Dict(
    G1 => energies1,
    G2 => energies2
    ...
)
```

where Ga is the irrep Γ_a given in the same format as 6.2.1 and energiesa is a vector with index r_a containing the eigenenergies corresponding to the multiplets $m_a = (\Gamma_a, r_a)$. For more information, see Refs. [calvo-fernandez2024] and [new arxiv].

7.2.5 interaction::Dict{Tuple{String,Float64},Matrix{ComplexF64}}=Dict{...}()

Parameters of the interaction term

$$\sum_{a,b,c,d} U_{abcd} c_a^{\dagger} c_b^{\dagger} c_c c_d \tag{10}$$

defined by the set of parameters $U(\Gamma_u)_{r_ur_v}$ from which the rest are constructed following the procedure described in Ref. [calvo-fernandez2024]. The argument interaction contains the parameters $U(\Gamma_u)_{r_ur_v}$ in the format

```
Dict(
    G1 => matrix_elements1,
    G2 => matrix_elements2
    ...
)
```

where Gu are the two-particle irreps Γ_u and matrix_elements are the matrix elements $U(\Gamma_u)_{r_ur_v}$ for that irrep Γ_u with r_u, r_v as indices. The irreps Gu are given in Tuple{String,Float64}, which has to fulfill the following conditions depending on the symmetry of the system:

- First entry (String): It is the point or double group irrep. If symmetry=="J" or symmetry=="totalangularmomentum", the program will automatically transform the given value to the standard identity irrep value "A".
- Second entry (Float64): If symmetry=="PS" or symmetry=="pointspin", it is the total spin quantum number. If symmetry=="J" or symmetry=="totalangularmomentum", it is the total angular momentum quantum number. Otherwise, the given value will be transformed to the identity irrep value 0.0.

See also 7.2.6.

7.2.6 spectrum::Dict{Tuple{Int64,String,Float64},Vector{Float64}}=Dict{...}()

It is the spectrum of the impurity in the ionic model, which defines the impurity term in the Hamiltonian (see [new arxiv])

$$H_{\rm imp} = \sum_{i} \epsilon(\Gamma_i)_{r_i} |i\rangle\langle i|. \tag{11}$$

The spectrum is provided in the format

```
Dict(
    G_1 => energies_1,
    G_2 => energies_2,
    ...
)
```

where G_i is the many-body irrep defined as a Tuple{Int64,String,Float64}, with the first entry being the particle number and the second and third entries defined in 7.2.5. All together, an irrep G_i (Γ_i) takes the full form $G_i = (N_i, I_i, S_i)$) ($\Gamma_i = (N_i, I_i, S_i)$) or $\Gamma_i = (N_i, I_i, J_i)$), where N_i (N_i) is the number of particles, I_i (I_i) is the point or double group irrep, and S_i (I_i) is the total spin or total angular momentum. For each irrep key I_i (I_i) there is a Vector{Float64} energies_i (I_i) with fixed I_i 0 associated vector with indices I_i 1

If this argument is set to a value different from the default, the ionic model is used.

7.2.7 lehmann_iaj::Dict{G3,Array{ComplexF64,4}}=Dict{...}()

Reduced Lehmann amplitudes (matrix elements of the creation operator), used in the ionic model.

$$\langle \Gamma_i, r_i || f_{\Gamma_a, r_a}^{\dagger} || \Gamma_j, r_j \rangle$$
 (12)

Here G3 means NTuple{3,Tuple{Int64,String,Float64}}. The format of spectrum is

```
Dict(
    (G_i,G_a,G_j)_1 => amplitudes_1
    (G_i,G_a,G_j)_2 => amplitudes_2
    ...
)
```

where the irreps G_i (Γ_i), G_a (Γ_a) and G_j (Γ_j) are the ones appearing in the reduced matrix elements and amplitudes i are Array{ComplexF64,4} with indices α, r_i, r_a, r_j containing the amplitudes for the irreps specified in the key.

7.2.8 until::String=""

Setting until to a value different from the default until="" causes nrgfull to stop at a certain stage of the calculation, which varies depending on the value given to until:

- until="2-particle multplets": nrgfull runs until it computes the impurity part of the Hamiltonian, after which it prints out information about the 2-particle multiplet states and then stops. This is particularly useful in order to know the symmetry-adapted parameters determining the Coulomb interaction that make up the input variable interaction (see Ref. [calvo-fernandez2024])
- until="impurity spectrum": nrgfull runs until it computes the impurity spectrum, after which it prints it out and then stops. This is useful for checking the spectrum resulting from a given choice of symmetry-adapted Hamiltonian parameters onsite and interaction.
- until="impurity-shell spectrum": nrgfull runs until it computes the spectrum of the impurity plus the innermost conduction shell, after which it prints it out and then stops. This is useful for checking the spectrum resulting from the impurity spectrum plus the coupling to the conduction band via tunnneling.

7.2.9 clebschgordan_path::String=""

Same as 6.2.2.

7.2.10 identityrep::String=""

Identity irrep of the point group or the double group as it appears in the Clebsch-Gordan coefficients given in the directory clebschgordan_path (see 6.2.2). It is not necessary if symmetry=="J" or symmetry=="totalangularmomentum" (see 7.1.1).

7.2.11 max_SJ2::Int64=10

Maximum value of twice the total spin, 2S, (for symmetry="PS" or symmetry="pointspin") or twice the total angular momentum, 2J, (for symmetry="J" or symmetry="totalangularmomentum") that is expected to appear in the NRG calculations (see 7.1.1). This is used for computing sums over Clebsch-Gordan coefficients, which is done at the beginning of the calculation. If a value of max_SJ2 larger than the given one is needed, the program will give an error.

- 7.2.12 channels_dos::Dict{SF, VectorFunction}=Dict{SF, VectorFunction}()
- 7.2.13 mine::Float64=0.0
- 7.2.14 betabar::Float64=1.0
- 7.2.15 spectral::Bool=false
- 7.2.16 broadening_distribution::String="loggaussian"
- 7.2.17 spectral_broadening::Float64=0.5
- 7.2.18 K_factor::Float64=2.0
- 7.2.19 compute_impurity_projections::Bool=false
- **7.2.20** band_width::Float64=1.0

8 Averaging over various discretizations