

# CS472 Module 10 Part D - Dealing with Computational Complexity

Athens State University

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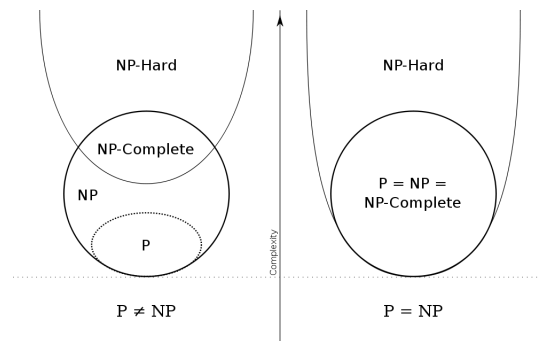
## Outline

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## 1 Algorithmic implications of the $P \neq NP$ conjecture

### P vs. NP: Context



Most interesting problems are in the  $NP$ -hard complexity class

Remember what we said about  $O(n)$ ?

n	lg n	n	n lg n	$n^2$	$2^n$	$n!$
10	0.003 us	0.01 us	0.033 us	0.1 us	1 us	3.363 ms
20	0.004 us	0.02 us	0.086 us	0.4 us	1ms	77.1y
30	0.005 us	0.03 us	0.147 us	0.9 us	1s	$8.4 \times 10^{15}$ y
40	0.005 us	0.04 us	0.213 us	1.6 us	18.3m	
50	0.006 us	0.05 us	0.282 us	2.5 us	13d	
100	0.007 us	0.10 us	0.644 us	10 us	$4 \times 10^{13}$ y	
$1 \times 10^3$	0.010 us	1.00 us	9.966 us	1 ms		
$1 \times 10^4$	0.013 us	10 us	130 us	100 ms		
$1 \times 10^5$	0.017 us	0.10 ms	1.67 ms	10s		
$1 \times 10^6$	0.020 us	1 ms	19.93 ms	16.7m		
$1 \times 10^7$	0.023 us	0.01 s	0.23 s	1.16d		
$1 \times 10^8$	0.027 us	0.10 s	2.66 s	115.7d		
$1 \times 10^9$	0.030 us	1 s	29.9 s	31.7y		

Remember what we said about  $O(n)$ ?

Note what the table tells us about working with  $O(n)$

- All such algorithms take roughly the same time for  $n = 10$
- Any algorithm that is  $O(n!)$  becomes useless for  $n \geq 20$
- Algorithms that are  $O(2^n)$  become impractical for  $n > 40$
- Algorithms that are  $O(n^2)$  remain usable up to about  $n = 10000$  but quickly deteriorate with larger inputs
- Linear ( $O(n)$ ) and log-linear ( $O(n * \lg(n))$ ) algorithms remain practical for large input sizes
- Linear is best, but constant is even better.

What can we do?

- OK... but what can we do if most everything interesting is  $NP$ ?
  - Use a problem-solving strategy that can find an exact solution to the problem but will not be able to do so in polynomial time
  - Use an approximation algorithm that can find an approximate (sub-optimal) solution in polynomial time

## 2 Approximation Strategies

Approximation Strategies

- Apply a fast approximation algorithm to get a solution that is not necessarily optimal but hopefully close to it
- Accuracy measures
  - accuracy ratio of an approximate solution  $s_a$ 
    - \*  $r(s_a) = f(s_a)/f(s^*)$  for minimization problems
    - \*  $r(s_a) = f(s^*)/f(s_a)$  for maximization problems

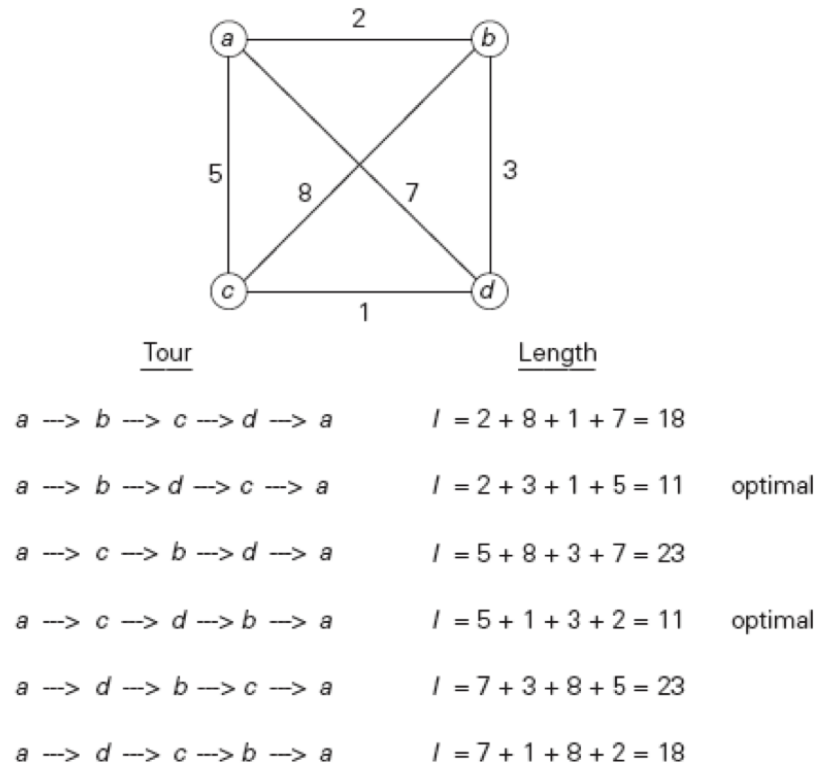
- where  $f(s_a)$  and  $f(s^*)$  are values of the objective function for the approximate solution and actual optimal solution
- Performance ratio of the Algorithm  $A$ 
  - lowest upper bound of  $r(s_A)$  on all instances

### 3 Approximation Algorithms for the TSP

#### The Traveling Salesman Problem (TSP)

- Suppose we have a weighted connected graph  $G$  that we wish to traverse s.t. we visit each vertex once and only once
- This is known as a Hamiltonian circuit (or cycle) of the graph
- The Traveling Salesman Problem asks what is the minimum cost Hamiltonian circuit in the graph  $G$

#### Example: The Traveling Salesman Problem



**FIGURE 3.7** Solution to a small instance of the traveling salesman problem by exhaustive search.

#### Nearest Neighbor: the greedy approach to the TSP

- Pick a starting vertex  $v$

- Each vertex keeps some state of whether or not that vertex has been visited in the tour
- Look at the neighbors of  $v$  and select the neighbor with the lowest cost that has not been visited

### Nearest Neighbor: Just how bad is the greedy approach

- Nearest-neighbor tours may depend on the starting city
- Note that  $r_A = \infty$ , i.e. unbounded above
  - Make the largest weight arbitrarily large

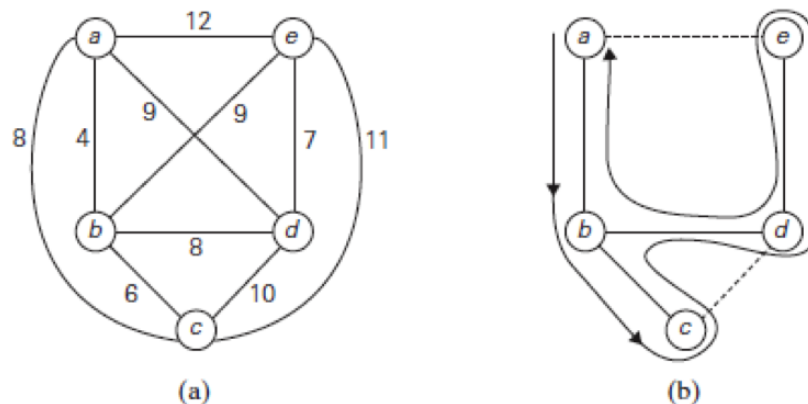
### Multifragment-Heuristic - Shortest edge: another greedy approach to the TSP

- Inspired by Kruskal's algorithm
- Sort the edges in increasing order of weight
- Start with the least cost edge, look at edges 1-by-1 and select an edge only if the edge, together with already selected edges,
  - does not cause a vertex have degree of three or more ( $\#$  edges)
  - does not form a cycle, unless the number of selected edges equals the number of vertices in the graph

### Other approaches: Twice around the tree

- Algorithm
  - Construct a MST of the graph
  - Start at any vertex, create a path that goes around twice around the tree and returns to the same vertex
  - Create a tour from the circuit by making shortcuts to avoid visiting intermediate vertices more than once
- Still has  $r_A = \infty$  for general instances, but get better tours than with nearest-neighbor

### Other approaches: Twice around the tree

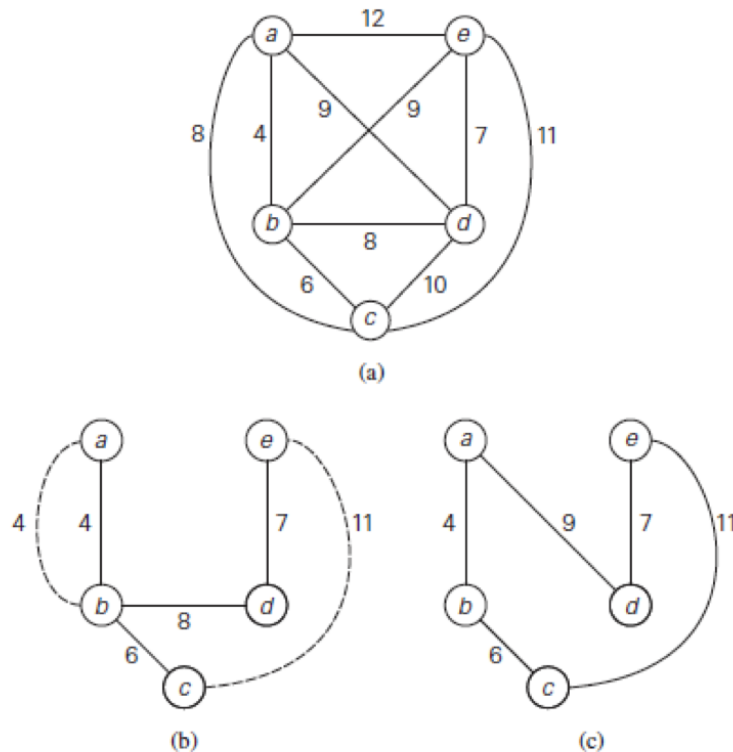


**FIGURE 12.11** Illustration of the twice-around-the-tree algorithm. (a) Graph. (b) Walk around the minimum spanning tree with the shortcuts.

### Other approaches: Christofides Algorithm

- Algorithm
  - Construct MST of the graph
  - Add edges of a min-weight matching of all the odd vertices in the MST
  - Find an Eulerian circuit of the multigraph from step 2
  - Create a tour from the path from the previous state by making shortcuts to avoid visiting nodes more than once
- Still has  $r_A = \infty$  but gets better results than twice-around-the-tree

### Other approaches: Christofides Algorithm



**FIGURE 12.12** Application of the Christofides algorithm. (a) Graph. (b) Minimum spanning tree with added edges (in dash) of a minimum-weight matching of all odd-degree vertices. (c) Hamiltonian circuit obtained.

### Other approaches: Euclidean instances

- It has been proven that if  $P \neq NP$ , then there exists no approximation algorithm for the TSP with a finite performance ratio.
- *Euclidean circuit*: an instance of the TSP such that the distances (weights) on the graph satisfy the conditions:
  - *symmetry*:  $d[i, j] = d[j, i]$  for any pair of nodes  $i$  and  $j$ ,
  - *triangle inequality*:  $d[i, j] \leq d[i, k] + d[k, j]$  for any nodes  $i, j$ , and  $k$ .

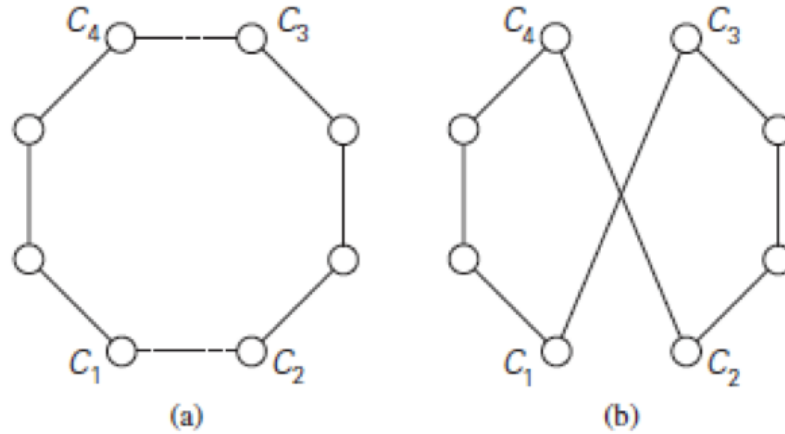
### Other approaches: Euclidean instances

- In different cases, the approx. tour length / optimal tour length is less than:
  - Euclidean instances:  $0.5 * (\lceil \log_2 n \rceil + 1)$
  - Nearest neighbor and shortest edge: 2
  - Twice-around-the-tree: 1.5

### Other approaches: Local search Heuristics

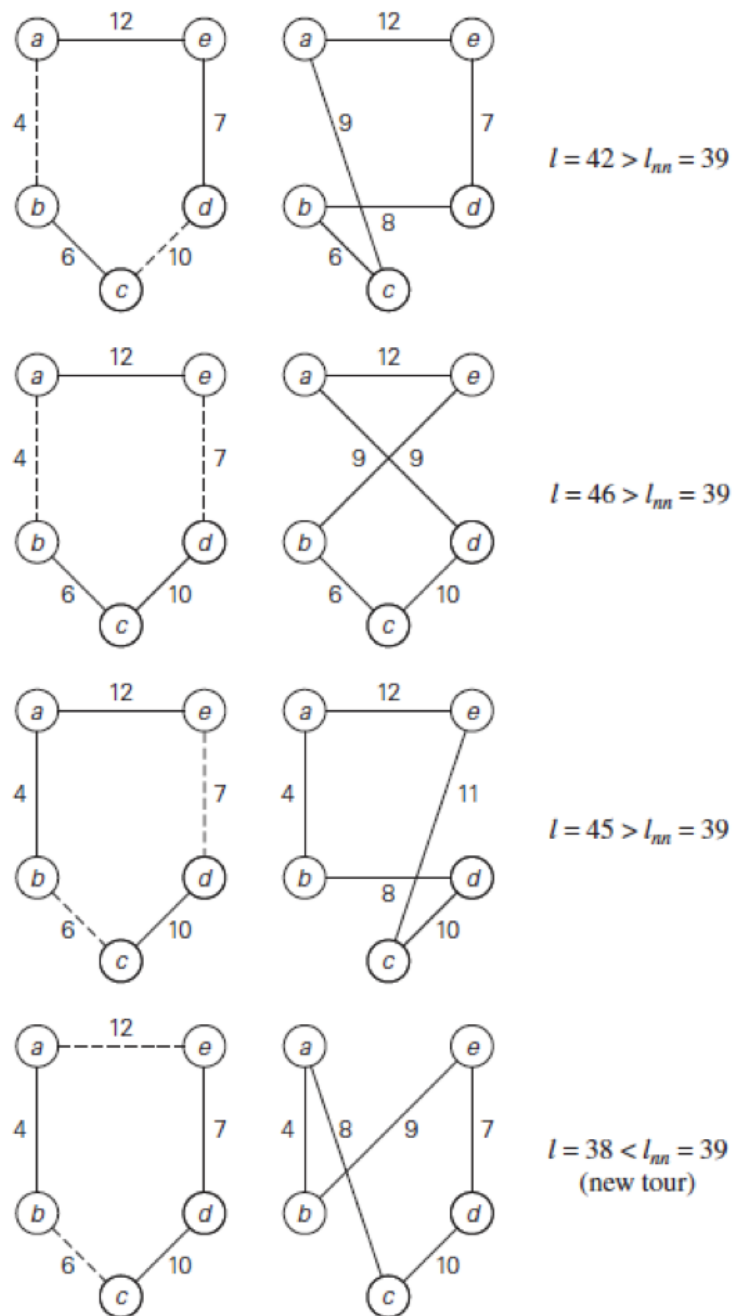
- Start with some initial tour (e.g., nearest neighbor)
- On each iteration, explore the current tour's neighborhood by exchanging a few edges in the tour
- If a new tour is shorter, make it the current tour; otherwise, try exchanging other edges
- If no change yields a shorter tour, current tour is returned as the output

### Other approaches: Local Search Heuristics



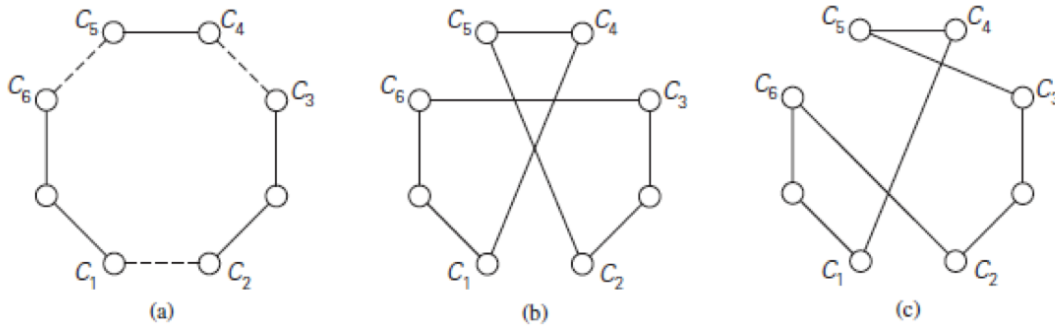
**FIGURE 12.13** 2-change: (a) Original tour. (b) New tour.

### Other approaches: Local Search Heuristics



**FIGURE 12.14** 2-changes from the nearest-neighbor tour of the graph in Figure 12.11.

Other approaches: Local Search Heuristics



**FIGURE 12.15** 3-change: (a) Original tour. (b), (c) New tours.

## 4 Approximation Algorithms for the Knapsack Problem

Recall the greedy algorithm for the knapsack problem

- Problem
  - We have values with weights, want best value for a container with a specific capacity
- Algorithm
  - Order the items in decreasing order of relative values  $v_1/w_1 \geq \dots \geq v_n/w_n$
  - Select items in this order, skipping those that don't fit into the knapsack
- Approximation performance ratio  $r_A$  is unbounded but algorithm yields exact solutions for the continuous version of the problem

### Approximation Algorithm

- Algorithm
  - Order items in decreasing order of relative values
  - From some integer parameter  $0 \leq k \leq n$ , generate all subsets of  $k$  items or less and for each of those that fit in the container, add the remaining items in decreasing order of their value to weight ratios
  - Find the most valuable subset among those generated and output this as our result
- Accuracy:  $f(s^*)/f(s_a) \leq 1 + 1/k$  for an instance of size  $n$
- Time efficiency:  $O(kn^{k+1})$ 
  - There are *fully polynomial schemes*: algorithms with polynomial running time as functions of both  $n$  and  $k$



## 5 Key Points

### Key Points

- Many *NP*-hard problems can be dealt with using approximation algorithms
- Need to have some means to measure the quality of the approximation
- Examples
  - Approximation algorithms for the TSP
  - Approximation algorithms for the Knapsack problem