

# This Will Be the Title of the Paper for the IEEE Transactions on Information Forensics and Security

Artur Janicki, Federico Alegre and Nicholas Evans

**Abstract**—This article analyses the threat of spoofing or presentation attacks in the context of automatic speaker verification (ASV). The implementation of replay attacks require no specific expertise nor any sophisticated equipment and thus they arguably present a greater risk than voice conversion or speech synthesis. The comparative threat of each attack is verified in this paper against seven different ASV systems including a state-of-the-art iVector-PLDA system. Despite the lack of attention in the literature, experiments show that low-effort replay attacks provoke higher equal error rates (EER) than comparatively higher-effort spoofing attacks such as voice conversion and speech synthesis. Surprisingly, techniques such as score normalisation in some circumstances turned out to work in favour of the replay spoofer. The paper verifies also the effectiveness of two replay countermeasures. Proposed method based on local binary patterns (LBP) yielded better results than detection of far-field recordings.

**Index Terms**—speaker verification, spoofing, replay, iVector-PLDA, spoofing countermeasure, local binary patterns.

## I. INTRODUCTION

Spoofing refers to the presentation of a falsified or manipulated sample to the sensor of a biometric system in order to provoke a high score and thus illegitimate acceptance. In recent years, the automatic speaker verification (ASV) community has started to investigate spoofing and countermeasures actively [1], [2], [3]. A growing body of independent work has now demonstrated the vulnerability of ASV systems to spoofing through replayed speech [4], [5], impersonation [6], [7], voice conversion [8], [9], speech synthesis [10], [11] and attacks with non-speech, artificial, tone-like signals [12].

Common to the bulk of previous work is the consideration of attacks which require either specific skills, e.g. impersonation, or high-level technology, e.g. speech synthesis and voice conversion. Only replay attacks can be performed with ease, requiring no specialist knowledge or expertise. Since they are the most easily implemented, it is natural to assume that replay attacks will be the most commonly encountered in practice. Nonetheless, the threat of replay attacks has neither been quantified using large, standard datasets nor compared to that of other attacks which, until now, have received considerably

more attention in the literature. With replay attacks being considerably the easiest to implement and with discreet, high quality audio equipment now available to the masses, it is the hypothesis in this article that replay attacks merit considerably greater attention. This paper accordingly aims to re-assess ASV vulnerabilities to replay attacks using the same ASV systems and corpora used in previous assessments involving voice conversion and speech synthesis spoofing attacks. In addition the paper investigates the effectiveness of new countermeasures which aim to distinguish between genuine and replayed speech.

The paper is organised as follows. Section 2 describes previous research which has investigated the threat of replay attacks and the countermeasures against them. Also described is an approach to simulate replay attacks in order that their effect can be compared to those of voice conversion and speech synthesis using the same corpora. Section 3 states the aim of the current work. A common experimental setup in which the vulnerabilities of seven different ASV systems is presented in Section 4. Results are presented in Section 5 and our conclusions and ideas for future work are presented in Section 6.

## II. REPLAY SPOOFING ATTACKS

Replay attacks are an example of low-effort spoofing; they require simply the replaying of a previously captured speech signal. In the absence of suitable countermeasures and considering the widespread availability of consumer devices with reasonable quality sound systems, replay attacks can typically be realised with ease. Furthermore, they are pertinent in the case of both text-dependent and text-independent systems through the cutting and pasting of short speech intervals. Paradoxically, given that they have potential to attenuate channel effects which might be introduced through recording and replaying, channel-compensation and other techniques which aim to attenuate intersession variability have potential to work in favour of the replay attacker. Since almost all state-of-the-art speaker verification systems include some form of intersession compensation, then one must consider most systems at least somewhat vulnerable. All of these factors point towards the significant threat of replay attacks and the importance of developing suitable anti-spoofing countermeasures.

This section... describe briefly the contents of the section.

### A. Previous work

**COMMENT: It doesn't seem right to have so little text on the threat when there is so much on countermeasures.**

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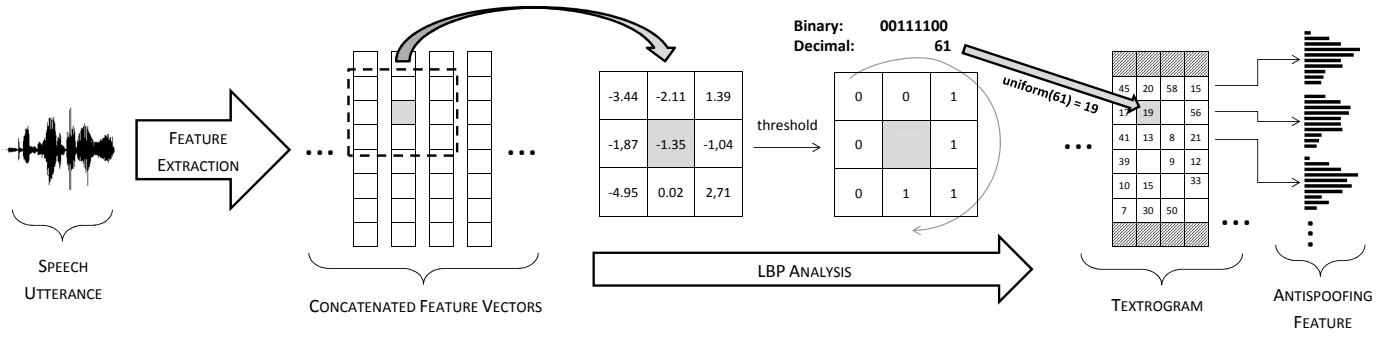


Fig. 1: Schematic diagram of forming a feature vector in the LBP-based countermeasure.

Attack	Naïve impostor	Replay	Voice conversion	Speech synthesis
Speech used	impostor's (genuine)	client's	impostor's (converted)	synthetic
Effort	zero	low	medium-high	high
Effectiveness	low	(?)	medium-high	high

TABLE I: Comparison of four different attacks in terms of speech used, required effort and effectiveness.

While a great deal of attention has been paid to medium- and high-effort spoofing attacks (reviews can be found in [2], [3]), only few studies have addressed replay. The work in [4] assessed the vulnerabilities of an HMM-based, text-dependent ASV system with concatenated digits. While results showed that replay attacks are highly effective, experiments were conducted with data collected from only two speakers. The work in [5] investigated replay using recordings which were collected with close-talk or far-field microphones and then replayed over an analogue or digital telephony channel. The work was conducted with a similarly small corpus with five speakers and showed that a joint factor analysis (JFA) ASV system was vulnerable to replay attacks – the FAR at the EER threshold increased from 1% to almost 70%.

**COMMENT: you don't have any references for challenge-response?** Given that only little work has investigated attacks, it is not surprising that the work in anti-spoofing is similarly limited. One obvious approach involves challenge-response systems which require the speaker to utter a prompted ad hoc phrase [?]. Challenge-response mechanisms are a form of passive countermeasure; more active countermeasures have also been proposed. One approach involves the storing of previous access attempts and their comparison to new attempts [13]. The detection of high similarity was shown to serve as an effective means of identifying replay attack, albeit in a rather constrained scenario.

Other methods essentially aim to detect the presence of an unexpected channel, i.e. channel artefacts indicative of recording and replaying. Two such algorithms were reported in [14] and show reductions in an equal error rate (EER) from 40% to 10% with a baseline GMM-UBM system subjected to replay attacks. Villalba and Lleida [15] investigated a similar, channel-detection approach. Since many logical and physical access based ASV systems can reasonably expect close-talk speech, and since some recordings will be made surreptitiously or at-distance, the detection of far-field speech serves as an effective countermeasure. Villalba and Lleida's work showed

that far-field recordings cause changes in the speech signal envelope, changes which can be easily detected with 90% recognition accuracy.

#### B. A countermeasure based on local binary patterns

**COMMENT: Probably not a great idea to write so much about this one technique (our own) whereas you allocate only a very small amount of text to other work (by other authors). I suggest to greatly reduce and to merge with the material above in one section on previous work.**

Local binary patterns (LBP) technique is a countermeasure which we previously proposed in [16] against attacks using voice conversion, speech synthesis and artificial signals. It is based on the hypothesis that modifications made through spoofing disturb the natural 'texture' of genuine speech. This technique was adopted from a standard texture analysis approach, known in image processing [17], to a 2-dimensional 'image' of a speech utterance, where here the image is a mel-scaled cepstrogram appended with dynamic features.

The standard LBP operator is a non-parametric 3x3 kernel which assigns a binary code to each pixel in an image according to the comparison of its intensity value to that of its eight surrounding pixels [17]. This procedure is illustrated in Figure 1. A binary value of '1' is assigned when the intensity of neighbouring pixels (here feature components) is higher, whereas a value of '0' is assigned when neighbouring pixels are of lower or equal intensity. Each pixel is thus assigned one of  $2^8 = 256$  binary patterns.

LBP's are determined for each pixel in the mel-scaled cepstrogram thus resulting in a new matrix of reduced dynamic range, here referred to as a 'textrogram'. The textrogram captures short-time feature motion beyond that in conventional dynamic parametrisation. The LBP-based countermeasure is based on concatenated histograms formed from the pixel values across each row in the textrogram. The histograms are individually normalised and their resulting bin values are

stacked vertically to obtain a new vector in the same manner as GMM mean-vectors are stacked to form supervectors.

In [16] we showed that a LBP-based countermeasure was highly effective for artificial signals, yielding 0% EER for the five tested ASVs, very effective for speech synthesis attacks (EER values below 1%) and quite effective for voice conversion – EERs less than 7%. Considering the fact that the LBP-based countermeasure hardly relies on prior knowledge on the attack, in this work we decided to try to use it also as a countermeasure against replay attacks.

### C. Replay vs. other spoofing attacks

Table I contrasts naïve (zero-effort) impostor accesses and spoofing attacks, including replay, voice conversion and speech synthesis. They are ordered in terms of the effort or skill needed to implement each attack successfully. Replay attacks require slightly increased effort compared to naïve imposture (need for recording and replay hardware). Voice conversion and speech synthesis require specialised algorithms, in addition to recording hardware to collect, analyse and parameterise the target voice. They belong to a class of higher-effort spoofing attacks. While voice conversion is still based upon the conversion of an original speech signal, speech synthesis starts with text input. Its conversion to a convincing speech signal indicative of a particular speaker requires the most effort of all three attacks. One may reasonably suppose that the effectiveness of each attack is linked to the effort involved; the higher the effort, the greater the impact on ASV performance. However, the results of preliminary experiments described in [18] found the contrary, showing that replay attacks pose high risk, being effective in overcoming an ASV system while being the easiest to implement.

**COMMENT: I would restructure this section. Having learned that we ought to be concentrating on replay, the subsections on voice conversion and synthesis seem misplaced. Better to have a general section on spoofing, to introduce replay, voice conversion and speech synthesis and then the comparison.**

1) *Voice conversion*: When comparing the replay threat with that of voice conversion, we used the approach to voice conversion originally presented in [19]. At the frame level, the speech signal of a spoofer denoted by  $y(t)$  is filtered in the spectral domain as follows:

$$Y'(f) = \frac{|H_x(f)|}{|H_y(f)|} Y(f) \quad (1)$$

where  $H_x(f)$  and  $H_y(f)$  are the vocal tract transfer functions of the targeted speaker and the spoofer respectively.  $Y(f)$  is the spoofer's speech signal whereas  $Y'(f)$  denotes the result after voice conversion. As such,  $y(t)$  is mapped or converted towards the target in a spectral-envelope sense, which is sufficient to overcome most ASV systems.

$H_x(f)$  is determined from a set of two Gaussian mixture models (GMMs). The first, denoted as the automatic speaker recognition (asr) model in the original work, is related to ASV feature space and utilised for the calculation of a posteriori probabilities whereas the second, denoted as the filtering (fil)

model, is a tied model of linear predictive cepstral coding (LPCC) coefficients from which  $H_x(f)$  is derived. LPCC filter parameters are obtained according to:

$$x_{fil} = \sum_{i=1}^M p(g_{asr}^i | y_{asr}) \mu_{fil}^i \quad (2)$$

where  $p(g_{asr}^i | y_{asr})$  is the a posteriori probability of Gaussian component  $g_{asr}^i$  given the frame  $y_{asr}$  and  $\mu_{fil}^i$  is the mean of component  $g_{fil}^i$  which is tied to  $g_{asr}^i$ .  $H_x(f)$  is estimated from  $x_{fil}$  using an LPCC-to-LPC transformation and a time-domain signal is synthesised from converted frames with a standard overlap-add technique. Full details can be found in [19], [20], [9].

2) *Speech synthesis*: There is a large variety of speech synthesis algorithms, such as formant, diphone or unit-selection based synthesis. State-of-the-art text-to-speech systems use either unit-selection or the hidden Markov model-based synthesis (HTS). Whilst the former requires large amounts of speech data, the latter does not, and can therefore much more easily generate speech targeted towards a specific client.

Accordingly, in this paper we consider spoofing with HTS synthesis, following the approach described in [21], and using the HMM-based Speech Synthesis System (HTS)<sup>1</sup>. Parametrisation includes STRAIGHT (Speech Transformation and Representation using Adaptive Interpolation of weiGHTed spectrum) features, Mel-cepstrum coefficients and the logarithm of the fundamental frequency ( $\log F_0$ ) with their delta and acceleration coefficients. Acoustic spectral characteristics and duration probabilities are modelled using multispace distribution hidden semi-Markov models (MSD-HSMM) [22]. Speaker dependent excitation, spectral and duration models are adapted from corresponding independent models according to a speaker adaptation strategy referred to as constrained structural maximum a posteriori linear regression (CSMAPLR) [23]. Finally, time domain signals are synthesised using a vocoder based on Mel-logarithmic spectrum approximation (MLSA) filters. They correspond to STRAIGHT Mel-cepstral coefficients and are driven by a mixed excitation signal and waveforms reconstructed using the pitch synchronous overlap add (PSOLA) method.

## III. AIM OF THIS WORK

This paper aims at analysing threat of replay attacks when using large speaker databases and most effective speaker verification systems, and compare it with the threat of other spoofing algorithms: voice conversion and speech synthesis. The impact of acoustic environment and playback devices on performance of speaker verification systems will be also investigated.

This work will also verify the effectiveness of replay detection, using two previously described replay countermeasures:

- the far-field detector (from here on in referred to as FFD) described in [15], which had been shown to be effective in detecting far-field recordings, and

<sup>1</sup><http://hts.sp.nitech.ac.jp/>

- the local binary patterns-based detector (from here on in referred to as LBP), described in [16], which yielded satisfactory results for a variety of attacks (artificial signals, speech synthesis and voice conversion).

Therefore, we are going to identify a relative threat of replay using the state-of-the-art ASV systems and two replay countermeasures.

#### IV. EXPERIMENTAL SETUP

In the following we describe the ASV systems used in this study, the datasets, protocols and metrics, and then the implementation of replay emulation and implementation of the countermeasures.

##### A. ASV systems

We assessed the impact of each spoofing attacks on seven popular ASV systems: (i) a standard GMM-UBM system with 1024 Gaussian components, (ii) a GMM supervector linear kernel (GSL) system, (iii) a GSL system with nuisance attribute projection (NAP) used for channel compensation [24], (iv) a GSL with factor analysis (FA) [25], (v) a GMM-UBM system with factor analysis, (vi) an iVector system [26], and (vii) an iVector system with probabilistic linear discriminant analysis (PLDA) [27] and length normalisation [28].

From here on in, the pure iVector system is referred to as IV system, whilst the state-of-the-art iVector system with PLDA is referred to as the IV-PLDA system. All seven ASV systems were tested with and without normalisation. The IV and IV-PLDA systems used symmetric score normalisation (S-norm) as described in [29], while the remaining systems utilised standard T-norm normalisation [30].

All ASV systems used a common speech activity detector which fits a 3-component GMM to the log-energy distribution and which adjusts the speech/non-speech threshold according to the GMM parameters [31]. Such an approach has been used successfully in many independent studies [32], [33] and performed well in comparison to alternatives [34].

All ASV systems were based on the LIA-SpkDet toolkit [35] and the ALIZE library [36] and were directly derived from the work in [25]. They furthermore used a common UBM with 1024 Gaussian components and a common feature parametrisation: linear frequency cepstral coefficients (LFCCs), their first derivatives and delta energy.

##### B. Datasets, protocols and metrics

All experiments reported below were performed on the male subsets of the 2005 NIST Speaker Recognition Evaluation (NIST'05) and NIST'06 datasets. The former were used for optimising the ASV configurations whereas all results reported later relate to the latter.

In all cases the data used for UBM learning comes from the NIST'04 dataset. Due to the significant amount of data necessary to estimate the total variability matrix  $T$  used in the IV-PLDA system, the NIST'06 dataset was additionally used as background data for development whereas the NIST'05 dataset was used as background data for evaluation. In all cases

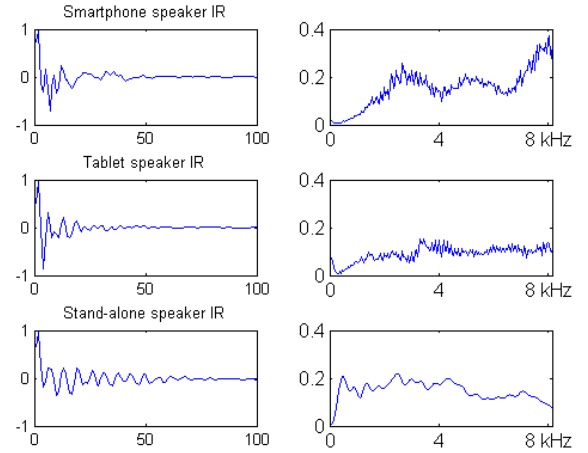


Fig. 2: Impulse responses (left) and corresponding frequency transmittance (right) of the three speakers used for playback emulation.

the background datasets were augmented with the NIST'04 and NIST'08 datasets.  $T$  is thus learned using approximately 11,000 utterances from 900 speakers, while independence between development and evaluation experiments is always respected.

All experiments related to the 8conv4w-1conv4w condition where one conversation provides an average of 2.5 minutes of speech (one side of a 5 minute conversation). In all cases, however, only one of the eight, randomly selected training conversations was used for enrolment. Experimental results should thus be compared to those produced by other authors for the 1conv4w-1conv4w condition. Standard NIST protocols dictate in the order of 1,000 true client tests and 10,000 impostor tests for development and evaluation datasets. In our experiments with replay attacks, all genuine client tests were unchanged, whereas impostor tests were replaced with spoofed (replay) accesses.

Given the consideration of spoofing, and without any specific, standard operating criteria under such a scenario, the equal error rate (EER) is preferred to the minimum detection cost function (minDCF) for ASV assessment. Also reported is the spoofing false acceptance rate (SFAR) for a false rejection rate (FRR) which is fixed to the EER of the baseline.

##### C. Replay attack setup

Since in this study (as in the majority of other studies on speaker recognition) the NIST databases are used, it implies that the telephony speech will be used. Therefore the  $mic(t)$  and  $a(t)$  components from the Equation ?? are already determined by the source database, and the Equation ?? can be simplified to:

$$y(t) = x(t) * spk(t) * b(t) \quad (3)$$

To emulate replay attacks at the sensor level we need therefore to reproduce the distortions caused by a replay device ( $spk(t)$ ) with and without the effects introduced by acoustic

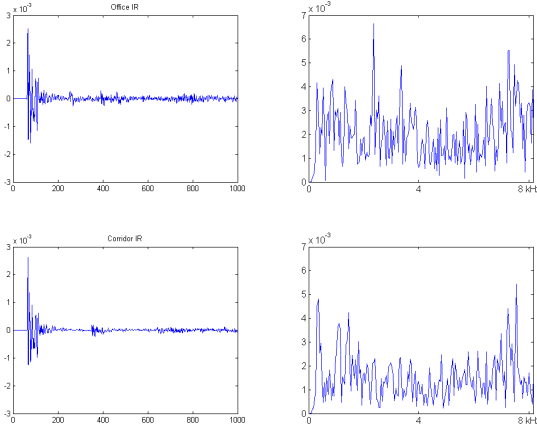


Fig. 3: Impulse responses (left) and corresponding frequency transmittance (right) of the office and the corridor used for playback emulation.

conditions ( $b(t)$ ). We decided to use the following replay devices:

- a speaker of a popular smartphone brand, from now on in referred to as 'smartphone';
- a speaker of a popular tablet brand, from now on in referred to as 'tablet';
- a stand-alone high-quality speaker, from now on in referred to as 'stand-alone speaker'.

The impulse responses of these speakers are publicly available [37]. Together with frequency responses, they are presented in Fig. 2.

We decided to emulate two likely environments for a spoofing attack: an office room and a corridor. The corresponding impulse responses were taken from the Aachen Impulse Response (AIR) database [38]. We used the impulse response of the office room sized 5.00m x 6.40m x 2.90m, with glass windows, concrete walls, a carpet and typical office furniture, and the impulse response of the corridor sized 18.25m x 2.5m x 2.90m, with concrete walls, five wooden doors and one glass door. To check the impact of replay environment we also run experiments without considering the room acoustics, what would correspond to an anechoic chamber.

#### D. Replay countermeasure setup

The FFD countermeasure was set up according to the algorithm proposed in [15]. Each recording, both from the training and the testing datasets, was additionally described using the following 12 parameters:

- spectral ratio – the ratio between the signal energy from 0 to 2 kHz and from 2 kHz to 4 kHz;
- low frequency ratio – ratio between the signal energy from 100 Hz to 300 Hz and from 300 Hz to 500 Hz;
- total signal modulation index; and
- nine sub-band modulation indices, for sub-bands: 1kHz-3kHz, 1kHz-2kHz, 2kHz-3kHz, 0.5kHz-1kHz, 1kHz-1.5kHz, 1.5kHz-2kHz, 2kHz-2.5kHz, 2.5kHz-3kHz and 3kHz-3.5kHz.

As for the LBP countermeasure, we reduced the number of possible patterns according to the standard Uniform LBP approach described in [17]. Uniform LBPs are the subset of 58 patterns which contain at most two bitwise transitions from 0 to 1 or 1 to 0 when the bit pattern is traversed in circularly fashion. As an example, the subset includes patterns 00000001 and 00111100 but not 00110001. As reported by [17], most patterns are naturally uniform and empirical evidence suggests that their use in many image recognition applications leads to better performance than the full set of uniform and non-uniform patterns. We observed similar findings in our previous work [16] and thus pixels corresponding to any of the 198 non-uniform patterns are simply ignored.

Normalized features used in the LBP countermeasure are composed of 51 coefficients: 16 LFCCs and energy plus their corresponding delta and delta-delta coefficients. We take into account only those frames determined to contain speech, i.e. those also used for ASV.

We used the implementation made publicly available by The University of Oulu<sup>2</sup> and considered only the 58 possible uniform patterns. Histograms of LBPs are created for all but the first and last frames, thereby obtaining a  $58 \times 49 = 2842$  length feature vector.

Both countermeasure algorithms were trained using a set of 1000 recordings generated using 200 recordings taken from NIST'05 and emulation of various acoustic conditions. In order to make the experiment as close as possible to reality, we decided to use different room impulse responses than the ones used for simulation of replay attack. Therefore we emulated the following environment:

- a lecture room, with concrete walls, glass windows and a parquet;
- a staircase, with concrete walls and steps;
- a meeting room, with concrete walls, glass windows and a carpet.

Similarly to emulation of replay attack, the corresponding impulse responses were taken from the AIR database. To train the far-field recording detector, we also needed to emulate the replay device. We chose a stand-alone speaker impulse response, different from the one used for replay attack emulation, to avoid overfitting to testing data. Original NIST'05 recordings were used to model the licit client access trials.

A binary SVM classifier with polynomial kernel of 3rd degree was used for data classification for the FFD countermeasure, while a classifier based on decision table was used for LBP. Those classifiers returned the best results for those two detectors in terms of the area under the ROC curve. Having been trained, both classifiers were applied to detect replay attacks in both spoofing accesses and licit client trials.

#### E. Setup of other spoofing attacks

The experiments with attacks using voice conversion were conducted with our implementation of the approach originally proposed in [19]. We again considered the worst-case scenario where the attacker/spoofers has full prior knowledge of the

<sup>2</sup><http://www.cse.oulu.fi/CMV/Downloads/LBPMatlab>

Score norm	Replay env.	GMM	SGL	SGL-NAP	SGL-FA	FA	IV	IV-PLDA
No norm	(Baseline)	9.08	7.89	6.35	6.08	5.60	6.67	3.20
	Office	40.26	34.43	33.52	30.72	33.85	27.83	29.11
	Corridor	35.71	28.24	28.53	25.75	29.92	23.02	22.78
	None	51.59	49.64	49.49	49.73	49.37	49.38	49.37
With norm	(Baseline)	8.63	8.13	6.31	5.72	5.61	6.72	2.98
	Office	60.32	92.98	29.92	28.54	30.12	28.89	30.30
	Corridor	55.91	88.20	23.59	21.62	24.97	23.31	24.53
	None	64.40	96.67	49.44	49.31	49.67	49.06	49.46

TABLE II: EER values for different ASV systems for various acoustic environment of replay attacks, with and without score normalisation.

ASV system, and so the front-end processing used in voice conversion was exactly the same as that used for ASV. The filtering model and filter  $H_x(f)$  used 19 LPCC and LPC coefficients, respectively.

Speech synthesis attacks were implemented using the voice cloning toolkit<sup>3</sup> with a default configuration. We used standard speaker-independent models provided with the toolkit which were trained on the EMIME corpus [39]. The adaptation data for each target speaker comprises three utterances (with transcriptions). Speech signals for spoofing assessment are generated using arbitrary text similar in length to that of true client test utterances.

## V. RESULTS

Fig. 4 and Fig. 5 present the detection error trade-off (DET) plots<sup>4</sup> for the basic GMM-UBM and the state-of-the-art IV-PLDA systems, exposed to various replay attacks. They show that all replay attacks caused a significant degradation of the ASV performance. However, there are major differences in ASV performance depending on acoustic environment. If the acoustic environment is omitted, the ASV system is almost ideally spoofed – the DET lines are close to straight, with the EER values close to 50%. In realistic cases, when the room acoustics is taken into account, the spoofing is slightly less severe. It can be easily observed that the ASV performance under replay attack in a corridor is better than in an office, probably due to higher level of reverberation for the corridor. Fig. 6 shows a sample score distribution, here for the IV-PLDA system with a replay attack realised in an office (or with speech acquired in an office). A significant overlap of replay attacks with true client accesses can be observed.

In contrast, despite major differences in speakers' impulse responses (see Fig. 2), the differences between the DET plots corresponding to different speakers are only minor. It suggests a relatively low impact of a replay device on the effectiveness of replay attack. Since all seven ASV systems tested showed similar behaviour, therefore, for the sake of clearness, the next results will present the average of the three speakers used in experiments.

Table II shows the detailed EER results for replay attacks in various acoustic conditions against the seven various ASV

systems, with and without score normalisation. All systems are shown to be severely sensitive to replay attacks. Even for the highly-reverberant corridor and the most resistant (in terms of EER) GSL kernel system with factor analysis and with T-norm, the EER rose to more than 22% compared to the baseline 5.7%. What was already visible in the DET plots (see Fig. 5), the results for the office are much worse – the most resistant IV system (without PLDA and without score normalisation) and GSL-FA systems yielded the EER of ca. 28%, whilst the other systems returned EERs of 30% and more. If acoustic conditions are not emulated, the spoofing is almost perfect and the ASV systems yield EER values of 50% or even more.

It is noteworthy that under replay attack the iVector system with probabilistic linear discriminant analysis (PLDA) often shows worse results than the iVector system alone, even though the PLDA significantly decreases the EER for the baseline system (from 6.7% down to less than 3%, with score normalisation). This can be explained that in normal conditions the PLDA improves the performance of iVector-based ASV system as it compensates the intersession differences caused by channel or speaker variation. However, in the case of replay attack this can be disadvantageous, because it also seems to compensate the differences caused by replay devices and replay environments.

### A. Comparison of replay threat vs. other spoofing methods

To compare the reply threat with the threat of voice conversion and speech synthesis, we took the average results for all replay devices, as well as the average for office and corridor as the replay environment. The impact of voice conversion, despite demanding considerably more effort to implement, causes a similar degradation in performance to that of replay attacks. E.g., the SGL system yielded the EER of 37% for voice conversion and on average 31% for replay; in contrast, the IV-PLDA system showed to be more resistant to voice conversion than replay (20.5% EER vs. 26%, respectively).

High-effort speech synthesis attacks proved much less effective – the EER for the best IV-PLDA system reached less than 11%, whilst replay attack caused an increase of the EER to 20.5%. These observations are also illustrated through the DET plot in Fig. 8 for the IV-PLDA system.

### B. Impact of score normalisation

The impact of score normalisation in the case of replay attack is ambiguous. For some of the systems, such as factor

<sup>3</sup><http://homepages.inf.ed.ac.uk/jyamagis/software/page37/page37.html>

<sup>4</sup>Produced with the TABULA RASA Scoretoolkit ([http://publications.idiap.ch/download/reports/2012/Anjos\\_Idiap-Com-02-2012.pdf](http://publications.idiap.ch/download/reports/2012/Anjos_Idiap-Com-02-2012.pdf))

Attack	GMM	SGL	SGL-NAP	SGL-FA	FA	IV-PLDA
Naïve impostor	9.08	7.89	6.35	6.08	5.60	3.20
Replay	37.99	31.33	31.03	28.24	31.89	25.95
Voice conversion	31.48	36.94	30.44	30.23	23.16	20.45
Speech synthesis	39.90	14.66	13.83	11.98	30.81	10.92

TABLE III: EER values for different ASV systems for various spoofing attacks, without score normalisation.

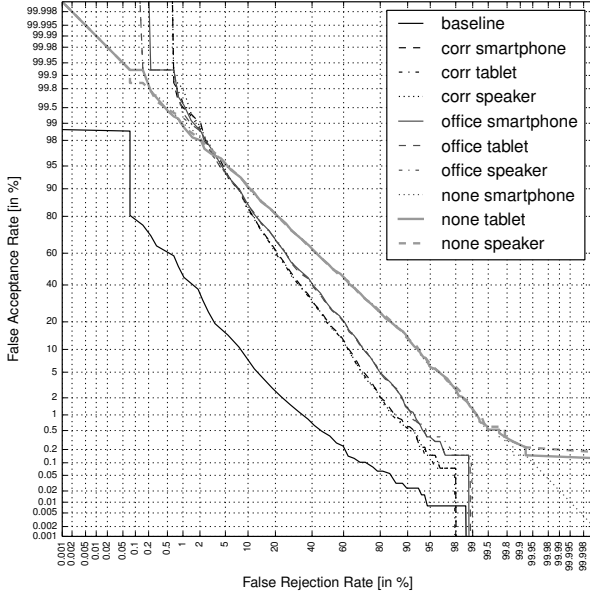


Fig. 4: DET plots for the GMM-UBM system for various replay configurations, compared to the baseline performance.

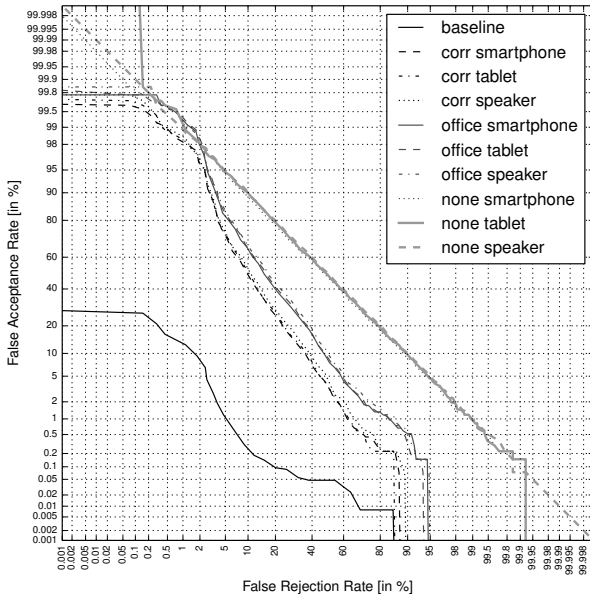


Fig. 5: DET plots for the IV-PLDA system for various replay configurations, compared to the baseline performance.

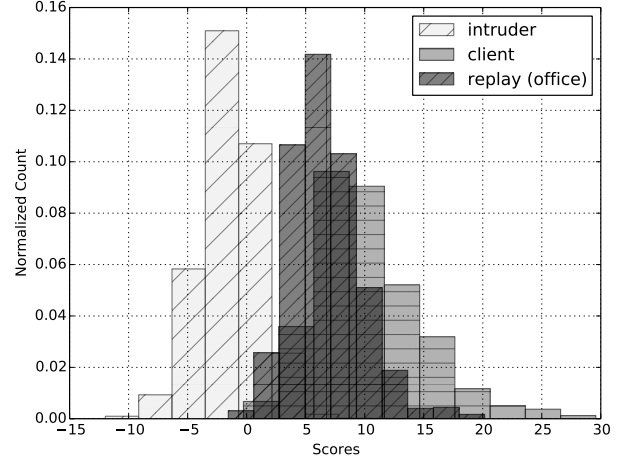


Fig. 6: Score distribution for the IV-PLDA system for replay attacks using a stand-alone speaker and emulation of an office.

analysis, GMM supervector linear kernel with factor analysis and with nuisance attribute projection the score normalisation helped to decrease EER values in the face of spoofing, e.g., for the factor analysis system and the corridor the EER decreased from almost 30% to around 25%.

In contrast, for four other ASV systems (GMM-UBM, GMM supervector linear kernel alone and both iVector systems), the score normalisation in fact helped the spoofer. The increase of EER after applying score normalisation for the GMM-UBM or SGL system is immense, e.g., for replay in an office and the GSL system, the EER increased from 34% to 93%. This effect is also well illustrated by score distributions presented in Fig. 7, using the example of replay played back from a smartphone in a corridor against a GMM-UBM system. It shows that the scores, having been normalised, got shifted even more to the right than original client accesses.

This phenomenon seems to be a side-effect of T-norm algorithm, which involves dividing the scores by standard deviation of the scores reached for a cohort of reference speaker models. Table IV displays standard deviation values for the client accesses and replay spoofed accesses. It shows that standard deviation for client accesses is by far the largest for the GMM supervector linear kernel system (0.25) and it is also relatively high for the GMM-UBM system (0.09). We believe that this is caused by lack of any compensation mechanism for channel and intersession variability, both in the GMM-UBM and the GSL systems. Higher standard deviation causes shifting the scores of the licit client accesses to the left on the score axis. Contrary, other ASVs cause much lower



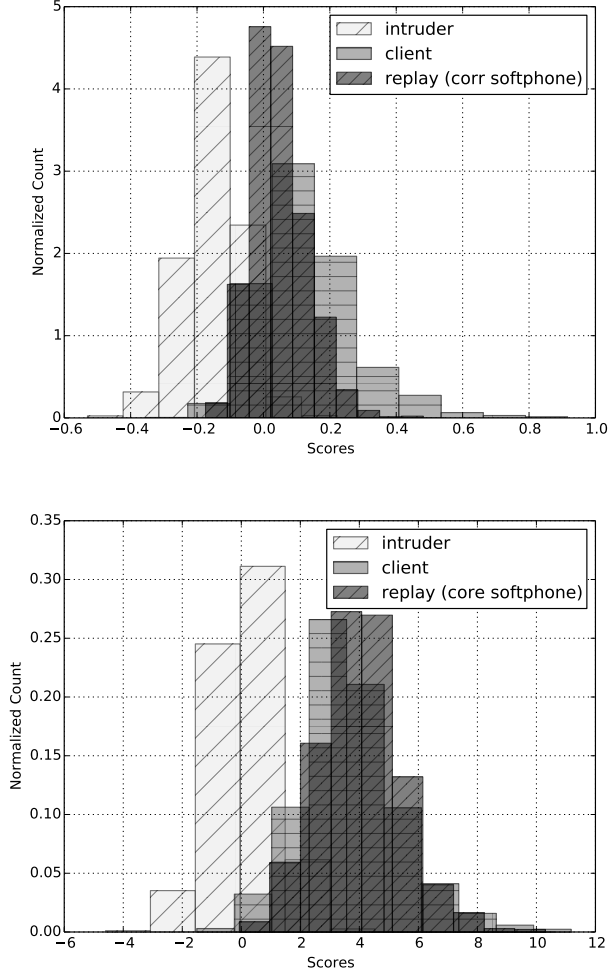


Fig. 7: Score distribution for the GMM-UBM system without (top) and with (bottom) score normalisation.

Scores/ASVs	GMM	GSL	GSL-NAP	GSL-FA	FA
Client accesses	0.086	0.252	0.063	0.057	0.032
Replay attacks	0.072	0.060	0.068	0.059	0.027

TABLE IV: Standard deviation of client access scores calculated against cohort speaker models during score normalisation.

score dispersion (0.06 or less), what is also visible in Fig. 9.

In contrast, standard deviation of scores for replay attacks is pretty low (0.07 or less, see Table IV) – this makes the normalised scores increase, so this is why they are shifted to the right in Fig. 7, and this is why such systems are so vulnerable to replay attacks. This may pose a significant risk to those ASV systems facing a replay attack.

### C. Results of experiments with the replay countermeasure

The detailed results of experiments with FFD and LBP countermeasures for various replay environment, averaged across the replay devices, are presented in Table V. It shows that the countermeasure performance varies depending on

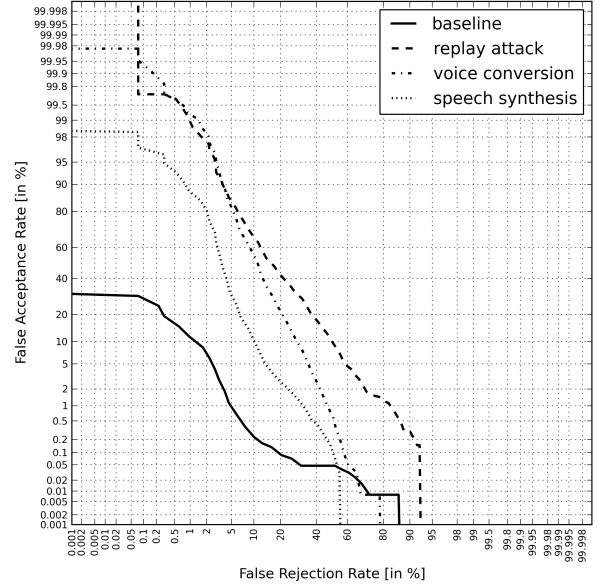


Fig. 8: DET plots for iVector-PLDA system and various attacks.

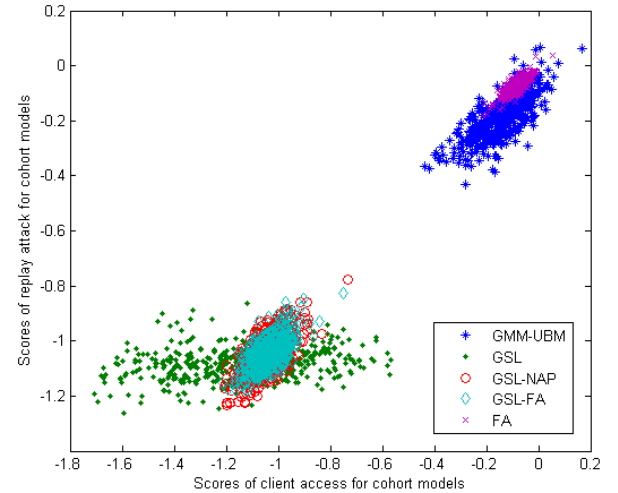


Fig. 9: Score distribution for replay attacks vs. client accesses calculated against cohort speaker models, for various ASVs.

acoustic environment. The relative improvement caused by the countermeasures turned out to be the highest for the office – in this case the EER decreased from 30% down to less than 14% for FFD and less than 10% for LBP. Also for the corridor LBP turned out to be more effective than FFD – 7% EER vs. 11%, respectively, also the SFAR result was much lower (30% vs. 46%). When acoustic conditions were not considered, both countermeasures performed poorly, with the EER results slightly better for FFD. This is also visualised by the shape of the DET plots presented in Fig. 10.

Table VI displays the results of the countermeasure experiments for various replay devices, averaged across different



Environment	EER (%)			SFAR (%)		
	no CM	with FFD	with LBP	no CM	with FFD	with LBP
Office	30.30	13.62	9.56	88.70	63.93	46.29
Corridor	24.53	11.34	7.00	80.91	50.25	30.52
None	49.46	42.14	46.77	97.00	95.17	95.76

TABLE V: EER and SFAR values for various environment of replay attacks, with and without the FFD or LBP countermeasures applied, for IV-PLDA. The SFAR was measured for FRR equal to the baseline EER (2.98%).

Environment	EER (%)			SFAR (%)		
	no CM	with FFD	with LBP	no CM	with FFD	with LBP
Smartphone	33.96	11.66	7.52	88.38	54.83	36.79
Tablet	34.48	11.82	7.50	88.42	55.33	36.83
Stand-alone speaker	35.84	13.96	9.83	89.80	61.11	41.60

TABLE VI: EER and SFAR values for various replay devices used for attacks, with and without the FFD or LBP countermeasures applied, for IV-PLDA. The SFAR was measured for FRR equal to the baseline EER (2.98%).

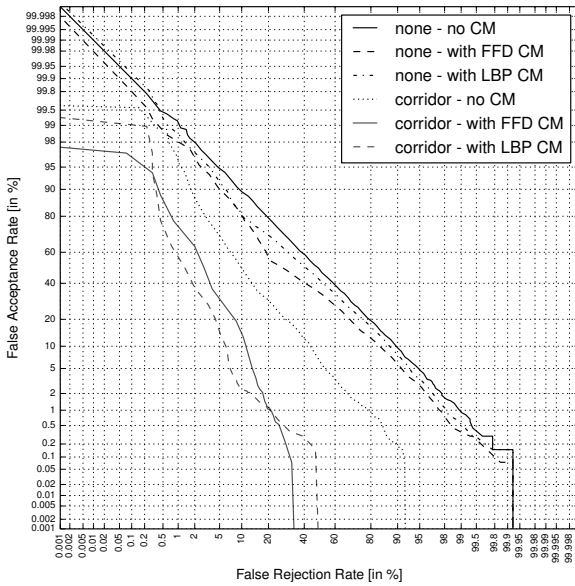


Fig. 10: DET plots for IV-PLDA system for various replay environments, with and without the FFD or LBP countermeasures.

acoustic environments (only office and corridor were taken into account, as they are by far most realistic). Also here the LBP-based countermeasure yields better results than FFD. Both countermeasures helped most for a smartphone and a tablet (the EER values decreased to less than 12% for FFD and to 7.5% for LBP). The results for a stand-alone speaker are only slightly worse (14% and 10%, respectively), most likely due to higher quality of this device (see much better frequency response shown in Fig. 2).

## VI. CONCLUSIONS

Despite the lack of attention to replay attacks in the literature, results show that low-effort replay attacks pose a significant risk, surpassing that of comparatively high-effort attacks such as voice conversion and speech synthesis. The

important contribution of the presented work is the conclusion that the techniques which normally improve the performance of ASV systems, such as score normalisation or PLDA (for iVectors), in fact can work in favour of the spoofer.

We have also showed that the proposed countermeasure based on local binary patterns can be quite effective in detecting replay attacks, outperforming in realistic acoustic conditions the far-field recording detection described in [15]. However, it must be stressed that an attack using high-quality recordings (e.g., acquired in an anechoic booth) can be very difficult to detect.

Given that the implementation of replay attacks demands neither specific expertise nor any sophisticated equipment, the risk to ASV is arguably greater than that of voice conversion and speech synthesis which currently receive the most attention in the literature. Future evaluation should not only consider the threat of any particular attack, but also the ease with which they can be performed. We suggest that a risk-based approach should be adopted.

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