

# CPT-281 - Introduction to Data Structures with C++

### Module 1

# Introduction to the Big-O Theory

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#### **CPT-281 - Module 1 - Introduction to the Big-O Theory**

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- Data structures and algorithms are closely-related to each other.
  - → You cannot talk about data structures without talking about algorithms.
  - → You cannot talk about algorithms without talking about data structures.
- What are data structures?
  - → Data structure is the <u>arrangement of data</u> in computer's memory. For example, array (vector) is a data structure.
- What are algorithms?
  - → Algorithms are <u>step-by-step procedures</u> for processing data in data structures.

For example, accessing, insertion, deletion, searching, sorting, etc.

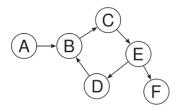
- Learning data structures (in this class) includes:
  - → Understanding the mechanisms to organize data in various containers. Vector, linked list, stack, queue, binary search tree, heap, map...
  - → Using C++ programming language to implement some basic data structures.
  - → [Important] Using the data structures learned to solve problems.

- What kinds of problems data structures can solve?
  - → [Important] Algorithm problems

There must be algorithm problems in your job interview for positions of software developer.

You need to design optimal algorithms, not just correct algorithms, to get the job.

- → Real-world data storage (system implementation)
  - 1) <u>Undo/redo system</u>: history activities should be stored in what kind of data structure?
  - 2) <u>Transaction processing system (TPS)</u>: what data structure is optimal to store transactions so that insertion, deletion, searching, and sorting can be done fast?
- → Real-world modeling



<u>Graph</u> ← → <u>Airline routes</u>



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- What is efficiency?
  - → The use of as few resources as possible to accomplish a goal.
  - → Algorithm efficiency is measured in terms of its <u>performance</u> (time) and memory usage (space).
  - → The less time an algorithm takes and the less memory it requires, the better its efficiency.
- How can we know the performance of an algorithm?
  - → We can measure the time the algorithm takes using the CPU clock:

```
long long unsigned int measure execution time() {
1
2
        // Record the time before running the program.
3
        std::clock t time start = std::clock();
4
        // Sample program -> Calculate "1 + 2 + 3 + ... + 1,000,000 = ?"
5
        unsigned int sum = 0;
6
        for (unsigned int current = 1; current <= 1000000; current++) {</pre>
7
            sum += current;
8
        }
9
        // Record the time after running the program.
10
        std::clock_t time_end = std::clock();
        // Return the execution time in clock ticks.
11
12
        return (long long unsigned int)time_end - (long long unsigned int)time_start;
13
    }
```

- What is the main problem with this solution?
  - → We measured the <u>actual algorithm execution time</u>.
  - → However, the actual algorithm execution time depends on too many factors, not just the <u>quality of the algorithm</u>:
    - 1) CPU properties
    - 2) Programming languages
    - 3) Different compilers
    - 4) Quality of algorithms
  - → We want to rule out "other factors", comparing the quality of algorithms regardless of CPUs, programming languages, and so on.
- · We can get inspired from the example below.
  - → Do you know which vehicle has the smallest size without measuring their actual dimensions?

Mini VanJeepCompactSUVIntermediateStandard	
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Vehicles are <u>categorized into groups</u>, and <u>groups are comparable</u>.

We actually compare groups instead of comparing specific vehicles.

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#### The Big-O Theory

- → Idea of Big-O theory is to categorize algorithms into different groups.

  Groups are comparable; one group is better than another.
- → To compare two algorithms (that can solve the same problem), people can identify which groups the algorithms belong to.
  - 1) If they belong to different groups, then the algorithm residing in a "better" group is better.
  - 2) If they belong to the same group, then the two algorithms have no big difference in quality.
- → The Big-O theory provides a useful way to evaluate the performance of algorithms only based on the quality of the algorithms.
- How to analyze the performance of an algorithm?

[Fact] If the array is larger, then it needs more time to process data.

→ Algorithm performance is analyzed <u>based on the size of input</u>.

Size of input is normally denoted as n.

- 1) If input is an array/string, then n is the <u>length of the array/string</u>.
- 2) If input is an integer, then n is the magnitude of the integer.

[Important] Assume n is very large  $(n \to \infty)$ .

### How many times the statement will be executed?

## → [Example 1]

```
1 for (int i = 0; i < 4; i++) {
2   /* Statement */
3 }</pre>
```

- The statement is executed when i == 0, i == 1, i == 2 and i == 3.
- The statement will be executed 4 times.
- What will be the answer if I change the "4" to "10", to "100", and to "30000"?

```
1 for (int i = 0; i < n; i++) {
2    /* Statement */
3 }</pre>
```

- The statement will be executed n times.
- T(n) = n
- T(n) is a time function.
- T(n) is a function of the size of input.
- In this example, T(n) and n has a <u>proportional relationship</u> with coefficient 1.
- T(n) is not Big-O (we will discuss Big-O later).

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### How many times the statement will be executed?

### → [Example 2]

```
1 for (int i = 0; i < 3 * n; i++) {
2    /* Statement */
3 }</pre>
```

- If n == 1, then 3 \* n == 3, the statement will be executed 3 times.
- If n == 2, then 3 \* n == 6, the statement will be executed 6 times.
- If n == 3, then 3 \* n == 9, the statement will be executed <u>9 times</u>.
- T(n) = 3n
- In this example, T(n) and n has a <u>proportional relationship</u> with coefficient 3.

```
1  for (int i = 0; i < n; i++) {
2     /* Statement */
3     /* Statement */
4     /* Statement */
5  }</pre>
```

• T(n)=3n (3 statements in each iteration, n iterations)

### How many times the statement will be executed?

## → [Example 3]

```
for (int i = 0; i < 3; i++) {
   for (int j = 0; j < 4; j++) {
      /* Statement */
   }
}</pre>
```

- When i == 0, j == 0, 1, 2, and 3, statement will be executed 4 times.
- When i == 1, j == 0, 1, 2, and 3, statement will be executed 4 times.
- When i == 2, j == 0, 1, 2, and 3, statement will be executed  $\underline{4 \text{ times}}$ .
- Totally, the statement will be executed 12 times (3 × 4 = 12).

```
for (int i = 0; i < m; i++) {
   for (int j = 0; j < n; j++) {
      /* Statement */
   }
}</pre>
```

- T(m,n)=mn
- [Tip] Consider multiplication for nested loops.

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### How many times the statement will be executed?

### → [Example 4]

5

}

```
for (int i = 0; i < n; i++) {
   for (int j = 0; j < n; j++) {
      /* Statement */
   }
}</pre>
```

- Using the "multiplication tip",  $T(n) = n^2$ .
- In this example, T(n) and n has a <u>quadratic relationship</u> (not linear).
- → [Exercise] How many times the statement will be executed?

```
1  for (int i = 3; i < n; i++) {
2    for (int j = 5; j < n; j++) { /* Statement */ }
3  }

1  for (int i = 3; i < n; i++) {
2    for (int j = 5; j < n; j++) {
3    for (int k = 4; k < n; k++) { /* Statement */ }
4  }
</pre>
```

- How many times the statement will be executed?
  - → [Example 5]

```
for (int i = 0; i < n; i++) {
    for (int j = i + 1; j < n; j++) {
        /* Statement */
    }
}</pre>
```

- When i == 0, the inner loop is (j = 1; j < n; j++), the statement will be executed n 1 times.
- When i == 1, the inner loop is (j = 2; j < n; j++), the statement will be executed n 2 times.</p>
- When i == 2, the inner loop is (j = 3; j < n; j++), the statement will be executed n 3 times.

...

- When i == n 2, the inner loop is (j = n 1; j < n; j++), the statement will be executed 1 time.</p>
- When i == n 1 (largest value of i), the inner loop is (j = n; j < n; j++), the statement will be executed <u>0 times</u>.
- $T(n) = (n-1) + (n-2) + (n-3) + \cdots + 3 + 2 + 1 + 0$

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- $T(n) = (n-1) + (n-2) + (n-3) + \cdots + 3 + 2 + 1 + 0$ 
  - $\rightarrow$  What is the explicit expression of T(n)?
    - The story of Gauss
  - ightarrow First of all, the "+ 0" at the end of T(n) can be ignored.

$$T(n) = (n-1) + (n-2) + (n-3) + \cdots + 3 + 2 + 1$$

 $\rightarrow$  Then, let's write T(n) twice, one in forwards, one in backwards.

$$T(n) = (n-1) + (n-2) + (n-3) + \cdots + 3 + 2 + 1$$
 
$$T(n) = 1 + 2 + 3 + \cdots + (n-3) + (n-2) + (n-1)$$

→ Then, add the two equations (blue and red) together, meaning add the left side together and add the right side together.

$$2T(n) =$$
  $n + n + n + \cdots + n + n + n + n$ 

There are n - 1 "n"s adding together.

ightarrow Finally, using the definition of multiplication to get T(n).

$$2T(n) = n(n-1)$$

$$T(n) = rac{n(n-1)}{2} = rac{1}{2}n^2 - rac{1}{2}n$$
 (still a quadratic relationship)

### · How many times the statement will be executed?

## → [Example 6]

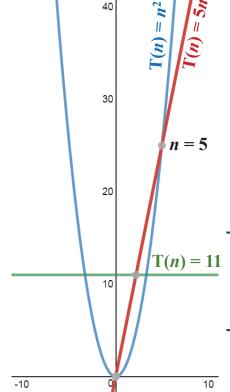
```
for (int i = 0; i < n; i++) {
1
        for (int j = n - 1; j >= 1; j--) {
2
3
            /* Statement */
                                             T_1(n) = 2n(n-1)
            /* Statement */
4
5
        }
6
7
   for (int k = 2; k < n - 2; k++) {
8
                                             T_2(n) = n - 4
        /* Statement */
9
10
11
                                             T_3(n) = 1
   /* Statement */
12
13
   for (int c = 32; c < 64; c += 2) {
                                            T_4(n) = \frac{64 - 32}{2} = 16
15
        /* Statement */
16
 T(n) = T_1(n) + T_2(n) + T_3(n) + T_4(n)
        =2n(n-1)+(n-4)+1+16
       =2n^2-n+13 (a polynomial in terms of n)
```

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# · How to use Big-O to categorize different algorithms?

→ [Example]  $T(n) = n^2 + 5n + 11$ 



- For n < 5, 5n increases faster than  $n^2$ .
- For n > 5,  $n^2$  increases faster than 5n.
- In the Big-O notation, we always assume that n is very large  $(n \rightarrow \infty)$ .
- When n is very large, the time of execution is mainly determined by term  $n^2$ .
- We say that  $n^2$  is the dominating term that determines the time of execution.
- Big-O notation:  $T(n) = O(n^2)$
- → Mathematical definition of Big-O:

There exists two positive constants,  $n_0$  and c, and a function f(n), such that  $cf(n) \ge T(n)$  is true for all  $n > n_0$ , then T(n) = O(f(n)).

⇒ In this example  $(T(n) = n^2 + 5n + 11)$ , to prove that  $T(n) = O(n^2)$ , simply let  $f(n) = n^2$ , c = 3, and  $n_0 = 100$ .

- Properties of the Big-O Notation
  - → Only the highest order term dominates (other terms can be ignored).

→ Constant coefficient should be ignored.

$$O(100n^2) = O(n^2)$$
  
 $O(0.0000001n^2) = O(n^2)$ 

- → [Exercise] Identify the higher-order term.
  - 1) 3n and  $\frac{3}{2}\sqrt{n}$
  - 2)  $2\sqrt{n}$  and  $3\log n$
  - 3)  $2\pi$  and  $\sqrt{2}e$
- $\rightarrow$  [Exercise] Write the T(n) and Big-O for the following piece of code:

```
for (int j = n; j > 0; j /= 2) {
    /* Statement */
}
```

• In computer science, if the <u>base of logarithm</u> does not matter, the base can be omitted.

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# Comparison of Performance (from Quickest to Slowest)

Big-O Notation	Name	f(50)	f(100)
O(1)	Constant	1	1
$O(\log n)$	Logarithmic	5.64	6.64
O(n)	Linear	50	100
$O(n\log n)$	Log-Linear	282	664
$O(n^2)$	Quadratic	2500	10,000
$O(n^3)$	Cubic	12,500	100,000
$O(2^n)$	Exponential	1.126 × 10 <sup>15</sup>	1.27 × 10 <sup>30</sup>
O(n!)	Factorial	3.0 × 10 <sup>64</sup>	9.3 × 10 <sup>157</sup>

- **→** Comparing algorithms
  - 1) Find the Big-O of each algorithm.
  - 2) The algorithm that <u>has the quickest Big-O</u> is the best.
  - 3) If two (or more) algorithms have the same Big-O, then there is no big difference in the quality of the algorithms.

### Best Case and Worst Case

→ Sometimes, the Big-O of an algorithm depends on the input data:

```
1  // Linear search
2  int search(const vector<int>& arr, int target) {
3    for (int i = 0; i < arr.size(); i++) {
4       if (arr.at(i) == target) { return i; }
5    }
6    return -1;
7  }</pre>
```

What is the Big-O of linear search?

- 1) Best case (the first element is the target): O(1)
- 2) Worst case (the last element is the target): O(n)
- → By default, the Big-O of an algorithm is always the worst case Big-O.

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- Average Case
  - $\rightarrow$  Average case of T(n) considers each <u>possibility</u> with its <u>probability</u>.
    - Average case Big-O of linear search:

$$T(n) = 1 imes rac{1}{n} + 2 imes rac{1}{n} + 3 imes rac{1}{n} + \cdots + n imes rac{1}{n} \ = rac{1}{n}(1 + 2 + 3 + \cdots + n) \ = rac{1}{n} \cdot rac{n(n+1)}{2} = rac{n+1}{2} = rac{1}{2}n + rac{1}{2} = O(n)$$

- → Time complexity of linear search:
  - **1)** Best case: O(1)
  - 2) Worst case: O(n)
  - 3) Average case: O(n)