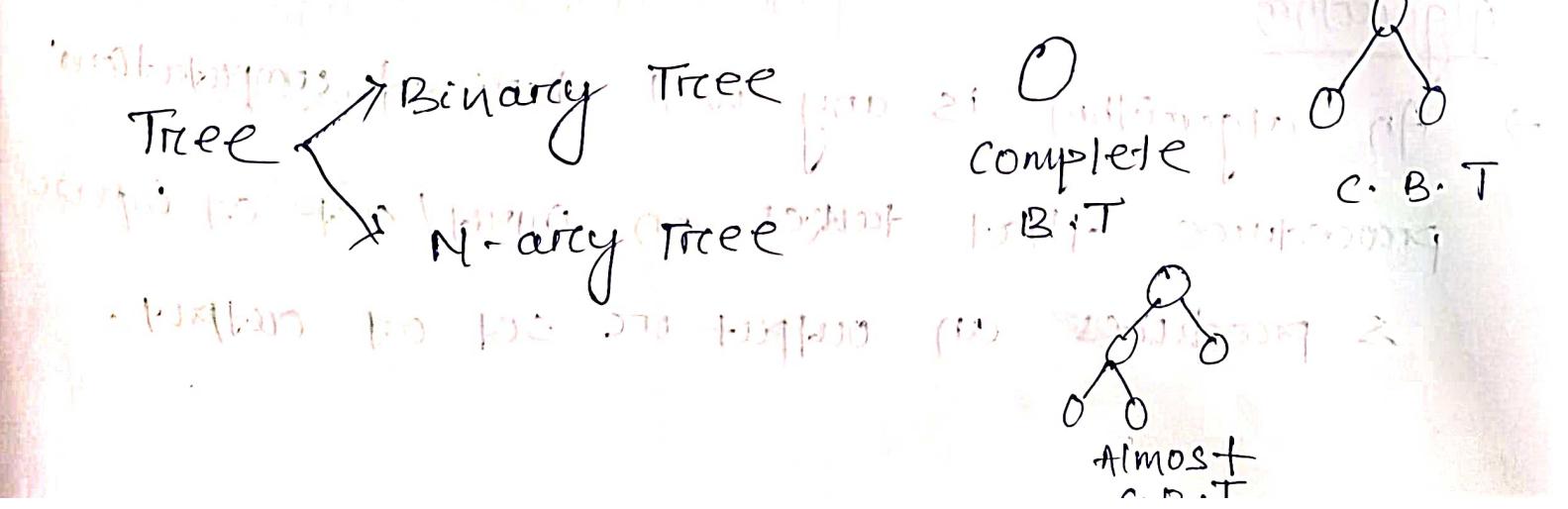


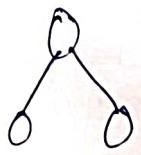
Objective

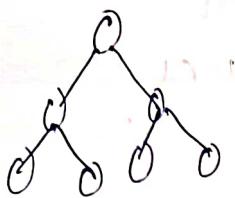
- (i) what is an algorithm
- (ii) how to analyse an algorithm
- (iii) study of different design techniques.

Pre requisite

- (i) C
 - (ii) Data structure (how it stored, accessed & manipulated data)
 - linear → stack, queue, array, linked list
 - Non-linear → Tree, Graph.
- * In linear data structure traversal occurs in only in one unique way i.e. top to bottom, front to rear etc.
- * In non-linear data structure traversal occurs in multiple ways i.e. in, pre, post, BFS, DFS etc.



 height $\log(3)$

 height $\log(7)$

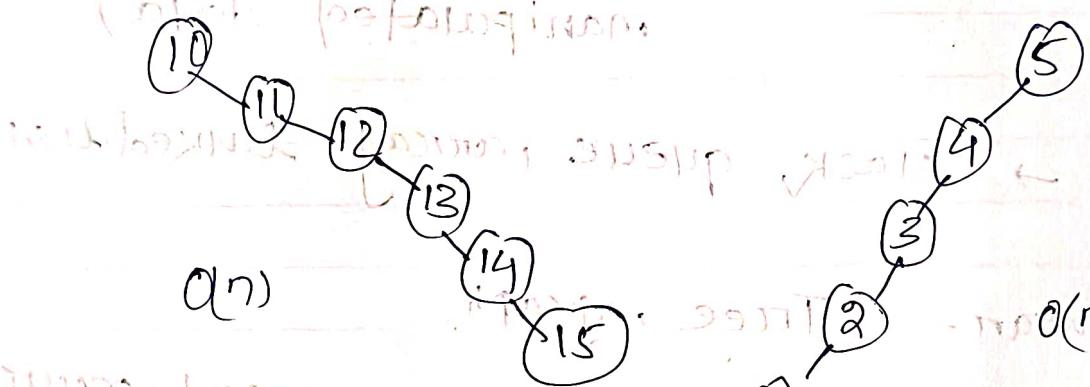
{ must be a complete binary tree } long $\log(n)$ height of tree

BST

10, 11, 12 and 13, 14, 15

5, 4, 3, 2, 1

(not performing)



(Right skewed)

left skewed

Objective

(I) Algorithm

(II) Analysis

(III) Design

Algorithm

→ An algorithm is any well defined, computational procedure that takes an input / set of inputs & produces an output or a set of outputs.

Algorithm can be defined as



→ It is a computational procedure which transforms the input to its corresponding output.

Representation of an algorithm

(I) flow chart

(II) Pseudo code → uses the preprogramming element

(I) Variable

(II) Constant

(III) Operators

(IV) Branching constructs (if, if else)

(V) loop constructs

Q Write an algorithm to add two integers.

A ADD (x,y)

(I) Input x,y

(II) compute result = x+y

(III) print result

(IV) Exit

Properties of an algorithm

- (I) Finiteness [It must stop with finite number of steps]
- (II) Each step must be well defined (It must not be ambiguous)
- (III) Correctness : An algorithm is said to be correct if it stops/ halts with correct output for every instance of the problem.

$$n = 10$$

$$y = 20$$

one instance

(III) If (II) & input

$$n = 15$$

$$y = 30$$

another instance of

input

in place

of (VI)

[-2^{31} to 0 to $2^{31}-1$] range of int' data type

* Every algorithm followed correctness proof.

Design Methods

(I) Divide & conquer

(II) Dynamic programming approach

(III) Greedy approach

(IV) Recurrences

Analysis Sorting

(Ascending)

8	21	31	6	2	1
---	----	----	---	---	---

Input: a sequence of ' n ' numbers

$$\langle a_1, a_2, a_3, \dots, a_n \rangle$$

21	61	8	2	2	1
----	----	---	---	---	---

Output: A permutation of the given sequence

$$\langle a'_1, a'_2, \dots, a'_n \rangle$$

such that $a'_1 \leq a'_2 \leq \dots \leq a'_n$

Instance

$$\langle 15, 10, 25, 3, 4, 2 \rangle$$
 input

$$\langle 2, 3, 4, 10, 15, 25 \rangle$$

$$a'_1$$

$$a'_n$$

(A) Final output

Insertion Sort

→ Insertion sort is a good algorithm for a smaller input sequence

input sequence

→ Each element to be sorted is taken as the key element

key element

→ It works like most people arrange the playing cards in their left hand.

Insertion sort

5	3	2	10	15	8
---	---	---	----	----	---

(insertion sort) Contd.



2	3	5	8	10	15
---	---	---	---	----	----

Assumption

(I) Let $\text{length}(A)$ is no of elements present in A.

(II) Array index starts from 1

Algorithm for insertion sort

insertion sort (A)

(I) for $j=2$ to $\text{length}(A)$

(II) key = $A[j]$

(III) $i = j-1$

(IV) while ($i \geq 1$ $\&$ $A[i] > \text{key}$)

(V) $A[i+1] = A[i]$

(VI) $i = i-1$

(VII) $A[i+1] = \text{key}$.

(VIII) Exit

Analysis of Algorithm

→ The analysis of algorithms are done by considering the resources the algorithm uses.

* Resources algorithm uses:

(i) computer's memory

(ii) Hard ware

(iii) Computational time (most often used)

computation time / Running time

(i) Time complexity

(ii) Space complexity (how much memory it takes)

Running time of insertion sort

→ The time taken by insertion sort for 4 inputs varies from 1000 inputs.

→ Even if the input size / length of the sequence is same still the insertion sort running time varies depending on how nearly the elements are sorted.

are sorted.

→ In general the time of insertion sort depends on the size of input.

19-01-2024

Analysis

Running time

→ It is the sum of execution time of each statement of the algorithm.

cost: computation time of that statement

Let c_i is the time taken by i^{th} statement of algorithm for each execution.

e.g.: ADD(x, y)

(i) input(x, y)

(ii) compute result = $x + y$

(iii) print result

(iv) exit

	cost/time	Total
(i)	c_1	c_1
(ii)	c_2	c_2
(iii)	c_3	c_3
(iv)	c_4	c_4

$$\text{Print}(n) \text{ is } T(n) = c_1 + c_2(n+1) + c_3 + c_4$$

(i) Input n

(ii) for $i = 1$ to n

(iii) Print(i)

(iv) exit

	cost	time	$T(n)$
(i)	c_1	c_1	c_1
(ii)	c_2	$n+1$	$c_2(n+1)$
(iii)	c_3	n	$c_3 n$
(iv)	c_4	1	c_4

$$= c_1 + c_2 + c_3 + (c_2 + c_3)n$$

$$= c_{11} + c_{12} n$$

$$= O(n)$$

$$\boxed{\begin{aligned} c_{11} &= c_1 + c_2 + c_3 \\ c_{12} &= c_2 + c_3 \end{aligned}}$$

n - size of input

$$C_0 + C_1 T(n) + C_2 T(n^2) = O(T(n^2))$$

→ The time complexity of an algorithm depends on the input size.

$$C_0 + C_1 n + C_2 n^2 + C_3 n^3 + \dots$$

computation time for insertion sort

	<u>cost</u>	<u>time</u>	
(I). for $i=2$ to n	$C_1(i)$	$\sum_{j=2}^n 1$	$C_0 + C_1 \sum_{j=2}^n 1$
(II)	C_2	$n-1$	$C_0 + C_1 \sum_{j=2}^n 1 + C_2$
(III)	C_3	$n-1$	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3$
(IV)	C_4	$\sum_{j=2}^n t_j$	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3 + C_4$
(V)	$\left[\frac{C_5 + C_6}{2} \right] = 10$	$\sum_{j=2}^n (t_j - 1)$	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3 + C_4 + C_5 + C_6$
(VI)	C_6	11	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3 + C_4 + C_5 + C_6 + C_7$
(VII)	C_7	$n-1$	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3 + C_4 + C_5 + C_6 + C_7 + C_8$
(VIII)	C_8	1	$C_0 + C_1 \sum_{j=2}^n 1 + C_2 + C_3 + C_4 + C_5 + C_6 + C_7 + C_8 + C_9$

let computation time for each line 4 executes for each value of j .

$$\sum_{j=2}^n t_j = 2+3+4+\dots+n = \frac{n(n+1)}{2}-1$$

$$\begin{aligned} & \sum_{j=2}^n (t_j - 1) \\ &= 1+2+3+\dots+(n-1) \\ &= \frac{n(n-1)(n-1+1)}{2} \\ &= \frac{n(n-1)}{2} \end{aligned}$$

$$T(n) = c_1 n + c_2 n^2 + c_3 n^3$$

$$T(n) = c_1 n + c_2 n^2 + c_3 n^3 - c_4 \frac{n^2}{2} + c_5 \frac{n^3}{3} - c_6 \frac{n^2}{2}$$

$$+ c_7 \frac{n^2}{2} - c_8 \frac{n^3}{3} + c_9 n - c_{10} + c_{11}$$

$$= c_{11} n^2 + c_{12} n + c_{13}$$

$$= O(n^2)$$

$$\left[\begin{array}{l} \frac{n(n-1)}{2} c_5 \\ = \frac{n^2}{2} c_5 + \frac{n}{2} c_5 \end{array} \right]$$

$$\left[c_{11} = \frac{c_4 + c_5 + c_6}{2} \right]$$

$$c_{12} = c_1 + c_2 + c_3 + c_7 + \frac{c_8}{2}$$

$$c_{13} = -c_2 - c_3 - c_4 - c_7 + c_8$$

→ When we analyse an algorithm different states or cases of the algorithm are considered.

(I) worst case

(II) average case

(III) Best case.

→ In insertion sort worst case means the array is in reverse order.

6	5	4	3	1
---	---	---	---	---

(II) Average case : means it is nearly sorted (mixed case)

15	91	3	4	100
----	----	---	---	-----

(III) Best case: The array is already sorted

1	2	3	4	5
---	---	---	---	---

→ In insertion sort the worst case time complexity of worst case & average case is $O(n^2)$

Best case Time complexity of insertion sort

- | Algo | Step | Cost | Time |
|-------|--|-------|-------|
| (I) | for $j=2$ to n | c_1 | $n-1$ |
| (II) | key = $A[j]$ | c_2 | $n-1$ |
| (III) | $i=j-1$ | c_3 | $n-1$ |
| (IV) | while ($i \geq 1$ & $A[i] > \text{key}$) | c_4 | $n-1$ |
| (V) | $A[i+1] = A[i]$ | c_5 | 0 |

(VI) $i = i - 1$

(VII) $A[i+1] = key$

(VIII) Exit

Total time

$$T(n) = c_1 n + c_2(n-1) + c_3(n-1) + c_4(n-1) + c_5 \times 0 + c_6 \times 0 + c_7 \times (n-1) + c_8$$

$$= (c_1 + c_2 + c_3 + c_4 + c_7)n + (-c_2 - c_3 - c_4 - c_7 + c_8)$$

$$= c_1 n + c_2$$

Highest power is n . The order is $O(n)$.

$O(n)$

Notes

* Insertion Sort is the only sorting algorithm whose best case time is $O(n)$ or linear in number of inputs.

Problem

Let there be an array of size 1 million. There are two computers C_1 who executes 1 billion instruction per second. C_2 who executes 10 million instruction per second. Then C_1 runs an algorithm whose running time is $O(n^2)$ & C_2 runs an algorithm whose running time is $O(n \log n)$. Compute how much time each machine will take to sort the given array.

Ans

$$\text{Array size}(n) = 10^6$$

$$C_1 \text{ speed} = 10^9 \text{ instruction/sec}$$

$$\begin{aligned} C_2 \text{ speed} &= 10 \times 10^6 \text{ instruction/sec} \\ &= 10^7 \text{ instruction/sec} \end{aligned}$$

C_1

The algorithm takes $O(C_1 n^2)$

$$= 10^{12}$$

$$\text{Time to sort the array} = \frac{10^{12}}{10^9}$$

$$= 10^3 \text{ sec}$$

C_2 runs takes $= O(n \log_2 n)$

$$\begin{aligned} 2^{10} &= 1024 \approx 10^3 \\ 10^6 &\approx 2^{20} \end{aligned}$$

$$= O(10^6 \log_2 10^6)$$

$$\begin{aligned} &= \log_2 10^6 = \log_2 2^{20} = 20 \\ &= 10^6 \times 20 \end{aligned}$$

$$\left[\log_2 2^3 = 3 \log_2 2 \right] \Rightarrow 3$$

$$B = 10^6 \times 10^1 \times 2$$

$$\text{Time taken by } C_2 = \frac{10^6 \times 20}{10^7} \text{ sec}$$

$$= 2 \text{ sec}$$

Note

Though the speed of the computer is very high,

still its execution time is more because it runs an algorithm whose running time is more than the algorithm which runs in

C_2 .

→ so it is essential to develop efficient algorithm to solve a problem than the speed of the computer.

Bubble Sort

Array	91	16	5	31	41	13
-------	----	----	---	----	----	----

* 'n' no of elements
no of comparison & swap
 $\text{is } (n-1)$

16	5	31	41	13	91
----	---	----	----	----	----

→ 1st iteration

16	5	31	13	41	91
----	---	----	----	----	----

→ 2nd iteration.

5	16	13	31	41	91
---	----	----	----	----	----

→ 3rd iteration

(n-3) (length of array)

$$\begin{aligned} n - (n-1) \\ = n - n + 1 \\ = 1 \end{aligned}$$

5	13	16	31	41	91
---	----	----	----	----	----

→ 4th iteration.

(n-4)

5	13	16	31	41	91
---	----	----	----	----	----

→ 5th iteration

(n-5)

$\left[\begin{matrix} n-n-1 \\ \text{comparisons} \end{matrix} \right]$

At (n-1)th iteration, the array is sorted.

Algorithm

Bubble sort(A)

- (I) for i = 1 to n-1
 - (II) for j = 1 to n-i
 - (III) if (A[j] > A[j+1])
 - (IV) swap (A[j], A[j+1])
 - (V) Exit
- for i = 1 to n-1
for j = 1 to n-i
if (A[j] > A[j+1])
swap (A[j], A[j+1]).

Running time = (n-1) + (n-2) + (n-3) + ... + 1

$$= \frac{n(n-1)}{2} = \frac{n^2}{2} - \frac{n}{2}$$

$$= C_1 n^2 + C_2 n$$

Biggest power is '2'

so time complexity = $O(n^2)$ for all cases (worst, avg, best)

Linear Search

→ Search

→ It is the process of finding a given element from a given input sequence.

→ Input: A sequence of elements is an element which is to be searched.

→ Output: position where the element's present message whether the element is present or not.

Two searching algorithm

(I) Linear search

(II) Binary search

10	21	3	4	8	91
----	----	---	---	---	----

Searching element
91

Algorithm for linear search

linear search (A, ele)

(I) flag = 0

(II) for i=1 to n

(III) If ($\text{ele} == A[i]$)

(IV) $\text{flag} = 1$

(V) break

(VI) If ($\text{flag} == 1$)

(VII) print ("element is present")

(VIII) else

(IX) print ("element is not present")

(X) exit

Running time =

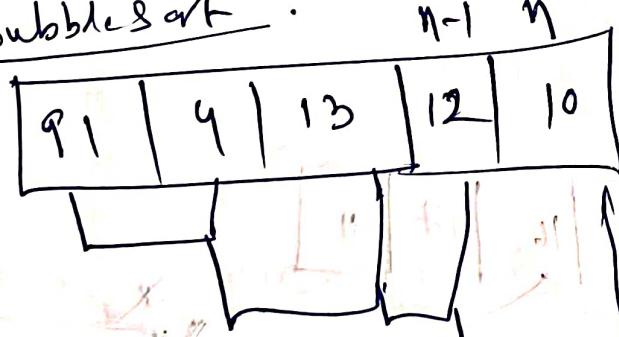
Ele at first position \leftarrow Best case: Element is present at loc 1 O(1)

Avg case:

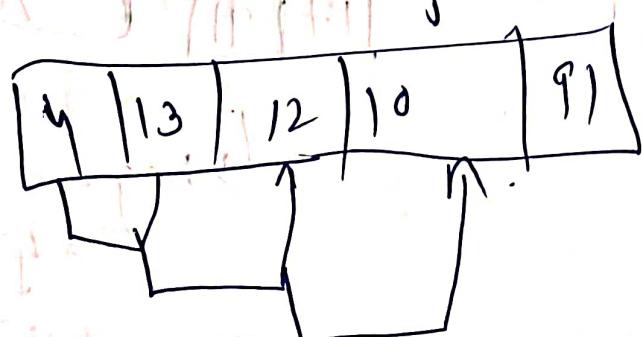
worst case:

$O(n)$ \rightarrow linear time search algorithm

Bubble sort



$$\begin{array}{c} j \\ \hline j = n-1 \\ n-2 \end{array}$$



$$\begin{array}{c} j \\ \hline j = n-2 \\ n-1 \end{array}$$

$$= (n-1) + (n-2) + \dots + 1 \quad i = n-1$$

Binary Search

31-01-2024

Pre condition:-

→ Array must be sorted

Input: Any array

in any order

15	9	25	31	41
----	---	----	----	----

↓ sort

* must sort the array

9	15	25	31	41
---	----	----	----	----

↓ Binary

Output: element Present / Absent

10	15	16	17	91
----	----	----	----	----

91

element to be
searched

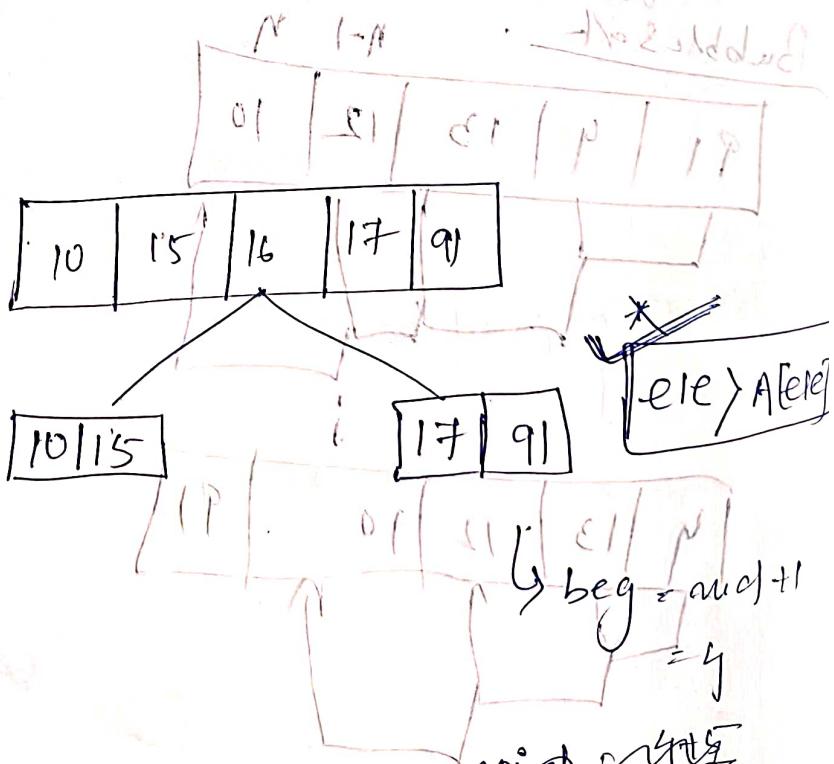
begin = 1

end = 5

$$\text{mid} = \frac{\text{begin} + \text{end}}{2} = \frac{1+5}{2} = 3$$

ele = A[mid]

91 ≠ 16



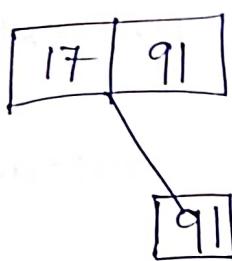
15 > 91

1 - n = 5

$$1 + (n-1) + (n-2) + \dots + 1 =$$

$$n(n+1)/2 = 5(5+1)/2 = 15$$

$\text{ele} \neq A[\text{mid}]$



$$\begin{aligned}\text{begin} &= \text{mid} + 1 = 5 \\ \text{end} &= 5 \\ \text{mid} &= 5 \\ \text{ele} &\neq A[\text{mid}]\end{aligned}$$

eg:

1	2	3	4	5
10	15	16	17	91

10	15
----	----

17	91
----	----

$$\begin{aligned}\text{begin} &= 1 \\ \text{end} &= 5 \\ \text{mid} &= 3 \\ \text{ele} &\neq A[\text{mid}]\end{aligned}$$

$\text{ele} > \text{arr}[3]$
 $\text{ele} < \text{arr}[3]$ so search will be continued on the left array.

beg=1

end=mid-1

$$\begin{aligned}\text{mid} &= \frac{1+2}{2} \\ &= 1\end{aligned}$$

$\text{ele} = A[\text{mid}]$

search element

Time complexity of B.S

→ Best case of the element to be search is the middle element of the input array = $O(1)$

* height of B.S.T having 'n' no of elements $O(\log_2 n)$

→ worst case time of BS = $O(\log_2 n)$

Algorithm for B.S

Binary Search (A, beg, end, ele)

(1) flag = 0

(2) while (beg <= ~~ele~~ ^{end})

(3) { mid = (beg + end) / 2

(4) if (ele == A[mid])

(5) flag = 1

(6) print ("element is present")

(7) break

(8) else if (ele > A[mid])

(9) beg = mid + 1

(10) else

(11) end = mid - 1

(12) } // end of while

(13) if (flag == 0)

(14) print ("element is absent")

(15) exit

02-02-24

~~Recursive function~~ Binary search :-

+ solve the same pr
+ till the base condition

* function call itself

* A function call itself to solve the same problem
with smaller input, till the base condition
arise

* Base condition means a point where the problem can not be divided further.

$$\text{eg: } \text{fact}(n) = n \times \text{fact}(n-1)$$

$$= n \times (n-1) \times \text{fact}(n-2)$$

$$= n \times (n-1) \times (n-2) \times \text{fact}(n-3)$$

$$\vdots$$

$$= n \times (n-1) \times \dots \times \text{fact}(1)$$

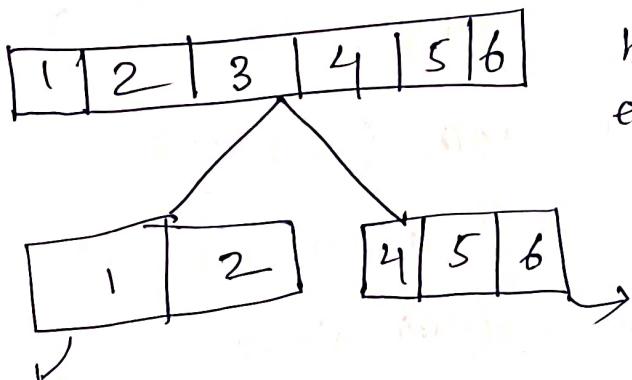
Base condition

$$\text{eg: } \text{sum}(n)$$

$$= n + \text{sum}(n-1)$$

$$= n + (n-1) + \dots + \text{sum}(1)$$

base condition



$\text{BS}(A, \text{beg}, \text{mid}-1, \text{ele})$

$\text{beg} = 1$
 $\text{end} = 5$
 $\text{mid} = 3$

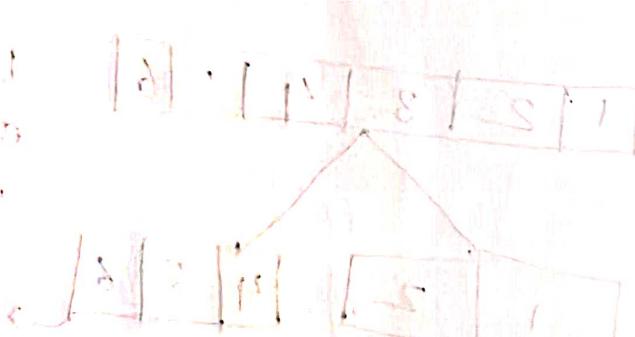
$\text{BS}(A, \text{mid}+1, \text{end}, \text{ele})$

1
search element

A[mid] ≠ ele

- (A, beg, end, ele)
- Recursive BS
- (I) Flag = 0
 - (II) if ($\text{Cbeg} \leq \text{end}$)
 - (III) mid = $\frac{\text{beg} + \text{end}}{2}$
 - (IV) if ($\text{ele} == A[\text{mid}]$)
 - (V) flag = 1
 - (VI) print (element is present)
 - (VII) return
 - (VIII) else if ($\text{ele} < A[\text{mid}]$)
 - (IX) return recursive BS(A, beg, mid-1, ele)
 - (X) else
 - (XI) return Recursive BS(A, mid+1, end, ele).
 - (XII) }
 - (XIII) return flag
 - (XIV) exit

Time complexity
 $O(\log n)$



(13, 14, 15, 16, 17)

Growth of Function

$$T(n) = O(n^2)$$

input size

$$(n \geq 1)$$

- The order of growth of running time of an algorithm gives a characterisation of efficiency of an algorithm when n is large. ($n \rightarrow \infty$)
- The ~~exact~~ asymptotic efficiency ($n \rightarrow \infty$) of an algorithm is with the large input size the order of growth of running time.
- The asymptotic efficiency of an algorithm is given by asymptotic notation.

Asymptotic Notations

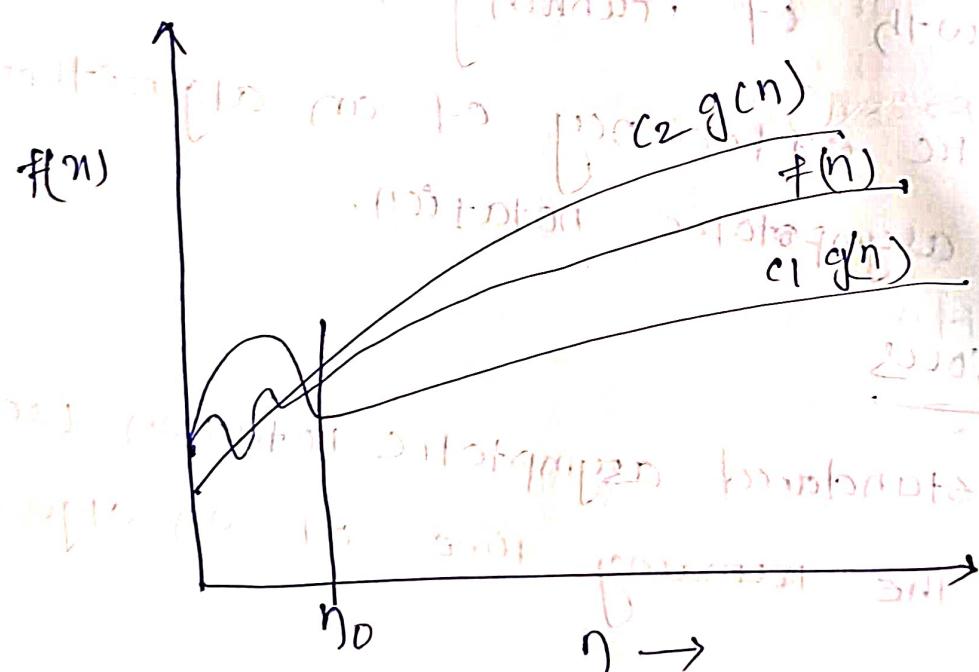
- There are 5 standard asymptotic notation used to represent the running time of an algorithm.
- (I) Θ (Theta) - notation
 - (II) O (Big-oh) notation
 - (III) Ω (omega) notation
 - (IV) \mathcal{O} (little-oh) notation
 - (V) ω (little omega) notation

Q - Notation

for a given function $g(n)$, we denote a set of functions $\Theta(g(n)) = \{f(n) : \text{There exist positive constants } c_1, c_2, n_0 \text{ such that } 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for } \forall n > n_0\}$

$f(n) \geq g(n)$ are non-negative function.

c_1, c_2, n_0 are strictly +ve



* $g(n)$ is asymptotic tight bound of $f(n)$.

$$\text{ex. } 3n+4 = \Theta(n)$$

$$c_1 n \leq 3n+4 \leq c_2 n$$

Q Prove that

$$n^2 + 5n + 6 = O(n^2)$$

following

Ans

$$f(n) = n^2 + 5n + 6$$

$$g(n) = n^2$$

Prove that $c_1 g(n) \leq n^2 + 5n + 6 \leq c_2 g(n)$: $\forall n > n_0$

find c_1, c_2 & n_0 such that eq ① satisfies

for $\forall n > n_0$ $f(n) \geq n^2 - \frac{5n}{3} \geq g(n)$ — prove

eq ① can be rewritten as $c_1 n^2 \leq n^2 + 5n + 6 \leq c_2 n^2$

$$\Rightarrow c_1 \leq 1 + \frac{5}{n} + \frac{6}{n^2} \leq c_2 \quad \text{--- ②}$$

left inequality

$$c_1 \leq x$$

Right inequality

$$(x) \rightarrow x \leq c_2 \quad x \geq 12$$

$$\begin{array}{r} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \\ 9 \\ 10 \\ 11 \\ 12 \\ 13 \\ 14 \\ 15 \\ 16 \\ 17 \\ 18 \\ 19 \\ 20 \\ 21 \\ 22 \\ 23 \\ 24 \\ 25 \\ 26 \\ 27 \\ 28 \\ 29 \\ 30 \\ 31 \\ 32 \\ 33 \\ 34 \\ 35 \\ 36 \\ 37 \\ 38 \\ 39 \\ 40 \\ 41 \\ 42 \\ 43 \\ 44 \\ 45 \\ 46 \\ 47 \\ 48 \\ 49 \\ 50 \\ 51 \\ 52 \\ 53 \\ 54 \\ 55 \\ 56 \\ 57 \\ 58 \\ 59 \\ 60 \\ 61 \\ 62 \\ 63 \\ 64 \\ 65 \\ 66 \\ 67 \\ 68 \\ 69 \\ 70 \\ 71 \\ 72 \\ 73 \\ 74 \\ 75 \\ 76 \\ 77 \\ 78 \\ 79 \\ 80 \\ 81 \\ 82 \\ 83 \\ 84 \\ 85 \\ 86 \\ 87 \\ 88 \\ 89 \\ 90 \\ 91 \\ 92 \\ 93 \\ 94 \\ 95 \\ 96 \\ 97 \\ 98 \\ 99 \\ 100 \end{array}$$

$$\begin{array}{l} c_1 = 1 \\ c_2 = 12 \end{array}$$

$$1 \leq 1 + \frac{5}{n} + \frac{6}{n^2} \leq 12$$

$$n_0 = 1$$

for $c_1 = 1$, $c_2 = 12$ & $\eta_0 = 1$, The eqⁿ ① holds
 for all $n \geq \eta_0 = 1$. Hence $n^2 + 5n + 6 = O(n^2)$
 proved.

Q Prove that $\frac{n^2}{2} - 3n = O(n^2)$

$$f(n) = \frac{n^2}{2} - 3n$$

$$g(n) = n^2$$

To prove :- $c_1 n^2 \leq \frac{n^2}{2} - 3n \leq c_2 n^2$ — ①

find c_1, c_2 and η_0 such that eqⁿ ① holds for all $n \geq \eta_0$

eqⁿ ① can be written as $c_1 n^2 \leq \frac{n^2}{2} - 3n \leq c_2 n^2$

$$c_1 \leq \underbrace{\frac{1}{2} - \frac{3}{n}}_{X} \leq c_2 \quad \text{--- ②}$$

$$\frac{n}{1} \xrightarrow{-2.5}$$

$$2 \xrightarrow{-1}$$

$$3 \xrightarrow{-1/2}$$

$$4 \xrightarrow{-1/4}$$

$$5 \xrightarrow{-1/10}$$

$$6 \xrightarrow{0}$$

$$\boxed{7 \xrightarrow{1/14} \eta_0}$$

for $c_1 = \frac{21}{14}$ & $c_2 = -\frac{1}{2}$ and $n_0 = 7$, eq(1) holds

Hence $\sum_{i=2}^n -3n = O(n^2)$

Q Prove that $an^2 + bn + c = O(n^2)$

$$f_n = an^2 + bn + c$$

$$g(b) = n^2$$

To prove $c_1 n^2 \leq an^2 + bn + c \leq c_2 n^2 \rightarrow (1)$

find c_1, c_2 and n_0 such that eq(1) holds for

$$\text{all } n \geq n_0$$

eq(1) can be written as $c_1 \leq a + \frac{b}{n} + \frac{c}{n^2} \leq c_2$

$$1 \quad x$$

$$1 \quad a+b+c$$

$$2 \quad \left(a + \frac{b}{2} + \frac{c}{4}\right)$$

$$3 \quad \left(a + \frac{b}{3} + \frac{c}{9}\right)$$

$$n_0 = 1$$

$$c_1 = a$$

$$c_2 = a+b+c$$

$$(1) \quad x$$

1st minimize $a + b + c$ w.r.t b & c eq(1) holds

$$\therefore \frac{1}{2}(-b) = a + b + c \Rightarrow b = -2a - 2c$$

for $c_1 = a$ & $c_2 = a + b + c$ eq(1) holds

Hence $an^2 + bn + c = O(n^2)$

Ans.

Q Prove $3n+4 = O(n)$

$$f(n) = 3n+4$$

$$g(n) = n$$

Proof c_1, c_2 , and n_0 such that

$$c_1 n \leq 3n+4 \leq c_2 n$$

$$\Rightarrow c_1 \leq \frac{3n+4}{n} \leq c_2$$

$$\Rightarrow \frac{n}{1} \leq \frac{3n+4}{n} + \frac{4}{n} \geq c_1$$

$$\begin{array}{r} 2 \\ 2 \\ \vdots \\ 3 \end{array}$$

$$c_1 = 3$$

$$c_2 = 7$$

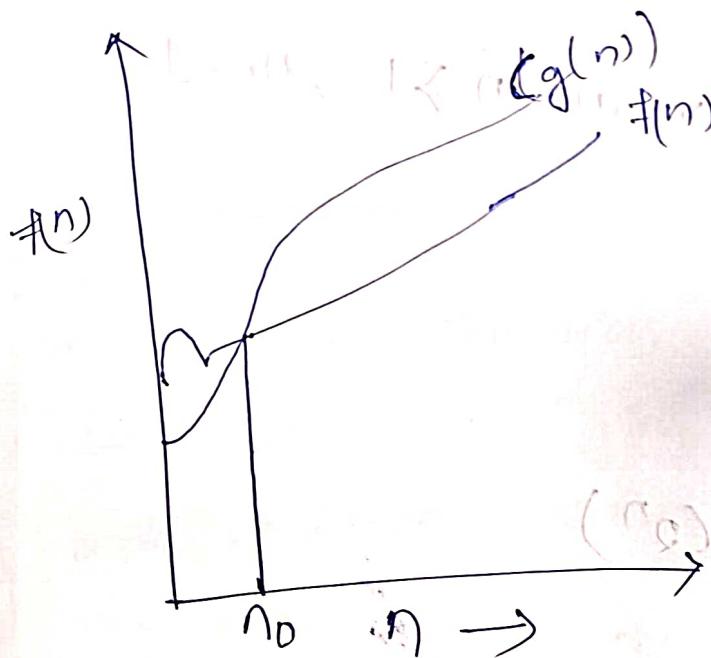
$$n_0 = 1$$

$$(n > 1)$$

For $c_1 = 3$, $c_2 = 7$, $n_0 = 1$ $3n+4 = O(n)$ (proved)

Big-oh (O) Notation

→ For a given function $g(n)$, we denote a set of functions $\text{Time}(g(n)) = \{f(n)\}$: There exist two constants $C & n_0$ such that $0 \leq f(n) \leq Cg(n)$ for $n > n_0$.



Q prove that $3n^2 + 4n + 5 = O(n^2)$

$$f(n) = 3n^2 + 4n + 5$$

$$g(n) = \underline{O(n^2)} n^2$$

we have to prove,

By definition O -notation

$$0 \leq f(n) \leq c g(n)$$

$$0 \leq 3n^2 + 4n + 5 \leq cn^2 \quad \text{--- (1)}$$

find c & n_0 such that eqn (1) holds for all

$$n > n_0$$

$$3n^2 + 4n + 5 \leq cn^2$$

$$3 + \frac{4}{n} + \frac{5}{n^2} \leq c$$

$$\text{let } x = 3 + \frac{4}{n} + \frac{5}{n^2}$$

$$c = 12 \quad n_0 = 1$$

$$3 + \frac{4}{n} + \frac{5}{n^2} \leq c$$

for all $n \geq 1$, $n_0 = 1$

for $c = 12$, $n_0 = 1$

$$8n^2 + 4n + 5 = O(n^2)$$

Q Prove that $2^{n+1} = O(2^n)$

$$f(n) = 2^{n+1}$$

$$g(n) = 2^n$$

we have to proof

$$0 \leq f(n) \leq c g(n)$$

$$0 \leq 2^{n+1} \leq c \cdot 2^n$$

~~find $c \geq 2, n_0 = 1$ such that eqⁿ ① holds for all~~

for $c = 2 \Rightarrow n_0 = 1$, $2^{n+1} = O(2^n) \geq 0$ (proved)

Q Prove that $n^2 + 5n + 6 = O(n^2)$

$$f(n) = n^2 + 5n + 6$$

$$g(n) = n^2$$

$$0 \leq f(n) \leq c g(n)$$

$$0 \leq n^2 + 5n + 6 \leq cn^2$$

$$0 \leq 1 + \frac{5}{n} + \frac{6}{n^2} \leq c$$

1

X

$$\frac{5}{n} \geq 2 + \frac{12}{n^2}$$

$$0 > 3 \cdot \frac{2}{n} - 3 \cdot 3$$

1

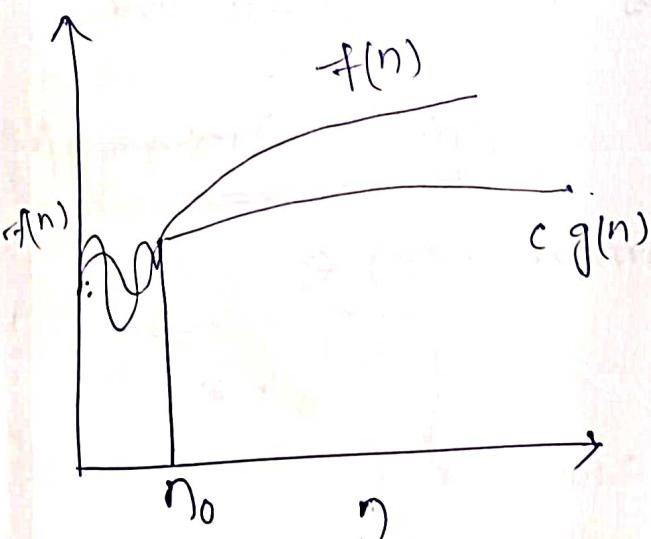
$$c = 12$$

$n_0 = 1$, thus $n^2 + 5n + 6 \leq 12n^2$ (proved)

S2 - Notation

for a given function $g(n)$, we denote a set of functions $\Omega(g(n)) = \{f(n) : \text{There exist } c \text{ and } n_0 \text{ such that}$

$$0 \leq c g(n) \leq f(n) \text{ for all } n \geq n_0$$



→ Θ - defines tight bound of $f(n)$

→ O - defines upper bound of $f(n)$

→ Ω - defines lower bound of $f(n)$

Prove that $n^2 + 5n + 6 = \Omega(n^2)$

$$\pm f(n) = n^2 + 5n + 6$$

$$g(n) = n^2$$

$$0 \leq cn^2 \leq n^2 + 5n + 6$$

$$0 \leq c \leq 1 + \frac{5}{n} + \frac{6}{n^2} \quad (1)$$

n	c
1	12
2	5
3	3.3
⋮	⋮
∞	1

$$\Rightarrow C \leq 1 + \frac{5}{n} + \frac{6}{n^2}, \forall n \geq 1, n_0 = 1$$

$$C \leq 1 + \frac{5}{n} + \frac{6}{n^2}, \forall n \geq 1$$

(proved)

$$C = 1, n_0 = 1, n^2 + 5n + 6 = \mathcal{O}(n^2)$$

Q.E.D.

Theorem

- For given functions $f(n) \leq g(n)$,
 $f(n) = \mathcal{O}(g(n))$ if and only if $f(n) = \mathcal{O}(g(n))$
 and $f(n) = \mathcal{O}(g(n))$

Q Prove that $2^{2^n} = \mathcal{O}(2^n)$

$$f(n) = 2^{2^n}$$

$$g(n) = 2^n$$

$$0 \leq f(n) \leq Cg(n)$$

$$0 \leq 2^{2^n} \leq C2^n$$

which is possible only for $n=1$

(not possible for $n \geq 2$)

so $2^{2^n} = \mathcal{O}(2^n)$ x not possible

End

So $2^{2^n} > 2^n \geq 0$

$\therefore 2^{2^n} - 2^n > 0 \geq 0$

Asymptotic Notation in Eq

07-02-2024

$$5n^2 + 6n + 4 = 5n^2 + f(n) \cdot \text{constant}$$

where $f(n) = 6n + 4$

$$= 5n^2 + \Theta(n)$$

$$= \Theta(n^2)$$

$$5n^2 + 6n + 4 = \Theta(n^2)$$

$$\Rightarrow 5n^2 + f(n) = \Theta(n^2)$$

$$\Rightarrow 5n^2 + \Theta(n) = \Theta(n^2)$$

→ Asymptotic notations can present both in the left and right sight of a equation.

Asymptotic Tight

$4n^3 = \Theta(n^3)$, meaning is $4n^3 \leq cn^3$ for some +ve

$$c > n_0$$

Asymptotic tight

$4n^2 = \Theta(n^3)$ - Asymptotically not tight

$$4n^2 \leq cn^3$$

$f(n) = g(n)$ - Asymptotically tight (at some point $f(n) = g(n)$)

$f(n) = O(g(n))$ - not asymptotic tight (can never become equal)

($O(n)$ vs $\Omega(n)$)

O (little oh)

(\Rightarrow pi constant set of

for a given function $g(n)$, we denote a set of functions: $O(g(n)) = \{f(n) \mid \exists c > 0, \exists n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n > n_0\}$

* If $f(n) = O(g(n))$

then $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

$$\Rightarrow f(n) = O(g(n))$$

ω (little omega)

for a given function $g(n)$, we denote a set of functions $\omega(g(n)) = \{f(n) \mid \forall c > 0, \exists n_0 \text{ such that } 0 \leq c g(n) < f(n) \text{ for all } n > n_0\}$

$$\text{for all } n > n_0 \}$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

$$\Rightarrow f(n) = \omega(g(n))$$

is $2^{2^n} = O(2^n)$? not possible

$$f(n) = 2^{2^n}$$

$$g(n) = 2^n$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{2^{2^n}}{2^n} = \lim_{n \rightarrow \infty} 2^n = \infty$$

by definition of little omega

$$2^{2^n} = \omega(2^n)$$

(big-OH is upper bound)

Prove that

$$4n = O(n^2)$$

$$f(n) = 4n$$

$$g(n) = n^2$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$$

$$= \lim_{n \rightarrow \infty} \frac{4n}{n^2}$$

$$\Rightarrow \lim_{n \rightarrow \infty} \frac{4}{n} = 0$$

$$\Rightarrow f(n) = O(g(n))$$

$$\Rightarrow 4n = O(n^2)$$

proved.

(big-OH is O(n^2)).

Θ -notation

$$f(n) = \Theta(g(n))$$

$f(n) \leq c_1 g(n)$ such that $c_1 g(n) \leq f(n) \leq c_2 g(n)$

O-notation

$\exists c, n_0$ (+ve) such that $0 \leq f(n) \leq c \cdot g(n)$

$$f(n) = O(g(n))$$

$$O(g(n)) = \{g(n)\}$$

Asymptotically tight

>= notation

$\exists c > n_0$ (+ve) s.t. $0 \leq c \cdot g(n) \leq f(n)$

$$f(n) = \geq(g(n))$$

As. + tight

o-notation

$f(n) = o(g(n))$, for $\forall c > 0$, $\exists n_0$ such that

$$0 \leq f(n) < c \cdot g(n)$$

Asymptotically not tight

w-notation

$f(n) = w(g(n))$, for $\forall c > 0$, $\nexists n_0$ such that

$$0 \leq c \cdot g(n) < f(n)$$

As. not tight

Note

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$$

where $c > 0$

$$\text{then } f(n) = \Theta(g(n)) \rightarrow f(n) = O(g(n))$$

$$f(n) = \geq(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

$$\Rightarrow f(n) = O(g(n))$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

(Ans) 10

$$\Rightarrow f(n) = \omega(g(n))$$

Date: 09-02-2024

Exercise

(1) for any real $a \geq b, b > 0$, prove that $(n+a)^b = \Theta(n^b)$

(2) prove that $n! = O(n^n)$

(3) prove that $n! = \omega(2^n)$

(4) prove that $\log(n!) = \Theta(n \log n)$

Ans

$$\frac{(n+a)^b}{n^b}$$

$\lim_{n \rightarrow \infty}$

Ans

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{(n+a)^b}{n^b}$$

$$\lim_{n \rightarrow \infty} \left(\frac{n+a}{n}\right)^b$$

$$\text{using } \left(\frac{n+a}{n}\right)^b = \lim_{n \rightarrow \infty} \left(1 + \frac{a}{n}\right)^b$$

$$= \left(1 + \frac{a}{\infty}\right)^b$$

$$= (1+0)^b$$

$$= 1$$

$$\text{so } \lim_{n \rightarrow \infty} \frac{(n+a)^b}{n^b} \stackrel{(1)}{=} 1 \Rightarrow (n+a)^b = \Theta(n^b)$$

(Proved)

$$(2) n! = O(n^n)$$

An approximate value of $n!$ is given as:

$$(i) \quad n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n (1 + O(\frac{1}{n})) \text{ whence } O(\frac{1}{n}) = \frac{C}{n}$$

(ii) $n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$ (Stirling's approximation)

$$(iii) \quad n! \approx \left(\frac{n}{e}\right)^n$$

To prove

$$\lim_{n \rightarrow \infty} \frac{n!}{n^n} = ?$$

compute

(i) & compute

$$\frac{n!}{n^n} = \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n (1 + O(\frac{1}{n}))}{n^n}$$

$$= \frac{\sqrt{2\pi n}}{e^n} (1 + O(\frac{1}{n}))$$

$$= \sqrt{2\pi n} \left(1 + \frac{C}{n}\right)$$

(Derivation of $\sqrt{2\pi n} (1 + \frac{C}{n})$)

$$\left(\frac{d}{dn} \ln \frac{(n+1)^{n+1}}{n^n}\right) = \frac{(n+1)^{n+1} \cdot n^n - n^{n+1} \cdot (n+1)^n}{(n+1)^{n+1} \cdot n^n}$$

$$= \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{(n+1)^n - n^n}{(n+1)^n} = \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n}$$

$$= \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n} = \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n} = \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n}$$

$$= \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n} = \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n}$$

$$= \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n} = \frac{n+1}{n+1} \cdot \frac{n^n}{n^n} \cdot \frac{n^n (1 + \frac{1}{n})^n - n^n}{(n+1)^n}$$

$$= \frac{T + \frac{C\pi}{n} - \frac{2C\pi}{n}}{\sqrt{2\pi n} e^n}$$

$$= \frac{\pi - \frac{C\pi}{n}}{e^n \sqrt{2\pi n}}$$

$$= \frac{n!}{n^n}$$

so $\lim_{n \rightarrow \infty} \frac{n!}{n^n} = \lim_{n \rightarrow \infty} \frac{\pi - \frac{C\pi}{n}}{e^n \sqrt{2\pi n}}$

$$= \frac{\pi - C\pi/\infty}{e^\infty \sqrt{2\pi \infty}}$$

$$= \frac{\pi - 0}{\infty} = 0$$

$$\therefore \lim_{n \rightarrow \infty} \frac{n!}{n^n} = 0 \Rightarrow n! = O(n^n) \quad (\text{Proved})$$

(3) $n! = w(2^n)$

Proof

$$\lim_{n \rightarrow \infty} \frac{n!}{2^n} = \lim_{n \rightarrow \infty} \frac{(\frac{n!}{e})^n}{2^n}$$

$$(\frac{n!}{e})^n$$

$$n! = (\frac{n}{e})^n$$

$$\frac{\log(\frac{n!}{e})^n}{\log 2^n}$$

$$= \lim_{n \rightarrow \infty} \frac{n(\log n - \log e)}{n \log 2}$$

$$= \lim_{n \rightarrow \infty} \left(\frac{\log n}{\log 2} - \frac{\log e}{\log 2} \right)$$

$$= \lim_{n \rightarrow \infty} (\log n - \log e)$$

$$= 10 - c$$

$$= \infty$$

$$\frac{F(2)}{F(1)} = \frac{F(2)}{F(1)} + F(1)$$

$$\text{so } \lim_{n \rightarrow \infty} \frac{n!}{2^n} = \infty \Rightarrow n! = \omega(2^n) \quad (\text{proved})$$

$$(4) \log(n!) = \Theta(n \log n)$$

Proof

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{\log(n!)}{n \log n} &= \lim_{n \rightarrow \infty} \frac{\log((\frac{n}{e})^n)}{n \log n} \\ &= \lim_{n \rightarrow \infty} \frac{n \log n - n \log e}{n \log n} \\ &= \lim_{n \rightarrow \infty} \left(1 - \frac{\log e}{\log n}\right) \end{aligned}$$

$$\text{so } \log(n!) = \Theta(n \log n) \quad (\text{proved})$$

compare $n!$, $n \log n$, 2^n , $\log(n!)$, $\log(n!)$, n^2 , n^3

Ans

$$n < n \log n < \log(n!) < 2^n < n! < n^3$$

Prove that $\sum_{i=1}^n \log(i) = O(n \log n)$

SOL

$$\begin{aligned} \sum_{i=1}^n \log(i) &= \log(1) + \log(2) + \dots + \log(n) \\ &= \log(1 \times 2 \times 3 \times \dots \times n) \quad (\because \log(ab) = \log a + \log b) \\ &= \log(n!) \end{aligned}$$

$\therefore \sum_{i=1}^n \log(i) = O(n \log n)$ (proved)

Formula

$$(I) b \log_b a = a \quad \text{eg: } 2 \log_2 a = a$$

$$(II) a \log_b c = c \log_b a$$

$$(III) \log_a^K = (\log a)^K$$

$$(IV) \log_b a = \frac{\log_a b}{\log_a b}$$

$$(V) \ln a = \log_e a$$

Polynomial

→ A polynomial of degree d , where d is non-negative, is defined as

$$P(n) = \sum_{i=0}^d a_i n^i$$

a_i = coefficient of n^i

eg: $p(n) = 5n^3 + n^2 + 5n + 6 \stackrel{\text{Suppose}}{=} O(n^3)$

Note

A function $f(n)$ is called polynomially bounded if $f(n) = O(n^K)$ for some constant $K > 0$.

Exponent

for any real $\alpha > 0$, and $[m, n]$ (integer) an exponent takes the form a^m or a^n .

- (I) $\Theta(\log n)$ - logarithmic function (it takes less time)
- (II) $\Theta(n^2)$ - polynomial function (it takes normal time)
- (III) $\Theta(2^n)$ Exponential function (it takes much more time)

Prove that $n^K = O(2^n)$ Rule: $n^K \leq 2^n \leq n! \leq n^n$

Compare the following functions

$\log n, n^2, n^{100}, n!, 2^n$

logarithmic: $\log n$

polynomial: n, n^2, n^{100}

exponential: 2^n

$n!$

$$n < n \log n < n^2 < n^{100} < 2^n < n!$$

↓↓↓↓↓

Sort the following function in asymptotic order of growth.

$$\log(n!), 2^n, 2^{\log n}, n^{200}, n!, n^2, 2^{\log n}, 2^{\log 2^n} = n$$

Ans $2^{\log n} < \log(n!) < n^2 < n^{200} < 2^n < n!$

$\log(n!), \frac{2^{\log n}}{n}, n^{1000}, 2^n, \sqrt{4^{\log n}}, \frac{4^{\log n}}{n^2}, 2^{\frac{n}{2}}$

Ans $\sqrt{\log n} < 2^{\log n} < \log(n!) < 4^{\log n} < n^{1000} < 2^n < 2^{2^n}$

↓↓↓↓↓

→ Divide and conquer paradigm

19-02-2024

Divide & conquer

- Design paradigm
- It is a recursive method of solving the problem
- At each step of recursion, it follows 3 essential steps.

- (I) Divide
- (II) Conquer
- (III) Combine

(I) Divide

→ In divide step, the problem is divided into smaller instances of subproblems that are smaller instances of the same problem.

(II) conquer

→ In conquer step, the subproblems are solved by recursively calling the same method or algorithm.

(III) combine

→ It combines the results of the subproblems to get the solution of original problem.

Merge sort

→ Merge sort follows divide & conquer approach.

→ Given a sequence of n numbers.

→ It sorts the sequence using following steps.

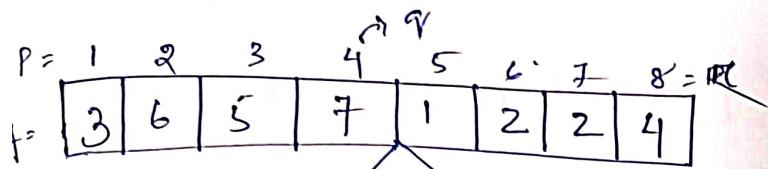
(I) Divide:- It divides the sequence of n numbers into two subsequences each of size $n/2$.

(II) conquer:- The subsequences are sorted by calling merge sort.

(iii) combine:- combine the sorted subsequences to obtain the whole sequence - sorted.

Ex:

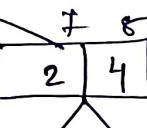
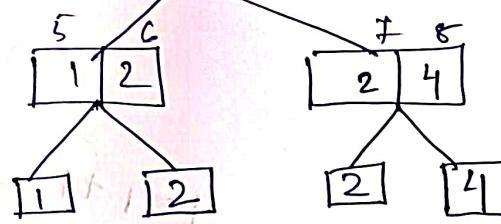
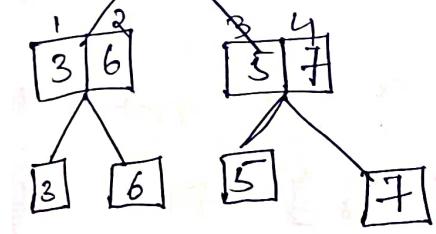
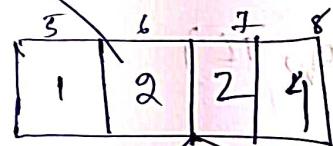
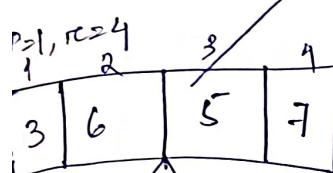
input: $\langle 3, 6, 5, 7, 1, 2, 2, 4 \rangle$



$$P(\text{beginning index}) = 1$$

$$R(\text{end index}) = 8$$

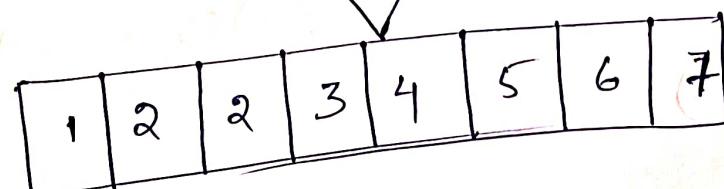
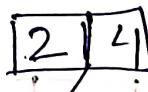
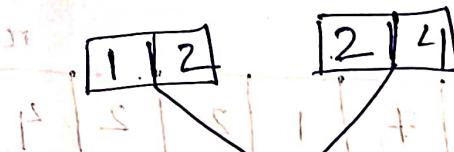
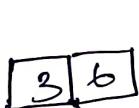
$$q(\text{mid index}) = \frac{P+R}{2}$$



$P < R$
 $P = R$

done
out
merge
sort

combine



Time complexity depends on the merging of sub-segments.

Algorithm for Merge Sort

merge sort (A, P, rC) $P = \text{starting index}$
 $rC = \text{end index}$

(I) $\text{if } (P < rC)$

(II) $q = P + rC / 2$

(III) merge sort (A, P, q)

(IV) merge sort ($A, q+1, rC$)

(V) merge (A, P, q, rC)

(VI) Exit

Merge

$$n_1 = q - P + 1$$

P	3	6	5	7	1	2	2	4	rC

L

3	5	6	7	10
i				

R

1	2	2	4	10

sentinal character

Algorithm

Merge (A, P, q, rC)

(I) $n_1 = q - P + 1$

(II) $n_2 = rC - q$

(III) Assume two subarray L of length $n_1 + 1$ and

R of length $n_2 + 1$

(IV) forc $i = 1$ to n_1

(V) $L[i] = A[P+i-1]$

(VI) forc $j = 1$ to n_2

(VII) $R[j] = A[q+j]$

(VIII) $L[n_1 + 1] = \infty$

(IX) $R[n_2 + 1] = \infty$

(X) $i = 1$

(XI) $j = 1$

(XII) forc $K = P$ to R

(XIII) if $(\notin L[i], \leq R[j])$

(XIV) $A[K] = L[i]$

(XV) $i = i + 1$

(XVI) else $L[i+1] = (i)q + (1)q + (\{)rs \} + (0)r$

(XVII) $A[K] = R[j]$

(XVIII) $j = j + 1, K = K + 1$

(XIX) exit

Merge time $\Theta(n)$

Time taken by merge sort

Step-1 Divide

let $D(n)$ is the division time to divide an array of n elements
 $D(n) = \Theta(1)$ in merge sort.

Step-2 Conquer

It divides the array of size n into two subarrays of size $n/2$ each.

Step-3 Combine time

$\Theta(n)$

If $T(n)$ is the total time taken by merge sort.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(1) + \Theta(n) \quad \text{if } n > 1$$

$$\text{if } n = 1 \text{ then } T(n) = \Theta(1)$$

This is called recurrence eqⁿ of merge sort.

Recurrence

- A recurrence is an eqⁿ or an eqⁿ inequality which describes the value of a function in terms of its value in smaller input size.

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(1) + \Theta(n), \quad n > 1$$

→ The time complexity of recursive algorithms often represented as recurrence relation.

e.g.: factorial

$$\text{fact}(n) = n \times \text{fact}(n-1)$$

$$T(n) \approx \Theta(1) \text{ if } n=1$$

$$T(n) = T(n-1) + 1 \text{ if } n > 1$$



$$T(n) = T(2n/3) + T(n/3) + O(n) + C(n)$$

$$T(n) = T(2n/3) + T(n/3) + O(n) + C(n)$$

Methods to solve recurrence:

✓ Master Method

+ general form of recurrence for divide & conquer approach.

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ T\left(\frac{n}{b}\right) + O(n) + O(n) & \text{if } n > 1 \end{cases}$$

✓ Recurrence Tree method

✓ Substitution method

✓ Iterative method

✓ Change of variable method

✓ Recurrence of Fibonacci series

$$\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$$

$$T(n) = T(n-1) + T(n-2) + O(1)$$

→ A recurrence relation needs to be solved
compute the asymptotic bound of the recurrence

$$\text{eg: } T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$T(n) = ?$$

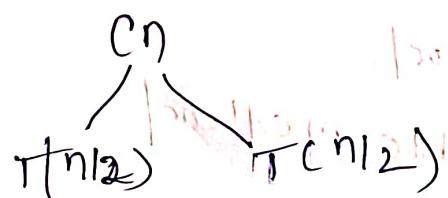
lets take the recurrence at merge sort

$$T(n) = \begin{cases} O(1) & \text{if } n=1 \\ 2T\left(\frac{n}{2}\right) + O(n) & \text{if } n>1 \end{cases}$$

$$= \begin{cases} C & \text{if } n=1 \\ 2T\left(\frac{n}{2}\right) + Cn & \text{if } n>1 \end{cases}$$

$$\text{eq } ① \text{ can be written as } T(n) = 2T\left(\frac{n}{2}\right) + Cn \quad ②$$

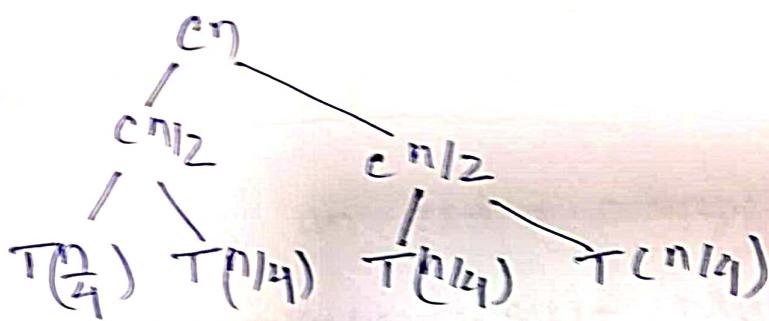
Represent eq ② in a tree



(a)

$$T\left(\frac{n}{2}\right) = 2(T\left(\frac{n}{4}\right)) + C \frac{n}{2} \quad \text{--- (3)}$$

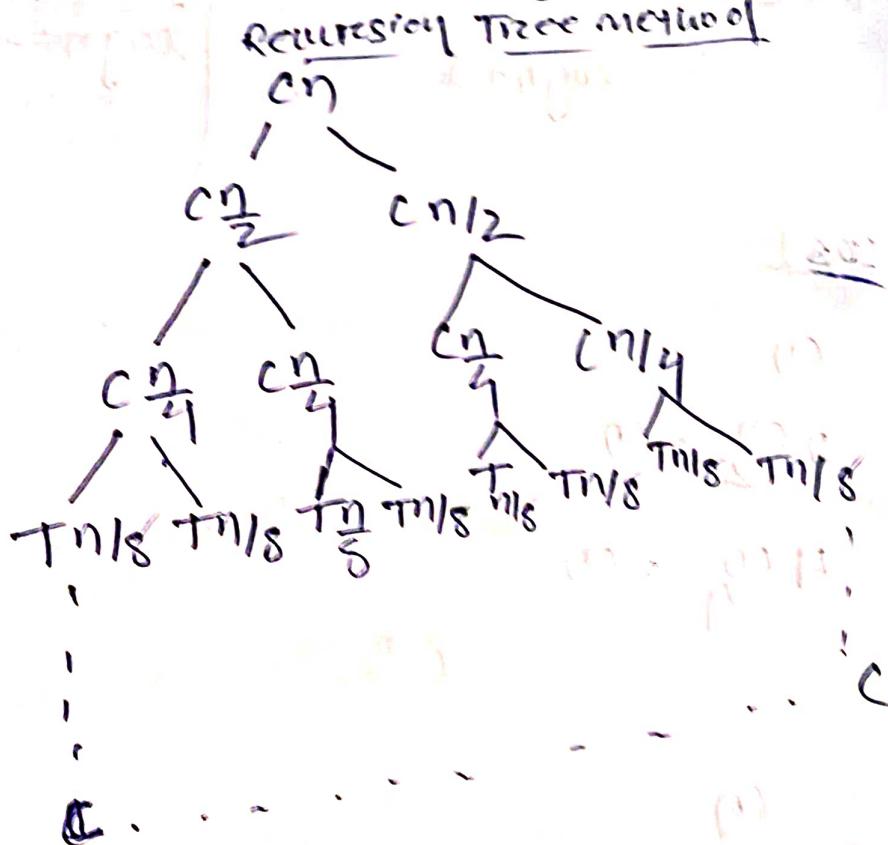
fig (a) can be expanded by using eq (3)



(b)

$$T\left(\frac{n}{4}\right) = 2(T\left(\frac{n}{8}\right)) + C \frac{n}{4} \quad \text{--- (4)}$$

fig b can be expanded by using eq (4)



cost of a node at level 0 $\Rightarrow C_0 = C \frac{n}{2^0}$

at level 1 cost of a single node $= C \frac{n}{2^1} = C \frac{n}{2^1}$

cost of a single node at level $i = C \frac{n}{2^i}$

② The power of base condition arises at level K i.e.
let the base condition

$$C \frac{n}{2^K} = C$$

$$\Rightarrow \frac{n}{2^K} = 1$$

$$\Rightarrow n = 2^K$$

$$\Rightarrow K = \log n$$

→ How many total no of levels presenting the given tree
 $\text{level} = K+1$
 $= \log n + 1$ [logn = height of complete binary tree]

<u>level</u>	<u>cost</u>
0	Cn
1	$2 \frac{Cn}{2} = Cn$
2	$4 \frac{Cn}{4} = Cn$
:	
K	Cn

The total cost $T(n) = 1 \cdot Cn + Cn + Cn + \dots + Cn$
 $= (K+1) Cn$
 $= (\log n + 1) Cn$

$$= cn \log n + cn$$

$$= \Theta(n \log n)$$

$$T(n) = \Theta(n \log n) \quad [\text{Time complexity of merge sort}]$$

Limitations of Merge Sort :-

- merge sort uses an extra array of size 'n' to store the intermediate result while merging.
- its space complexity is $\Theta(n)$ where other algorithm is $\mathcal{O}(1)$.

Master Method

$T(n) = aT\left(\frac{n}{b}\right) + f(n)$, $a > 1$, $b > 1$ and $f(n)$ is a given function.

the asymptotic bound of $T(n)$ takes the following form.

Case - 1

If $f(n) = O(n^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$,

then $T(n) = \Theta(n^{\log_b a})$

Case - 2

If $f(n) = \Theta(n^{\log_b a})$ then $T(n) = \Theta(n^{\log_b \log n})$

case-3

If $f(n) = \Theta(n^{\log_b a} + \epsilon)$ for some constant $\epsilon > 0$ and $a \neq (\frac{n}{b})^{\text{constant}}$ for constant $C < 1$, then

$$T(n) = O(f(n))$$

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master method

Q solve the given recurrence using

$$T(n) = 9T\left(\frac{n}{3}\right) + n$$

$$A \quad a=9, b=3, f(n)=n$$

$$n^{\log_b a} = n^{\log_3 9}$$

$$\text{equation } a \cdot f(n) = 9n^{\log_3 3^2} \\ = n^2$$

assuming $\epsilon=1$, we can write $f(n) = n \in [cn, cn]$

$$f(n) = n \in [cn, cn]$$

$$= O(n)$$

$$= O(n^{2-\epsilon})$$

$$= O(n^{\log_b a - \epsilon}) \quad \text{where } \epsilon=1$$

$$\text{So } T(n) = O(n^{\log_b a}) = O(n^2)$$

$\therefore T(n) = T\left(\frac{2n}{3}\right) + 1$. solve the given recurrence using master method.

$$a=1, b=3/2 \quad f(n) = 1$$

so it falls in

$$n \log_b^a = n \log_{3/2} 1$$

$$\left(\frac{1}{3} \log_3 n + \frac{1}{2} \log_2 n \right) n^0 = 1$$

$$f(n) = \Theta(n \log^a b)$$

$$\text{so? } \therefore T(n) = \Theta(n \log^a b \log n)$$

$$= \Theta(1 \cdot \log n)$$

$$= \Theta(\log n)$$

\therefore solve the given recurrence, $T(n) = 3T\left(\frac{n}{4}\right) + n \log n$

using master method

$$T(n) = 3T\left(\frac{n}{4}\right) + n \log n$$

$$a=3, b=4, f(n) = n \log n$$

$$n \log_b^a = n \log_4^3$$

$$= n^{0.793}$$

[case-3 $0.793 < f(n)$]

$$n \log_b^a = n \log_4^3 = n^{0.793}$$

$$f(n) = n \log b$$

$$= SL(n)$$

$$= \approx (n \log_b^a + 0.207)$$

$$= \approx (n \log_b^a + \epsilon)$$

where $\epsilon = 0.207$

↳ condition 1

condition 2

$$af\left(\frac{n}{b}\right) = a\left(\frac{n}{b}\right) \log\left(\frac{n}{b}\right)$$

$$= \frac{3}{4}n(\log \frac{n}{4})$$

$$= \frac{3}{4}n(\log n - \log 4)$$

$$= \frac{3}{4}n(\log n) - \left(\frac{3}{4}n\right) \times 2 \quad [E: \log 4 = 2]$$

$$= \frac{3}{4}n \log n - \frac{3}{2}n$$

$$< \frac{3}{4}n \log n$$

$$f(n) > n \log n$$

$$+ (\frac{n}{b}) > \frac{n}{b} \log\left(\frac{n}{b}\right)$$

$c f(n) < f(n) T \epsilon = O(T)$ where $C = \frac{3}{4} < 1$

As both the condition of case 3 of master theorem satisfies so the solⁿ is $T(n)$ is

$$T(n) = O(f(n)) = O(n \log n)$$

using master method solve $T(n) = 2T\left(\frac{n}{2}\right) + n$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$a=2, b=2, f(n)=n$$

$$n^{\log_b a} = n^{\log_2 2}$$

$$= n^1$$

$$= n$$

$$f(n) = \Theta(n^{\log_b a})$$

so $T(n) = \Theta(n^{\log_b a} \log n)$

$$= \Theta(n \log n)$$

Substitution Method

→ substitution method works in 2 step

(I) Guess the soⁿ

(II) Prove the soⁿ by method of induction

→ There are different types of substitution method

(I) forward substitution method

(II) backward substitution method

Forward substitution

In this method the variable so on

$n = 1, 2, 3, \dots$

fill it can guess a

$O(n)$ to enough

so?

Then the so? is proved by method of induction

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ T(n-1)+1 & \text{if } n \geq 2 \end{cases}$$

so?

$$T(1) = 1$$

$$T(2) = T(2-1) + 1 = 1 + 1 = 2$$

$$T(3) = T(3-1) + 1 = 2 + 1 = 3$$

let

$T(K) = K$, then prove that $T(K+1) = K+1$

$$T(K+1) = T(K+1-1) + 1$$

$$= T(K) + 1$$

$$= K + 1 \quad \text{proved}$$

then

$$T(n) = n \leq cn, c > 1$$

$$= O(n)$$

so

$$\boxed{T(n) = O(n)}$$

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ 2T(n-1) + 1 & \text{if } n \geq 2 \end{cases}$$

Solve this recurrence by forward substitution

Ans

$$\begin{aligned} T(1) &= 1 \\ T(2) &= 2T(1) + 1 = 2 + 1 = 3 \\ T(3) &= 2T(2) + 1 = 2 \cdot 3 + 1 = 7 \end{aligned}$$

Ans

$$\begin{aligned} T(1) &= 1 \\ T(2) &= 2 \cdot T(1) + 1 = 2^1 + 1 = 3 \\ T(3) &= 2 \cdot T(2) + 1 = 2 \cdot 3 + 1 = 7 \\ T(4) &= 2 \cdot T(3) + 1 = 2 \cdot 7 + 1 = 15 \end{aligned}$$

Let $T(K) = 2^K - 1$, then prove that $T(K+1) = 2^{K+1} - 1$.

$$\begin{aligned} T(K+1) &= 2 \cdot T(K) + 1 \\ &= 2 \cdot 2^K + 1 \\ &= 2^{K+1} - 2 + 1 + 1 = 2^{K+1} - 1 \end{aligned}$$

proved

Hence we can write

$$T(n) = 2^n - 1 \leq C \cdot 2^n \text{ where } C > 1$$

$$= O(2^n)$$

$$1 \leq 2 \leq 4 \leq 8 \leq \dots \leq 2^n = O(2^n)$$

$$(1, 2, 4, 8)$$

Backward Substitution

$$T(n) = \{ \begin{array}{ll} 1 & n=1 \\ 2T(n-1) + 1 & n > 1 \end{array}$$

$$T(n) = \begin{cases} 1 & n=1 \\ 2T(n-1) + 1 & n > 1 \end{cases}$$

$$\begin{aligned} T(n) &= 2T(n-1) + 1 \\ &= 2T(2T(n-2) + 1) + 1 \\ &= 2 \cdot 2T(n-2) + 2 + 1 \\ &= 2^2 T(n-2) + 2^2 + 1 \\ T(n) &= 2^2 (2T(n-3) + 1) + 3 \end{aligned}$$

$$\begin{aligned} T(n-1) &= 2T(n-2) + 1 \\ T(n-2) &= 2T(n-3) + 1 \\ &\vdots \\ T(n-k) &= 2^k T(n-k) + 2^k - 1 \end{aligned}$$

$$\begin{aligned} T(n) &= (1+2^k)T(n-k) + 2^k - 1 \\ &= 2^{k+1}T(n-k) + 2^k - 1 \\ &= 2^{k+1}T(n-k) + 2^{k+1} - 1 \\ T(n) &= (1+2^{k+1})T(n-k) \end{aligned}$$

$$\text{Step } K = 2^K T(n-K) + 2^K - 1$$

$$\begin{aligned} \text{let } K = n-1 \\ T(n) &= 2^{n-1} T(1) + 2^{n-1} - 1 \\ &= 2^{n-1} (2^1 + 2^{1-1} - 1) + 2^{n-1} - 1 \\ &= 2^n - 1 \end{aligned}$$

$$\begin{aligned} T(n) &= 2^n - 1 \quad \text{for } c > 1 \\ &= O(2^n) \end{aligned}$$

$$\therefore T(n) = 2^n - 1 \leq c2^n \quad \text{for } c > 1$$

$$= O(2^n)$$

Solve the following recurrence using backward substitution

$$(T(n) = T(n)) = \begin{cases} 1 & \text{if } n=1 \\ 2T\left(\frac{n}{2}\right) + n & \text{if } n > 2 \end{cases}$$

sol

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{2}\right) + n & T\left(\frac{n}{2}\right) &= 2T\left(\frac{n}{4}\right) + \frac{n}{2} \\ &= 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n & T\left(\frac{n}{4}\right) &= 2T\left(\frac{n}{8}\right) + \frac{n}{4} \\ &= 2^2 T\left(\frac{n}{4}\right) + 2n & \vdots & \\ &= 2^2 \left(2T\left(\frac{n}{8}\right) + \frac{n}{4}\right) + 2n & T\left(\frac{n}{8}\right) &= 2T\left(\frac{n}{16}\right) + \frac{n}{8} \\ &= 2^3 T\left(\frac{n}{8}\right) + 2^2 \cdot \frac{n}{4} + 2n & \vdots & \\ &= 2^3 T\left(\frac{n}{16}\right) + 3n & \vdots & \end{aligned}$$

let the base case arises at step K

$$= 2^K T\left(\frac{n}{2^K}\right) + Kn \quad (\text{at step } K)$$

$$T\left(\frac{n}{2^K}\right) = 1 \Rightarrow \frac{n}{2^K} = 1$$

$$\Rightarrow n = 2^K$$

$$\Rightarrow K = \log n$$

At step K the base case arises:

$$\text{so } T\left(\frac{n}{2^K}\right) = 1 \quad (\text{as it is base case})$$

$$\Rightarrow \frac{n}{2^K} = 1$$

$$\Rightarrow n = 2^K \Rightarrow K = \log n$$

Put the value of K in eq ①

$$T(n) = 2^K T\left(\frac{n}{2^K}\right) + K \cdot n \quad \left\{ \begin{array}{l} 2^K = 2^{\log_2 n} \\ \text{or } K = \log_2 n \end{array} \right.$$

$$= n \cdot 1 + \log_2 n \cdot n$$

$$\underline{1 + \left(\frac{1}{2}\right)^{\log_2 n} T(n)} = n \log_2 n + n$$

$$\underline{1 + \left(\frac{1}{2}\right)^{\log_2 n} T(n)} = \underline{O(n \log n)}$$

$$\boxed{T(n) = O(n \log n)}$$

$$1 + \left(\frac{1}{2} + \left(\frac{1}{2}\right)^{\log_2 n} T(n)\right) \leq Cn$$

$$1 + \frac{1}{2} \leq Cn + \left(\frac{1}{2}\right)^{\log_2 n} T(n)$$

$$Cn + \left(\frac{1}{2}\right)^{\log_2 n} T(n)$$

so we have

$$(2 \cdot 2^{\log_2 n} + n)$$

$$Cn + \left(\frac{1}{2}\right)^{\log_2 n} T(n)$$

Denote

$$\frac{C}{2^{\log_2 n}} \leq 1 \Rightarrow \left(\frac{1}{2}\right)^{\log_2 n} \leq C$$

$$2^{\log_2 n} \geq C$$

$$n \geq C$$