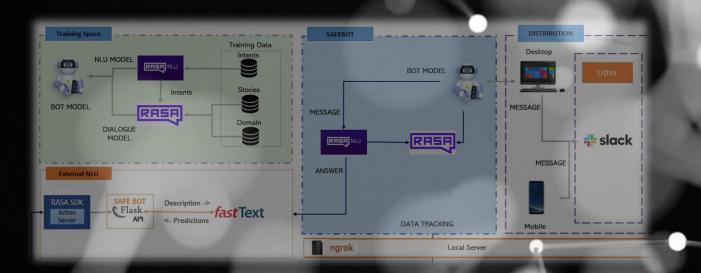


INTERIM REPORT

SAFEBOT PROJECT





CAPSTONE PROJECT | NLP 2 GROUP 2

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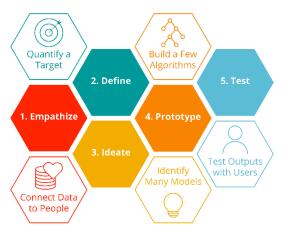


Industries around the world are in the dark when it comes to educating their stakeholders and taking precautionary measures in a time bound manner about industrial safety. The costs incurred due this is often high - injury and death of employees and contractors, causing pain and suffering to them and their families and resulting in hospitalization charges, litigation fees, loss of reputation and lost employee morale.

The purpose of the project is to make a chatbot that can be easily deployed on any platform with slack messenger installed, so that it can be used by any personnel in companies interested in improving safety and safety related regulations and



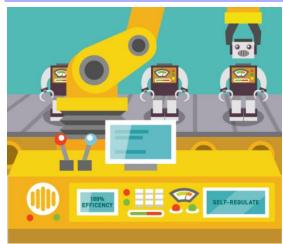
The end result will be an end-to-end chatbot implementation for companies interested in increasing timely safety related information access that reduces injuries, deaths and related litigation expenses, emotional trauma and lost employee morale most of which once lost is impossible to retrieve thus making the offering a must have.



An AI based NLP chatbot for industrial safety deployed on the messaging platform - Slack.

We have designed a highly custom configurable architecture using the open source Rasa chatbot project as our base chatbot implementation where we plug in our custom deep learning models which can be upgraded as technology and algorithms improve over time.

We have toyed with various machine learning and deep learning models and for our prediction engine and have narrowed down to a few deep learning models due to performance factors. We are continuously striving to maximize on our metrics on the same.



WELCOME

Business analytics are becoming commonplace in the government and private sectors where organizations are using historical performance data and predictive modeling to support a wide variety of operational and business needs.

Performance management related to safety is not new, but risk and safety management solutions which integrate key performance indicators, incident and near miss data, modeling results and subjective inputs from the workforce are propelling organizations into the next phase. Modern data science tools are capable of extracting, integrating and analyzing previously inaccessible and siloed data. Monitoring culture and ultimately predicting safety performance are

no longer impossible tasks.



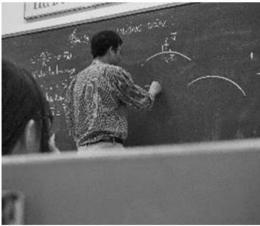


For industries around the world, accidents in the work place are of a major concern, since it affects the lives and wellbeing of their employees, contractors and their families and the industry faces loses in terms of hospital charges, litigation fees, reputation and lost employee morale. Based on these facts it is intended to build a chatbot that can highlight the safety risk as per the incident description to the professionals including:

- Personnel from the safety and complaince team
- Senior management from the plant
- Personnel from other plants across the globe
- Government and industrial safety groups
- Anyone interested or doing research in industrial safety
- Emergency health and safety teams
- Fire safety and industrial hazard teams
- General management
- Other personnel requiring safety risk information

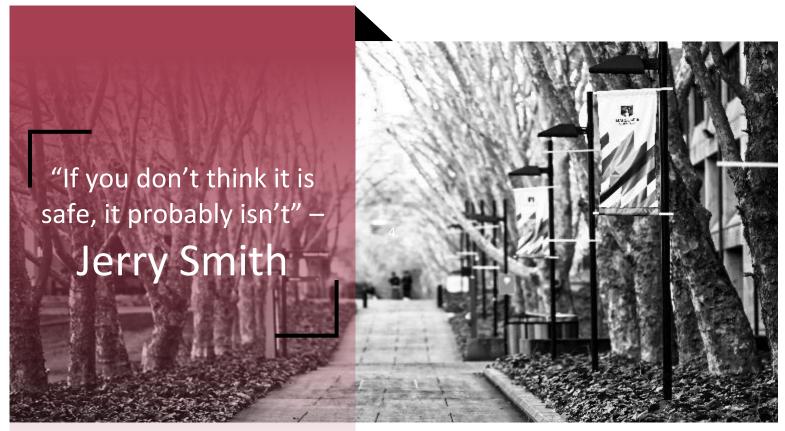
so that these professionals can:

Take preventive and proactive measures based on history React faster to employee satisfaction related to safety Help position the equipment and machinery in a safe place where risk of potential accidents can be minimized Gain insights about safety in industries safety is paramount Reduce insurance costs by better handling of personnel, equipment and other resources Take other safety related decisions and actions.









SUMMARY

INSIGHTS

On inspection of the dataset, it appears that:S

- The dataset is limited and consists of four hundred and twenty-five records only so training the models with high accuracy could be a challenge
- The dataset is imbalanced on certain variables like potential accident level and accident level, this means that we may not get consistent results unless the dataset is treated to reduce imbalance.
- Minor accidents are more common than major accidents, this looks like real world situations.
- There is data from three countries.
- There are twelve locals or cities from which the data is taken.
- There are two industry sectors mining, metals and third all others grouped together as others.
- There are five accident levels.

- There are six potential accident levels.
- There are employees, third parties and remote third parties involved in the accidents.
- There are thirty-three different types of critical risk one of which has been assigned to an accident incident.
- The accident description is highly unclean and so it will require a considerable amount of effort to clean it to produce results.
- The dataset consists of data from January 2016 to July 2017.
- Males are more involved than females in accidents. this too looks similar to real world situations as there are considerably lower number of females working in industrial environments.



OBJECTIVE

GOAL

- To create an industrial conversational bot
 - The chatbot will be used as an guide for the customer, employee and management to access the potential risk which might be involved for a specific sphere of work.

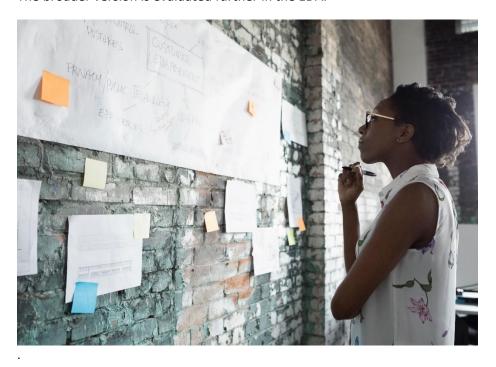
HIGHLEVEL FINDINGS

- Many body-related actions and accidents has been found.
- ➤ A lot of equipment related accident has been mentioned in the dataset.
- Poor features map found with lack of access to quality data found.

HIGHLEVEL IMPLICATIONS

- ❖ The main causes of the accidents are hand related operation and time base factor.
- ❖ More strict safety standard needs to be maintained to reduce accidents.
- Equipment based safety standards needs to be defined.

The broader version is evaluated further in the EDA.







GENERAL INDUSTRY MEASURES - SAFETY

- **Proper Plant Layout**
- **Proper Fire Prevention** System
- Health & Hygiene
- **Proper Safety Training**
- Proper Alarms And warning systems
- Appropriate sensors and safety gears for employees
- Sufficient lighting in the work area as well as the pathways
- Use proper tool for the job.
- Always wear proper safety gear for the work.
- Use proper tool for the job.
- Make sure chemicals are properly labeled and stored.



EMPLOYEE BENEFITS

Industrial safety knowledge.

- Risk avoidance.
- Safety features.

EDA

APPROACH

The approach was initially to remove the stop words, use lemmatization. We started using N Gram, Univariate m Bivariate and time series analysis to decide on the type, trend and pattern of the accident causes.

- Use Pre-processing technique
 - Time-related feature extraction
 - ➤ NLP pre-processing (special characters removal, removing stop words)
- Practice EDA technique
- Practice visualizing technique.
- Practice feature engineering technique
- Practice modeling technique
- Causal analysis skill

PRE-PROCESSING

- We noticed that except a 'date' column all other columns are categorical columns.
- We observed that there are records of accidents from 1st Jan 2016 to 9th July 2017 in every month. So there are no outliers in the 'Date' column.
- There are 12 Local cities where manufacturing plant is located and it's types are in sequence so there are no outliers in 'Local' column.
- There are only three Industry Sector types which are in sequence so there are no outliers in 'Industry Sector' column.
- There are only five Accident Level types which are in sequence so there are no outliers in 'Accident Level' column.
- There are only six Potential Accident Level types which are in sequence so there are no outliers in 'Potential Accident Level' column.
- There are only two Gender types in the provided data so there are no outliers in 'Gender' column.
- There are only three Employee types in the provided data so there are no outliers in 'Gender' column.
- There are guite a lot of Critical risk descriptions, and we don't see any outliers but with the help of SME we can decide whether this column has outliers or not.

INSIGHTS

- Though the staffs of the manufacturing plants are mostly males, EDA shows that males are likely involved in accidents (95%).
- And males tend to get involved in accidents with higher risk levels than females.
- ➤ Comparing employee's accidents count with third parties' accide0.nts count, EDA shows that third parties are likely involved in accidents (58%)



WHAT'S NEXT

Objective:

- Presumption of cause of accidents
- Surveying a factor that increases severity of accidents

Building the model which classify the severity of accidents, we can understand the factor related to the causality of accidents.

So, two models were built based on those cases below.

- Accident Level.
- Potential accident level.

The model that has been used are below:

- Random Forest
- Gradient Boosting
- Logistic Regression
- Linear SVC model

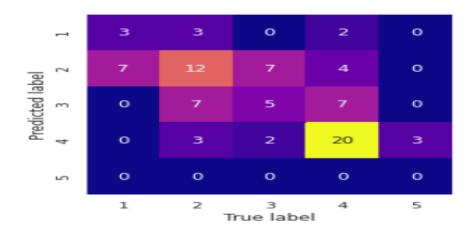


Deciding Models and Model **Building**

While using Neural Network Classifiers the steps involved were:

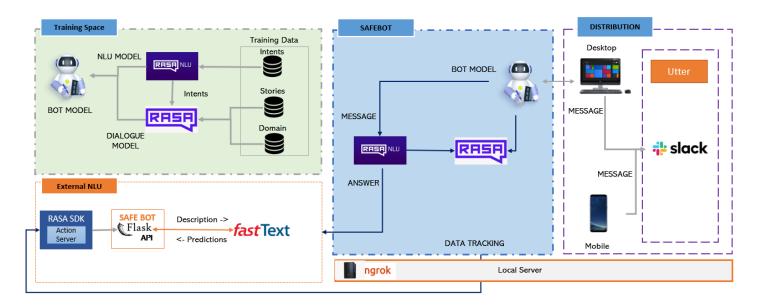
- Padding sequences.
- Use of Glove embedding
- **RNN Classifier**
- **LSTM Classifier**

CONFUSION MATRIX





THE ARCHITECTURE



In Detail:

• We have used a Hybrid NLU based architecture that will learn your daily interactions and enhance it's stories accordingly. In conjunction to that it will also use the custom model we have built in basic python landscape.

EXPLORATION

- The architectural landscape is split in 5 parts :
 - The Training space.
 - The SAFEBOT itself.
 - The distribution.
 - External NLU
 - The local server.

THE TRAINING SPACE

A dialogue modeler has been created with RASA having it's normal architecture on Intents, Stories and Domain. The BOT is configured to response friendly chats and accordingly the intents has been feed to the system. This part is done by training via RASA NLU.

The SAFEBOT

The final version of the BOT design out of RASA has got numerous connection interfaces:

- It copies the model trained by RASA NLU to respond friendly chats.
- It is connected directly to the distribution channel via slack API. It is intercepting the messages via webhooks configured in the SLACK API.



It is also connected to the interface via the local client.

The Distribution

The distribution to the end customer is made via SLACK Channel. We could have used multiple platforms like WhatsApp, messenger on top of RASA but we choose this one being a clustered platform which has its own easy to use configuration along with it's own multi-channel interface which is also platform independent.

External NLU

We have created an API which is exposed to the RASA server and the configuration is handled in actions.py which is a pre-built architecture used inside RASA.



In general, consider an API if:

- Your data set is large, making download via FTP unwieldy or resource-intensive.
- Your users will need to access your data in real time, such as for display on another website or as part of an application.
- Your data changes or is updated frequently.
- Your users only need access to a part of the data at any one time.
- Your users will need to perform actions other than retrieve data, such as contributing, updating, or deleting data.

In our case we have data dump in the form of a downloadable CSV.

Flask Python is a microframework, which will allow you to have a web application running with very few steps and nearly no setup. This framework's simplicity and strong control over what you want in your application have made it one of the most used web development frameworks.

We used the saved model and exposed it via flask API to the RASA thereby used the trained model for interfacing the questions received by SLACK channel.

The local server

We choose NGROK to be used as a local client server.

ngrok provides a real-time web UI where you can introspect all HTTP traffic running over your tunnels. Replay any request against your tunnel with one click which also helped us to see the connections and also to debug for problem to short out.



THE SNAPSHOT OF THE BOT

As we have used the SLACK platform it helped to be on the same page and make decisions faster by bringing all of your work communication into one place. The Slack features:



Channels

central spaces for conversations, companies the same way you do files, tools and people.



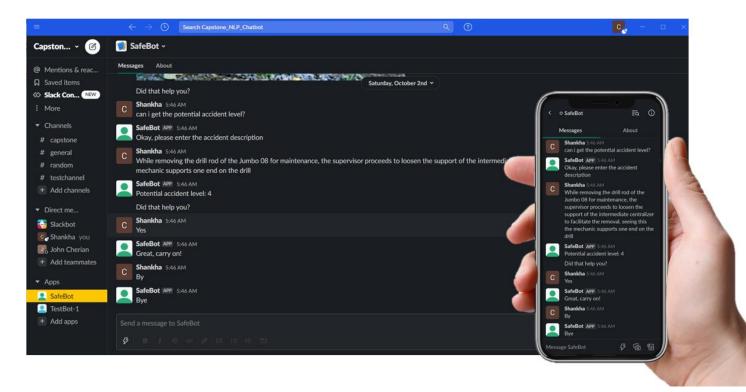
Slack Connect

Stay organized and focused with Collaborate with teams at other with teams at your own.

Communicate better with your entire team

When you can easily reach people—individually or the whole team—you can work more effectively. Choose the right words to say, and send your message immediately, or schedule it for later.

THE SAFEBOT in action (cross platform):



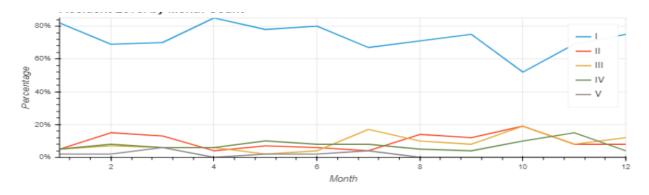


VISUAL ANALYSIS

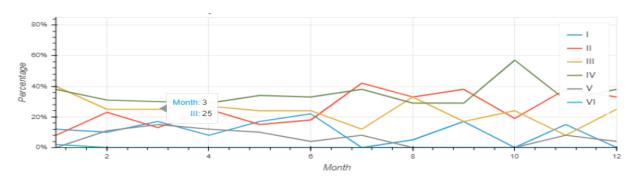


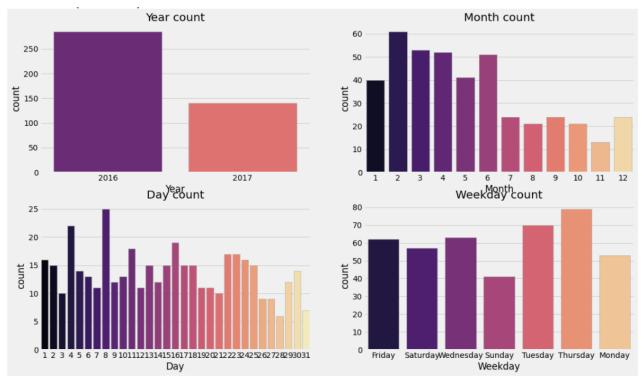


Accident Level monthly occurrences:



Potential Level monthly occurrences:





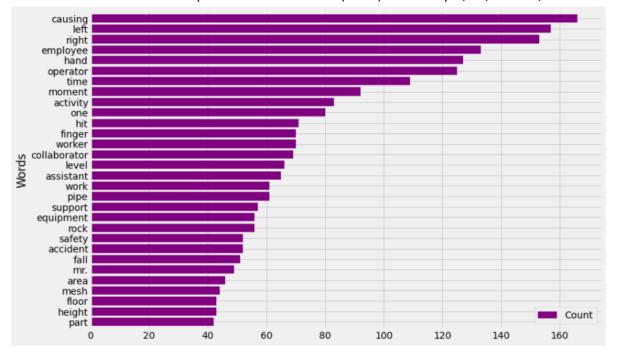
It is observed that number of accidents occurred in 2016 is more compared to 2017, in year 2016 we have all 12 months of data whereas year 2017 has only 7 months of data.

It seems that the number of accidents decreased in latter of the year / month.

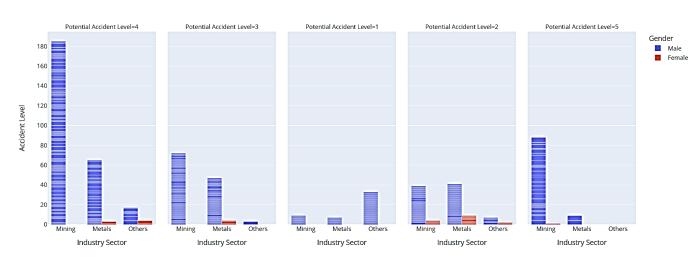


CAUSAL ANALYSIS

- ✓ The most frequent word is causing (a verb).
- ✓ There are several nouns like pipe, collaborator, time etc.
- ✓ Most accidents involved the hands of the persons involved from which we can draw a statement that operation procedure well define can definitely impose accident-avoidance conditions.
- ✓ There are other words which depict some sort of action (verbs). For example, hit, remove, fall move...etc.



From the below diagram we can draw that males are the most effected gender with Potential Accident Level 4 and 5 which is from Mining sector.





WORD CLOUD REPRESENTATION



Observations

There are many body-related, employee related, movement-related, equipment-related and accident-related words.

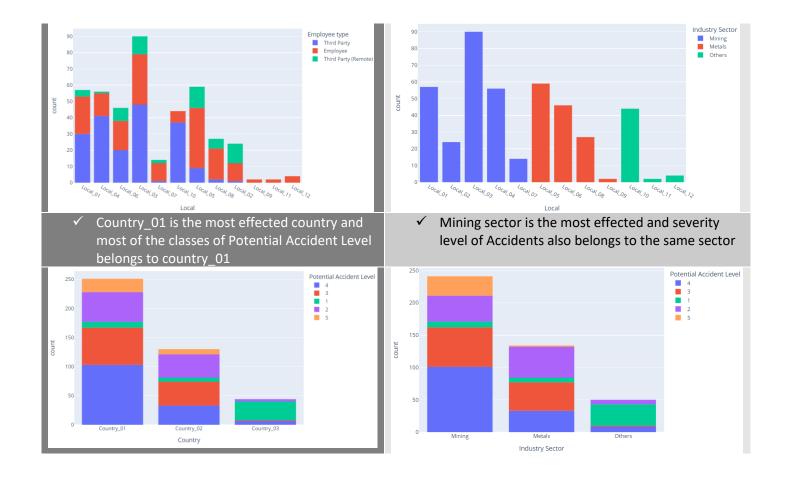
- Body-related: left.
- o Employee-related: employee, supervisor.
- o Movement-related: drill, lift, align, remove
- o Equipment-related: cathode, pump, rod, sodium.
- o Accident-related: accident, activity.

MULTI VARIATE ANAYSIS

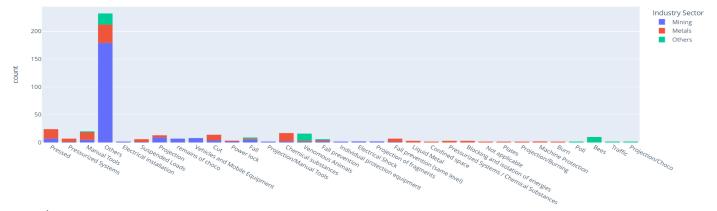
✓ Local_3 is the most effected city and most effected class of Employee type are Third Party and Employee.

- ✓ Local 3 has highest number of Mining industry sector accident.
- ✓ Local 5 has highest number of Metals industry sector accident.
- ✓ All the Mining industry sector accidents happened in Local 1,2,3,4,7.
- ✓ All the Metals industry sector accidents happened in Local 5,6,8,9.
- ✓ All the Others industry sector accidents happened in Local 10,11,12.

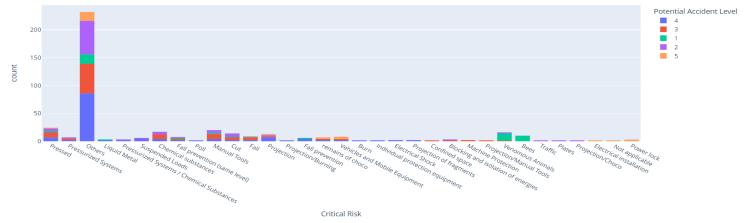




✓ Mining sector is the most effected sector and most of the classes of Critical Risk comes from this sector.



- ✓ Most of the classes of Potential Accident Level are from other class of Critical Risk which is 232 in No.
- ✓ The severity of the Potential Accident Level are from the class Fall, Electrical installation, Vehicles, Projection, Pressed and Mobile equipment.

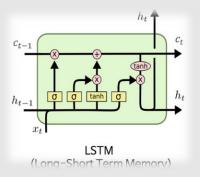




MODEL TUNNING

fast Text

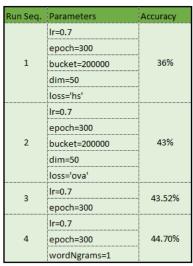
- FastText is an open-source, free, lightweight library that allows users to learn text representations and text classifiers
- It works on standard, generic hardware. Models can later be reduced in size to even fit on mobile devices.



- An artificial recurrent neural network (RNN) architecture used in the field of deep learning.
- It is well-suited to classifying, processing and making predictions based on time series data, since there can be lags of unknown duration between important events in a time series.

FASTTEXT - APPROACH

- With Hyper parameters tuning with below code such as EPOC, Learning Rate, Wordgrams, hierarchical softmax and Multi label(just tried). Training with EPOC 300 for both Accident and Potential accident levels.
- With WordNGrams: adding more than '1' Wordgram decreasing the accuracy so No effect or improvement adding wordgram hyperparameter. So far FastText giving the accuracy of Potential Accident level 43%





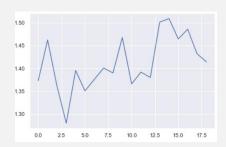
LSTM - APPROACH

A common LSTM unit is composed of a cell, an input gate, an output gate and a forget gate. The cell remembers values over arbitrary time intervals and the three gates regulate the flow of information into and out of the cell.

LSTM networks are well-suited to classifying, processing and making predictions based on time series data, since there can be lags of unknown duration between important events in a time series. LSTMs were developed to deal with the vanishing gradient problem that can be encountered when training traditional RNNs. Relative insensitivity to gap length is an advantage of LSTM over RNNs, hidden Markov models and other sequence learning methods in numerous applications.



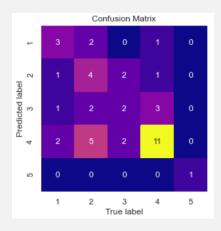
Model Loss function



Model accuracy



Confusion Matrix



Summary:

Model: "sequential"

Layer (type)	Output	Shape	Param #
embedding (Embedding)	(None,	85, 200)	437000
bidirectional (Bidirectional	(None,	85, 100)	100400
global_max_pooling1d (Global	(None,	100)	0
dropout (Dropout)	(None,	100)	0
dense (Dense)	(None,	5)	505

Total params: 537,905 Trainable params: 100,905 Non-trainable params: 437,000

Approach

Steps used:

- Adding the Bidirectional LSTM layer with 128 units
- Adding global pooling to make it 1D
- Adding dropout to avoid overfitting
- Dense(6, activation='softmax')

Classification Report:

	precision	recall	f1-score	support
1	0.50	0.43	0.46	7
2	0.50	0.31	0.38	13
3	0.25	0.33	0.29	6
4	0.55	0.69	0.61	16
5	1.00	1.00	1.00	1
accuracy			0.49	43
macro avg	0.56	0.55	0.55	43
weighted avg	0.50	0.49	0.48	43

Run Seq.	Parameters	Accuracy
1	batch_size=32	
	epochs=25	49%
	callbacks= [callback_list]	



Bi-Directional LSTM is working with best accuracy of 49%. Model needs more data cleaning.



A simple neural network input hidden output layer layer

NUERAL NETWORK CLASSIFIER

Approach:

- ➤ The model is split into 382 group for train and 43 groups for test.
- Max features used is 10000
- > Steps used:
 - Pad sequences
 - Creating weight matrix and Glove embeddings.
 - ♣ Glove used : glove.6B.200d
- ➤ Layer structure used :
 - Dense(100, activation='relu',input_shape=())
 - ♣ Dropout(0.4))
 - BatchNormalization()
 - Dense(50, activation='relu')
 - Dropout(0.4)
 - BatchNormalization()
 - Dense(25, activation='relu')
 - Dropout(0.4)

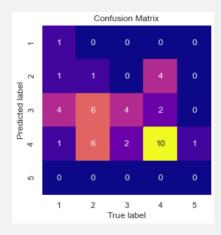
Model Summary

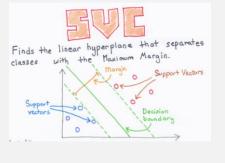
Layer (type)	Output	Shape	Param #
embedding (Embedding)	(None,	85, 200)	437000
flatten (Flatten)	(None,	17000)	0
dense (Dense)	(None,	100)	1700100
dropout (Dropout)	(None,	100)	0
batch_normalization (BatchNo	(None,	100)	400
dense_1 (Dense)	(None,	50)	5050
dropout_1 (Dropout)	(None,	50)	0
batch_normalization_1 (Batch	(None,	50)	200
dense_2 (Dense)	(None,	25)	1275
dropout_2 (Dropout)	(None,	25)	0
dense_3 (Dense)	(None,	5)	130
_			

Total params: 2,144,155 Trainable params: 1,706,855 Non-trainable params: 437,300

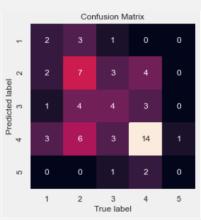


Confusion Matrix





Confusion matrix



Classification Report:

	precision	recall	f1-score	support
1	1.00	0.14	0.25	7
2	0.17	0.08	0.11	13
3	0.25	0.67	0.36	6
4	0.50	0.62	0.56	16
5	0.00	0.00	0.00	1
accuracy			0.37	43
macro avg	0.38	0.30	0.25	43
weighted avg	0.43	0.37	0.33	43

Run Seq.	Parameters	Accuracy	
1	batch_size=32		
	epochs=100	40%	
	max_features = 10000		
	callbacks= [callback_list]		



What we could ascertain is the Neural Network model is not learning well. Accuracy is 40%.

LINEAR SVC MODEL

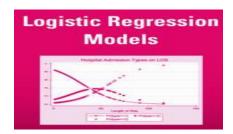
The Linear Support Vector Classifier (SVC) method applies a linear kernel function to perform classification and it performs well with many samples. If we compare it with the SVC model, the Linear SVC has additional parameters such as penalty normalization which applies 'L1' or 'L2' and loss function.

Classification Report:

	precision	recall	f1-score	support
1 2 3 4 5	0.33 0.44 0.33 0.52 0.00	0.25 0.35 0.33 0.61 0.00	0.29 0.39 0.33 0.56 0.00	8 20 12 23 1
accuracy macro avg weighted avg	0.32 0.43	0.31 0.42	0.42 0.31 0.42	64 64 64

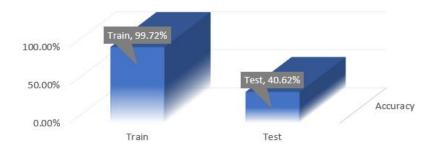
What we could ascertain is the SVC model is not learning well. Accuracy is 42%.

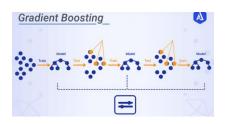




LOGISTIC REGRESSION

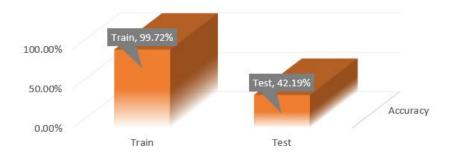
Logistic regression is a statistical model that in its basic form uses a logistic function to model a binary dependent variable, although many more complex extensions exist. In regression analysis, logistic regression (or logit regression) is estimating the parameters of a logistic model (a form of binary regression.

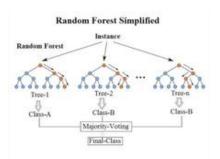




GRADIENT BOOSTING

Gradient boosting is a machine learning technique for regression, classification and other tasks, which produces a prediction model in the form of an ensemble of weak prediction models, typically decision trees.





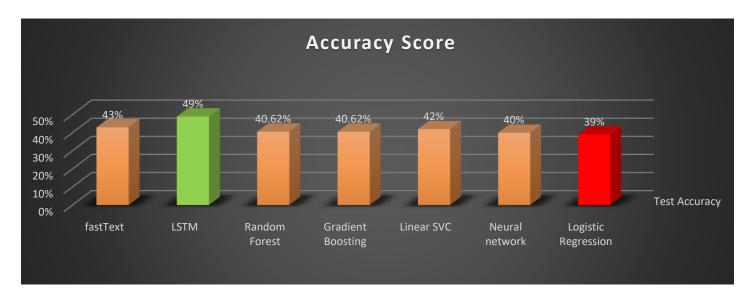
RANDOM FOREST

Random forests or random decision forests are an ensemble learning method for classification, regression and other tasks that operates by constructing a multitude of decision trees at training time. For classification tasks, the output of the random forest is the class selected by most trees. For regression tasks, the mean or average prediction of the individual trees is returned.





GLOBAL MODEL COMPARISON



Key Highlights:

- The best performing model is LSTM.
- The poorest performer is Logistic regression.

Model Descriptions:

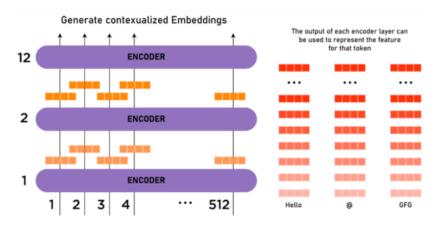
- ✓ A **Bidirectional LSTM** is a sequence processing model that consists of two LSTMs: one taking the input in a forward direction, and the other in a backwards direction. We have used Bidirectional LSTM with various hyperparameters such as 50 LSTM cells and Dropout to avoid overfitting. We have also used callback functions to stop the running if val_loss did not improve with patient level of 10. The Model is facing some overfitting issue due to less no. of observation and lots of Naming and unwanted words in the corpus. We are taking manual steps to clean the corpus as we have 425 observations.
- ✓ **Logistic model** is used to model the probability of a certain class or event existing such as pass/fail, win/lose and alive/dead. Model is also facing overfitting. We are trying more hyperparameter tuning to improve the test accuracy
- ✓ The Objective of a Linear SVC (Support Vector Classifier) is to fit to the data you provide, returning a "best fit" hyperplane that divides, or categorizes, your data. From there, after getting the hyperplane, you can then feed some features to your classifier to see what the "predicted" class is. As the model is facing overfitting issue. We are working on more hyperparameter tuning and Data cleaning part.
- ✓ **GBM** is a sequential model. It relies on the intuition that the best possible next model, when combined with previous models, minimizes the overall prediction error. The key idea is to set the target outcomes for this next model to minimize the error. Model build is also facing overfitting. We are also trying more hyperparameter tuning to improve the test accuracy.
- ✓ Random forests or random decision forests are an ensemble learning method for classification, regression and other tasks that operates by constructing a multitude of decision trees at training time. For classification tasks, the output of the random forest is the class selected by most trees. Models build on Random Forest is also facing overfitting. We are also trying more hyperparameter tuning to improve the test accuracy.



HOW TO IMPROVE YOUR MODEL PERFORMANCE?

THE CHALLENGE AT HAND IS THAT WE DO NOT HAVE A LARGE DATASET, OUR DATASET HAS ONLY 425 RECORD.

- One of the main reasons for not achieving very high accuracy could be the lack of large labelled text datasets. Most of the labelled text datasets are not big enough to train deep neural networks because these networks have a huge number of parameters and training such networks on small datasets will cause overfitting.
- ★ We are also aware that the NLP models are typically shallower and thus require different fine-tuning methods. BERT (Bidirectional Encoder Representations from Transformers) is a big neural network architecture with Millions of parameters. So, training a BERT model from scratch on a small dataset would result in overfitting.



So, we propose to use a pre-trained BERT model that was trained on a huge dataset, as a starting point and then we can further train the model on our relatively smaller dataset.

- We will be exploring different Fine-Tuning Techniques mentioned below in the weeks to come
- Train the entire architecture We can further train the entire pre-trained model on our dataset and feed the output to a SoftMax layer. In this case, the error is back-propagated through the entire architecture and the pre-trained weights of the model are updated based on the new dataset.
- Train some layers while freezing others Another way to use a pre-trained model is to train it partially. What we can do is keep the weights of initial layers of the model frozen while we retrain only the higher layers. We can try and test as to how many layers to be frozen and how many to be trained.
- Freeze the entire architecture We can even freeze all the layers of the model and attach a few neural network layers of our own and train this new model. Note that the weights of only the attached layers will be updated during model training.



■ We will probably use this last approach. We will freeze all the layers of BERT during fine-tuning and append a dense layer and a SoftMax layer to the architecture.

ADJUSTING HYPER PARAMETERS-

- ▶ We want to build a model that performs robustly and to this effect, we use the same set of hyper parameters across tasks and validation set. We shall also explore AWD-LSTM language model (Merity et al., 2017a) with an embedding size of 400, 3 layers,1150 hidden activations per layer, and a BPTT batch size of 70. We apply dropout of 0.4 to layers, 0.3 to RNN layers, 0.4 to input embed-ding layers, 0.05 to embedding layers, and weight dropout of 0.5 to the RNN hidden-to-hidden matrix. The classifier has a hidden layer of size 50.
- We use Adam with β 1 = 0.7 instead of the de-fault β 1 = 0.9 and β 2 = 0.99, We use a batch size of 64, a base learning rate of 0.004 and 0.01 for fine tuning the language models and the classifier respectively.

We are hopeful that by adopting these fine-tuning techniques we will be able to achieve high accuracy for the final model that we are going to deploy.



Acknowledgements and Disclaimers:

- Accuracies may be approximate.
- All trademarks, tradenames and logos are acknowledged.



