

Beyond the Standard Model

The Minimal Standard Model is extremely successful, & theoretical consistent. However, we know it is incomplete from various phenomenological and theoretical issues.

Phenomenological Issues

- Neutrino flavor oscillations have been observed, indicating that neutrinos must have mass.
- We have observed it accounts for only $\sim 4.6\%$ of matter in the universe
- Struggles to explain the observed matter-antimatter asymmetry.
- ...

Theoretical Issues

- No accepted unification with gravity
- hierarchy problems, fine-tuning, Λ cosmological constant
- CP problem
- ...

It is generally accepted that the SM is a low-energy approximation to a more fundamental theory,

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{EH}} + \delta \mathcal{L}_{\text{BSM}}$$

↓
Einstein-Hilbert
for classical gravity

↓
Beyond the
Standard Model

There are many theoretical approaches in looking for BSM physics

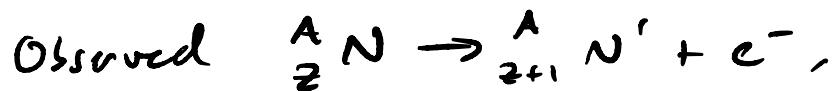
- More symmetry (GUTS, SUSY, ...)
- More d.o.f.
- Less symmetry (e.g., Lorentz violation)
- More dimensions
- Extended fundamental d.o.f.
- ...

Here we will focus on one of the more well-known extensions BSM - Neutrino masses.

Neutrino Physics

Let's go through a brief history of the neutrino

- pre- ν , β -decay spectrum was puzzling.



BD, spectrum was continuous,



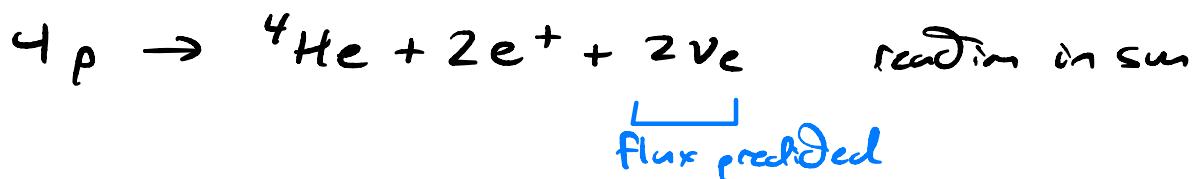
Pauli proposed a light fermion which interacts weakly, called the neutrino ν



- 1956 ν_e was discovered
- 1962 ν_μ was discovered
- 1974-1977 ν_τ evidence
(2001) ν_τ detected (DONUT @ Fermilab)

Neutrinos exhibit parity violation (Lee 1956), are left-handed (Goldhaber 1958), and \bar{Z} -decays indicate that there are 3 light-families. All consistent with SM so far.

Between 1970 & 1994, many observations of solar neutrinos indicated a neutrino deficit



Experimentally observe ν_e -flux $\sim \frac{1}{3}$ (theory prediction)

Experiments at SNO (2001) and Super K (1998) found flux ($\nu_e + \nu_\mu$) \approx theoretical flux. This led to the hypothesis that neutrinos exhibit flavor transmitions, i.e., weak eigenstates \neq propagating states.
(cf. CKM matrix & neutral meson oscillations)

This mixing suggests neutrinos have mass!

Let's look at a simple Quantum mechanical model,
with no spinor structure & only 2 flavours

$|e\rangle$, $e = e, \mu$ produced by weak interactions

$|j\rangle$, $j=1, 2$ propagating states

i.e.,

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \underbrace{\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}}_{\text{Mixing matrix}} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Consider a fixed p-state $|\nu_e\rangle = |\nu_e(p)\rangle$

At $t > 0$,

$$\begin{aligned} |\nu_e(t)\rangle &= e^{-iHt} |\nu_e\rangle \\ &= e^{-iHt} (\cos\theta |1\rangle - \sin\theta |2\rangle) \\ &= e^{-iE_1 t} \cos\theta |1\rangle - e^{-iE_2 t} \sin\theta |2\rangle \end{aligned}$$

Probability to detect $|\nu_e\rangle$ at t is

$$\begin{aligned} P_{e \rightarrow e}(t) &= |\langle \nu_e | \nu_e(t) \rangle|^2 \\ &= |e^{-iE_1 t} \cos^2\theta + e^{-iE_2 t} \sin^2\theta|^2 \\ &= \dots \\ &= 1 - \sin^2 2\theta \sin^2((E_2 - E_1)t/2) \end{aligned}$$

In the ultrarelativistic limit, $|\vec{p}| \gg m_i \Rightarrow |\vec{p}| \sim E$
 $t \sim L$

$$E_i \approx |\vec{p}| + \frac{1}{2} \frac{m_i^2}{|\vec{p}|}$$

some distance
at time t ,

$$\Rightarrow P_{e \rightarrow e}(t) = 1 - \sin^2 \theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

$$\text{where } \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

Similar analysis gives

$$P_{e \rightarrow \mu}(t) = \sin^2 \theta \sin^2 \left(\frac{\Delta m_{21}^2 L}{4E} \right)$$

Notice that we are not sensitive to m_i , just Δm_{21}^2 .

BD, experimentally

$$\sqrt{\Delta m_{21}^2} = 9 \times 10^{-3} \text{ eV}$$

$$\sqrt{\Delta m_{32}^2} = 5 \times 10^{-2} \text{ eV}$$

Cosmological observation & direct measurements give

$$m_\chi \lesssim 2 \text{ eV}$$

Also notice that we need both $\theta \neq 0$ & $\Delta m \neq 0$
 \Rightarrow Need flavor mixing & neutrino masses!

The total probability is 1, $P_{e \rightarrow e} + P_{e \rightarrow \mu} = 1 \quad \forall t$.

This model holds in vacuum, and assures coherent and monochromatic neutrinos (although could extract argument to wave packets, & also QFT).

So, in order to study neutrinos with the SM, we need to extend it with neutrino masses. Our goal is to maintain gauge-structure of MSM, with renormalizable interactions & aim for minimal changes.

Dirac Mass

Recall that $\bar{\psi}_R \psi_R = 0 = \bar{\psi}_L \psi_L$

To add a mass term, we need a new field,

$$\mathcal{L}_{\text{mass}}^D \sim m, \langle \phi \rangle \bar{\psi}_R \psi_L + \text{h.c.}$$

\Rightarrow Need new d.o.f. to MSM, ν_R .

$(\frac{1}{2}, \frac{1}{2})_0$ \rightarrow Can argue this by anomaly cancellation
 No stray int. \leftarrow \hookrightarrow 1 new field
 (not double)

Try Yukawa-Higgs turns

$$G'(\bar{L}\phi) \nu_R + \text{h.c.} \quad \text{SU(3), SU(2) singlet} \quad \checkmark$$

$$\gamma: +1 +1 -2 \quad \times \quad \nu_R \text{ should be } \gamma=0$$

\hookrightarrow Does not transform correctly under Hypercharge!

Try conjugate-Higgs

$$G(\bar{L}\phi^c) \nu_R + \text{h.c.} \quad \text{SU(3), SU(2) singlets} \quad \checkmark$$

$$\gamma: +1 -1 0 \quad \checkmark$$

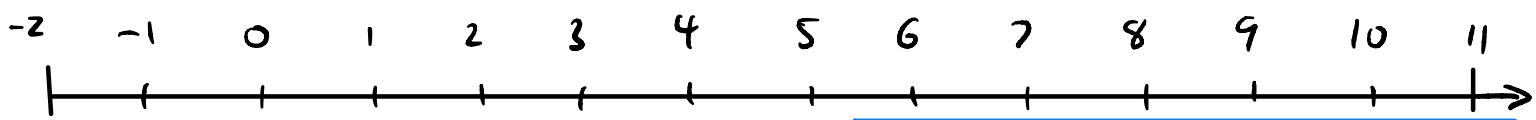
$$Q = T_3 + \frac{1}{2}\gamma = 0 + \frac{1}{2}(0) = 0 \quad \checkmark$$

$\Rightarrow G(\bar{L}\phi^c) \nu_R + \text{h.c.}$ gives mass to ν !

So, add new field to SM, $N = \nu_R$, $(\frac{1}{2}, \frac{1}{2})$.

Yukawa couplings are tiny \rightarrow New hierarchy problem?

mass $\log_{10} \text{eV}$

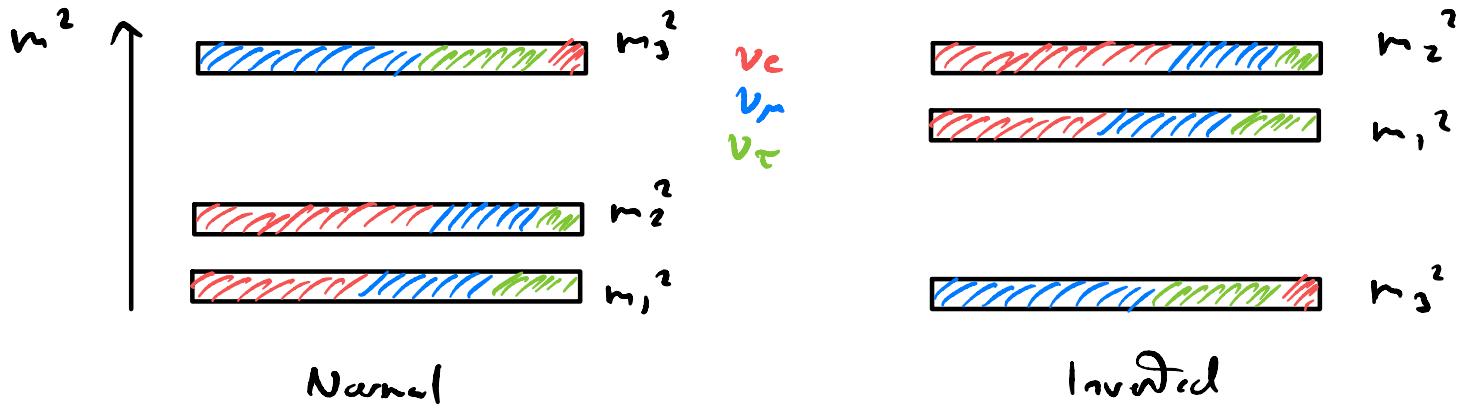


new SM Yukawa couplings



MSM Yukawa couplings

Since we have Δm^2 , two possibilities for mass spectrum



Have neutrino mass, what about mixing?

Let's revisit Yukawa mixings for leptons. Now that there is a right-handed neutrino, can't perform diagonalization trick.

$$l_L = (U_L^\ell) \hat{l}_L \quad \nu_L = (U_L^\nu) \hat{\nu}_L$$

$$l_R = (U_R^\ell) \hat{l}_R \quad \nu_R = (U_R^\nu) \hat{\nu}_R$$

so,

$$\mathcal{L}_{\text{mass}}^{\text{leptons}} = -\frac{1}{\sqrt{2}} G^L \bar{l}_R (0, \alpha) \left(\frac{\nu_L}{l_L} \right) + \text{h.c.}$$

$$-\frac{1}{\sqrt{2}} G^R \bar{\nu}_R (\alpha, 0) \left(\frac{\nu_L}{l_L} \right) + \text{h.c.}$$

$$= -\frac{1}{\sqrt{2}} \bar{l}_R \underbrace{(U_R^\ell)^+ G^L (U_L^\ell)}_{\hat{l}_L} (0, \alpha) \left((U_L^\ell)^+ (U_L^\nu) \hat{\nu}_L \right) + \text{h.c.}$$

$$-\frac{1}{\sqrt{2}} \bar{\nu}_R \underbrace{(U_R^\nu)^+ G (U_L^\nu)}_{\text{choose s.t. diagonal}} (\alpha, 0) \left((U_L^\nu)^+ (U_L^\ell) \hat{l}_L \right) + \text{h.c.}$$

$$\supset -m_e \bar{l}_R \hat{l}_L + \text{h.c.} \quad -m_\nu \bar{\nu}_R \hat{\nu}_L + \text{h.c.}$$

As with the CKM matrix, this lepton mixing affects interactions with ω^\pm ,

$$\overline{L} D L = (\bar{\nu}_\nu, \bar{\ell}_\nu) r^* \begin{pmatrix} \# & -\frac{1}{\sqrt{2}} i g \omega_\mu^+ \\ -\frac{1}{\sqrt{2}} i g \omega_\mu^- & \# \end{pmatrix} \begin{pmatrix} \nu_\nu \\ \ell_\nu \end{pmatrix}$$

$$\Rightarrow \overline{L} D L \supset \bar{\nu}_\nu (U_\nu^\nu)^+ \left(-\frac{1}{\sqrt{2}} i g \omega_\mu^+ \right) (U_\nu^\ell) \hat{\ell}_\nu + \bar{\ell}_\nu (U_\nu^\ell)^+ \left(-\frac{1}{\sqrt{2}} i g \omega_\mu^- \right) (U_\nu^\nu) \hat{\nu}_\nu$$

Define

$$U_{PMNS} \equiv (U_\nu^\nu)^+ (U_\nu^\nu)$$

Pontecorvo - Maki - Nakagawa - Sakata (PMNS) mixing matrix

Mixing angle counting is same as CKM

$$\theta'_{12} = 33.41^\circ {}^{+0.75^\circ}_{-0.72^\circ}$$

Assumes normal ordering

$$\theta'_{23} = 49.1^\circ {}^{+1.0^\circ}_{-1.3^\circ}$$

$$\theta'_{13} = 8.54^\circ {}^{+0.11^\circ}_{-0.12^\circ}$$

$$\delta' = 197^\circ {}^{+42^\circ}_{-25^\circ} \quad \leftarrow \text{very difficult to measure}$$

Compare with CKM : $\theta_{12} \sim 13^\circ$, $\theta_{23} \sim 2^\circ$, $\theta_{13} \sim 0.2^\circ$, $\delta \sim 70^\circ$

So, adding neutrino masses "seems" simple, take MSM with 19 parameters, add right-handed neutrinos with 3 mass parameters + 4 PMNS parameters. Why is this not accepted as "SM", and considered as "BSM"? It turns out since neutrinos are neutral, there is an alternative way to add neutrino masses with SM fields.

Majarana Mass

Recall for scalar fields,

$$\varphi^* \varphi \quad \text{vs.} \quad \varphi \varphi$$

↳ has conserved current

$$\varphi \varphi$$

↳ no conserved current

Both are mass terms! For spin- $\frac{1}{2}$, have similar structures

$$\bar{\psi} \psi \quad \text{vs.} \quad \bar{\psi} \psi^c$$

↳ has conserved current

$$\bar{\psi} \psi^c \leftarrow \text{complex conjugate}$$

↳ no conserved current (Not complex conj)
⇒ still Lorentz invariant

$$\text{Recall: } \psi^c = C \bar{\psi}^T$$

$$\bar{\psi} \psi^c = \bar{\psi} C \bar{\psi}^T \text{ are called } \underline{\text{Majorana mass terms}}.$$

Propose new mass terms

$$\mathcal{L}_{\text{mass}}^M \sim () \bar{\nu}_L \nu_L^c = () \bar{\nu}_L C \bar{\nu}_L^T$$

↳ Some ϕ field mechanism

Let's try various contributions

- $d=3$ terms

$$\bar{\nu}_L C \bar{\nu}_L^T, \quad \bar{L} C \bar{L}^T$$

$$\text{Hypercharge } Y = \begin{matrix} +1 & +1 \\ & +1 & +1 \end{matrix} \Rightarrow Y \neq 0 \quad \times$$

- $d=4$ terms, need one Higgs doublet
 \Rightarrow still 1 unaccounted $SU(2)$ index!

$$(\bar{L}\phi) C \bar{L}^T, \quad (\bar{L}\phi^c) C \bar{L}^T \quad \times$$

↑ ↳ $SU(2)$ index
no $SU(2)$ index

- $d=5$, two Higgs fields

We know that $\bar{L}\phi^c$ is singlet under all gauge groups of MSM

$$Y = +1 - 2 = 0 \quad \checkmark$$

try

$$\frac{1}{M} (\bar{L}\phi^c) C (\bar{L}\phi^c)^T + \text{h.c.}$$

\uparrow $[M] = G \cdot V$

↓ $c\bar{J}_s$ = spinor indices

$$= \phi^{cT} \bar{L}^T$$

After SSB, $\Phi^c = \frac{a}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\Rightarrow \frac{1}{M} (\bar{L} \Phi^c) C (\Phi^{c\top} \bar{L}^\top) + h.c.$$

$$\bar{\nu}_e \frac{a}{\sqrt{2}} \quad \bar{\nu}_e^\top \frac{a}{\sqrt{2}}$$

$$= \frac{a^2}{2M} \bar{\nu}_e C \bar{\nu}_e^\top + h.c.$$

\hookrightarrow Majorana mass term!

Notice no mass term generated for l-leptons.

Suppose $M \sim 10^{16} \text{ GeV}$ (GUT scale)

$$\Rightarrow m_\nu \sim \frac{(246 \text{ GeV})^2}{10^{16} \text{ GeV}} \simeq 3 \times 10^{-3} \text{ eV} !$$

So, $\mathcal{L}_{\text{mass}} = - \frac{1}{M} (\bar{L} \Phi^c) C (\bar{L} \Phi^c)^\top + h.c.$

No new particle & no new hierarchy problem!

Can extend to 3 families. The $d=5$ operator is called "Weinberg operator" (1979), and is usually interpreted as an effective interaction.

Note also that lepton # is not conserved!

$$v_L \rightarrow e^{i Q_L \alpha} v_L$$

$$\bar{v}_L \rightarrow e^{-i Q_L \alpha} \bar{v}_L$$

$$\Rightarrow \bar{v}_L C \bar{v}_L^T \rightarrow e^{-2i Q_L \alpha} \bar{v}_L C \bar{v}_L^T$$

Violates lepton number by 2 units

MSM \rightarrow each lepton # is conserved

Dirac $v + \text{MSM} \rightarrow$ Total lepton # is conserved

Majorana $v + \text{MSM} \rightarrow$ Lepton # not conserved

To include mixing, $\frac{1}{M} \rightarrow \left(\frac{1}{M} \right)_{AB} = H_{AB}$ $[H] = G v^2$

\hookrightarrow family index

$$\begin{aligned} \text{Consider } \bar{v}_L^A C \bar{v}_L^B{}^T &= (\bar{v}_L^A C \bar{v}_L^B{}^T)^T \\ &= -\bar{v}_L^B C^T \bar{v}_L^A{}^T, \quad C^T = -C \\ &= \bar{v}_L^B C \bar{v}_L^A{}^T \Rightarrow \text{symmetric!} \end{aligned}$$

$$\text{So, } \mathcal{L}_{\text{mix}} \sim H_{AB} \bar{v}_L^A C \bar{v}_L^B{}^T$$

$\Rightarrow H_{AB}$ is symmetric! $H^T = H$ (unlike Yukawa in MSM)

↑ note! H not Hermitian!

Diagonalize, $U H U^T = \text{diagonal}$

Lf $J = H H^T \Rightarrow J = J^T$ Hermitian

So, $V^T J V = \text{diagonal}$, real eigenvalues

Lf $A = V^T H V$, $A^T = V^T H^T V = A$ since $H^T = H$

$$\text{So, } A^T A = V^T H^T V^* V^T H V$$

$$(V V^*)^T = \mathbf{1}$$

$$= V^T H^T H V \quad \text{diagonal, } \lambda \text{ real}$$

Lf $A = X + i Y$, X, Y real matrices ($X^T = X, Y^T = Y$)

$$\begin{aligned} A^T A &= X^2 - Y^2 + X^T i Y - i Y^T X \\ &= X^2 - Y^2 + i [X, Y] \end{aligned}$$

Since $A^T A$ is real $\Rightarrow [X, Y] = 0$

$\Rightarrow X, Y$ simultaneously diagonalizable

$W X W^T$ diagonal

$W Y W^T$ diagonal

$$\Rightarrow U H U^T = W V^T + \underbrace{H V W^T}_A$$

\uparrow

$W \quad U = W V^T$

$$= W A W^T$$

$$= W(x + i y) W^T$$

$$= \text{diagonal} !$$

So, can diagonalize to get mass terms.

Define $\bar{\nu}_L = \hat{\bar{\nu}}_L (U_L^m)$, thus as before

\hookrightarrow Notice, defined with $\bar{\nu}$!

$$TDL = (\bar{\nu}_L, \bar{\ell}_L) \gamma^\mu \begin{pmatrix} * & -\frac{1}{\sqrt{2}} i g w_\mu^+ \\ -\frac{1}{\sqrt{2}} i g w_\mu^- & * \end{pmatrix} \begin{pmatrix} \nu_L \\ \ell_L \end{pmatrix}$$

= diagonal terms

$$-\frac{1}{\sqrt{2}} i g \bar{\nu}_L (U_L^m) \gamma^\mu w_\mu^+ (U_L^e) \hat{\ell}_L$$

$$-\frac{1}{\sqrt{2}} i g \bar{\ell}_L (U_L^e)^+ \gamma^\mu w_\mu^- (U_L^m) \hat{\bar{\nu}}_L$$

Define $U_R = (U_L^m)(U_L^e)$

$$U_R^+ = (U_L^e)^+ (U_L^m)^+ = \underline{U_{\text{mass}}'}$$

Parameter counting for $U_{\text{PMNS}}^{(n)}$.

Since $U = U_{\text{PMNS}}^{(n)}$ is unitary, $U^\dagger U = \mathbb{1}$

$$\Rightarrow U = e^{iG\epsilon}, \quad G = G^+$$

Take $G = X + iY$, so $G^+ = G \Rightarrow X = X^T$
 $Y = -Y^T$

params

$\frac{1}{2}n(n+1)$
$\frac{1}{2}n(n-1)$

So, $U_X = e^{iX\epsilon} \simeq e^{i\epsilon} \Rightarrow \frac{1}{2}n(n+1)$ phases

$$U_Y = e^{-Y\epsilon} \simeq \sin\epsilon, \cos\epsilon \Rightarrow \frac{1}{2}n(n-1)$$
 mixing angles

For a Dirac mass term,

$$\left. \begin{array}{l} 3 \text{ phases} \\ 3 \text{ phases} \end{array} \right\} \text{absorb into } \left\{ \begin{pmatrix} v \\ l \end{pmatrix} \right.$$

$$\Rightarrow \frac{1}{2}3(3+1) - 6 + 1 = \underline{1 \text{ phase}}$$

\uparrow 1 unstratified phase

For Majorana mass term,

only 3 phases can be absorbed into l

$$\Rightarrow \frac{1}{2}3(3+1) - 3 = \underline{3 \text{ phases}}$$

Can write

$$U_{\text{PMNS}}' = U_{\text{PMNS}} \begin{pmatrix} e^{i\alpha} & e^{i\beta} & 0 \\ 0 & e^{i\gamma} & 1 \end{pmatrix}$$

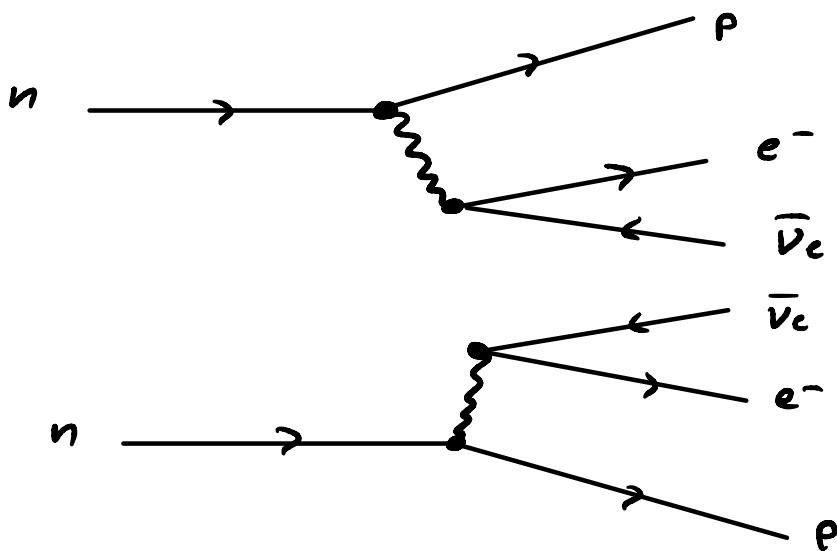
α, β are CP violating phases \Rightarrow so far unconstrained!

Summary of Neutrino mass approaches

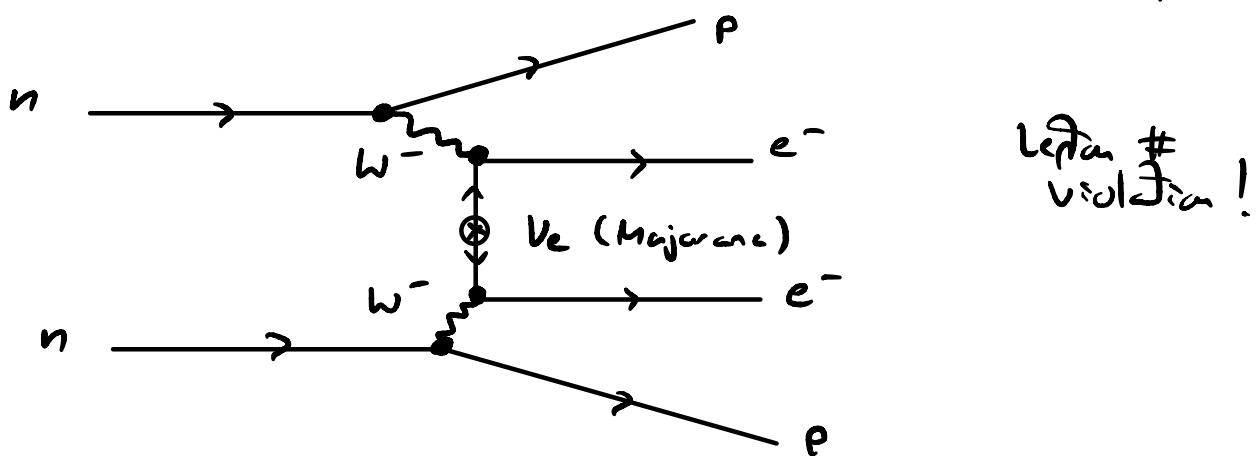
	Dirac	Majorana
S1	$G(\bar{\phi}^c) v_e + \text{h.c.}$	$\frac{1}{M} (\bar{\phi}^c) C (\bar{\phi}^c)^T + \text{h.c.}$
New D.O.F.	3	0
Coupling	G , $[G] = 0$	$\frac{1}{M}$, $\left[\frac{1}{M}\right] = G eV^{-1}$
Mass Dim.	4	5
Total Lepton Number	conserved	not conserved
Parameters	3 masses 3 mixing angles 1 CP phase	3 masses 3 mixing angles 3 CP phases

- 3 Mixing angles have been measured
- $\Delta m_{21}^2, \Delta m_{32}^2$ have also been measured
- 1 CP phase is next major goal.
- Seeking opportunities for 2 Majorana phases
- Next major goal is absolute mass scale.

One opportunity is a double β -decay. It has been observed that $2n \rightarrow 2p + 2e^- + 2\bar{\nu}_e$

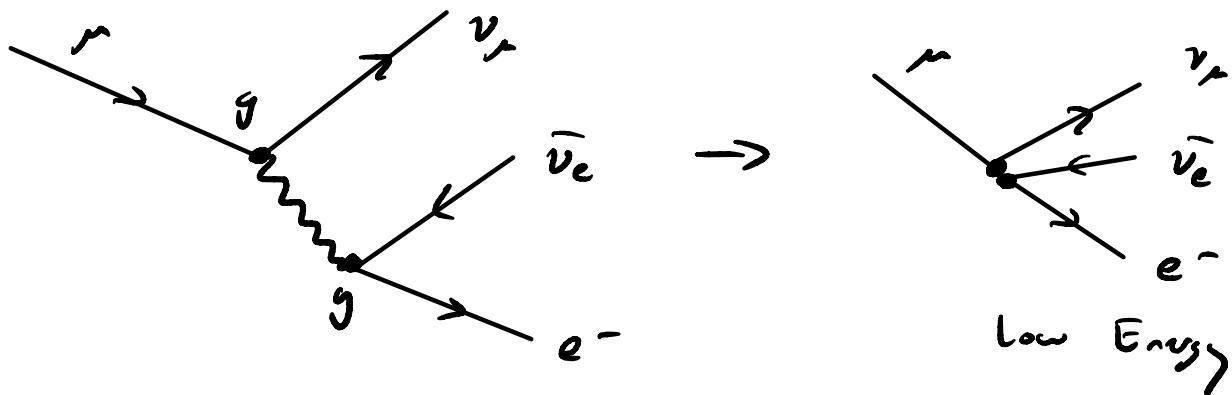


TD, if neutrino is also Majorana, can have $0\nu\beta\beta$ -decay



See-Saw Mechanism

The Majorana mass term suggests this is an effective interaction, e.g., Four-Fermi theory from SM



$$M \sim g^2 J_\alpha^{(\mu)} \left(-\frac{g^{e\mu}}{p^2 - m_\omega^2} \right) J_\mu^{(e)} , [g] = 0$$

$$\text{if } p^2 \ll m_\omega \Rightarrow \frac{1}{p^2 - m_\omega^2} = \frac{1}{m_\omega^2} + \mathcal{O}(p^2/m_\omega^2)$$

$$\Rightarrow M \sim -\underbrace{\frac{g^2}{m_\omega^2}}_{G_F} J_\alpha^{(\mu)} J^{(e)\alpha}$$

$$[G_F] = -2$$

$$\text{so, } \mathcal{L}_{\text{eff}} \sim G_F J_\alpha^{(\mu)} J^{(e)\alpha}$$

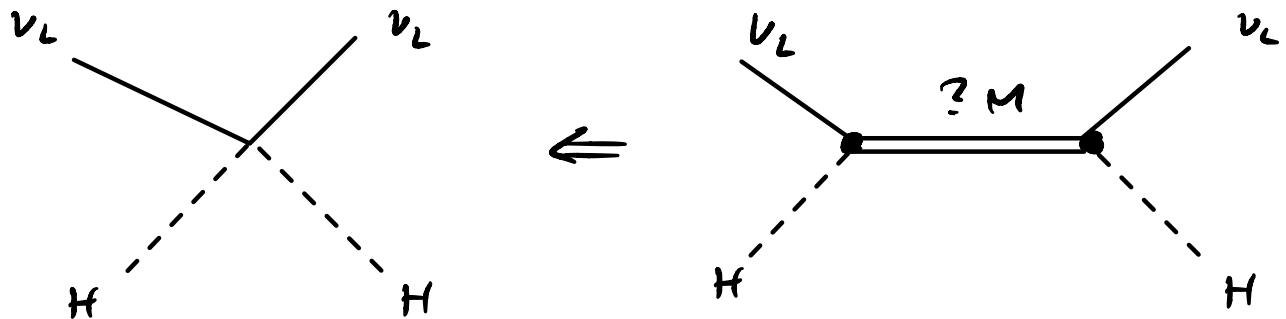
\uparrow higher dimension operator!

This effective theory breaks down at $p^2 \sim m_\omega^2$

Applying this idea to Majorana neutrinos,

$$\left(\frac{1}{M}\right)_{AB} (\bar{\ell}_A \phi^c) C (\bar{\ell}_B \phi^c)^T$$

May be seen as low-energy effective operator
from more fundamental theory with heavier d.o.f.
⇒ Not necessarily a theoretical problem



There are various models which explore this. One common one is the Seesaw mechanism. Consider a single family,

$$\delta L_{\text{MSM}} = -G(\bar{\ell} \phi^c) v_R - \frac{1}{2} M \bar{v}_R^c v_R + \text{l.c.}$$

↑ Dirac mass after
 $S\bar{S}B \rightarrow m \bar{v}_L v_R$
↓ Heavy d.o.f.
↑ Majorana mass

$$= -\frac{1}{2} (\bar{v}_L, \bar{v}_R^c) \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} \begin{pmatrix} v_L^c \\ v_R \end{pmatrix} + \dots$$

Consider $U = \begin{pmatrix} 1 & m/M \\ -m/M & 1 \end{pmatrix}$, $UU^T = UU^+ = \mathbb{1}$

For $M \gg m$,

$$S_L = \frac{1}{2} (\bar{\nu}_L, \bar{\nu}_R^c) U U^T \begin{pmatrix} 0 & m \\ m & M \end{pmatrix} U U^T \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

$$= \begin{pmatrix} -m & \frac{m}{M} & 0 \\ 0 & M & 0 \end{pmatrix} + \text{higher order}$$

$$\text{So, } U^T \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} = \begin{pmatrix} 1 & -m/M \\ +m/M & 1 \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} \quad \text{expand } \propto \frac{m}{M}$$

$$= \begin{pmatrix} \nu_L^c - \frac{m}{M} \nu_R \\ \nu_R + \frac{m}{M} \nu_L^c \end{pmatrix}$$

\Rightarrow Light (mostly) left-handed Majorana " ν_L "
 Heavy (mostly) right-handed Majorana " ν_R "