# **Comparison of Theorem Provers**

# **Artem Yushkovskiy**

artem.yushkovskiy@aalto.fi

**Tutor**: Stavros Tripakis

#### Abstract

The need for formal definition of the very basis of mathematics arose in the last century. The scale and complexity of mathematics, along with discovered paradoxes, revealed the danger of accumulating errors across theories. Although, according to Gödel's incompleteness theorems, it is not possible to construct a single formal system which will describe all phenomena in the world, being complete and consistent at the same time, that crisis has considerably improved philosophical views on mathematics. In addition, it gave rise to rather practical areas of logic, such as the theory of automated theorem proving. This is a set of techniques used to verify mathematical statements mechanically using logical reasoning. Moreover, it can be used to solve complex engineering problems as well, for instance, to prove the security properties of a software system or an algorithm. This paper compares two widespread tools for automated theorem proving, Isabelle/HOL [2] and Coq [1], with respect to the power of expressiveness and usability. For this reason, it firstly gives a brief introduction to the bases of formal systems and automated deduction theory, its main problems and challenges.

KEYWORDS: logic, formal method, proof theory, automated theorem prover, Coq, Isabelle.

#### 1 Introduction

Nowadays, the search for foundations of mathematics has become one of the key questions in philosophy of mathematics, which eventually has an impact on numerous problems in modern life. As a result, *formal approach* was developed as a new methodology for manipulating the abstract essences according basic rules in a verifiable way. In other words, it is possible to follow the sequence of such manipulations in order to check the validity of each statement and, as a result, of a system at whole. Moreover, automating such a verification process can significantly increase reliability of formal models and systems based on them.

At present, a large number of tools have been developed to automate this process. Generally, these tools can be divided into two broad classes.

The first class contains tools pursuing the aim of validating the input statement (*theorem*) with respect to the sequence of inference transitions (user-defined *proof*) according to set of inference rules. Such tools are sometimes called *proof assistants*, their purpose is to help users to develop new proofs. The tools *Isabelle* [2], *Coq* [1], *PVS* [3] are well-known examples of such systems, which are commonly used in recent years.

The second class consists of tools that automatically *discover* the formal proof, which can rely either on induction, on meta argument, or on higher-order logic. Such tools are often called *automated theorem provers*, they apply techniques of automated logical reasoning to develop the proof. The systems *Otter* [4] and *ACL2* [5] are commonly known examples of such tools.

In this paper, only systems of the first class were considered in order to test the usability of such systems.

This paper is organised as follows. Section 2 describes basic foundations of logic necessary for understanding theorem provers. In particular, Section 2.1 provides formal definition, Sections 2.2–?? describe different kinds, basic properties and theoretical limitations of formal systems. Section 3 presents target comparison properties and the comparison itself, including description of common features and differences and providing the illustrative examples of different kinds of proofs in both considering systems.

#### 1.1 Related work

A considerably extensive survey on theorem provers has been presented by F. Wiedijk [6], where fifteen most common systems for the formalization of mathematics were compared against various properties, in particular, the size of supporting libraries, the strength and expressiveness of underlying logic, size of proofs (the de Bruijn criterion) and level of automation (the Poincaré principle). We propose more deep comparison of two aforementioned theorem provers, Isabelle and Coq, with respect to expressiveness and usability.

# 2 Foundations of Formal Approach

The formal approach appeared in the beginning of previous century when mathematics experienced deep fundamental crisis caused by the need for a formal definition of the very basis. At that time, multiple paradoxes in several fields of mathematics have been discovered. Moreover, the radically new theories appeared just by modification of the set of axioms, e.g., reducing the parallel postulate of Euclidean geometry has lead to completely different non-Euclidian geometries, such as Lobachevsky's hyperbolic geometry or Riemman's elliptic geometry, that eventually have a large number of applications in both natural sciences and engineering.

#### 2.1 Definition of the Formal System

Let the *judgement* be an arbitrary statement. The *formal proof* of the formula  $\phi$  is a finite sequence of judgements  $(\psi_i)_{i=1}^n$ , where each  $\psi_i$  is either an axiom  $A_i$ , or a formula inferred from the subset  $\{\psi_k\}_{k=1}^{i-1}$  of previously derived formulae according the *rules of inference*. An axiom  $A_i \in A$  is a judgement evidently claimed to be true. A logical inference is a transfer from one judgement (premise) to another (consequence), which preserves truth. In formal logic, inference is based entirely on the structure of those judgements, thereby, the result formal system represents the abstract model describing part of real world.

The formulae consist of *propositional variables*, connected with *logical connectives* (or logical operators) according to rules, defined by a formal language. The formulae, which satisfy such rules, are called *well-formed formulae* (wff). Only wff can form judgements in a formal system. The propositional variable is an atomic formula that can be claimed as either true or false. The logical connective is a symbol in formal language that transforms one wff to another. Typically, the set of logical connectives contains negation  $\neg$ , conjunction  $\land$ , disjunction  $\lor$ , and implication  $\rightarrow$  operators, although the combination of negation operator with any other of aforementioned operators will be already functionally complete (i.e., any formula can be represented with the usage of these two logical connectives).

The formal system described above does not contain any restriction on the form of propositional variables, such logic is called *propositional logic*. However, if these variables are quantified on the sets, such logic is called *first-order* or *predicate logic*. Commonly, first-order logic has two quantifiers, the universal quantifier  $\forall$  (means "for every"), and the existential quantifier  $\exists$  (means "there exists"). Thereafter, the *second-order logic* extends first-order logic by adding quantifiers over second-order objects – relations defining the sets of sets. In turn, it can be extended by the *higher-order logic*, which contain quantifiers over the arbitrary nested sets, or *type theory*, which assigns a type for every expression in the formal language (for instance, the expression  $\forall f:bool \rightarrow bool, f(f(f(x))) = f(x)$  could be considered in higher-order logic).

Let  $\Phi$  be a set of formulae. Initially, it consists of only *hypotheses*, a priori true formulae, which are claimed to be already proved. The notation  $\Phi \vdash \phi$  means that the formula  $\phi$  is *provable* from  $\Phi$ , if there exists a proof that infers  $\phi$  from  $\Phi$ . The formula which is provable without additional premises is called *tautology* and denoted as  $\vdash \phi$  (meaning  $\emptyset \vdash \phi$ ). The formula is called *contradiction* if  $\vdash \neg \phi$ . Obviously, all contradictions are equivalent in one formal system, hence they are denoted as  $\bot$ .

In current paper, we shall use the notation for expressing the rules of inference (1), which is used commonly in Isabelle documentation. In this notation we use sign  $\implies$  with meaning of logical implication, which can be thought as a logical consequence. This notation is equivalent to the standard notation (2):

$$[\![A_1; A_2; \dots A_n]\!] \implies B \tag{1}$$

$$\equiv \{A_1, A_2, \dots A_n\} \vdash B \tag{2}$$

In our notation, the implication operator is right-associative, similarly to the notation used in Isabelle documentation:

$$A_1 \implies A_2 \implies \cdots \implies A_n \implies B$$
 (3)

$$\equiv A_1 \implies (A_2 \implies (\cdots \implies (A_n \implies B)))$$
 (4)

The formulae below describe the principal inference rule residing in most logic systems, the *Modus ponens* (MP) rule, and two main axioms of classical logic:

$$[\![A,A \implies B]\!] \implies B \tag{MP}$$

$$A \Longrightarrow (B \Longrightarrow A).$$
 (A1)

$$(A \Longrightarrow (B \Longrightarrow C)) \Longrightarrow ((A \Longrightarrow B) \Longrightarrow (A \Longrightarrow C)).$$
 (A2)

Together with axioms (A1) and (A2), Modus ponens rule forms the Hilbert proof system which can process statements of classical propositional logic. Other classical logic systems often include the axiom of excluded middle (EM), and may derive the double negation introduction (DNi) and double negation elimination (DNe) laws:

$$A \vee \neg A$$
. (EM)

$$A \implies \neg \neg A$$
 (DNi)

$$\neg \neg A \implies A$$
 (DNe)

Many classical logics may derive the de Morgan's laws (DM1), (DM2), the law of contraposition (CP), the Peirce's law (PL) and many other tautologies:

$$\neg (A \land B) \Longleftrightarrow \neg A \lor \neg B \tag{DM1}$$

$$\neg (A \lor B) \Longleftrightarrow \neg A \land \neg B \tag{DM2}$$

$$(A \to B) \implies (\neg B \to \neg A)$$
 (CP)

$$((A \to B) \to A) \implies B$$
 (PL)

The axiom of excluded middle means that every logical statement is decidable, which might not be true in some applications. Adding this axiom to the formal system leads to the reasoning from *truth* statements, in contrast to *natural deduction systems* that use reasoning from *assumptions*. Although the difference between these two kinds of formal systems seems to be subtle, the latter can be used more as framework, allowing to build new systems on the logical base of pre-defined premises and formal proof rules.

#### 2.2 Properties of Formal System

Let U be a set of all possible formulae, let  $\Gamma = \langle A, V, \Omega, R \rangle$  be a formal system with set of axioms A, set of propositional variables V, set of logical operators  $\Omega$ , and set of inference rules R. Then  $\Gamma$  is called:

• *consistent*, if both formula and its negation can not be proved in the system:

```
\not\exists \phi \in \Gamma : \ \Gamma \vdash \phi \land \Gamma \vdash \neg \phi \iff \Gamma \not\vdash \bot;
```

• *complete*, if all true statements can be inferred:

```
\forall \phi \in U: A \vdash \phi \lor A \vdash \neg \phi;
```

• *independent*, if no axiom can be inferred from another:

$$\not\exists a \in A : A \vdash a$$
.

For instance, the Hilbert system described above is consistent and independent, yet incomplete under the classical semantics. In 1931, Kurt Gödel proved his first incompleteness theorem which states that any consistent formal system is incomplete. Later, in 1936, Alfred Tarski extended this result by proving his Undefinability theorem, which states that the concept of truth cannot be defined in a formal system. In that case, modern tools, such as Coq, often restrict propositions to be either provable or unprovable, rather than true or false.

# 2.3 Lambda-calculus

The necessity of building the automatic reasoning systems has lead to development of models that abstract the computation process. That time, the concept of effective computability was being evolving rapidly, causing development of multiple formalisations of computation, such as Turing Machine, Normal Markov algorithms, Recursive functions, and other. One of the fist and most effective models was  $\lambda$ -calculus invented by Alonzo Church in 1930s. This formalism provides solid theoretical foundation for the family of functional programming languages [7]. In  $\lambda$ -calculus, functions are first-order objects, which means functions can be applied as arguments to other functions.

The central concept in  $\lambda$ -calculus is an *expression*, which can be defined as a subject for application the rewriting rules [8]. The basic rewriting rules of  $\lambda$ -calculus are listed below:

- application: fa is the call of function f with argument a
- abstraction:  $\lambda x.t[x]$  is the function with formal parameter x and body t[x]
- computation ( $\beta$ -reduction): replace formal parameter x with actual argument a:  $(\lambda x.t[x])a \to_{\beta} t[x:=a]$

 $\lambda$ -calculus described above is called the *type-free*  $\lambda$ -calculus. The more strong calculi can be constructed by using the types of expressions to the system, for which some useful properties can be proven (e.g., termination and memory safety) [9].

# 2.4 Type Systems

A *type* is a collection of elements. In a type system, each element is associated with a type, which defines a basic structure of it and restricts set of possible operations with the element. This allows to reveal useful properties of the formal system. Therefore, type theory serves as an alternative to the classical set theory [10].

The function that builds a new type from another is called *type constructor*. Such functions have been used long before type theories had been constructed formally, even in the 19th century Giuseppe Peano used type constructor S called the *successor* function, along with zero element 0, to axiomatise natural number arithmetic. Thus, number 3 can be constructed as S(S(S(0))).

#### Simple Type Theory

The type can be defined declaratively, by assigning a label to set of values. Such types are called *simple types*, they can be useful to avoid some paradoxes of set theory, e.g., separating sets of individuals and sets of sets allows to avoid famous Russel's paradox [11]. Simple type theory can extend  $\lambda$ -calculus to a higher-order logic through connection between formulae and expressions of type Boolean [12].

#### Martin-Löf Type Theory

The Martin-Löf type theory, also known as the *Intuitionistic type theory*<sup>1</sup>, is based on the principles of constructive mathematics, that require explicit definition of the way of "constructing" an object in order to prove its existence. Therefore, an important place in intuitionistic type theory is held by the *inductive types*, which were constructed recursively using a basic type (zero) and successor function which defines "next" element.

The Intuitionistic type theory also uses a wide class of *dependent types*, whose definition depends on a value. For instance, the n-ary tuple is a dependent type that is defined by the value of n. However, the type checking for such a system is an undecidable problem since determining of the equality of two arbitrary dependent types turns to be tantamount to a

<sup>&</sup>lt;sup>1</sup>In *intuitionistic type theory* and *intuitionistic logic*, we use the term *intuitionistic* as a synonym for *constructive*.

problem of inducing the equivalence of two non-trivial programs (which is undecidable in general case according to the Rice's theorem [13]).

# Calculus of Constructions

Another important constructive type theory is the Calculus of Constructions (CoC) developed by Thierry Coquand and Gérard Huet in 1985 [14]. It represents a natural deduction system which incorporates dependent types, polymorphism and type constructors. The typed polymorphic functional language of CoC allow to define inductive definitions, although rather inefficiently [15].

Whenever an inductive type is defined, the task of type-checking becomes equivalent to the task of executing corresponding function in a programming language. Although in many programming languages this procedure is linear from the size of program, type-checking in CoC is undecidable in general case. This problem is closely related to the Curry-Howard isomorphism, a direct relationship between a program and an intuitionistic proof, where a base type in the program is equivalent to a propositional variable in the proof, an empty type represents false and a singletone type represents truth, a functional type  $T_1 \rightarrow T_2$  corresponds to an implication, a product type  $T_1 * T_2$  and a sum type  $T_1 + T_2$  correspond to conjunction and disjunction, respectively [16]. Thus the Calculus of Constructions can be considered as an extension of the Curry-Howard isomorphism.

An important feature of CoC type system is that it holds the strong normalisation property, which means that every sequence of inference eventually terminates with an irreducible normal form. This property does not admit the definitions of infinitely recursive structures and functions.

Although the language of CoC is rather expressive, its expressiveness is not enough to prove some natural properties of types. In order to overcome this drawback, the *Calculus of Inductive Constructions* (CIC) was developed by Christine Paulin in 1990. CIC is implemented by adding the Martin-Löf's primitive inductive definitions to the CoC in order to perform the efficient computation of functions over inductive data types in higher-order logic [15]. This formalism lies behind the Coq proof assistant.

# 3 Comparison of two theorem provers

We have chosen for our comparison two automated proof assistants,  $Isabelle/HOL^2$  and Coq as they both are widely used tools for theorem proving (according to the number of theorems that have already been formalised, see [17]).

<sup>&</sup>lt;sup>2</sup>Roughly speaking, Isabelle is a core for an automated theorem proving which supports multiple logical theories: Higher-Order Logic (HOL), first-order logic theories such as Zermelo-Fraenkel Set Theory (ZF), Classical Computational Logic (CCL), etc. In this paper, we consider the Isabelle/HOL as the startpoint for exploring the power of this proof assistant.

#### 3.1 The Isabelle/HOL theorem prover

Isabelle was developed as a successor of HOL theorem prover [18] by Larry Paulson at the University of Cambridge and Tobias Nipkow at Technische Universität München. Isabelle was released for the first time in 1986 (two years after the Coq's first release). It was built in a modular manner, i.e., it has relatively small core, which can be extended by numerous basic theories that describe logic behind Isabelle. In particular, the theory of higher-order logic is implemented as Isabelle/HOL, and it is commonly used because of its expressivity and relative conciseness.

Isabelle exploits classical logic, so even propositional type is declared as a set of two elements true and false (thus any *n*-ary logic can be formalised). In proofs, Isabelle combines several languages: HOL as a functional programming language (which must be always in quotes), and Isar as the language for describing procedures in order to manipulate the proof.

# 3.2 The Coq theorem prover

Coq is another widespread proof assistant system, that has been developed at INRIA (Paris, France) since 1984. Coq is based on Calculus of Inductive Constructions, which uses inductive and dependent types, and represents an implementation of intuitionistic logic. Nonetheless, Coq's logic may be easily extended into classical logic by assuming the excluded middle axiom EM.

As a proof assistant system, Coq offers multiple interactive proof methods called *tactics* and decision algorithms for letting the user define new proof methods. A key feature of Coq is a capability of extraction of the verified program (in OCaml, Haskell or Scheme) from the constructive proof of its formal specification [?]. This facilitates using Coq as a tool for software verification.

Being based on the constructive foundation, Coq has two basic meta-types, Prop as a type of propositions, and Set as a type of other types. Unlikely Isabelle's type system, the True and False propositions are defined as of type of Prop, so that in order to be valid they need to be either assumed or proven. Nonetheless, Coq's library has the bool definition, which is of type of Set in the manner of Isabelle's proposition (as simple enumeration of two elements, tertium non datur).

```
(* boolean type is defined as simple enumeration *)
Inductive bool : Set :=
    true : bool | false : bool
(* In Coq, False is an unobservable proposition,
which is defined as a propositional type without constructor *)
Inductive False : Prop := .
(* On other hand, True is defined as always true proposition *)
Inductive True : Prop := I : True.
```

```
(* Alternatively, a Set without type constructor is an empty set *)
Inductive Empty_set : Set :=.
```

#### **Example 1.** Basic types definitions in Coq

During the proof process, Coq remembers its state, a set of *premises*, which are considered to be true, and set of *goals* (or subgoals), the statements to be proved. The proof consists of sequence of commands describing which tactic Coq should apply. Tactic may be thought as an inference rule, it can use already proved statements, remove hypotheses or introduce variables. Coq may sometimes find an appropriate tactic from its collection in automatic mode.

In proofs, Coq combines two languages: *Gallina*, a purely functional programming language, and *Ltac*, a procedural language for manipulating the proof process. A statement for proof and structures it relies on are written in Gallina, and calls of the tactics (inference rules) are written in Ltac.

#### 3.3 Common features

In general, both Isabelle and Coq work in a similar way: given definition of a statement, they can either verify already written proof, or help user to develop such proof in an interactive fashion, so that the invalid proofs cannot be accepted. Both systems have rather large libraries with considerable amount of already proven lemmas and theorems; in addition, they can be used as functional programming languages as they allow to construct new data types and recursive functions, they have pattern matching, type inference and other features inherent for functional languages.

Both tools are being actively developed: on the moment of writing this paper (autumn 2017), the latest versions were Coq 8.7.0 (stable) and Isabelle2017, both released in October 2017. Since their first release, both Isabelle and Coq have already been used to formalize enormous amount of mathematical theorems, including those which have very large or even controversial proof, such as Four colour theorem (2004), Lax-Milgram theorem (2017), and other important theorems [17]. Moreover, the theorem provers have been successfully used for testing and verifying of software programs, including the general-purpose operating system kernel seL4 (2009) [19], the C standard (2015) [20], and others.

Both Isabelle and Coq have their own Integrated Development Environment (IDE) to work in (gtk-based CoqIDE and jEdit Prover IDE, respectively). In general, both native IDEs of these theorem provers provide the facility for interactive executing scripts step-by-step while preserving the state of proof (environment), which for each step describes the set of premises along with already proved statements (context) and the set of statements to be proven (goals). However, Isabelle's native IDE allows to change the proof state arbitrarily, in contrast to the CoqIDE, which provides only the capability of switching the proof state

to the next or previous statement only. Alternatively, both considering theorem provers have numerous of plugins for many popular IDEs, for instance, the Proof General [21] is a plugin for Emacs, which supports numerous proof assistants. During the work on this paper, we used the native IDEs of each proof assistant in order to minimize the impact of third-party tools to our research.

Both systems admit proofs written in imperative fashion, i.e., such proof is a sequence of tactic calls compound by control-flow operators *tacticals*, which combine tactics together, separate their results, repeat calls, etc. In addition, the syntax of Isar permits writing the goals explicitly in the proof code (see Examples 9 and 7), while typically proofs are written in an imperative fashion, using sequences of tactic applications, implicitly changing the proof state at each step.

#### 3.4 Major differences

The key differences between Isabelle and Coq lie in the differences between logical theories they based on. While Isabelle/HOL exploits higher order logic along with decidable non-dependent types, Coq is based on intuitionistic logic, which does not include the axiom of excluded middle (EM) essential for classical logics. Consequently, the double negation elimination rule (DNe) does not hold, however the double negation introduction law (DNi) can be easily proven (see Example 2). This follows from the fact that, if a proposition is known as truth, then double negation works as in classic logic, but if the proposition truth is to be proven from its double negation, then there is nothing known about the proposition itself so far.

**Example 2.** Proof failure of (DNe) rule, and proof of (DNe) rule in Coq

In addition, the double-negated axiom of excluded middle can be proven as well solely in intuitionistic logic, see Example 3. This is a way for embedding the classical propositional logic into intuitionistic logic and known as *Glivenko's double-negation translation*[22], which maps all classical tautologies to intuitionistic ones by double-negating

them. Furthermore, there are other schemes of the translation for other classical logics, e.g., Gödel-Gentzen translation, Kuroda's translation, etc. [23].

```
Lemma DoubleNegatedExcludedMiddle_Coq: forall P: Prop,
   ~~(P \/ ~P).
Proof.
   unfold not.
                  (* apply ~P ==> P -> False *)
   intros P f. (* move premises to the set of hypotheses *)
                  (* replace the goal with premise of implication in f \star)
   apply f.
   right.
                   (* apply disjunction elimination inference rule *)
   intro P_holds. (* move P to the set of hypotheses *)
                  (* replace the goal with premise of implication in f \star)
   left.
                   (* apply disjunction elimination inference rule *)
    exact P_holds. (* match the goal with one of the hypotheses *)
Qed.
```

Example 3. Proof of the double-negated excluded middle in Coq

Therefore, numerous of theorems, such as the classical logic tautology Peirce's law (PL), can not be proved in intuitionistic logic, while being valid in classical logic, which makes the latter strictly weaker [24] and incomplete (Coq's tactic for automatic reasoning of propositional statements tauto fails to prove this automatically).

In classical logic, some proofs remain valid, yet completely inapplicable. For instance, the following non-constructive proof of the statement "there exist algebraic irrational numbers x and y such that  $x^y$  is rational" may serve as a classic example of it. The proof relies on the axiom of excluded middle [25]. Consider the number  $\sqrt{2}^{\sqrt{2}}$ ; if it is rational, then consider  $x=\sqrt{2}$  and  $y=\sqrt{2}$ , which both are irrational; if  $\sqrt{2}^{\sqrt{2}}$  is irrational, then consider  $x=\sqrt{2}^{\sqrt{2}}$  and  $y=\sqrt{2}^2$ , so that  $x^y$  is rational, QED. Although this proof is clear and concise, it reveals no information about whether the number  $\sqrt{2}^{\sqrt{2}}$  is rational or irrational. More importantly, it gives no algorithm for finding such numbers. Therefore, the main purpose of constructive proofs used in intuitionistic logics is to define such a solution schema for a problem, in addition to simply proving the claims. Commonly, the proofs of existence of an element are non-constructive as in order to prove such a statement it is enough to find a valid example.

#### 3.5 Example proofs

This section provides some illustrative examples of proofs performed in Isabelle and Coq. As a basic statements to prove we have chosen the de Morgan's laws DM1 and DM2 in propositional and first-order logics, and the formula for the sum of first n natural numbers, which are defined inductively in both Isabelle and Coq. After proving the correctness of this formula, we shall use Coq to extract the verified code in Haskell and OCaml.

#### Propositional intuitionistic logic proofs

Firstly, we shall prove the de Morgan's law (DM2) in Isabelle. In the proof, after each step we show in comments (holding between symbols '(\*' and '\*)') how the tactic has changed the proof state. Note that it could be solved automatically by the tactic blast.

```
lemma DeMorganPropositional_Isabelle:
"(\neg (P \land Q)) = (\neg P \lor \neg Q)"
  (* 'apply blast' automatically solves the equasion *)
  apply (rule iffI)
                          (* split equality into two subgoals *)
  (* "Forward" subgoal: 1. \neg (P \land Q) \implies \neg P \lor \neg Q *)
  apply (rule classical) (* 1. \neg (P \land Q) \Longrightarrow \neg (\neg P \lor \neg Q) \Longrightarrow \neg P \lor \neg Q *)
  apply (erule notE) (* 1. \neg (\neg P \lor \neg Q) \Longrightarrow P \land Q *)
  apply (rule conjI)
                               (* 1. \neg (\neg P \lor \neg Q) \implies P; 2. \neg (\neg P \lor \neg Q) \implies Q *)
  apply (rule classical) (* 1. \neg (\neg P \lor \neg Q) \Longrightarrow \neg P \Longrightarrow P *)
  apply (erule notE) (* 1. \neg P \implies \neg P \lor \neg Q \star)
  apply (rule disjI1) (* 1. \neg P \implies \neg P *)
  apply assumption (* 1. (solved). 2. \neg (\neg P \lor \neg Q) \Longrightarrow Q *)
  apply (rule classical) (* 2. \neg (\neg P V \neg Q) \Longrightarrow \neg Q \Longrightarrow Q *)
  apply (erule notE) (* 2. \neg Q \implies \neg P \lor \neg Q *)
  apply (rule disjI2) (* 2. \neg Q \implies \neg Q *)
  apply assumption
                               (* 2. (solved) *)
  (* "Backward" subgoal: 3. \neg P \lor \neg Q \Longrightarrow \neg (P \land Q) *)
  apply (rule notI) (* 3. \neg P \lor \neg Q \Longrightarrow P \land Q \Longrightarrow False *)
  apply (erule conjE) (* 3. \neg P \lor \neg Q \implies P \implies Q \implies False *)
  apply (erule disjE) (* 3. P \Longrightarrow Q \Longrightarrow ¬P \Longrightarrow False; 4. P \Longrightarrow Q \Longrightarrow ¬Q \Longrightarrow False *)
  apply (erule notE, assumption)+ (* 3. (solved); 4. (solved) *)
```

Example 4. Proof of the de Morgan's law for propositions in Isabelle

The proof looks similar in Coq, when formulated with the propositions of type Prop that have intuitionistic nature.

```
Theorem DeMorganPropositional_Coq:
    forall P Q: Prop, ~(P \/ Q) <-> ~P /\ ~Q.

Proof.

intros P Q. unfold iff.

split.

- intros H_not_or. unfold not. constructor.

+ intro H_P. apply H_not_or. left. apply H_P.

+ intro H_Q. apply H_not_or. right. apply H_Q.

- intros H_and_not H_or.

destruct H_and_not as [H_not_P H_not_Q].

destruct H_or as [H_P | H_Q].

+ apply H_not_P. assumption.

+ apply H_not_Q. assumption.

Qed.
```

**Example 5.** Proof of the de Morgan's law for propositions in Coq

The proof looks much simplier if the theorem is formulated with usage of type *Set*-type bool defined simply by enumerating values (see Example 1). Thus, it is possible to use the

tactic destruct to decompose type to different goals and prove them separately (in Coq, the ';' operator between two tactics instructs interpreter to apply next tactic to all subgoals produced by previous tactic call).

```
(* define macroses: *)
Notation "a || b" := (orb a b).
Notation "a && b" := (andb a b).

Theorem DeMorganBoolean_Coq:
forall a b: bool, negb (a || b) = ((negb a) && (negb b)).
Proof.
intros a b.
destruct a; simpl; reflexivity.
Qed.
```

**Example 6.** Proof of the de Morgan's law for booleans in Coq

#### First-order logic proofs

In this section, we provide examples of usage Coq and Isabelle for proving proofs with first order quantifiers. In both systems, the proof necessarily relies on the axiom of excluded middle as the *existence* of an element is to be proven<sup>3</sup>.

```
lemma DeMorganQuantified_Isabelle4:
   assumes "¬ (∀x. P x)"
   shows "∃x. ¬ P x"

proof (rule classical)
   assume "∄x. ¬ P x"
   have "∀x. P x"
   proof
    fix x show "P x"
   proof (rule classical)
       assume "¬ P x"
       then have "∃x. ¬ P x" ..
       with <∄x. ¬ P x> show ?thesis by contradiction
      qed
    qed
    with <¬(∀x. P x)> show ?thesis by contradiction
    qed
    qed
    with <¬(∀x. P x)> show ?thesis by contradiction
    qed
```

**Example 7.** Proof of the de Morgan's law for first-order propositions in Isabelle

In Coq, the library Coq.Logic.Classical\_Prop contains definitions of classical logic, which are useful to extend intuitionistic logic to classical logic:

<sup>&</sup>lt;sup>3</sup>in contract to the previous proofs formulated in propositional logic, where the existence of both propositions was assumed.

<sup>&</sup>lt;sup>4</sup>This proof was originally taken from the set of examples in Isabelle's documentation, see https://github.com/seL4/isabelle/blob/master/src/HOL/Isar\_Examples/Drinker.thy

```
Proof.
    unfold not.
    intros P H_notall.
    apply NNPP. (* apply classic rule ~~P ==> P *)
    unfold not. intro H_not_notexist.
    cut (forall x:Type, P x). (* add new goal from the goal's premise *)
        - exact H_notall.
        - intro x. apply NNPP.
        unfold not.
        intros H_not_P_x.
        apply H_not_notexist.
        exists x.
        exact H_not_P_x.
```

Example 8. Proof of the de Morgan's law for first-order propositions in Coq

## Inductive Types

In both Isabelle and Coq, natural numbers type nat is defined inductively as in Peano arithmetic (see Appendix ??). Next two examples provide proofs for correctness of the simple formula  $2 \cdot S_n = n \cdot (n+1)$  for sum  $S_n$  of first n integer numbers (note that the definition of type nat bases on induction on zero):

```
fun range_sum :: "nat ⇒ nat"
  where "range_sum n = (\sum_k::nat=0..n . k)"
value "range_sum 10"

theorem SimpleArithProgressionSumFormula_Isabelle: "2 * (range_sum n) = n * (n + 1)"
  proof (induct n)
    show "2 * range_sum 0 = 0 * (0 + 1)" by simp
  next
  fix n have "2 * range_sum (n + 1) = 2 * (range_sum n) + 2 * (n + 1)" by simp
  also assume "2 * (range_sum n) = n * (n + 1)"
  also have "... + 2 * (n + 1) = (n + 1) * (n + 2)" by simp
  finally show "2 * (range_sum (Suc n)) = (Suc n) * (Suc n + 1)" by simp

ged
```

**Example 9.** Proof of the formula for sum of n first number in Isabelle

In Coq, the library Coq.omega.Omega contains powerful tactics to simplifying and proving natural numbers formulae:

```
range sum (n + 1) = range sum n + (n + 1).
Proof.
   intros.
   induction n.
   - simpl; reflexivity.
   - simpl; omega.
Oed.
Theorem SimpleArithProgressionSumFormula_Coq:
   forall n, 2 * range_sum n = n * (n + 1).
Proof.
intros.
induction n.
(* goal: '2 * range_sum 0 = 0 * (0 + 1)' *)
- simpl; reflexivity.
(* goal: '2 * range_sum (S n) = S n * (S n + 1)' *)
- rewrite -> Nat.mul_add_distr_1. (* '2*range_sum(S n) = S n * S n + S n * 1' *)
  rewrite \rightarrow (Nat.mul_succ_1 n). (* '2*range_sum(S n) = n * S n + S n + S n' *)
  rewrite <- (Nat.add_1_r n).
                                (* '2*range_sum(n+1) = n*(n+1)+(n+1)+(n+1)' *)
  rewrite -> range_sum_lemma. (* '2*(range_sum(n)+(n+1)) = n*(n+1)+(n+1)+(n+1)' *)
                                (* automatically solve arithmetic equation *)
  omega.
Qed.
```

**Example 10.** Proof of the formula for sum of n first number in Coq

Furthermore, after the correctness of defined function range\_sum has been proven, it is possible to extract from Coq the verified function code in Haskell or Ocaml:

**Example 11.** Extracted function in Haskell

**Example 12.** Extracted function in OCaml

#### 3.6 Results of comparison

//TODO: perhaps in table:

- expressiveness of logic used
- time of proving
- num of supporting theories
- set of techniques to prove automatically
- Volume of proof (as text)
- num of user interaction steps
- usability
- etc ...

Coq requires more mathematical background to even understand manuals (not just tactics, but rather deep knowledge of lambda-calculus to understand different types of reduction, functional programming and inductively created types ... ... ...)

Isabelle has more powerful meta-language syntax to operate tactics: it allows to use the functional combinators of tactics called tacticals for building up complex tactics from simpler ones. Common tacticals perform sequential composition, disjunctive choice, iteration, or goal addressing, which make Isar the Turing-complete language in the domain of logic <TODO: say better (?)>. [REFERENCE: implementaion.pdf in Isabelle Jedit]. In comparison, Coq allows to use only the composition of tactics. NOTE!!! Coq allows combinations of tactics as well, see:

Moreover, Isar allow more relaxed syntax in sense that its proof may look more like mathematical proof because of writing the goals during the proof (e.g., 'assume "¬ P x" then have "∃x. ¬ P x") and using the connectors that can be read conveniently by human (e.g., 'then' abbreviates 'from this', 'hence' expands to 'then have', etc.). In contrast, proofs written in Coq look more like programs written in imperative programming language: the sequence of states need to be executed in order to check how the directives (application of tactics) change the state of proof. On the other hand, the brevity of Gallina language may let the experienced user, that knows the syntax and tactics well, spend less time for writing the proof in Coq rather than in Isabelle.

Isabelle is very well documented, all its manuals and documentation is compiled as a set of pdf files and is supplied with Isabelle setup bundle. Coq's manuals were in web, so numerous of files were inaccessible or even lost.

# 4 Future work

```
// TODO (Paragraph is still in progress)
< in future, we want to apply this survey to software verification >
```

# References

- [1] "Coq proof assistant." https://coq.inria.fr/.
- [2] "Isabelle, a generic proof assistant." https://www.cl.cam.ac.uk/research/hvg/Isabelle/.
- [3] "PVS specification and verification system." http://pvs.csl.sri.com/.
- [4] W. McCune, "Otter and Mace2," 2003. https://www.cs.unm.edu/~mccune/otter/.
- [5] "ACL2: a computational logic for applicative common lisp." http://www.cs.utexas.edu/users/moore/acl2/.
- [6] F. Wiedijk, "Comparing mathematical provers," in MKM, vol. 3, pp. 188–202, Springer, 2003.

- [7] R. Rojas, "A tutorial introduction to the lambda calculus," *arXiv preprint arXiv:1503.09060*, 2015.
- [8] H. P. Barendregt, "Introduction to lambda calculus," 1988.
- [9] H. Barendregt, W. Dekkers, and R. Statman, *Lambda calculus with types*. Cambridge University Press, 2013.
- [10] S. Thompson, Type theory and functional programming. Addison Wesley, 1991.
- [11] A. D. Irvine and H. Deutsch, "Russell's paradox," Stanford encyclopedia of philosophy, 2008.
- [12] L. C. Paulson, "A formulation of the simple theory of types (for isabelle)," in *COLOG-88*, pp. 246–274, Springer, 1990.
- [13] H. G. Rice, "Classes of recursively enumerable sets and their decision problems," *Transactions of the American Mathematical Society*, vol. 74, no. 2, pp. 358–366, 1953.
- [14] T. Coquand, Une Théorie des Constructions. PhD thesis, Université de Paris VII, 1995.
- [15] C. Paulin-Mohring, "Introduction to the calculus of inductive constructions," 2015.
- [16] B. C. Pierce, Types and programming languages. MIT press, 2002.
- [17] F. Wiedijk, "Formalizing 100 theorems." http://www.cs.ru.nl/~freek/100/.
- [18] "HOL, interactive theorem prover." https://hol-theorem-prover.org/.
- [19] G. Klein, K. Elphinstone, G. Heiser, J. Andronick, D. Cock, P. Derrin, D. Elkaduwe, K. Engelhardt, R. Kolanski, M. Norrish, T. Sewell, H. Tuch, and S. Winwood, "sel4: Formal verification of an os kernel," in *Proceedings of the ACM SIGOPS 22Nd Symposium on Operating Systems Principles*, SOSP '09, (New York, NY, USA), pp. 207–220, ACM, 2009.
- [20] R. J. Krebbers, The C standard formalized in Coq. [Sl: sn], 2015.
- [21] "Proof general." https://proofgeneral.github.io/.
- [22] V. Glivenko, "Sur quelques points de la logique de M. Brouwer," *Bull. Soc. Math. Belg.*, vol. 15, pp. 183–188, 1929.
- [23] A. Kolmogorov, "O principe tertium non datur (in russian)," English trans. in van Heijenoort [1967, 414-437], from Mathematicheskiy sbornik 32: 646-667, 1925.
- [24] P. Rush, *The Metaphysics of Logic*. Cambridge University Press, 2014.
- [25] J. Harrison, *Handbook of practical logic and automated reasoning*. Cambridge University Press, 2009.

# Automatic proof of de Morgan's law in Isabelle

# Automatic proof of de Morgan's law in Coq

```
lemma DeMorganAuto_Isabelle: "(\neg (P \land Q)) = (\neg P \lor \neg Q)" apply auto done
```

```
Theorem DeMorganAutoFail_Coq:
    forall (P Q : Prop), ~P /\ ~Q <-> ~(P
        \/ Q).
Proof.
    tauto.
Qed.
```

#### Peano's natural numbers in Isabelle

# Peano's natural numbers in Coq

```
datatype nat =
   zero ("0")
   | Suc nat
```

# Addition in Isabelle

# Addition in Coq

```
fun add :: "nat \Rightarrow nat \Rightarrow nat"

where

"add 0 n = n"

| "add (Suc m) n = Suc(add m n)"
```

# Higher-order statement in Isabelle

# Higher-order statement in Coq

```
lemma lem:

"\forall (f::bool\Rightarrowbool) (b::bool) .

f (f (f b)) = f b"

Lemma lem:

forall (f: bool \rightarrow bool) (b: bool),

f (f (f b)) = f b.
```

// TODO: Inductive datatype

Isabelle: "datatype 'a list = Nil | Cons 'a ('a list)"