

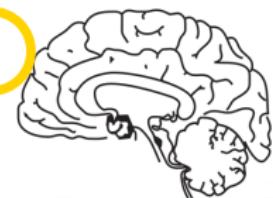
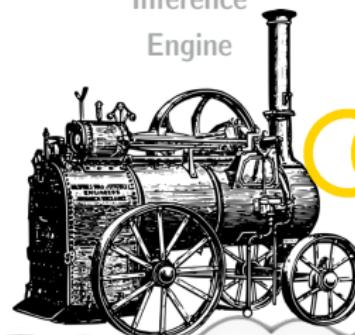
Deduction and Induction

A Match Made in Heaven

Stephan Schulz

The
Inference
Engine

Machine
Learning



DHBW

E

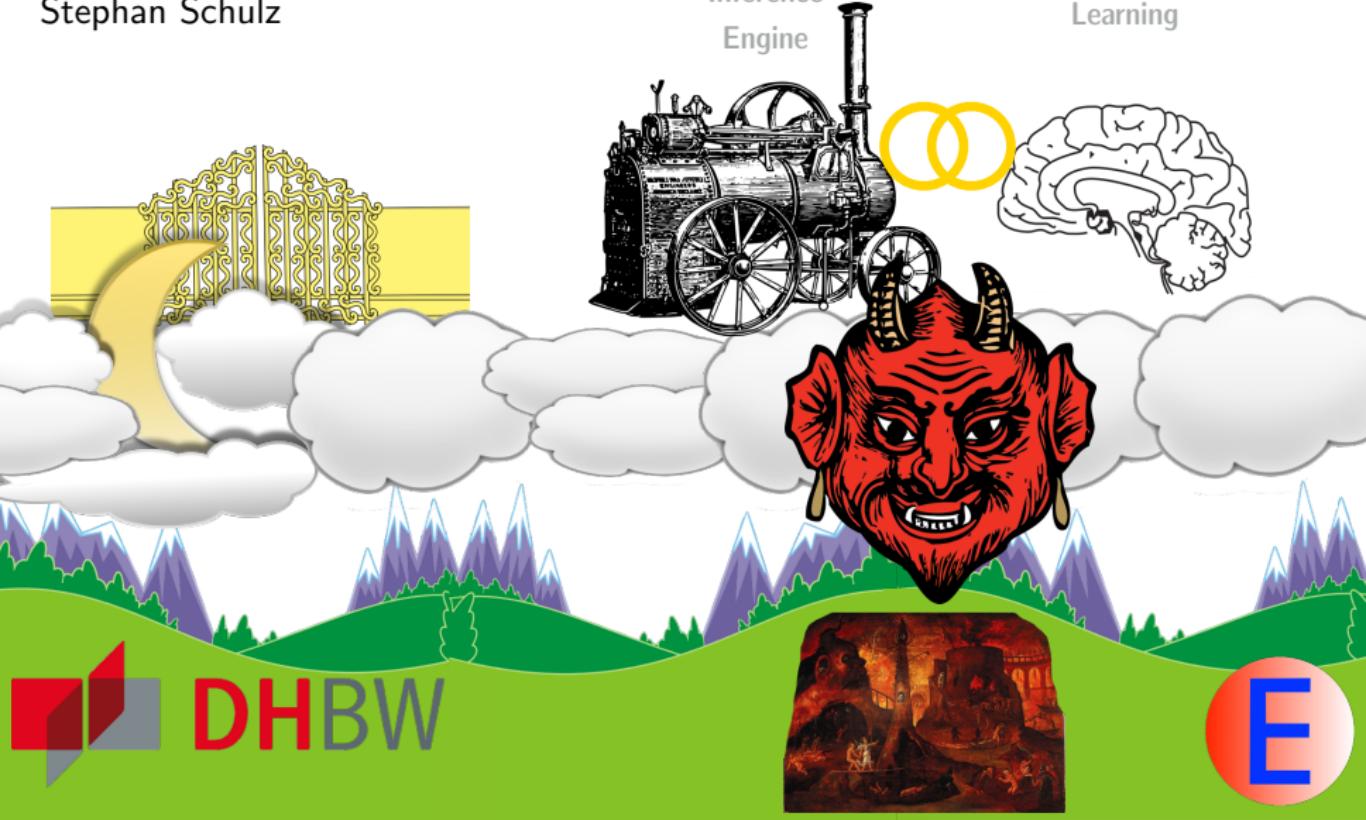
Deduction and Induction

A Match Made in Heaven or a Deal with the Devil?

Stephan Schulz

The
Inference
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DHBW

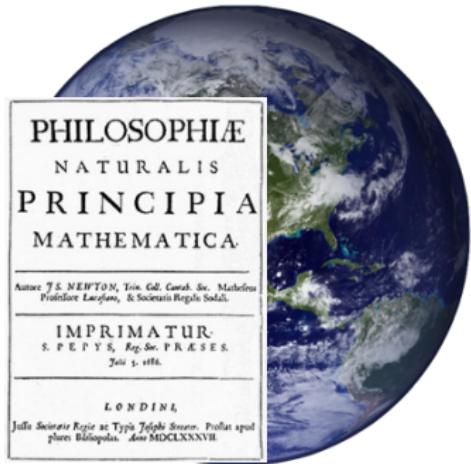
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Agenda

- ▶ Search and choice points in saturating theorem proving
- ▶ Basic questions about learning
- ▶ Learning from performance data
 - ▶ Classification and heuristic selection
 - ▶ Parameters for clause selection
- ▶ Learning from proofs and search graphs
 - ▶ Proof extraction
 - ▶ Learning clause evaluations (?)
- ▶ Conclusion

Theorem Proving: Big Picture

Real World Problem

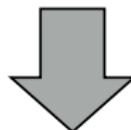


Formalized Problem

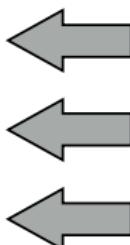
$$\begin{aligned} \forall X : \text{human}(X) &\rightarrow \text{mortal}(X) \\ \forall X : \text{philosopher}(X) &\rightarrow \text{human}(X) \\ \text{philosopher}(\text{socrates}) \end{aligned}$$

?
 \models

$\text{mortal}(\text{socrates})$



Proof
or
Countermodel
or
Timeout



ATP
Proof Search

Contradiction and Saturation

- ▶ Proof by contradiction
 - ▶ Assume negation of conjecture
 - ▶ Show that axioms and negated conjecture imply falsity
- ▶ Saturation
 - ▶ Convert problem to Clause Normal Form
 - ▶ Systematically enumerate logical consequences of axioms and negated conjecture
 - ▶ Goal: Explicit contradiction (empty clause)
- ▶ Redundancy elimination
 - ▶ Use contracting inferences to simplify or eliminate some clauses



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Search control problem: How and in which order do we enumerate consequences?



Proof Search and Choice Points

- ▶ First-order logic is semi-decidable
 - ▶ Provers search for proof in infinite space
 - ▶ ... of possible derivations
 - ▶ ... of possible consequences
- ▶ Major choice points of Superposition calculus:
 - ▶ Term ordering (which terms are bigger)
 - ▶ (Negative) literal selection
 - ▶ Selection of clauses for inferences (with the **given clause** algorithm)

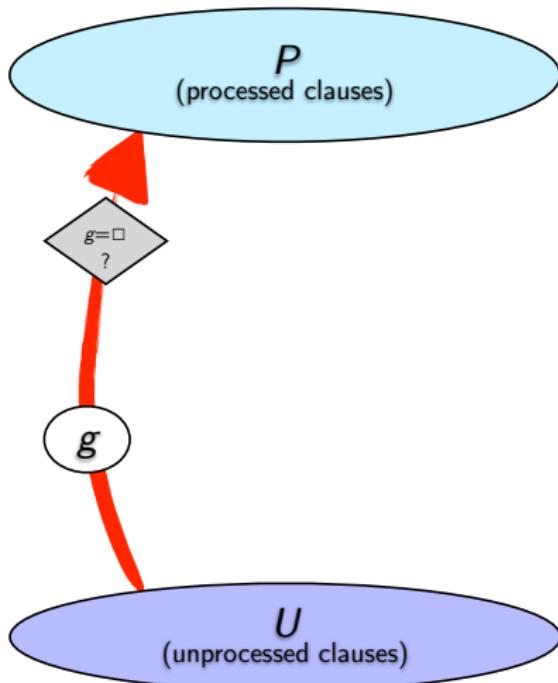
Term Ordering and Literal Selection

- ▶ Negative Superposition with selection

$$\frac{C \vee s \simeq t \quad D \vee u \not\simeq v}{(C \vee D \vee u_{[p \leftarrow t]} \not\simeq v)_{\sigma}}$$

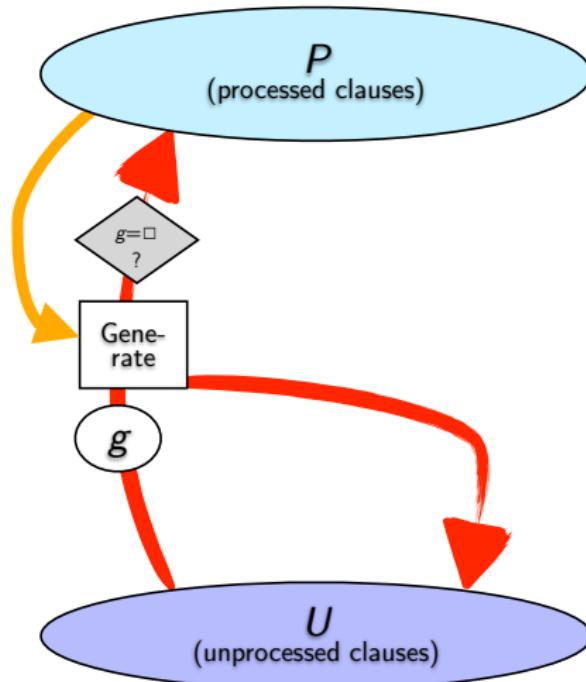
- ▶ if $\sigma = \text{mgu}(u|_p, s)$
 - ▶ and $(s \simeq t)_{\sigma}$ is \succ -maximal in $(C \vee s \simeq t)_{\sigma}$
 - ▶ and s is \succ -maximal in $(s \simeq t)_{\sigma}$
 - ▶ and $u \simeq v$ is selected in $D \vee u \not\simeq v$
 - ▶ and u is \succ -maximal in $(s \simeq t)_{\sigma}$
- ▶ Choice points:
 - ▶ \succ is a ground-total rewrite ordering
 - ▶ Consistent throughout the proof search
 - ▶ I.e. in practice determined up-front
 - ▶ Any negative literal can be selected
 - ▶ Current practice: Fixed scheme picked up-front

The Given-Clauses Algorithm



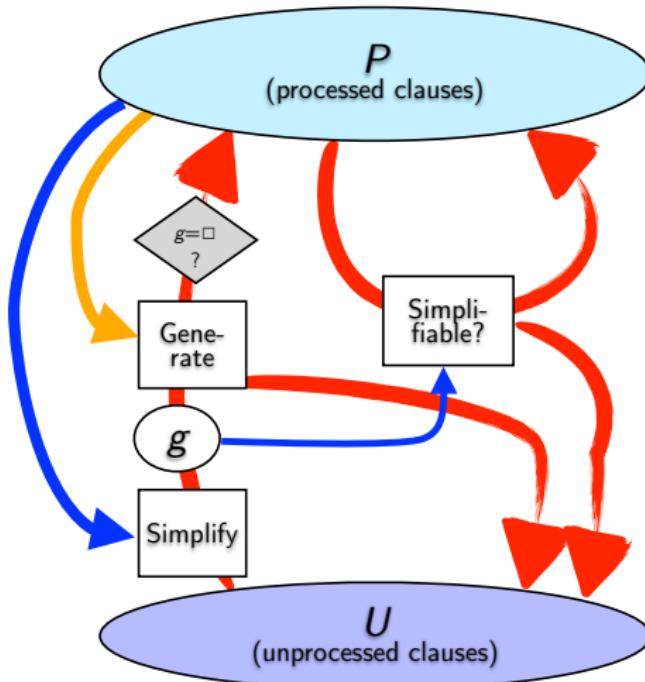
- ▶ Aim: Move everything from U to P

The Given-Clauses Algorithm



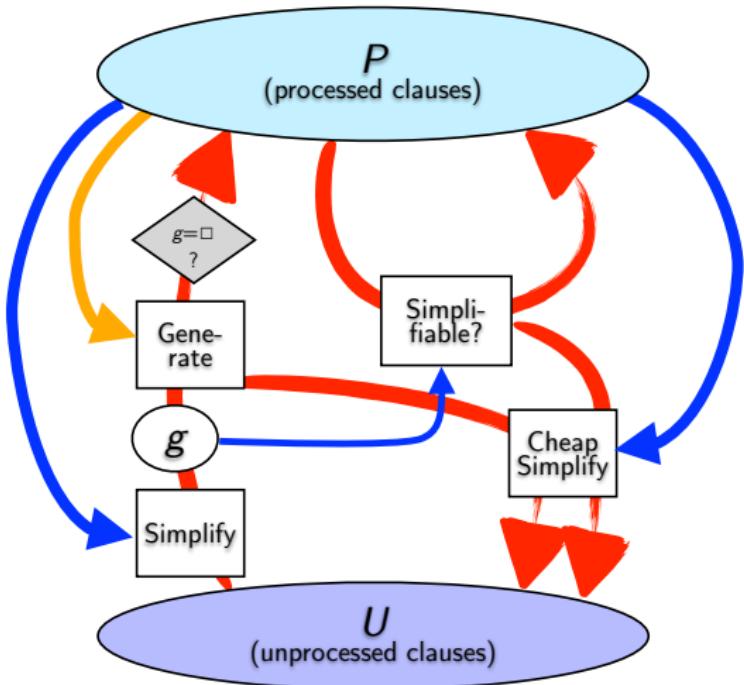
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- ▶ Invariant: All generating inferences with premises from P have been performed

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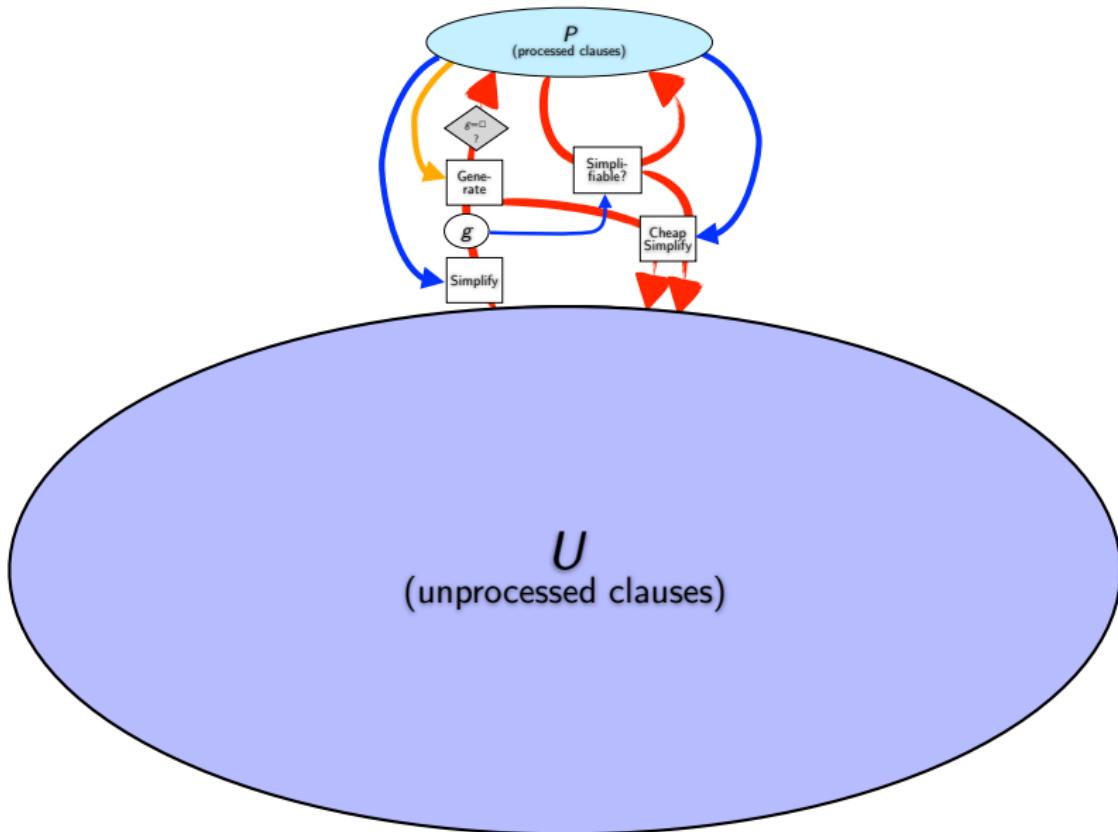
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- ▶ Invariant: P is interreduced

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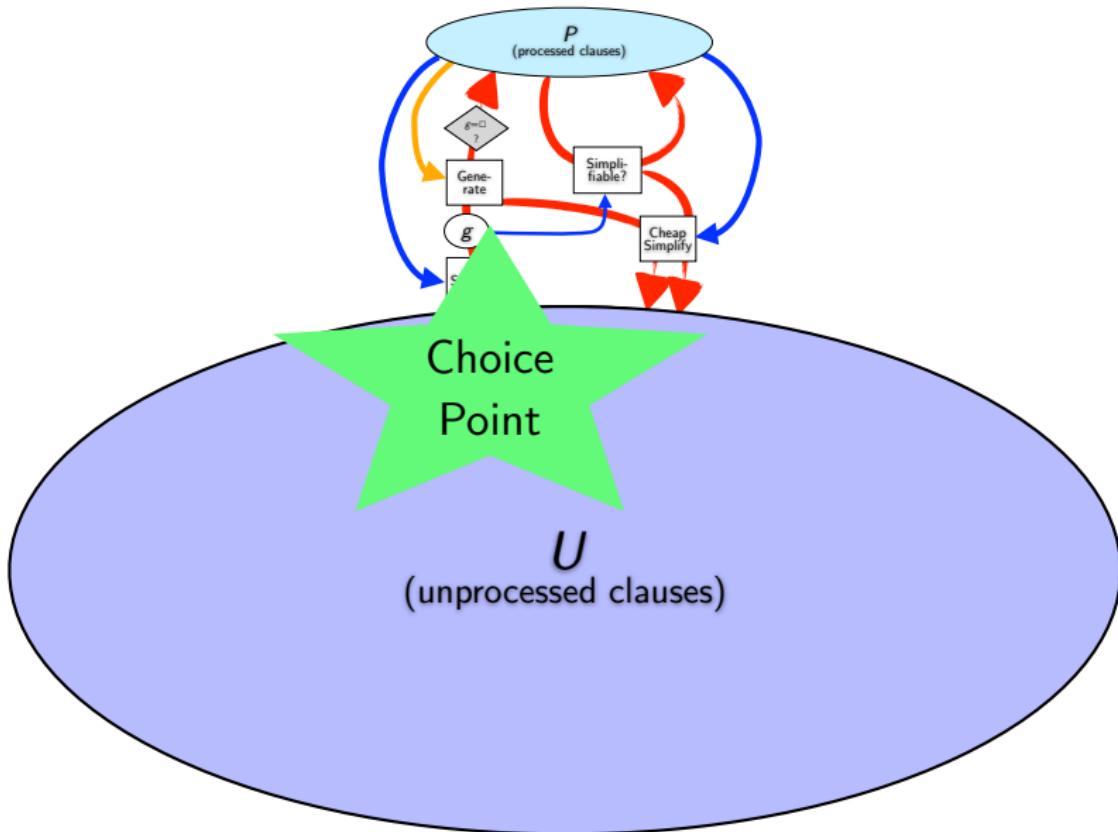


- ▶ Aim: Move everything from U to P
- ▶ Invariant: All generating inferences with premises from P have been performed
- ▶ Invariant: P is interreduced
- ▶ Clauses added to U are simplified with respect to P

Choice Point Clause Selection

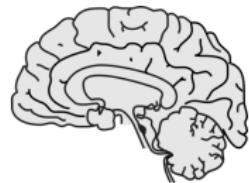


Choice Point Clause Selection



Induction for Deduction

- ▶ Question 1: What to learn from?
 - ▶ Performance data (prover is a black box)
 - ▶ Proofs (only final result of search is visible)
 - ▶ Proof search graphs (most of search is visible)
- ▶ Question 2: What to learn?
 - ▶ Here: Learn strategy selection
 - ▶ Here: Learn parameterization for clause selection heuristics
 - ▶ Here: Learn new clause evaluation functions
 - ▶ ...



Automatic Strategy Selection

Strategy Selection

Definition: A strategy is a collection of all search control parameters

- ▶ Term ordering
- ▶ Literal selection scheme
- ▶ Clause selection heuristic
- ▶ ... (minor parameters)

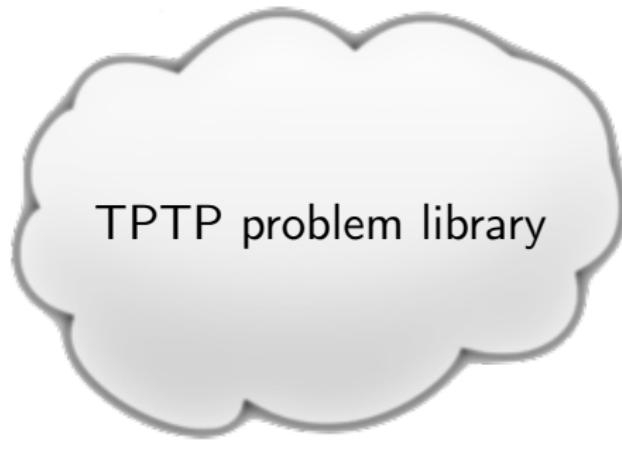
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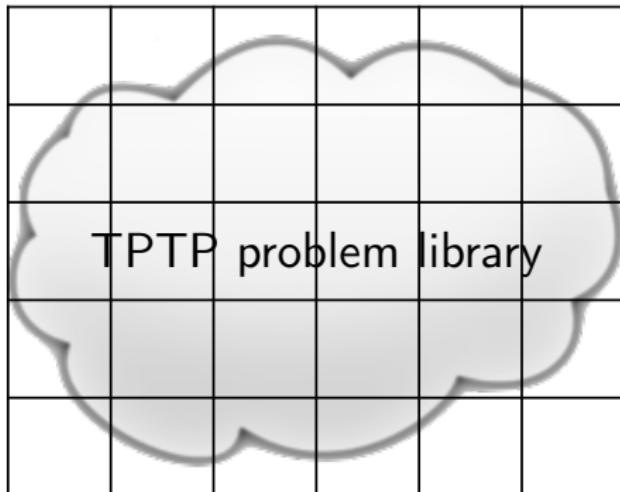
- ▶ Term ordering
- ▶ Literal selection scheme
- ▶ Clause selection heuristic
- ▶ ... (minor parameters)

- ▶ Observation: Different problems are simple for different strategies
- ▶ Question: Can we determine a good heuristic (or set of heuristics) up-front?
- ▶ Original: Manually coded **automatic modes**
 - ▶ Based on developer **intuition/insight/experience**
 - ▶ Limited success, high maintenance
- ▶ State of the art: Automatic generation of automatic modes

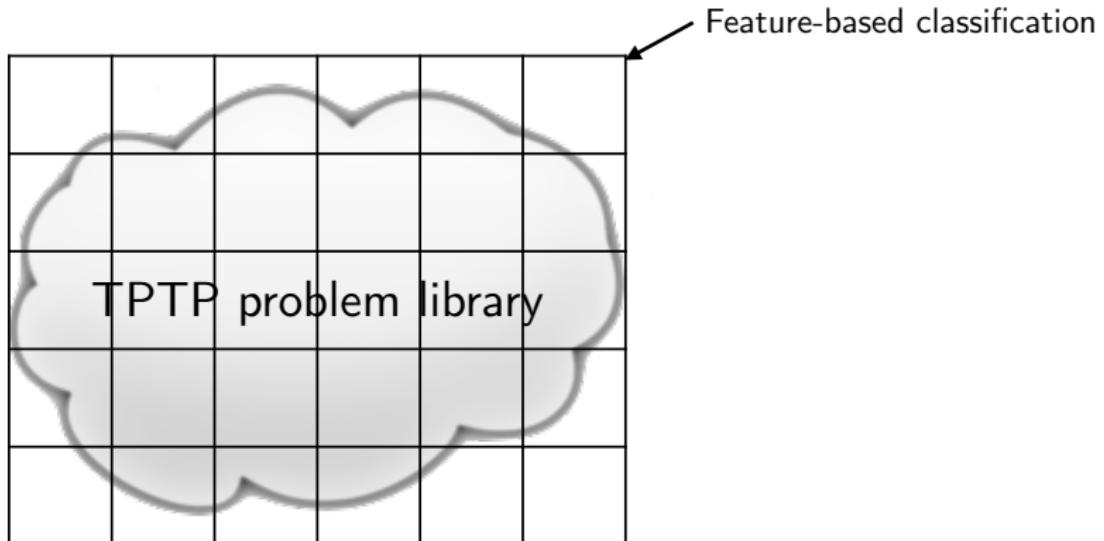
“Learning” Heuristic Selection



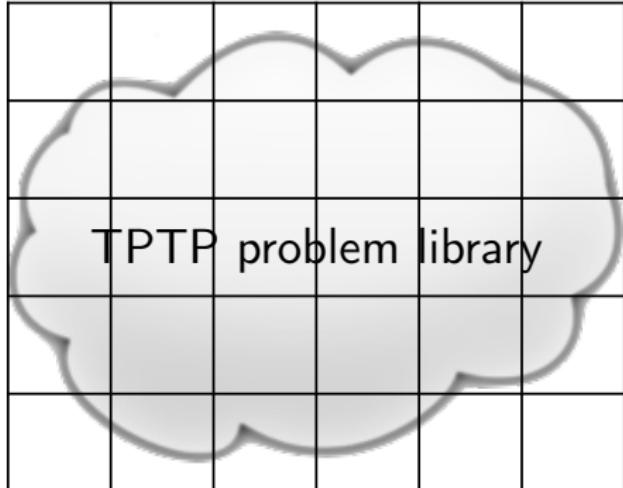
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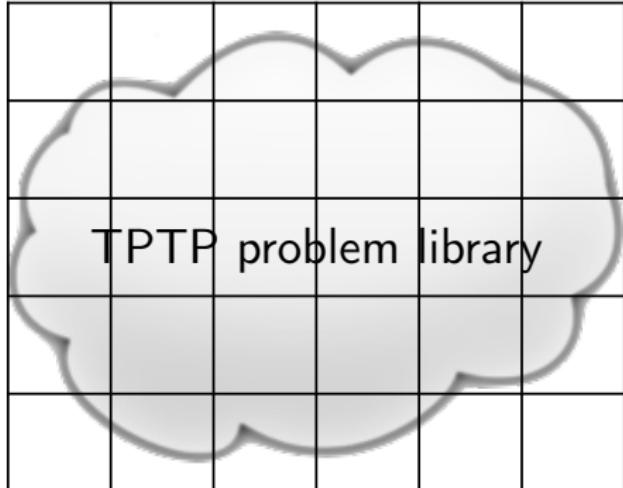


Feature-based classification

Assign strategies to classes based on collected performance data from previous experiments

- Simplest: Always pick best strategy in class
- If no data, pick globally best

“Learning” Heuristic Selection



Feature-based classification

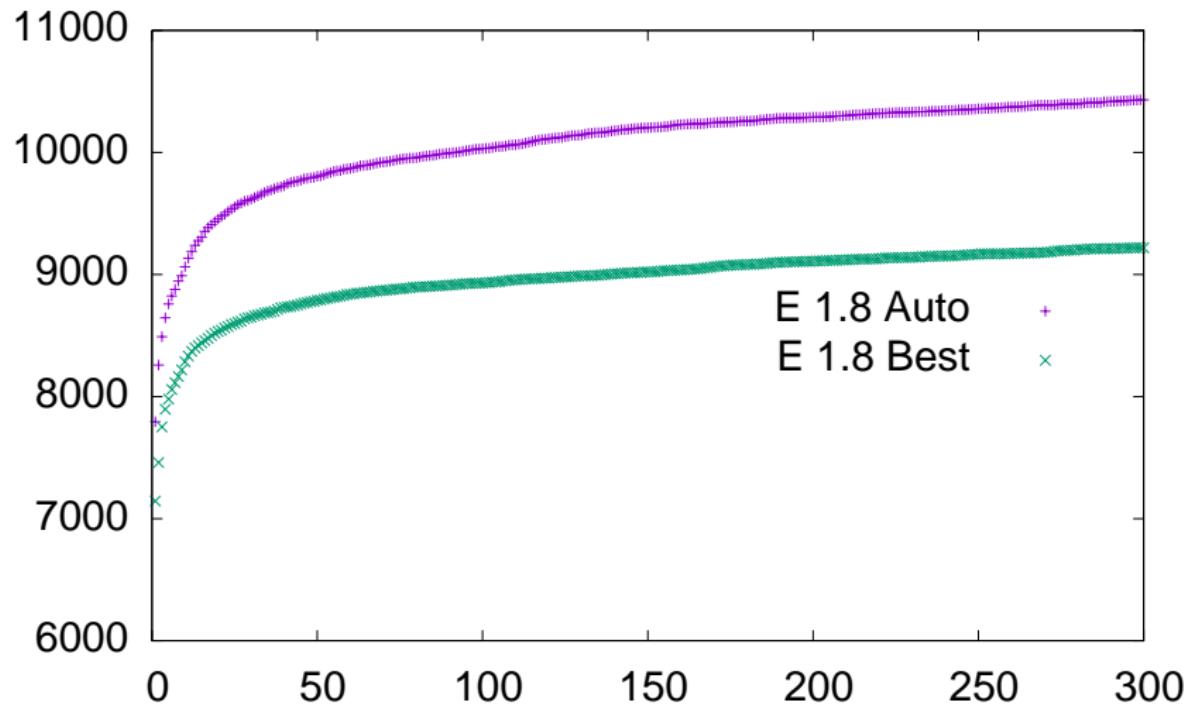
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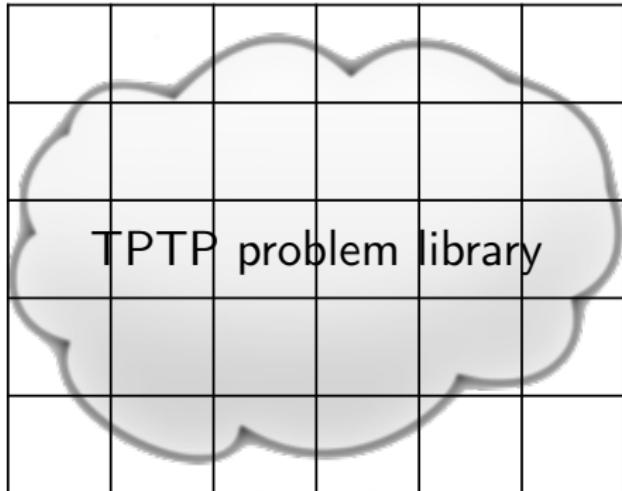
Example features

- Number of clauses
- Arity of symbols
- Unit/Horn/Non-horn

Auto Mode Performance



A Caveat



Feature-based classification

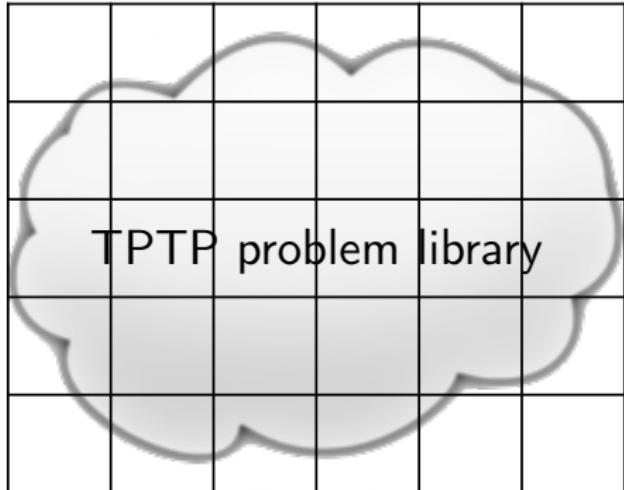
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Example features

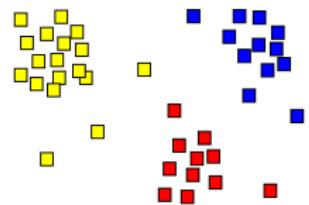
- Number of clauses
- Arity of symbols
- Unit/Horn/Non-horn

Features based on developer...

- ...intuition
- ...insight
- ...experience

Current Work: Learning Classification

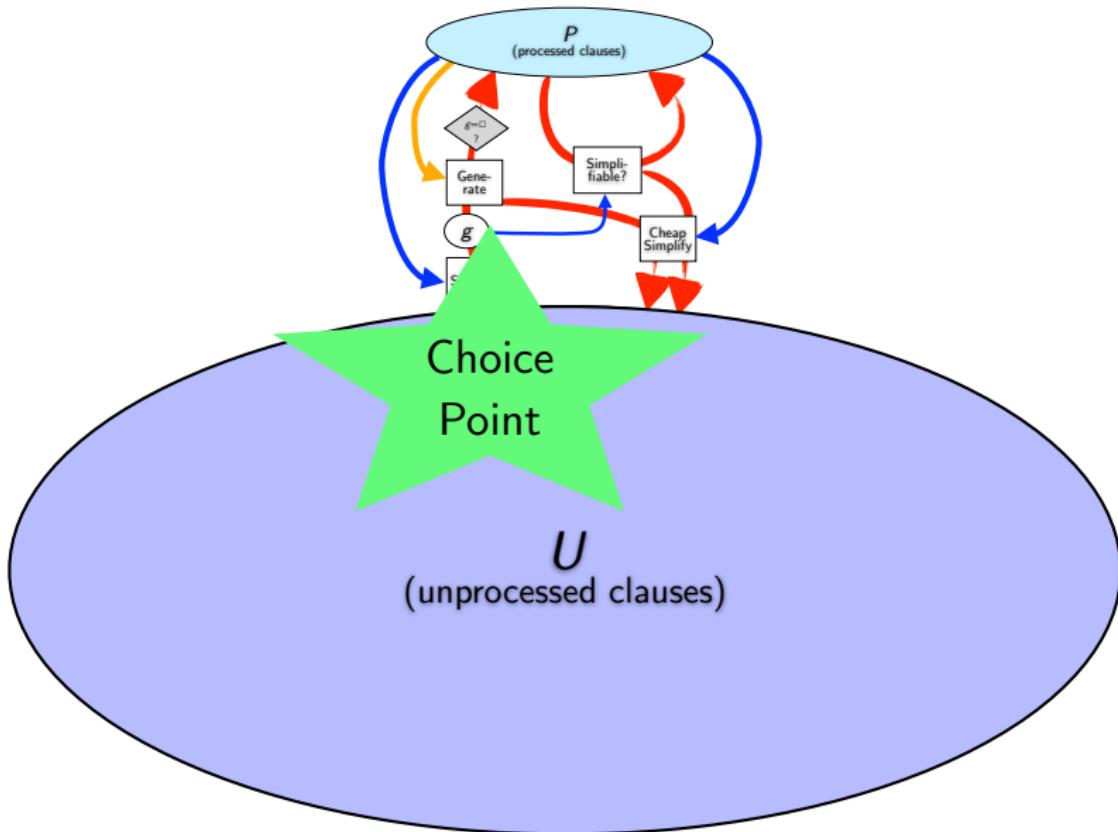
- ▶ Characterize problems by **performance vectors**
 - ▶ Which strategy solved the problem how fast?
- ▶ Unsupervised clustering of problems based on performance
 - ▶ Each cluster contains problems on which the same strategies perform well
- ▶ Feature extraction: Try to find characterization of clusters
 - ▶ E.g. based on feature set
 - ▶ E.g. using nearest-neighbour approaches



My Bachelor Student Ayatallah just started work on this topic - results in 6 months

Learning parameterization for clause selection heuristics

Reminder: Choice Point Clause Selection



Basic Approaches to Clause Selection

- ▶ Symbol counting
 - ▶ Pick **smallest** clause in U
 - ▶ $|\{f(X) \neq a, P(a) \neq \$true, g(Y) = f(a)\}| = 10$
- ▶ FIFO
 - ▶ Always pick oldest clause in U
- ▶ Flexible weighting
 - ▶ Symbol counting, but give different weight to different symbols
 - ▶ E.g. lower weight to symbols from goal!
 - ▶ E.g. higher weight for symbols in inference positions
- ▶ Combinations
 - ▶ Interleave different schemes

Given-Clause Selection in E (1)

- ▶ Domain Specific Language (DSL) for clause selection scheme
- ▶ Arbitrary number of priority queues
- ▶ Each queue ordered by:
 - ▶ Unparameterized priority function
 - ▶ Parameterized heuristic evaluation function
- ▶ Clauses picked using weighted round-robin scheme
 - ▶ Example (5 queues):

```
(1*ConjectureRelativeSymbolWeight(SimulateSOS,  
                                0.5, 100, 100, 100, 100, 1.5, 1.5, 1),  
4*ConjectureRelativeSymbolWeight(ConstPrio,  
                                0.1, 100, 100, 100, 100, 1.5, 1.5, 1.5),  
1*FIFOWeight(PreferProcessed),  
1*ConjectureRelativeSymbolWeight(PreferNonGoals,  
                                0.5, 100, 100, 100, 100, 1.5, 1.5, 1),  
4*Refinedweight(SimulateSOS,3,2,2,1.5,2))
```

Given-Clause Selection in E (2)

- ▶ Example clause selection heuristic

```
(1*ConjectureRelativeSymbolWeight(SimulateSOS,  
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```

- ▶ Infinitely many possibilities

- ▶ Several integer and floating point parameters per evaluation function
- ▶ Arbitrary combinations of individual evaluation functions

Given-Clause Selection in E (2)

- ▶ Example clause selection heuristic

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(1*ConjectureRelativeSymbolWeight(SimulateSOS,  
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- ▶ Arbitrary combinations of individual evaluation functions

How do we find good clause selection heuristics (without relying on developer **intuition, insight, experience**)?

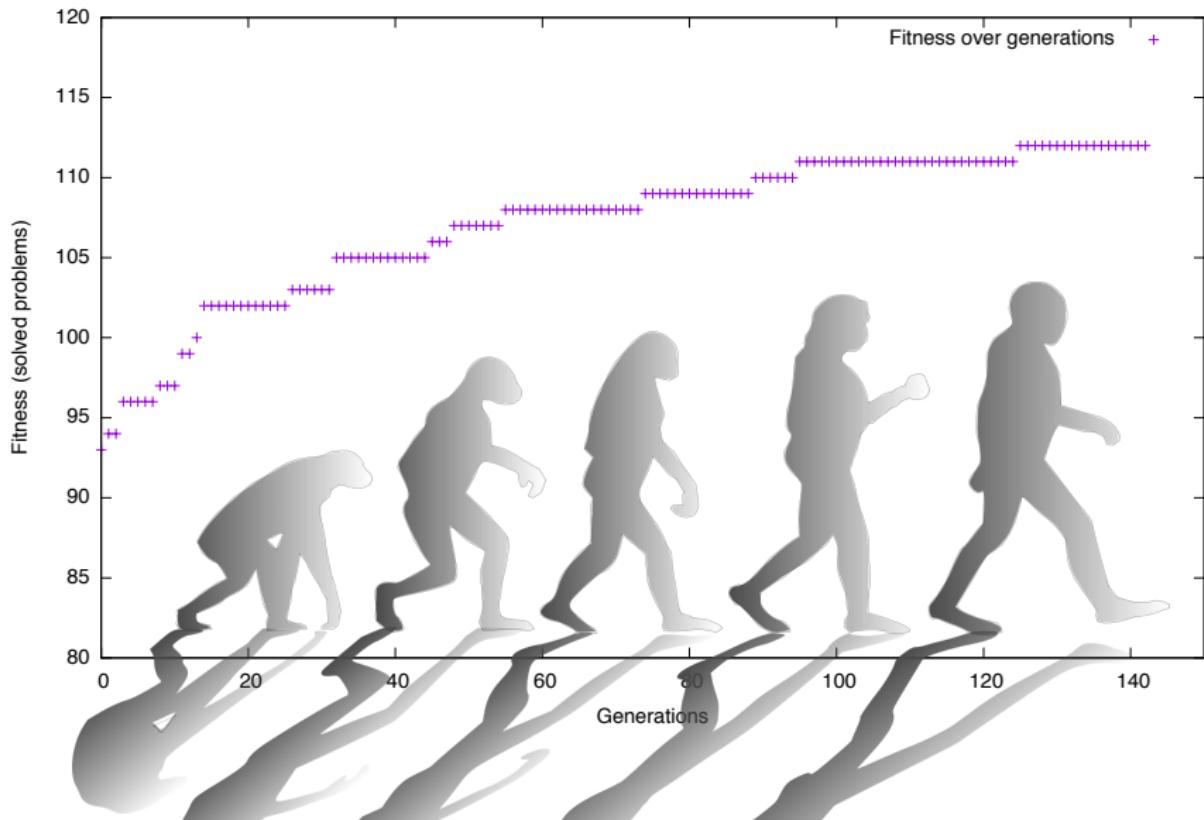
Genetic Algorithms

- ▶ Optimization based on evolving **population** of individuals
 - ▶ Optimization is organized in generations
 - ▶ In each generation, individuals compete to reproduce
- ▶ Each individual is a candidate solution (i.e. search heuristic)
 - ▶ Individuals are assigned a **fitness** score based on performance
 - ▶ More fit individuals are more likely to reproduce into the next generation
- ▶ The next generation:
 - ▶ Mutation - randomly modify individual
 - ▶ Crossover - create new individual from two parents
 - ▶ Survivors

Applying Genetic Algorithms to Clause Selection

- ▶ Encoding: DSL translated into S-Expressions
- ▶ Mutation: Randomly modify parameters of one heuristic
- ▶ Crossover:
 - ▶ Compose individual by randomly inserting evaluation functions from both parents
 - ▶ If the same generic evaluation function occurs in both, randomly exchange parameters
- ▶ Fitness: How many medium difficulty problems are solved
 - ▶ ...on smallish sample set
 - ▶ ...with short time limit
- ▶ Selection: Tournament selection ($n \approx 5$)

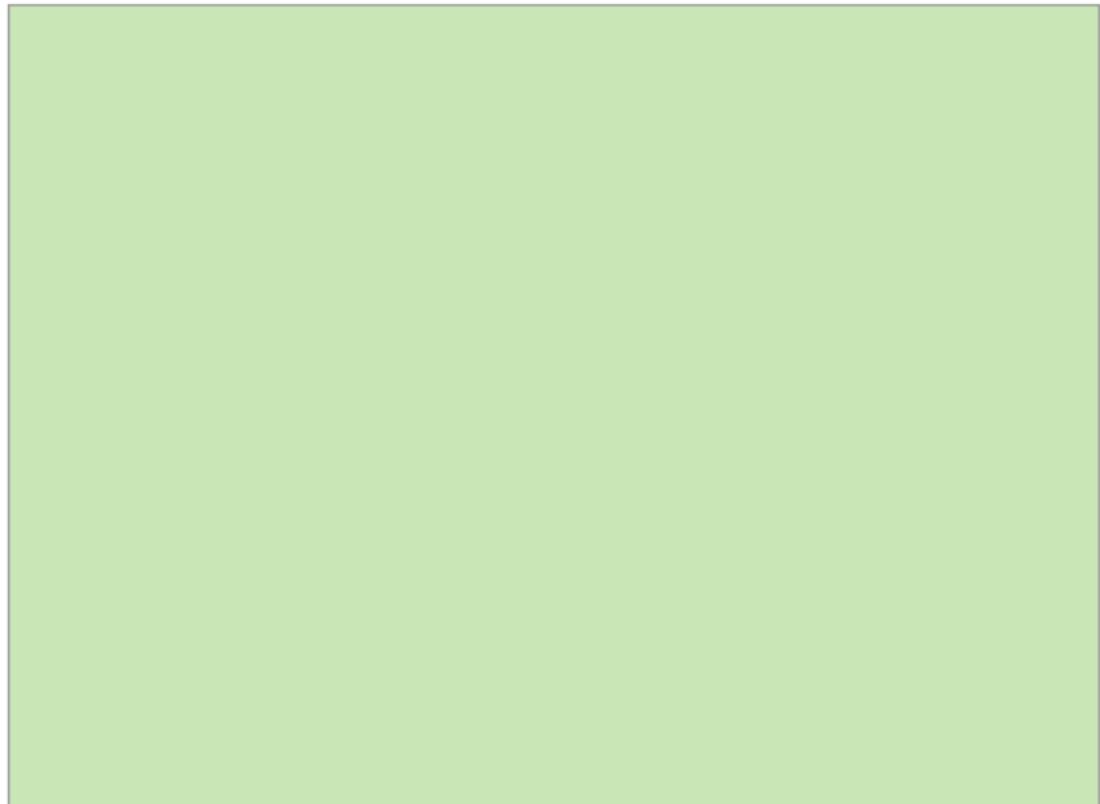
Evolution in Action



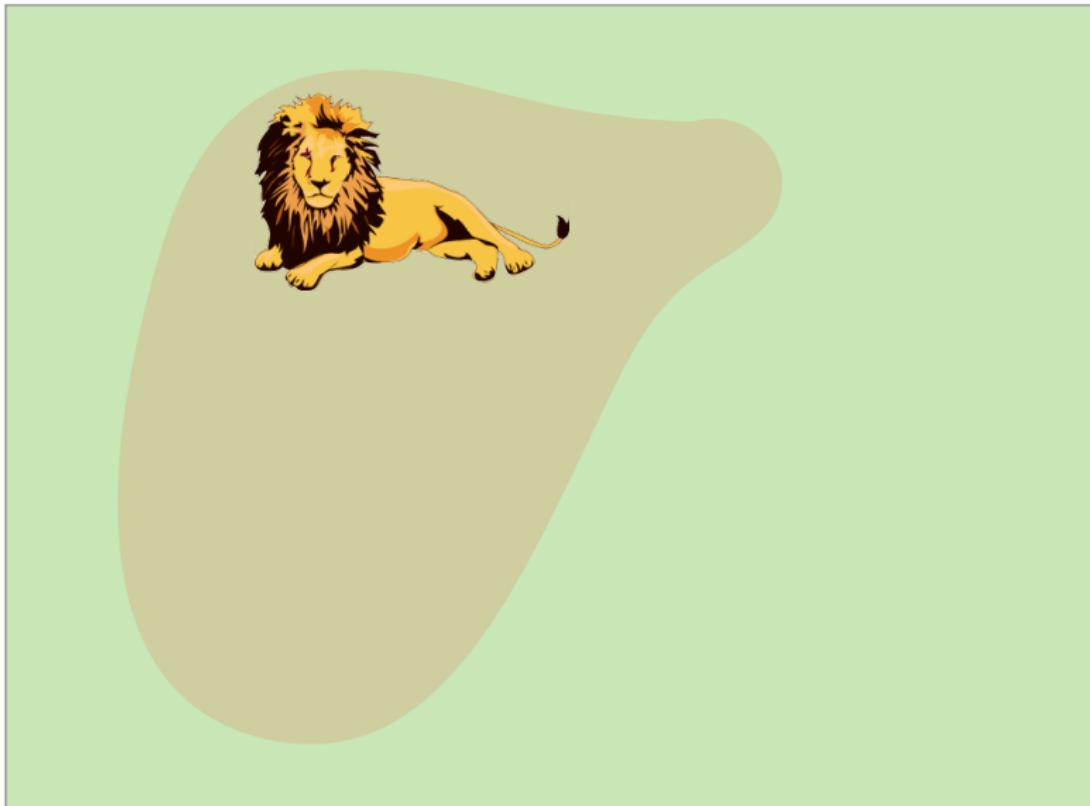
(Very) Preliminary Results

- ▶ Evolution finds good clause selection heuristics from random initial population
 - ▶ Convergence in \approx 200 generations
 - ▶ Time per generation \approx 45 CPU hours
 - ▶ ... \approx 40 minutes on 24 core server
- ▶ Best evolved heuristic beats best conventional heuristic
 - ▶ Evaluation on 15758 problems from TPTP 6.0.0
 - ▶ 30 second time limit, 2.6GHz Intel Xeon machines, enough memory
 - ▶ Evolved: 8814 solutions found
 - ▶ Manual: 8750 solutions found
 - ▶ Unique solutions: 466 evolved vs. 386 manual

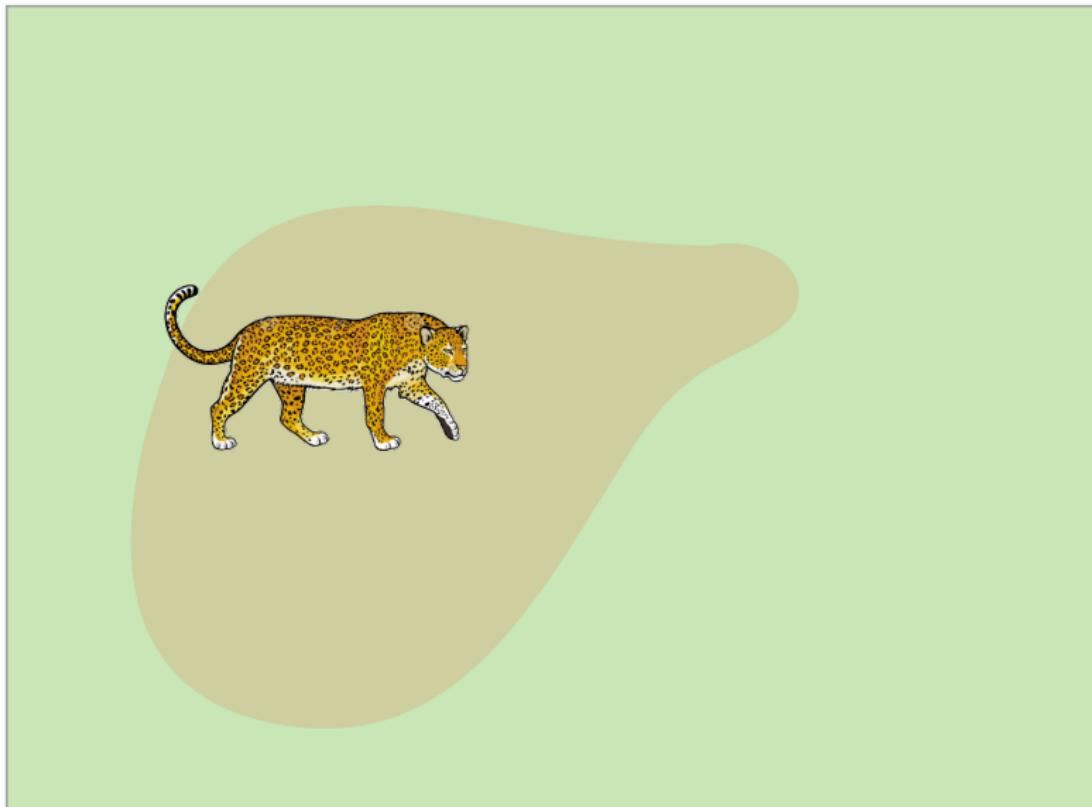
Current Work: Diversity Beats Ferocity



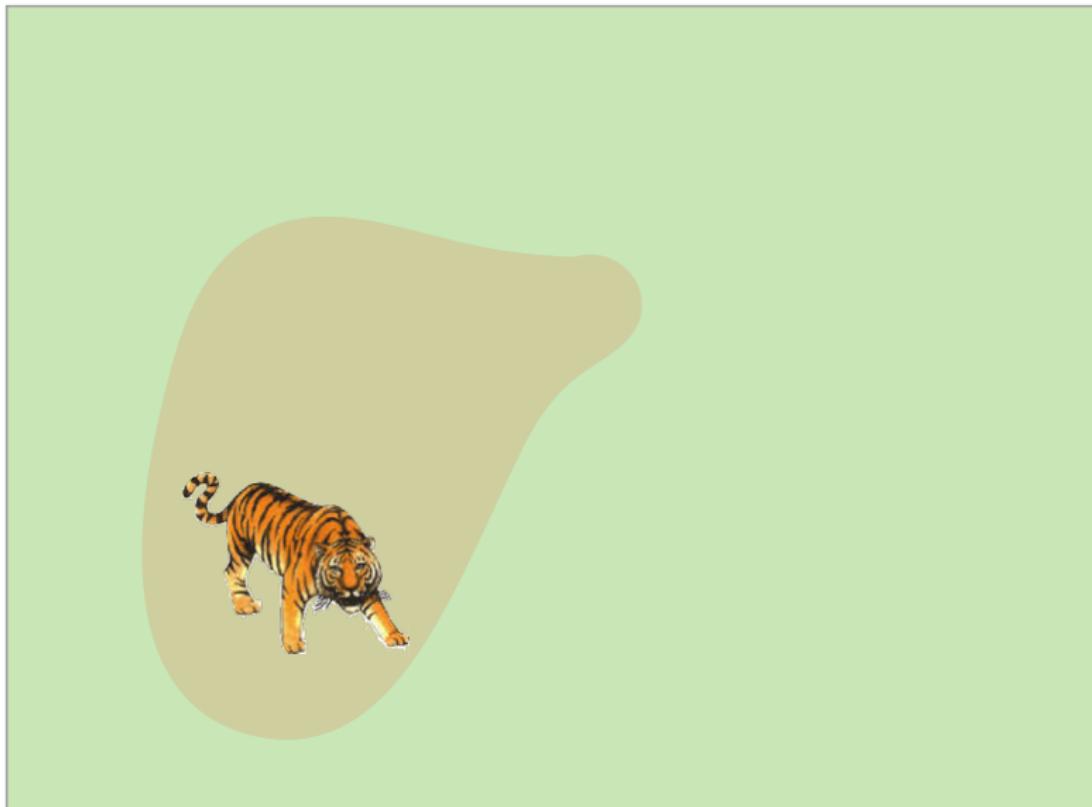
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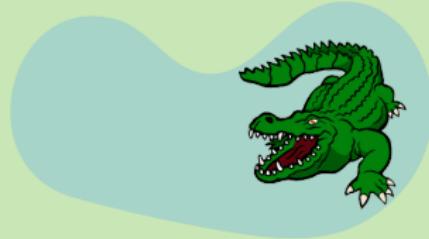
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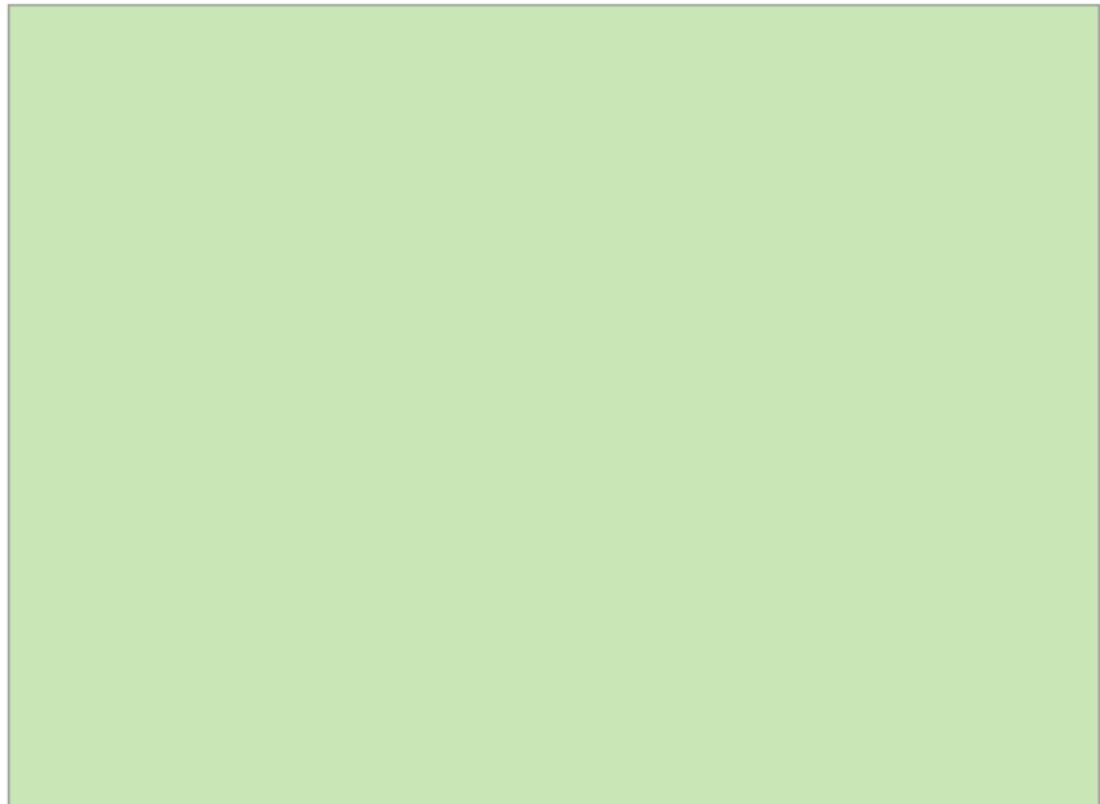
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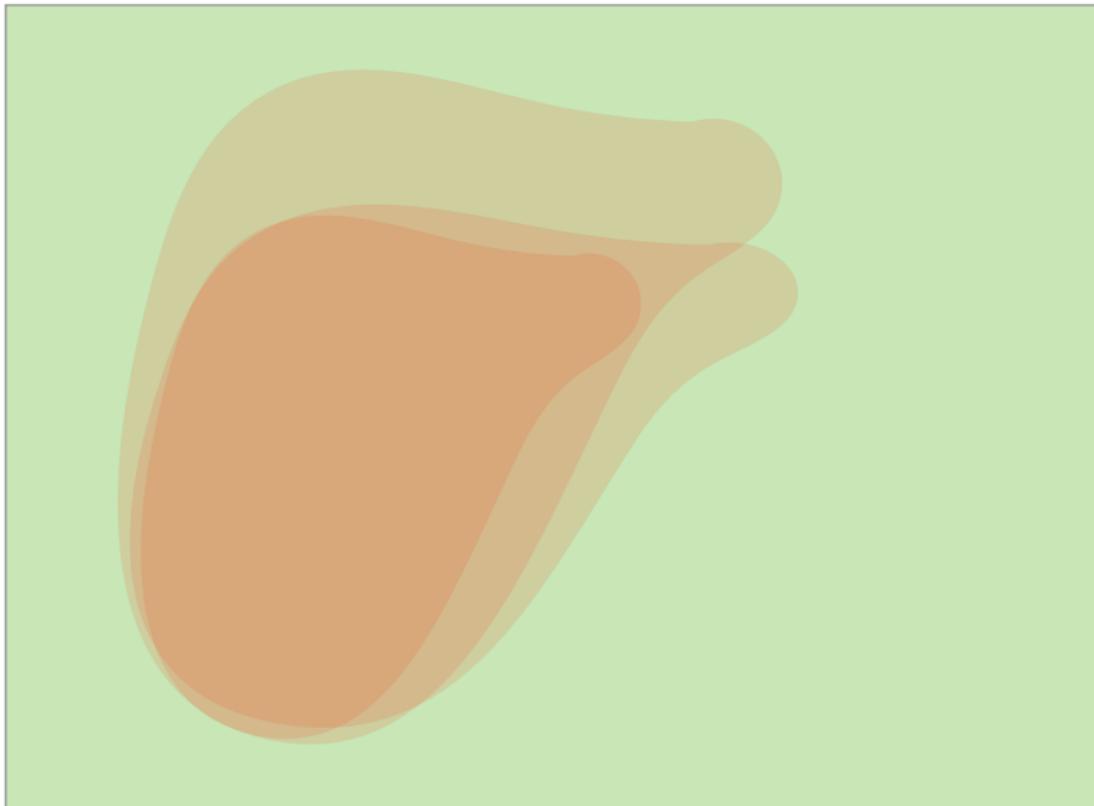
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Current Work: Diversity Beats Ferocity

- ▶ Idea: Modify fitness function
 - ▶ Problems are prey
 - ▶ Individual heuristics are predators
 - ▶ If several predators catch the same prey, they have to share the benefit
 - ▶ \Rightarrow problems solved by no or few heuristics are more valuable
 - ▶ \Rightarrow Force diversity of the ecosystem

Current Work: Diversity Beats Ferocity

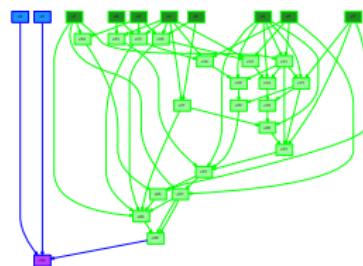
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Proof Extraction and Learning

Learning from Proofs and Proof Search Graphs

- ▶ Intuition: Previous proof searches are useful to guide new proof attempts
- ▶ Naive approach:
 - ▶ Clauses in the proof tree are **positive examples**
 - ▶ (All other clauses are **negative examples**)
- ▶ Initial attempts
 - ▶ DISCOUNT (Schulz 1995, Schulz&Denzinger 1996) - UEQ, patterns
 - ▶ E (Schulz 2000, 2001) - CNF, patterns
 - ▶ Overall, modest successes
 - ▶ Mostly with positive examples only - compare Otter's **hints**

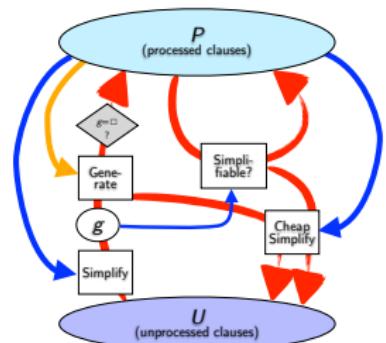


Problems and Solutions

- ▶ Problem: Search protocol size
 - ▶ Initial approach: Store all intermediate steps
 - ▶ Bad time and space performance
 - ▶ Borderline impossible in 2000, still hard today
- ▶ Problem: Not all examples represent search decisions
 - ▶ Many intermediate results
 - ▶ Also: Vastly unbalanced ratio of positive/negative examples
- ▶ Common solution:
 - ▶ Internal proof object (re-)construction
 - ▶ Compact representation of the search graph
 - ▶ Actually evaluated and picked clauses are recorded
 - ▶ Minimal overhead (0.24%) in time
 - ▶ Small overhead in memory (due to structure sharing and early discarding of many redundant clauses)

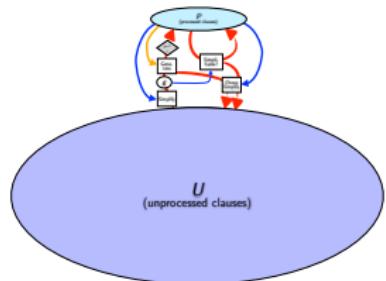
Proof Generation with Limited Archiving

- ▶ DISCOUNT loop: Only clauses in P are used for inferences
 - ▶ U is subject to simplification, but is passive
 - ▶ Only clauses in P need to be available in the proof tree



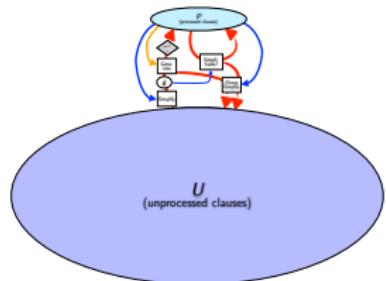
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- ▶ Backward simplification is rare
 - ▶ Only clauses in P can be backwards-simplified (and P is small)
 - ▶ Heuristically, newer clauses are larger (and big clauses rarely simplify small clauses)

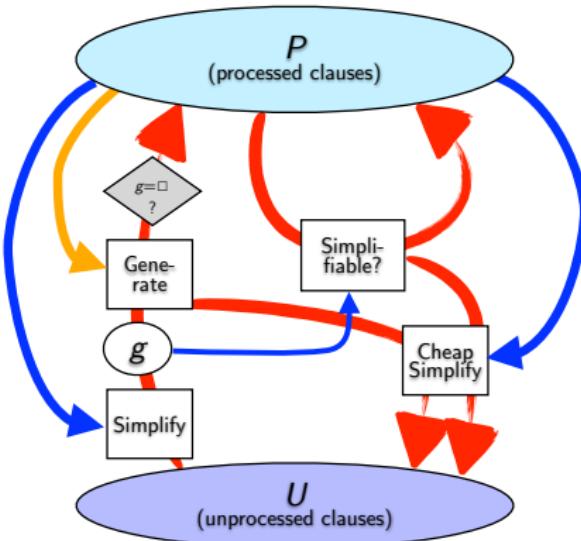


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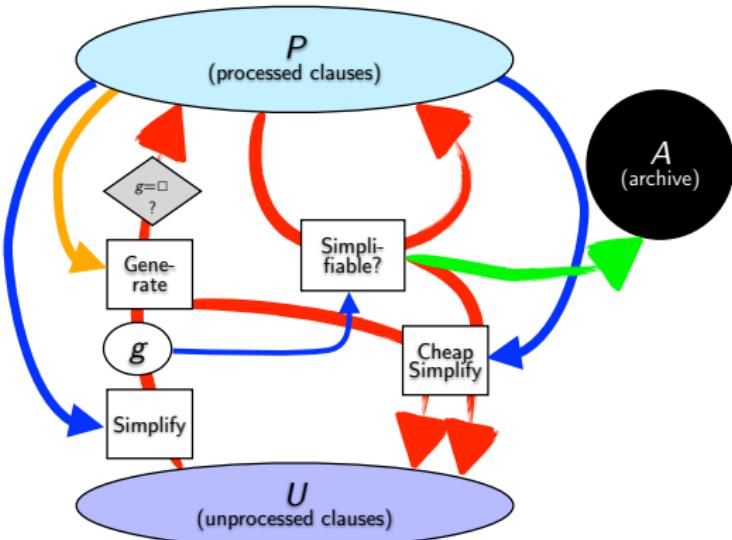
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 - ▶ Heuristically, newer clauses are larger (and big clauses rarely simplify small clauses)
- ▶ Solution: Non-destructive backwards-simplification
 - ▶ Clauses in P are archived on simplification
 - ▶ Simplified new clause is build from fresh copy



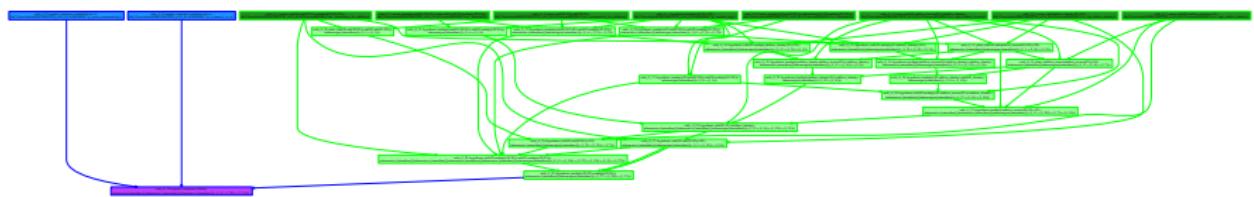
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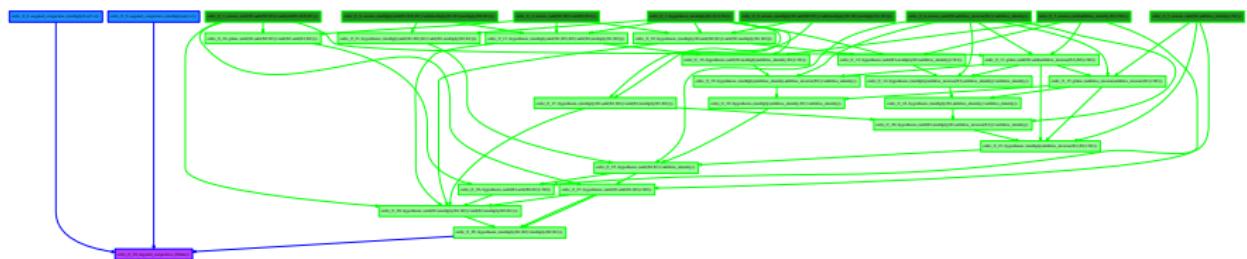
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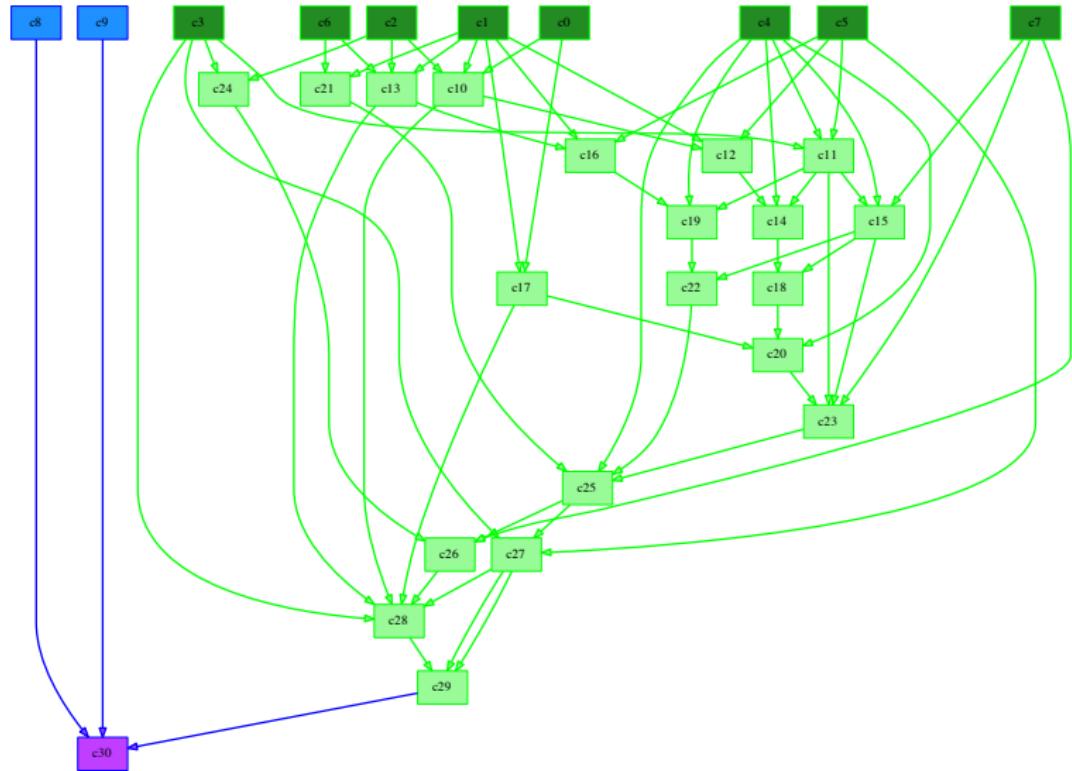
The Structure of Proofs: Commutative Rings



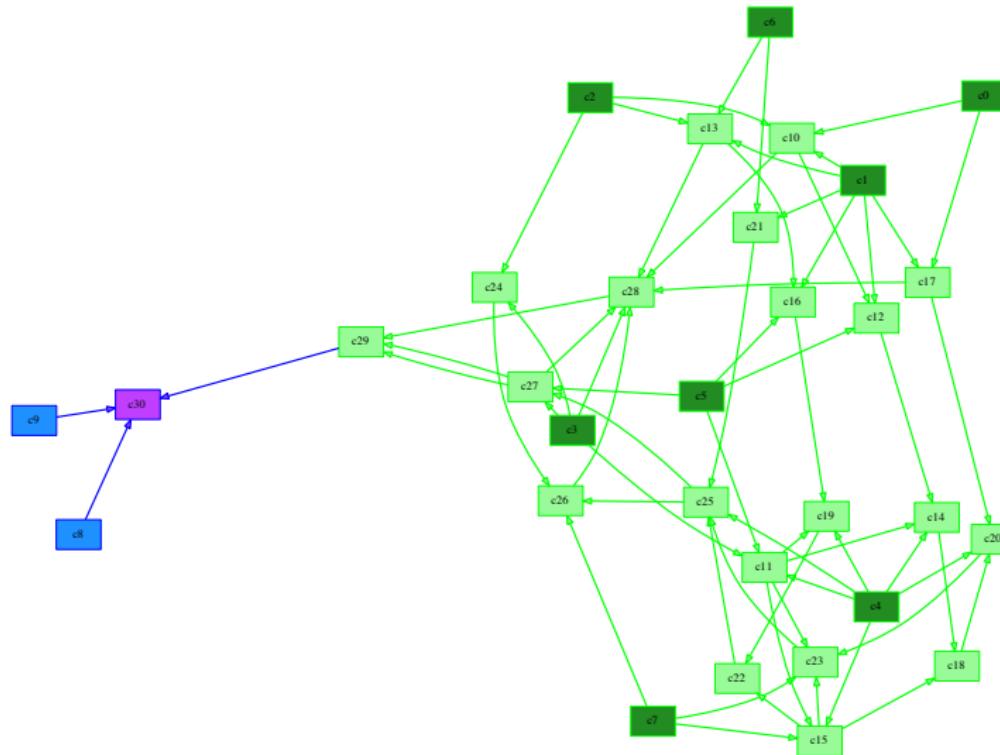
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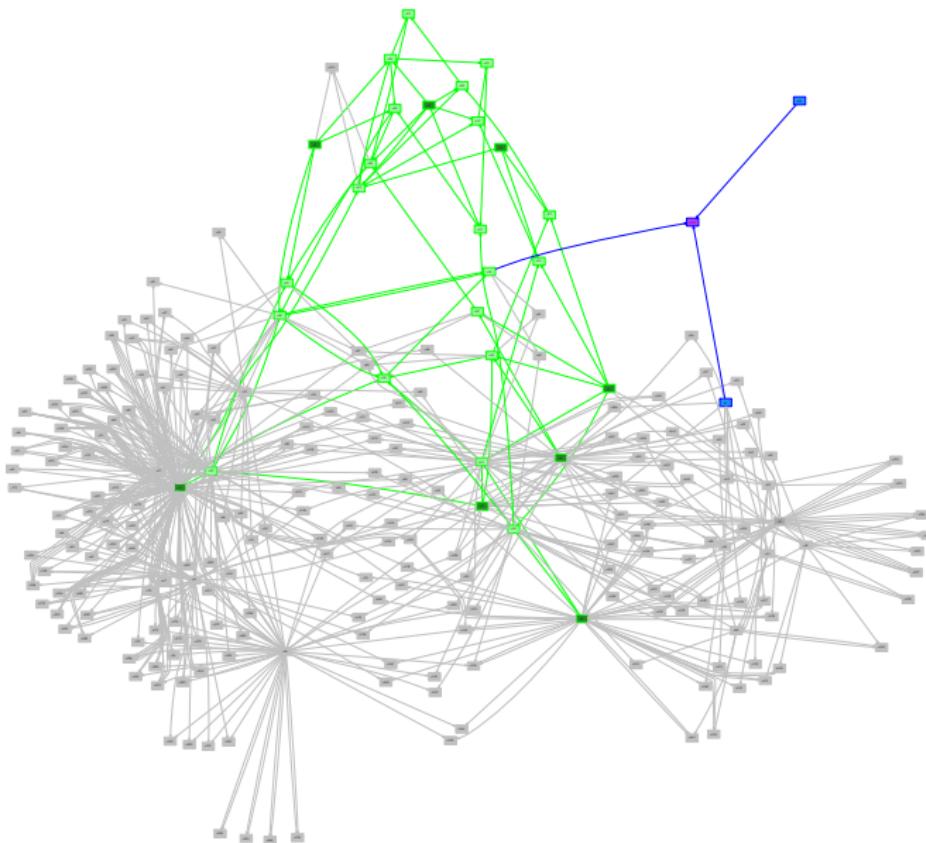
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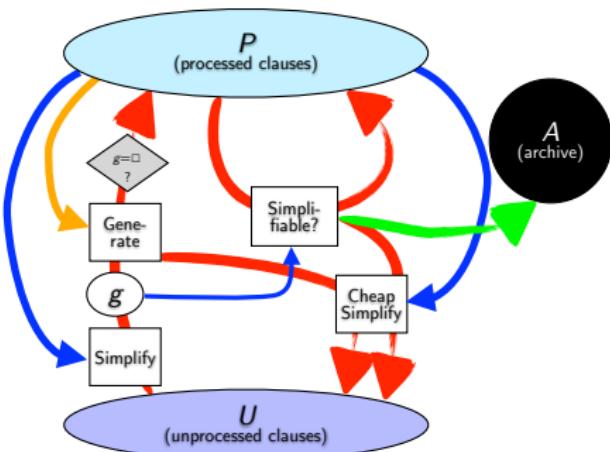


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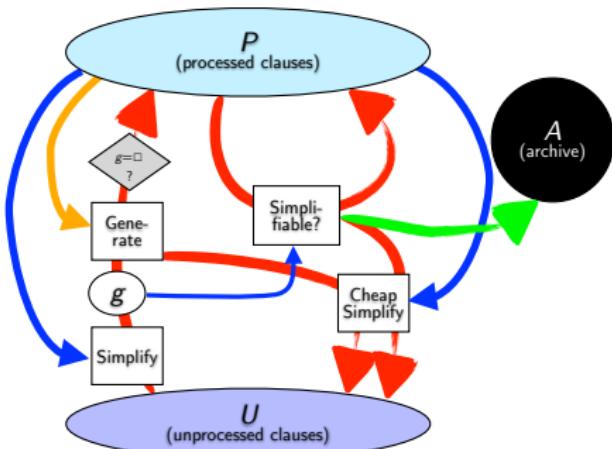
Classification of Search Decisions

- ▶ Proof state at success:
 - ▶ All proof clauses are in $P \cup A$
 - ▶ Clauses in U never contribute
- ▶ All clauses in $P \cup A$ have been selected for processing
 - ▶ Positive examples: Proof clauses
 - ▶ Negative examples: Non-proof clauses



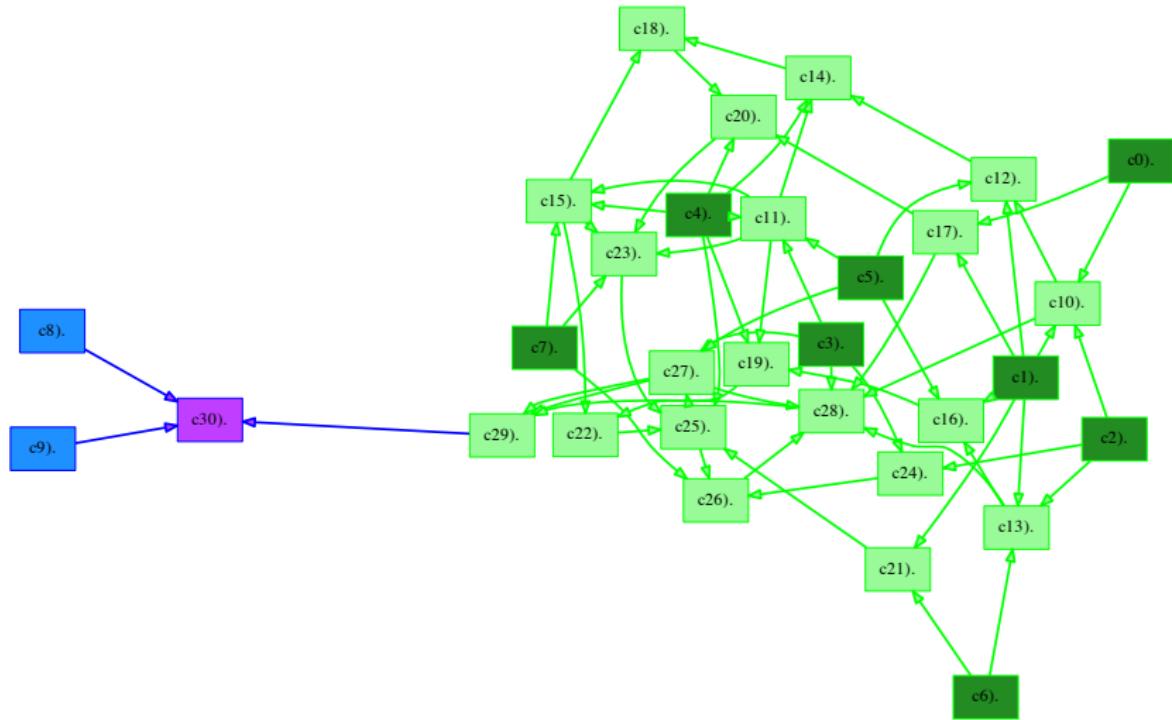
Classification of Search Decisions

- ▶ Proof state at success:
 - ▶ All proof clauses are in $P \cup A$
 - ▶ Clauses in U never contribute
- ▶ All clauses in $P \cup A$ have been selected for processing
 - ▶ Positive examples: Proof clauses
 - ▶ Negative examples: Non-proof clauses

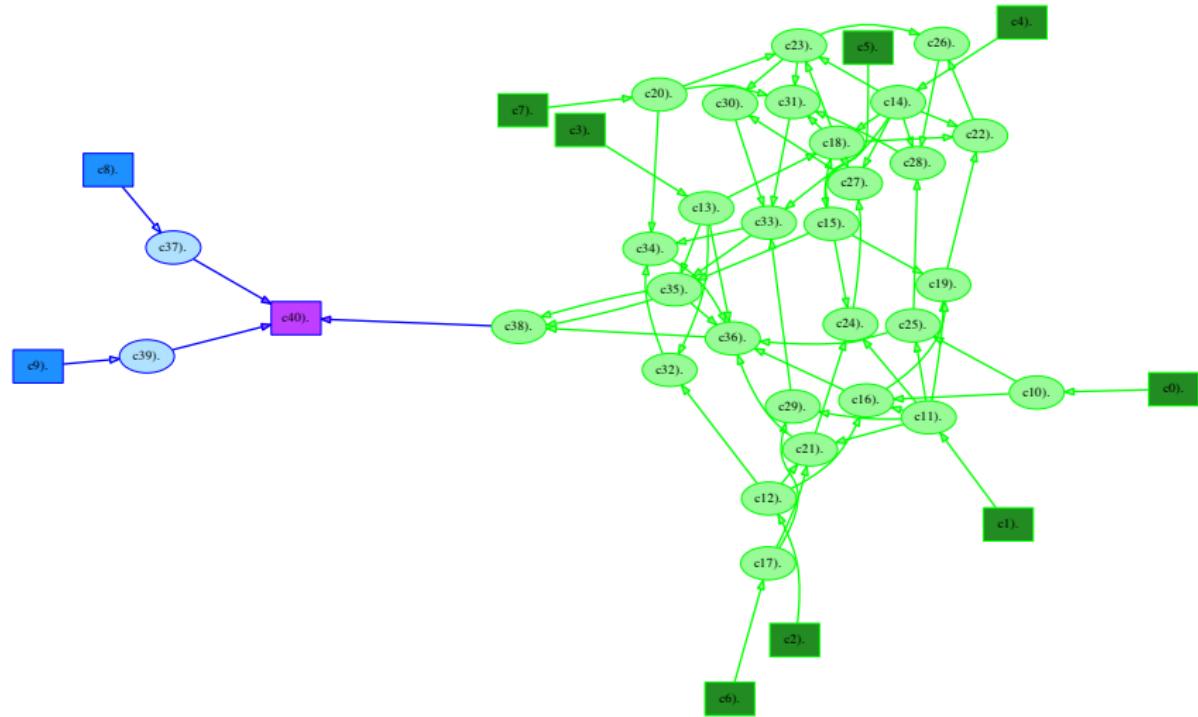


Idea: Apply Machine Learning

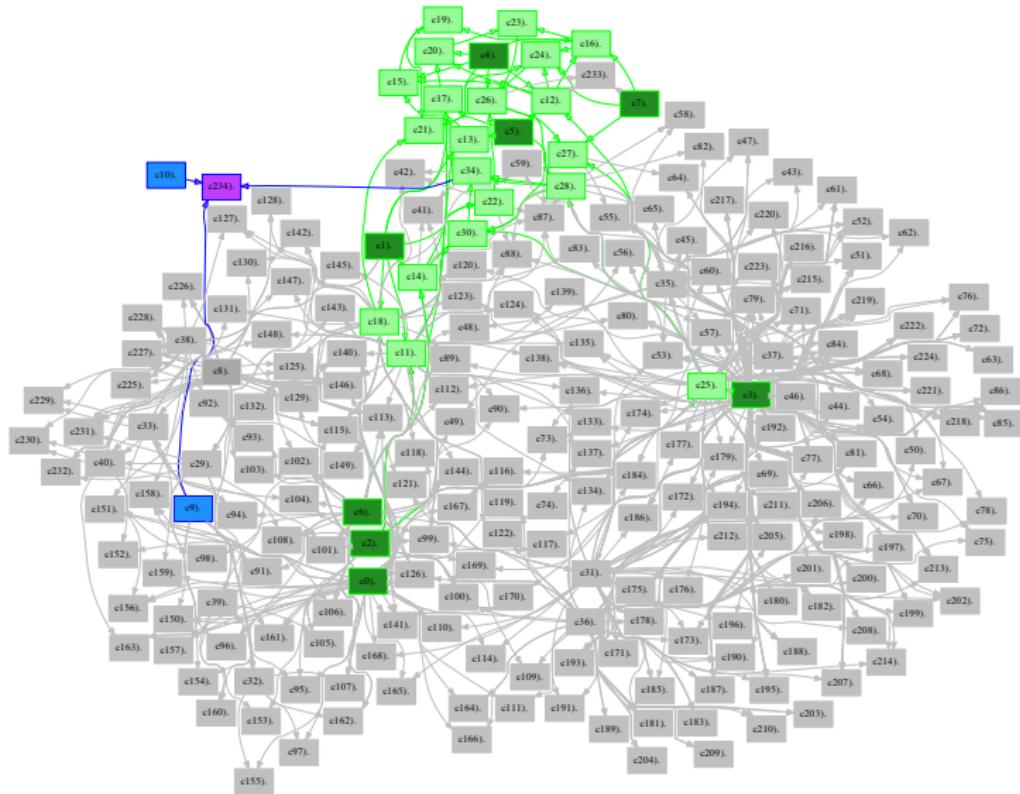
Example: Proof Objects RNG008-7



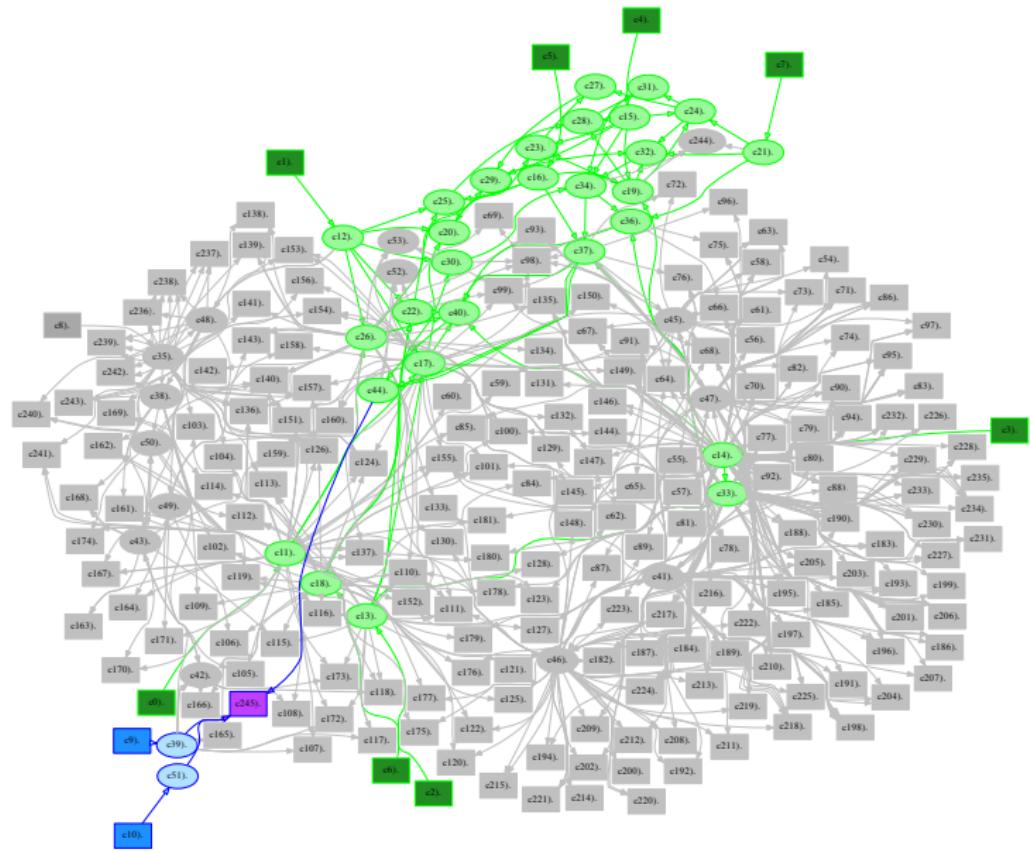
Example: Proof Objects RNG008-7



Example: Proof Objects RNG008-7



Example: Proof Objects RNG008-7



Some Initial Results

- ▶ Training examples can be cheaply extracted
- ▶ Ratio of utilized to useless given clauses (GCU-ratio) is a good predictor of Heuristic performance (Schulz/Möhrmann, IJCAR 2016)
- ▶ Positive training examples can be automatically written into a watch list and used as hints
 - ▶ Clauses on the watchlist are preferred over all other clauses
 - ▶ First experiments
 - ▶ Reproving with much better GCU-ratio (and much faster)
 - ▶ Some improvement even for related problems

Open Questions

- ▶ Abstractions
 - ▶ Are concrete function symbols relevant?
 - ▶ Is the concrete term structure relevant?
- ▶ Learning methods
 - ▶ Folding architecture networks?
 - ▶ Feature-based numerical methods?
 - ▶ Pattern-based learning?
 - ▶ Deep learning with convoluted networks?
- ▶ Trade-offs
 - ▶ Power vs. convenience
 - ▶ Speed vs. quality
 - ▶ Online vs. offline costs



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Work in Progress

(Nearly) The End

Conclusion

- ▶ Controlling proof search for theorem provers is a rich application for machine learning techniques
- ▶ Inductive techniques can be applied at several different levels of search control
- ▶ Explicit proofs can be generated efficiently
 - ▶ ... and mined for training examples!
- ▶ Proofs are beautiful and informative
 - ▶ Learning from proofs may be the future



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**Thank you!
Questions?**

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