# Proposal: The optimization of Wav2Vec 2.0 transformer model and transfer learning in the classification and diagnosis of time-series heart sounds

SAT 5114 Small Project 4

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# 1. Introduction to the project

Cardiac sound signal classification is an important area of research in cardiology that aims to accurately identify abnormal heart sounds, which can be indicative of various cardiac disorders. Accurate classification of cardiac sound signals is essential for making timely and accurate diagnoses, and for developing effective treatment plans. However, current methods for analyzing and classifying cardiac sound signals have several limitations and challenges.

One potential solution to these challenges is the use of artificial intelligence (AI), machine learning (ML), and deep learning (DL) techniques, which have shown promise in improving the accuracy and reliability of sound analysis for cardiac sound signal classification. The limitations and challenges of current methods for cardiac sound signal classification include the subjectivity of human interpretation, which can lead to variability in diagnoses, and the lack of standardization in terminology and classification schemes. In addition, traditional signal processing techniques may not be effective for capturing subtle differences in sound patterns that are indicative of cardiac disorders.

#### Problems to Solve

Currently, patients with cardiovascular disease have tools for monitoring their heart, however early diagnosis of heart problems is still difficult. The proposal here is: through very inexpensive microphone recorders connected to a human body and communicating data with a smartphone could provide continuous analysis to people with heart problems, and send alerts, rather than requiring the patient to perform a measurement and then react. This project goal is to test machine learning approaches that could be implemented in heart sound classification tools.

#### **Technical Issues**

Data storage space may be a technical issue given that this device records continuously. Also, if the transfer learning model is too complex, it may be difficult to explain and interpret. Doctors may have a

hard time trusting and replacing traditional methods with one that uses deep learning if the model is not explainable enough.

#### Clinical Issues

## **Ethical Issues**

One solution to data storage is to store data online. This brings up ethical issues of data ownership and who is responsible if the method fails. It would also be useful to track and record the user's location which can have ethical concerns.

# Similar Work

Here are two publications on the current state of the art in cardiac sound signal classification published between 2020 and 2023

- 1. One relevant review paper is titled "Heart sound classification using signal processing and machine learning algorithms" (2022) by Zeinali et. al[1]. In this study, they explored extracting signal features such as amplitude, dominant frequencies, and the discrete wavelet transforms, and information theory features. The authors explored using the support vector machines classifier (SVC), gradient boosting classifier (GBC), and random forest classifier (RFC). The accuracy ranged from 75 87% with gradient boosting performing the best.
- 2. One common method for statistical machine learning classification of audio data is explained in multiple speaker identification studies[2] [3]. Their method is to extract features, in this case, extracting the Mel Frequency Cepstral Coefficients (MFCC) from audio signals.

# Implementation Plan and Data Description

Previously, I explored statistical machine learning methods to classify heart sounds. Now, I am proposing a <u>transfer learning</u> approach, using Wav2Vec 2.0[4]. Wav2vec is a deep transformer network originally designed for natural language processing. This classification challenge uses data from a past competition for classifying heart sounds[5]. There are two heart sound data sets: Set A with 4-classes, and Set B with 3-classes. The challenge was to submit a model to correctly classify an unlabeled set. I do not have the labels for the unlabeled sets, therefore I will use the training sets for validation and testing of the Wav2Vec 2.0 transformer.

#### Data

Data was collected for a 2011 challenge proposed by Bentley et al. The challenge included 2 data sets: **data set A**) with heart sounds from the general public via the iStethoscope Pro iPhone app; and, **data set B**) with heart sounds from a clinic trial in hospitals using the digital stethoscope DigiScope. Combined, there are a total of 585 samples, each being a short clip in .wav format ranging anywhere from 3 to 30 seconds. The class balance is relatively balanced in Set A while Set B is unbalanced with many more "normal" samples. Set B are generally around 3 seconds, and Set A contains longer recordings.

#### Set A has a total of 124 recordings

- 4 categories for Set A:
  - a) Normal (31)
  - b) Murmur (34)
  - c) Extra Heart Sound (19)
  - d) Artifact (40)

Set B has a total of 464 recordings

- 3 classes contained in Set B:
  - a) Normal (320)
  - b) Murmur (95)
  - c) Extrasystole (46)

# Past Performances

In the plot below, I have highlighted the class precision and sensitivity (recall) because I will be reporting those metrics in my classification report.

		ISEP/IPP Portugal J48 / MLP	CS UCL	SLAC Stanford
Challenge 1 A	Total error	4 219 736.5	3 394 378.8	1 243 640.7
Challenge 1 B	Total error	72 242.8	75 569.8	76 444.4
Challenge 2 A	Precision of Normal	0.25 / 0.35	0.46	
	Precision of Murmur	0.47 / 0.67	0.31	
	Precision of ExtraS	0.27 / 0.18	0.11	
	Precision of Artifact	0.71 / 0.92	0.58	
	Artifact Sensitivity	0.63 / 0.69	0.44	
	Artifact Specificity	0.39 / 0.44	0.44	
	Youden ldx Artifact	0.01 / 0.13	-0.09	
	F-score	0.20 / 0.20	0.14	
	Total Precision	1.71 / 2.12	1.47	
Challenge 2 B	Precision of Normal	0.72 / 0.70	0.77	
	Precision of Murmur	0.32 / 0.30	0.37	
	Precision of ExtraS	0.33 / 0.67	0.17	
	Heart prb Sensitivity	0.22 / 0.19	0.51	
	Heart prb Specificity	0.82 / 0.84	0.59	
	Youden ldx Hrt prb	0.04 / 0.02	0.01	
	Discriminant Power	0.05 / 0.04	0.09	
	Total Precision	1.37 / 1.67	1.31	

# Performance Results

# Wav2Vec 2.0 Transformer Results with no data augmentation

#### Set A

aluation 19	****		
2			
2.68			
cision	recall	fl-score	support
0.71	0.83	0.77	6
0.00	0.00	0.00	3
0.80	0.80	0.80	5
0.57	0.80	0.67	5
		0.68	19
0.52	0.61	0.56	19
0.59	0.68	0.63	19
	0.71 0.00 0.80 0.57	0.71 0.83 0.00 0.00 0.80 0.80 0.57 0.80	0.00 0.00 0.00 0.80 0.80 0.80 0.57 0.80 0.67 0.68 0.52 0.61 0.56

#### Set B

***** Running P	rediction	****		
Num examples	- 70			
Batch size =	32			
TOTAL TIME: 8	.25			
p	recision	recall	fl-score	support
extrastole	0.00	0.00	0.00	7
murmur	0.67	0.13	0.22	15
normal	0.72	0.98	0.83	48
accuracy			0.70	70
macro avg	0.46	0.37	0.35	70
weighted avg	0.64	0.70	0.62	70

# Wav2Vec 2.0 Transformer Results with Augmentation and Oversampling

#### Set A with Transfer Learning

	precision	recall	f1-score	support
artifact	0.99	0.99	0.99	852
extrahls	0.76	0.83	0.80	96
murmur	0.91	0.92	0.92	337
normal	0.84	0.79	0.81	226
accuracy			0.94	1511
macro avg	0.88	0.88	0.88	1511
weighted avg	0.94	0.94	0.94	1511

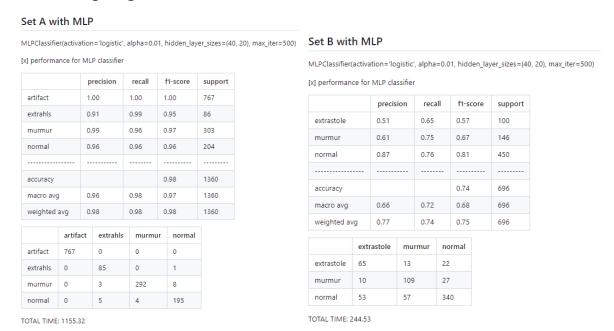
	artifact	extrahls	murmur	normal
artifact	847	2	2	1
extrahls	2	80	1	13
murmur	0	5	311	21
normal	4	18	26	178

# Set B with Transfer Learning

	precision	recall	f1-score	support
extrastole	0.43	0.39	0.41	111
murmur	0.71	0.69	0.70	162
normal	0.80	0.82	0.81	500
accuracy			0.73	773
macro avg	0.65	0.63	0.64	773
weighted avg	0.73	0.73	0.73	773

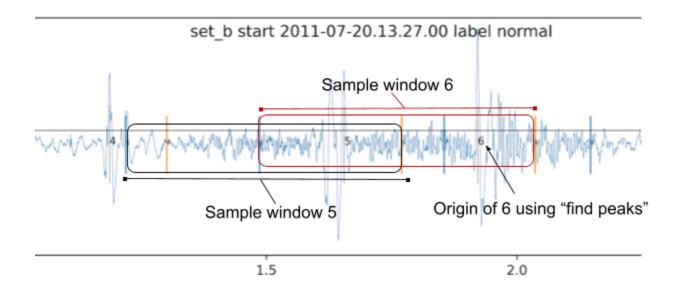
	extrastole	murmur	normal
extrastole	43	7	61
murmur	6	112	44
normal	50	38	412

# Statistical Classifier (MLP) Results with Augmentation and Oversampling



# Data Augmentation and oversampling Method

Data Augmentation is performed in the python file called "WavPreprocess-Hearbeat.py". The augmentation method generates 0.55 second samples from the recordings. Each sample's "origin" was located using the numpy "find peaks" function. Note there is sample overlap which creates one form of oversampling. I also oversampled using built-in functions for hugging face datasets called "interleave" which generates a class balance. See the figure visualizing the samples 5 and 6 taken from a set B recording. A total of ten beats were detected in this file, so in this case, a 3.5 second recording generates 10 samples totaling 5.5 seconds of data. The augmentation outputs an audio (.wav) file for each sample.



# **Datasets Creation**

After Augmentation, and storing the samples locally, the dataset can be created. Here I use the "DatasetDict" functionality from Hugging Face Dataset (Datasets). Datasets combines functionality from both Tensorflow and Pytorch. My program displays the following information after generating the dataset:

```
Creating Dataset set_a
10073 labels counted
10073 audio files counted
Saving test dataset separately to: /work/ajgeglio/Tap_Data/Other_data/test_dataset
DatasetDict({
    train: Dataset({
        features: ['audio', 'label'],
       num_rows: 7051
    validation: Dataset({
        features: ['audio', 'label'],
        num_rows: 1662
    test: Dataset({
        features: ['audio', 'label'],
        num rows: 1360
    })
})
DATASET CREATION TIME: 2.39
Filter: 85%| 0000/7051 [02:21<00Filter:
| 7000/7051 [02:24<00Filter:
7051/7051 [02:25<00
```

```
DatasetDict({
   train: Dataset({
       features: ['audio', 'label'],
       num_rows: 15904
   validation: Dataset({
       features: ['audio', 'label'],
       num rows: 1662
   test: Dataset({
      features: ['audio', 'label'],
       num rows: 1360
   })
})
OVERSAMPLING TIME: 675.50 dict_values(['artifact', 'extrahls', 'murmur', 'normal'])
Sample rate: 16000
Real sample time(s): 0.54425
waveform shapes: original--> (8708,)
TOTAL TIME: 701.04
Creating Dataset set_b
5148 labels counted
5148 audio files counted
Saving test dataset separately to: /work/ajgeglio/Tap_Data/Other_data/test_dataset
DatasetDict({
   train: Dataset({
       features: ['audio', 'label'],
       num_rows: 3603
   validation: Dataset({
      features: ['audio', 'label'],
       num rows: 849
   test: Dataset({
    features: ['audio', 'label'],
       num rows: 696
   })
DATASET CREATION TIME: 0.46
DatasetDict({
   train: Dataset({
      features: ['audio', 'label'],
       num_rows: 6990
   validation: Dataset({
      features: ['audio', 'label'],
       num rows: 849
   test: Dataset({
    features: ['audio', 'label'],
       num_rows: 696
OVERSAMPLING TIME: 207.74
Sample rate: 16000
Real sample time(s): 0.55
waveform shapes: original--> (8800,)
TOTAL TIME: 210.24
(Wav2vec) ajgeglio@cheetah:~/OtherProjects$ python Wav2Vec-Heartbeat.py --set set_a --create_dataset --oversample
Creating Dataset set a
10073 labels counted
10073 audio files counted
Saving test dataset separately to: /work/ajgeglio/Tap_Data/Other_data/test_dataset
DatasetDict({
   train: Dataset({
       features: ['audio', 'label'],
       num rows: 7051
   validation: Dataset({
       features: ['audio', 'label'],
       num rows: 1662
   test: Dataset({
       features: ['audio', 'label'],
       num_rows: 1360
```

```
})
DATASET CREATION TIME: 2.39
Filter: 85%| 02:21<00Filter:
99%| 7000/7051 [02:24<00Filter:
| 7051/7051 [02:25<00
DatasetDict({
   train: Dataset({
        features: ['audio', 'label'],
       num_rows: 15904
    validation: Dataset({
        features: ['audio', 'label'],
       num_rows: 1662
    test: Dataset({
    features: ['audio', 'label'],
        num rows: 1360
OVERSAMPLING TIME: 675.50
dict_values(['artifact', 'extrahls', 'murmur', 'normal'])
```

# Transfer Learning Set-up

# Wav2Vec 2.0 Model

Next a custom python file loads the Wav2Vec 2.0 transformer model architecture, weights, feature extractor, and training configurations.

```
from huggingface_hub import notebook_login
import evaluate
from datasets import Audio, Dataset, load_from_disk, DatasetDict, interleave_datasets
# from transformers import AdawW, get_linear_schedule_with_warmup
from transformers import Nav2Vec2Model, Nav2Vec2Config
from transformers import AutoModelForAudioClassification, TrainingArguments, Trainer
```

Importing hugging face datasets, and the Wav2Vec2 model and configuration files from the transformers library

```
(initializing a BertforSequenceClassification model from a BertforSequenceClassification model).

iome weights of Nav2NecJForSequenceClassification were not initialized from the model checkpoint at facebook/vav2vec2-base and are newly initialized: ['projector
edight', 'classifior weight', 'classifier.bias', 'projector.bias']

for should probably TRAUR this model on a down-stream task to be able to use it for predictions and inference.
   2Vec2ForSequenceClassification(
    aw2vec2): Waw2Vec2Mode1(
{feature_extractor}: Waw2Vec2FeatureExtractor{
       (com/layers): Modulatist(
(0): Nav2Vec2GroupHormConvLayer(
(com/): Convld(1, 512, kernel_size=(10,), stride=(5,), bias=False)
(layer_norm): GroupHorm(512, 512, eps=le=05, affine=True)
           (1): Nav/Yec/MolayerNoreConvlayer(
| (conv): Convld(512, 512, kernel_size=(5,), stride=(2,), bias=False)
           (2): Wav2Vec2NoLayerNormConvLayer(
{conv}: Convld(512, 512, kernel_size-(3,), stride-(2,), bias-False)
                                                                                                                                                              (output_dropout): Dropout(p=0.1, inplace=False)
           ;

(3): WawZVecZHoLayerMormConvLayer(

(conv): Conv1d(512, 512, karnel_size=(3,), stride=(2,), bias=False)
                                                                                                                                                          (final_layer_norm): LayerNorm((768,), eps-ie-05, elementwise_affine=True)
           (4): NavZVocZNoLayerNormConvLayer(
(comv): Convld(512, 512, kernel_size=(3,), stride=(2,), bias=False)
                                                                                                                                                          (attention): Wav2Vec2Attention(
                                                                                                                                                             (k_proj): Linear(in_features=768, out_features=768, bias=True)
                                                                                                                                                             (v_proj): Linear(in_features-768, out_features-768, bias-True) (q_proj): Linear(in_features-768, out_features-768, bias-True)
           (5): Wav2Vec2NoLayerNormConvLayer(
(conv): Conv1d(512, 512, kernel_size=(2,), stride=(2,), bias=False)
                                                                                                                                                             (out proj): Linear(in features=768, out features=768, bias=True)
           (6): NavZVec2NoLayerNormConvLayer(
{conv}: Convid(512, 512, kernel_size-(2,), stride-(2,), bias-False)
                                                                                                                                                          (dropout): Dropout(p=0.1, inplace-False)
(layer_norm): LayerNorm((768,), eps=1e-05, elementwise_affine=True)
                                                                                                                                                          (anyenters): Mar2Vec2FeedForward(
(intermediate_dropout): BrozVec2FeedForward(
(intermediate_dropout): Bropout(p=0.0, inplace=false)
(intermediate_dropout): Linear(in_features=768, out_features=3072, bias=True)
(output_dropout): Bropout(p=0.1, inplace=false)
    (feature_projection): Wav2Vec2FeatureProjection(
(layer_norm): LayerMorm((512,), ops=1e-06, elementwise_affise=True)
(projection): Linear(in_features>512, out_features>768, bias=True)
(dropout): Dropout(p=0.1, inplace=False)
             der): Wav2Vec2Encoder(
                                                                                                                                                         (final_layer_norm): LayerWorm((768,), eps=le-05, elementwise_affine=True)
                          11 Attention Encoder
                                                                                                                                            )
(projector): Linear(in_features=766, out_features=256, bias=True)
(classifier): Linear(in_features=256, out_features=3, bias=True)
                         layers in the transformer
```

# Model Output

```
| Checker | Signal Laboration | Other Projects | Python Nav2Vec - Heart Death | Project | Part | Par
```

```
: 7.426, 'epoch': 50.99)

[2754/8100 [44:31<1:13:13, 1.22it/s5]

[2754/8100 [44:31<1:13:13, 1.2i
Training completed. Do not forget to share your model on huggingface.co/models -)
  oading best model from /work/ajgeglio/other_models/wav2vec2-base_Apr-06-2023-12:00 heartbeat/checkpoint-1890 (score: 0.8131090830779825).

'train_runtime': 2678.6646, 'train_samples_per_second': 391.426, 'train_steps_per_second': 3.024, 'train_loss': 0.341568495425612, 'epsch'
                                                                                                                                                                                                                                                                                                                                                                                                                                           [ 2754/8100 [44:38<1:26:39, 1.031t/s]
   **** Running Evaluation *****
Num examples - 772
Batch size - 32
                                                                                                                                                                                                                                                                                                                                                                                                                                                             25/25 [00:04<00:00, 6.041t/s]
  War/vuc) signglingcheetah:-/OtherProjects$ python predict_.py
 Sample rate: 16000
Seal sample time(s): 0.55
 Reshaped sample time(s): 0.55
waveform shapes: original--> (8800,) reshaped--> (8800,)
 Using amp half precision backend
***** Nunning Prediction *****
Num examples = 773
Batch size = 32
 92% TOTAL TIME: 15.43
                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 23/25 [00:01<00:00, 15.43it/s]
                                                     precision
                                                                                                        recall fl-score
                                                                                                              0.39
0.69
0.82
                                                                                                                                                      0.41
0.70
0.81
      extrastole
                     normal
                                                                                                                                                                                                   773
773
```

# Why might Transfer learning work well here?

Wav2Vec 2.0 is a very deep transformer network designed for audio classification. With transfer learning, we have access to the complex model that is open source and has been developed by many contributors. Secondly, the pretrained weights on the facebook/wav2vec2-base are the wav2vec 2.0 model parameters trained on hundreds of hours of audio data. By using transfer learning with these weights, it will reduce the amount of data necessary to optimize the model with our additional head classifier layer. Additionally, the model comes with a feature extractor on top of the network. The feature extractor was designed to amplify the important audio features in samples prior to training. As you can see in the example above, we scored better than the past results for the "murmur" and "normal" classes in just 44 minutes of training.

# Statistical ML Methods and Results

Statistical classification can be done on the MFCC features by representing the signal features in a tabular format. I will test out bagging and boosting methods, such as Random Forest and Adaboost, as well as a Support Vector Machine and Multi-Layer Perceptron and compare their performance on the MFCC data. Later, I may explore deep learning on the raw signals because 1D-CNN on audio has shown good performance in other studies and requires less processing time.

#### Method Modification

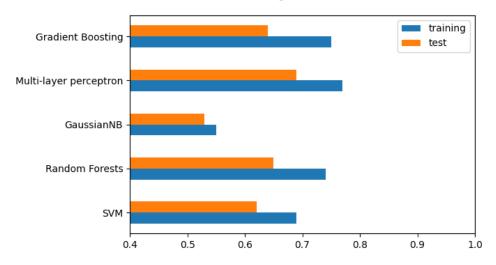
Here I explore a 5-class classification problem after combining the two data sets. After the combination, the data contained 5 unique classes:

- a. Normal
- b. Murmur
- c. Extra Heart Sound
- d. Artifact
- e. Extrasystole

We use a train-test-split of 75/25, gridsearchCV for parameter tuning, 5-fold cross-validation for resampling, and the weighted average F1-score is used to optimize the models.

# Statistical ML Results

#### Statistical Classifiers Weighted F1 Score



# **Random Forests**

#### Best Model

RandomForestClassifier(max\_depth=4, n\_estimators=15, random\_state=42) {'criterion': 'gini', 'max\_depth': 4, 'max\_features': 'sqrt', 'min\_samples\_split': 2, 'n\_estimators': 15}

# Testing

accuracy:0.714 recall:0.714 Precision:0.716

# Classification Report

	precisi	on   recal	ll   f1-sc	ore   supp	ort
			-		-
artifact	1.00	0.90	0.95	10	
extrahls	0.44	0.80	0.57	5	
extrastole	0.00	0.00	0.00	12	
murmur	1.00	0.25	0.40	32	
normal	0.69	0.95	0.80	88	
			-		-
accuracy			0.71	147	
macro avg	0.63	0.58	0.54	147	

# Multi-Layer Perceptron

#### Best Model

```
MLPClassifier(activation='logistic', alpha=0.1, hidden_layer_sizes=(40, 20), max_iter=500) 
{'activation': 'logistic', 'alpha': 0.1, 'hidden layer sizes': (40, 20)}
```

# Testing

accuracy:0.728 recall:0.728 precision:0.672

#### Classification Report

	precision	n   recall	f1-sco	re   supp	ort
			-		
artifact	1.00	0.90	0.95	10	
extrahls	0.50	1.00	0.67	5	
extrastole	0.00	0.00	0.00	12	
murmur	0.64	0.50	0.56	32	
normal	0.75	0.88	0.81	88	
			-		
accuracy			0.73	147	
macro avg	0.58	0.66	0.60	147	
weighted av	g   0.67	0.73	0.69	147	

# Support Vector Machine

```
SVC(C=0.1, gamma=0.01, kernel='linear', max\_iter=10000, probability=True) \\ \{'C': 0.1, 'gamma': 0.01, 'kernel': 'linear'\} \\
```

# Testing

accuracy:0.673 recall:0.673 precision:0.625

#### Classification Report

precision	recall	f1-score	suppo	rt
1.00	0.70	0.82	10	
0.29	0.40	0.33	5	
	1.00	1.00   0.70	1.00   0.70   0.82	

extrastole	0.00	0.00	0.00	12	
murmur	0.64	0.28	0.39	32	
normal	0.68	0.92	0.78	88	
accuracy			0.67	147	
macro avg	0.52	0.46	0.47	147	
weighted ava	g   0.63	0.67	0.62	147	

# Gradient Boosting Classifier

GradientBoostingClassifier(max\_depth=1, n\_estimators=50, random\_state=42) {'learning\_rate': 0.1, 'max\_depth': 1, 'n\_estimators': 50}

## Testing

accuracy:0.701 recall:0.701 precision:0.658

# Classification Report

	precision	recall   f1-score	support
artifact	1.00	0.70   0.82	10
extrahls	0.57	0.80   0.67	5
extrastole	0.00	0.00   0.00	12
murmur	0.73	0.25   0.37	32
normal	0.69	0.95   0.80	88
accuracy		0.70	147
macro avg	0.60	0.54   0.53	147
weighted avg	0.66	0.70   <b>0.64</b>	147

# Naive Bayes

GaussianNB() {'priors': None}

## Testing

accuracy:0.483 recall:0.483 precision:0.715

# Classification Report

	precision	recall	f1-score	support	
					-
artifact	0.73	0.80	0.76	10	
extrahls	0.31	1.00	0.48	5	
extrastole	0.13	0.58	0.21	12	
murmur	0.86	0.38	0.52	32	

normal	0.76	0.44	0.56	88	
accuracy			0.48	147	
macro avg	0.56	0.64	0.51	147	
weighted avg	0.71	0.48	0.53	147	

#### Team Members:

Currently I am working independently.

# Works Cited

- [1] Y. Zeinali and S. T. A. Niaki, "Heart sound classification using signal processing and machine learning algorithms," *Mach. Learn. Appl.*, vol. 7, p. 100206, Mar. 2022, doi: 10.1016/j.mlwa.2021.100206.
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- [5] "Classifying Heart Sounds Challenge." http://www.peterjbentley.com/heartchallenge/ (accessed Feb. 21, 2023).