BNH
.AI

Liability Triage

- ❏ Is this event an "incident" as defined by internal plans and policies?
- ❏ What is the required timeline for response, to include:
    - ❏ Containment
    - ❏ Eradication
    - ❏ International notifications and communications cadence
    - ❏ External notifications and timeline
- ❏ Were reasonable security and safety standards followed by employees or contractors to secure:
    - ❏ Collected data?
    - ❏ Statistical or machine learning models?
    - ❏ Model outputs?
- ❏ Were employee or contractor processes for data collection, model development and model use consistent with:
    - ❏ Privacy policies?
    - ❏ Consumer-facing notices or warranties?
    - ❏ Reasonable expectations of users?
- ❏ Were plans in place and followed to demonstrate accountability and clear oversight for:
    - ❏ Data collection?
    - ❏ Model training?
    - ❏ Model testing?
    - ❏ Model deployment?
    - ❏ Model Auditing?
- ❏ If third parties were involved in data collection, usage or modelling, were their activities consistent with internal plans and standards?
- ❏ Was sensitive data involved in the incident, to include:
    - ❏ Personal identifying information?
    - ❏ Protected health information?
    - ❏ Consumer financial information?
    - ❏ Biometric identifiers?
    - ❏ Credit, housing or employment decisions?
- ❏ What internal and external expertise is required to resolve this incident:
    - ❏ Legal?
    - ❏ PR?
    - ❏ Data science?
    - ❏ Forensics?