

1 **Chlorophyll Variability in Hong Kong Coastal Waters: Spatial Coherencies and**
2 **Relations to Large-Scale Climate Variability**

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4 Emily C. Shaw^{1,3,*}, Albert J. Gabric¹, Arthur J. Miller² and G. Anand Tularam¹

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6 ¹ Griffith School of Environment, Griffith University, Nathan, Queensland 4111, Australia

7 ² Scripps Institution of Oceanography, UCSD, La Jolla, CA 92093-0224

8 ³ Present address: Climate Change Research Centre, The University of New South Wales,
9 Sydney, NSW 2052, Australia

10 *Corresponding author: Email: e.shaw@student.unsw.edu.au

11

12 **Abstract**

13

14 Discerning the influence of climatic variability on phytoplankton dynamics within coastal
15 ecosystems is complex due to anthropogenic influences that also impact phytoplankton
16 growth. We analyzed a 17-year time series of water quality monitoring data from 1988-2004
17 in the coastal waters of Hong Kong to determine if climatic variability was an important
18 process driving phytoplankton variability. The relationship between chlorophyll *a*
19 concentration (CHL) and three major climatic modes (El Niño-Southern Oscillation, Pacific
20 Decadal Oscillation and North Pacific Gyre Oscillation (NPGO)) was examined using
21 empirical orthogonal function and correlation analyses. CHL variability was dominated by an
22 in-phase pattern across the whole study area suggesting that a large-scale forcing, such as
23 climate, that acts on the entire area may be driving CHL variability. CHL was strongly
24 correlated with the NPGO index (NPGOI), however a much longer time series will be

25 required to unequivocally determine if the NPGO is driving phytoplankton dynamics in Hong
26 Kong coastal waters. We suggest that the negative relationship between wind speed and
27 NPGOI may be a mechanism driving the positive relationship between the NPGOI and CHL.
28 Our findings show that climatic variability can be an important factor in determining
29 phytoplankton dynamics in coastal waters, even where they have been strongly influenced by
30 anthropogenic factors, such as cultural eutrophication.

31

32 **1. Introduction**

33

34 Phytoplankton biomass and the frequency of harmful algal blooms increased markedly in the
35 coastal waters of Hong Kong during the 1970s and 1980s [EPD, 2006; *Hodgkiss and Ho*,
36 1997]. These changes have been attributed to increased nutrient loads and changes to
37 nutrient ratios that occurred during this period [EPD, 2006; *Hodgkiss and Ho*, 1997]. In
38 response to declining water quality, the Hong Kong Environmental Protection Department
39 (EPD) implemented a comprehensive water quality monitoring program in 1986. One of the
40 aims of this program was to determine the relationship between nutrients and phytoplankton
41 abundance [EPD, 2006]. There has been a large amount of research focused on the link
42 between phytoplankton and nutrients in Hong Kong [e.g. *Hodgkiss and Lu*, 2004; *Yin et al.*,
43 2000; *Yin et al.*, 2001]. Little is known, however, on whether climatic variability also affects
44 local phytoplankton dynamics.

45

46 An understanding of the relative importance of climatic variability versus anthropogenic
47 influence in coastal ecosystems is critical for the future management of coastal water quality.
48 Although the impacts of cultural eutrophication have been recognized for some time [*Nixon*,

49 1995], the role of climate variability in shaping coastal marine ecosystems is relatively poorly
50 understood. An example of a climate change-induced shift in biological communities was
51 reported by Cloern et al. [2007] for San Francisco Bay, where climate-driven variability in
52 the annual recruitment and immigration of predators to the Bay induced increasing
53 phytoplankton biomass and the occurrences of new seasonal blooms, despite concurrent
54 efforts to control the input of nutrients to the Bay.

55

56 Phytoplankton biomass is determined by temperature and the availability of light and
57 nutrients, all of which are largely controlled by physical processes in oceanic ecosystems
58 [Behrenfeld et al., 2006]. These physical processes, such as stratification and upwelling, are
59 controlled by climatic variations in the ocean-atmosphere system [Miller et al., 2004].
60 Climate variability affects phytoplankton dynamics at seasonal time scales, but lower
61 frequency climate forcings also operate on interannual to decadal time scales. These low-
62 frequency forcings include, *inter alia*, El Niño-Southern Oscillation (ENSO) and Pacific
63 decadal variability. Behrenfeld et al. [2006] found global-scale trends in oceanic primary
64 productivity over the past decade are tightly coupled to climatic variability.

65

66 However, our understanding of how large-scale climatic variability affects coastal
67 ecosystems and how phytoplankton fluctuations are related to variability in physical forcings
68 is much less clear. Kim et al. [2009] find no strong relation between climate forcing and
69 phytoplankton blooms in the coastal region of the Southern California Bight, while McPhee-
70 Shaw [2011] suggest that surface gravity-wave processes can drive some coastal blooms off
71 the northern California coast. Many coastal ecosystems are already heavily impacted by
72 stressors such as increased nutrient loading and loss of water clarity due to catchment runoff

73 [Gabric and Bell, 1993], which can mask effects due to climate variability. Although nutrient
74 concentrations in some coastal waters have declined substantially in recent decades, after
75 improved wastewater treatment and reduction of agricultural runoff [Smetacek and Cloern,
76 2008], coherent phytoplankton responses were not apparent, either as synchronous reductions
77 in biomass or shifts to communities characteristic of low-nutrient habitats. Thus, it appears
78 that physicochemical environmental factors, such as temperature, light, and nutrients, which
79 are climate-related, set the upper limits to biomass, but cannot explain why different
80 phytoplankton groups replace each other or why their annual maxima occur when and where
81 they do [Smetacek and Cloern, 2008].

82

83 In the Hong Kong region, ENSO variability leads to changes in temperature and rainfall
84 patterns and can influence the monsoon [Leung and Wu, 2004]. El Niño onset years and those
85 immediately following are generally warmer than average and have high rainfall, whereas the
86 effects of La Niña are relatively less pronounced [Chang, 1999]. Therefore El Niño years
87 may be expected to have higher phytoplankton biomass due to increased water column
88 temperatures and higher nutrient loads from increased runoff. It has also been suggested by
89 Yin et al [2000] that dilution by freshwater outflow was a controlling factor in determining
90 the distribution of nutrients and phytoplankton biomass in the Pearl River estuary due to high
91 discharge during June and July of 1998, a strong El Nino year.

92

93 On decadal time scales, the North Pacific is characterized by the Pacific Decadal Oscillation
94 (PDO) [Mantua and Hare, 2002] and the recently identified North Pacific Gyre Oscillation
95 (NPGO) [Di Lorenzo et al., 2008]. The NPGO drives low frequency fluctuations of upper
96 ocean salinity and nutrients observed in long-term records of the Northeast Pacific. The PDO

97 includes low-frequency components driven by ENSO and is associated with similar, but less
98 extreme, climatic variability [*Mantua and Hare*, 2002]. The PDO is often described as being
99 in a warm or cool phase, with warm phases of the PDO associated with dry conditions in
100 Hong Kong and anomalously cool air temperatures throughout eastern China [*Chan and*
101 *Zhou*, 2005; *Leung and Wu*, 2005]. The influence of the PDO on regional temperature and
102 rainfall may provide an indirect mechanism affecting phytoplankton dynamics in Hong Kong
103 waters.

104

105 The NPGO reflects changes in strength of the central and eastern branches of the subtropical
106 gyre and is driven by wind anomalies consistent with the North Pacific Oscillation (NPO),
107 which is the second dominant mode of sea level pressure (SLP) variability [*Di Lorenzo et al.*,
108 2008]. Indeed, in the eastern Pacific, interannual and decadal variations in salinity, surface
109 chlorophyll *a* concentration (CHL) and nutrient concentration that cannot be explained by the
110 PDO have been found to be associated with the NPGO. Fluctuations in the NPGO reflect
111 changes in the north Pacific gyre circulation and can affect marine ecosystems through
112 changes in nutrient upwelling and primary productivity [*Di Lorenzo et al.*, 2008]. Similarly,
113 the NPGO has been shown to affect parts of the western boundary of the Pacific Ocean, but
114 with a time lag of 2-3 years [*Ceballos et al.*, 2009]. Therefore the coastal waters of Hong
115 Kong may experience changes to nutrient and phytoplankton dynamics that result from
116 changes in upwelling driven by the NPGO.

117

118 In this study, we focus on the CHL variability observed in the Hong Kong coastal ocean,
119 which has been monitored for 17 years during 1988-2004. We first characterize the spatial
120 coherences to determine if there are broad-scale patterns of variability in this region. We then

121 attempt to link these regional patterns to basin-scale climate fluctuations and regional-scale
122 meteorological variations. This study is a first step towards investigating the effects of
123 climatic variability, such as described by ENSO, PDO and NPGO indices, on
124 physicochemical parameters and phytoplankton dynamics in Hong Kong coastal waters over
125 interannual and decadal timescales.

126

127 **2. Data and Methods**

128

129 **2.1. Study Area**

130

131 The hydrological characteristics of Hong Kong coastal waters (Figure 1) are important in
132 influencing the biological processes and habitat types. Hong Kong is located on the southern
133 coast of China and opens to the northern South China Sea. Its coastal waters are influenced
134 by four main water masses: the Pearl River estuarine plume, South China Sea water, the
135 China Coastal Current and the Kuroshio Current [Yin *et al.*, 1999]. The relative impact of
136 these water masses on the coastal waters of Hong Kong is driven by the East Asian Monsoon
137 [Hu *et al.*, 2000]. Due to the monsoonal influence, the winter climate is cool, dry and
138 dominated by north-easterly winds, whereas summer conditions are warm and wet with
139 weaker south-westerly winds prevailing [Hu *et al.*, 2000]. As a result, oceanographic
140 processes often have a marked seasonal variability.

141

142 During the summer wet season, the Pearl River discharge has a dominant influence on Hong
143 Kong coastal waters. In terms of discharge volume, the Pearl River is the second largest river
144 in China, with a discharge volume of $350 \times 10^9 \text{ m}^3 \text{ yr}^{-1}$, where 80% of the discharge occurs in

145 the wet season (April-September) and only 20% during the dry season (October-March)
146 [Jilan, 2004; Zhang, 2000]. The Pearl River estuarine plume flows out the western side of the
147 estuary, to the west of Hong Kong. It then moves east, driven by the southwest monsoon,
148 where it may influence the entire Hong Kong coastal zone, especially the western and
149 southern regions [K D Yin *et al.*, 2001].

150

151 **2.2. Data sets**

152

153 **2.2.1. Water quality monitoring data**

154

155 This study used water quality data collected by the Hong Kong EPD at 75 sites (Figure 1), at
156 monthly or bimonthly intervals over a 17-year period from 1988-2004. The sites were located
157 within ten defined water quality monitoring zones, namely Deep Bay, Eastern Buffer, Junk
158 Bay, Mirs Bay, North Western, Port Shelter, Southern, Tolo Harbour, Victoria Harbour and
159 Western Buffer. Sampling was conducted aboard the research vessel the *Dr. Catherine Lam*
160 using a rosette water sampler with a linked conductivity-temperature-depth profiler [EPD,
161 2006]. Physicochemical and biological analyses were conducted at the EPD laboratories or
162 the Government Laboratory in Hong Kong.

163

164 **2.2.2. Climatic indices**

165

166 Climatic indices were used to describe each of the three modes of climatic variability
167 examined in this study. The PDO and NPGO were described by the PDO Index (PDOI) and
168 the NPGO Index (NPGOI), respectively. The PDOI is defined as the first empirical

169 orthogonal function (EOF) of sea surface temperature (SST) variability north of 20°N in the
170 north Pacific [Mantua *et al.*, 1997] and monthly values of this index were obtained from the
171 Joint Institute for the Study of the Atmosphere and Ocean (<http://jisao.washington.edu/pdo/>).
172 Positive values of the PDOI indicate that the PDO is in the warm phase, whereas negative
173 values indicate that PDO is in the cool phase. The NPGO is defined as the second dominant
174 mode of sea surface height (SSH) anomaly variability in the Northeast Pacific (180°–110°W;
175 25°N–62°N), which very closely tracks the second mode of North Pacific SST anomalies,
176 and monthly index values were obtained from Di Lorenzo *et al.* [2008]. ENSO was described
177 using the Multivariate ENSO Index (MEI). The MEI is a weighted average of SLP, surface
178 wind direction, SST, surface air temperature and cloudiness. Monthly MEI values were
179 obtained from the National Oceanic and Atmospheric Administration (NOAA,
180 <http://www.cdc.noaa.gov/ENSO/>).

181

182 **2.3. Data analysis**

183

184 The data analysis proceeded in two stages, with analysis of spatio-temporal variability across
185 the whole region done first using EOF analysis, followed by a more detailed examination of a
186 smaller subset of sites selected based on the coherencies uncovered by the EOF study. In the
187 first stage we examined the leading patterns or modes of spatial and temporal variability in
188 CHL anomalies, for the seasonally adjusted data, over the Hong Kong region. The EOF
189 method decomposes the time-series data into an independent set of spatial modes (EOFs) and
190 temporal fluctuations described by the principal components (PCs). The first mode explains
191 the highest amount variance within the data, with each subsequent mode explaining less
192 variance. The EOF analysis was carried out using only those sampling sites (37 of the 75

193 sites) that included complete monthly CHL data (204 months) for the period 1988-2004. The
194 leading PCs were then correlated with the climate indices that described each of the potential
195 climatic forcings and the spectral characteristics of the leading EOF and climate index time
196 series were compared.

197

198 In the second stage of analysis, a subset of twelve water quality monitoring sites
199 representative of the different water quality zones was selected for more detailed scrutiny.
200 The chosen sites (DM2, NM1, NM5, SM1, SM12, WM1, VM12, JM4, EM3, PM1, TM4 and
201 MM17) span a range of hydrological characteristics and incorporated at least one station from
202 each water quality monitoring zone (Figure 1). For each of the 12 selected water quality sites,
203 each climatic index was correlated with CHL concentration, temperature, salinity, turbidity,
204 total phosphorus (TP) and total nitrogen (TN) using bivariate Pearson correlation analyses.
205 CHL concentration and turbidity were transformed using a $\log_{10}(x+1)$ transformation and TN
206 and TP were transformed using a fourth-root transformation to normalize the data. Because
207 the climatic indices did not display a seasonal component, the water quality parameters were
208 transformed using a 12-point moving average (12MA) smoother, to remove the seasonal
209 component of the time series, so that only the low-frequency component was analyzed. By
210 removing the seasonal component of the time series, the influence of the climatic indices on
211 interannual through interdecadal variability in the water quality parameters could be
212 examined.

213

214 Each climatic index was also correlated with meteorological data obtained from the Hong
215 Kong Observatory (<http://www.hko.hk/>). The meteorological data analyzed were wind speed,
216 rainfall, mean temperature and SLP. Each parameter was measured daily and averaged over a

217 period of one month, except for rainfall, which was presented as the total monthly amount.
218 The meteorological parameters were also transformed using a 12MA smoother to remove
219 seasonality.

220

221 **3. Results**

222

223 **3.1. EOF analysis**

224

225 The three largest PCs explain over 50% of the variability and serve to isolate dominant
226 space/time features of the interannual variability (Table 1). The spatial patterns associated
227 with these main modes of variability are shown in Figure 2. The spatial variability in the
228 leading mode (EOF1, 28%) displays a surprisingly uniform pattern across the study region.
229 This suggests that CHL variability is determined by a forcing which is independent of
230 location and thus possibly exogenous to the system. The second mode (EOF2, 17%) exhibits
231 oppositely signed loadings between Tolo Harbour and Victoria Harbour. This suggests that
232 regional phytoplankton controls may differ between these locations. For example, Tolo
233 Harbour is weakly flushed, whereas phytoplankton biomass in Victoria Harbour is limited by
234 strong flushing and mixing [Thompson and Ho, 1981; K Yin and Harrison, 2007]. EOF3
235 (12%) has opposite signed loadings between Deep Bay and the eastern side of Hong Kong,
236 again suggesting a spatial variation in local forcings, such as the seasonal Pearl River
237 discharge, which strongly affects Deep Bay, but has limited impact on the eastern waters
238 [Yin, 2002]. Further analysis on these regional differences is needed, but is beyond the scope
239 of this study.

240

241 Relationships between climate indices and regional CHL response were tested by computing
242 the temporal correlation of each of the leading EOFs with the three climate indices (Table 2).
243 The leading mode of CHL variability (PC1) is significantly positively correlated with the
244 NPGOI and significantly negatively correlated with both the PDOI and MEI, where the
245 strongest correlation exists with the NPGOI (Figure 3). Spectral analysis revealed that the
246 NPGO and PC1 time series had very similar characteristics, with both power spectra having
247 maxima at frequencies of 17 years and smaller peaks at 8.5 and 5.7 years.

248

249 **3.2. Correlations between climate indices and physicochemical and meteorological**
250 **parameters**

251

252 **3.2.1. Pacific Decadal Oscillation**

253

254 When the subset of stations was analyzed, the PDOI was significantly negatively correlated
255 with CHL concentration in each of the stations except SM12 (Supplementary material).
256 However, the correlation coefficient was small in most stations, the largest value of
257 magnitude being -0.37, indicating that there is only a weak relationship between these
258 variables (Supplementary material). There was also a significant negative correlation between
259 the monthly PDOI values and the mean monthly air temperature of Hong Kong (Table 3).
260 However, the correlation between PDOI and water temperature at the monitoring stations was
261 not significant. Rainfall was positively correlated with the PDOI, as was TN in most stations.
262 The PDOI was negatively correlated with turbidity and positively correlated with TP in some
263 stations (Supplementary material).

264

265 **3.2.2. North Pacific Gyre Oscillation**

266

267 There was a significant positive correlation between the NPGOI and CHL in all stations. The
268 strength of the correlation varied between the stations (Figure 4), where the lowest
269 correlation coefficient was 0.28 at site TM4 and the highest was 0.74 at VM12, in which
270 there was a strong correlation. In a number of stations, particularly NM1, NM5, SM1, VM12
271 and WM1, the low-frequency variation in CHL closely matched the variation in the NPGOI
272 (Figure 4). The bulk of the correlation is associated with a single large-amplitude, sinusoidal
273 oscillation in both the NPGOI and the CHL time series during this time period. Higher
274 frequency variations in these variables did not appear to be correlated.

275

276 The NPGOI was also correlated with a number of other water quality and meteorological
277 parameters. There were significant positive correlations between NPGOI and turbidity at all
278 sites. This correlation was generally strong, with correlation coefficients exceeding 0.6 at
279 most stations (Supplementary material). A significant negative correlation between the
280 NPGOI and TP also existed at all of the monitoring stations, with the correlation coefficients
281 lower than -0.6 (i.e. has an absolute value exceeding 0.6) in most stations (Supplementary
282 material). When the NPGOI was correlated with the meteorological data, a significant
283 negative correlation existed between the NPGOI and both wind speed (correlation coefficient
284 = -0.55) and SLP (correlation coefficient = -0.56) (Table 3). A weaker significant positive
285 correlation (correlation coefficient = 0.34) also existed between the NPGOI and air
286 temperature, and there was a positive correlation between water temperature and NPGOI in
287 some stations. In a majority of stations there was a negative correlation between TN and
288 NPGOI.

289

290 **3.2.3. El Niño-Southern Oscillation**

291

292 CHL concentration was significantly negatively correlated with MEI at all stations except
293 SM12, such that CHL concentrations are highest during La Niña conditions and lowest
294 during El Niño conditions. The strength of the correlations between these indices and CHL
295 was lower than the strength of the correlations between CHL and the NPGOI (Supplementary
296 material). The coefficients of correlation for significant correlations between CHL and MEI
297 ranged from -0.29 (at JM4) to -0.45 (at DM2) (Supplementary material).

298

299 Similarly, significant positive correlations were found between MEI and both rainfall and
300 SLP (Table 3), indicating that rainfall and SLP are highest during El Niño conditions and
301 lowest in La Niña conditions. Turbidity and salinity were negatively correlated with the MEI
302 at a number of the study sites (Supplementary material). Conversely, TN was significantly
303 positively correlated with the MEI at all sites except DM2 (Supplementary material). TP was
304 also positively correlated with MEI in a number of sites (Supplementary material).

305

306 **4. Discussion**

307

308 Patterns of CHL variability in the Hong Kong region exhibit regional-scale coherencies. The
309 first mode, in particular, describes a coherent uni-signed pattern of regional-scale response.
310 The temporal correlation between this leading mode of CHL variability (PC1) and NPGOI is
311 strong over the time period and suggests that the regional impact of this climate forcing may
312 be shaping the interdecadal variability in CHL in Hong Kong waters. The uniformity of this

313 pattern across the study area, along with the significant correlations at all of the subset of
314 study sites, is indicative that a large-scale forcing, such as climatic variability, is driving this
315 part of the response. Conversely, changes in nutrient loads, for example, have had different
316 temporal patterns at each of the study zones, due to changes in catchment land management
317 and sewage treatment processes and discharge locations. For example, 70% of Hong Kong's
318 sewage was discharged into Victoria Harbour, receiving only preliminary treatment, until
319 2001 when the Harbour Area Treatment Scheme was introduced and chemically-enhanced
320 treatment commenced and the sewage discharge location changed [EPD, 2006; *K Yin and*
321 *Harrison, 2007*]. Whereas the nutrient loads in Tolo Harbour have been decreasing since
322 1987 with the implementation of the Tolo Harbour Action Plan, which resulted in a decrease
323 in total nitrogen load from approximately 6000 kg total N d⁻¹ to <1000 kg total N d⁻¹ from
324 1987-2000 [EPD, 2006; *K Yin and Harrison, 2007*].

325

326

327 The EOF analysis results are consistent with the finding that CHL at individual stations were
328 significantly correlated with each of the climatic indices examined. This indicates that
329 variability in climatic forcings on interannual to decadal time scales may influence
330 phytoplankton dynamics in Hong Kong coastal waters. This is a surprising result, as the
331 relative influence of climatic variability on phytoplankton dynamics in Hong Kong coastal
332 waters was previously thought to be low, since phytoplankton populations were assumed to
333 be predominantly influenced by other local factors, especially cultural eutrophication.
334 Although the PDO and ENSO were found to describe only limited variability in
335 phytoplankton standing stock trends, the low-frequency component of the NPGO was

336 strongly correlated with CHL at a number of sites. The higher EOF modes exhibited regional
337 signatures that will require further analysis to be properly linked with climatic processes.

338

339 **4.1. North Pacific Gyre Oscillation**

340

341 The correlation between CHL and the NPGOI was highly significant in all stations, but was
342 dominated by a single Fourier harmonic with an approximately 17-year time scale during this
343 period. In eight of the twelve sampling stations the correlation coefficients were above 0.5
344 and as high as 0.74, indicating that a large amount of the interannual variation in
345 phytoplankton biomass can be explained by variations in the NPGO. There were also strong
346 positive correlations between the NPGOI and turbidity, and this may be because high levels
347 of phytoplankton are associated with an increase in water column turbidity.

348

349 There was a strong negative correlation of (-0.55) between NPGOI and local wind speed
350 over this time period. Wind-driven vertical mixing and temperature have been suggested as
351 controls on phytoplankton growth in Hong Kong waters during winter, even though nutrient
352 levels are relatively low at this time of year [Ho *et al.*, 2008]. This is because in a number of
353 zones in Hong Kong waters, the input of NH₄ and PO₄ from sewage discharge is sufficient to
354 support phytoplankton growth [Ho *et al.*, 2008]. Wind speeds associated with the seasonal
355 monsoon are higher in winter and spring than in summer and autumn and these high wind
356 speeds are believed to be responsible for the relatively low CHL, which persist into spring
357 despite an increase in water temperature [Ho *et al.*, 2008]. Turbulence and vertical mixing
358 caused by high wind speed may have also increased the surface TP and be responsible for the
359 observed negative correlation between TP and NPGOI.

360

361 Based on the results presented here, it is hypothesised that the dominant mechanism through
362 which the NPGO may influence interannual variability in phytoplankton dynamics in Hong
363 Kong coastal waters is by variability in wind speed. Indeed this may also explain why the
364 strength of the correlation between NPGOI and CHL varied spatially throughout the study
365 area. Station VM12 in Victoria Harbour had the strongest correlation between NPGOI and
366 CHL. Previous studies of Victoria Harbour have shown that phytoplankton biomass is lower
367 than expected from the ambient nutrient concentrations and this is believed to be due to
368 strong vertical mixing from wind and tidal forces [Yin and Harrison, 2007]. Therefore, if
369 phytoplankton growth is constrained by wind induced mixing in Victoria Harbour, this may
370 explain why the correlation between NPGOI and CHL is the highest at VM12. Conversely,
371 the correlations between the NPGOI and CHL were comparatively weak in the stations
372 within Mirs Bay and Deep Bay. This may be because the relative influence of wind speed is
373 less important in these stations, where phytoplankton may be limited by low nutrient
374 concentrations in Mirs Bay and by dilution or light availability in Deep Bay [Yin, 2002; Yin et
375 al., 2004]. It should be noted that although variation in wind speed is believed to be the
376 dominant mechanism driving the relationship between the NPGO and phytoplankton
377 dynamics, other factors, such as the positive relationship between NPGOI and temperature,
378 might also have an influence.

379

380 Studies on the ecosystem effects of the NPGO in the eastern Pacific show that there is a
381 strong positive correlation between the NPGOI and both nutrients and CHL in the California
382 Current System [Di Lorenzo et al., 2008]. This occurs because the wind-driven upwelling,
383 associated with the NPGO, is the dominant process controlling nutrient concentrations, which

384 in turn control phytoplankton dynamics [Di Lorenzo *et al.*, 2008]. The NPGO has similarly
385 been found to influence the ocean dynamics in the western Pacific through Rossby wave
386 propagation, but the observed correlations are lagged by approximately three years [Ceballos
387 *et al.*, 2009]. However, the results presented here show strong positive correlations between
388 the NPGO and CHL with no strong time lag. Therefore, with the limited time series of 17
389 years, which here only shows a single oscillation in the NPGO, we cannot conclude that the
390 strong correlation between NPGOI and CHL implies that NPGO is causing the observed
391 CHL pattern.

392

393 **4.2. Pacific Decadal Oscillation and El Niño-Southern Oscillation**

394

395 CHL was negatively correlated with the PDOI, indicating that phytoplankton biomass tends
396 to be higher when the PDO is in the cool phase than in the warm phase. The trend in CHL
397 concentration was also negatively correlated with the MEI, indicating that phytoplankton
398 biomass is generally higher in La Niña conditions and lower in El Niño conditions. The
399 physicochemical conditions associated with El Niño (La Niña) and PDO warm (cool) phase
400 also tend to be similar. This is in agreement with findings that ENSO and PDO are not
401 independent and that they are in phase during El Niño (La Niña) and PDO warm (cool) phase
402 [Chan and Zhou, 2005].

403

404 There were significant positive correlations between rainfall and both the PDOI and MEI,
405 indicating that rainfall is highest during PDO warm phase and El Niño conditions. This
406 supports findings by Leung and Wu [2004] and Chang [1999], which show that rainfall is
407 higher during El Niño conditions. Rainfall in southern China underwent a regime shift from

408 wet to dry in the mid 1970s when the PDO switched from the cool phase to the warm phase
409 [Leung and Wu, 2005; Zhou *et al.*, 2007]. This is in disagreement with the results presented
410 here that show that the PDOI is positively correlated with rainfall (during this time interval).
411 However, changes associated with the PDO tend to occur as regime shifts and the PDO did
412 not undergo such a regime shift during the period examined here from 1988-2004 [Mantua *et*
413 *al.*, 1997]. This suggests that under a constant PDO regime, the dependency of PDO on
414 ENSO drives the positive correlation between PDOI and rainfall.

415

416 The reason for the negative relationship between phytoplankton biomass and both the PDOI
417 and MEI is unclear. The correlation analysis shows that during La Niña/PDO cool phase,
418 when CHL is at its highest, there is low rainfall and TN, and high turbidity, TP and salinity.
419 The correlations between the PDOI and MEI with TN and salinity are likely to result from
420 the relationship between rainfall and these indices, where rainfall is associated with increases
421 in TN and a decrease in salinity. The high turbidity during La Niña/PDO cool phase is likely
422 to result from high phytoplankton biomass, as rainfall and sediment runoff are low during
423 these climate states. High TP concentrations would not be expected to have a major effect on
424 phytoplankton biomass due to the high background levels of nutrients in Hong Kong coastal
425 waters [Harrison *et al.*, 2008]. The correlations between CHL and both the PDOI and MEI,
426 which were relatively weak compared with the correlation with the NPGOI, could be a result
427 of the interdependencies between the climatic indices, where both the PDOI and MEI are
428 significantly negatively correlated with the NPGOI (Table 4).

429

430 **4.3. Future importance of climatic variability in determining phytoplankton dynamics**

431

432 Although the results here suggest that climatic forcings can explain large amounts of the
433 variation in phytoplankton standing stock, this does not imply that the effects of nutrient
434 loading and cultural eutrophication are unimportant. We hypothesise that the mechanism
435 driving the NPGO-CHL relationship is through physical processes, primarily wind-driven
436 mixing. In zones where phytoplankton growth is light-limited due to strong vertical mixing,
437 such as Victoria Harbour [Yin and Harrison, 2007], the correlation between the NPGOI and
438 CHL was high (0.74). Whereas in Mirs Bay, where nutrients may limit phytoplankton growth
439 (Yin 2002), the correlation was weaker (0.42). Therefore, if nutrients were to be reduced in
440 Hong Kong coastal waters, the relative influence of the NPGO on phytoplankton biomass
441 may decrease, as nutrient concentrations become more influential and physical factors
442 become relatively less influential in determining phytoplankton dynamics. Indeed, previous
443 studies of Tolo Harbour show that there was an 8.5 fold increase in phytoplankton biomass
444 from 1978-1985, coincident with large increases in nitrate and phosphate levels [Hodgkiss
445 and Ho, 1997]. This suggests that if nutrient concentrations are lowered to levels similar to
446 those in the 1970s, then ambient nutrient concentration may once again become the dominant
447 factor controlling phytoplankton growth.

448

449 The relative importance of each mode of climatic variability and its relationship to CHL may
450 also change in the future if nutrient loads were to decrease. For example, if nutrient limitation
451 were to be the dominant control over phytoplankton growth in Hong Kong coastal waters
452 then the positive relationship between CHL and NPGOI may cease to exist, as TP and TN are
453 both negatively correlated with the NPGOI. ENSO may also become more influential on
454 phytoplankton standing stock, where large amounts of nutrients can be introduced into the
455 coastal zone from the Pearl River with the high rainfall associated with El Niño.

456

457 **5. Conclusions**

458

459 The interannual variability in CHL in the coastal waters of Hong Kong from 1988 to 2004
460 exhibits a dominant in-phase pattern at all of the monitoring sites. Since localized
461 perturbations to the coastal ecosystem, such as enhanced nutrient loads, have occurred at
462 different times and to different extents between the sites, this may indicate that a large-scale
463 external factor, such as climatic variability, may be driving the observed CHL variability.
464 The dominant mode of CHL variability was strongly positively correlated with the NPGOI,
465 although the 17-year time series was not long enough to provide a conclusive link between
466 the NPGO and phytoplankton dynamics. We suggest that the negative relationship between
467 wind speed and the NPGOI may be a possible mechanism driving the relationship between
468 the NPGOI and CHL.

469

470 The results reported here suggest that climatic variability can be an important factor in
471 controlling phytoplankton dynamics even in coastal waters that are impacted by a number of
472 anthropogenic stressors. However, this does not imply that anthropogenic factors, such as
473 cultural eutrophication, are not important in determining phytoplankton dynamics. If nutrient
474 concentrations were to be reduced to an extent where they were the dominant factor limiting
475 phytoplankton growth, then physical controls such as wind-induced mixing may become less
476 important and nutrient loads may become more important in determining phytoplankton
477 biomass.

478

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480

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487

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575

576 **Tables:**

577

578 **Table 1.** Percent variance explained (PVE) for the first 8 modes of the EOF analysis

Mode	PVE (%)	Cumulative PVE (%)
1	27.8	27.8
2	16.6	44.4
3	12.2	56.6
4	7.0	63.6
5	6.5	70.1
6	5.4	75.5
7	4.0	79.5
8	2.2	81.7

579

580 **Table 2.** Correlations of leading PCs with climate indices (N=204)

	PC1	PC2	PC3	PC4
NPGOI	0.472 ^a	0.117	0.003	-0.009
PDOI	-0.238 ^a	-0.071	-0.026	0.072
MEI	-0.399 ^a	-0.001	-0.132	-0.034

581 ^aCorrelation is significant at the 0.01 level

582

583 **Table 3.** Correlations between climatic indices and weather parameters

	PDOI	NPGOI	MEI
Wind Speed	-0.100	-0.548 ^b	-0.106
Rainfall	0.141 ^a	0.016	0.220 ^b
Temperature	-0.246 ^b	0.336 ^b	-0.016
SLP	0.499 ^b	-0.560 ^b	0.531 ^b

584 ^aCorrelation is significant at the 0.05 level (2-tailed)

585 ^bCorrelation is significant at the 0.01 level (2-tailed)

586

587 **Table 4.** Correlations between the climatic indices

	PDO Index	NPGO Index	Multivariate ENSO Index
PDO Index	1	-0.259 ^a	0.520 ^a
NPGO Index	-0.259 ^a	1	-0.319 ^a
Multivariate ENSO Index	0.520 ^a	-0.319 ^a	1

588 ^aCorrelation is significant at the 0.01 significance level

589

590 **Figure Captions:**

591

592 **Figure 1.** Location of the 75 sampling sites. Stations that are labeled were used in the subset
593 of stations for the correlation analyses.594 **Figure 2.** Spatial distribution of the leading seasonally adjusted EOFs of CHL (circle
595 diameter indicates relative magnitude, such that large circles are positive numbers and small
596 circles are negative numbers).597 **Figure 3.** Time series of the NPGOI and PC1598 **Figure 4.** Correlation between NPGOI and CHL. The NPGOI and CHL are shown by the
599 dark and light lines respectively.







