

# CS472 Module 6 Part A - Minimal Spanning Tree Algorithms

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February 28, 2016

## Outline

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## 1 Motivation (just one use)

### Motivation: Local Area Networks

A *bridge loop* in a local area network occurs when there is more than one link layer (OSI Layer 2) path between two endpoints

- Caused by multiple connections between two network switches or two ports on the same switch connected to each other
- Results in the network suffering from broadcast storms as the switches repeatedly rebroadcast any broadcast or multicast messages out every port
- Results in zombie frames
- Solution is to allow physical loops but detect and avoid the loops

### Motivation: Local Area Networks

- Detection of bridge loops is implemented through the *spanning tree protocol*
- This protocol treats the network as a graph whose nodes are bridges and LAN segments and whose edges are the interfaces connecting the bridges to the segments
- The protocol builds a *spanning tree* of this graph

## 2 Spanning Trees

### Spanning Tree: Definition

- *Spanning Tree*: The *spanning tree* of a connected, undirected graph  $G$  is a tree that includes all of the vertices and some or all of the edges of  $G$  s.t. the set of edges is the minimal set of edges that connect all vertices of  $G$
- The spanning tree is the maximal set of edges of  $G$  that contains no cycle
- *Fundamental cycles*: Adding one edge to a spanning tree creates a cycle, such a cycle is defined as a *fundamental cycle* of the graph
  - Each edge will have a distinct fundamental cycle
- A single spanning tree of a graph can be found in linear time by either keeping track of the path taken by a depth-first or breadth-first search of the graph.

### Minimal Spanning Tree: Definition and Properties

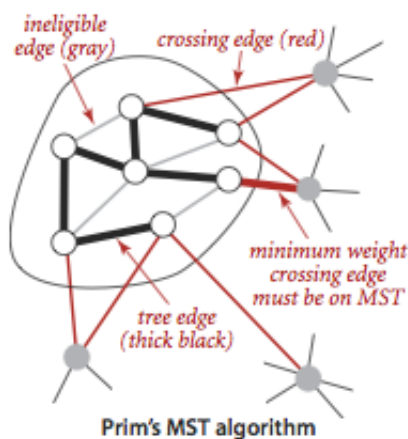
- *Minimal Spanning Tree*: for a weighted graph, the minimal spanning tree is a spanning tree with weight less than or equal to the weight of every other spanning tree of the graph
- There may be several minimum spanning trees of the same weight having a minimum number of edges
- If each edge has a distinct weight, then there will be only one, unique minimum spanning tree
- For any cycle  $C$  in a weighted graph  $G$ , if the weight of an edge  $e$  of  $C$  is larger than the weights of all other edges of  $C$ , then this edge cannot belong to the MST of  $G$

## 3 Prim's Algorithm

### Prim's Algorithm

- Pick a vertex in the graph as the root of the spanning tree
- Add  $|V| - 1$  edges, always taking next the minimum weight edge that connects a vertex on the tree to a vertex not yet on the tree
- Repeat until all vertices are in the tree

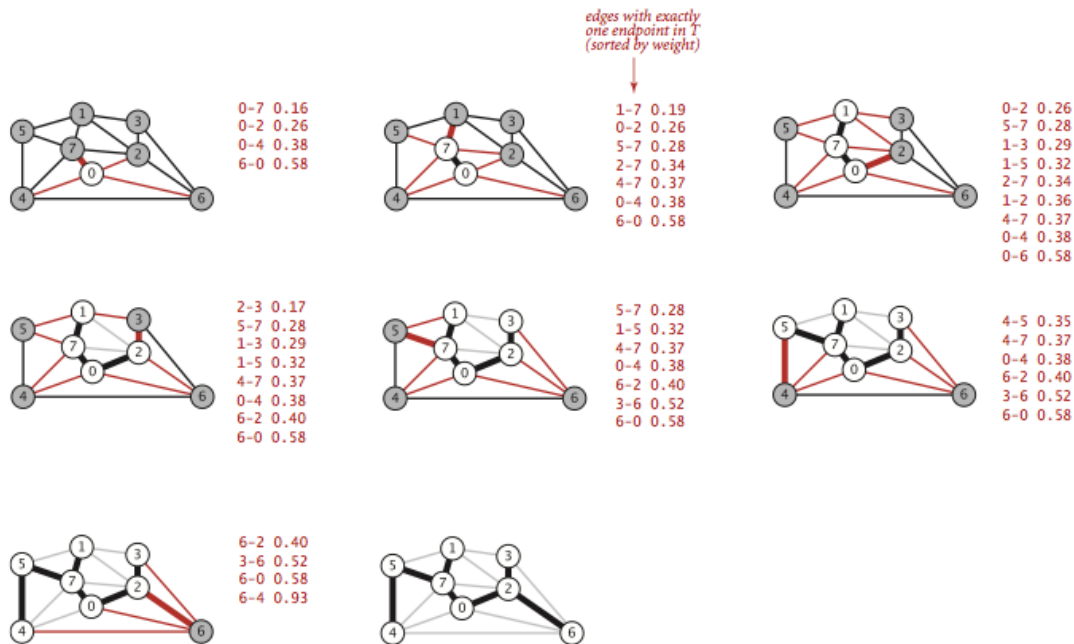
### Prim's Algorithm



## Prim's Algorithm: finding that minimum edge

- Lazy implementation:
  - Use a heap to hold the crossing edges and find one of min weight
  - Each time add an edge to the tree, also add a vertex
    - \* and add all edges to the heaps from that vertex to any non-tree vertex
    - \* mark as *ineligible* any edge connecting the vertex just added to a tree vertex that is already on the priority queue

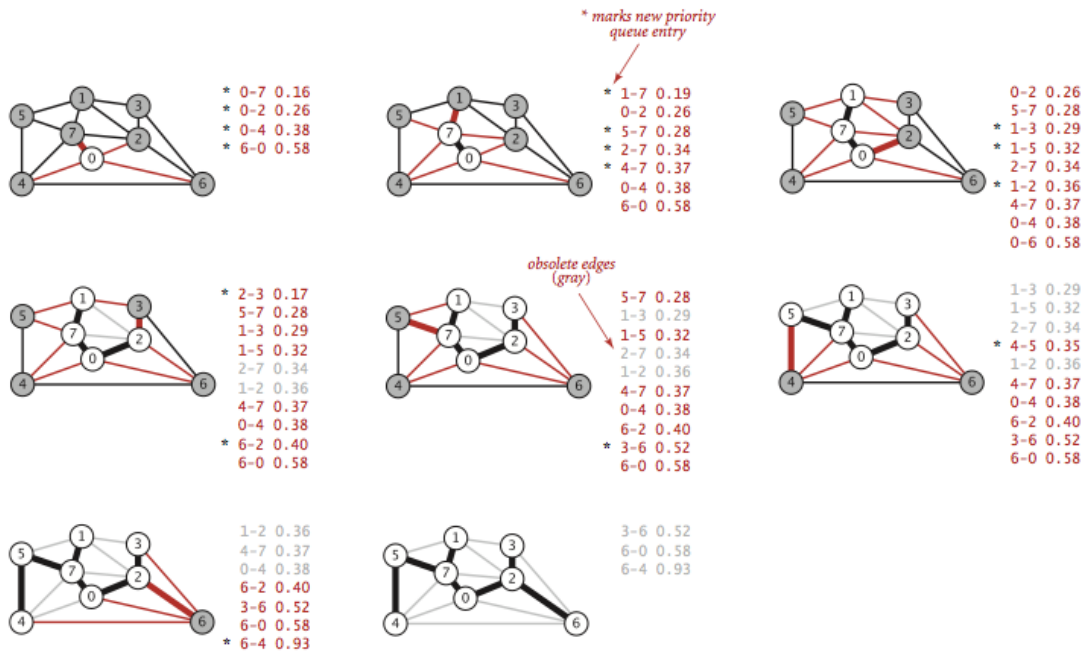
## Prim's Algorithm: finding that minimum edge



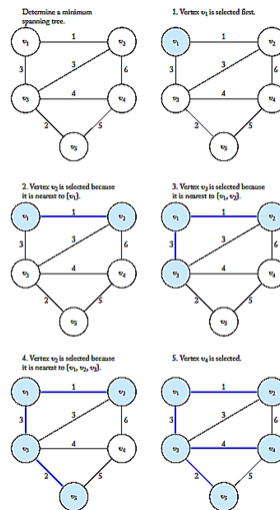
## Prim's Algorithm: finding that minimum edge

- Eager implementation
  - Again, use a heap
  - Perhaps we might try to delete ineligible edges from the priority queue
  - But can do much better
    - \* Only interest is in the minimal edge
    - \* So only need to keep track of that min-weight edge and check to see if addition of a vertex requires that we update the min-weight
    - \* So we only keep the shortest edge on the heap for each non-tree vertex that connects the vertex to the tree

## Prim's Algorithm: finding that minimum edge



## Prim's Algorithm: Example and Analysis



- The lazy version of the algorithm has space efficiency of  $O(|E|)$  and time efficiency of  $O(|E| * \log|E|)$
- The eager version has space efficiency of  $O(|V|)$  and time efficiency of  $O(|E| * \log|V|)$

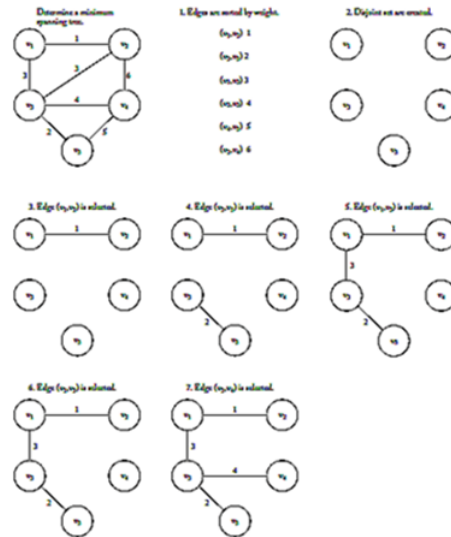
## 4 Kruskal's Algorithm

### Kruskal's Algorithm

- Algorithm

- Sort the edges of the graph into a set  $S$  in increasing order of weight of each edge
- Build a forest where each vertex in the graph is a separate tree
- While  $S$  is not empty and  $F$  is not yet a spanning tree
  - \* Remove an edge with minimum weight from  $S$
  - \* If that edge connects two different trees, add it to the forest and combine the two trees into a single tree
- Analysis:  $O(|E| * \log|E|)$

### Kruskal's Algorithm: Example



## 5 A variation: shortest paths in a graph

### A variation: shortest path in a graph

- *Single source shortest paths problem:* Given a weighted connected graph  $G$ , find shortest paths from a source vertex  $s$  to each of the other vertices in the graph.
- Common problem when trying to figure out how to route packets in a network
- *Shortest-path tree:* For a connected undirected graph  $G$ , a shortest-path tree from vertex  $v$  is a spanning tree  $T$  of  $G$ , s.t. the path distance from root  $v$  to any other vertex  $u$  in  $T$  is the shortest path distance from  $v$  to  $u$  in  $G$
- Note the difference between shortest-path tree and minimal spanning trees

### Dijkstra's Algorithm

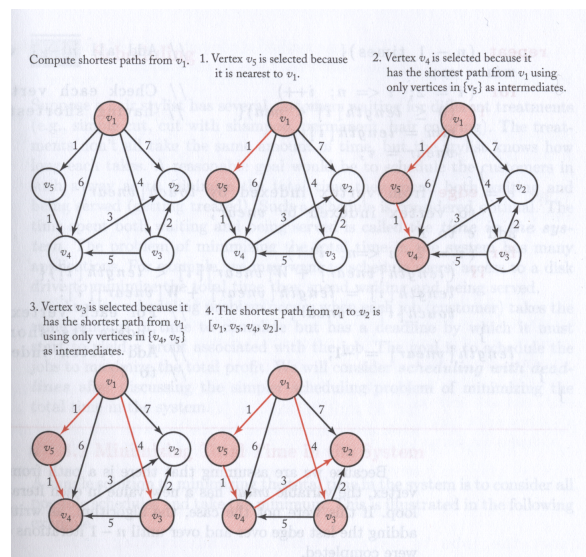
We start at some *initial node*  $I$  and define  $D(Y)$  be the distance from the initial node to  $Y$

- Assign every node a tentative distance value
  - Set the  $D(I) = 0$
  - All other nodes set  $D(n) = \infty$
  - Keep a list of all unvisited nodes. Put all nodes except  $I$  on this list. Set the current node to the initial node

## Dijkstra's Algorithm

- For the current node, compute the distance of all unvisited neighbors of this node
  - Compare the computed distance to that nodes currently assigned value and save the smaller of the two results
  - Once all unvisited neighbors have been considered, mark the current node as visited and remove it from the unvisited list
    - \* If the destination node has been marked as visited or the smallest tentative distance in the unvisited set is infinity, then stop
  - Select the unvisited node with the smallest tentative distance and set it as the current node. Repeat.

## Dijkstra's Algorithm: Example



## Dijkstra's Algorithm: Notes

- Doesn't work for graphs with negative weights
- Works for both undirected and directed graphs
- Analysis
  - $O(|V|^2)$  if using an adj. matrix with weights and an array implementation of a heap
  - $O(|E| * \log|V|)$  if using adj. lists and a min-heap implementation of a priority queue
- Don't confuse Dijkstra's algorithm with Prim's Algorithm

## 6 Key Points

### Key Points

- Definitions

- Spanning tree
  - Minimal Spanning Tree
  - Shortest-Path Tree
- Algorithms
  - Prim's Algorithm for Minimal Spanning Tree
  - Kruskal's Algorithm for Minimal Spanning Tree
  - Dijkstra's Algorithm for Single-Source Shortest Path