

# Data preprocessing

## Why preprocessing ?

1. Real world data are generally
  - Incomplete: lacking attribute values, lacking certain attributes of interest, or containing only aggregate data
  - Noisy: containing errors or outliers
  - Inconsistent: containing discrepancies in codes or names
2. Tasks in data preprocessing
  - Data cleaning: fill in missing values, smooth noisy data, identify or remove outliers, and resolve inconsistencies.
  - Data integration: using multiple databases, data cubes, or files.
  - Data transformation: normalization and aggregation.
  - Data reduction: reducing the volume but producing the same or similar analytical results.
  - Data discretization: part of data reduction, replacing numerical attributes with nominal ones.

## Data cleaning

1. Fill in missing values (attribute or class value):
  - Ignore the tuple: usually done when class label is missing.
  - Use the attribute mean (or majority nominal value) to fill in the missing value.
  - Use the attribute mean (or majority nominal value) for all samples belonging to the same class.
  - Predict the missing value by using a learning algorithm: consider the attribute with the missing value as a dependent (class) variable and run a learning algorithm (usually Bayes or decision tree) to predict the missing value.
2. Identify outliers and smooth out noisy data:
  - Binning
    - Sort the attribute values and partition them into bins (see "Unsupervised discretization" below);
    - Then smooth by bin means, bin median, or bin boundaries.
  - Clustering: group values in clusters and then detect and remove outliers (automatic or manual)
  - Regression: smooth by fitting the data into regression functions.
3. Correct inconsistent data: use domain knowledge or expert decision.

## Data transformation

#### 1. Normalization:

- Scaling attribute values to fall within a specified range.
  - Example: to transform  $V$  in  $[\min, \max]$  to  $V'$  in  $[0,1]$ , apply  $V'=(V-\min)/(\max-\min)$
- Scaling by using mean and standard deviation (useful when min and max are unknown or when there are outliers):  $V'=(V-\text{Mean})/\text{StDev}$

#### 2. Aggregation: moving up in the concept hierarchy on numeric attributes.

#### 3. Generalization: moving up in the concept hierarchy on nominal attributes.

#### 4. Attribute construction: replacing or adding new attributes inferred by existing attributes.

## Data reduction

#### 1. Reducing the number of attributes

- Data cube aggregation: applying roll-up, slice or dice operations.
- Removing irrelevant attributes: attribute selection (filtering and wrapper methods), searching the attribute space (see Lecture 5: Attribute-oriented analysis).
- Principle component analysis (numeric attributes only): searching for a lower dimensional space that can best represent the data..

#### 2. Reducing the number of attribute values

- Binning (histograms): reducing the number of attributes by grouping them into intervals (bins).
- Clustering: grouping values in clusters.
- Aggregation or generalization

#### 3. Reducing the number of tuples

- Sampling

## Discretization and generating concept hierarchies

#### 1. Unsupervised discretization - class variable is not used.

- Equal-interval (equiwidth) binning: split the whole range of numbers in intervals with equal size.
- Equal-frequency (equidepth) binning: use intervals containing equal number of values.

#### 2. Supervised discretization - uses the values of the class variable.

- Using class boundaries. Three steps:
  - Sort values.
  - Place breakpoints between values belonging to different classes.
  - If too many intervals, merge intervals with equal or similar class distributions.
- Entropy (information)-based discretization. Example:
  - Information in a class distribution:
    - Denote a set of five values occurring in tuples belonging to two classes (+ and -) as  $[+, +, +, -, -]$

- That is, the first 3 belong to "+" tuples and the last 2 - to "-" tuples
- Then,  $\text{Info}([+,+,+,-,-]) = -(3/5)*\log(3/5) - (2/5)*\log(2/5)$  (logs are base 2)
- 3/5 and 2/5 are relative frequencies (probabilities)
- Ignoring the order of the values, we can use the following notation: [3,2] meaning 3 values from one class and 2 - from the other.
- Then,  $\text{Info}([3,2]) = -(3/5)*\log(3/5) - (2/5)*\log(2/5)$
- Information in a split (2/5 and 3/5 are weight coefficients):
  - $\text{Info}([+,+],[+,-,-]) = (2/5)*\text{Info}([+,+]) + (3/5)*\text{Info}([+,-,-])$
  - Or,  $\text{Info}([2,0],[1,2]) = (2/5)*\text{Info}([2,0]) + (3/5)*\text{Info}([1,2])$
- Method:
  - Sort the values;
  - Calculate information in all possible splits;
  - Choose the split that minimizes information;
  - Do not include breakpoints between values belonging to the same class (this will increase information);
  - Apply the same to the resulting intervals until some stopping criterion is satisfied.

3. Generating concept hierarchies: recursively applying partitioning or discretization methods.