

**Course Notes for**  
**CS 1501**  
**Algorithm Implementation**

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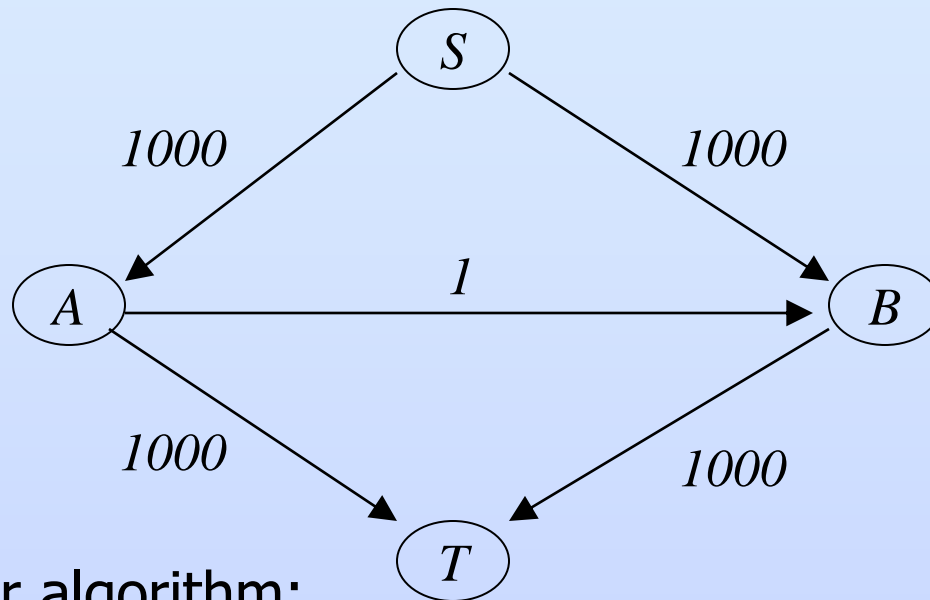
- These notes are intended for use by students in CS1501 at the University of Pittsburgh and no one else
- These notes are provided free of charge and may not be sold in any shape or form
- These notes are NOT a substitute for material covered during course lectures. If you miss a lecture, you should definitely obtain both these notes and notes written by a student who attended the lecture.
- Material from these notes is obtained from various sources, including, but not limited to, the following:
  - Algorithms in C++ by Robert Sedgewick
  - Algorithms, 4<sup>th</sup> Edition by Robert Sedgewick and Kevin Wayne
  - Introduction to Algorithms, by Cormen, Leiserson and Rivest
  - Various Java and C++ textbooks
  - Various online resources (see notes for specifics)



- ▶ In our previous lecture we discussed the Ford-Fulkerson algorithm for determining network flow
  - We looked at the basic approach of adding augmenting paths until a cut is formed in the graph
  - We also looked at how the graph would be represented
- ▶ However, we have not yet discussed **way to determine an augmenting path** for the graph
  - How that this be done in a regular, efficient way?
  - We need to be careful so that if an augmenting path exists, we will find it, and also so that "quality" of the paths is fairly good



► Ex: Consider the following graph



- Poor algorithm:
  - Aug. Paths SABT (1), SBAT (1), SABT (1), SBAT (1) ...
  - Every other path goes along edge AB in the opposite direction, adding only 1 to the overall flow
    - > This is legal due to **backward flow** edges (see Lecture 19)
  - 2000 Aug. Paths would be needed before completion

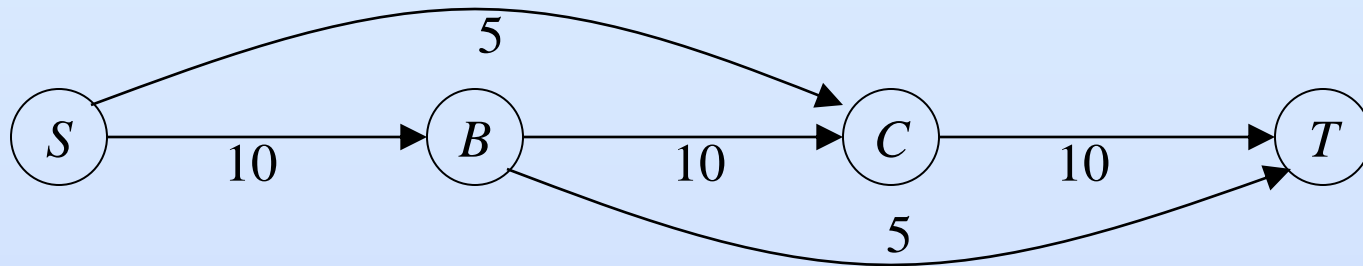


## Implementing FF approach

- Good algorithm:
  - 2 Aug. Paths SAT (1000), SBT (1000) and we are done
- In general, if we can find aug. paths using some optimizing criteria we can probably get good results
- ▶ Edmonds and Karp suggested two techniques:
  - Use BFS to find the aug. path with fewest edges
  - Use PFS to find the aug. path with largest augment
    - In effect we are trying to find the path whose segments are largest (maximum spanning tree)
    - Since amount of augment is limited by the smallest edge, this is giving us a "greatest" path
- ▶ Let's consider our second example from last class



- Consider the following example **with BFS**

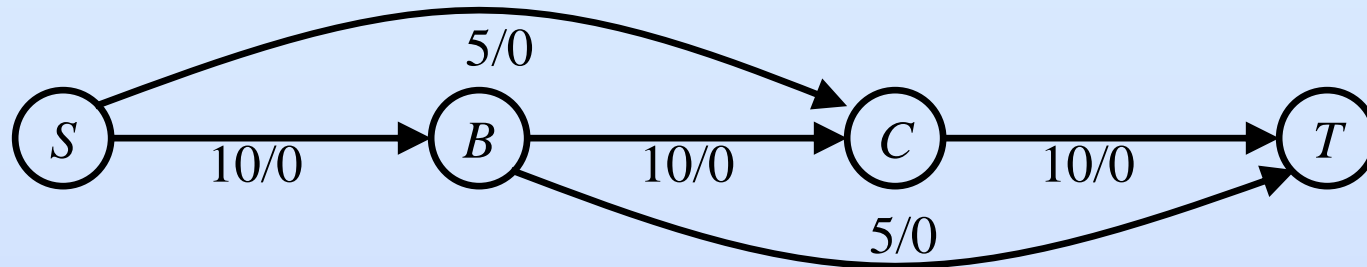


- ▶ **For each augmenting path**, we form a BFS spanning tree from S
  - This is the same BFS algorithm we used previously
  - We can only consider edges with residual capacity, but we don't base our choice on the amount of that capacity
  - Rather we find the path from S to T with the fewest number of hops
  - Recall how we would do this (good review of BFS)



## BFS FF Approach

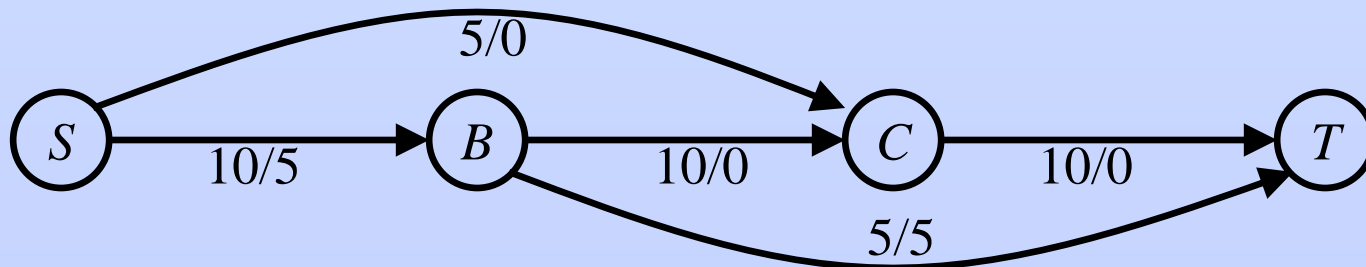
Q: S B C T



- BFS Tree shows path **SBT** (with weight 5)
  - > Use this as our first augmenting path

Q: S B C T

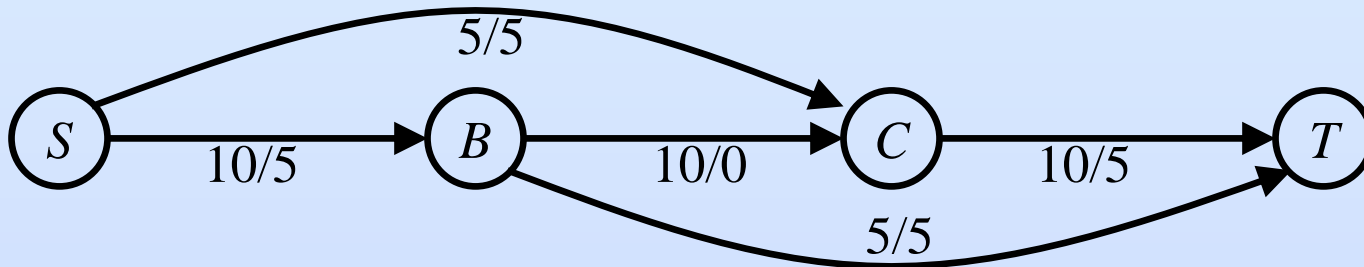
- BFS Tree shows path **SCT** (with weight 5)
  - > Use this as our second augmenting path



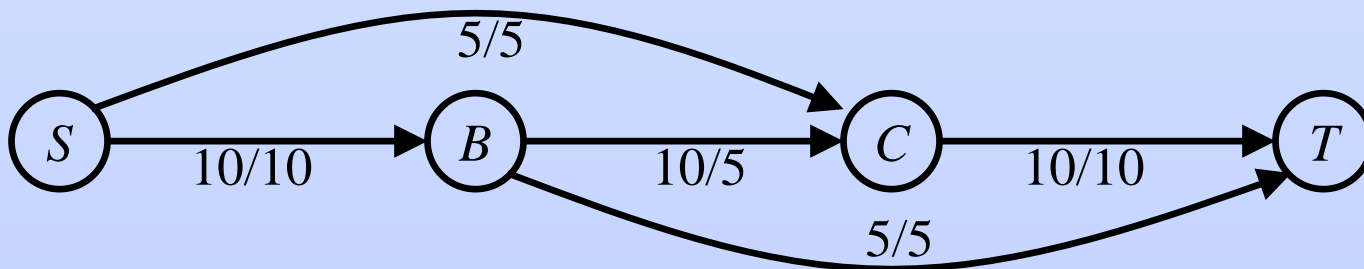
## BFS FF Approach

Q: S B C T

- BFS Tree shows path **SBCT** (with weight 5)
  - > Use this as our third augmenting path

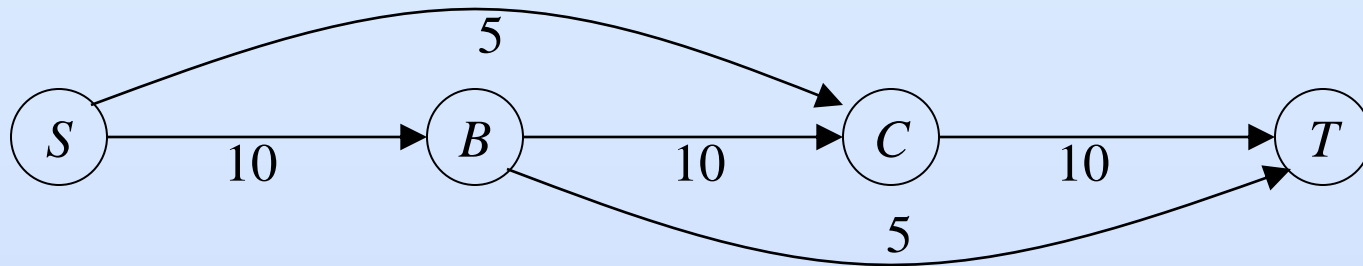


- Graph now has a cut (SB, SC)
  - > BFS would not get to sink
  - > **Network flow is 5 + 5 + 5 = 15**





- Consider the same example **with PFS**



- For each augmenting path, we form a PFS spanning tree from S
  - Again, this is basically a **maximum** spanning tree
  - Now the **amount of residual capacity** available on each edge is key in building the tree
  - Recall how we would do this
    - Algorithm is Eager Prim with max instead of min
    - Let's see how it would work with a trace

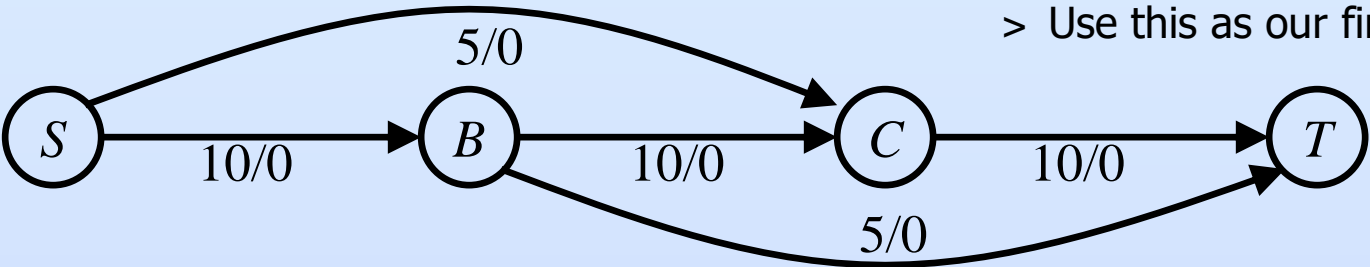


PQ:

S	B	C	C	T	T
-	SB	SC	BC	BT	CT
$\infty$	10	5	10	5	10

PFS FF Approach

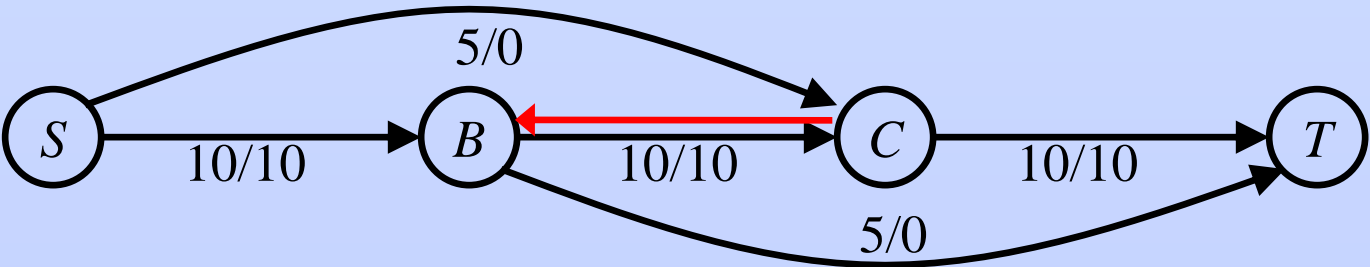
- PFS Tree shows path **SBCT** (with weight 10)
  - > Use this as our first aug path



PQ:

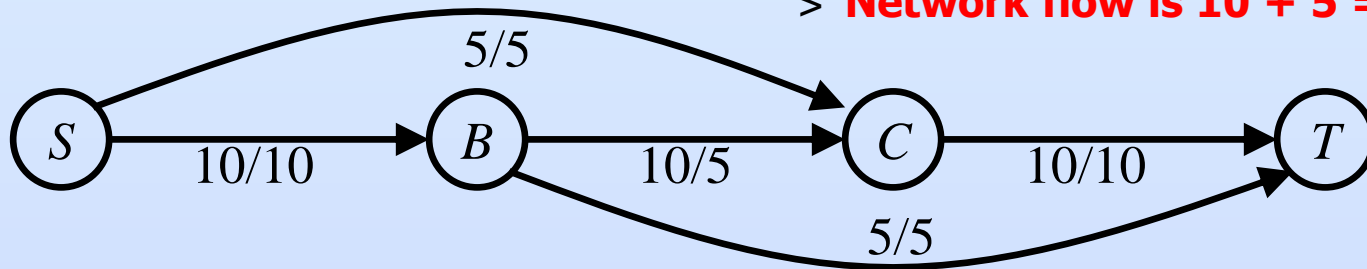
S	C	B	T
-	SC	CB	BT
$\infty$	5	5	5

- PFS Tree shows path **SCBT** (with weight 5)
  - > Use this as our 2<sup>nd</sup> aug path



## PFS FF Approach

- Graph now has a cut (SB, SC)
  - > PFS would not get to sink
  - > **Network flow is  $10 + 5 = 15$**



- Both approaches determine the same total flow
  - This is expected
- However, the augmenting paths chosen differ due to the different way that they are selected
- Look at the implementation in FordFulkerson.java



### ► Notes about the program:

- Main algo (in constructor) will work with BFS or PFS
  - it uses `hasAugmentingPath` method to build spanning tree starting at source, and continuing until sink is reached
    - Total flow is updated by the value for the current path
    - Each path is then used to update residual graph
      - > Path is traced from sink back to source, updating each edge along the way
    - If sink is not reached, no augmenting path exists and algorithm is finished
- Sedgewick implementation uses BFS to find the augment with the fewest edges



## Implementing FF Approach

- I have added code to allow the option of PFS to find the augmenting paths
- Run both versions on sample files (see comments at top of program for details)
- ▶ Which is better?
  - It depends
  - Intuitively we would expect to require fewer augmenting paths with PFS, since it is maximizing the augment
  - However, with an adjacency list, PFS ( $\Theta(E \lg V)$ ) takes longer than BFS ( $\Theta(E + V)$ ), so each augment requires more time
  - Both approaches are reasonable



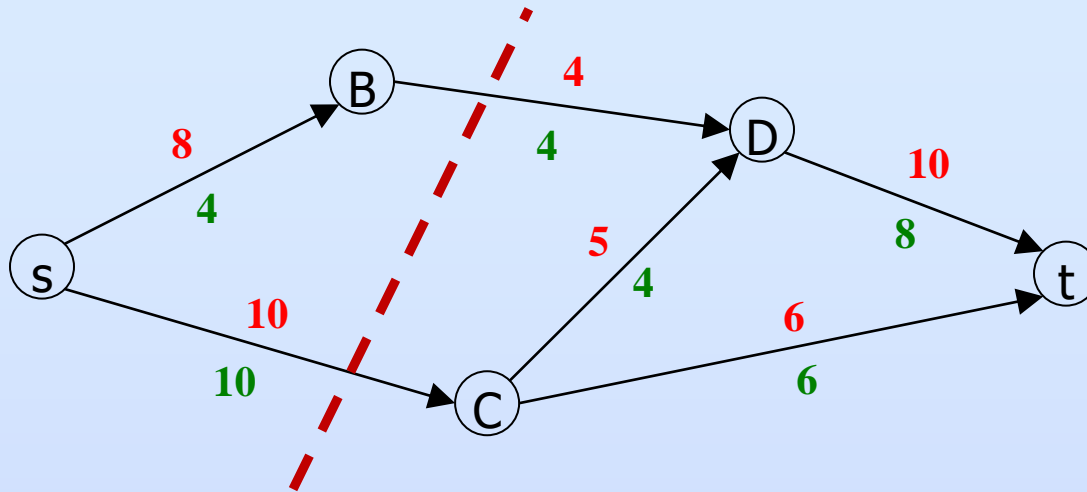
- Consider again a graph,  $G$ , as defined for the Network Flow problem
  - ▶ An **st-cut** in the graph is a set of edges, that, if removed, partitions the vertices of  $G$  into two disjoint sets
    - One set contains  $s$ , the other contains  $t$
  - ▶ We can call the set of edges a **cut set** for the graph
    - We have already discussed this in previous slides
  - ▶ For a given graph there may be many cut sets
  - ▶ The **minimum cut** is the st-cut such that the capacity of no other cut is smaller



- Consider now a **residual graph** in which no augmenting path exists
  - ▶ One or more edges that had allowed a path between the source and sink have been used to capacity
  - ▶ These edges comprise the **min cut**
    - May not be unique
    - The sum of the weights of these edges is equal to the **maximum flow** of the graph
      - In other words, calculating the maximum flow and the minimum cut are equivalent problems



## Min Cut



- Min Cut is shown in this graph: { sC, BD }
  - Note that there are other cuts in this graph
    - > Ex: { sB, sC } → weight 18
    - > Ex: { BD, CD, Ct } → weight 15
  - Finding a cut is not that difficult
    - > Trivial cut is to remove all outgoing edges from source or all ingoing edges to sink
  - Finding the Min Cut is equivalent to finding the Max Flow





- ▶ How to determine the min cut?
  - Do Ford-Fulkerson max flow algorithm
  - When no more augmenting paths can be found:
    - Consider the set of all vertices that are still reachable from the source (including the source itself)
      - > Note that this includes vertices reachable via back edges
    - Edges that have **one endpoint** within this set are in the min cut
      - > How can we determine this? -- Discuss
- ▶ Does Network Flow make sense in an **unweighted graph**?
  - Yes – in fact we can still use the Ford Fulkerson algorithm

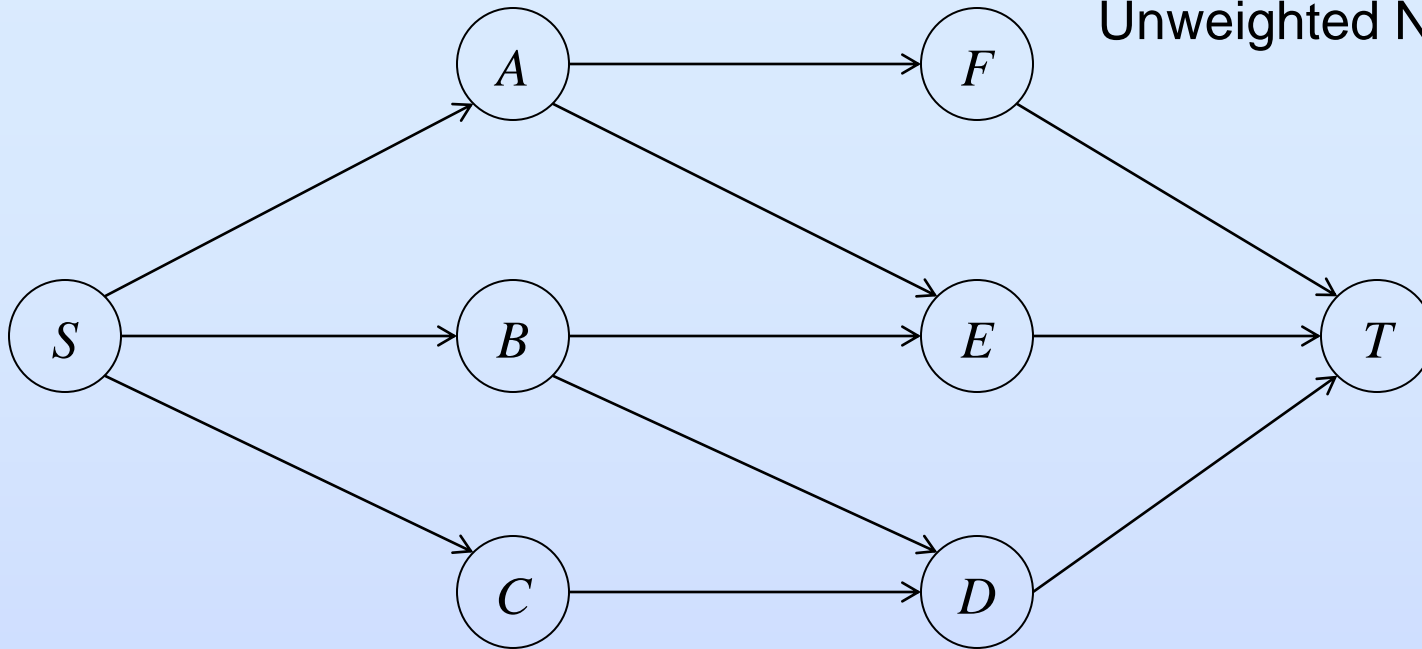


## Unweighted Network Flow

- However, now edges are either used or not in any augmenting path – we can not use part of their capacity as we do for weighted graphs
- PFS approach for augmenting paths doesn't make sense here – BFS is best approach
  - We can still have back edges, however – going backward would “restore” that edge for another path
- In this case the **maximum flow** is the maximum number of **distinct paths** from S to T
- The **min cut** in an **unweighted graph** is the min number of edges that, when removed, disconnect S and T
  - Idea is that each edge in the cut would disconnect one path



## Unweighted Network Flow



- Assume edges for each vertex are stored in **alphabetical order**.
  - What are the augmenting paths?
  - What is the min cut?
  - We will do this and discuss in our synchronous lecture
    - But you can see the bottom of this slide for the answers if you wish



- Some computational problems are **unsolvable**
  - ▶ No algorithm can be written that will always produce the "right" answer
  - ▶ Most famous of these is the "Halting Problem"
    - Given a program P with data D, will P halt at some point?
      - It can be shown (through a clever example) that this cannot be determined for an arbitrary program
      - [http://en.wikipedia.org/wiki/Halting\\_problem](http://en.wikipedia.org/wiki/Halting_problem)
  - ▶ Other problems can be "reduced" to the halting problem
    - Indicates that they too are unsolvable



- Some problems are solvable, but **require an exponential amount of time**
  - ▶ We call these problems **intractable**
    - For even modest problem sizes, they take too long to solve to be useful
  - ▶ Ex: List all subsets of a set
    - We know this is  $\Theta(2^N)$
  - ▶ Ex: List all permutations of a sequence of characters
    - We know this is  $\Theta(N!)$



- Most useful algorithms run in **polynomial time**
  - Largest term in the Theta run-time is a **simple power** with a **constant exponent**
    - Or a power times a logarithm (ex:  $N \lg N$  is considered to be polynomial)
  - Most of the algorithms we have seen so far this term fall into this category



- Background

- ▶ Some problems don't (yet) fall into any of the previous 3 categories:
  - They **can** definitely **be solved**
  - We have **not proven** that any solution requires exponential execution time
  - **No** one has been able to produce a **valid solution** that runs in **polynomial time**
- ▶ It is from within this set of problems that we produce **NP-complete problems**



- More background:
  - Define  $P$  = set of problems that can be solved by **deterministic algorithms** in polynomial time
    - What is deterministic?
      - At any point in the execution, given the current instruction and the current input value, we can predict (or determine) what the next instruction will be
      - If you run the same algorithm twice on the same data you will have the same sequence of instructions executed
      - Most algorithms that we have discussed this term fall into this category





- ▶ Define **NP** = set of problems that can be solved by **non-deterministic** algorithms in polynomial time
  - What is non-deterministic?
    - Formally this concept is tricky to explain
      - > Involves a Turing machine
    - Informally, we allow the algorithm to "cheat"
      - > We can "magically" guess the solution to the problem, but we must verify that it is correct in polynomial time
    - Naturally, our programs cannot actually execute in this way
      - > We simply define this set to categorize these problems
  - <http://www.nist.gov/dads/HTML/nondetermAlgo.html>
  - [http://en.wikipedia.org/wiki/NP\\_\(complexity\)](http://en.wikipedia.org/wiki/NP_(complexity))



## NP-Complete Problems

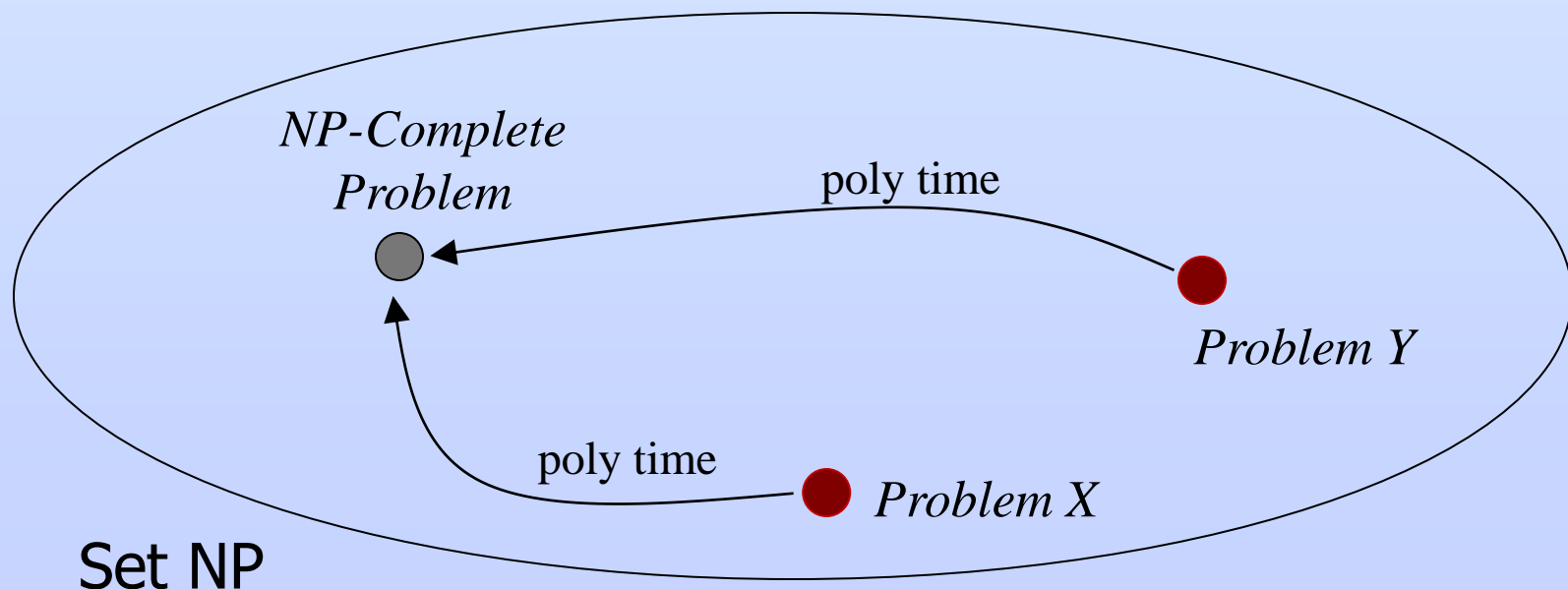
- Ex: TSP (Traveling Salesman Problem)
  - Instance: Given a finite set  $C = \{c_1, c_2, \dots, c_m\}$  of cities, a distance  $d(c_i, c_j)$  for each pair of cities, and in **integer bound, B** (positive)
  - Question: Is there a "tour" of all of the cities in C (i.e. a simple cycle containing all vertices) having length no more than B?
- In non-deterministic solution we "guess" a tour (ex: try the "best" choice at each step) and then verify that it is valid and has length  $\leq B$  or not within polynomial time
- In deterministic solution, we need to actually find this tour, requiring quite a lot of computation
  - No known algo in less than exponential time



## NP-Complete Problems

### ► So what are NP-Complete Problems?

- Naturally they are problems in set NP
- They are the "hardest" problems in NP to solve
  - All other problems in NP can be transformed into these problems in polynomial time



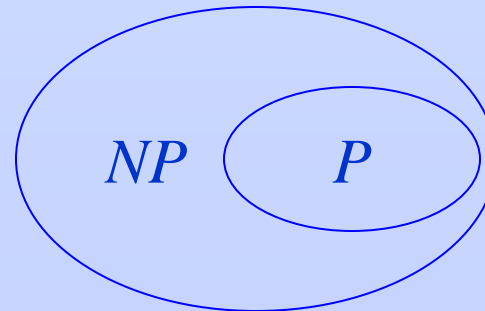
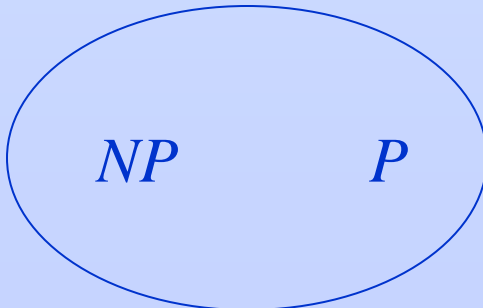
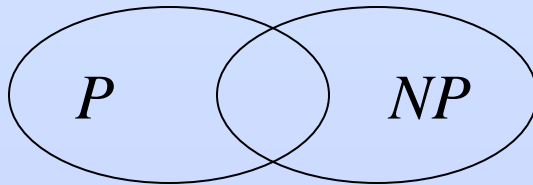
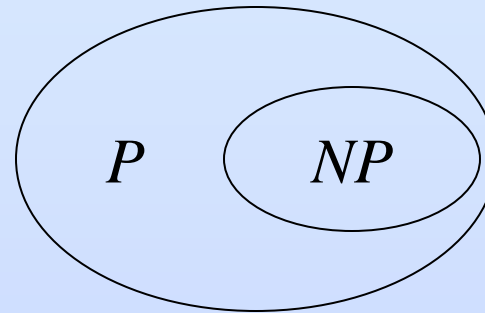
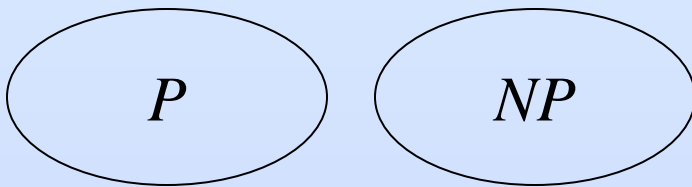
## NP-Complete Problems

- **If** any NP-complete problem can be solved in deterministic polynomial time, then all problems in NP can be
  - Since the transformation takes polynomial time, we would have
    - > A polynomial solution of the NP-Complete problem
    - > A polynomial transformation of any other NP problem into the NP-Complete problem
    - > Total time is still polynomial



## NP-Complete Problems

- Consider sets  $P$  and  $NP$ :
  - We have 5 possibilities for these sets:



## NP-Complete Problems

- ▶ 3 of these can be easily dismissed
  - We know that any problem that can be solved deterministically in polynomial time can certainly be solved non-deterministically in polynomial time
- ▶ Thus the only real possibilities are the two in blue:
  - $P \subset NP$ 
    - >  $P$  is a proper subset of  $NP$ , as there are some problems solvable in non-deterministic polynomial time that are NOT solvable in deterministic polynomial time
  - $P = NP$ 
    - > The two sets are equal – all problems solvable in non-deterministic polynomial time are solvable in deterministic polynomial time



## NP-Complete Problems

- ▶ Right now, we don't know which of these is the correct answer
  - We can show  $P \subset NP$  if we can prove an NP-Complete problem to be intractable
  - We can show  $P = NP$  if we can find a deterministic polynomial solution for an NP-Complete problem
- ▶ Most CS theorists believe the  $P \subset NP$ 
  - If not, it would invalidate a lot of what is currently used in practice
    - Ex: Some security tools that are secure due to computational infeasibility of breaking them may not actually be secure
- ▶ But prove it either way and you will be famous!

