Account transfer between advisors in the same firm

Use this form to:
Transfer one or more accounts between advisors within the same firm. When completing this account transfer form you acknowledge that this request will also transfer associated client records to the new servicing advisor.

1 Firm name

2 Existing advisor details

Title

First name

Last name

3 New advisor details

Title

First name

Last name

4 Important information

- This form must be signed by either the existing advisor or an authorized signatory from the firm head office that the account/s are transferring from.
- If you are transferring accounts across to a number of advisors, complete one form per new advisor.

5 Authorizations

Signature of existing advisor or authorised firm representative

Full name

Date