

# MIU EFTERÅR 2021

# ALGORITMER OG DATASTRUKTURER

Forelæsning 2: Poser, Køer, og Stakke

TROELS BJERRE LUND



AARHUS  
UNIVERSITY

# Algorithms

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ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.3 BAGS, QUEUES, AND STACKS

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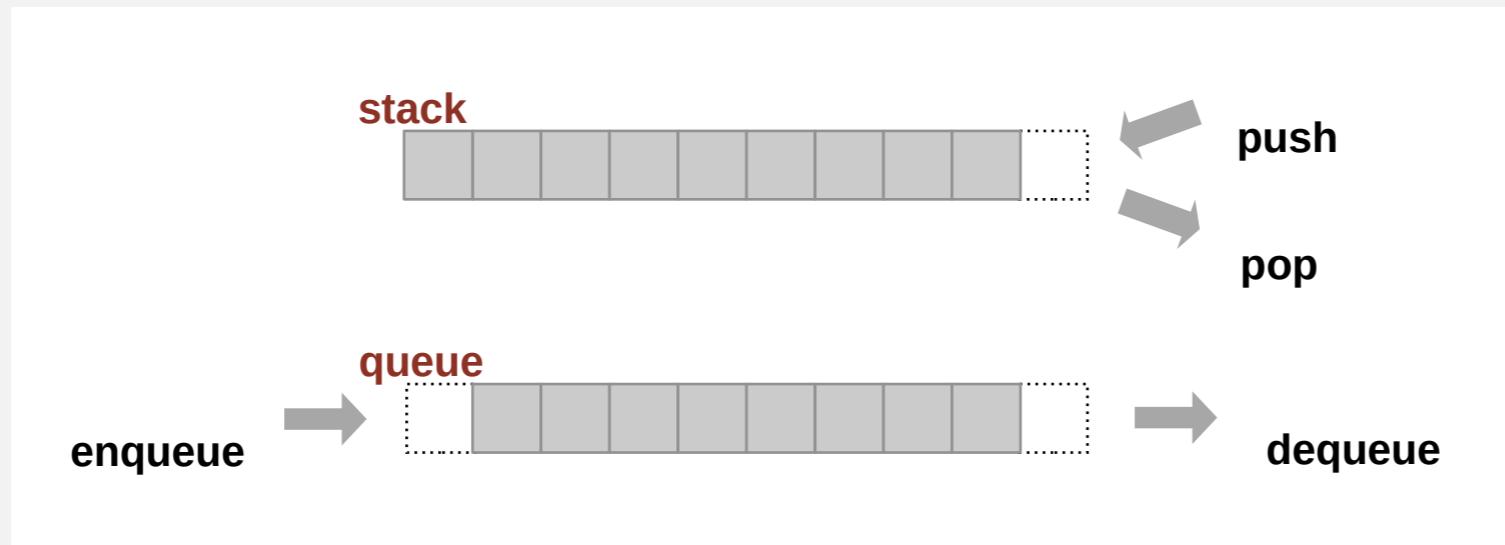
- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

# Stacks and queues

---

## Fundamental data types.

- Value: collection of objects.
- Operations: **insert**, **remove**, **iterate**, test if empty.
- Intent is clear when we insert.
- Which item do we remove?



**Stack.** Examine the item most recently added.

**Queue.** Examine the item least recently added.

LIFO = "last in first out"  
FIFO = "first in first out"



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## 1.3 BAGS, QUEUES, AND STACKS

---

- ▶ ***stacks***
- ▶ *resizing arrays*
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- ▶ *applications*

# Stack API

Warmup API. Stack of strings data type.

```
public class StackOfStrings
```

StackOfStrings()

*create an empty stack*

void push(String item)

*insert a new string onto stack*

String pop()

*remove and return the string  
most recently added*

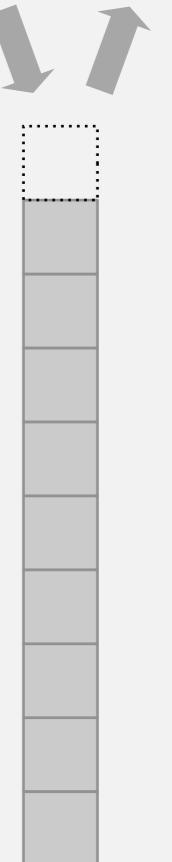
boolean isEmpty()

*is the stack empty?*

int size()

*number of strings on the stack*

push    pop

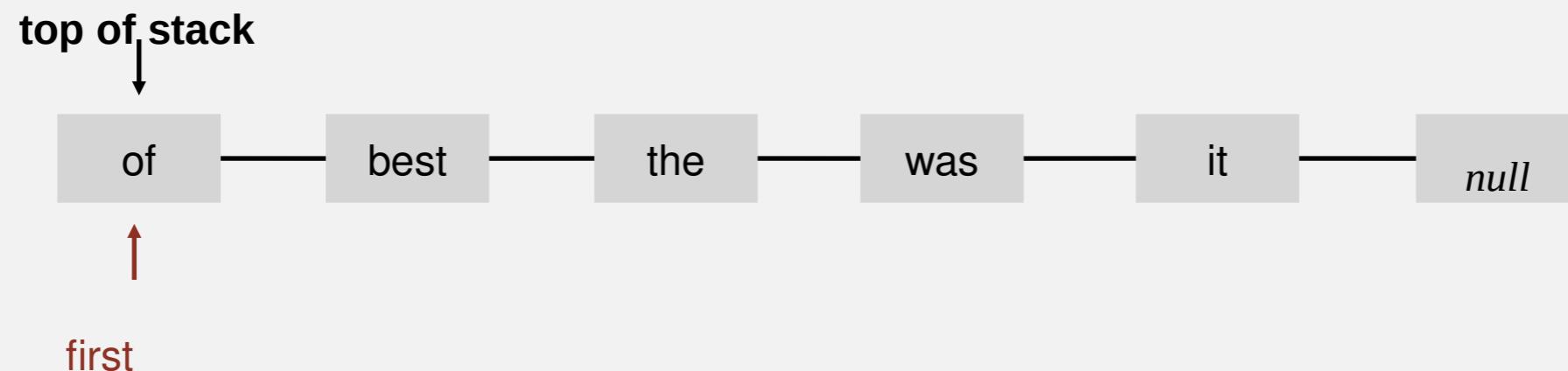


# Stack: linked-list implementation

---

- Maintain pointer first to first node in a singly-linked list.
- Push new item before first.
- Pop item from first.

```
private class Node {  
    String item;  
    Node next;  
}
```



# Stack pop: linked-list implementation

inner class

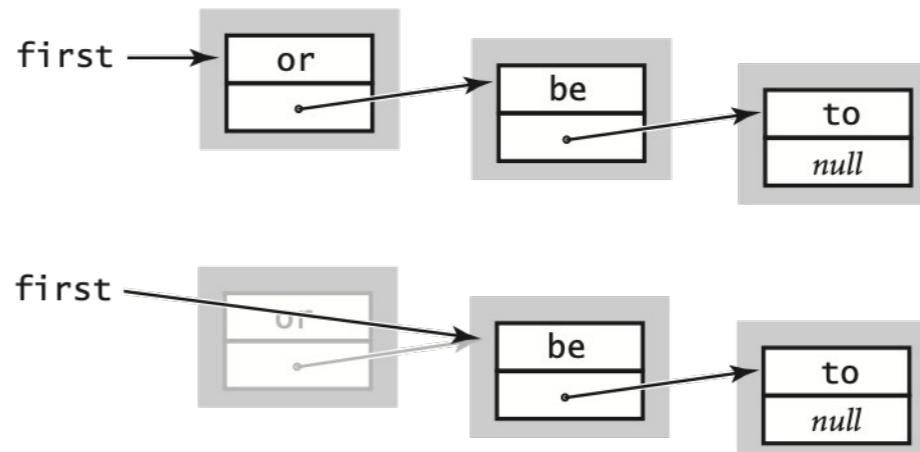
```
private class Node {  
    String item;  
    Node next;  
}
```

save item to return

```
String item = first.item;
```

delete first node

```
first = first.next;
```



return saved item

```
return item;
```

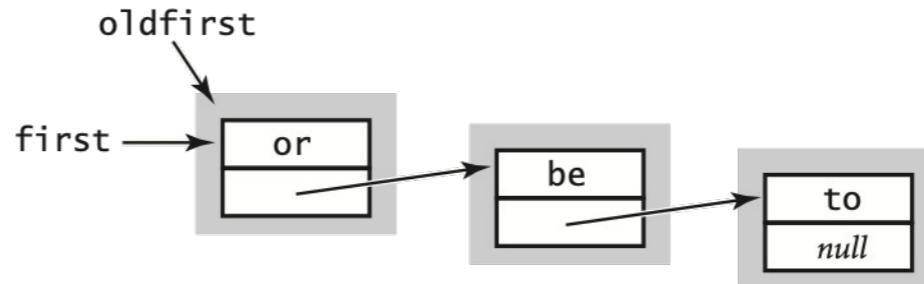
# Stack push: linked-list implementation

## inner class

```
private class Node {  
    String item;  
    Node next;  
}
```

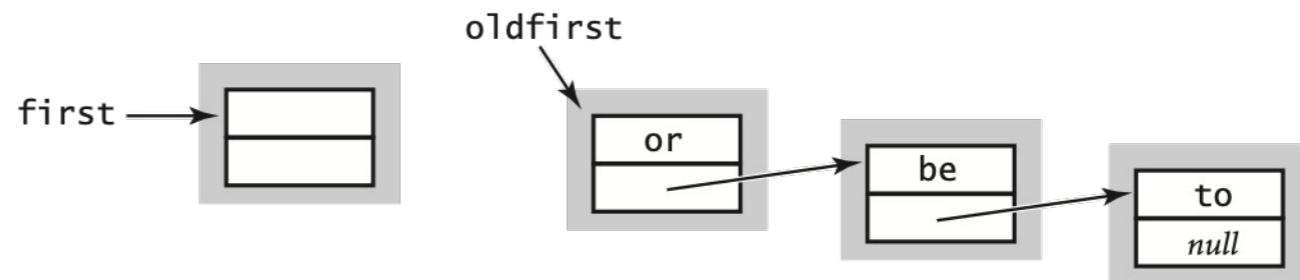
### save a link to the list

```
Node oldfirst = first;
```



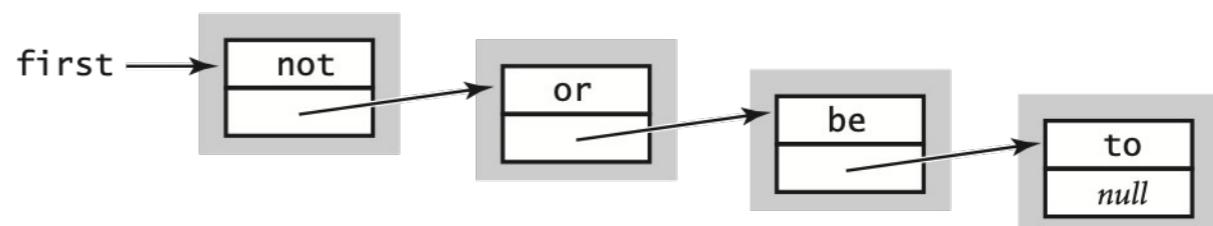
### create a new node for the beginning

```
first = new Node();
```



### set the instance variables in the new node

```
first.item = "not";  
first.next = oldfirst;
```



# Stack: linked-list implementation in Java

---

```
public class LinkedStackOfStrings {  
    private Node first = null;  
  
    private class Node {  
        String item;  
        Node next;  
    }  
  
    public boolean isEmpty() { return first == null; }  
  
    public void push(String item) {  
        Node oldfirst = first;  
        first = new Node();  
        first.item = item;  
        first.next = oldfirst;  
    }  
  
    public String pop() {  
        String item = first.item;  
        first = first.next;  
        return item;  
    }  
}
```

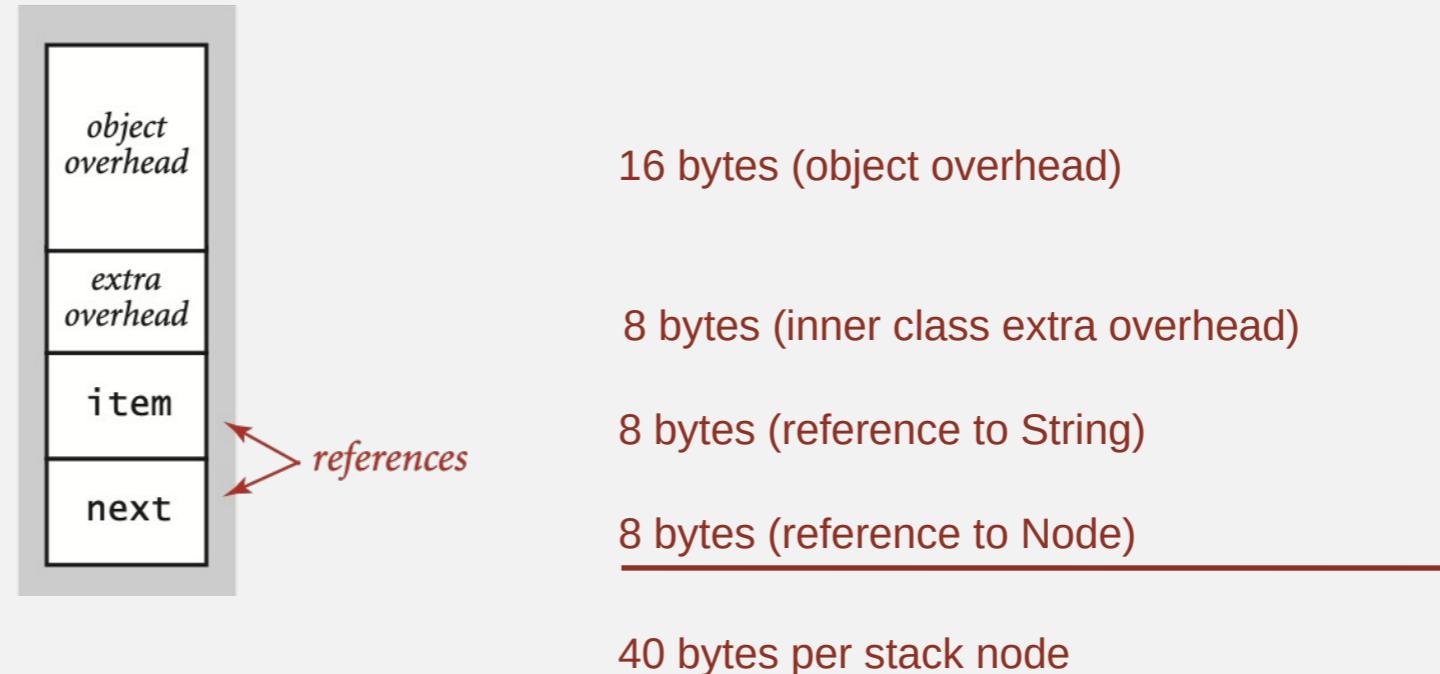
private inner class  
(access modifiers for instance  
variables don't matter)

# Stack: linked-list implementation performance

Proposition. Every operation takes constant time in the worst case.

Proposition. A stack with  $N$  items uses  $\sim 40N$  bytes.

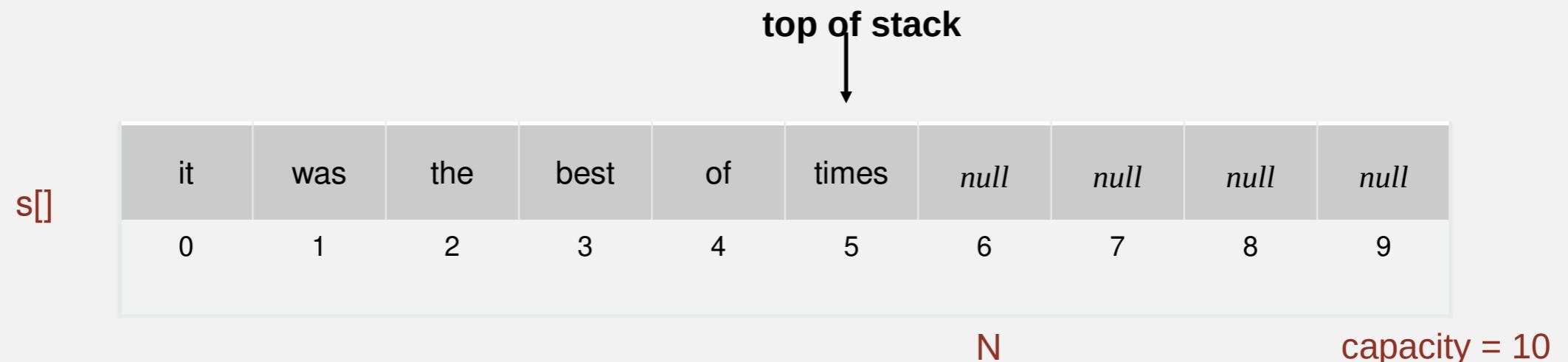
```
inner class  
private class Node {  
    String item;  
    Node next;  
}
```



Remark. This accounts for the memory for the stack  
(but not the memory for strings themselves, which the client owns).

# Fixed-capacity stack: array implementation

- Use array  $s[]$  to store  $N$  items on stack.
  - $\text{push}()$ : add new item at  $s[N]$ .
  - $\text{pop}()$ : remove item from  $s[N-1]$ .



Defect. Stack overflows when N exceeds capacity. [stay tuned]

# Fixed-capacity stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public FixedCapacityStackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

use to index into array;  
then increment N

a cheat  
(stay tuned)

decrement N;  
then use to index into array

# Stack considerations

---

## Overflow and underflow.

- Underflow: throw exception if pop from an empty stack.
- Overflow: use resizing array for array implementation. [stay tuned]

Null items. We allow null items to be inserted.

Loitering. Holding a reference to an object when it is no longer needed.

```
public String pop()  
{ return s[--N]; }
```

loitering

```
public String pop() {  
    String item = s[--N];  
    s[N] = null;  
    return item;  
}
```

this version avoids "loitering":  
garbage collector can reclaim memory for an  
object only if no outstanding references

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# Stack: resizing-array implementation

---

**Problem.** Requiring client to provide capacity does not implement API!

**Q.** How to grow and shrink array?

**First try.**

- `push()`: increase size of array  $s[]$  by 1.
- `pop()`: decrease size of array  $s[]$  by 1.

**Too expensive.**

- Need to copy all items to a new array, for each operation.
- Array accesses to insert first  $N$  items =  $N + (2 + 4 + \dots + 2(N - 1)) \sim N^2$ .

*infeasible for large  $N$*  ↓  
↑  
*1 array access per push*  
↑  
 *$2(k-1)$  array accesses to expand to size  $k$   
(ignoring cost to create new array)*

**Challenge.** Ensure that array resizing happens infrequently.

# Stack: resizing-array implementation

Q. How to grow array?

*"repeated doubling"*

A. If array is full, create a new array of **twice** the size, and copy items.

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }

public void push(String item)
{
    if (N == s.length) resize(2 * s.length);
    s[N++] = item;
}

private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < N; i++)
        copy[i] = s[i];
    s = copy;
}
```

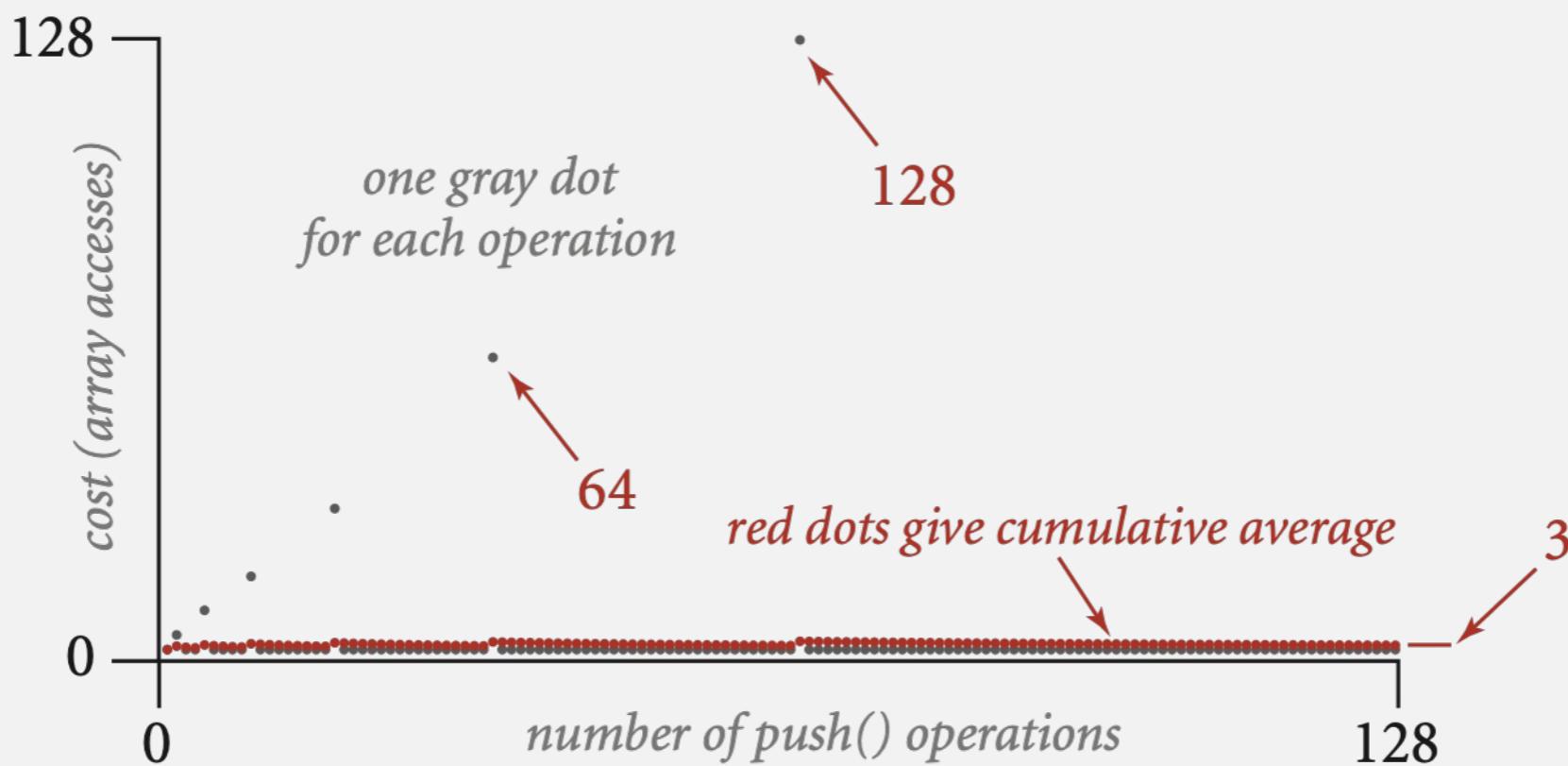
Array accesses to insert first  $N = 2^i$  items.  $N + (2 + 4 + 8 + \dots + N) \sim 3N$ .

*Karray  
(idx)*

# Stack: amortized cost of adding to a stack

Cost of inserting first  $N$  items.  $N + (2 + 4 + 8 + \dots + N) \sim 3N.$

$\uparrow$                              $\uparrow$   
1 array access                    k array accesses to double to size k  
per push                            (ignoring cost to create new array)



# Stack: resizing-array implementation

---

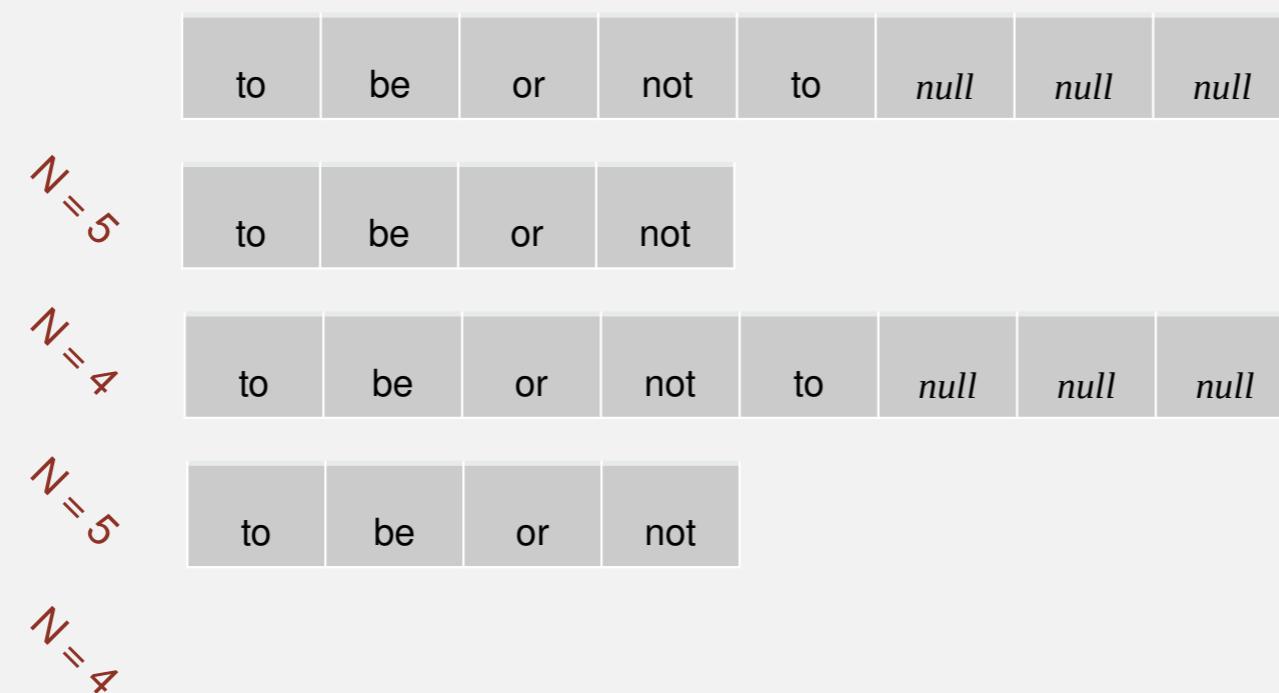
Q. How to shrink array?

First try.

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-half full**.

Too expensive in worst case.

- Consider push-pop-push-pop-... sequence when array is full.
- Each operation takes time proportional to  $N$ .



# Stack: resizing-array implementation

---

Q. How to shrink array?

Efficient solution.

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-quarter full**.

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    if (N > 0 && N == s.length/4) resize(s.length/2);
    return item;
}
```

Invariant. Array is between 25% and 100% full.

# Stack resizing-array implementation: performance

---

**Amortized analysis.** Starting from an empty data structure, average running time per operation over a worst-case sequence of operations.

**Proposition.** Starting from an empty stack, any sequence of  $M$  push and pop operations takes time proportional to  $M$ .

	best	worst	amortized
construct	1	1	1
push	1	$N$	1
pop	1	$N$	1
size	1	1	1

order of growth of running time  
for resizing stack with  $N$  items

doubling and  
halving operations

The diagram illustrates the amortized cost of push and pop operations. It shows red arrows pointing from the worst-case cost of  $N$  in the 'worst' column to the amortized cost of 1 in the 'amortized' column for both push and pop operations. This visualizes how the extra cost of  $N$  is spread out over multiple operations through doubling and halving operations, making each individual operation's amortized cost constant at 1.

## Stack resizing-array implementation: memory usage

---

**Proposition.** Uses between  $\sim 8N$  and  $\sim 32N$  bytes to represent a stack with  $N$  items.

- $\sim 8N$  when full.
- $\sim 32N$  when one-quarter full.

```
public class ResizingArrayStackOfStrings {  
    private String[] s; ← 8 bytes × array size  
    private int N = 0;  
    ...  
}
```

**Remark.** This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

# Stack implementations: resizing array vs. linked list

---

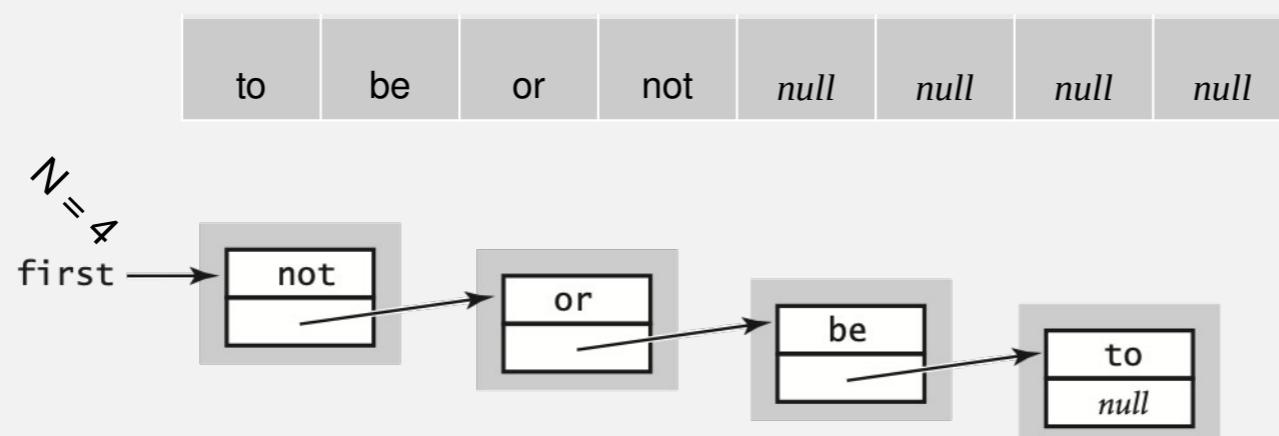
**Tradeoffs.** Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

## Linked-list implementation.

- Every operation takes constant time in the **worst case**.
- Uses extra time and space to deal with the links.

## Resizing-array implementation.

- Every operation takes constant **amortized** time.
- Less wasted space.



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# Queue API

```
public class QueueOfStrings
```

```
    QueueOfStrings()
```

*create an empty queue*

```
    void enqueue(String item)
```

*insert a new string onto queue*

```
    String dequeue()
```

*remove and return the string  
least recently added*

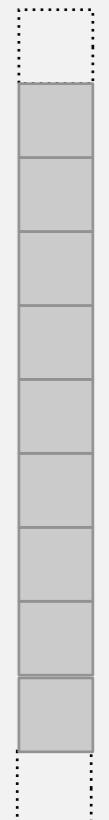
```
    boolean isEmpty()
```

*is the queue empty?*

```
    int size()
```

*number of strings on the queue*

enqueue



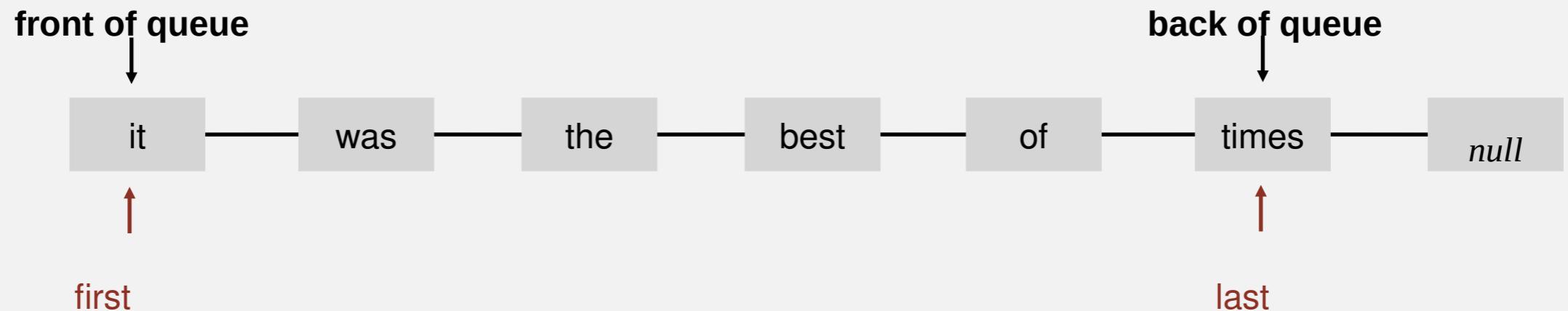
dequeue



# Queue: linked-list implementation

---

- Maintain one pointer first to first node in a singly-linked list.
- Maintain another pointer last to last node.
- Dequeue from first.
- Enqueue after last.



# Queue dequeue: linked-list implementation

## inner class

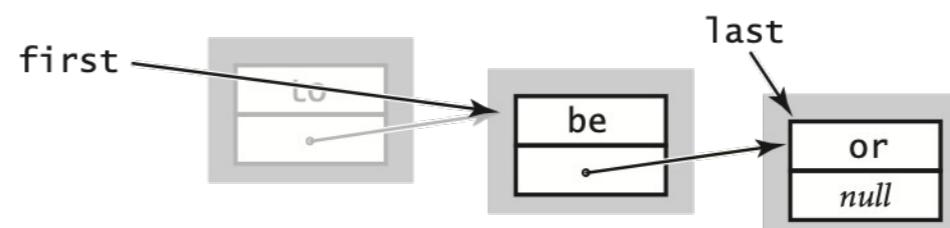
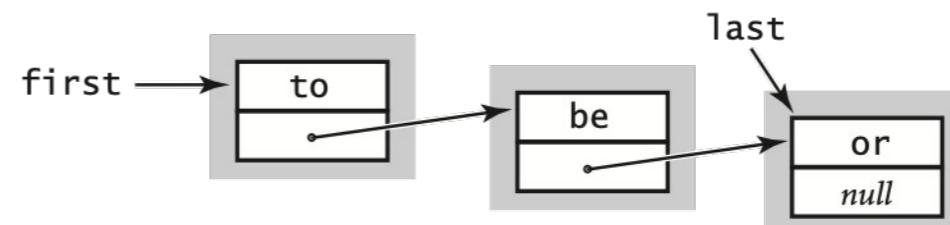
```
private class Node  
{  
    String item;  
    Node next;  
}
```

## save item to return

```
String item = first.item;
```

## delete first node

```
first = first.next;
```



## return saved item

```
return item;
```

Remark. Identical code to linked-list stack pop().

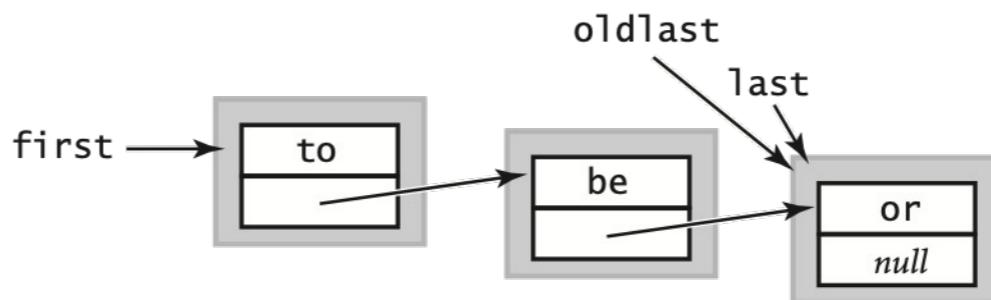
# Queue enqueue: linked-list implementation

inner class

```
private class Node  
{  
    String item;  
    Node next;  
}
```

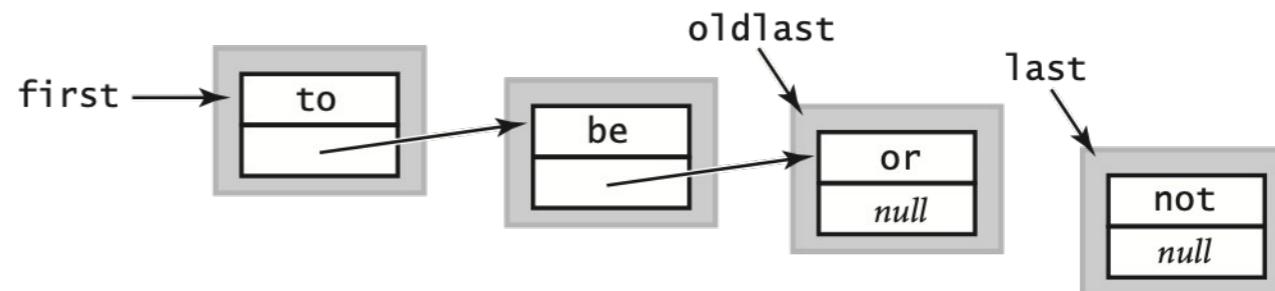
save a link to the last node

```
Node oldlast = last;
```



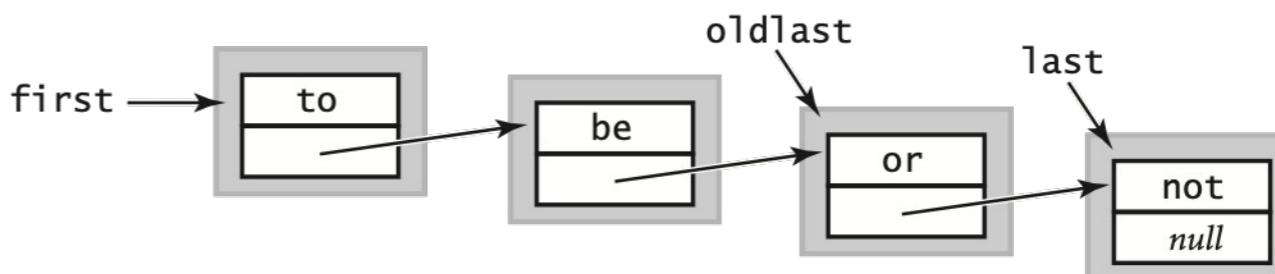
create a new node for the end

```
last = new Node();  
last.item = "not";
```



link the new node to the end of the list

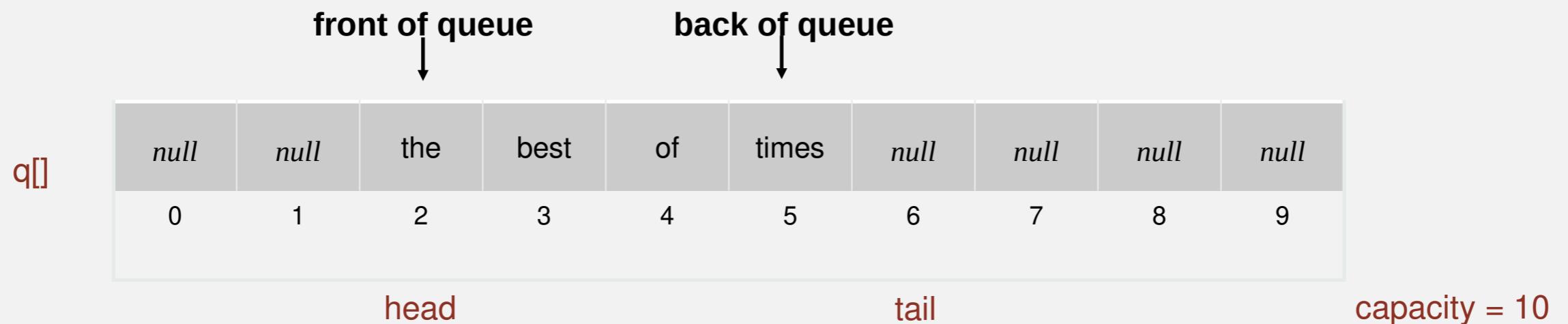
```
oldlast.next = last;
```



# Queue: resizing-array implementation

---

- Use array `q[]` to store items in queue.
- `enqueue()`: add new item at `q[tail]`.
- `dequeue()`: remove item from `q[head]`.
- Update head and tail modulo the capacity.
- Add resizing array.



Q. How to resize?



## 1.3 BAGS, QUEUES, AND STACKS

---

- ▶ *stacks*
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- ▶ *applications*

# Parameterized stack

---

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 1. Implement a separate stack class for each type.

- Rewriting code is tedious and error-prone.
- Maintaining cut-and-pasted code is tedious and error-prone.

@#\$\*! most reasonable approach until Java 1.5.



# Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 2. Implement a stack with items of type Object.

- Casting is required in client.
- Casting is error-prone: run-time error if types mismatch.

```
StackOfObjects s = new StackOfObjects();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = (Apple) (s.pop());
```

run-time error



# Parameterized stack

---

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 3. Java generics.

- Avoid casting in client.
- Discover type mismatch errors at compile-time instead of run-time.

The diagram shows a block of Java code with annotations:

```
Stack<Apple> s = new Stack<Apple>();  
Apple a = new Apple();  
Orange b = new Orange();  
s.push(a);  
s.push(b);  
a = s.pop();
```

- A red arrow points from the text "type parameter" to the generic type parameter `<Apple>` in the declaration `Stack<Apple>`.
- A red arrow points from the text "compile-time error" to the assignment statement `a = s.pop();`, indicating that a runtime error would occur due to type mismatch.

# Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic type name

# Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

**the way it should be**

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

@#\$\*! generic array creation not allowed in Java

# Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}
```

the way it is

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

the ugly cast

# Unchecked cast

---

```
% javac FixedCapacityStack.java
```

Note: FixedCapacityStack.java uses unchecked or unsafe operations.

Note: Recompile with -Xlint:unchecked for details.

```
% javac -Xlint:unchecked FixedCapacityStack.java
```

FixedCapacityStack.java:26: warning: [unchecked] unchecked cast

found : java.lang.Object[]

required: Item[]

```
    a = (Item[]) new Object[capacity];
```

  ^

1 warning

**Q.** Why does Java make me cast (or use reflection)?

**Short answer.** Backward compatibility.

**Long answer.** Need to learn about **type erasure** and **covariant arrays**.



# Generic data types: autoboxing

---

Q. What to do about primitive types?

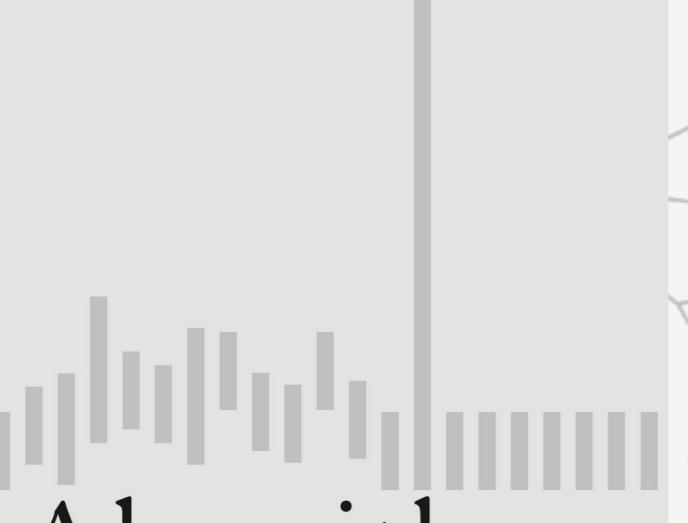
Wrapper type.

- Each primitive type has a **wrapper** object type.
- Ex: Integer is wrapper type for int.

Autoboxing. Automatic cast between a primitive type and its wrapper.

```
Stack<Integer> s = new Stack<Integer>();
s.push(17);      // s.push(Integer.valueOf(17));
int a = s.pop(); // int a = s.pop().intValue();
```

Bottom line. Client code can use generic stack for **any** type of data.



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# Iteration

---

**Design challenge.** Support iteration over stack items by client, without revealing the internal representation of the stack.



**Java solution.** Make stack implement the `java.lang.Iterable` interface.

# Iterators

---

Q. What is an **Iterable** ?

A. Has a method that returns an **Iterator**.

## java.lang.Iterable interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

Q. What is an **Iterator** ?

A. Has methods `hasNext()` and `next()`.

## java.util.Iterator interface

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();           ← optional; use
    void remove();          at your own risk
}
```

Q. Why make data structures **Iterable** ?

A. Java supports elegant client code.

## “foreach” statement (shorthand)

```
for (String s : stack)
    StdOut.println(s);
```

## equivalent code (longhand)

```
Iterator<String> i = stack.iterator();
while (i.hasNext())
{
    String s = i.next();
    StdOut.println(s);
}
```

# Stack iterator: linked-list implementation

```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ListIterator(); }
}
```

```
private class ListIterator implements Iterator<Item>
```

```
{  
    private Node current = first;  
  
    public boolean hasNext() { return current != null; }  
    public void remove() { /* not supported */ }  
    public Item next()  
    {
```

```
        Item item = current.item;  
        current = current.next;  
        return item;  
    }
```

first

current

times

of

best

the

was

it

null

throw UnsupportedOperationException  
throw NoSuchElementException  
if no more items in iteration

# Stack iterator: array implementation

```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator()
    { return new ReverseArrayIterator(); }

    private class ReverseArrayIterator implements Iterator<Item>
    {
        private int i = N;

        public boolean hasNext() { return i > 0;      }
        public void remove()   { /* not supported */ }
        public Item next()     { return s[-i];      }
    }
}
```

s[]	it	was	the	best	of	times	null	null	null
	0	1	2	3	4	5	6	7	8

# Iteration: concurrent modification

---

**Q.** What if client modifies the data structure while iterating?

**A.** A fail-fast iterator throws a `java.util.ConcurrentModificationException`.

## concurrent modification

```
for (String s : stack)  
    stack.push(s);
```

**Q.** How to detect?

**A.**

- Count total number of `push()` and `pop()` operations in Stack.
- Save counts in \*Iterator subclass upon creation.
- If, when calling `next()` and `hasNext()`, the current counts do not equal the saved counts, throw exception.



## 1.3 BAGS, QUEUES, AND STACKS

---

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

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<http://algs4.cs.princeton.edu>

# Java collections library

List interface. `java.util.List` is API for an sequence of items.

```
public interface List<Item> implements Iterable<Item>
```

<code>List()</code>	<i>create an empty list</i>
<code>boolean isEmpty()</code>	<i>is the list empty?</i>
<code>int size()</code>	<i>number of items</i>
<code>void add(Item item)</code>	<i>append item to the end</i>
<code>Item get(int index)</code>	<i>return item at given index</i>
<code>Item remove(int index)</code>	<i>return and delete item at given index</i>
<code>boolean contains(Item item)</code>	<i>does the list contain the given item?</i>
<code>Iterator&lt;Item&gt; iterator()</code>	<i>iterator over all items in the list</i>
<code>...</code>	

Implementations. `java.util.ArrayList` uses resizing array;  
`java.util.LinkedList` uses linked list.

caveat: on  
operations

# Java collections library

---

## [java.util.Stack](#).

- Supports push(), pop(), and iteration.
- Extends java.util.Vector, which implements java.util.List interface from previous slide, including get() and remove().
- Bloated and poorly-designed API (why?)

The iterator method on java.util.Stack iterates through a Stack from the bottom up. One would think that it should iterate as if it were popping off the top of the Stack.

It was an incorrect design decision to have Stack extend Vector ("is-a" rather than "has-a"). We sympathize with the submitter but cannot fix this because of compatibility.

Java 1.3 bug report (June 27, 2001)

status (closed, ~~will not fix~~)

# Java collections library

---

## [java.util.Stack](#).

- Supports push(), pop(), and iteration.
- Extends java.util.Vector, which implements java.util.List interface from previous slide, including get() and remove().
- Bloated and poorly-designed API (why?)



[java.util.Queue](#). An interface, not an implementation of a queue.

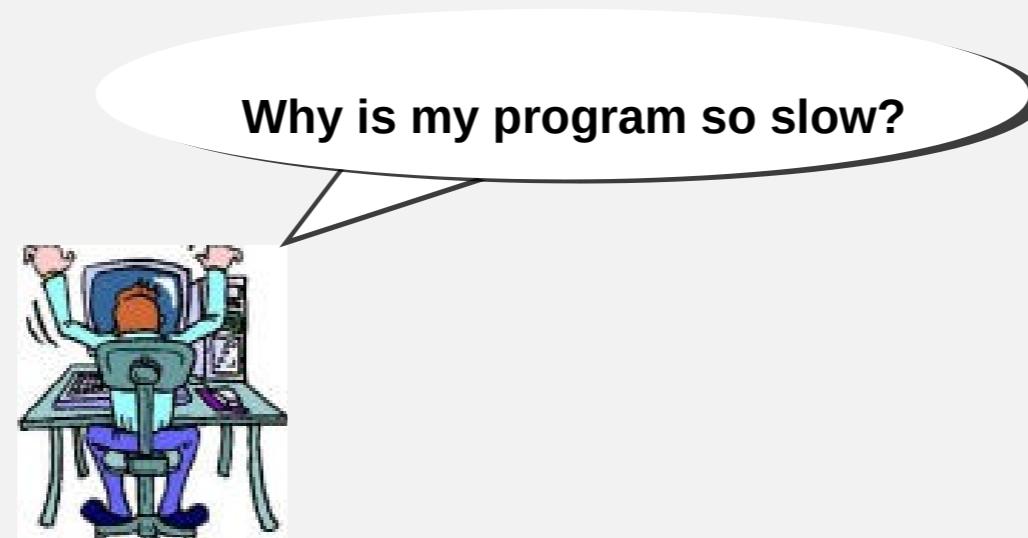
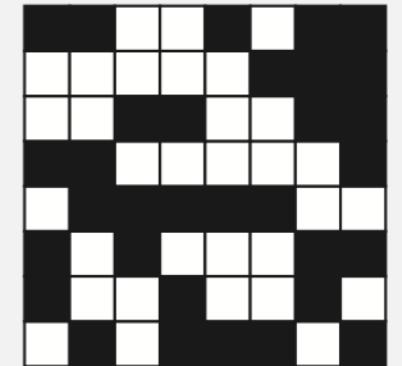
[Best practices](#). Use our implementations of Stack, Queue, and Bag.

## War story (from Assignment 1)

---

Generate random open sites in an  $N$ -by- $N$  percolation system.

- Jenny: pick  $(i, j)$  at random; if already open, repeat.  
Takes  $\sim c_1 N^2$  seconds.
- Kenny: create a `java.util.ArrayList` of  $N^2$  closed sites.  
Pick an index at random and delete.  
Takes  $\sim c_2 N^4$  seconds.



Kenny

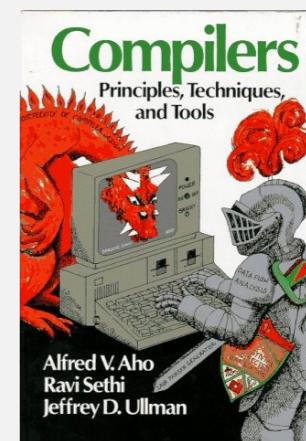
Lesson. Don't use a library until you understand its API!

This course. Can't use a library until we've implemented it in class.

# Stack applications

---

- Parsing in a compiler.
- Java virtual machine.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.
- ...



# Function calls

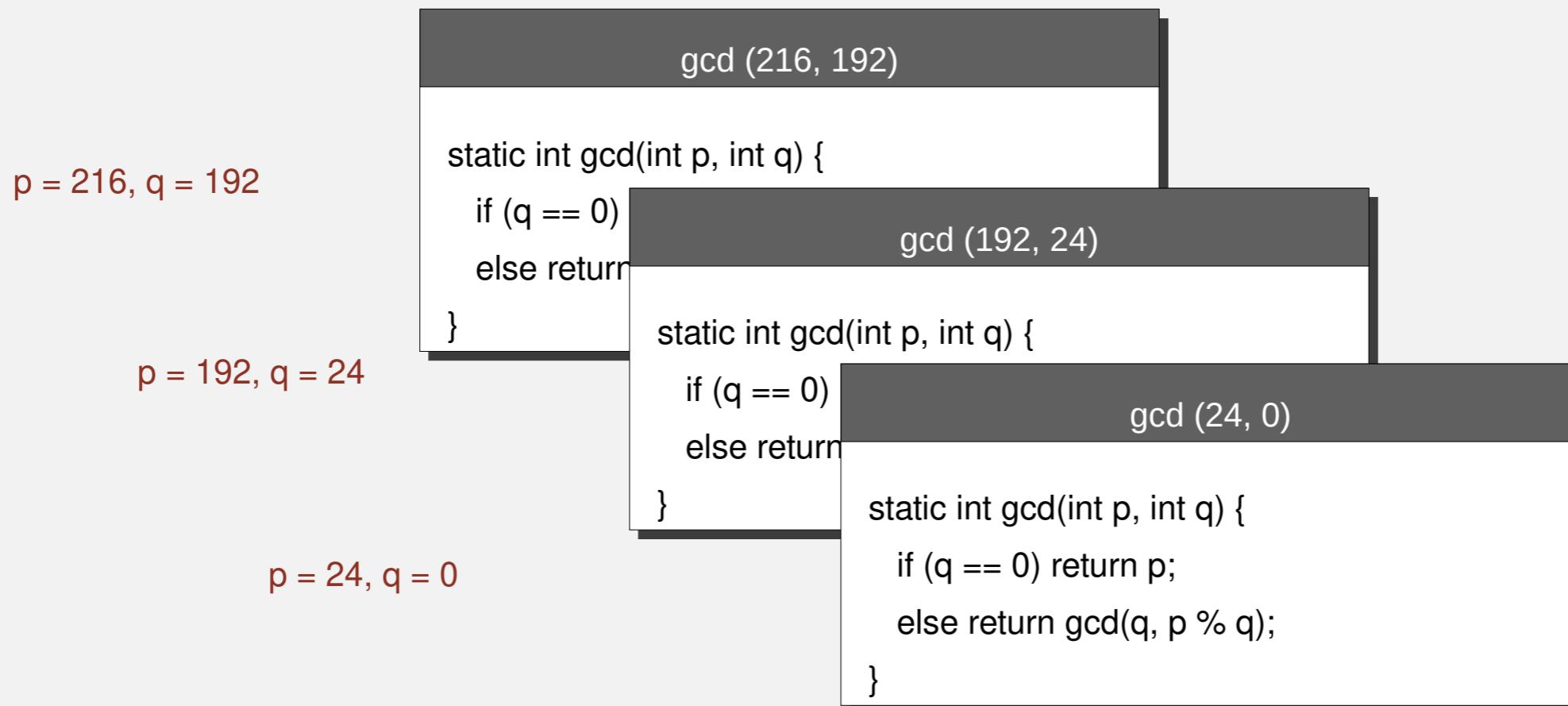
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## How a compiler implements a function.

- Function call: **push** local environment and return address.
- Return: **pop** return address and local environment.

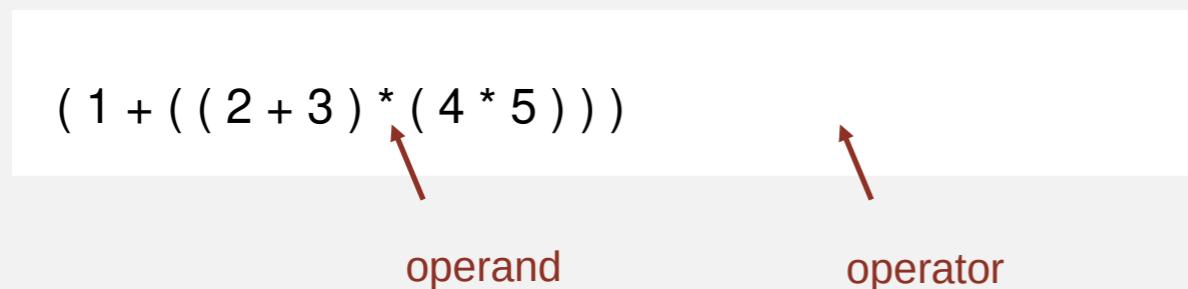
**Recursive function.** Function that calls itself.

Note. Can always use an explicit stack to remove recursion.

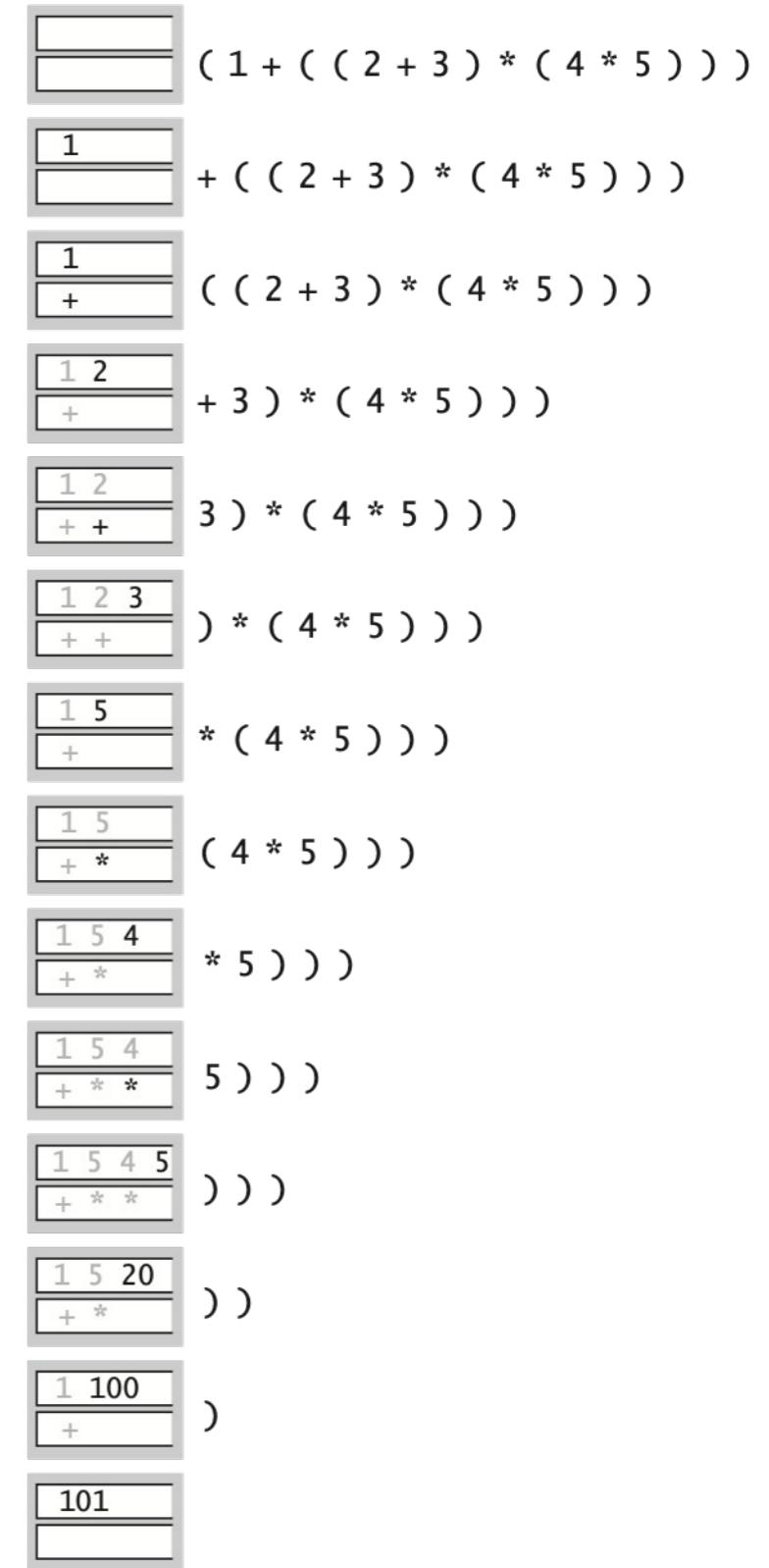


# Arithmetic expression evaluation

Goal. Evaluate infix expressions.



value stack  
operator stack



Two-stack algorithm. [E. W. Dijkstra]

- Value: push onto the value stack.
- Operator: push onto the operator stack.
- Left parenthesis: ignore.
- Right parenthesis: pop operator and two values; push the result of applying that operator to those values onto the operand stack.

Context. An interpreter!

# Dijkstra's two-stack algorithm demo

---



**infix expression  
(fully parenthesized)**



# Arithmetic expression evaluation

---

```
public class Evaluate
{
    public static void main(String[] args)
    {
        Stack<String> ops = new Stack<String>();
        Stack<Double> vals = new Stack<Double>();
        while (!StdIn.isEmpty()) {
            String s = StdIn.readString();
            if (s.equals("(")) ;
            else if (s.equals("+")) ops.push(s);
            else if (s.equals("*")) ops.push(s);
            else if (s.equals(")"))
            {
                String op = ops.pop();
                if (op.equals("+")) vals.push(vals.pop() + vals.pop());
                else if (op.equals("*")) vals.push(vals.pop() * vals.pop());
            }
            else vals.push(D
        }
        StdOut.println(vals.pop());
    }
}
```

```
% java Evaluate
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
101.0
```

# Correctness

---

**Q.** Why correct?

**A.** When algorithm encounters an operator surrounded by two values within parentheses, it leaves the result on the value stack.

```
( 1 + ( 2 + 3 ) * ( 4 * 5 ) )
```

as if the original input were:

```
( 1 + ( 5 * ( 4 * 5 ) ) )
```

Repeating the argument:

```
( 1 + ( 5 * 20 ) )
```

```
( 1 + 100 )
```

```
101
```

**Extensions.** More ops, precedence order, associativity.

# Stack-based programming languages

---

**Observation 1.** Dijkstra's two-stack algorithm computes the same value if the operator occurs **after** the two values.

```
( 1 ( ( 2 3 + ) ( 4 5 * ) * ) + )
```

**Observation 2.** All of the parentheses are redundant!

```
1 2 3 + 4 5 * * +
```



Jan Lukasiewicz

**Bottom line.** Postfix or "reverse Polish" notation.

**Applications.** Postscript, Forth, calculators, Java virtual machine, ...