

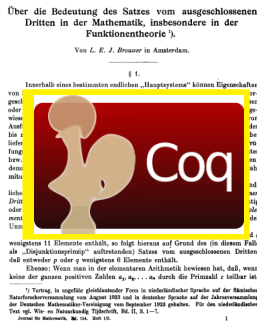
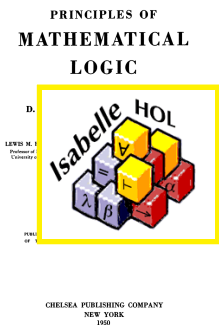
Comparison of two theorem provers: Isabelle & Coq

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Introduction



Elements of a Formal System

- A formula (judgement, statement) $\phi \in \Phi$:

$$\begin{aligned} \phi &:= p \mid q \mid \dots \\ &\mid \phi_1 \wedge \phi_2 \mid \phi_1 \vee \phi_2 \mid \neg \phi_1 \mid \phi_1 \rightarrow \phi_2 \\ &\mid \text{true} \mid \text{false} \\ &\mid \dots \end{aligned}$$

- Propositional variables: — $p, q, \dots \in V$
- An axiom — $\phi_A \in A$
- An inference rule τ — a transition function $\tau : \Phi \rightarrow \Phi$
- A formula ϕ provable from Φ — $\Phi \vdash \phi$
- A tautology \top — $\vdash \phi$
- A contradiction \perp — $\vdash \neg \phi$

Introduction

PRINCIPLES OF MATHEMATICAL LOGIC

BY
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Über die Bedeutung des Satzes vom ausgeschlossenen Dritten in der Mathematik, insbesondere in der Funktionentheorie¹⁾.

Von L. E. J. BROUWER in Amsterdam.

§ 1.

Innerhalb eines bestimmten endlichen „Hauptheizens“ können Eigenschaften von Systemen, d. h. Abbildungen von Systemen auf andere Systeme mit vorgegebenen Elementarkorrespondenzen, immer gegolte (d. h. entweder bewiesen oder als absurdum geführt) werden; die durch die betreffende Eigenschaft ausgezeichnete Abbildung besitzt nämlich auf jedem Fall nur eine endliche Anzahl von Ausführungsmöglichkeiten, von denen jede für sich unterworfen und entweder als zur Beweizung oder als zur Widerlegung geeignet werden kann. (Dieses ist das Prinzip der mathematischen Induktion als das Mittel, derartige Festlegungen ohne individuelle Betrachtung jedes an der Abbildung beteiligten Elementes bzw. jeder für die Abbildung bestehenden Ausführungsmöglichkeit durchzuführen; demselbe kann die Prüfung auch für Systeme mit sehr großer Elementenzahl mittels verhältnismäßig schnell verfahren.)

Auf Grund der obigen Feststellung gilt für innerhalb eines bestimmten endlichen Hauptheizens konstruierte Eigenschaften der Satz vom ausgeschlossenen Dritten, d. h. das Prinzip, daß jede Eigenschaft für jedes System entweder richtig oder unrichtig ist, und insbesondere der Satz von der Realisierbarkeit der Komplettierung, d. h. das Prinzip, daß für jedes System aus der Unmöglichkeit der Unmöglichkeit einer Eigenschaft die Richtigkeit dieser Eigenschaft folgt.

Wenn z. B. die Vermutung $\Phi(p, q)$ zweier mathematischer Systeme p und q wenigstens 11 Elemente enthält, so folgt daraus auf Grund des (in diesem Falle als „Disjunktivprinzip“ aufzufassenden) Satzes vom ausgeschlossenen Dritten, daß entweder p oder q wenigstens 6 Elemente enthält.

Ebenso: Wenn man in der elementaren Arithmetik beweisen hat, daß wenn keine der ganzen positiven Zahlen a_1, a_2, \dots, a_n durch die Primzahl r teilbar ist, so folgt daraus auf Grund des (in diesem Falle als „Disjunktivprinzip“ aufzufassenden) Satzes vom ausgeschlossenen Dritten, daß entweder p oder q wenigstens 6 Elemente enthält.

¹⁾ Vortrag, in ungefähre gleichzeitiger Form in niederländischer Sprache auf der Mathematischen Naturforscherversammlung von August 1908 und in deutscher Sprache auf der Jahresversammlung der Deutschen Mathematiker-Vereinigung von September 1908 gehalten. Für die niederländische Text- und die deutsche Übersetzung, Bd. II, S. 1–7.

Journal de Mathématiques, 1909, 1910, 1911.

Outline

Foundations of Formal Approach A formal system Classical and Intuitionistic logics

Two Theorem Provers Isabelle Coq

Comparison of the theorem provers Comparison Proof examples

Definition of the formal system

A *formal system* is a quadruple $\Gamma = \langle A, V, \Omega, R \rangle$, where

- A – set of axioms
- V – set of propositional variables
- Ω – set of logical operators
- R – set of inference rules

A *formal proof* of the formula ϕ is a finite sequence of judgements

$$\psi_1 \xrightarrow{\tau_1} \psi_2 \xrightarrow{\tau_2} \dots \xrightarrow{\tau_n} \psi_n$$

where each ψ_i is either an axiom ϕ_{A_i} , or a formula inferred from the set of previously derived formulas according to the rules of inference.

Classical Logic

example: The Hilbert System

Set of axioms:

$$\begin{aligned} A &\rightarrow (B \rightarrow A) & (A1) \\ (A \rightarrow (B \rightarrow C)) &\rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)) & (A2) \\ A \vee \neg A & & (EM) \end{aligned}$$

Single inference rule (*Modus Ponens*)

$$\llbracket A, A \rightarrow B \rrbracket \longrightarrow B \quad (MP)$$

Some provable tautologies:

$\neg\neg(A \vee \neg A)$	(nnEM)	$\neg(A \wedge B) \rightarrow \neg A \vee \neg B$	(DMdi)
$A \rightarrow \neg\neg A$	(DNi)	$\neg(A \vee B) \rightarrow \neg A \wedge \neg B$	(DMci)
$\neg\neg A \rightarrow A$	(DNe)	$\neg A \wedge \neg B \rightarrow \neg(A \vee B)$	(DMce)
$((A \rightarrow B) \rightarrow A) \rightarrow B$	(PL)	$\neg A \vee \neg B \rightarrow \neg(A \wedge B)$	(DMde)

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Intuitionistic Logic

a.k.a. Constructive Logic

Set of axioms:

$$\begin{aligned} A &\rightarrow (B \rightarrow A) & (A1) \\ (A \rightarrow (B \rightarrow C)) &\rightarrow ((A \rightarrow B) \rightarrow (A \rightarrow C)) & (A2) \\ \cancel{A \vee \neg A} & & (EM) \end{aligned}$$

Single inference rule (*Modus Ponens*)

$$\llbracket A, A \rightarrow B \rrbracket \longrightarrow B \quad (MP)$$

Some provable tautologies:

$\neg\neg(A \vee \neg A)$	(nnEM)	$\neg(A \wedge B) \rightarrow \neg A \vee \neg B$	(DMdi)
$A \rightarrow \neg\neg A$	(DNi)	$\neg(A \vee B) \rightarrow \neg A \wedge \neg B$	(DMci)
$\neg\neg A \rightarrow A$	(DNe)	$\neg A \wedge \neg B \rightarrow \neg(A \vee B)$	(DMce)
$((A \rightarrow B) \rightarrow A) \rightarrow B$	(PL)	$\neg A \vee \neg B \rightarrow \neg(A \wedge B)$	(DMde)

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Isabelle: first acquaintance

- a generic proof assistant
- based on classical higher-order logic
- created in 1986 by
 - Larry Paulson @ University of Cambridge, and
 - Tobias Nipkow @ Technische Universität München
- uses powerful functional language HOL
- the proof system core *Isabelle* is extended by various theories: Isabelle/HOL, Isabelle/ZF, Isabelle/CCL, etc.

Example 1: Definition of basic datatypes

```
datatype bool =  
  True | False  
  
datatype nat =  
  zero ("0") | Suc nat
```

Example 2: Definition of addition over nat

```
fun add :: "nat ⇒ nat ⇒ nat"  
  where  
    "add 0 n = n" |  
    "add (Suc m) n = Suc(add m n)"
```

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Coq: first acquaintance

- a formal proof management system
- based intuitionistic logic (Calculus of Inductive Constructions)
- created at INRIA (Paris, France) in 1984
- uses powerful functional language Gallina
- has large collection of formalised theories
- widely used in software verification (proof code extraction)

Example 3: Definition of basic datatypes

```
Inductive False : Prop := .  
  
Inductive True : Prop := I : True.  
  
Inductive nat : Type :=  
| O : nat  
| S : nat -> nat.
```

Example 4: Definition of addition over nat

```
Fixpoint add (n m : nat) : nat :=  
  match n with  
  | O => m  
  | S n' => S (n' + m)  
  end  
where "n + m" :=  
  (add n m) : nat_scope.
```

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Comparison

Major similarities:

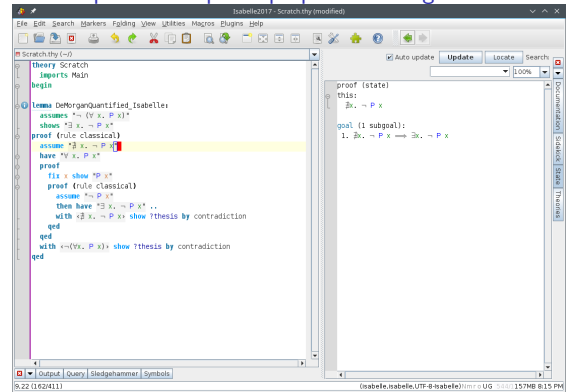
- both work in a similar way of *verifying* the proof or *assisting* in creation of the new one
- *premises* $\xrightarrow{\text{tactics}}$ *goals* (forward proof)
- *goals* $\xrightarrow{\text{tactics}}$ *premises* (backward proof)
- both have large amount of libraries with formalised theories
- both dispose the set of highly automated tactics
- both are being actively developed these days

Major differences:

- based on different logics \Rightarrow
 - * unprovable statements and invalid proofs in Coq
 - * sometimes more complex proof in Coq
 - * *constructive* proof in Coq

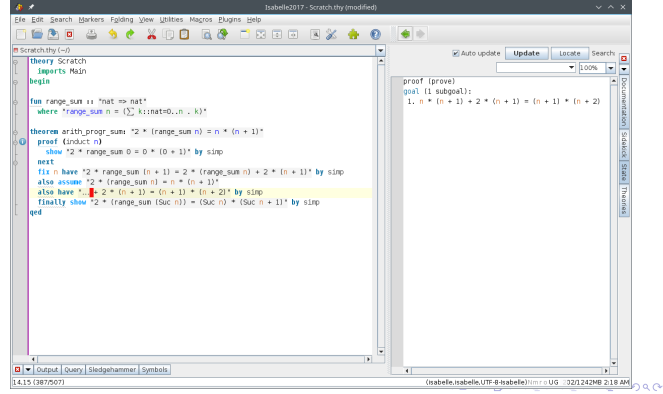
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Isabelle: proof example in propositional logic



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Isabelle: proof example over nat



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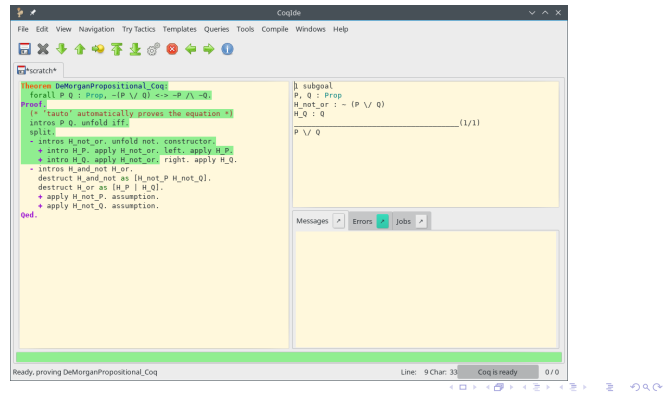
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Coq: proof example in propositional logic



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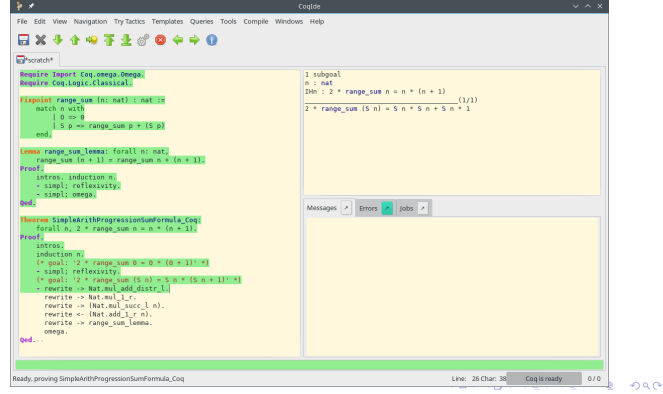
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Coq: proof example over nat



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Coq: proof example over nat + verified code extraction

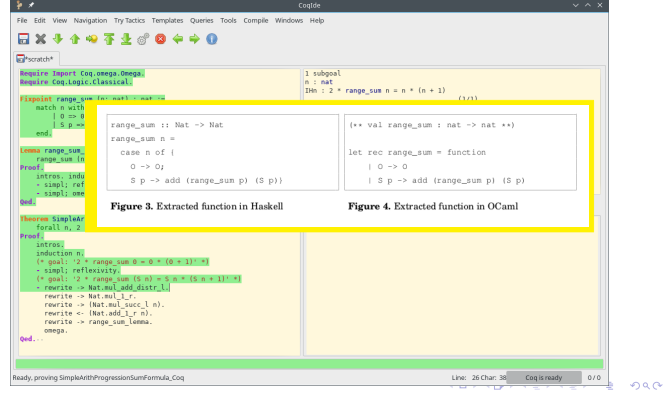


Figure 3. Extracted function in Haskell

Figure 4. Extracted function in OCaml

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Summary

- Two widespread theorem provers were considered: Isabelle and Coq
- The key difference between them lie in differences between logical theories they based on
- Nonetheless, they both may be used to solve applied problems, such as software testing and verification

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