

# COM SCI 122 Week 4

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## Graph Algorithms in Bioinformatics

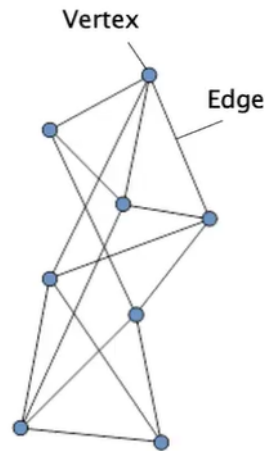
### Introduction

A **graph** is a collection  $(V, E)$  of two sets:

- $V$  is simply a set of objects, which we call the **vertices** of  $G$ .
- $E$  is a set of pairs of vertices which we call the **edges** of  $G$ .

Simpler: Think of  $G$  as a network:

- Nodes = vertices
- Edges = segments connecting the nodes



### Hamiltonian Cycle Problem

- Input: A graph  $G = (V, E)$
- Output: A **Hamiltonian cycle** in  $G$ , which is a cycle that visits every vertex exactly once.
- This problem is NP-Complete.
  - This result explains why knight tours were so difficult to find; there is no known quick method to find them!

## Traveling Salesman Problem (TSP)

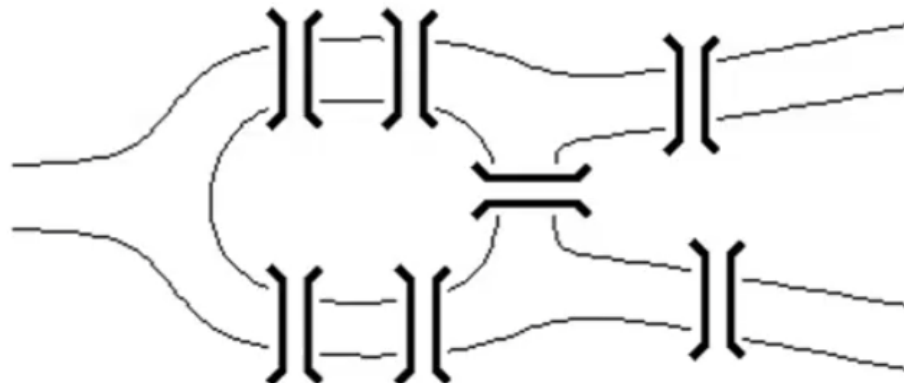
- $n$  cities
- Cost of traveling from  $i$  to  $j$  is given by  $c(i, j)$
- Goal: Find the tour of all the cities of lowest total cost
- Example below: One busy salesman!



So we might like to think of the Hamiltonian Cycle Problem as a TSP with all costs = 1, where we have some edges missing (there doesn't always exist a flight between all pairs of cities).

## The Bridges of Konigsberg

- The city of Konigsberg, Prussia (today: Kaliningrad, Russia) was made up of both banks of a river, as well as two islands.
- The riverbanks and the islands were connected with bridges, as follows:



- The residents wanted to know if they could take a walk from anywhere in the city, cross each bridge exactly once, and wind up where they started.

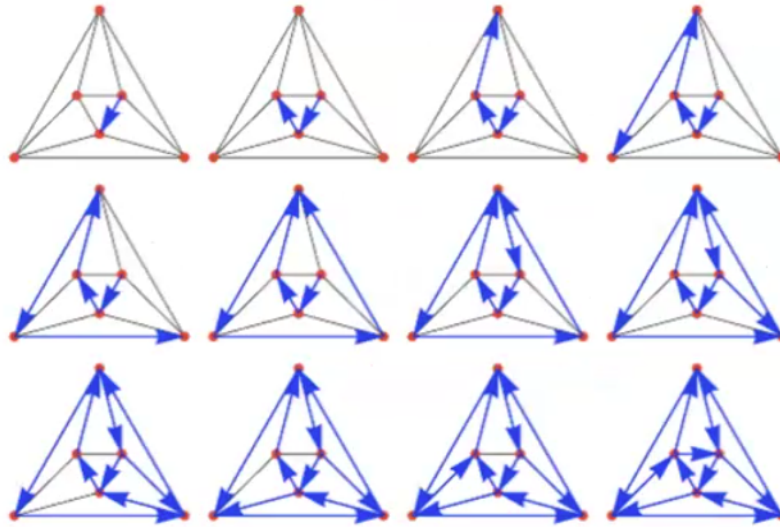
In 1735, enter Euler. . . his idea: compress each land area down to a single point, and each bridge down to a segment connecting two points. This is just a graph! We are now looking for a cycle in this graph which

covers each edge exactly once.

Using this setup, Euler showed that such a cycle cannot exist.

## Eulerian Cycle Problem

- Input: A graph  $G = (V, E)$
- Output: A cycle in  $G$  that touches every edge in  $E$  (called an **Eulerian cycle**), if one exists.
- Example: below is a demonstration of an Eulerian cycle.



### Theorem:

The Eulerian Cycle Problem can be solved in linear time

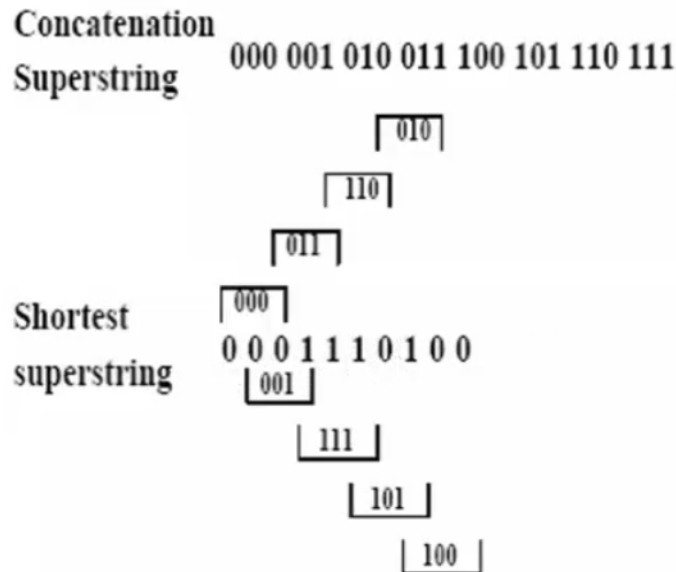
- So whereas finding a Hamiltonian cycle quickly becomes intractable for an arbitrary graph, finding an Eulerian cycle is relatively much easier

## Shortest Superstring Problem (SSP)

- Problem: Given a set of strings, find a shortest string that contains all of them,
- Input: Strings  $s_1, s_2, \dots, s_n$
- Output: A "superstring"  $s$  that contains all strings  $s_1, s_2, \dots, s_n$  as substrings, such that the length of  $s$  is minimized.

Example:

Set of strings: {000, 001, 010, 011, 100, 101, 110, 111}



- So our greedy guess of concatenating all the strings together turns out to be substantially suboptimal (length 24 vs. 10).

To do this, we can define an *overlap function*.

### Overlap Function

- Given strings  $s_i$  and  $s_j$ , define  $overlap(s_i, s_j)$  as the length of the longest prefix of  $s_j$  that matches a suffix of  $s_i$ .
- Example:

```
s_1 = AAAGGCATCAAATCTAAAGGCATCAAA
s_2 = AAAGGCATCAAATCTAAAGGCATCAAA
```

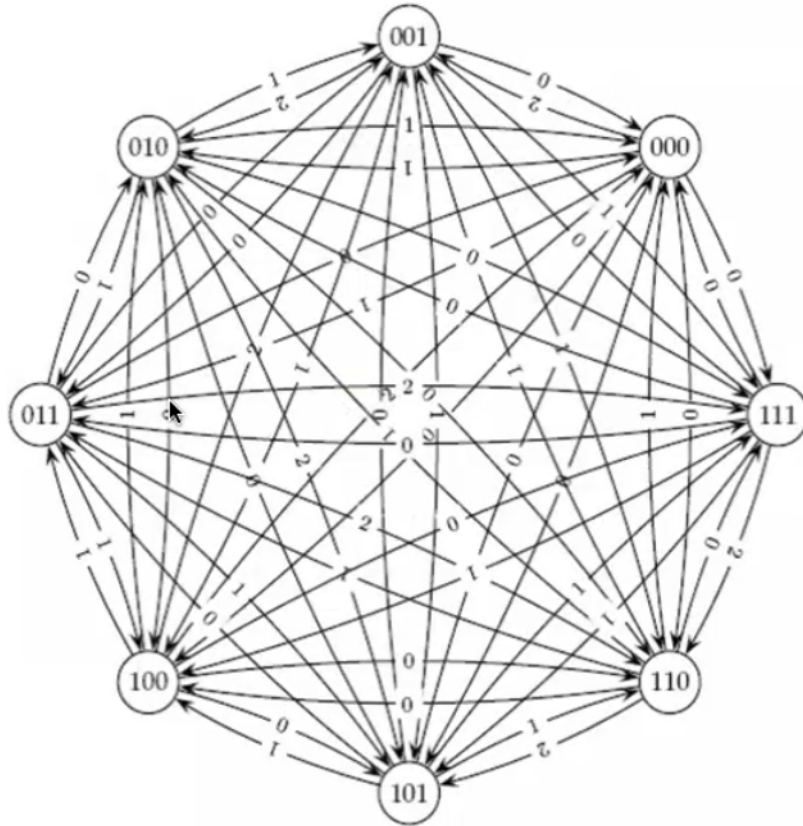
- Therefore,  $overlap(s_1, s_2) = 12$ .

### Why is SSP an NP-Complete Problem?

- Construct a graph  $G$  as follows:
  - The  $n$  vertices represent the  $n$  strings  $s_1, s_2, \dots, s_n$ .
  - For every pair of vertices  $s_i$  and  $s_j$ , insert an edge of length  $overlap(s_i, s_j)$  connecting the vertices.
- Then finding the shortest superstring will correspond to finding the shortest Hamiltonian path in  $G$ .
- But this is the **Traveling Salesman Problem** (TSP), which we know to be NP-complete.
  - Hence SSP must also be NP-complete!

### Reducing SSP to TSP: Example 1

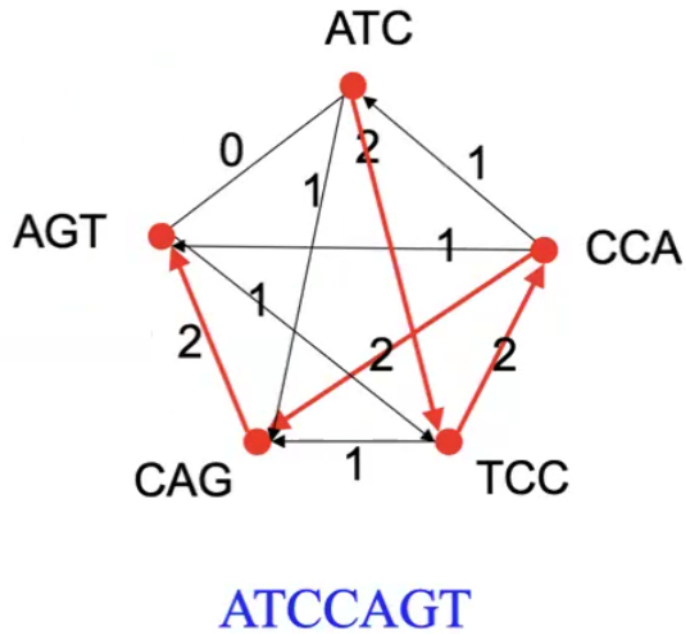
- Take our previous set of strings  $S = \{000, 001, 010, 011, 100, 101, 110, 111\}$
- Then the graph for  $S$  is below:



- One minimal Hamiltonian path gives our previous superstring, 0001110100.

### Reducing SSP to TSP: Example 2

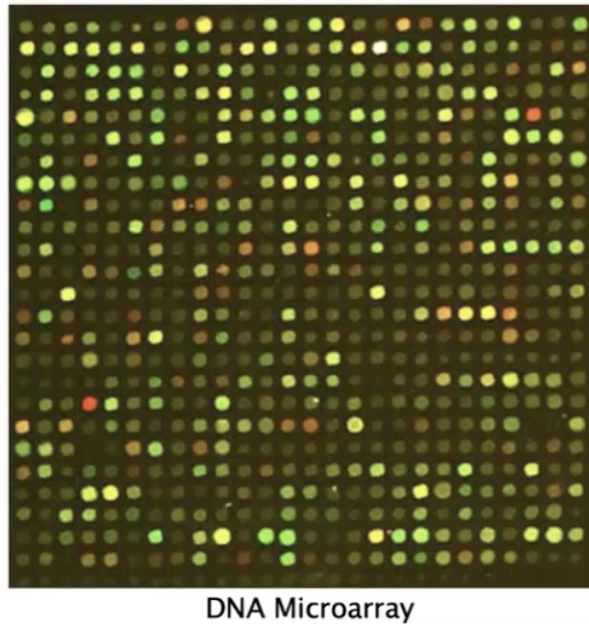
- $S = \{ATC, CCA, CAG, TCC, AGT\}$
- This graph is provided below.



- A minimal Hamiltonian path gives as shortest superstring ATCCAGT.

## Sequencing By Hybridization

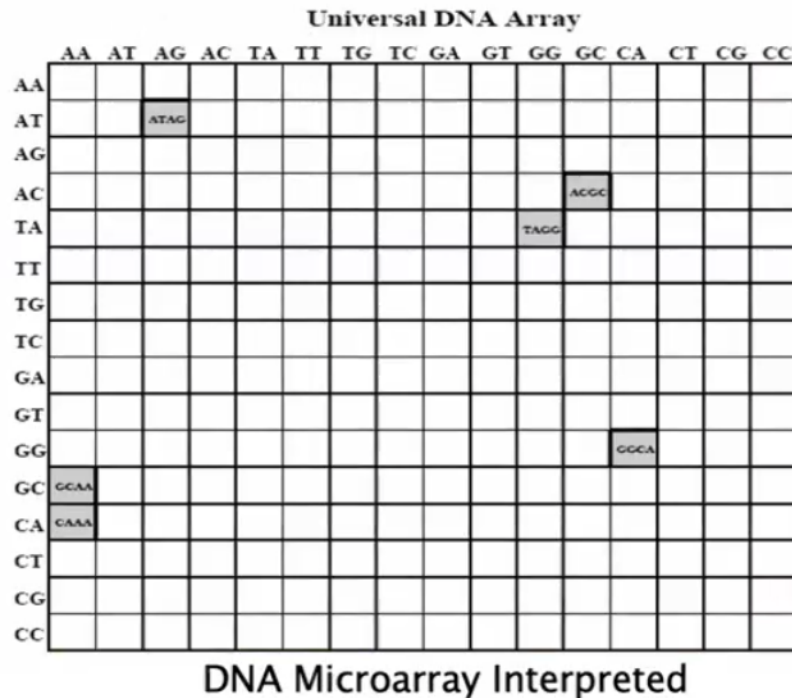
- Using a spectroscopic detector, determine which probes hybridize to the DNA fragment to obtain the  $l$ -mer composition of the target DNA fragment.
- Reconstruct the sequence of the target DNA fragment from the  $l$ -mer composition.



## How SBH Works: Example

- Say our DNA fragment hybridizes to indicate that it contains the following substrings: GCAA, CAAA, ATAG, TAGG, ACGC, GGCA.

- Then the most logical explanation is that our fragment is the shortest superstring containing these strings!
- Here the superstring is: ATAGGCAAACGC.



### *l*-mer Composition

- $Spectrum(s, l)$ : The *unordered* of all *l*-mers in a string *s* of length *n*.
- The order of individual elements in  $Spectrum(s, l)$  does not matter.
- For  $s = \text{TATGGTGC}$  all of the following are equivalent representations of  $Spectrum(s, 3)$ :
  - {TAT, ATG, TGG, GGT, GTG, TGC}
  - {ATG, GGT, GTG, TAT, TGC, TGG}
  - {TGG, TGC, TAT, GTG, GGT, ATG}
- Which ordering do we choose? Typically the one that is *lexicographic*, meaning in alphabetical order (think of a phonebook).

### Different Sequences, Same Spectrum

- Different sequences may share a common spectrum
- Example:

$$\begin{aligned}
 Spectrum(\text{GTATCT}, 2) &= \{\text{AT}, \text{CT}, \text{GT}, \text{TA}, \text{TC}\} \\
 Spectrum(\text{GTCTAT}, 2) &= \{\text{AT}, \text{CT}, \text{GT}, \text{TA}, \text{TC}\}
 \end{aligned}$$

## The SBH Problem

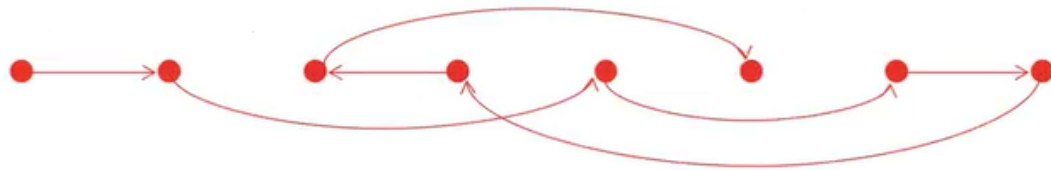
- Problem: Reconstruct a string from its  $l$ -mer composition
- Input: A set  $S$ , representing all  $l$ -mers from an (unknown) string  $s$
- Output: A string  $s$  such that  $Spectrum(s, l) = S$
- **Note:** As we have seen, there may be more than one correct answer. Determining which DNA sequence is actually correct is another matter.

### SBH: Hamiltonian Path Approach

- Create a graph  $G$  as follows:
  - Create one vertex for each member of  $S$ .
  - Connect vertex  $v$  to vertex  $w$  with a *directed* edge (arrow) if the last  $l - 1$  elements of  $v$  match the first  $l - 1$  elements of  $w$ .
- Then a Hamiltonian path in this graph will correspond to a string  $s$  such that  $Spectrum(s, l)!$

Example:

$S = \{\text{ATG TGG TGC GTG GGC GCA GCG CGT}\}$



- There are actually two Hamiltonian paths in this graph:
  - Path 1: Gives the string  $S = \text{ATGCGTGGCA}$
  - Path 2: Gives the string  $S = \text{ATGGCGTGCA}$

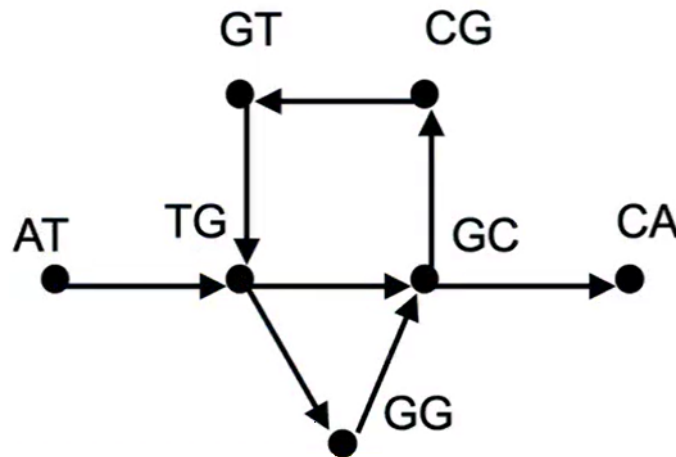
### SBH: A Lost Cause?

- At this point, we should be concerned about using a Hamiltonian path to solve SBH.
- After all, recall that SSP was an NP-Complete problem, and we have seen that an instance of SBH is an instance of SSP.
- However, note that SBH is actually a specific case of SSP, so there is still hope for an efficient algorithm for SBH:
  - We are considering a spectrum of only  $l$ -mers, and not strings of any other length.
  - Also, we only are connecting two  $l$ -mers with an edge if and only if the overlap between them is  $l - 1$ , whereas before we connected  $l$ -mers if there was any overlap at all.
- **Note:** SBH is not NP-Complete since SBH reduces to SSP, but not vice versa.



### SPH: Eulerian Path Approach

- So instead, let us consider a completely *different* graph  $G$ :
  - Vertices = the set of  $(l - 1)$ -mers which are substrings of some  $l$ -mer from our set  $S$ .
  - $v$  is connected to  $w$  with a *directed* edge if the final  $l - 2$  elements of  $v$  agree with the first  $l - 2$  elements of  $w$ , and the *union* of  $v$  and  $w$  is in  $S$ .
- **Example:**  $S = \{\text{ATG, TGG, TGC, GTG, GGC, GCA, GCG, CGT}\}$ 
  - $V = \{\text{AT, TG, GG, GC, GT, CA, CG}\}$
  - $E$  = shown below.



- **Key Point:** A sequence reconstruction will actually correspond to an *Eulerian* path in this graph
- Recall that an Eulerian path is "easy" to find (one can always be found in linear time)... so we have found a simple solution to SBH!
- In our example, two solutions:
  - ATGGCGTGCA
  - ATGCGTGGCA

### But, How do we know an Eulerian Path exists?

- A graph is **balanced** if for every vertex the number of incoming edges equals to the number of outgoing edges. We write this for vertex  $v$  as:
$$in(v) = out(v)$$
- **Theorem:** A connected graph is *Eulerian* (i.e., contains an Eulerian cycle) if and only if each of its vertices is balanced.
- We will prove this by demonstrating the following:
  1. Every Eulerian graph is balanced.
  2. Every balanced graph is Eulerian.

### Proof: Every Eulerian Graph is Balanced

- Suppose we have an Eulerian graph  $G$ . Call  $C$  the Eulerian cycle of  $G$ , and let  $v$  be any vertex of  $G$ .
- For every edge  $e$  entering  $v$ , we can pair  $e$  with an edge leaving  $v$ , which is simply the edge in our cycle  $C$  that follows  $e$ .
- Therefore, it directly follows that  $\text{in}(v) = \text{out}(v)$  as needed, and since our choice of  $v$  was arbitrary, this relation must hold for all vertices in  $G$ , so we are finished with the first part.

### Proof: Every Balanced Graph is Eulerian

- Next, suppose that we have a balanced graph  $G$ .
- We will actually *construct* an Eulerian cycle in  $G$ .
- Start with an arbitrary vertex  $v$  and form a path in  $G$  without repeated edges until we reach a "dead end," meaning a vertex with no unused edges leaving it.
- $G$  is balanced, so every time we enter a vertex  $w$  that isn't  $v$  during the course of our path, we can find an edge leaving  $w$ . So our dead end is  $v$  and we have a *cycle*.
- We have two simple cases for our cycle, which we call  $C$ :
  1.  $C$  is an Eulerian cycle  $\rightarrow G$  is Eulerian  $\rightarrow$  DONE.
  2.  $C$  is not an Eulerian cycle.
- So we can assume that  $C$  is not an Eulerian cycle, which means that  $C$  contains vertices which have untraversed edges.
- Let  $w$  be such a vertex, and start a new path from  $w$ . Once again, we must obtain a cycle, say  $C'$ .
- Combine our cycles  $C$  and  $C'$  into a bigger cycle  $C^*$  by swapping edges at  $w$ .
- Once again, we test  $C^*$ :
  1.  $C^*$  is an Eulerian cycle  $\rightarrow G$  is Eulerian  $\rightarrow$  DONE
  2.  $C^*$  is not an Eulerian cycle.
- If  $C^*$  is not Eulerian, we iterate our procedure. Because  $G$  has a finite number of edges, we must eventually reach a point where our current cycle is Eulerian (Case 1 above). DONE.

### Euler's Theorem: Extension

- A vertex  $v$  is **semi-balanced** if either  $\text{in}(v) = \text{out}(v) + 1$  or  $\text{in}(v) = \text{out}(v) - 1$ .
- **Theorem:** A connected graph has an Eulerian path if and only if it contains at most two semi-balanced vertices and all other vertices are balanced.
  - If  $G$  has no semi-balanced vertices, DONE.
  - If  $G$  has two semi-balanced vertices, connect them with a new edge,  $e$ , so that the graph  $G + e$  is balanced and must be Eulerian. Remove  $e$  from the Eulerian cycle in  $G + e$  to obtain an Eulerian path in  $G$ .
- **Think:** Why can  $G$  not have just one semi-balanced vertex?

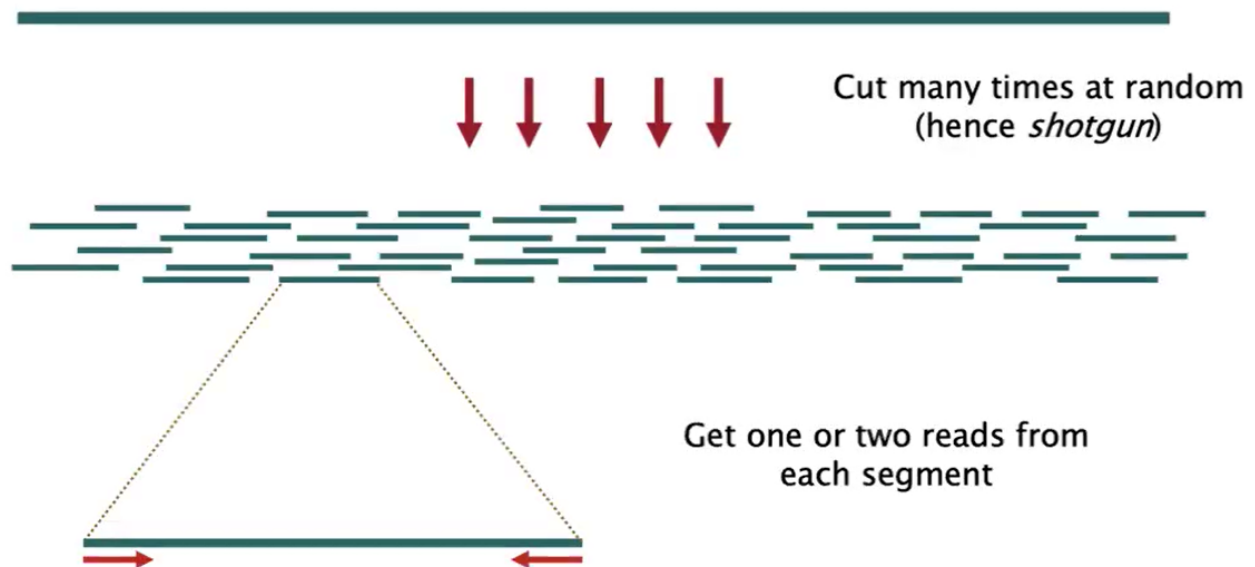
## Some Difficulties with SBH

- **Fidelity of Hybridization:** It is difficult to detect differences between probes hybridized with perfect matches and those with one mismatch
- **Array Size:** The effect of low fidelity can be decreased with longer  $l$ -mers, but array size increases exponentially in  $l$ . Array size is limited with current technology.
- **Practicality:** SBH is still impractical. As DNA microarray technology improves, SBH may become practical in the future.
- **Practicality Again:** Although SBH is still impractical, it spearheaded expression analysis and SNP analysis techniques.
- **Practicality Again and Again:** In 2007 Solexa (now Illumina) developed a new DNA sequencing approach that generates so many short  $l$ -mers that they essentially mimic a universal DNA array.

## Fragment Assembly and Repeats in DNA

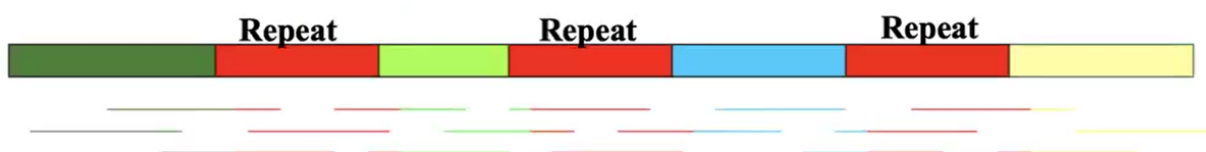
### Shotgun Sequencing

#### Genomic Segment



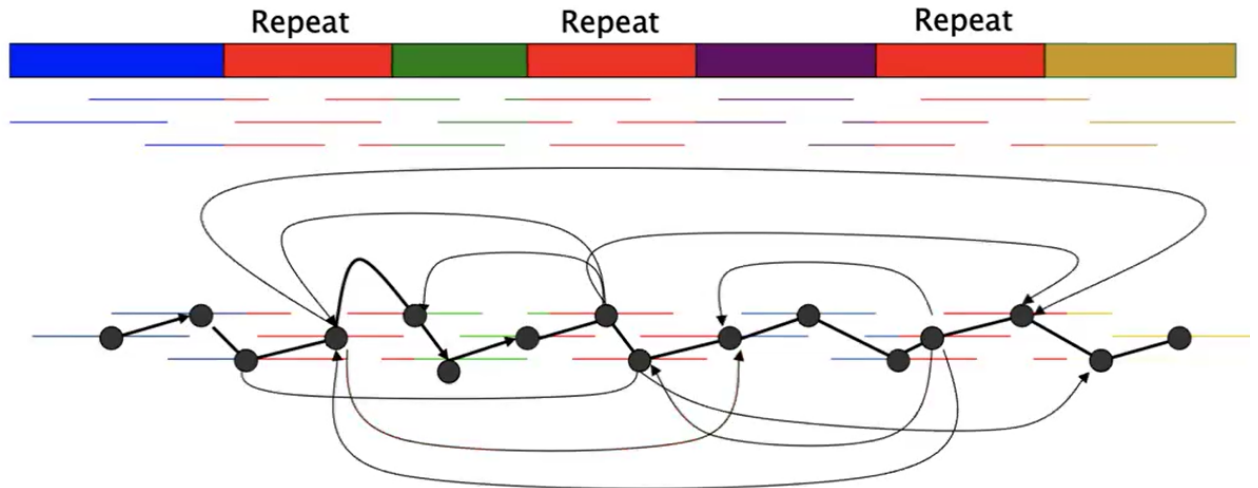
### Challenges in Fragment Assembly

- Repeats: A **major** problem for fragment assembly
- More than 50% of human genome are repeats:
  - Over 1 million *Alu* repeats (about 300 bp)
  - About 200,000 LINE repeats (1000 bp and longer)



## Overlap Graph: Hamiltonian Approach

- Each vertex represents a read from the original sequence.
- Vertices are connected by an edge if they overlap.

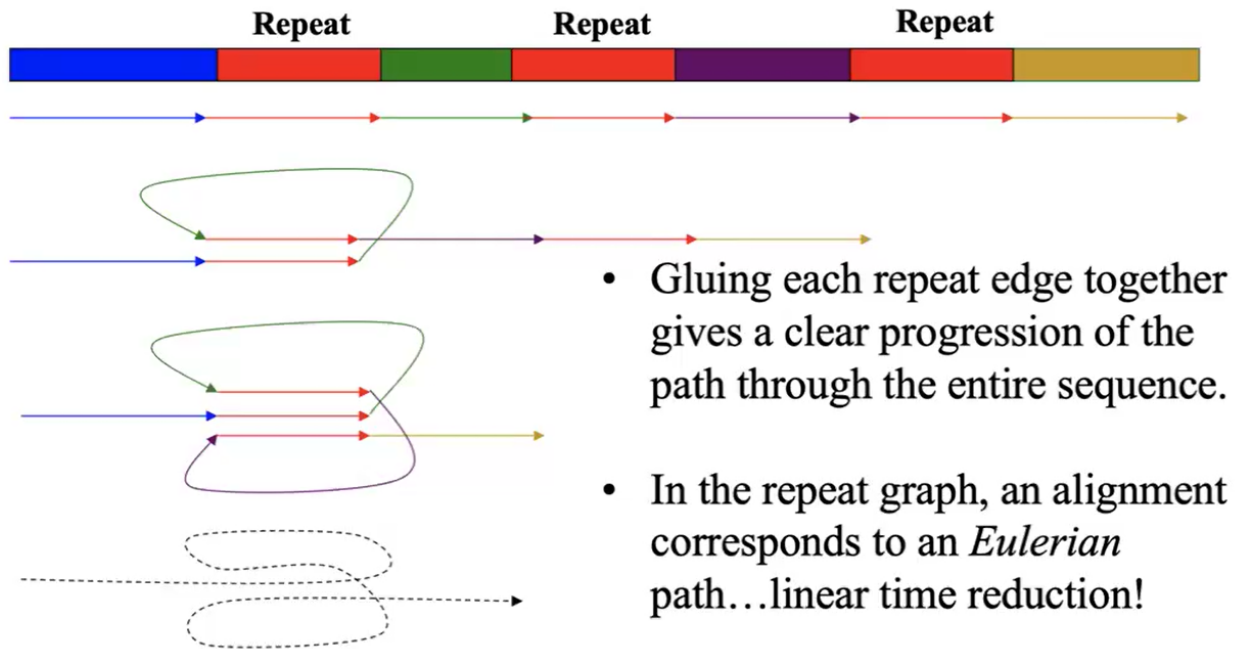


- So finding an alignment corresponds to finding a Hamiltonian path in the overlap graph.
- Recall that the Hamiltonian path/cycle problem is *NP-Complete*: no efficient algorithms are known.

## Euler Approach to Fragment Assembly

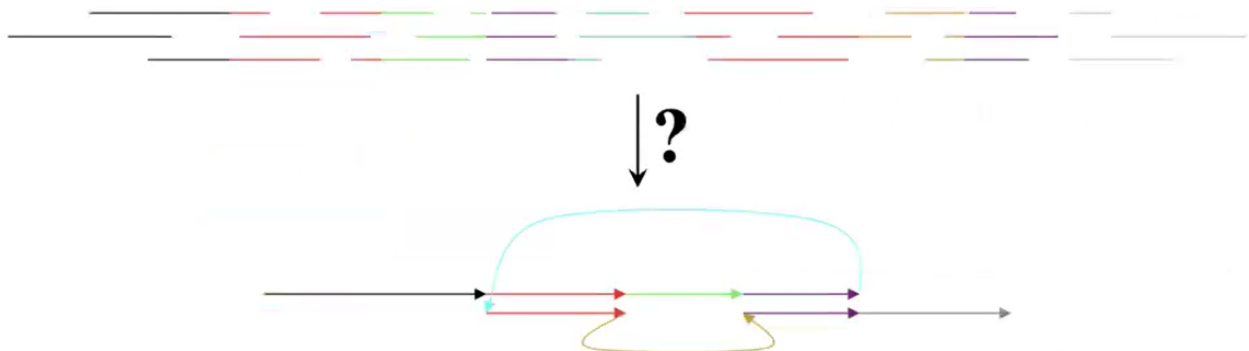
- The "overlap-layout-consensus" technique implicitly solves the Hamiltonian path problem and has a high rate of mis-assembly.
- Can we adapt the Eulerian Path approach borrowed from the SBH problem?
- Fragment assembly without repeat masking can be done in linear time with greater accuracy.

## Repeat Graph: Eulerian Approach



## Making Repeat Graph from Reads Only

- **Problem:** In previous slides, we have constructed the repeat graph while *already knowing* the genome structure.
- How do we construct the repeat graph just from fragments?
- **Solution:** Break the reads into smaller pieces.



## Repeat Sequences: Emulating a DNA Chip

- A virtual DNA chip allows one to solve the fragment assembly problem using our SBH algorithm.



## Construction of Repeat Graph

- **Construction of Repeat Graph from  $k$ -mers:** emulates an SBH experiment with a huge (virtual) DNA chip.
- **Breaking reads into  $k$ -mers:** Transforms sequencing data into virtual DNA chip data.
- Error correction in reads: "Consensus first" approach to fragment assembly.
  - Makes reads (almost) error-free BEFORE the assembly even starts.
- Uses reads and mate-pairs to simplify the repeat graph (Eulerian Superpath Problem.)

## Practical Sequence Assembly

- Split reads into kmers
- Error correct kmers based on occurrence threshold
- Construct De Bruijn Graph (Eulerian Graph)
- Find Eulerian Path (or as many long paths as possible)

## Project 2 - Sequence Assembly

- Given reads - reconstruct the genome using assembly
- Project 2a - Input is "spectrum" of a sequence.
- Project 2b - Input is "reads" of a sequence.
- Output - Sort the "input" in order on the reconstructed sequence.

## Practical Genome Assembly

- Convert reads to spectrum
  - Convert reads to kmers
  - Error correction and copy number count
- Obtain Sequence from Spectrum
  - Generate de Bruijn graph using spectrum
  - Find Eulerian Path and obtain sequence
  - Use original reads to resolve sequence ambiguities

## Reads to Kmers

- Break up reads into kmers



## Kmer Error Correction and Copy Number

- Assume Coverage is 30x

Kmers	Count	True Count
ACTAG	29	1
AGGAC	1	0
CGATC	61	2
TCAGA	31	1
TGATC	2	0

- Since coverage is 30x, each kmer that appears in 30 reads would show up in the genome once.
- Kmers that show up once or twice likely result from read errors.

## Spectrum to Sequence

- De Bruijn Graph Approach
- Build Graph
- Find Eulerian Paths
- Use reads to "Correct graph/paths" and choose the best path.
  - De Bruijn Graph used only kmers - less information
  - Reads contain how the kmers go together
  - Paired reads contain even more information

## Difficulties

- Exponential number of paths through the graph
- Errors in the spectrum/graph
  - No Eulerian Path
  - Many dead ends in graph

- Unconnected graph
- Read information/paired end sequences