Leinster - Basic Category Theory - Selected problem solutions

Adam Barber

September 23, 2021

0.10

Let S be a set. The indiscrete topological space I(S) is the space whose set of points is S and whose only open subsets are \emptyset and S. To find a universal property satisfied by the space I(S) proceed as follows. With this topology any map from a topological space to S is continuous.

Parroting the wording of the question, let us rephrase this in universal parlance. Define a function $i: S \to I(S)$, by $i(s) = s, s \in S$. Then I(S) has the following property.



For all topological spaces X and all functions $f: X \to S$ there exists a unique continuous map $\bar{f}: X \to I(S)$. What it says is all maps into an indiscrete space are continuous. It also says that given S, the universal property determines I(S) and i, up to isomorphism.

0.11

The universal property that is satisfied by the pair $(ker(\theta), \iota)$ is depicted in the diagram below.

$$\ker(\theta) \xrightarrow{\iota} G \xrightarrow{\epsilon} H$$

$$\exists ! \hat{f} \mid \qquad \qquad \downarrow f$$

The statement of the universal property is as follows. For any $f: F \to G$ such that $\theta \circ f = \epsilon \circ f$, there is a unique $\bar{f}: F \to ker(\theta)$ such that the diagram above commutes. That is $f = \iota \circ \bar{f}$.

0.13

(a) Choose $\phi(\sum_{i=1}^n a_i x^i) = \sum_{i=1}^n a_i r^i$. Then ϕ with $\phi(x) = r$ is a homomorphism that satisfies additive and multiplicative properties. To prove uniqueness assume there is another homomorphism ψ , with $\psi(x) = r$. Then $\psi(\sum_{i=1}^n a_i x^i) = \sum_{i=1} a_i \psi(x) = \sum_{i=1} a_i r^i$ by properties of a homomorphism. So $\psi = \phi$.

(b) $\iota \colon \mathbb{Z}[x] \to A$ maps $\sum_{i=1}^n p_i x^i$ to $\sum_{i=1}^n p_i a^i$, using $\iota(x) = a$, the multiplicative property of a homomorphism to get $\iota(x^i) = \iota(x)^i$, and the additive property to get $\iota(p_i)\iota(x)^i = p_i\iota(x)^i$ remembering p_i is in \mathbb{Z} .

Going in the direction $A \to \mathbb{Z}[x]$ we know as provided in (b) that, taking $R = \mathbb{Z}[x]$, and $\phi = \iota'$, there exists a unique ring homomorphism such that $\iota'(a) = x$. So ι' maps $\sum_{i=1}^n p_i a^i$ to $\sum_{i=1}^n p_i x^i$ and $\iota' \circ \iota = 1_{\mathbb{Z}[x]}$. Also using definitions of ι and ι' easily yields $\iota \circ \iota' = 1_A$.

0.14

(a) For the triangles below to commute, we need, as stated in the question $p_1 \circ f = f_1$ and $p_2 \circ f = f_2$.

$$V \xrightarrow{f} P \\ \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \downarrow \chi$$

$$V \xrightarrow{f} P \\ \downarrow \exists p_2 \\ Y$$

Choosing $P = X \times Y$, p_1 and p_2 as below makes the triangles commute.

$$p_1: X \times Y \to X$$

 $p_2: X \times Y \to Y$

(b) Proving uniqueness involves taking two arbitrary cones with the property stated in (a). Taking (P, p_1, p_2) and (P', p'_1, p'_2) we know from (a) that for all cones (V, f_1, f_2) there exists a unique linear map $f \colon V \to P'$ such that $p'_1 \circ f = f_1$, $p'_2 \circ f = f_2$. In this statement choose V = P', then referring to the triangles in (a), observe there exists a $f \colon P \to P'$ such that $p'_1 \circ f = p_1$, $p'_2 \circ f = p_2$.

Comment The choice of P and p notation hinted very heavily that this is a projection of a product.

(c) We need to define the cocone (Q, q_1, q_2) with the property, for all cocones (V, f_1, f_2) there exists a unique linear map $f: Q \to V$ such that $f \circ q_1 = f_1$ and

2

$$f \circ q_2 = f_2$$
. Choose $Q = X \times Y$, $q_1 \colon X \to X \oplus Y$, $q_2 \colon Y \to X \oplus Y$.

Comment This is the dual of the product in (b), the coproduct. Set equivalent is the disjoint union.

1.2.22

Given that A and B are preordered sets, we know that, taking for instance $a \in A$, the relation \leq is reflexive, $a \leq a$ for all $a \in A$, and transitive, $a \leq b$ and $b \leq c$ then $a \leq c$, for all $a, b, c \in A$. Taking \leq as the morphism for our category, we can immediately see that the reflexive property of a preorder is equivalent to the identity mapping requirement in a category. And the transitivity property of a preorder demonstrates the associativity of morphisms requirement of a category. So \mathcal{A} and \mathcal{B} are categories. Given a functor $F: f \mapsto F(f)$ then, this functor sends $a \leq b$ to $f(a) \leq f(b)$

1.2.25

(a) Let $F: \mathcal{A} \times \mathcal{B} \to \mathcal{C}$ be a functor. We are given that for each A in \mathcal{A} there is a morphism $F^A: \mathcal{B} \to \mathcal{C}$ defined on objects B in \mathcal{B} by $F^A = F(A, B)$ and on maps g in \mathcal{B} by $F_A(g) = F(1_A, g)$. We need to prove F^A is a functor.

First, we need to show $F^A(g \circ \bar{g}) = F^A(g) \circ F^A(\bar{g})$.

$$F^{A}(g \circ \bar{g}) = F(1_{A}, g \circ \bar{g})$$

$$= F(1_{A}, g) \circ F(1_{A}, \bar{g})$$

$$= F^{A}(g) \circ F^{A}(\bar{g})$$

The second step above uses our formula from composition of a product category derived Ex 1.1.14.

We also need

$$F^A(1_B) = F(1_A, 1_B) = 1_C$$

The identity maps because F is a functor $\mathcal{A} \times \mathcal{B} \to \mathcal{C}$. So F^A is a functor. Apply analogous reasoning for F_B .

(b) We are given $F: \mathcal{A} \times \mathcal{B} \to \mathcal{C}$ is a functor. The question asks us to show for all $A \in \mathcal{A}$ and all $B \in \mathcal{B}$

$$F^{A}(B) = F_{B}(A) \tag{1}$$

and if $f:A\to A'$ in $\mathcal A$ and $g:B\to B'$ in $\mathcal B$ then

$$F^{A'}(g) \circ F_B(f) = F_{B'}(f) \circ F^A(g).$$

In the following answers recall that:

$$F^{A}(g) = F(1_A, g)$$
$$F_{B}(f) = F(1_B, f)$$

Equation (1) is verified by basic checking. Consider the second equation above along with the diagram below.

$$(A,B) \xrightarrow{F_B(f)} (A',B)$$

$$\downarrow_{F^A(g)} \qquad \downarrow_{F^{A'}(g)}$$

$$(A,B') \xrightarrow{F_{B'}(f)} (A',B')$$

$$(2)$$

We know from Exercise 1.1.14 that in the product category represented by $\mathcal{A} \times \mathcal{B}$, maps compose in the following manner

$$(f,g) \circ (f',g') = (ff',gg')$$

We also know from the axioms of our functor F that different strings of maps under F from F(A, B) to F(A', B') are equal. So the above square commutes and we have the required equality

$$F^{A'}(g) \circ F_B(f) = F_{B'}(f) \circ F^A(g)$$

- (c) We need to prove there is a unique functor F, satisfying the conditions in (a.). Take families of functors F^A and F_B as in (b), which satisfy the below
- If $f: A \to A'$ in $A, g: B \to B'$ in \mathcal{B} , then $F^{A'}(g) \circ F_B(f) = F_{B'}(f) \circ$
 - $F^A(B) = F_B(A)$ if $A \in \mathcal{A}, B \in \mathcal{B}$,

To begin, write

$$F = F^{A}(g) \circ F_{B}(f)$$
 for morphisms,
 $F = F^{A}(B)$ for objects.
 $= F_{B}(A)$
 $= F(A, B)$

¹See Remarks 1.2.2 of the Leitner text

We need to prove that F is a functor. We are given in this question that F_A , $A \in \mathcal{A}$ and F_B , $B \in \mathcal{B}$ are functors.

$$\begin{split} F(f\circ\bar{f},g\circ\bar{g}) &= F_A(g\circ\bar{g})\circ F_B(f\circ\bar{f})\\ &= F_A(g)\circ F_A(\bar{g})\circ F_B(f)\circ F_B(\bar{f})\\ &= F_A(g)\circ F_{B'}(f)\circ F_A(\bar{g})\circ F_B(f) \text{ using result from (b.)}\\ &= F(f,g)\circ F(\bar{f},\bar{g}) \end{split}$$

Also,

$$F(1_A, 1_B) = F_A(1_A) \circ F_B(1_B)$$

= $1_{F_A(A)} \circ 1_{F_B(B)}$

So functions compose under the functor F, the identity maps, and all objects are mapped. So we have established F exists and is a functor. We still need to determine uniqueness.

Uniqueness of the functor is actually shown by the commuting diagram in (2). The central result of this diagram is that for any f there is a unique functor mapping from (A, B) to F(A', B'), using the 'components' F^A and F_B . Using these components, there are two ways to get from (A, B) to F(A', B'), and as the diagram commutes, both are equal. Hence F is the unique map on the diagonal of (2) from (A, B) to F(A', B').

1.2.28

(a) The question asks of all the functors listed in 1.2, which are faithful, and which are full.

Forgetful functors that forget structure These are typically faithful but not full.

An example in the text is $U: \mathbf{Grp} \to \mathbf{Set}$

 ${\cal U}$ forgets the group structure of groups and forgets that group homomorphisms are homomorphisms.

• To prove faithful we need to show that for one map between two objects in the source category, there is one corresponding map in the destination category. Although a group homomorphism is **not** necessarily injective, this does not concern us here. As we wish to show, **given one morphism between objects** in the source category, that this induces in the destination category at most one morphism between objects there. Then we can say the functor, here U(f) is faithful. Since U(f) is simply the group homomorphism $f : G \to H$ itself, then it is one to one for each morphism between two objects in G.

• To prove a functor is full, we need to show for one morphism between objects in the destination category, there is at least one corresponding map in the source category. Set though could potentially have more morphisms between its objects, which are **not** group homomorphisms, so picking one of these morphisms in **Set**, there is no corresponding morphism in **Grp**, in this case. So the functor is not full.

Forgetful functors that forget properties. These are typically full and faithful.

The example given is $U: \mathbf{Ab} \to \mathbf{Grp}$, which forgets that Abelian groups are Abelian. Here the commutativity property of the **objects** in the category is forgotten. The morphisms are unchanged. When one reads about structure, this appears to refer principally to morphisms.

Free functors. The free construction of a group F(S) is obtained from the set S, by adding just enough new elements that it becomes a group, but without imposing any other equations other than those forced by the definition of a group. A more detailed definition of the free group, using the universal construction, follows.²

Let S be a set. Suppose we are given a group F(S) and a function $i: S \to F(S)$. The group F(S) is a free group on S if for all groups G and for all functions $g: S \to G$, there exists a unique homomorphism $\phi: F(S) \to G$ such that:

$$S \xrightarrow{g} G$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$

commutes, such that $g = \phi \circ i$.

First we show such a group exists. Let S be a set, and suppose that for each element $s \in S$ we introduce a corresponding element s^{-1} in another set S^{-1} and call this element the inverse of s. We then form a group from S and S^{-1} by forming words from the elements of S and their inverses. We call the empty word the identity, and when we have an element adjacent to its inverse, we reduce the pair to the identity. For example, a word $s_1s_2s_2^{-1}s_3$ would reduce to s_1s_3 . Call this group W(S). Now we show this group satisfies the universal property. Let S be a set, and let W(S) be the set of words in S described above. Let $i: S \to W(S)$ be the function sending each element in S to the corresponding one-letter word in W(S). Then for any group S, and any function S and S we show that there exists a unique homomorphism S is S where S is an element S is S and no S is S in the pour construction S is S in an element S in the property of S is S in the pour construction S is S and no S is S in S in S and no S is S and no S is S in S in

 $^{^2}$ Attribution for this section - What the functor - Caroline Terry. It is just such a comprehensive explanation, the best I've found so far on the internet.

inverses. Then we construct a function ϕ that sends each $w = s_1 s_2 ... \in W(S)$ to $h = g(s_1)g(s_2)... \in G$. It is clear now that the diagram commutes since, by our construction $\phi \circ i = g$. Take F(S) = W(S) as the free group on S. Using the properties of the universal construction of the free group F(S) it is also unique. The proof is in the Terry paper.

The reason for the rather lengthy explanation above is that the universal construction of the free group enables us to determine faithfulness. Given $i_1: S_1 \to F(S_1)$, $i_2: S_2 \to F(S_2)$, $f: S_1 \to S_2$. Then by the universal property, there exists a unique homomorphism ϕ such that the below diagram commutes. So the free functor sends each set function f to the group homomorphism Ff, and therefore the functor is faithful.

$$F(S_1) \xrightarrow{-\phi} F(S_2)$$

$$\downarrow_{i_1} \qquad \downarrow_{i_2 \circ f}$$

$$S_1$$

To refute full for the free $\mathbf{Set} \to \mathbf{Grp}$ construction. Take $\mathbf{Set} = \{1\}$. The free functor maps this to \mathbb{Z} . Then take in $\mathbb{Z}, F(f) \colon n \mapsto 2n$. There is no corresponding f on $\mathbf{Set} = \{1\}$ to induce F(f).

Set to $Vect_k$

- Faithful.
- Fullness can be refuted as not every linear transformation in the destination category can be represented by a linear combination of sets. Give an example.

Group - one object category Let G and H be groups. They are single object categories. So the object refers to the group. The morphisms in the one object category are the elements of G! In this example the morphisms are not functions. Compose in the category is the binary operation on the group.

A functor from G to H is just a group homomorphism. For this functor to be faithful we require there is at most one morphism in the source category that induces a morphism in the destination category. Replacing the word morphism here with element of G and we have the defintion of injective. So if the group homomorphism is injective the functor is faithful. Similar reasoning tells us if the group homomorphism is surjective, then the functor is full.

Order preserving map Faithful, but not full, as not every pair in a preorder necessarily has an order defined.

Top to ring Not faithful, and not full. Proof by counterexamples.

- Counterexample to refute faithfulness, where F(f) ignores the information provided by f and we have to f inducing the same F(f). Choose the constant map for X say $x \to a$ where a is any constant. Then since f is essentially ignored by Ff there are many f that map to the same F(f).
- Counterexample to refute fullness. Choose X as any indiscrete space. Say $X = \{\{1,2\},\emptyset\}$. Choose Y to be a discrete space with more than one point, say $Y = \{\{1\},\{2\},\emptyset\}$. Then any map out of X into Y is not continuous. For example take f(x) = x, $f^{-1}1 = 1$ is not in X, therefore not continuous by definition. f is continuous if(f?) the inverse image of every set is open. So with X and Y as above, and C(X) the constant map, there is no continuous f inducing C(f).

Hom functor over vector spaces $\operatorname{Hom}(-,W)$ sends $f\mapsto f^*$, where $f^*(q)=q\circ f$. Before beginning it helps to ask oneself, what are the objects of the category? Here the objects are the vector spaces. In our source category we have the linear map $f\colon V\to V'$. f induces a linear map in the destination category $g\colon V'\to W$.

• Counterexample to refute faithfulness. This counterexample is the same idea as refuting faithfulness for **Top** to **Ring**. Take for example, $V = W = \mathbb{R}^2$, and construct Ff to ignore the second dimension.

$$F(f) = \begin{bmatrix} 2 & 0 \\ 0 & 0 \end{bmatrix}, f_1 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, f_2 = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}$$

Then f_1, f_2 both get sent to F(f), hence the functor is not faithful

• Fullness refute using cardinality argument. If dim(W) = m and dim(V) = n the dimension of $\mathbf{Hom}(\mathbf{Hom}(V,W),\mathbf{Hom}(V',W))$ is m^2nn' . But the dimension of $f: V \to V'$ is at most nn'. But the cardinality of a vector space V with over a finite field k with q elements $= q^{dim(V)}$. So the functor cannot be full.

Polynomial CRing to Set Objects in the destination category are commutative rings. Whenever $f \colon A \to B$ is a ring homomorphism and $(x, y, z) \in F(A)$, where F(A) is the set of triples satisfying the equations in the commutative ring. A could be a single variable polynomials with integer coefficients, $\mathbb{Z}[x]$ and B here could be \mathbb{C} . So we could have an f which substitutes the imaginary unit i for the variable x.

- Faithful as if there is a solution to the system there will be a unique mapping by definition to F(f), which maps solutions of the polynomial in the source category, by definition.
- Full as f operating on polynomial solutions in destination category, for a given polynomial in the source category, correspond by definition to a homomorphism on a polynomial in source category, **CRing**.

Presheaf Same results and reasoning as an order preserving map.

1.3.27

Need to prove $[\mathcal{A}^{op}, \mathcal{B}^{op}] \cong [\mathcal{A}, \mathcal{B}]^{op}$.

We need to specify a functor η from $[\mathcal{A}^{op}, \mathcal{B}^{op}]$ to $[\mathcal{A}, \mathcal{B}]^{op}$.

For objects η should send $F^{op}: \mathcal{A}^{op} \to \mathcal{B}^{op}$ to $F: \mathcal{A} \to \mathcal{B}$.

The morphisms α_A in $[\mathcal{A}^{op}, \mathcal{B}^{op}]$ are the natural transformations between functors, so for A in \mathcal{A}^{op}

$$\{\alpha_A \colon F^{op}(A) \to G^{op}(A)\}$$
 in B^{op} ,

 η should send each α_A to $\overline{\alpha}_A$ for A in A where

$$\{\overline{\alpha}_A\colon G(A)\to F(A)\}\ \text{in }B$$

So to prove η is a functor, we need to show that $\overline{\alpha}_A$ in $[\mathcal{A}, \mathcal{B}]^{op}$ is a natural transformation, given α_A is a natural transformation in $[\mathcal{A}^{op}, \mathcal{B}^{op}]$.

We know α_A is a natural transformation. This means for all $f: A \to A'$ with $A, A' \in \mathcal{A}^{op}$: the diagram below commutes

$$F(A) \xrightarrow{F(f)} F(A')$$

$$\downarrow^{\alpha_A} \qquad \downarrow^{\alpha_{A'}}$$

$$G(A) \xrightarrow{G(f)} G(A')$$

Now given the commuting diagram above, we can turn all the arrows, in the diagram and within our categories \mathcal{A}^{op} and \mathcal{B}^{op} , around to yield another diagram, which commutes in the opposite direction for all $\overline{f}: A \to A'$ with $A, A' \in \mathcal{A}$:

$$G(A) \xrightarrow{G(\overline{f})} G(A')$$

$$\downarrow_{\overline{\alpha}_A} \qquad \downarrow_{\overline{\alpha}_{A'}}$$

$$F(A) \xrightarrow{F(\overline{f})} F(A')$$

In fact, since we can reverse the arrows once more to end up where we started, $\overline{\alpha}_A$ in \mathcal{B} is a natural transformation if and only if α_A in \mathcal{B}^{op} is a natural transformation, and the definition of the functor η is complete.

1.3.29

Prove the 'if direction' first:

We know as given $F^A \to G^A$ is a natural transformation and that for every $f \colon B \to B'$ the below square commutes

$$F^{A}(B) \xrightarrow{F^{A}(f)} F^{A}(B')$$

$$\downarrow^{\alpha_{A,B}} \qquad \downarrow^{\alpha_{A,B'}}$$

$$G^{A}(B) \xrightarrow{G^{A}(f)} G^{A}(B')$$

Similarly for $F^B \to G^B$, for every $g \colon A \to A'$ the square below commutes

$$F^{B}(A) \xrightarrow{F^{B}(g)} F^{B}(A')$$

$$\downarrow^{\alpha_{A,B}} \qquad \downarrow^{\alpha_{A',B}}$$

$$G^{B}(A) \xrightarrow{G^{B}(g)} G^{A'}(B)$$

Let us try and join the squares together in some fashion to imply $F \to G$ is a natural transformation. We intend to prove the extended square below commutes.

$$F^{A}(B) \xrightarrow{F^{A}(f)} F^{A}(B') (= F^{B'}(A)) \xrightarrow{F^{B'}(g)} F^{B'}(A')$$

$$\downarrow^{\alpha_{A,B}} \qquad \downarrow^{\alpha_{A,B'}} \qquad \downarrow^{\alpha_{A',B'}}$$

$$G^{A}(B) \xrightarrow{G^{A}(f)} G^{A}(B') (= G^{B'}(A)) \xrightarrow{G^{B'}(g)} G^{A'}(B')$$

$$(3)$$

Specifically, we wish to prove, for all $f: B \to B'$ and $g: A \to A'$, there is α such that

$$\alpha_{A',B'}F^{B'}(g)F^{A}(f) = G^{B'}(g)G^{A}(f)\alpha_{A,B}$$
 (4)

We know by the naturality condition on the RHS of (3) that for all $g: B \to B'$

$$\alpha_{A',B'}F^{B'}(g) = G^{B'}(g)\alpha_{A,B'},$$
(5)

and for all $f: A \to A'$

$$\alpha_{A,B'}F^A(f) = G^A(f)\alpha_{A,B}$$

Applying $G^{B'}(g)$ which extends the map from (A,B') to (A',B'), to both sides yields

$$G^{B'}(g)\alpha_{A,B'}F^A(f) = G^{B'}(g)G^A(f)\alpha_{A,B}$$

Then making the substitution in (5) yields the desired equality.

$$\alpha_{A',B'}F^{B'}(g)F^A(f) = G^{B'}(g)G^A(f)\alpha_{A,B}$$

The 'only if' condition follows as the families $(\alpha_{A,B})_{B\in\mathcal{B}}$ and $(\alpha_{A,B})_{A\in\mathcal{A}}$ are subsets of the family of maps $(\alpha_{A,B})_{A\in\mathcal{A},B\in\mathcal{B}}$.

1.3.32

(a) Fullness

We know $\alpha_{A'}f_A = (G \circ F)(f) \circ \alpha_A$ for all $f \colon A \to A'$.

Take F(f) = F(g). Need to show f = g, where $f: A \to A', g: A \to A'$

$$\alpha_{A'}f = (G \circ F)(f) \circ \alpha_A$$

$$\alpha_{A'}g = (G \circ F)(g) \circ \alpha_A, \text{ for all } A, A'$$

$$\implies f = g \quad \forall A'$$

Faithfulness

Take an arbitrary F(f) and show there exists $f \mapsto F(f)$. By natural isomorphism $\eta: 1_{\mathcal{A}} \to G \circ F$ we have

$$(G \circ F)(f) \circ \alpha_A = \alpha_{A'} \circ f$$

We know from the checking of fullness of F above that actually $G \circ F$ is also full, so $\alpha_{A'}^{-1}$ exists³ and

$$f = \alpha_{A'}^{-1} \circ (F \circ G)(f) \circ \alpha_A$$

Essentially surjective as $F \circ G \cong 1_B \implies F \circ G(B) \cong B$

(b) Need to show the square below commutes for all $f: B \to B'$

$$(F \circ G)(B) \xrightarrow{(F \circ G)(f)} (F \circ G)(B')$$

$$\downarrow^{\alpha_B} \qquad \qquad \downarrow^{\alpha_{B'}}$$

$$B \xrightarrow{f} B'$$

As F is essentially surjective on objects $F(G(B)) \cong B$, for all $B \in \mathcal{B}$ there are maps α_B and $\overline{\alpha_B}$ with these properties

$$\alpha_B \circ F(G(B)) = B$$

$$\overline{\alpha_B}B = F(G(B))$$

So α_B , $\alpha_{B'}$ exist due to the above. The square exists and commutes for every $f \colon B \to B'$ by full and faithfulness of F.

 $^{^3}$ Hence the hint given in the text to check faithfulness first