

5112

Minimal  
Spanning  
Trees

Today

Finish EFT analysis (Interval Scheduling)

Minimal Spanning Trees

Does it produce a largest compatible subset?

Lemma: Let  $S$  be the output of EFT.  
Let  $T$  be any compatible subset.  
Order all jobs in  $S$  and  $T$  by EFT.  
 $\swarrow$   $\searrow$   
 $K_1, \dots, K_{|S|}$   $L_1, \dots, L_{|T|}$

Then  $f(K_i) \leq f(L_i)$  for all  $i \leq \min(|S|, |T|)$

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Then  $|S| \geq |T|$  for any  $\dots T$ .

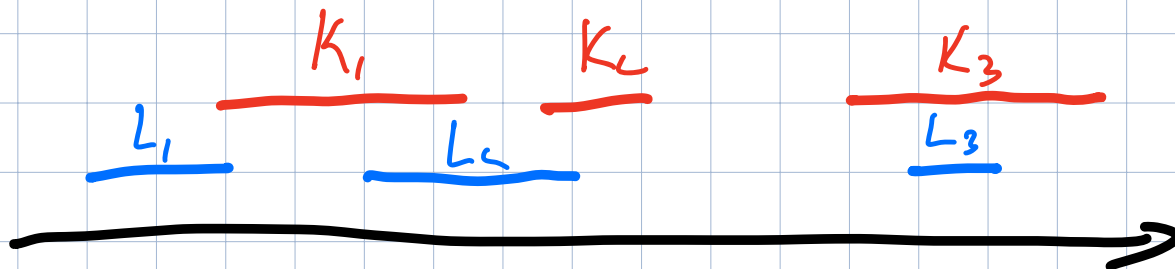
$\uparrow$  wts using the lemma.

Lemma: Let  $S$  be the output of EFT.  
 Let  $T$  be any compatible subset.  
 Order all jobs in  $S$  and  $T$  by EFT.  
 $\swarrow \quad \searrow$   
 $K_1, \dots, K_{|S|} \quad L_1, \dots, L_{|T|}$

Then  $f(K_i) \leq f(L_i)$  for all  $i \leq \min(|S|, |T|)$



$$f(L_1) \leq f(K_1) \xRightarrow{IS} f(L_2) \leq f(K_2) \xRightarrow{IS} f(L_3) \leq f(K_3)$$



Proof. By induction on  $i$ .

When  $i=1$ ,  $f(K_1) \leq f(L_1)$   
 by def. of EFT.

Now suppose  $f(K_{i-1}) \leq f(L_{i-1})$   
 then  $f(K_{i-1}) \leq f(L_{i-1}) \leq s(L_i)$ ,  
 so  $L_i$  is compatible with  $K_1, \dots, K_{i-1}$   
 $\Rightarrow f(K_i) \leq f(L_i)$ , by def.  
 of EFT.  $\square$

## Theorem

Let  $S$  be the output of EET, and  $M$  be any largest compatible subset. The  $|S| = |M|$

Proof. Write  $S = K_1, \dots, K_{|S|}$  and  $M = L_1, \dots, L_{|M|}$ . Suppose  $|S| < |M|$ .

By the lemma,  $f(K_{|S|}) \leq f(L_{|S|})$ . But then  
$$f(K_{|S|}) \leq f(L_{|S|}) \leq s(L_{|S|+1}).$$

But then  $L_{|S|+1}$  is compatible with  $S$ , a contradiction  
 $\square$

## Theorem

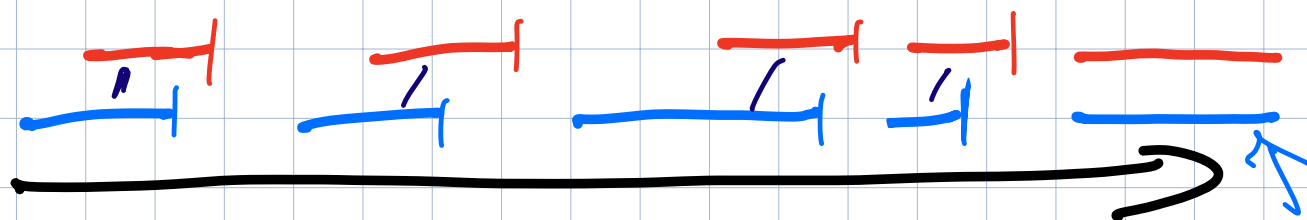
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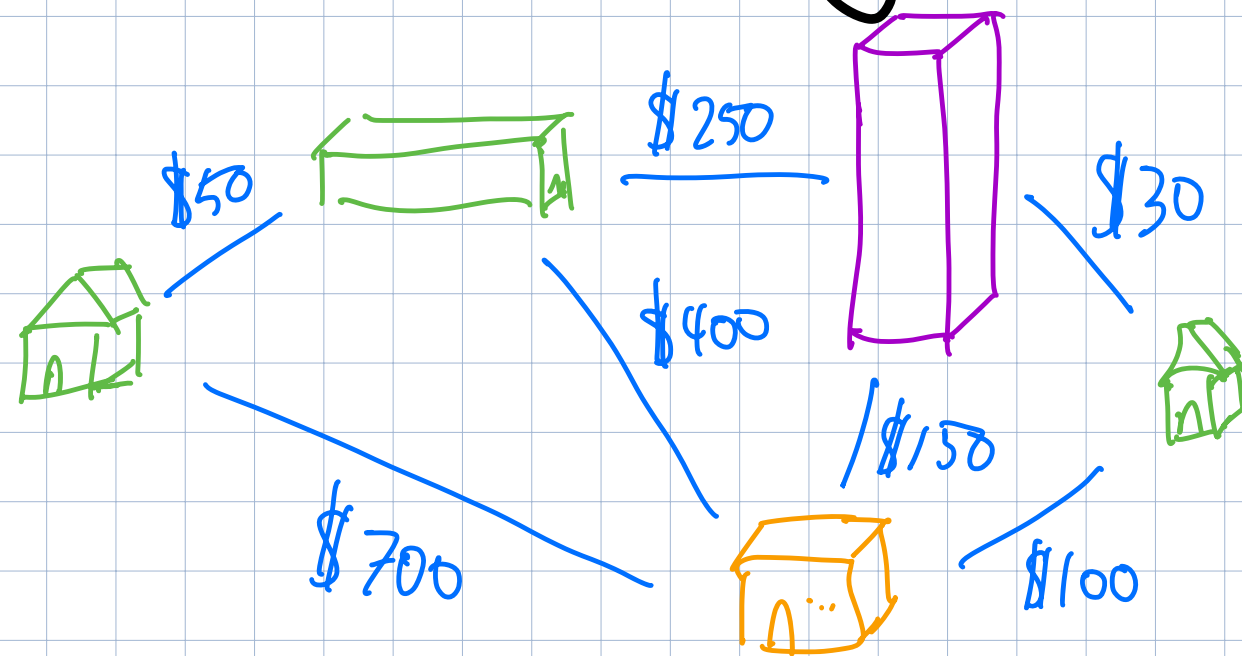
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$$f(K_{|S|}) \leq f(L_{|S|}) \leq s(L_{|S|+1}).$$

But then  $L_{|S|+1}$  is compatible with  $S$ , a contradiction  $\square$



# Minimum Spanning Tree



What is the minimum cost to connect all the buildings?

Weighted graph  $G$ .

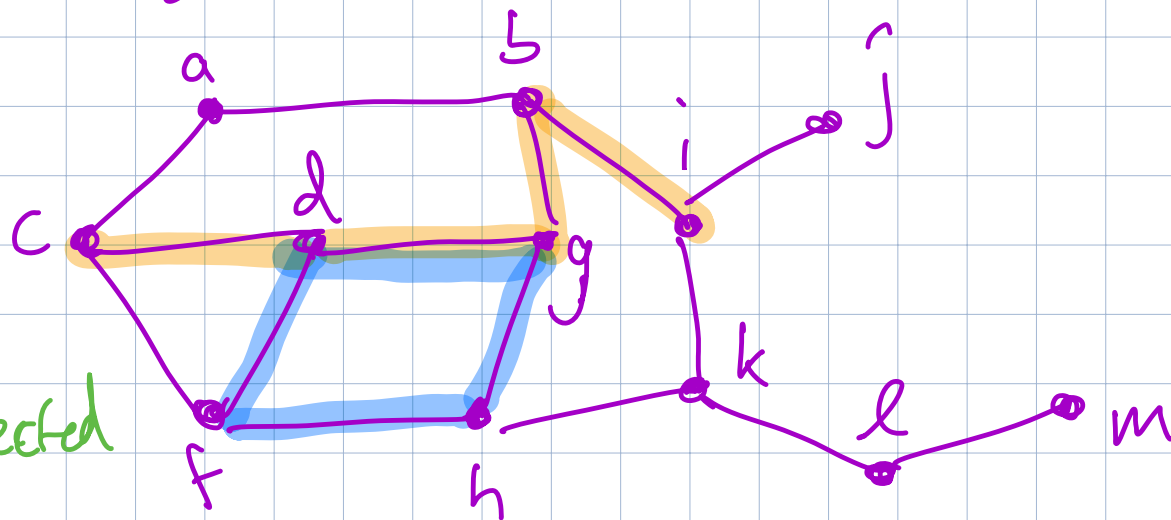
Finding the MST for  $G$ .

# Graphs

A graph  $G = (V, E)$  is a vertex set  $V$  together with an edge set  $E \subseteq V^2$ .

A cycle is a path from a vertex back to itself.

A tree is a connected graph w/o cycles.



$(g, h)$  is an edge  
 $(g, h) \in E$

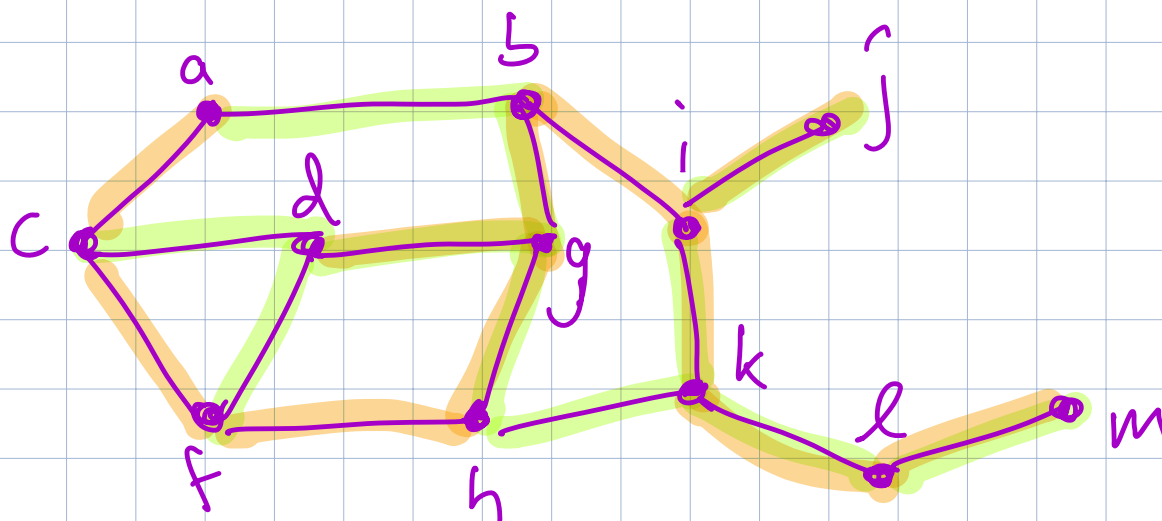
Two vertices are connected if there is a path between them.

E.g. c is connected to i.



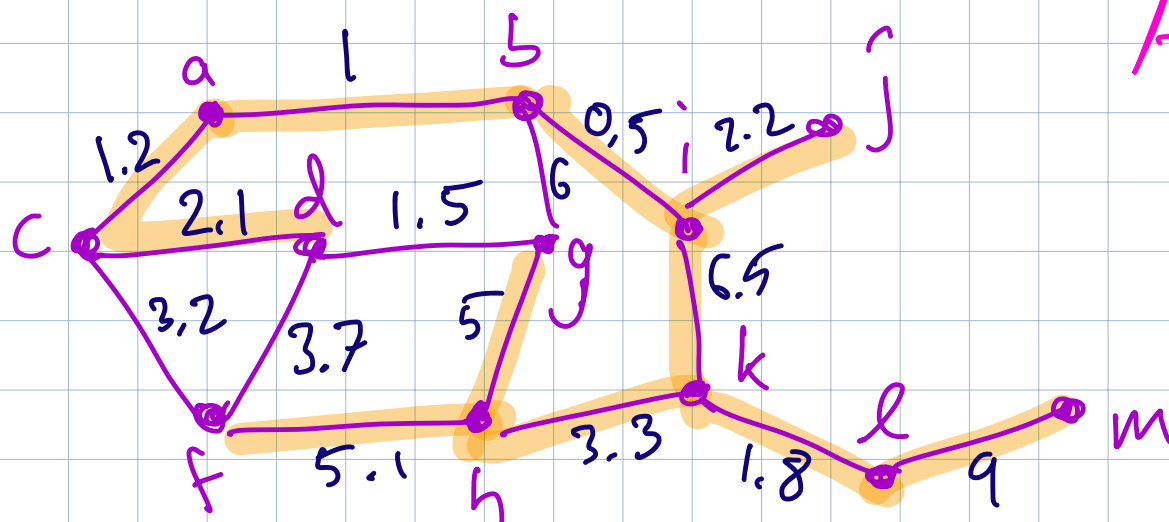
# Spanning Trees

Suppose we have a connected graph  $G$ .  
A spanning tree is a subgraph  $T$  which is a tree and contains all the vertices of  $G$ .



# Minimum Spanning Trees

Start with a weighted graph  $G$ . A MST is a spanning tree with minimum weight.



Assumption:  
Distinct edge weights.

# Greedy Algorithms for MST.

## Kruskal's Algorithm.

Start with no edges.

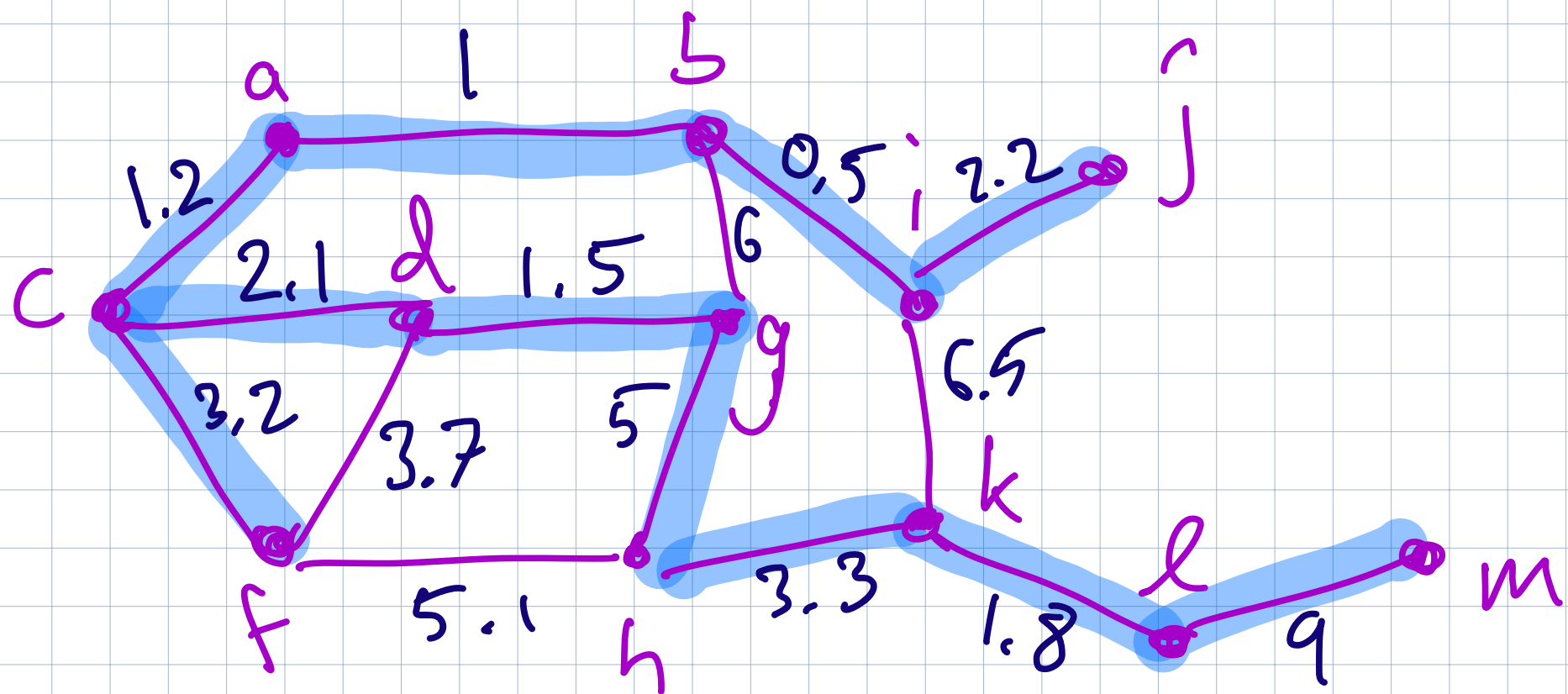
At each step add the lowest weight edge that doesn't create a cycle.

## Prim's Algorithm.

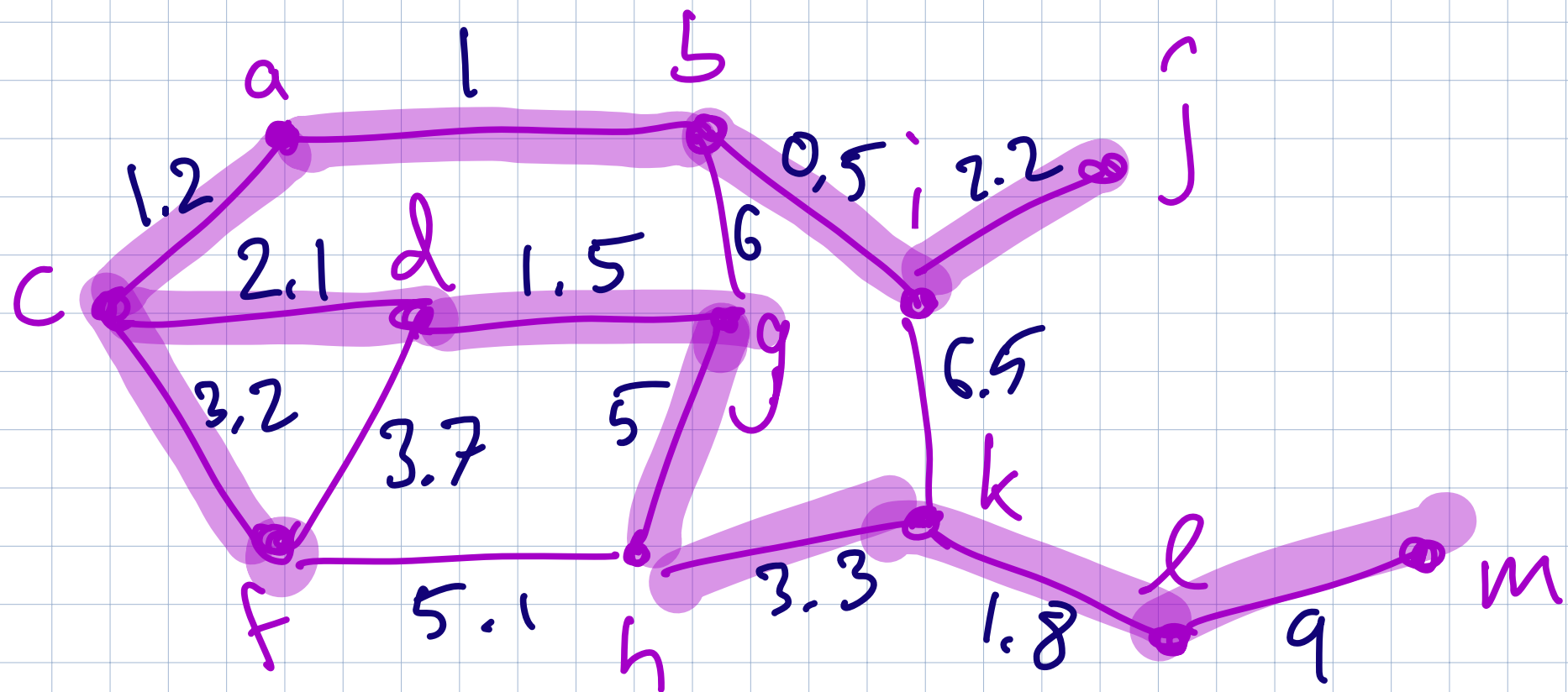
Start with an arbitrary root and no edges.

At each step, extend the tree by adding the lowest weight edge on the boundary.

# Example of Kruskal's Algorithm



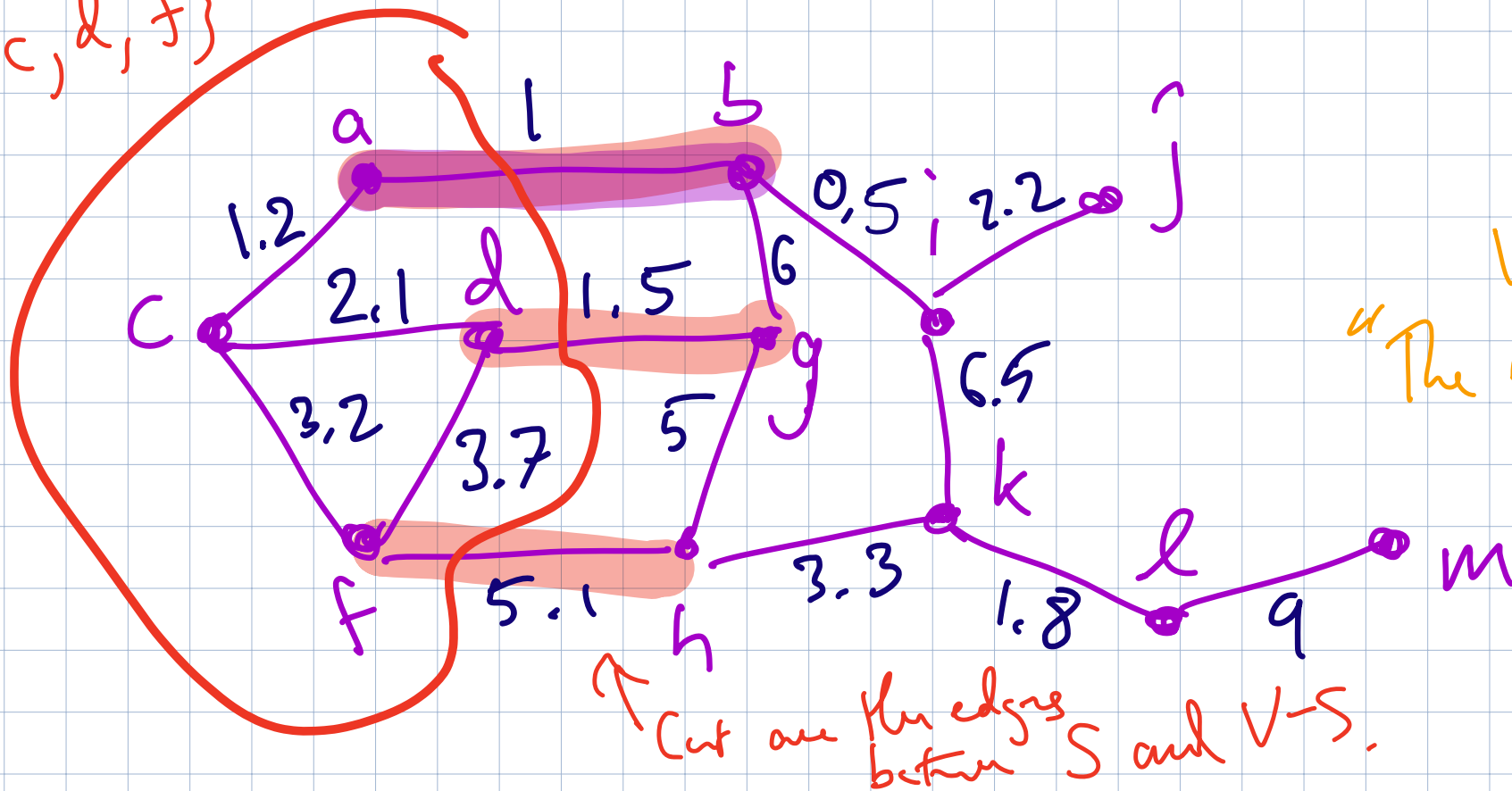
# Prim's Algorithm



# Why do Kruskal's and Prim's work?

Cut Lemma. For any  $S \subset V$ , every MST contains the lowest weight edge in the cut of  $S$ .

$S = \{a, c, d, f\}$



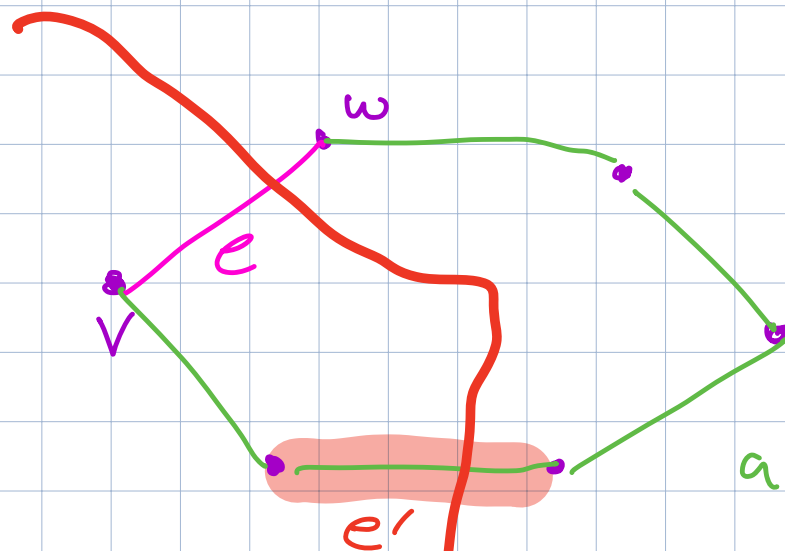
$V-S$   
"The rest of the graph"

↑ Cut across the edges between  $S$  and  $V-S$ .

Cut Lemma. For any  $S \subset V$ , every MST contains the lowest weight edge in the cut of  $S$ .

Proof. Let  $e$  be the lowest weight edge in the cut of  $S$ .  
Let  $T$  be any spanning tree.

Suppose  $T$  doesn't contain  $e$ , WTS is that  $T$  is not a MST.



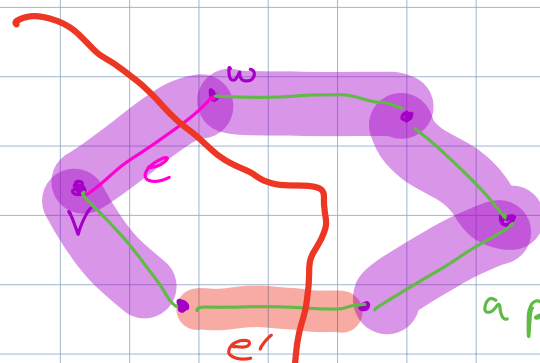
a path in  $T$  from  $v$  to  $w$ .

Idea is that we exchange  $e'$  for  $e$ .  $T' = T \setminus \{e'\} \cup \{e\}$

Cut Lemma. For any  $S \subset V$ , every MST contains the lowest weight edge in the cut of  $S$ .

Proof. Let  $e$  be the lowest weight edge in the cut of  $S$ .  
Let  $T$  be any spanning tree.

Suppose  $T$  doesn't contain  $e$ , WTS is that  $T$  is not a MST.



Then is that we exchange  $e'$  for  $e$ .  $T' = T \setminus \{e'\} \cup \{e\}$

$$w(T') = w(T) - w(e') + w(e) < w(T)$$

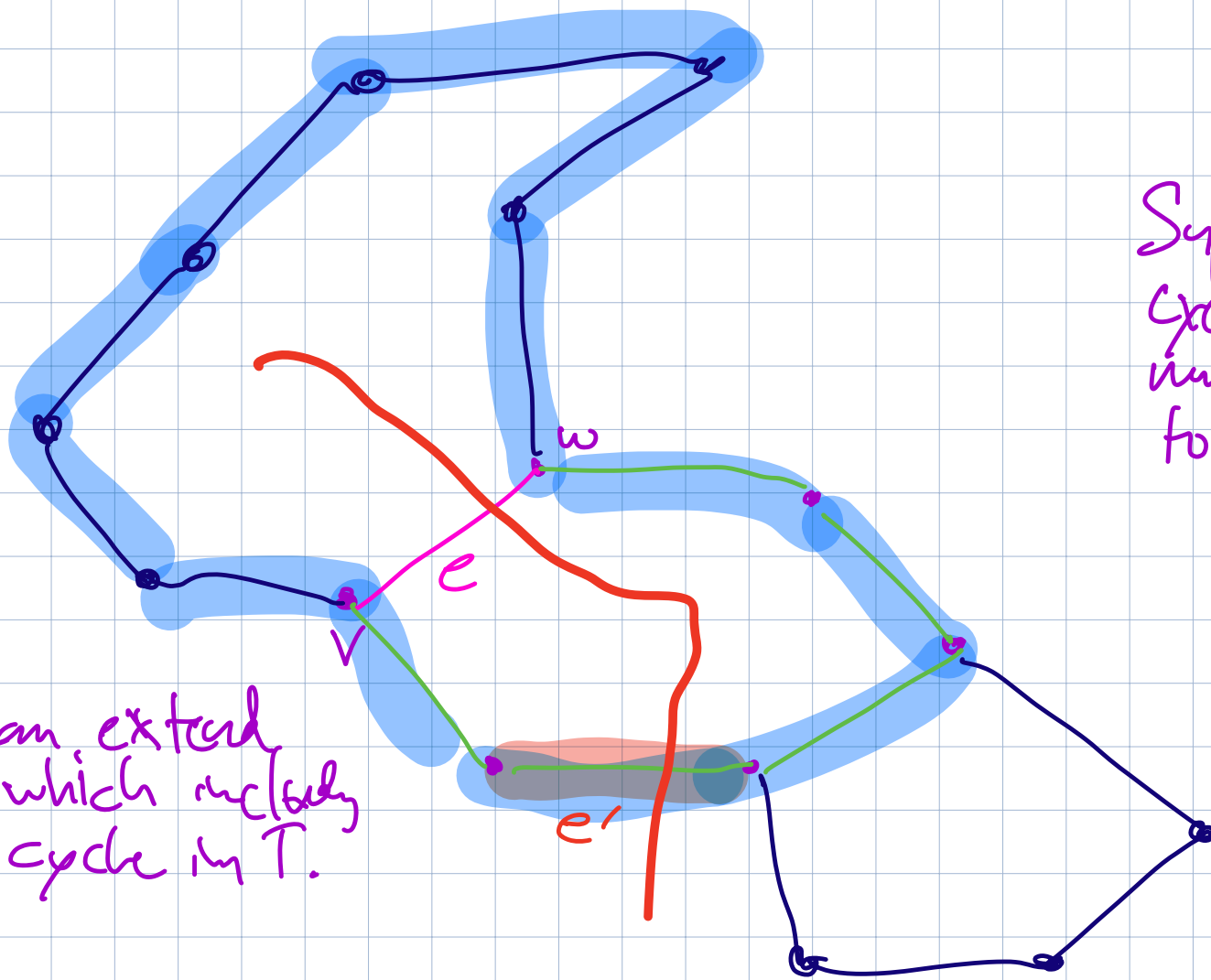
$\Rightarrow T'$  has lower weight than  $T$

Need to show  $T'$  is a tree.

Connected because we can replace  $e'$  in any path by the rest of the cycle

Suppose we have a cycle in  $T'$ . This cycle must include  $e$ . Can extend to a cycle in  $T$  using the same argument

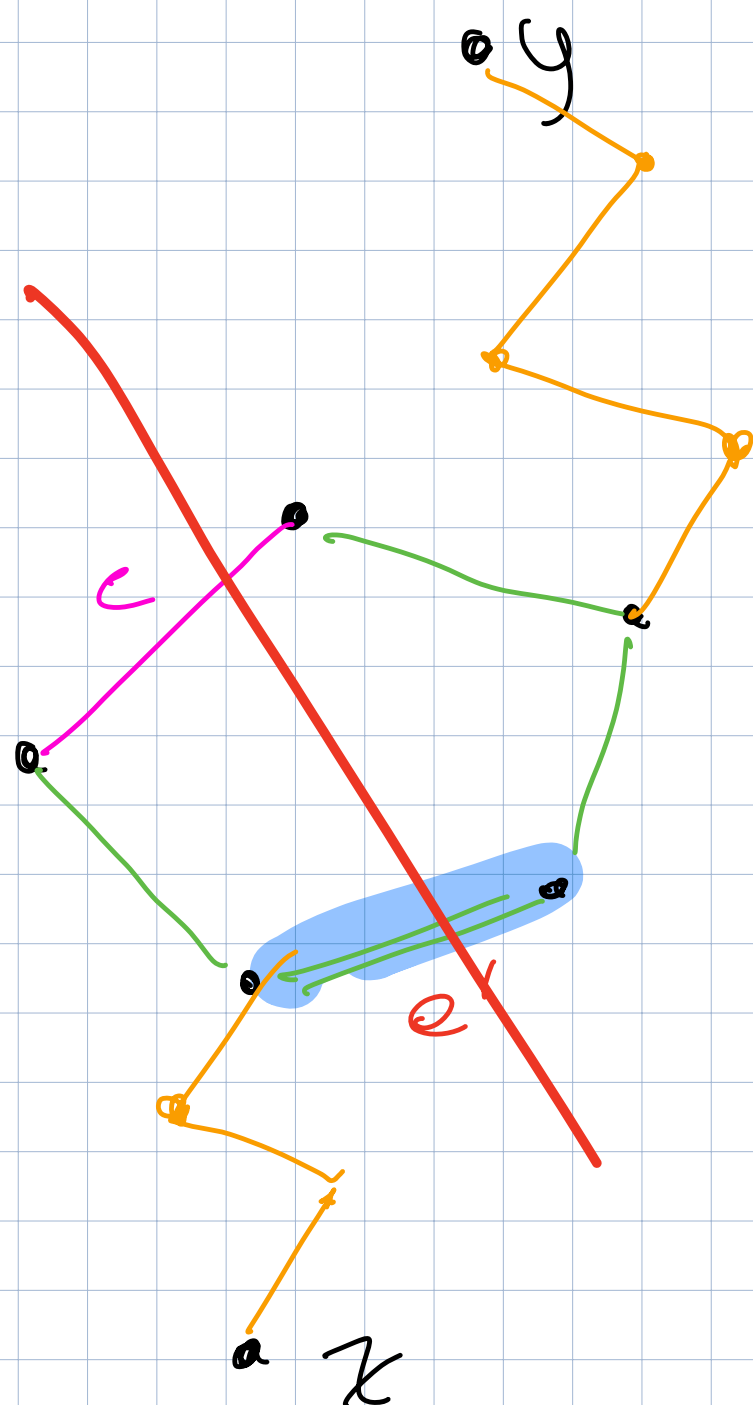




Suppose we have a cycle in  $T'$ . This cycle must include  $e$ . Can extend to a cycle in  $T$  using the same argument.

We can extend a cycle which includes  $e$  to a cycle in  $T$ .

Can't happen b/c  $T$  is a tree and all these edges are in  $T$ .



WFS  $T'$  is connected

$x, y \in V$

$\exists$  a path in  $T$  from  $x$  to  $y$