

# Wet section

Since this work was done in pairs, many independent methods were used.

## Code organization

As before, we have built a sustainable code which reproduces the results over different imputation, clustering, and feature importance methods. For each chosen configuration, the user will receive all the relevant outputs, and the products of calculation, graphs, etc.

The configuration is defined inside the **main.py** file. **App\_functions.py** file contains all the other procedures. Those are the supported and tested definitions (which you can change and run the code!):

```
# -----  
# DEFINITIONS  
  
imputation_method = "KNN" # use ["KNN", "MEAN"]  
clustering_method = "GMM" # use ["KMEANS", "SPECTRAL", "GMM"]  
features_selection_method = "SFS" # use ["MULTICLASS", "MUTUAL_INFO", "PCA_IMPORTANCE", "SFS"]  
  
# additional settings  
silhouette_metrics_list = ['l1', 'l2', 'cosine']  
multiclass_models = [LogisticRegression(max_iter=10000), DecisionTreeClassifier(), ExtraTreesClassifier()]  
sfs_model = KNeighborsClassifier(n_neighbors=3) # use KNeighborsClassifier(n_neighbors=3) / DecisionTreeClassifier(random_state=0)
```

For the convenience, we have attached the “output” folder with the results for ALL the configurations.

Running each configuration will produce the required **selected\_proteins.txt** and **clusters.csv** files.

The files attached here are for the configuration that is shown above – those are our chosen features.

The folder “notebooks” contains the jupyter notebooks we used while doing this homework to verify our results.

The outputs you receive when running the code:

1. Outputs/protein\_fixed\_<IMPUTATION\_METHOD>.csv – fixed db with chosen imputation
2. outputs/<CLUSTERING\_METHOD>/ 2D\_PCA\_Scatter.png – scatter plot on PCA 2 components
3. outputs/<CLUSTERING\_METHOD>/3D\_PCA\_Scatter.png – scatter plot on PCA 3 components
4. outputs/<CLUSTERING\_METHOD>/scores.txt – scores of this clustering method
5. outputs/<CLUSTERING\_METHOD>/Silhouette\_graph.png – silhouette scores graph for each sample
6. outputs/<CLUSTERING\_METHOD>/<FEATURES\_SELECTION\_METHOD>/mutations\_to\_treat.txt – list of 3 mutations according to current indexation of the clusters to treat
7. outputs/<CLUSTERING\_METHOD>/<FEATURES\_SELECTION\_METHOD>/\* - all the other outputs for the selected features selection algorithm

**IMPORTANT NOTE:**

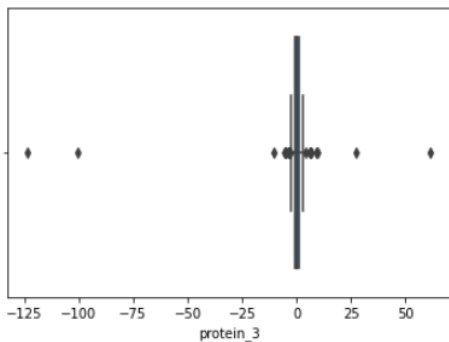
- since for every run, the index of the mutations differs, the list of the CURRENT mutations appears in the outputs/<CLUSTERING\_METHOD>/<FEATURES\_SELECTION\_METHOD>/mutations\_to\_treat.txt. The mutations that appear in this report may not be consistent with the output that you get when running this code

## Data Preprocessing

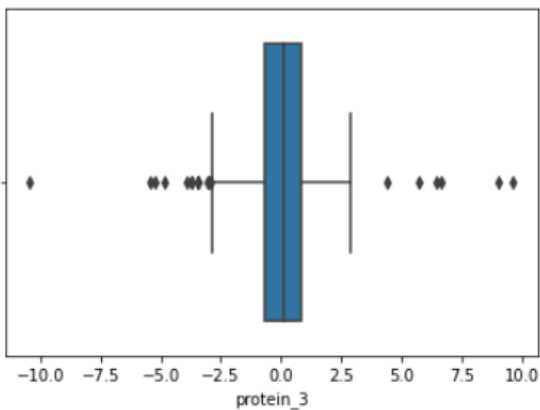
We have noticed that data has a lot of outliers and a lot of missing data. So the following steps were taken to deal with it, without losing much information (without simply dropping rows with missing data or outliers):

1. For each feature, outliers were detected using the z-score equal 2.5 (empirical number)
2. The outliers and the missing values were replaced with KNN Imputation

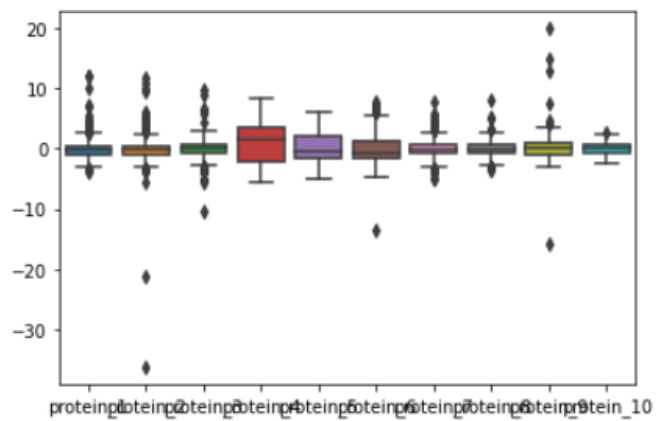
We can see the results visually. Box plot of the distribution of one of the features before the preprocessing was applied:



And after:



And the distribution of all the features (after):



## Addressing the ML tasks

We have examined the existing Clustering ML approaches, chose 3 models we want to use based on their diversified approaches and the fact that all of them were taught in the lectures. We evaluate the performance of each clustering method using Silhouette metrics. Later, we use different methods to choose the most important features.

This is the short examination:

### Pros/Cons of various Clustering Methods

#### K Means Clustering

##### Pros

- Easy to implement.
- Guaranteed convergence.
- Scales to large datasets

##### Cons

- a negligent edge of each cluster, because the priorities are set on the center of the cluster, not on its borders. (Because optimizing k-means equivalent to optimizing MLE for mixed Gaussian model with covariance matrix  $\Sigma = Id$ )
- an inability to create a structure of a dataset with objects that can be classified to multiple clusters in equal measure.
- a need to guess the optimal  $k$  number, or a need to make preliminary calculations to specify this gauge.
- NP-hard.
- Handling of outliers.
- Requires initialization of centers.
- Difficulty clustering data of varying densities and sizes
- Curse of dimensionality- with increasing amount of features the distances between points obtain a lower ratio of std. variation to mean.

#### Mixed Gaussians Model

##### Pros

- Unlike the centroid-based models, the EM algorithm allows the points to classify for two or more clusters – it simply presents you the possibility of each event, using which you can conduct further analysis.
- The borders of each cluster compose ellipsoids of different measures unlike k-means, where the cluster is visually represented as a circle.

### Cons

- The algorithm simply would not work for datasets where objects do not follow the Gaussian distribution. It is more applicable to theoretical problems rather than the actual measurements or observations.
- Insufficient data leads to overfitting and difficulty estimating the covariance matrices which leads to divergence

### Spectral Clustering

#### Pros

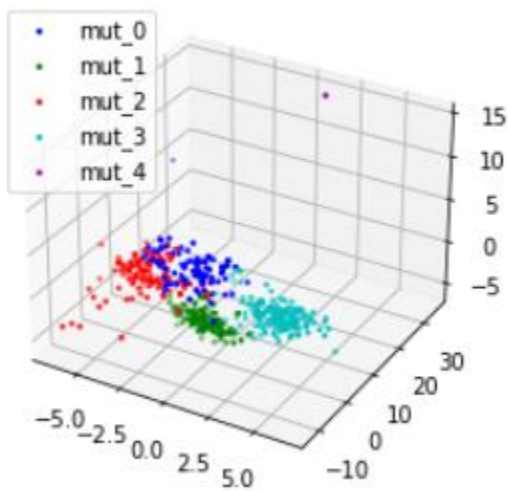
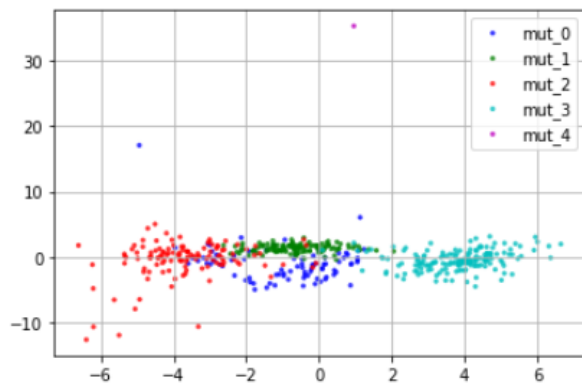
- Can recognize clusters that do not have a clear blob-shape, such as concentric rings.
- Polynomial time optimizable for fixed  $k$  for cost function  $G\_cut$  (defined in lecture)
- Avoids curse of dimensionality- projects data onto lower dimensional space and then perform clustering using  $k$ -means (or other method)

#### Cons

- tends to produce small clusters
- NP hard for cost function  $G\_cost\_cut$  for  $k=2$  (defined in lecture)

## Clustering using KMeans

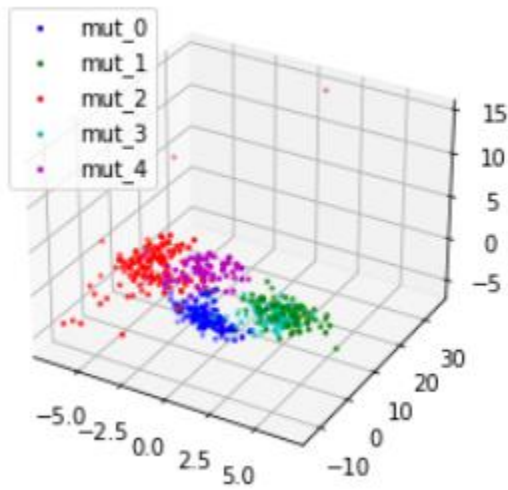
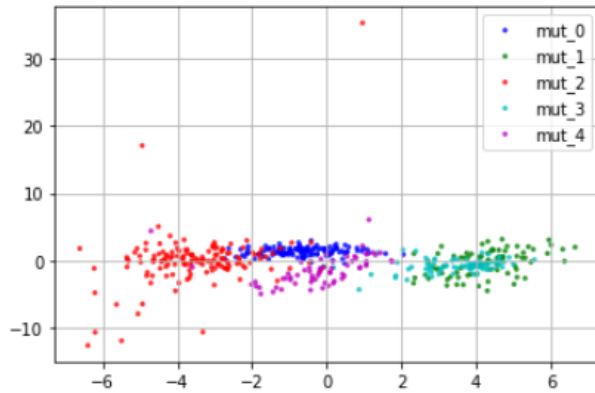
Using the first method, we cluster the data into 5 clusters. To observe the results visually (we will use this method a lot) we use the PCA, with 2 and 3 components. PCA makes each sample to contain only 2 or 3 PC, which retain the biggest amount of information from all the features, and allows us to plot them on a 2D, 3D graphs. Using the clusters labels on those samples we obtain:





## Clustering using Spectral Clustering

Similarly, using Spectral Clustering (We use nearest neighbor as criteria for Affinity Matrix), we obtain:



The colors are different, but we can see that in general the clusters are very similar, and visually we see indeed different group in both KMeans and Spectral Clustering algorithms.

## Comparing between KMeans and Spectral Clustering

We use the silhouette score to compare between the two. Silhouette measures how similar are the object within the same class and how different they are between different classes. We use different distance metrics, such as L1, L2, or cosine (measures 'angle' between feature vectors projections).

Results:

```
Metric: l1
      kmeans_score = 0.244
      spectral_score = 0.264
Metric: l2
      kmeans_score = 0.274
      spectral_score = 0.274
Metric: cosine
      kmeans_score = 0.383
      spectral_score = 0.465
```

The cosine score seems to be much higher for the spectral. Other metrics seem to be similar

### Davies-Bouldin Score

Explanation:

The score is defined as the average similarity measure of each cluster with its most similar cluster, where similarity is the ratio of within-cluster distances to between-cluster distances. Thus, clusters which are farther apart and less dispersed will result in a better score.

The minimum score is zero, with lower values indicating better clustering.

Result for Spectral Clustering: 1.54

## Choosing the most dominant features

Several methods were used for this task.

### Intra-class Feature Similarity

NOTE – we didn't use this method out of bad performance.

The base assumption was that for a specific class and a specific feature, the distribution of the feature (if it's an important feature for this class) will be close to the mean value of this feature. E.G for mutation no. 2, the protein 4 levels is important – so this feature levels for this class will be similar.

We have used the similarity function:

$$\text{similarity}(C, F, S) = \exp\left(-\frac{\text{distance}}{\sigma^2}\right)$$
$$\text{distance} = \sqrt{\mu_{C,F}^2 - f_{S,F}^2}$$

Where:

$C$  – specific class

$F$  – specific feature

$S$  – specific sample

$\mu_{C,F}$  – mean value of feature  $F$  in class  $C$

$f_{S,F}$  – feature value of sample  $S$  and feature  $F$

Results:

By using the  $\sigma$  value of 0.5, those are the results:

RESULTS

	feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
0	23.8137	48.1040	30.6037	26.7614	18.6588	30.1430	38.3912	34.4244	31.5488	34.5134
1	10.4001	4.6729	17.1727	13.6686	10.9773	15.8478	13.7608	16.3426	15.0797	11.0455
2	18.5702	13.3773	28.8274	20.8975	21.3384	14.4191	13.9943	31.2614	30.7570	46.0173
3	10.2249	16.7257	7.6672	13.1696	16.9422	9.4987	19.6781	16.2120	13.1462	18.4031
4	10.7968	9.6070	8.9518	12.5893	9.7714	4.0149	15.7515	15.6602	10.1348	11.5545

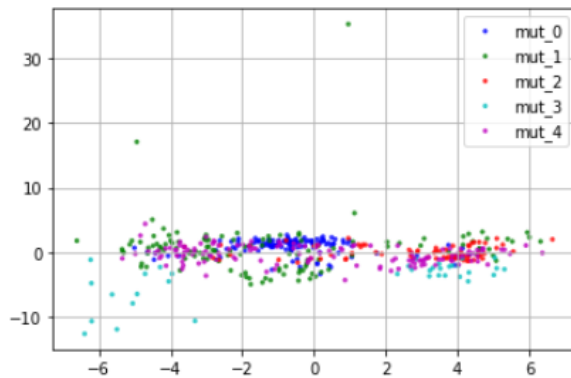
For each class, we reorder the features according to their similarity:

	feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
0	1	9	4	2	0	3	8	6	5	7
1	1	0	9	4	2	7	5	8	6	3
2	3	0	6	4	5	2	1	8	7	9
3	2	6	0	4	7	1	9	5	3	8
4	5	2	1	7	3	0	9	8	4	6

Analyzing:

- Feature 1: dominant for class 0 -> take
- Feature 2: dominant for class 1 -> take
- Feature 6: dominant for class 1,3 -> take
- Feature 7: important -> take
- Feature 9: dominant for class 2 -> take

To validate the results, we leave only those features, and try to cluster the samples again. We get the following visualization:



Which looks bad. From here, we have left this method out and didn't use its results further. It didn't work, perhaps out of erroneous assumptions.

## Multiclass Classification

Another way to choose the best features is to train classifier on the labels that were created during the clustering. We will train some classifier and detect the most dominant features it used for the classification. We will use the labels from the Spectral Clustering with 10 features.

First we use the RFE - Recursive Feature Elimination. It works by recursively removing attributes and building a model on those attributes that remain. It uses the model accuracy to identify which attributes (and combination of attributes) contribute the most to predicting the target attribute.

To diversify, we use 3 classifier models – Logistic Regression, DecisionTreeClassifier and ExtraTreesClassifier. Those are the results:

SUPPORT MATRIX. 1 = Take this feature

	feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
LR	0	0	1	1	1	1	0	0	1	0
DTC	0	0	0	1	1	1	1	0	1	0
ETC	0	0	0	1	1	1	0	1	1	0

RANKING MATRIX. 1 = Good feature!

	feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
LR	5	2	1	1	1	1	4	3	1	6
DTC	5	4	2	1	1	1	1	6	1	3
ETC	5	3	6	1	1	1	2	1	1	4

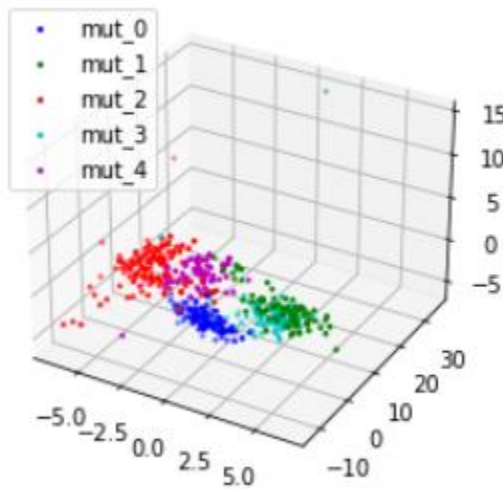
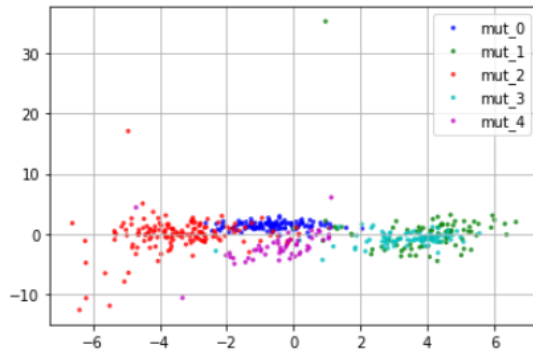
Accuracy on test set

	Accuracy
LR	0.973333
DTC	0.946667
ETC	0.96

Features 3,4,5,7 agreed on all classifiers to be best features. Feature 6 is good feature for LR, which has highest accuracy score.

So from this analysis, best features are 3, 4, 5, 6, 7.

We make the visualization test again – I make clustering **using only those 5 chosen features**. The results are:



And it looks really good. So those features really are most important and contain most information for classification. But visualization is not enough, we also use silhouette score in this case. Spectral\_score\_2 applies to clustering using Spectral Clustering, but only using 5 selected features:

```
Metric: l1
  kmeans_score = 0.244
  spectral_score_1 = 0.264
  spectral_score_2 = 0.268
Metric: l2
  kmeans_score = 0.274
  spectral_score_1 = 0.274
  spectral_score_2 = 0.281
Metric: cosine
  kmeans_score = 0.383
  spectral_score_1 = 0.465
  spectral_score_2 = 0.464
```

We can see that the metrics didn't get worse, and even improved a bit. This can be explained by removing features which did adversary effect on the clustering in the beginning.

## Mutual Information Score

To validate the previous method, we use the Mutual Score between the feature values and the classification. Those are the results:

Mutual Information

feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
0.1518	0.2878	0.1048	0.8371	0.8003	0.3733	0.1309	0.2091	0.3735	0.1512

Mutual Information sorted by value

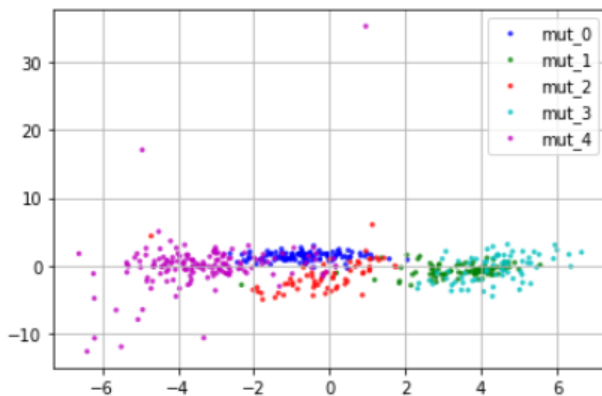
feature_0	feature_1	feature_2	feature_3	feature_4	feature_5	feature_6	feature_7	feature_8	feature_9
3	5	0	9	8	6	1	4	7	2

best\_features\_list:

[1, 5, 8, 4, 3]

Which are mostly (3/5) similar to the Features found by the RFE (3, 4, 5, 7, 8).

By using those features from MI, we can also see quite a good classification visually:



## Best Features Spectral Clustering

The most important features were chosen as the output of the RFE method, since it used various models, and used various metrics.

Best features:

(3, 4, 5, 7, 8).

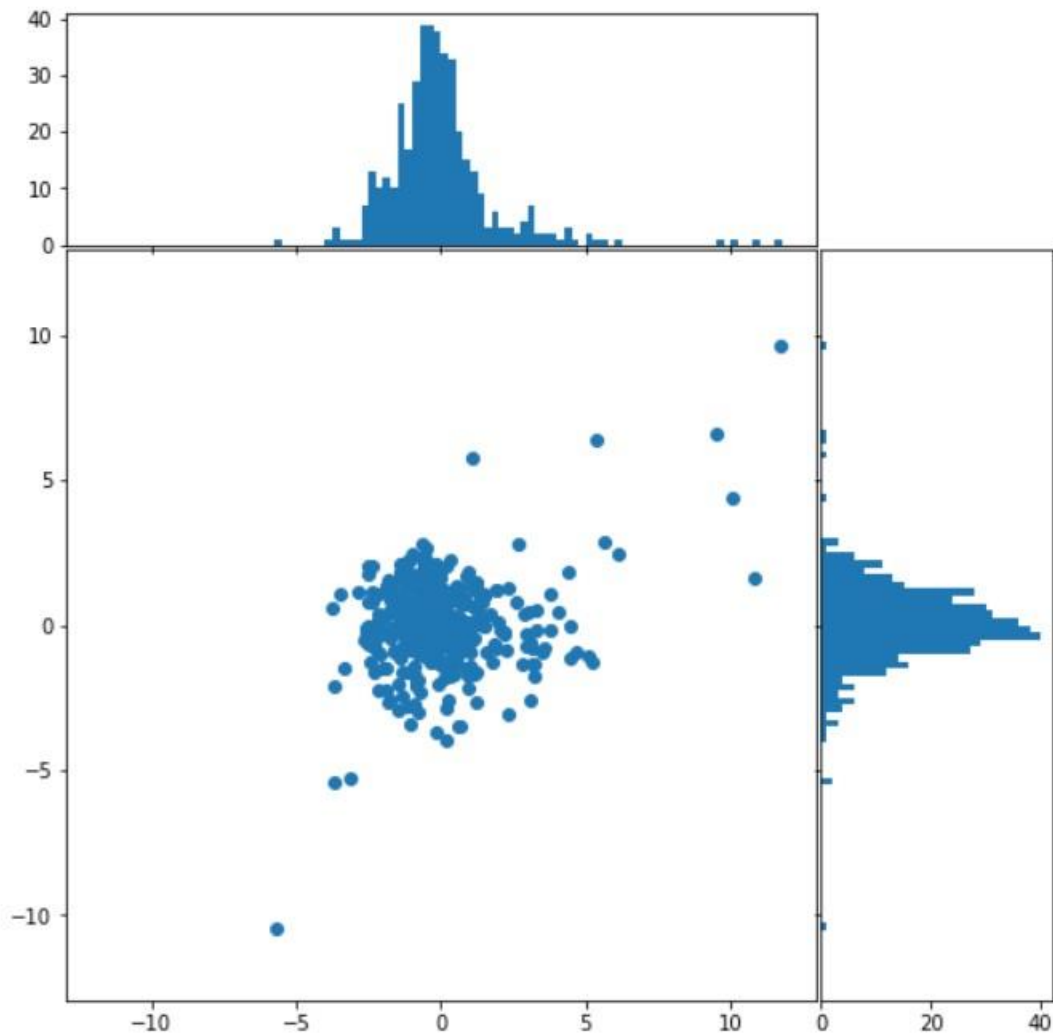


## Clustering using Gaussian Mixture Models

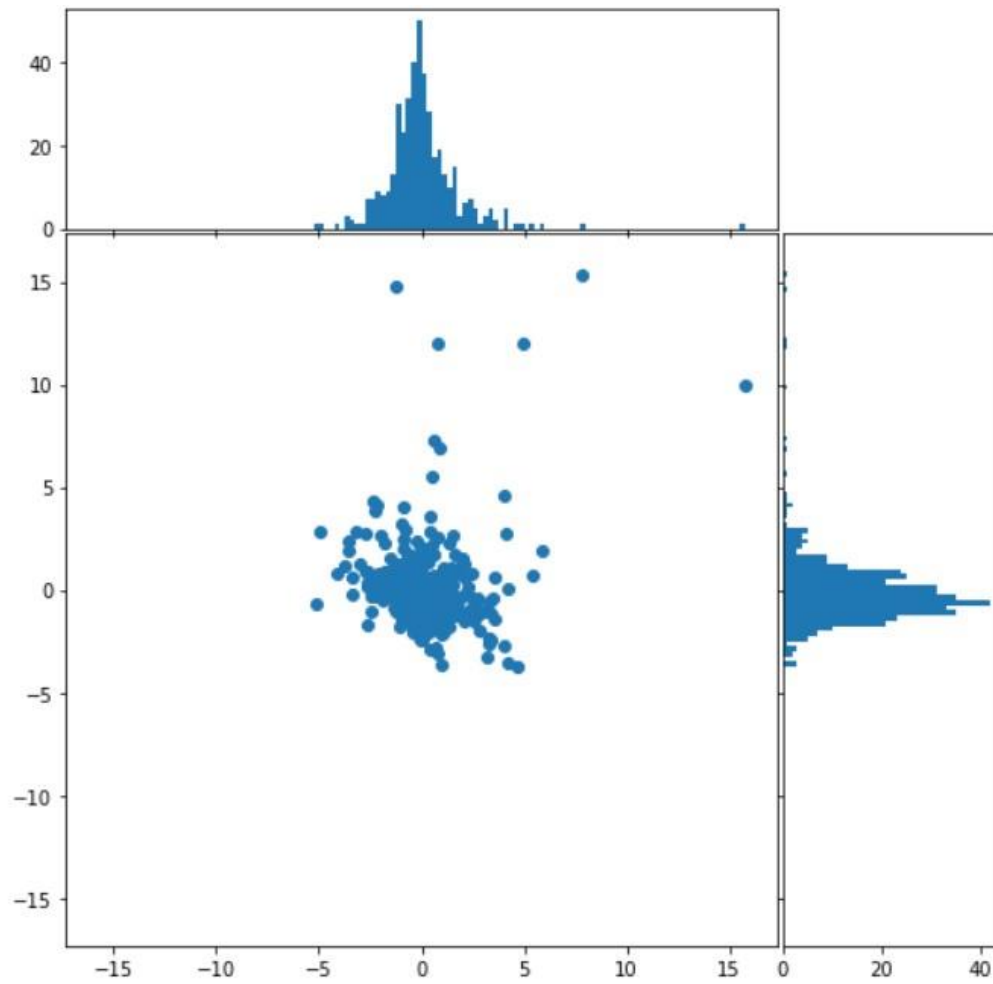
### Motivation

Visualizing the data and using expert knowledge of Gaussian distributions, the features are closely matching a Gaussian distribution over 10 dim-space, as seen below:

Feature 3 vs Feature 2:



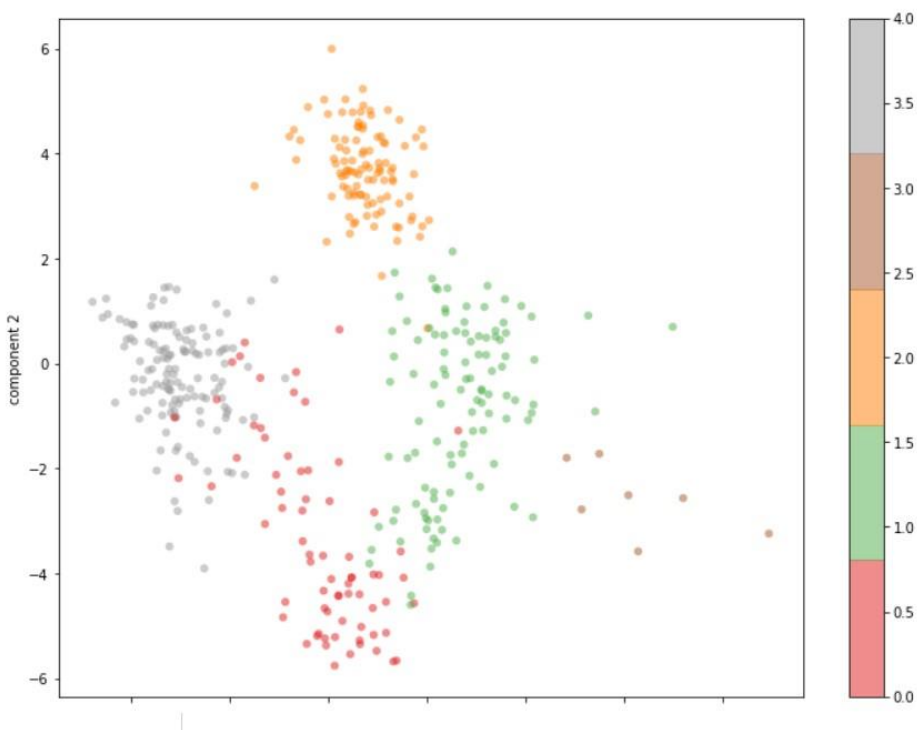
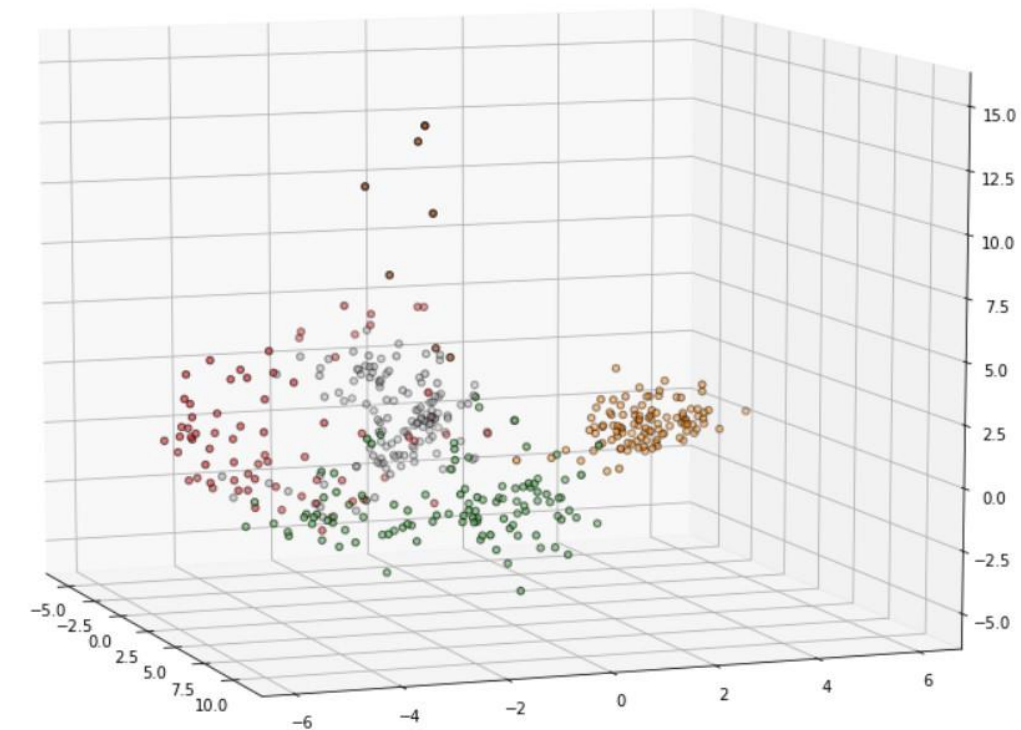
Feature 7 vs Feature 9:



Taking into account an advantage of Gaussian Mixture Model mentioned in a prior section of this report, which was that GMM works well when the data is sampled from a Gaussian distribution, we evaluated this was a meaningful clustering method to consider and implement.

## Labeling

Choosing 5 components, or distributions, because of assignment of clustering 5 virus strains, and projecting onto 3-dim and 2-dim space using PCA we got:



## Cluster Analysis

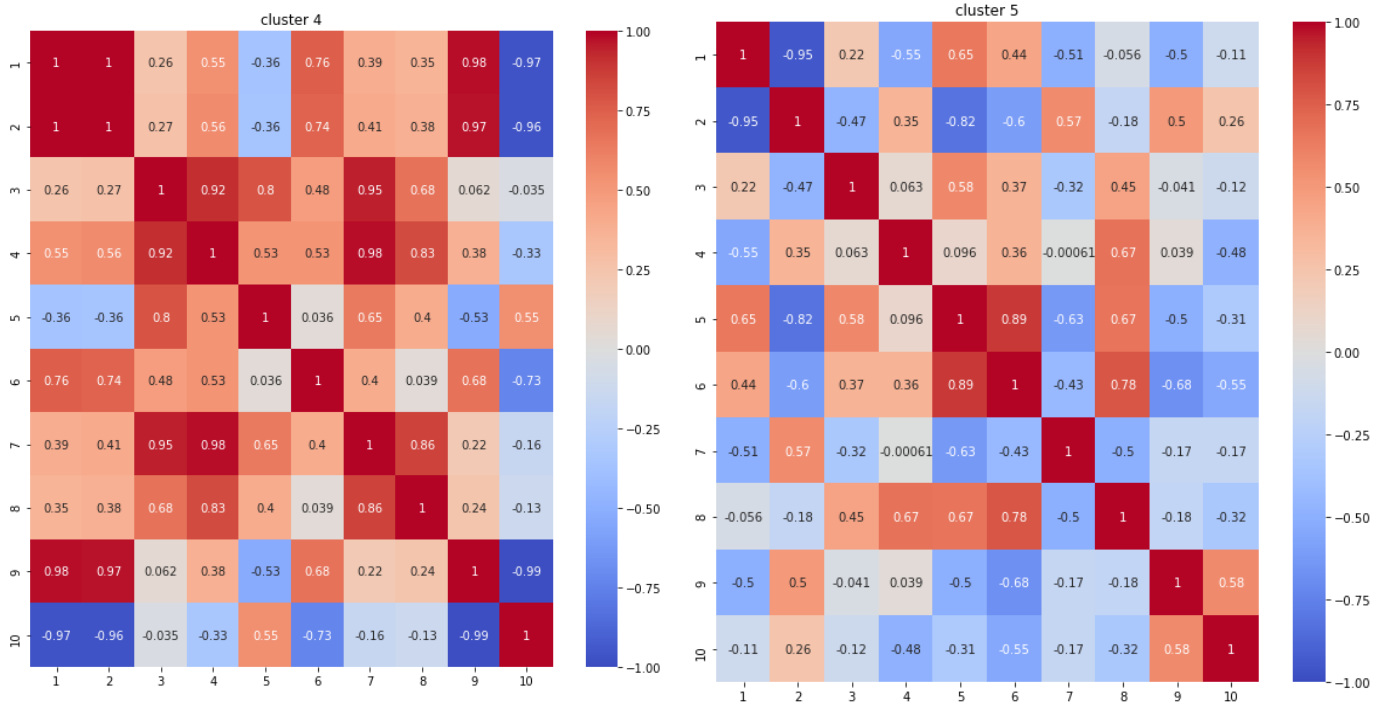
### 1. Centroids

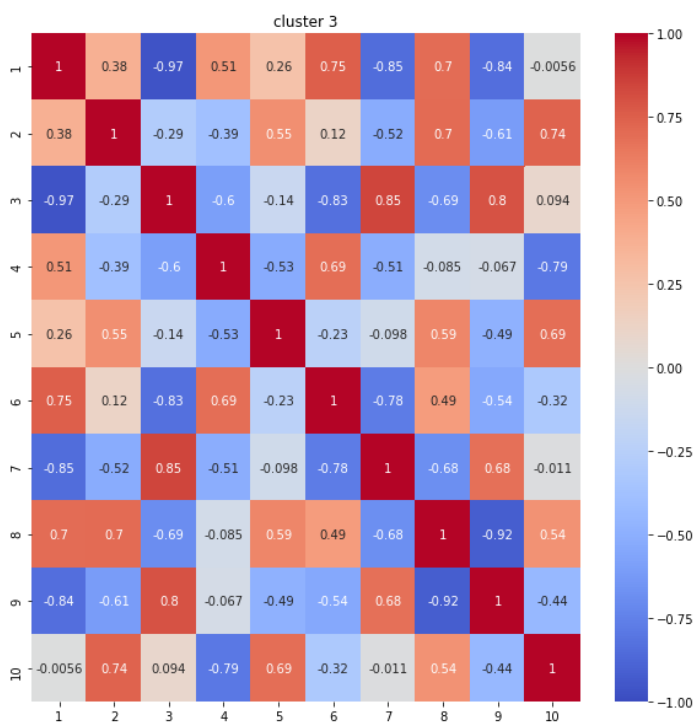
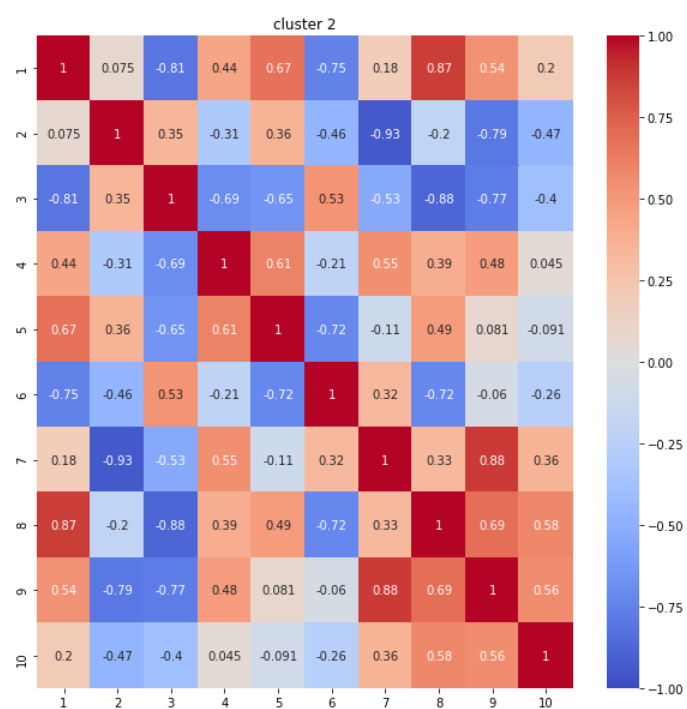
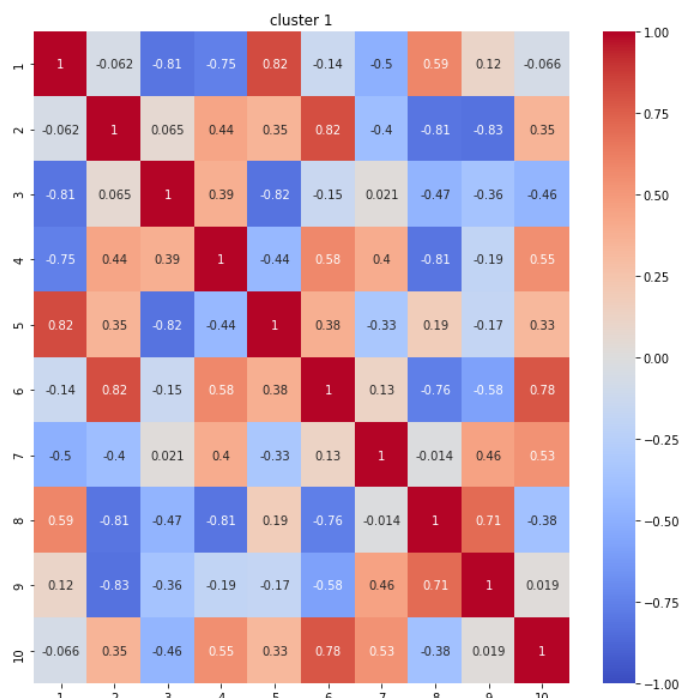
The centroids of each cluster are shown below:

	0	1	2	3	4	5	6	7	8	9
0	-0.151546	-0.505650	-0.863285	0.704270	-2.092629	3.000211	-0.382681	-0.665560	0.838841	0.200136
1	0.154182	-0.533885	-0.074459	4.066279	-1.539442	-0.823311	0.405762	0.798230	-1.053484	0.669129
2	-0.430074	-0.111155	-0.115461	2.168780	3.549690	-1.234279	-0.330691	-0.748630	0.521488	-0.420122
3	10.547392	8.465621	4.868148	6.323933	-1.275522	2.354455	2.046707	-0.299618	2.304868	-1.556600
4	-0.248817	0.275442	0.450761	-2.998063	-0.031141	-0.101003	0.094170	0.291525	0.028831	-0.108980

Where each row,  $i$ , is a centroid of the  $i-1$  cluster.

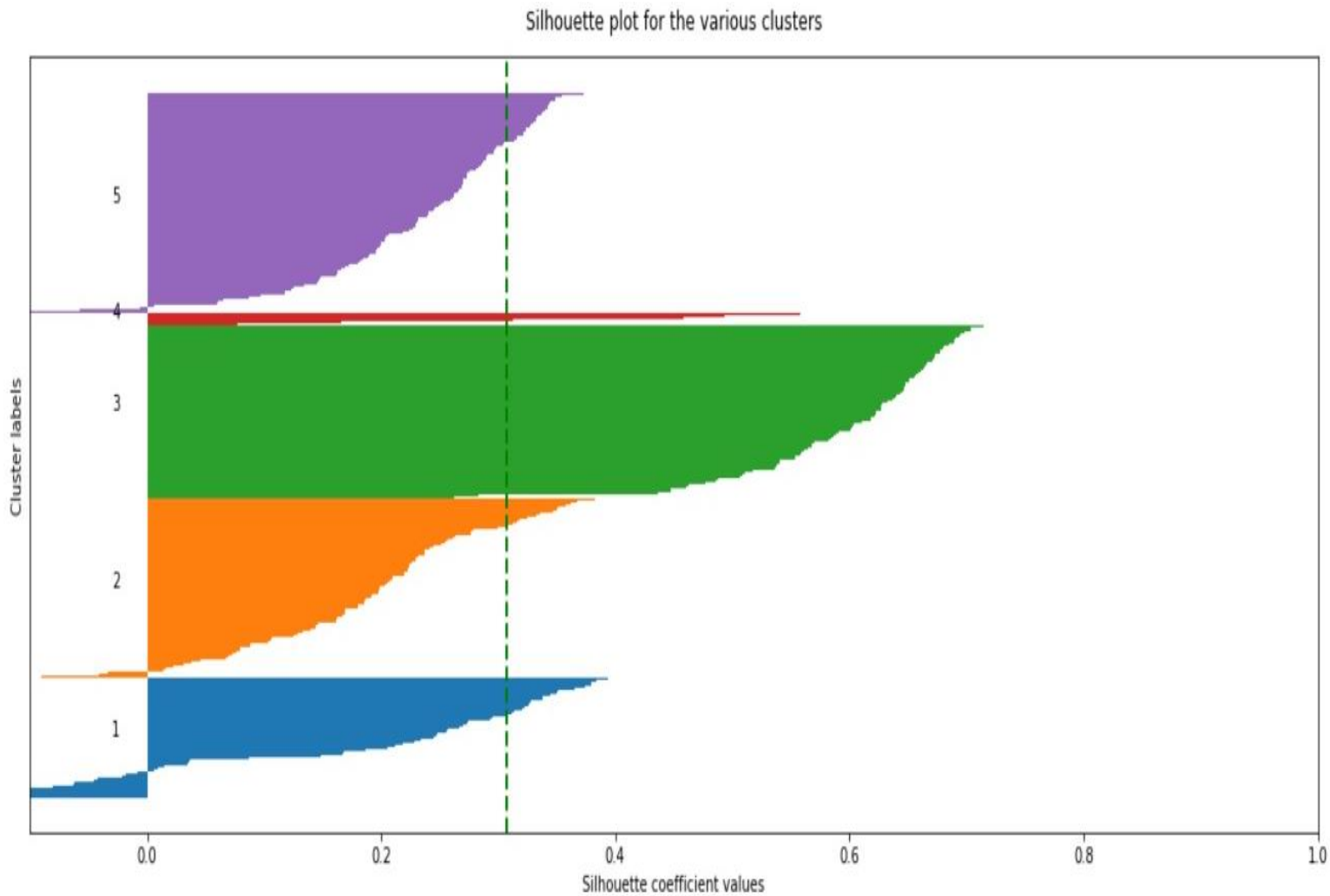
### 2. Covariance Matrices of Each Cluster





### 3. Silhouette Score

Plot



Ranking of Clusters by Silhouette score

1. virus strain 3
2. virus strain 4
3. virus strain 5
4. virus strain 2
5. virus strain 1

#### 4. Nearest Mutant Virus

By computing pair-wise distances between centroids

Virus mutation 1 is closest to Virus mutation 5

Virus mutation 2 is closest to Virus mutation 1

Virus mutation 3 is closest to Virus mutation 2

Virus mutation 4 is closest to Virus mutation 2

Virus mutation 5 is closest to Virus mutation 1

#### 5. Prevalence

```
virus mutation 1 affects 17.10 percent of patients  
virus mutation 2 affects 25.54 percent of patients  
virus mutation 3 affects 24.58 percent of patients  
virus mutation 4 affects 1.67 percent of patients  
virus mutation 5 affects 31.10 percent of patients
```

## Model Evaluation-GMM

We used two internal scores, meaning assuming no prior knowledge of the true labels, silhouette score and Davies-Bouldin score.

### Silhouette Score

Explanation:

The Silhouette Coefficient is calculated using the mean intra-cluster distance (a) and the mean nearest-cluster distance (b) for each sample. The Silhouette Coefficient for a sample is  $(b-a)/\max(a,b)$

Then take average over all samples in dataset.

Scores from -1 to 1 where the greater score the better cohesion and separation of the clusters.

Result: 0.307

### Davies-Bouldin Score

Explanation:

The score is defined as the average similarity measure of each cluster with its most similar cluster, where similarity is the ratio of within-cluster distances to between-cluster distances. Thus, clusters which are farther apart and less dispersed will result in a better score.

The minimum score is zero, with lower values indicating better clustering.

Result: 1.3



## Feature Importance

### Method 1 – PCA

#### Explanation

Using PCA, we evaluate feature importance by the magnitude of the entries in the Principal Components (feature values) derived in PCA.

The larger the magnitude of a feature, the higher the importance of it. Ranking of importance done by rank (by magnitude of eigenvalue in SVD) of the Principal Component it belongs to and the magnitude of the feature in the Principal Component.

#### Conclusions

The Principal Components are:

	1	2	3	4	5	6	7	8	9	10
0	0.184070	0.070664	0.013771	0.971821	0.056322	0.028007	0.096991	0.026441	0.026883	0.041400
1	0.018053	0.013117	0.036170	0.051566	0.820470	0.548086	0.115713	0.010004	0.052647	0.075522
2	0.520500	0.376402	0.093974	0.068073	0.408022	0.608278	0.050273	0.082139	0.129948	0.106265
3	0.446509	0.514427	0.258918	0.159806	0.326635	0.545987	0.083956	0.133144	0.104982	0.053182
4	0.260165	0.354123	0.292324	0.079348	0.036274	0.012480	0.582023	0.241101	0.554519	0.096533

In first PC, feature 4 is most important

In second PC, features 5,6 most important (in order)

In third PC, features 6,1,5 (in order) ""

In fourth PCA features 6,2,1 (in order) most important

In summary, features 4,5,6,1,2 most important

Analysis based on Multiclass Classification

## Method 2- Sequential Forward Selection

Train classifier on labeled data (labeled by GMM) and then use SFS to obtain 5 most important features, using Decision Tree Classifier and K-nearest Neighbors.

Below we show scores as the SFS progresses score of Decision Tree Classifier:

```
{1: {'avg_score': 0.7039300057372347,
     'cv_scores': array([0.72619048, 0.71428571, 0.75      , 0.67857143, 0.65060241]),
     'feature_idx': (3,)},
     'feature_names': (4,)},
2: {'avg_score': 0.8878657487091223,
     'cv_scores': array([0.92857143, 0.82142857, 0.92857143, 0.85714286, 0.90361446]),
     'feature_idx': (3, 4),
     'feature_names': (4, 5)},
3: {'avg_score': 0.9380091795754446,
     'cv_scores': array([0.94047619, 0.9047619 , 0.95238095, 0.92857143, 0.96385542]),
     'feature_idx': (3, 4, 5),
     'feature_names': (4, 5, 6)},
4: {'avg_score': 0.9498565691336776,
     'cv_scores': array([0.95238095, 0.91666667, 0.95238095, 0.98809524, 0.93975904]),
     'feature_idx': (1, 3, 4, 5),
     'feature_names': (2, 4, 5, 6)},
5: {'avg_score': 0.9594377510040161,
     'cv_scores': array([0.95238095, 0.92857143, 0.95238095, 1.      , 0.96385542]),
     'feature_idx': (1, 3, 4, 5, 7),
     'feature_names': (2, 4, 5, 6, 8)}}
```

---

Below we show scores as the SFS progresses score of KNN:

```
{1: {'avg_score': 0.677710843373494,
     'cv_scores': array([0.6547619 , 0.76190476, 0.72619048, 0.60714286, 0.63855422]),
     'feature_idx': (3,)},
     'feature_names': (4,)},
2: {'avg_score': 0.9140849110728629,
     'cv_scores': array([0.91666667, 0.91666667, 0.92857143, 0.89285714, 0.91566265]),
     'feature_idx': (3, 4),
     'feature_names': (4, 5)},
3: {'avg_score': 0.9522948938611588,
     'cv_scores': array([0.95238095, 0.92857143, 0.95238095, 0.96428571, 0.96385542]),
     'feature_idx': (0, 3, 4),
     'feature_names': (1, 4, 5)},
4: {'avg_score': 0.9761331038439472,
     'cv_scores': array([1.      , 0.95238095, 0.97619048, 0.97619048, 0.97590361]),
     'feature_idx': (0, 3, 4, 5),
     'feature_names': (1, 4, 5, 6)},
5: {'avg_score': 0.9785427423981641,
     'cv_scores': array([1.      , 0.95238095, 0.97619048, 0.97619048, 0.98795181]),
     'feature_idx': (0, 1, 3, 4, 5),
     'feature_names': (1, 2, 4, 5, 6)}}
```

## Conclusion

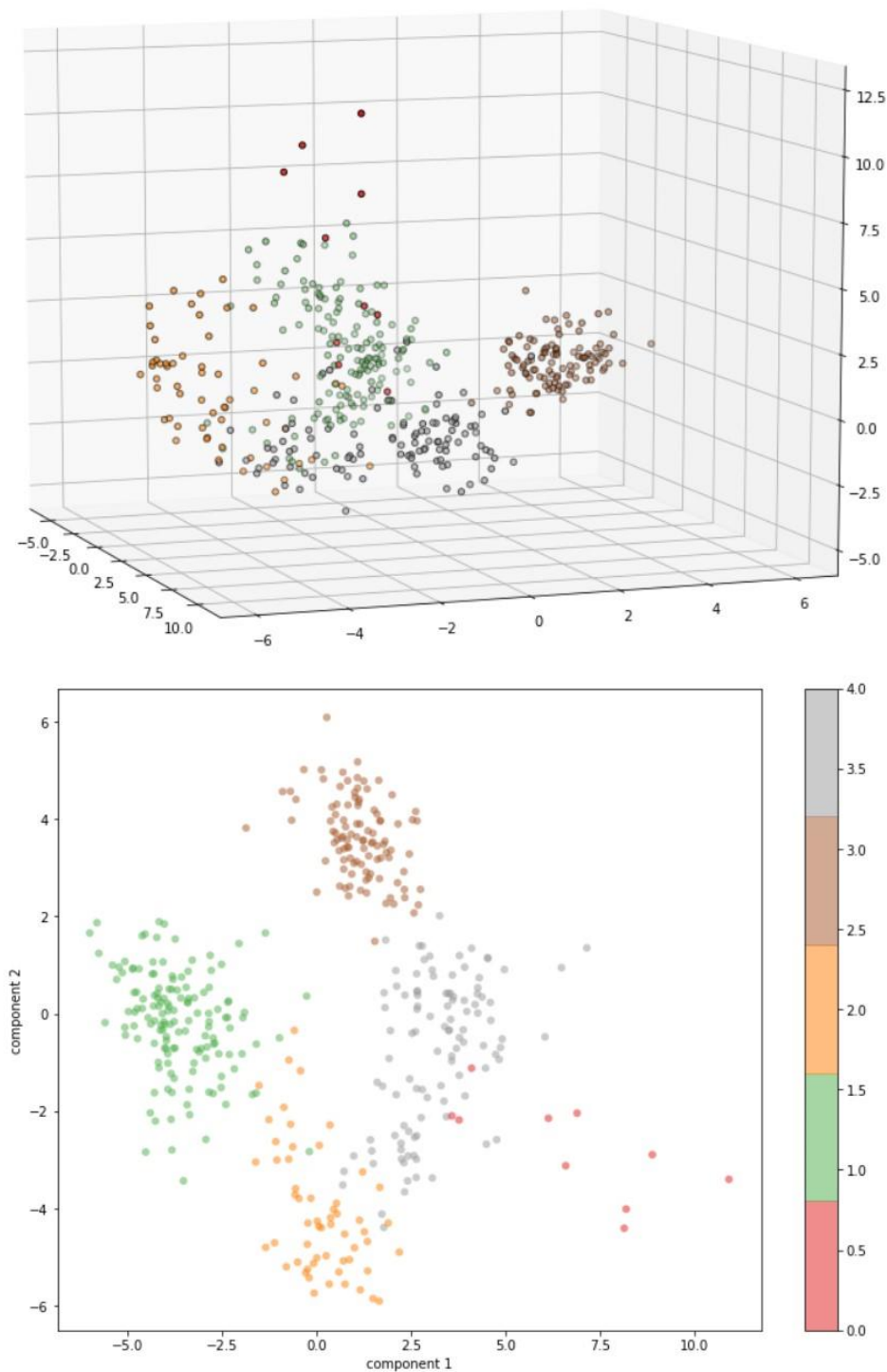
Tree Classifier : Features 2,4,5,6,8 , KNN : Features 1,2,4,5,6

## Feature Selection Conclusion

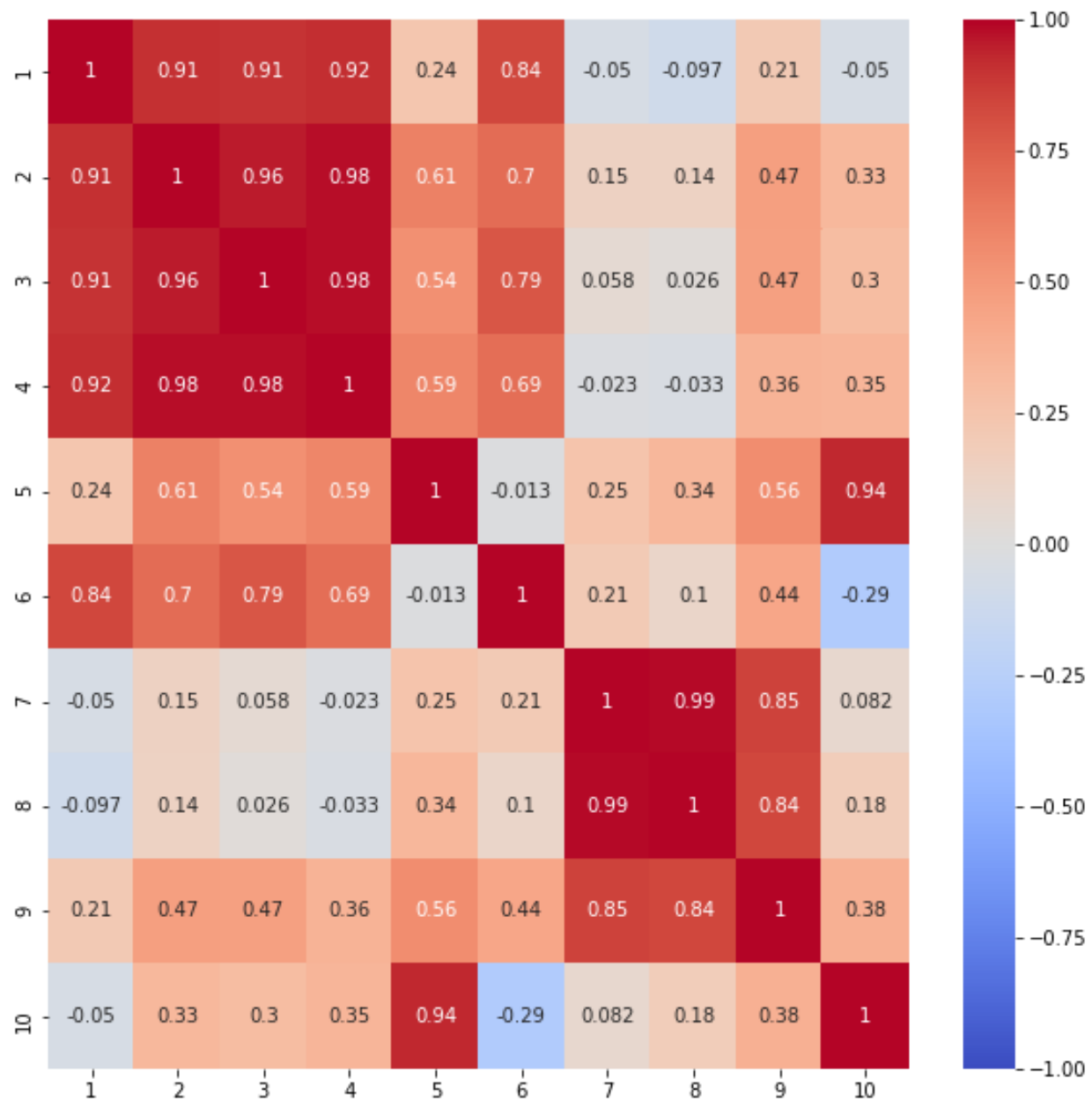
Most prevalent features in all methods are 1,2,4,5,6

Therefore we chose them as most important.

Validating they are vital for clustering we fit a GMM on dataset with only these features and projected the clusters onto low dim space using PCA and got very cohesive and separated clusters, as desired:



Prior analysis using Spectral Clustering yielded features 7,8 of importance yet fitting a GMM using one covariance matrix for all clusters we obtain the following covariance matrix:



Then features 6,7,8 are highly correlated then choosing feature 6 is sufficient.

## Choosing the 3 mutations to treat

The best way will be to treat the 3 mutations which are the most prevalent in the dataset, according to labeling by Gaussian Mixture Models

```
virus mutation 1 affects 17.10 percent of patients  
virus mutation 2 affects 25.54 percent of patients  
virus mutation 3 affects 24.58 percent of patients  
virus mutation 4 affects 1.67 percent of patients  
virus mutation 5 affects 31.10 percent of patients
```

We choose to treat virus mutations 2,3,5.

