- 1 Relict deciduous oaks show high resilience to drought along a
- 2 climatic gradient in the rear-edge despite strong land-use legacies
- 3 Short Title
- 4 Relict oaks resilience at their rear-edge (max. 45 characters)
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- 15 Manuscript highlights
- 16 Quercus pyrenaica rear-edge forests showed high resilience at tree and stand-levels
- 17 The responses to climate and resilience followed a water-stress gradient
- 18 Trees and stand expressed high sensitivity to drought and land-use legacies

1 Author Contributions. AJPL, GGI and RZ conceived the study, conducted field work and collected the data. AJPL and GGI conducted lab work and led article preparation. AJPL analyzed data and led the writing of the paper. GGI and RZ contributed in the writing process. All authors contributed to the drafts and gave final approval for publication.

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## **Abstract**

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- Global change challenges ecosystems in dry locations transformed by intensive human use. We
  analyzed resilience to drought of relict Mediterranean Quercus pyrenaica Willd. populations in the
  Southern Iberian Peninsula. Forest resilience to drought was analyzed in relation to historical
  records of land-use using dendroecological growth and greenness (EVI) as proxies for secondary
  and primary growth.
- The growth trends reflected a strong influence of land-use legacies (e.g. firewood extraction) in the
   current forest structure. Trees were highly sensitivity to drought and both primary and secondary
   growth expressed high resilience to drought events in the short- and the long-term. The response to
   climate and resilience followed a water-stress gradient. Primary and secondary growth of more xeric
   populations (i.e. Northern aspect and low elevations) was less resilient to drought than that at more
   mesic sites. In addition, a positive growth trend matching the warming climate since the late 1970s
   was particularly evident in mesic high-elevation stands, but absent in the most xeric site.
- The species showed high resilience to drought despite being, relict and at its rear-edge. Yet, the trees exhibited a variable response to the changing climate along a very short climatic gradient. The trees were more sensitive to water availability at most xeric sites whereas only the coldest and more humid stands exhibited a recent positive growth trend. Stand dynamics at the most xeric locations (i.e. the altitudinal rear-edge) needs to be monitored under future expected climatic scenarios bearing in mind that land-use legacies play a key role in stand dynamics.

## 38 Keywords

- 39 extreme drought, resilience, rear-edge, Quercus pyrenaica, tree-growth, dendrocronology, remote-
- 40 sensing

## 41 Introduction

- 42 Intense drought is one of the most frequent and widespread climatic extremes affecting ecological
- 43 systems worldwide and is considered a key feature of the Mediterranean climate (Dai 2011). There has
- been an increase in the frequency and severity of drought events in the last decades, along with a trend
- 45 towards drier summers particularly for southern Europe (Vicente-Serrano and others 2014b; Stagge and
- others 2017). This is especially critical, since climate change projections for the Mediterranean area
- 47 forecast that climate extreme events will become more frequent and severe (Spinoni and others 2017).
- 48 Drought causes significant changes in ecosystem productivity and dynamics that can severely affect
- 49 forests through changes in plant physiology, phenology and carbon allocation. Thus, assessment of

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site.

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96 drought impacts on ecosystems has gained much attention in last decades (Allen and others 2010; Clark 97 and others 2016), and the functional response of vegetation to drought has been analyzed at global and local scales (e.g., Vicente-Serrano and others 2013; see Martínez-Vilalta and Lloret 2016 for a revision). 98 99 Ecosystem responses to drought are influenced by other drivers of environmental changes. In a global-100 change context, the impacts of drought on vegetation need to be evaluated considering the interactions 101 with other drivers of change (Doblas-Miranda and others 2017). This is especially relevant for areas with 102 a long history of landscape modification, such us the Mediterranean region, where land-use change plays 103 a significant role in current ecosystem ecology (Navarro-González and others 2013), considering also that 104 the interactions of these two factors, namely drought and land-use change, are crucial for Mediterranean 105 forests (Doblas-Miranda and others 2017) 106 The impacts of drought are also especially relevant for populations located in the rear edge (either 107 latitudinal or altitudinal along climatic gradients) of their distribution, where climatic conditions often corresponds to the species-limits and the populations are likely to be more sensitive to small variations on 108 109 climate and the microtopography (Hampe and Petit 2005). The response of rear-edge populations to variations on climate could be useful to estimate the adaptation of the species to predicted climatic 110 changes (Jump and others 2010). This becomes even more important for relict populations driven by 111 historical land-use if they harbour high levels of intraspecific genetic diversity (Valbuena-Carabaña and 112 Gil 2013). 113 Many studies have analyzed the effects of drought on Mediterranean tree species representing the 114 115 southernmost limit of their distribution (e.g., Sánchez-Salguero and others 2012; Dorado-Liñán and others 116 2017b), and some focused on the resilience to drought of rear-edge populations (e.g., Herrero and 117 Zamora 2014). Resilience is the capacity of an ecosystem, community or individual to recover its predisturbance structure and function after a disturbance (Holling 1973). The assessment of resilience to 118 climate disturbances like droughts provides critical information about the capacity of forests to maintain 119 120 their structure and to continue providing valuable ecosystem services. Vegetation responses to drought requires of integrative and multidisciplinary strategies for assessing the 121 impacts of disturbances on forest at several scales (Hartmann and others 2018). The resilience to 122 123 drought of species is better assessed when several aproacches like remote sensing and dendrocronology 124 are combined simultaneously. Dendroecological estimates of growth (i.e. tree-ring width) are a widely 125 used proxy to study tree vitality (Fritts 1976; Bhuyan and others 2017) and the analysis of annual-tree ring 126 widths can be used to study changes in growth as response to drought at the individiual tree-scale (Fritts 127 1976). The combination of both approaches (remote sensing and dendroecology), has been used to 128 assess the effects of droughts on vegetation along ecological or elevational gradients (e.g. Vicente-129 Serrano and others 2013; Coulthard and others 2017), and also for the analysis of growth resilience to 130 drought on several tree species (Gazol and others 2018). Remote sensing can be used for studying

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droughts impacts on ecosystems, hence the stand level (e.g. Zhang and others 2013). Vegetation indices obtained from satellite are used as a proxy for assessing vegetation functioning and aboveground net primary production (Alcaraz-Segura and others 2009), and changes in the patterns of these indices are considered as an indicator of the forest response to external environmental factors, such us drought (e.g. Vicente-Serrano and others 2013). Drought impacts on vegetation using remote sensing have been analyzed at different scales. For instance, a global-scale study showed a stronger influence of drought for drier biomes (Vicente-Serrano and others 2013) whereas for Mediterranean area, studies at regional and local scales found a large spatial and seasonal variability of the effects of drought on vegetation (Gouveia and others 2017). Several works assessed vegetation responses in term of resistance and resilience to drought events highlighting a stronger reponse of tree-ring (tree-level) to drought variability compared to the greenness (ecosystem-level) (e.g. Peña-Gallardo and others 2018; Gazol and others 2018). Nonetheless, it is crucial to know if the responses at the tree level differ from responses at the ecosystem level, particularly in rear edge populations.

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In this work we used remote sensing information and dendroecological methods to evaluate the drought impact in both canopy greenness (as a proxy to primary growth) and tree-radial growth (as a proxy to secondary growth) of a Mediterranean tree species (Quercus pyrenaica Willd.) on southern relict forests at the rear-edge of the species distribution and where species performance is considered to be threatened by climate change. We also assessed the resilience of these forests both to several extreme drought episodes and to climate change (i.e. warming) in the long-term in the last decades. Our main hypothesis is that these relict populations driven by historical land-use are particularly vulnerable to climate change at their present climatic (either altitudinal or latitudinal) rear-edge, and will show low resilience after extreme drought particularly at the dry edge along climatic gradients at the small scale within the rear-edge. To address this hyphotesis our specific aims were: (i) To quantify how recent extreme drought events influenced primary and secondary growth of Q. pyrenaica forests in their rear edge; (ii) to analyze the long-term resilience of these forests to extreme drought events using time-series of radial growth; (iii) and to explore differences in the resilience metrics between populations located in contrasting ecological conditions within the rear edge of this species to detect vulnerability to climate change along climatic gradients. To achieve goal (iii) we assessed within the region of study whether the effect of aspect and microclimatic conditions expressed in northern and southern populations of Pyrenean oak forests differ in their resistance, resilience and recovery to extreme drought events.

## Materials and methods

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## Tree species and study site

Pyrenean oak (*Quercus pyrenaica* Willd.) forests extend through south-western France and the Iberian
Peninsula reaching its southern limit in mountains areas of northern Morocco (Franco 1990). In the
Iberian Peninsula these forests live on siliceous soils under meso-supramediterranean and
mesotemperate areas and subhumid, humid and hyperhumid ombroclimate. *Q. pyrenaica* requires over
650 mm of annual precipitation and a summer minimal precipitation between 100 and 200 mm. Summer
rainfall is a key factor for the species, which is considered to have low drought tolerance compared to
genuine evergreen Mediterranean taxa (Río and others 2007).

170 The forests of this species reache their southernmost European limit in mountains from Southern 171 Andalusia like Sierra Nevada (37°N, 3°W), a high-mountain range with elevations between 860 m and 172 3482 m a.s.l.. The climate is Mediterranean, characterized by cold winters and hot summers, with 173 pronounced summer drought (July-August). There are eight Pyrenean oak patches (2400 Has) identified in this mountain range (Figure 1), ranging between 1100 and 2000 m a.s.l. and often associated to major 174 175 river valleys. Sierra Nevada is considered a glacial refugia for deciduous Quercus species (Olalde and 176 others 2002). Today, Q. pyrenaica woodlands in this mountain region represent a rear edge of their 177 habitat distribution, which is important for determining habitat responses to expected climate change 178 (Hampe and Petit 2005). They are the richest vascular-plant forest formation of Sierra Nevada, sheltering 179 several endemic and endangered plant species (Lorite and others 2008). These relict forests undergone 180 intensive anthropic use in history (Camacho-Olmedo and others 2002; Valbuena-Carabaña and Gil 2017). Furthermore, conservation status of this species for southern Spain is considered "Vulnerable" and 181 182 it is expected to suffer the impact of climate change, reducing its suitable habitats in the rear-edge (Gea-183 Izquierdo and others 2013).

**Drought episodes** 

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The Iberian Peninsula suffered several extreme drought episodes in the last decades of the twentieth century (e.g. 1994-1995, 1999, 2005, 2012) (Vicente-Serrano and others 2014b). The 2005 and 2012 drought events have been documented among the worst droughts in the last decades for the southern Iberian Peninsula (García-Herrera and others 2007; Páscoa and others 2017) and they were characterized as extreme drought in our climatic data (Figures S1-S2; Table S3). We focused on these two drought events because they were included in the period where there is availability of high-spatial resolution remote sensing information (MODIS started on 2000; see below). Nevertheless, for radial growth-time series, a greater number of older drought events were also analyzed to contextualize results obtained in 2005 and 2012 drought events and analyze forest resilience to drought in a longer term (see

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Table S3). The identification of a drought event were done using the Standardized Precipitation-Evapotranspiration Index (SPEI) (Vicente-Serrano and others 2010) following similar procedure as proposed by Spinoni and others (2015). We used SPEI-12 months scale for all 0.5° grid cells covering Sierra Nevada obtained from Global SPEI Database (<a href="http://spei.csic.es/database.html">http://spei.csic.es/database.html</a>). A severe drought event starts in the month when SPEI falls below the threshold of -1.28 (Páscoa and others 2017; Spinoni and others 2017). A drought event is considered only when SPEI values are below the threshold for at least two consecutive months. Several indicators were computed for each drought event: *duration* as the number of consecutive months with the SPEI lower than a certain threshold; *severity* of a drought event is the sum of the SPEI values (absolute values) during the duration of the drought event; the *intensity* and the *Lowest SPEI* refer to the mean and lowest value of SPEI respectively during the drought event.

## Greenness data to assess ecosystem resilience

Vegetation greenness of *Q. pyrenaica* was characterized by means of the *Enhanced Vegetation Index* (EVI), derived from MOD13Q1 product of the MODIS (*Moderate Resolution Imaging Spectroradiometer*) sensor. MODIS EVI Data (Collection 6) were obtained for the period 2000 - 2016. EVI data consists of 16-day maximum value composite images (23 per year) of the EVI value with a spatial resolution of 250 m x 250 m. We selected the pixels covering the distribution of *Q. pyrenaica* forests in Sierra Nevada (*n* = 928 pixels). Values affected by high content of aerosols, clouds, snow and shadows, were filtered out following recommendations for mountain regions (Reyes-Díez and others 2015).

As a surrogate of mean annual primary production, annual mean EVI ( $EVI_{mean}$ ) value was computed for each pixel for the period 2000 - 2016. To explore the effect of drought on greenness, the EVI standardized anomaly ( $EVI\ sa$ ) was computed pixel-by-pixel, since it minimizes biases in the evaluation of anomalies, providing more information about the magnitude of the anomalies (Samanta and others 2012). For each pixel, an annual EVI value was obtained by averaging EVI valid values. Then, the standardized anomaly was computed as:

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$$EVI_{sa,i} = \frac{EVI_{mean,i} - EVI_{mean,ref}}{\sigma_{ref}}$$

where  $\mathrm{EVI}_{\mathrm{sa},i}$  is the EVI standardized anomaly for year i;  $\mathrm{EVI}_{\mathrm{mean},i}$  the annual mean value of EVI for year i;  $\mathrm{EVI}_{\mathrm{mean},\mathrm{ref}}$  the average of the annual EVI values for the period of reference 2000-2016 (all except i year); and  $\sigma_{\mathrm{ref}}$  the standard deviation for the reference period. Each pixel was categorized according the EVI standardized anomalies as "greening" ( $\mathrm{EVI}\ sa > 1$ ), "browning" ( $\mathrm{EVI}\ sa < -1$ ) or "no changes" ( $-1 > \mathrm{EVI}\ sa > 1$ )(Samanta and others 2012).

Rather than other vegetation indices like the NDVI,  $EVI_{mean}$  was chosen since it is highly stable under the use of any filter (Reyes-Díez and others 2015) and because it showed high significant correlations with

annual (r = 0.81) and seasonal EVI values  $(r_{spring} = 0.76)$  and  $r_{summer} = 0.88)$ . For exploratory purposes,

227 temporal trends of EVI<sub>mean</sub> were explored at a pixel scale, using Mann-Kendall nonparametric test.

## Field sampling and dendrochronological methods to assess individual resilience

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229 Tree sampling was carried out during autumn 2016. Trees were sampled at two locations in contrasting N-S slopes of Sierra Nevada: San Juan (SJ), a xeric site located at the northern aspect; and Cáñar (CA), 230 231 a more humid but warmer site located at the southern aspect (Figure 1; Table 1). For the southern site two elevations were sampled: CA-Low and CA-High, which constitute the current altitudinal rear-edge 232 233 (CA-Low) and tree-line (CA-High), respectively in the sampled slope. Sampling sites follow a moisture 234 gradient: SJ < CA-Low < Ca-High (Table 1). All the sites were oak monospecific and representatives of 235 the population clusters identified for the species in this mountain range (Pérez-Luque and others 2015a). 236 In each site between 15 and 20 trees from either the single dominant-codominant layer (CA) or the open 237 canopy (SJ) were randomly selected. Two cores of 5 mm of diameter were taken from each tree at breast 238 height (1.3 m) using an increment borer. Diameter at breast height (DBH) and total height were measured 239 for each tree. In addition, stand competition of target trees was assessed by recording distance, azimuth, 240 DBH, species and total height of all neighboring living trees with DBH > 7.5 cm within a circular plot of 10 241 m radius. Several competition indices were calculated: the distance independent indices density (trees ·  $ha^{-1}$ ), and basal area (BA,  $m^2 \cdot ha^{-1}$ ); and the distance dependent index size ratio proportional to 242 distance (srd) as  $\operatorname{srd} = \sum_{i=1}^{n} (dbh_i/dbh_i) \cdot [1/(dist_{ij}+1)]$ . Differences between sites for height, DBH and 243 244 competition indices were analysed using non-parametric Kruskal-Wallis rank sum tests. When significant 245 differences were observed, we run multiple comparisons using the Dunn's-test with Bonferroni adjustment 246 to correct significance values.

- 247 Tree cores were air dried, glued onto wooden mounts and sanded. Annual radial growth (ring width, RW)
- was measured with a measuring device coupled to a stereomicroscope, with an accuracy of 0.001 mm.
- 249 Individual ring series were first visually and statistically cross-dated with TSAP software (Rinntech,
- 250 Heidelberg, Germany), using the statistics Gleichläufigkeit (GLK), t-value and the crossdating index (CDI).
- 251 Cross-dating validation was finally verified using COFECHA (Holmes 1983).
- 252 The growth trends were analyzed at different time scales. To study the response of growth to the inter-
- annual variability of climate (short-term response), pre-whitened residual chronologies (RWI) were used.
- 254 They were calculated from ratios between raw growth measurements and individual cubic splines with a
- 255 50 % frequency cutoff at 30 years (Fritts 1976). Tree-ring width series were standardized and detrended
- 256 using dp1R (Bunn 2010). Mean residual site chronologies were obtained computing the biweight robust
- 257 mean of all prewhitened growth indices for the trees of the same site (Fritts 1976). The statistical quality
- $258 \qquad \text{of each chronology was checked via the expressed population signal. A threshold value of EPS > 0.85}$
- was used to determine the cutoff year of the time span that could be considered reliable.

The long-term growth response was analyzed using basal area increment (hereafter BAI, cm² · year-1). Theoretically, BAI represents a more accurate indicator of growth than ring-width, since it removes variation in growth attributable to increasing stem circumference after 30-40 years of juvenile increasing growth (Biondi and Qeadan 2008). Raw ring-widths and measured DBH were used to compute BAI by subtracting twice the annual ring width from the annual diameter, starting from the measured diameter outside the bark (Piovesan and others 2008). We used the following equation:

266  $BAI = \pi(r_t^2 - r_{t-1}^2)$ 

267 where r is the radius of the tree and t is the year of tree-ring formation. For each individual tree, a mean 268 BAI series was calculated. Then, mean site BAI chronologies were obtained by averaging individual tree 269 BAI time series.

270 Both RWI and BAI chronologies were compared to different climatic indices to analyse the low and the 271 high-frequency response to climate of trees.

## Climate and growth

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273 Climate data were obtained from the European Daily High-Resolution Observational Gridded Dataset (E-274 OBS v16) (Haylock and others 2008). Monthly precipitation and minimum and maximum temperatures were obtained at a 0.25 x 0.25 ° resolution for the 1950-2016 period. We selected grid cells covering each 275 276 sampled sites. The Standardized Precipitation-Evapotranspiration Index (SPEI) with a temporal scale of 6 277 months was used to characterize the drought conditions for the period 1961-2014.

278 Relationships between residual site chronologies and climatic variables were assessed using 279 bootstrapped Pearson's correlations estimated using treeclim (Zang and Biondi 2015).

#### Disturbance analyses

Disturbance chronologies were built using tree-ring width to identify abrupt and sustained increases (release events from competition) or decreases (supressions) in radial growth (Nowacki and Abrams 1997) as indirect estimates of possible disturbance events (e.g. logging, drought induced neighbor mortality) in the past. Growth changes (GC) were calculated for the individual tree-ring series using a 10year running window as either positive (PGC) or negative (NGC) growth changes:

 $\%GC = \left[\frac{(M1 - M2)}{M2}\right] \times 100$ 286

where M1 is the preceding 10-year median and M2 is the subsequent 10-year median. Medians were 288 used since they are a more robust estimator of central tendency than means (Rubino and McCarthy 2004). Site disturbance chronologies were constructed by averaging the individual disturbances series Comentado [GG6]: No presentas desde 1950 en algunos casos?

annually. To separate growth peaks produced by disturbance events and expressing stand-wise disturbances from those by climate, we considered a threshold of 50 % of GC and more than 50% of the individual trees displaying the same growth changes was considered a stand-wise disturbance (e.g. Gealzquierdo and Cañellas 2014).

In addition, the forest and management history of our sampling sites was inferred from a detailed analysis of historical land-use changes. For this purpose, an exhaustive review of existing abundant historical documents was done to compile information about socio-economical activities affecting the studied forests (Table S4).

#### Assessing ecosystem and tree individual resilience to drought

To evaluate the effects of drought events on ecosystem resilience (using greenness data) and tree individual resilience (using BAI data) we used resilience indices proposed by Lloret and others (2011): resilience (Rs), resistance (Rt), and recovery (Rc). The Resistance index, estimated as the ratio between performance during and before the disturbance (Rt = Drought/PreDrought), quantifies the severity of the impact of the disturbance in the year it occurred. The Recovery index, computes as the ratio between performance after and during disturbance (Rc = PostDrought/Drought), represents the ability to recover from disturbance relative to its severity. Finally, the Resilience index (Rs = PostDrought/PreDrought) is the capacity to reach pre-disturbance performance levels. The values of these indices were computed for tree growth (BAI) and greenness (EVI mean) during each drought event. The predrought and postdrought values of each target variable (i.e. BAI or EVI) were computed as the mean value during a period of three years before and after the drought event respectively. A period of three years was chosen because we found similar results comparing periods of two, three and four years (Figure S6) and this length was used in other studies (e.g. Gazol and others 2018). To put in context in a longer-term the resilience observed for 2005 and 2012 drought events, as well as to test whether the resilience indices were ecologically meaningful, resilience metrics for BAI data were additionally computed for the most severe drought events since 1950 and compared them with drought severity (Figure 6, Table S3).

## Statistical analysis

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For each of the three resilience indices studied, robust two-way ANOVAs were used to test for differences between drought events (2005 and 2012) and the studied oak populations (northern and southern aspects). These tests were used because original and log-transformed data did not follow the assumptions of normality and homogeneity of variance (Wilcox 2012). Robust measures of central tendency (M-estimator based on Huber's Psi) were used since they were close to the mean value in all cases (Wilcox 2012). When running the robust ANOVA test, data were boostrapped 3000 times and trimmed automatically to control the potential influence of outliers. Post-hoc differences were assessed

pairwise using a similar boostrap test. All the robust ANOVA and post-hoc tests were carried out using the WRS2 package. The level of significance was set to 0.05 and adjusted for multiple comparisons.

Results

## Time trends in vegetation greenness

The analysis of greenness time trends showed that EVI in 78.9 % of the pixels of *Quercus pyrenaica* forests experienced a positive trend for the 2000-2016 period. The lowest values of EVI standardized anomalies for the studied period were recorded during the 2005 drought, and the minimum EVI values were expressed in northern (dry) populations (Figure 2). A "browning" episode (EVI $_{sa} < -1$ ) was observed for during this drought event, whereas no changes in greenness were observed in response to the 2012 drought (Figure 3).

## Analysis of radial growth trends and disturbances

The trees of the southern population were older than those from the northern one. In addition trees from the southern population at high altitude were taller and their growth was significantly faster than that of trees from the other two sites (Table 1, Figure 4), despite competition measured as plot basal area was greatest in CA-High (Table 1). Growth and height of trees from the northern and the low-elevation southern population were similar (Figures 4 and S6). Only trees from the southern sites showed significant positive growth trends since the late 1970s (Figure 4), being much more pronounced for the highest, hence more humid, site (CA-High).

Drought events produced reduction in RWI for all sites (Figure S3), being particularly evident the negative effect of the 1995 drought (the worst drought spell in our climatic record, Table S3), when the greatest growth reduction was recorded for all chronologies. The southern sites (CA-High and CA-Low) showed weaker reductions of tree-growth than the northern site (SJ), especially for 2005 and 2012 (Figure S3). Tree-growth reduction followed a moisture-gradient, being weaker for the wetter wettest site (CA-High, Figure 5).

The northern site (SJ) showed two major release events (GC > 50 % occurring in more than 50 % of sampled trees): the first during the 1940s (the most evindent) and the second in 1995-2000. These periods alternated with periods of suppression. In contrast, the two southern sites showed no release events except for CA-High at the beginning of the 1830s and no suppression events in the last 50 years.

#### Resilience to drought events at the ecosystem and individual tree levels

Resilience metrics of tree-growth for drought events since 1950 (*i.e.* shared period among the three chronologies excluding the juvenile years, Table S3) revealed a positive relationship between drought severity and Recovery (*Rc*), significant for all oak populations (Figure 6). A similar pattern was obtained for Resilience (*Rs*) but only significant for SJ site (Figure 6). Importantly, non-significant patterns were obtained if we excluded 1995 from the analysis, except for Rc in SJ (Figure S8). Trees showed the highest value of tree-growth resilience for 1995, the worst drought event in our study area (Table S3), and particularly SJ, where our results suggest a major release event after 1995 (Figure 5).

During the last two drought events, resilience metrics for greenness and tree-growth were significantly different between drought events (Table 3). The 2005 drought event reduced greenness and growth more than that of 2012 (Tables S1 and S2) but the two metrics of resilience generally covaried in the same direction. We obtained significantly higher Resilience (*Rs*) and Resistance (*Rt*) values for the 2012, the most severe event, than for 2005 in both variables (Tables S1-S2; Figure 7). Recovery (*Rc*) showed contrasting pattern for EVI and tree-growth. Recovery values for greenness were higher for 2005 than for 2012 drought event (Table S1, Figure 7).

Overall, resilience metrics significantly varied between sites, except for Resilience (Rs) of tree-growth (p = 0.534; Table 3), which was similar among the three sites. The two southern populations showed lower values for Recovery (Rc) than the northern site both for greenness and tree-growth (Tables S1 and S2). In contrast, Resistance and Resilience values were significantly higher for southern sites than for northern ones (Tables S1 and S2).

#### Tree-growth response to climate

The response of tree-growth to water availability was greater than that to temperatures, as it can be expected for a Mediterranean site and particularly a species located closer to its xeric/dry distribution limit (rear-edge). Cumulative precipitation of the hydrological year and seasonal SPEI values (i.e. for the Hydrological year, Spring and Summer) were the climatic variables exhibiting the highest (positive) relationship with growth for all populations (Figure 8a). Yet there were sone differences between northern and southern populations: the positive relationship with SPEI was highest in the northern population (r > 0.6 vs. r < 0.5; Figure 8a). In addition, spring maximum temperature was the most significant limitation for tree-growth only for the southern populations (Figure 8b and 8c). Minimum and maximum temperatures of current September positively influenced tree-growth only in the northern population.

Comentado [GG7]: Ves lo que digo en el abstract: en realidad los mayores valores de resiliencia están en SJ. Cuidado con esto.

Comentado [GG8]: Cuidado al discutir esto: se recupera mejor de la mayor sequía en 2012... pero porque le afecta mucho menos (Fig 2).

**Comentado [GG9]:** Cuidado que esto sea coherente con Figuras 6 y 7... donde se muestra esa información también.

## Comentado [GG10]: Te borro cosas:

- 1) para abrevia
- 2) porque no se ven claras y luego no van a aportar (diría) a la discussion.

Es bueno ser conciso aquí y solo comentar lo que luego se discute en el siguiente apartado.

## Discussion

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## Relict oaks show high resilience to recent drought events and long-term climatic variability

Severe drought negatively affects both primary and secondary growth of Q. pyrenaica forests. This was expressed by the observed reduction in greenness and tree-growth in response to the 2005 and 2012 drought events, and the consistent radial growth suppression for this oak species during extreme drought events (Corcuera and others 2006; Gea-Izquierdo and Cañellas 2014). Furthermore, the greatest reduction of tree-growth was observed during the 1995 drought, a characteristic pointer-year that caused severe and extensive damage to the Mediterranean vegetation across the Iberian Peninsula (Peñuelas and others 2001; Gazol and others 2018). Although the south of Iberian Peninsula suffered severe drought events in the last decades (e.g. 2005 and 2012 were considered two of the worst recorded drought; García-Herrera and others 2007; Trigo and others 2013; Vicente-Serrano and others 2014b) (Table S3), we found a positive trend for vegetation greenness of Q. pyrenaica for the last 16 years (78.9 % of the pixels showed a positive trend during the 2000 to 2016 period). This confirms previous findings that pointed out a recent short-term increase in primary productivity for these forests coinciding with a rather humid decade in the 2000s after a dry decade in the 1990s (Pérez-Luque and others 2015b), being the most productive ecosystems in this mountain region during that period (Alcaraz-Segura and others 2016). For tree-growth, we also observed positive trends in the last decade, particularly for the southern high-elevation site (CA-High, Figure 4). Similar long-term trends were described for this species along its distribution range only a high elevation sites (Gea-Izquierdo and Cañellas 2014), which could be related to a non-linear positive effect of warming at species-wise cold limited high elevation sites (Salzer et al. 2009; Gea-Izquierdo and Cañellas 2014). However, the observed pattern for our sites (a rear-edge) differs from the decline trends observed for several Mediterranean and temperate tree-species located in their rear-edges (e.g., Pinus nigra; P. sylvestris; Sánchez-Salguero and others 2012; Camarero and others 2015b; Fagus sylvatica; Dorado-Liñán and others 2017a).

Despite the 2012 drought event was more severe and intense than 2005 (Table S3), resilience values for greenness and tree-growth were greater for 2012. This could be explained by the different timing of the two droughts, *i.e.* the 2012 drought was a winter drought (Trigo and others 2013) occurring earlier than the 2005 drought. The latter lasted less than 2012 drought, but matched the period of maximum growth for *Q. pyrenaica* forests (Figure S7). Thus, this highlights the importance of the timing of drought as a key factor determining tree recovery (Huang and others 2018), as also observed for other Mediterranean oak species (e.g. Holm oak; Camarero and others 2015a). In addition, we found strong declines of treegrowth during the most severe drought events occurred (1995 and 1999) (Table S3; Figure S3), matching similar declining patterns observed for other species (Sánchez-Salguero and others 2012; Camarero and others 2015b). But, interestingly, the highest values of resilience for tree-growth were obtained for these two drought events. In addition, we observed a positive relation between tree-growth resilience and

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drought severity. This result seems contradict our initial hypothesis in which we predicted low resilience
values for this oak species in their rear-edge after extreme drought events. Yet, the high resilience values
obtained for the 1995 drought in the most xeric site (SJ) could be related with drought-induced mortality
(personal communications), which would show the influence of stand dynamics partly shadowing
interpretation of individual resilience estimated from individual growth series.

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Rear-edge populations live in environmental narrow margins, and small variations in environmental conditions can increase the vulnerability of the species (Hampe and Petit 2005). A high vulnerability to drought is often assumed for populations located at their rear-edge (Martínez-Vilalta 2018). However we obtained positive trends in greenness and tree-growth for the rear-edge of Q. pyrenaica and high values of resilience to severe droughts. Our findings are in agreement with those studies that have shown that the assumed higher vulnerability of dry edges does not necessarily hold (Cavin and Jump 2017). Martínez-Vilalta (2018) pointed out the importance of the local adaptation and plasticity, and also of the local environmental factors on the vulnerability showed by rear-edge populations. The high values of resilience to drought reported here together with previously works showing high values of genetic resilience for those forests at Sierra Nevada (cita), seem to be indicative of high local adaptation of this oak. In addition the local environmental conditions of the sites where this species inhabit at Sierra Nevada would explain the low vulnerability to drought showed by this oak. A key consideration for rear-edge populations is the different ways in which marginality can be defined, i.e. if it is defined according to geographic, climatic criteria, or according to other ecological factors (Martínez-Vilalta 2018). In this sense, the high values of resilience to the drought events that we have observed, could suggest that the populations of Q. pyrenaica in Sierra Nevada are located in a geographical but not a climatic rear-edge. And the current niche is a result of land-use changes, which further complicates the definition of potential rear-edges.

last drought events EVI was reduced to 90.3 % (Table 1), whereas BAI was reduced to 70.8 % (Table S2), suggesting a lower sensitivity to drought of greenness than of tree-growth, particularly for drier sites. These findings are in accordance with previously works showing that tree-growth is a more sensitive metric of forest resilience than net primary productivity (*e.g.* Babst and others 2013; Coulthard and others 2017; Gazol and others 2018). Besides the different scales of both approaches, *i.e.* tree-based *versus* pixel-based\_(i.e. ecosystem or stand based); the growth reduction seems to be more mediated by sink that by source limitations (Gazol and others 2018). The response of greenness to drought not only reflects the response of trees but from all vegetation within the pixel (Dionisio and others 2012). In this sense, recent results indicate that remote sensing models underestimate the impact of drought on primary production (Stocker and others 2019), as soil moisture is not taken into account by this satellite estimates. This is particularly important for *Q. pyrenaica* forests, as soil moisture is a key growth-related factor for this species (Gea-Izquierdo and Cañellas 2014). On the other hand, tree-ring records allow to

Greenness and tree-growth of Q. pyrenaica showed different sensitivity to severe droughts. During the

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complement remote sensing data in a longer time scale, by reflecting climate or disturbance induced treegrowth anomalies over decades to centuries (Babst and others 2017) and provide an accurate measure of growth responses to droughts (Bhuyan and others 2017; Gazol and others 2018). A combined analysis, such the approach used in our study, may allow climate-induced variability in forest growth to be disentangled from that driven by community-level ecological processes (Babst and others 2017), since spatio temporal patterns of tree-ring measurement and earth observations (i.e. EVI) reflects processes at different spatio-temporal scales (Gea-Izquierdo et al. 2015; Babst et al. 2018).

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#### Small-scale environmental variability shapes the sensitivity to climate of trees within the rear-edge

Greenness and tree-growth were more affected by drought events in drier northern populations than in more humid southern oak populations, e.g. the northern site showed higher browning intensity than the southern sites during the 2005 drought event. In addition, the stronger correlations of tree-growth with SPEI (Hydrological and summer) in the northern site, can be interpreted as higher sensitivity to drought in drier sites (Gea-Izquierdo and Cañellas 2014). Similar to many other forest species under Mediterranean climates, moisture availability is generally the most limiting factor driving radial growth of Q. pyrenaica along their distribution range in the Iberian Peninsula (Gea-Izquierdo and Cañellas 2014). Thus, our results are consistent with previous studies highlighting the influence of precipitation on tree-ring growth in different oak species (e.g. Gea-Izquierdo and Cañellas 2014; González-González and others 2014; García-González and Souto-Herrero 2017)

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It has been described an effect of elevation on the resistance to drought of several tree-species, where stands located at higher elevations were less drought sensitive, thus high-elevations can be used as local refuge for species in response to climate change (Bhuyan and others 2017). It is well known that tree growth and the tree responses to drought are site-dependent (e.g. soil features, tree competence, etc.) (Babst and others 2013; Vicente-Serrano and others 2014a) particularly for rear-edge populations (Cavin and Jump 2017; Dorado-Liñán and others 2017b). Remarkably, the trees at CA-High, which are located around 1900 m. a.s.l. and represent today the upper altitudinal limit (treeline) for the species in this southernmost location, showed higher BAI than those located at lower-elevations (CA-Low and SJ) (Figure 4). This shows the high variability in the response to climate exhibited in a small gradient and is especially interesting for the southern sites, which are very close to each other and overall both constitute the rear-edge for the species. The higher values of tree growth in taller trees that we observed in CA-High site strongly suggest a lower water stress at high-elevation sites (as shown by soil analyses along an elevational gradient in this location, Cobo-Díaz and others 2017). Furthermore, as mentioned, the recent growth increase only at the most humid locations a likely concomitant positive effect of a warming climate (Gea-Izquierdo and Cañellas 2014).. Finally and in a context of global change, in addition to withstanding lower water stress, it is likely that less accessible sites at higher elevations would suffer a lower anthropic

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impact and the abandonment of traditional uses was earlier, due to their farthest location from human settlements and therefore less access to forest resources (Table S4).

#### Land-use legacies shape forests and sensitivity to climate change at the rear-edge

The Mediterranean landscapes, even at high elevations, have suffered a strong transformation landscapes driven by human activities throughout history. Multiple evidence from palaeoecological studies indicated an intense human impact on vegetation of Sierra Nevada since 3000 cal year BP (García-Alix and others 2017). From that moment onwards, increases on fire occurrence and both grazing and mining activities, were recorded for this mountain region, with a sharp intensification of the human activities in the last 150 years (García-Alix and others 2017). Mining, forest clearings, firewood extraction and charcoal exploitations (these were strongly suggested in the disturbance chronology in SJ), pastoralism and also wars, have strongly impacted on the forest resources in Sierra Nevada, and on Pyrenean oak forest, as can be derived from the results of the review of historical documents. As a consequence a loss of about 90% of broadleaf Quercus species cover from medium and low elevation occurred in this mountain region (Jiménez-Olivencia and others 2015). Therefore, our study sites have been subjected to an intense exploitation of forest resources in history and landscape transformation. Although the estimated age for our sampled trees was lower than 180 years, several documents reported abundant oak presence before that date. For instance, the inventories of trees made by the Spanish Navy during the second half of 18th century, reported for Cáñar and SJ sites more than two millions and 700 000 trees respectivley, most of them juveniles, suggesting recent wood fellings (Cruz 1991). These numbers are a reflect of the intense exploitation of the forest resources occurring up to the end of nineteenth century (Calatrava and Sayadi 2019) and also concur with the regeneration peaks observed for several Iberian oak woodlands (e.g. Gea-Izquierdo and Cañellas 2014; Gea-Izquierdo and others 2015; Dorado-Liñán and others 2017a).

Together with the analysis of the disturbance chronologies, the observe strong differences in stand structure, tree size and age suggest different forest history and a different management origin (*i.e.* landuse legacy) between Northern (coppice) and Southern Populations (high forest, open woodland, Jiménez-Olivencia and others 2015). It has been described a sequential distribution of land-uses along the elevational gradient in the northern site (*e.g.* San Juan): grasslands and shrublands for cattle farming are located at high elevations; then forests formation with some croplands; and at lowlands, irrigated terraces with tree crops (Jiménez-Olivencia and others 2015). Other activities like mining should have determined the forest structure at that northern location, since SJ is located in an area with a high concentration of mines and quarries that have been exploited intermitently throughout history since the 1950s???. On the other hand, woodland areas of the southern slopes (Cáñar) are mixed with a greater percentage of croplands along the elevational gradient where oaks occurr (Calatrava and Sayadi 2019), being firewood, charcoal and acorns continuously exploited through history (Valbuena-Carabaña and Gil 2013). Charcoal

extraction is well known at least since the 15th century up to middle of the 20th century (Jiménez-Serrano and Serrano-Gutiérrez 2004). From this moment to the present, there were a sharp decrease of the wood extraction, mainly due to rural abandonment and use of gas and fosil fuels, similar to other Fagaceae stands acroos Europe and the Mediterranean (Valbuena-Carabaña and Gil 2013)..

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551 552 Some of the previous historical records of land-use were expressed in our growth chronologies. The release event at 1940s in the northern site concurs with one period of maximum mining activity in this area (1925 to 1957) (Table S4), with an increase on the use of timbers for the mine tunnels and furnaces that additionally required great quantities of fuelwood to melt the mineral (Titos 1990). This heavy exploitation of neighbouring forest resources should have affected a major part of this oak woodland as shown by growth the remnant trees included in the northern site (Figure S4). In addition, paleoecological studies carried out in nearby alpine bogs have recorded increases in the heavy metals concentration since the end of 18th century until mid-20th, which coincides with the maximum activity of the mining in the northern site (García-Alix and others 2017). The other release event observed for SJ site during 1995-2000 was lower than that occurred at 1940, but also affected most trees (Figures 5, S4). There are no records of forest practices carried out in this area in the last 30 years (Bonet and others 2016) and no record for any logging during 1995 - 2000. Therefore, two non-mutually exclusive ways could explain the tree-growth release observed. Firstly it could be related with a natural drought-induced mortality event after 1995 as has been reported for other tree species after severe drought (e.g. Peñuelas and others 2001; Lloret and others 2004). On the other hand, the strong positive correlations of SPEI with treegrowth for this site show a high sensitivity to water availability (Gea-Izquierdo and Cañellas 2014). Previous works reported a sharp decline (or non-production) in latewood production during extreme drought events (Corcuera and others 2006). Since smaller latewood vessels are less vulnerable to embolism than earlywood vessels (Corcuera and others 2006), the reduction or even the non-production of former could negatively affect to tree-growth and also enhanced the mortality, particularly for drier sites (Corcuera and others 2006; Gea-Izquierdo and Cañellas 2014).

For southern sites, the only positive release event showed at the earliest years for CA-High site could be related with conversion from closed forest to an open silvopastoral system, a common management applied in the past in Iberian oak woodlands (Cañellas et al. 2004; Gea-Izquierdo et al. 2011) documented in this site (Valbuena-Carabaña and Gil 2013).

This pattern concurs with a forest expansion into marginal abandoned croplands and an increase in the tree-cover (Camacho-Olmedo and others 2002).

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## Conclusions

Severe drought events provoke important reductions in primary and secondary growth of Q. pyrenaica forests in the studied relict, read-edge forest. However, we observed no negative growth trends despite we had expected vulnerability to climate change for these relict stands. Furthermore, we observed positive trends for primary growth (i.e. greenness) at the ecosystem scale and a positive steep trend of secondary growth at the tree level at the most humid site along the climatic gradient analyzed. The trees exhibited high resilience values in response to drought, particularly in the long-term scales, and resilience was directly related to drought severity. These results would be consistent with other research showing that this mountain region is still acting as a refuge for deciduous species, including  $\mathit{Quercus}$ . The differences observed in tree-growth and resilience to drought between very close sites show that responses to drought were site-dependent and can vary in very small gradients (i.e. following ecological thresholds). This is particularly relevant for rear-edge populations where topographic and biophysical variability facilitates the existence of microrefugia. The analysis of tree-growth dynamics revealed suppression and release events that were consistent with legacies left by land-use in local forest dynamics, as inferred from an exhaustive review of historical documents. In this sense our results highlight the importance of land-use legacies for highly transformed Mediterranean systems. This is relevant for tree species with a high sensitivity to climate change, such us Q. pyrenaica, not only for conservation per se of the species, but for all ecosystem services that these singular forests offer at Mediterranean mountain. Following our study it is necessary to further disentangle how ecosystem services and stand dynamics are affected by the combination of climate change and land-use legacies in highly transformed landscapes like the one studied here.

## Acknowledgements

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Comentado [GG25]: Posiblemente sea demasiadas autocitas, yo las meto por si te son útiles (aunque por otro lado estoy quitando, pero bueno)

Comentado [GG26]: Si te sobra quita éste que solo se cita una vez

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from presettlement-origing oaks. Ecological Monographs 67:225–49. http://dx.doi.org/10.1890/0012-9615(1997)067[0225:RGACFR]2.0.CO;2

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Comentado [GG27]: Falta parte de la cita, completer.

747	Olalde M, Herrán A, Espinel S, Goicoechea PG. 2002. White oaks phylogeography in the Iberian
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777	bristlecone pine at the highest elevations and possible causes. PNAS 106:20348-53.

Comentado [GG28]: Hay algún artículo del tema más moderno (ahora no me viene a la cabeza). Pero al menos hay que citar éste: la tendencia positiva es solo en sitios fríos/altos (y no siempre, por eso pongo nolineal).

778	Samanta A, Ganguly S, Vermote E, Nemani RR, Myneni RB. 2012. Interpretation of variations in MODIS-
779 780	measured greenness levels of amazon forests during 2000 to 2009. Environmental Research Letters 7:024018.
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vegetation to drought time-scales across global land biomes. Proc Natl Acad Sci U S A 110:52–7.

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Comentado [GG29]: Hay muchas citas de sequía y clima. Puedes quitar un par de ellas.

Comentado [GG30]: Lo mismo si te puedes ahorrar uno de los 4 Vicente-Serrranos (a menos que no sean repetidas las citas).

810	JM, Azorín-Molina C, Morán-Tejeda E, Revuelto J, Trigo R, Coelho F, Espejo F. 2014b. Evidence
811	of increasing drought severity caused by temperature rise in southern Europe. Environmental
812	Research Letters 9:044001.
813	Wilcox R. 2012. Introduction to robust estimation and hypothesis testing (third edition). Third Edition.
814	Academic Press
815	Zang C, Biondi F. 2015. Treeclim: An r package for the numerical calibration of proxy-climate
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817	Zhang Y, Peng C, Li W, Fang X, Zhang T, Zhu Q, Chen H, Zhao P. 2013. Monitoring and estimating
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# Página 2: [1] Eliminado Guillermo Gea Izquierdo 29/7/19 15:05

both [GG1]in primary and secondary growth than Southern populations where lower altitudes were also less resilient than those at high-altitudes

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Página 2: [2] Comentado	Guillermo Gea Izquierdo	30/7/19 10:30
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¿Es esto verdad? Figs 6 y 7: Rt menor en SJ pero Rs y Rc son (no siempre) mayors. Sería al revés entonces? Más estrés, más resiliencia (en BAI) pero menos resistencia? Revísalo para que quede coherente, creo que ahora mismo no es cierto lo que se pone.

, the trees showed high resilience in response to drought. Yet, t

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Página 2: [4] Eliminado	Guillermo Gea Izquierdo	30/7/19 12:38
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s only in the coldest and more humid stands

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