Netflix intro



In machine learning, clustering can be used to group similar data for prediction and recommendation. For example, each Netflix user's viewing history can be represented as a n-tuple indicating their preferences about movies in the database, where n is the number of movies in the database. People with similar tastes in movies can then be clustered to provide recommendations of movies for one another. Mathematically, clustering is based on a notion of distance between pairs of n-tuples.

Set operations

To define a set we can use the roster method, set builder notation, a recursive definition, and also we can apply a set operation to other sets.

New! Cartesian product of sets and set-wise concatenation of sets of strings

Definition: Let X and Y be sets. The **Cartesian product** of X and Y, denoted $X \times Y$, is the set of all ordered pairs (x, y) where $x \in X$ and $y \in Y$

$$X \times Y = \{(x, y) \mid x \in X \text{ and } y \in Y\}$$

Definition: Let X and Y be sets of strings over the same alphabet. The **set-wise concatenation** of X and Y, denoted $X \circ Y$, is the set of all results of string concatenation xy where $x \in X$ and $y \in Y$

$$X \circ Y = \{xy \mid x \in X \text{ and } y \in Y\}$$

Pro-tip: the meaning of writing one element next to another like xy depends on the data-types of x and y. When x and y are strings, the convention is that xy is the result of string concatenation. When x and y are numbers, the convention is that xy is the result of multiplication. This is (one of the many reasons) why is it very important to declare the data-type of variables before we use them.

Fill in the missing entries in the table:

Set	Example elements in this set:
B	A C G U
	(A,C) (U,U)
$B \times \{-1, 0, 1\}$	
$\{-1,0,1\} \times B$	
	(0, 0, 0)
$\{\mathtt{A},\mathtt{C},\mathtt{G},\mathtt{U}\} \circ \{\mathtt{A},\mathtt{C},\mathtt{G},\mathtt{U}\}$	
	GGGG

Defining functions

New! Defining functions A function is defined by its (1) domain, (2) codomain, and (3) rule assigning each element in the domain exactly one element in the codomain.

The domain and codomain are nonempty sets.

The rule can be depicted as a table, formula, or English description.

The notation is

"Let the function FUNCTION-NAME: DOMAIN \rightarrow CODOMAIN be given by FUNCTION-NAME(x) = ... for every $x \in DOMAIN$ ".

or

"Consider the function FUNCTION-NAME: DOMAIN \rightarrow CODOMAIN given by FUNCTION-NAME(x) = ... for every $x \in DOMAIN$ ".

Example: The absolute value function

Domain

Codomain

Rule

Defining functions recursively

When the domain of a function is a recursively defined set, the rule assigning images to domain elements (outputs) can also be defined recursively.

Recall: The set of RNA strands S is defined (recursively) by:

Basis Step: $A \in S, C \in S, U \in S, G \in S$

Recursive Step: If $s \in S$ and $b \in B$, then $sb \in S$

where sb is string concatenation.

Definition (Of a function, recursively) A function rnalen that computes the length of RNA strands in S is defined by:

 $rnalen: S \rightarrow \mathbb{Z}^+$ Rasis Step: If $h \in B$ then rnalen(h) = 1

Basis Step: If $b \in B$ then rnalen(b) = 1Recursive Step: If $s \in S$ and $b \in B$, then rnalen(sb) = 1 + rnalen(s)

The domain of rnalen is

The codomain of rnalen is

Example function application:

$$rnalen(\mathtt{ACU}) =$$

Extra example: A function basecount that computes the number of a given base b appearing in a RNA strand s is defined recursively:

$$\begin{array}{lll} & basecount: S \times B & \rightarrow \mathbb{N} \\ & \text{Basis Step:} & \text{If } b_1 \in B, b_2 \in B & basecount(\ (b_1,b_2)\) & = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases} \\ & \text{Recursive Step:} & \text{If } s \in S, b_1 \in B, b_2 \in B & basecount(\ (sb_1,b_2)\) & = \begin{cases} 1 + basecount(\ (s,b_2)\) & \text{when } b_1 = b_2 \\ basecount(\ (s,b_2)\) & \text{when } b_1 \neq b_2 \end{cases}$$

$$basecount(\ (\mathtt{ACU},\mathtt{A})\) = basecount(\ (\mathtt{AC},\mathtt{A})\) = basecount(\ (\mathtt{A},\mathtt{A})\) = 1$$

$$basecount(\ (\mathtt{ACU},\mathtt{G})\) = basecount(\ (\mathtt{AC},\mathtt{G})\) = basecount(\ (\mathtt{A},\mathtt{G})\) = 0$$

Extra example: The function which outputs 2^n when given a nonnegative integer n can be defined recursively, because its domain is the set of nonnegative integers.

Why represent numbers

Modeling uses data-types that are encoded in a computer.

The details of the encoding impact the efficiency of algorithms we use to understand the systems we are modeling and the impacts of these algorithms on the people using the systems.

Case study: how to encode numbers?

Base expansion definition

Definition For b an integer greater than 1 and n a positive integer, the base b expansion of n is

$$(a_{k-1}\cdots a_1a_0)_b$$

where k is a positive integer, $a_0, a_1, \ldots, a_{k-1}$ are nonnegative integers less than b, $a_{k-1} \neq 0$, and

$$n = \sum_{i=0}^{k-1} a_i b^i$$

Notice: The base b expansion of a positive integer n is a string over the alphabet $\{x \in \mathbb{N} \mid x < b\}$ whose leftmost character is nonzero.

Base b	Collection of possible coefficients in base b expansion of a positive integer
Binary $(b=2)$	$\{0,1\}$
Ternary $(b=3)$	$\{0, 1, 2\}$
Octal $(b=8)$	$\{0, 1, 2, 3, 4, 5, 6, 7\}$
Decimal $(b = 10)$	{0,1,2,3,4,5,6,7,8,9}
Hexadecimal $(b = 16)$	$\{0,1,2,3,4,5,6,7,8,9,A,B,C,D,E,F\}$
	letter coefficient symbols represent numerical values $(A)_{16} = (10)_{10}$
	$(B)_{16} = (11)_{10} (C)_{16} = (12)_{10} (D)_{16} = (13)_{10} (E)_{16} = (14)_{10} (F)_{16} = (15)_{10}$

Base expansion examples

Common bases:	Binary $b=2$	Octal $b = 8$	Decimal $b = 10$	Hexadecimal $b = 16$

Examples:

 $(1401)_2$

 $(1401)_{10}$

 $(1401)_{16}$

Algorithm definition

New! An algorithm is a finite sequence of precise instructions for solving a problem.

Algorithm half

Algorithm for calculating integer part of half the input

```
procedure half(n): a positive integer)

r:=0

while n>1

r:=r+1

n:=n-2

return r:=1 r holds the result of the operation
```





Algorithm log

Algorithm for calculating integer part of log

```
procedure log(n): a positive integer)

r:=0

while n>1

r:=r+1

n:=half(n)

return r {r holds the result of the log operation}
```

n	r	n > 1?
8		

n	$\mid r \mid$	n > 1?
6		

Division algorithm

Integer division and remainders (aka The Division Algorithm) Let n be an integer and d a positive integer. There are unique integers q and r, with $0 \le r < d$, such that n = dq + r. In this case, d is called the divisor, n is called the dividend, q is called the quotient, and r is called the remainder. We write q = n div d and r = n mod d.

Extra example: How do div and mod compare to / and % in Java and python?

Base expansion algorithms

Two algorithms for constructing base b expansion from decimal representation

Most significant first: Start with left-most coefficient of expansion

```
Calculating integer part of \log_b

procedure logb(n,b): positive integers with b > 1)

while n > b - 1

r := r + 1

n := n \text{ div } b

return r \text{ {r holds the result of the } log_b \text{ operation}}
```

Calculating base b expansion, from left

```
procedure baseb1(n,b): positive integers with b > 1)

v := n

k := logb(n,b) + 1

for i := 1 to k

a_{k-i} := 0

while v \ge b^{k-i}

a_{k-i} := a_{k-i} + 1

v := v - b^{k-i}

return (a_{k-1}, \dots, a_0)\{(a_{k-1} \dots a_0)_b \text{ is the base } b \text{ expansion of } n\}
```

Least significant first: Start with right-most coefficient of expansion

Idea: (when k > 1)

$$n = a_{k-1}b^{k-1} + \dots + a_1b + a_0$$

= $b(a_{k-1}b^{k-2} + \dots + a_1) + a_0$

so $a_0 = n \mod b$ and $a_{k-1}b^{k-2} + \cdots + a_1 = n \operatorname{\mathbf{div}} b$.

Calculating base b expansion, from right

```
procedure baseb2(n,b: positive integers with b>1)

q:=n
k:=0

while q\neq 0

a_k:=q \mod b

q:=q \operatorname{div} b

k:=k+1

return (a_{k-1},\ldots,a_0)\{(a_{k-1}\ldots a_0)_b \text{ is the base } b \text{ expansion of } n\}
```

Base expansion review

Find and fix any and all mistakes with the following:

- (a) $(1)_2 = (1)_8$
- (b) $(142)_{10} = (142)_{16}$
- (c) $(20)_{10} = (10100)_2$
- (d) $(35)_8 = (1D)_{16}$

Base conversion algorithm

Recall the definition of base expansion we discussed:

Definition For b an integer greater than 1 and n a positive integer, the base b expansion of n is

$$(a_{k-1}\cdots a_1a_0)_b$$

where k is a positive integer, $a_0, a_1, \ldots, a_{k-1}$ are nonnegative integers less than b, $a_{k-1} \neq 0$, and

$$n = \sum_{i=0}^{k-1} a_i b^i$$

Notice: The base b expansion of a positive integer n is a string over the alphabet $\{x \in \mathbb{N} \mid x < b\}$ whose leftmost character is nonzero.

Base b	Collection of possible coefficients in base b expansion of a positive integer
Binary $(b=2)$	$\{0,1\}$
Dinary (0-2)	[0,1]
Ternary $(b=3)$	$\{0, 1, 2\}$
Octal $(b = 8)$	$\{0, 1, 2, 3, 4, 5, 6, 7\}$
Decimal $(b = 10)$	$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
Hexadecimal $(b = 16)$	$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, A, B, C, D, E, F\}$
	letter coefficient symbols represent numerical values $(A)_{16} = (10)_{10}$
	$(B)_{16} = (11)_{10} (C)_{16} = (12)_{10} (D)_{16} = (13)_{10} (E)_{16} = (14)_{10} (F)_{16} = (15)_{10}$

We write an algorithm for converting from base b_1 expansion to base b_2 expansion:

Fixed width definition

Definition For b an integer greater than 1, w a positive integer, and n a nonnegative integer _____, the base b fixed-width w expansion of n is

$$(a_{w-1}\cdots a_1a_0)_{b,w}$$

where $a_0, a_1, \ldots, a_{w-1}$ are nonnegative integers less than b and

$$n = \sum_{i=0}^{w-1} a_i b^i$$

Fixed width example

Decimal	Binary	Binary fixed-width 10	Binary fixed-width 7	Binary fixed-width 4
b = 10	b=2	b = 2, w = 10	b = 2, w = 7	b = 2, w = 4
$(20)_{10}$				
	(a)	(b)	(c)	(d)

Fixed width fractional definition

Definition For b an integer greater than 1, w a positive integer, w' a positive integer, and x a real number the base b fixed-width expansion of x with integer part width w and fractional part width w' is $(a_{w-1} \cdots a_1 a_0.c_1 \cdots c_{w'})_{b,w,w'}$ where $a_0, a_1, \ldots, a_{w-1}, c_1, \ldots, c_{w'}$ are nonnegative integers less than b and

$$x \ge \sum_{i=0}^{w-1} a_i b^i + \sum_{j=1}^{w'} c_j b^{-j}$$
 and $x < \sum_{i=0}^{w-1} a_i b^i + \sum_{j=1}^{w'} c_j b^{-j} + b^{-w'}$

3.75 in fixed-width binary, integer part width 2, fractional part width 8	
0.1 in fixed-width binary, integer part width 2, fractional part width 8	

Note: Java uses floating point, not fixed width representation, but similar rounding errors appear in both.

Expansion summary

base b expansion of n	base b fixed-width w expansion of n
For b an integer greater than 1 and n a positive inte-	For b an integer greater than 1, w a positive integer,
ger, the base b expansion of n is $(a_{k-1} \cdots a_1 a_0)_b$	and n a nonnegative integer with $n < b^w$, the base b
where k is a positive integer, $a_0, a_1, \ldots, a_{k-1}$ are	fixed-width w expansion of n is $(a_{w-1} \cdots a_1 a_0)_{b,w}$
nonnegative integers less than $b, a_{k-1} \neq 0$, and	where $a_0, a_1, \ldots, a_{w-1}$ are nonnegative integers less
$n = a_{k-1}b^{k-1} + \dots + a_1b + a_0$	than b and $n = a_{w-1}b^{w-1} + \dots + a_1b + a_0$

Negative int expansions

Representing negative integers in binary: Fix a positive integer width for the representation w, w > 1.

	To represent a positive integer n	To represent a negative integer $-n$
Sign-magnitude	$[0a_{w-2}\cdots a_0]_{s,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $n=17, w=7$:	$[1a_{w-2}\cdots a_0]_{s,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $-n=-17, w=7$:
2s complement	$[0a_{w-2}\cdots a_0]_{2c,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $n=17, w=7$:	$[1a_{w-2}\cdots a_0]_{2c,w}$, where $2^{w-1}-n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $-n=-17, w=7$:
Extra example: 1s complement	$[0a_{w-2}\cdots a_0]_{1c,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $n=17, w=7$:	$[1\bar{a}_{w-2}\cdots\bar{a}_0]_{1c,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ and we define $\bar{0}=1$ and $\bar{1}=0$. Example $-n=-17,\ w=7$:

Calculating 2s complement

For positive integer n, to represent -n in 2s complement with width w,

- Calculate $2^{w-1} n$, convert result to binary fixed-width w 1, pad with leading 1, or
- Express -n as a sum of powers of 2, where the leftmost 2^{w-1} is negative weight, or
- Convert n to binary fixed-width w, flip bits, add 1 (ignore overflow)

Challenge: use definitions to explain why each of these approaches works.

Representing zero

Representing 0:

So far, we have representations for positive and negative integers. What about 0?

	To represent a non-negative integer n	To represent a non-positive integer $-n$
Sign-magnitude	$[0a_{w-2}\cdots a_0]_{s,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $n=0, w=7$:	$[1a_{w-2}\cdots a_0]_{s,w}$, where $n=(a_{w-2}\cdots a_0)_{2,w-1}$ Example $-n=0, w=7$:
2s complement	(a) $ [0a_{w-2} \cdots a_0]_{2c,w}, \text{ where } n = (a_{w-2} \cdots a_0)_{2,w-1} $ Example $n = 0, w = 7$:	(b) $ [1a_{w-2} \cdots a_0]_{2c,w}, \text{ where } 2^{w-1} - n = (a_{w-2} \cdots a_0)_{2,w-1} $ Example $-n = 0, w = 7$:

Fixed width addition

Fixed-width addition: adding one bit at time, using the usual column-by-column and carry arithmetic, and dropping the carry from the leftmost column so the result is the same width as the summands. *Does this give the right value for the sum?*

$$(1\ 1\ 0\ 1\ 0\ 0)_{2,6} + (0\ 0\ 0\ 1\ 0\ 1)_{2,6}$$

$$\begin{array}{c} [1\ 1\ 0\ 1\ 0\ 0]_{s,6} \\ +[0\ 0\ 0\ 1\ 0\ 1]_{s,6} \end{array}$$

$$[1\ 1\ 0\ 1\ 0\ 0]_{2c,6} \\ + [0\ 0\ 0\ 1\ 0\ 1]_{2c,6}$$

Circuits basics

In a **combinatorial circuit** (also known as a **logic circuit**), we have **logic gates** connected by **wires**. The inputs to the circuits are the values set on the input wires: possible values are 0 (low) or 1 (high). The values flow along the wires from left to right. A wire may be split into two or more wires, indicated with a filled-in circle (representing solder). Values stay the same along a wire. When one or more wires flow into a gate, the output value of that gate is computed from the input values based on the gate's definition table. Outputs of gates may become inputs to other gates.

Logic gates definitions

In	puts		Output	_
	\boldsymbol{x}	y	x AND y	AND }
	1	1	1	
	1	0	0	
	0	1	0	
	0	0	0	
In	puts		Output	#50
	\dot{x}	y	x XOR y	JIXOR)
	1	1	0	-
	1	0	1	
	0	1	1	
	0	0	0	
	Inpu	ıt	Output	_
	\ddot{x}		NOT x	NOT
	1		0	
	0		1	

Digital circuits basic examples

Example digital circuit:

Output when
$$x = 1, y = 0, z = 0, w = 1$$
 is _____
Output when $x = 1, y = 1, z = 1, w = 1$ is _____
Output when $x = 0, y = 0, z = 0, w = 1$ is _____
Output when $x = 0, y = 0, z = 0, w = 1$ is _____

Draw a logic circuit with inputs x and y whose output is always 0. Can you use exactly 1 gate?

Half adder circuit

Fixed-width addition: adding one bit at time, using the usual column-by-column and carry arithmetic, and dropping the carry from the leftmost column so the result is the same width as the summands. In many cases, this gives representation of the correct value for the sum when we interpret the summands in fixed-width binary or in 2s complement.

For single column:

Inp	out	Ou	tput
x_0	y_0	c_0	s_0
1	1		
1	0		
0	1		
0	0		



Two bit adder circuit

Draw a logic circuit that implements binary addition of two numbers that are each represented in fixed-width binary:

- Inputs x_0, y_0, x_1, y_1 represent $(x_1x_0)_{2,2}$ and $(y_1y_0)_{2,2}$
- Outputs z_0, z_1, z_2 represent $(z_2 z_1 z_0)_{2,3} = (x_1 x_0)_{2,2} + (y_1 y_0)_{2,2}$ (may require up to width 3)

First approach: half-adder for each column, then combine carry from right column with sum of left column Write expressions for the circuit output values in terms of input values:

$$z_0 =$$

$$z_1 =$$

$$z_2 =$$



Second approach: for middle column, first add carry from right column to x_1 , then add result to y_1 . Write expressions for the circuit output values in terms of input values:

$$z_0 =$$

$$z_1 =$$

Extra example Describe how to generalize this addition circuit for larger width inputs.

Logical operators

Logical operators aka propositional connectives

Conjunction	AND	\wedge	$\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ $	2 inputs	Evaluates to T exactly when both inputs are T
Exclusive or	XOR	\oplus	\oplus	2 inputs	Evaluates to T exactly when exactly one of inputs is T
Disjunction	OR	\vee	\lor	2 inputs	Evaluates to T exactly when at least one of inputs is T
Negation	NOT	\neg	\label{lnot}	1 input	Evaluates to T exactly when its input is F

Logical operators truth tables

Truth tables: Input-output tables where we use T for 1 and F for 0.

Input		Output				
			Exclusive or	Disjunction		
p	q	$p \wedge q$	$p\oplus q$	$p \lor q$		
T	T	T	F	T		
T	F	F	T	T		
F	T	F	T	T		
F	F	F	F	F		
		AND_—	XOR-	DOR)—		

Input	Output
	Negation
p	$\neg p$
T	F
F	T
	NOT

Logical operators example truth table

I	npu	t	Output	
p	q	r	$ \mid (p \wedge q) \oplus ((p \oplus q) \wedge r) $	$(p \wedge q) \vee ((p \oplus q) \wedge r)$
\overline{T}	T	T		
T	T	F		
T	F	T		
T	F	F		
F	T	T		
F	T	F		
F	F	T		
F	F	F		

Truth table to compound proposition

Given a truth table, how do we find an expression using the input variables and logical operators that has the output values specified in this table?

Application: design a circuit given a desired input-output relationship.

Inp	out	Out	tput
p	q	$mystery_1$	$mystery_2$
\overline{T}	T	T	\overline{F}
T	F	T	F
F	T	F	F
F	F	T	T

Expressions that have output $mystery_1$ are

Expressions that have output $mystery_2$ are

Dnf cnf definition

Definition An expression built of variables and logical operators is in **disjunctive normal form** (DNF) means that it is an OR of ANDs of variables and their negations.

Definition An expression built of variables and logical operators is in **conjunctive normal form** (CNF) means that it is an AND of ORs of variables and their negations.

Dnf cnf example

Extra example: An expression that has output? is:

I	npu	Output	
p	q	r	?
T	T	T	T
T	T	F	T
T	F	T	F
T	F	F	T
F	T	T	F
F	T	F	F
F	F	T	T
F	F	F	F

Compound proposition definitions

Proposition: Declarative sentence that is true or false (not both).

Propositional variable: Variable that represents a proposition.

Compound proposition: New proposition formed from existing propositions (potentially) using logical operators. *Note*: A propositional variable is one example of a compound proposition.

Truth table: Table with one row for each of the possible combinations of truth values of the input and an additional column that shows the truth value of the result of the operation corresponding to a particular row.

Logical equivalence

Logical equivalence: Two compound propositions are logically equivalent means that they have the same truth values for all settings of truth values to their propositional variables.

Tautology: A compound proposition that evaluates to true for all settings of truth values to its propositional variables; it is abbreviated T.

Contradiction: A compound proposition that evaluates to false for all settings of truth values to its propositional variables; it is abbreviated F.

Contingency: A compound proposition that is neither a tautology nor a contradiction.

Tautology contradiction contingency examples

Label each of the following as a tautology, contradiction, or contingency.

 $p \wedge p$

 $p \oplus p$

 $p \lor p$

 $p \vee \neg p$

 $p \land \neg p$

Logical equivalence extra example

Extra Example: Which of the compound propositions in the table below are logically equivalent?

Inp	out	Output						
p	q	$\neg (p \land \neg q)$	$\neg (\neg p \lor \neg q)$	$(\neg p \lor q)$	$(\neg q \vee \neg p)$	$(p \wedge q)$		
T	T							
T	F							
F	T							
F	F							

Logical operators full truth table

Input	ut Output					
	Conjunction	Exclusive or	Disjunction	Conditional	Biconditional	
p q	$p \wedge q$	$p\oplus q$	$p \lor q$	$p \to q$	$p \leftrightarrow q$	
T T	T	F	T	T	T	
T F	F	T	T	F	F	
F T	F	T	T	T	F	
F F	F	F	F	T	T	
	" p and q "	"p xor q"	"p or q"	"if p then q "	" p if and only if q "	

Hypothesis conclusion

The only way to make the conditional statement $p \to q$ false is to					
The hypothesis of $p \to q$ is	The antecedent of $p \to q$ is				
The conclusion of $p \to q$ is	The consequent of $p \to q$ is				

Converse inverse contrapositive

The converse of $p \to q$ is	
The inverse of $p \to q$ is	
The contrapositive of $p \rightarrow q$	<i>y</i> is

Compound propositions recursive definition

We can use a recursive definition to describe all compound propositions that use propositional variables from a specified collection. Here's the definition for all compound propositions whose propositional variables are in $\{p,q\}$.

Basis Step: p and q are each a compound proposition

Recursive Step: If x is a compound proposition then so is $(\neg x)$ and if

x and y are both compound propositions then so is each of

 $(x \land y), (x \oplus y), (x \lor y), (x \to y), (x \leftrightarrow y)$

Compound propositions precedence

Order of operations (Precedence) for logical operators:

Negation, then conjunction / disjunction, then conditional / biconditionals.

Example: $\neg p \lor \neg q \text{ means } (\neg p) \lor (\neg q).$

Logical equivalence identities

(Some) logical equivalences

Can replace p and q with any compound proposition

$$\neg(\neg p) \equiv p$$

Double negation

$$p \lor q \equiv q \lor p \qquad \qquad p \land q \equiv q \land p$$

$$p \wedge q \equiv q \wedge p$$

Commutativity Ordering of terms

$$(p \lor q) \lor r \equiv p \lor (q \lor r)$$

$$(p \wedge q) \wedge r \equiv p \wedge (q \wedge r)$$

 $(p \lor q) \lor r \equiv p \lor (q \lor r)$ $(p \land q) \land r \equiv p \land (q \land r)$ Associativity Grouping of terms

$$p \wedge F \equiv F$$

$$p \lor T \equiv T \quad p \land T \equiv p$$

$$p \vee F \equiv$$

 $p \wedge F \equiv F$ $p \vee T \equiv T$ $p \wedge T \equiv p$ $p \vee F \equiv p$ **Domination** aka short circuit evaluation

$$\neg (p \land q) \equiv \neg p \lor \neg q$$

$$\neg(p \lor q) \equiv \neg p \land \neg q$$

 $\neg(p \land q) \equiv \neg p \lor \neg q$ $\neg(p \lor q) \equiv \neg p \land \neg q$ DeMorgan's Laws

$$p \to q \equiv \neg p \lor q$$

$$p \rightarrow q \equiv \neg q \rightarrow \neg p$$
 Contrapositive

$$\neg(p \to q) \equiv p \land \neg q$$

$$\neg(p \leftrightarrow q) \equiv p \oplus q$$

$$p \leftrightarrow q \equiv q \leftrightarrow p$$

Extra examples:

 $p \leftrightarrow q$ is not logically equivalent to $p \land q$ because

 $p \to q$ is not logically equivalent to $q \to p$ because

Logical operators english synonyms

Common ways to express logical operators in English:

Negation $\neg p$ can be said in English as

- Not p.
- It's not the case that p.
- p is false.

Conjunction $p \wedge q$ can be said in English as

- p and q.
- \bullet Both p and q are true.
- p but q.

Exclusive or $p \oplus q$ can be said in English as

- p or q, but not both.
- Exactly one of p and q is true.

Disjunction $p \lor q$ can be said in English as

- p or q, or both.
- p or q (inclusive).
- At least one of p and q is true.

Conditional $p \to q$ can be said in English as

- if p, then q.
- p is sufficient for q.
- q when p.
- q whenever p.
- p implies q.
- **Biconditional**
 - p if and only if q.
 - p iff q.
 - ullet If p then q, and conversely.
 - ullet p is necessary and sufficient for q.

- q follows from p.
- p is sufficient for q.
- q is necessary for p.
- p only if q.

Compound propositions translation

Translation: Express each of the following sentences as compound propositions, using the given propositions.

"A sufficient condition for the warranty to be good is w is "the warranty is good" that you bought the computer less than a year ago" b is "you bought the computer less than a year ago"

"Whenever the message was sent from an unknown s is "The message is scanned for viruses" system, it is scanned for viruses." u is "The message was sent from an unknown system"

"I will complete my to-do list only if I put a reminder in my calendar"

d is "I will complete my to-do list" c is "I put a reminder in my calendar"

Consistency def

Definition: A collection of compound propositions is called **consistent** if there is an assignment of truth values to the propositional variables that makes each of the compound propositions true.

Consistency example

Consistency:

Whenever the system software is being upgraded, users cannot access the file system. If users can access the file system, then they can save new files. If users cannot save new files, then the system software is not being upgraded.

- 1. Translate to symbolic compound propositions
- 2. Look for some truth assignment to the propositional variables for which all the compound propositions output T

Algorithm redundancy

Real-life representations are often prone to corruption. Biological codes, like RNA, may mutate naturally¹ and during measurement; cosmic radiation and other ambient noise can flip bits in computer storage². One way to recover from corrupted data is to introduce or exploit redundancy.

Consider the following algorithm to introduce redundancy in a string of 0s and 1s.

Create redundancy by repeating each bit three times

```
procedure redun3(a_{k-1} \cdots a_0): a nonempty bitstring)

for i := 0 to k-1

c_{3i} := a_i

c_{3i+1} := a_i

c_{3i+2} := a_i

return c_{3k-1} \cdots c_0
```

Decode sequence of bits using majority rule on consecutive three bit sequences

```
procedure decode3(c_{3k-1}\cdots c_0): a nonempty bitstring whose length is an integer multiple of 3)

for i:=0 to k-1

if exactly two or three of c_{3i}, c_{3i+1}, c_{3i+2} are set to 1

a_i:=1

else

a_i:=0

return a_{k-1}\cdots a_0
```

Give a recursive definition of the set of outputs of the redun3 procedure, Out,

```
Consider the message m = 0001 so that the sender calculates redun3(m) = redun3(0001) = 000000000111.
```

Introduce ____ errors into the message so that the signal received by the receiver is _____ but the receiver is still able to decode the original message.

Challenge: what is the biggest number of errors you can introduce?

Building a circuit for lines 3-6 in *decode* procedure: given three input bits, we need to determine whether the majority is a 0 or a 1.

c_{3i}	c_{3i+1}	c_{3i+2}	a_i
1	1	1	
1	1	0	
1	0	1	
1	0	0	
0	1	1	
0	1	0	
0	0	1	
0	0	0	

Circuit

¹Mutations of specific RNA codons have been linked to many disorders and cancers.

²This RadioLab podcast episode goes into more detail on bit flips: https://www.wnycstudios.org/story/bit-flip

Cartesian product definition

Definition: The **Cartesian product** of the sets A and B, $A \times B$, is the set of all ordered pairs (a, b), where $a \in A$ and $b \in B$. That is: $A \times B = \{(a, b) \mid (a \in A) \land (b \in B)\}$. The Cartesian product of the sets A_1, A_2, \ldots, A_n , denoted by $A_1 \times A_2 \times \cdots \times A_n$, is the set of ordered n-tuples $(a_1, a_2, ..., a_n)$, where a_i belongs to A_i for i = 1, 2, ..., n. That is,

$$A_1 \times A_2 \times \cdots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i \text{ for } i = 1, 2, \dots, n\}$$

Algorithm rna mutation insertion deletion

Recall that S is defined as the set of all RNA strands, nonempty strings made of the bases in $B = \{A, U, G, C\}$. We define the functions

```
mutation: S \times \mathbb{Z}^+ \times B \to S insertion: S \times \mathbb{Z}^+ \times B \to S deletion: \{s \in S \mid rnalen(s) > 1\} \times \mathbb{Z}^+ \to S with rules
```

```
procedure mutation(b_1 \cdots b_n): a RNA strand, k: a positive integer, b: an element of B)
    \mathbf{for} \ i \ := \ 1 \ \mathbf{to}
       \mathbf{i} \mathbf{f} \quad i = k
3
          c_i := b
        _{
m else}
6
          c_i := b_i
    return c_1 \cdots c_n {The return value is a RNA strand made of the c_i values}
    procedure insertion (b_1 \cdots b_n): a RNA strand, k: a positive integer, b: an element of B)
    if k > n
3
       for i := 1 to n
          c_i := b_i
4
       c_{n+1} \ := \ b
    else
6
       for i := 1 to k-1
          c_i := b_i
9
       c_k := b
       for i := k+1 to n+1
10
          c_i := b_{i-1}
11
    return c_1 \cdots c_{n+1} {The return value is a RNA strand made of the c_i values}
    procedure deletion (b_1 \cdots b_n): a RNA strand with n > 1, k: a positive integer)
2
    if k > n
3
       m := n
       for i := 1 to n
          c_i := b_i
       m := n - 1
       for i := 1 to k-1
          c_i := b_i
9
10
        \mathbf{for} \ i \ := \ k \ \mathbf{to} \ n-1
11
          c_i := b_{i+1}
    return c_1 \cdots c_m {The return value is a RNA strand made of the c_i values}
```

Rna mutation insertion deletion example



Fill in the blanks so that $insertion(\ (AUC, _, _)\) = AUCG$

Fill in the blanks so that $deletion((_,_)) = G$

Predicate definition

Definition: A **predicate** is a function from a given set (domain) to $\{T, F\}$.

A predicate can be applied, or **evaluated** at, an element of the domain.

Usually, a predicate describes a property that domain elements may or may not have.

Two predicates over the same domain are **equivalent** means they evaluate to the same truth values for all possible assignments of domain elements to the input. In other words, they are equivalent means that they are equal as functions.

To define a predicate, we must specify its domain and its value at each domain element. The rule assigning truth values to domain elements can be specified using a formula, English description, in a table (if the domain is finite), or recursively (if the domain is recursively defined).

Predicate examples finite domain

Input		Output	
	V(x)	N(x)	Mystery(x)
x	$V(x)$ $[x]_{2c,3} > 0$	$[x]_{2c,3} < 0$	
000	F		\overline{T}
001	T		T
010	T		T
011	T		F
100	F		F
101	F		T
110	F		F
111	F		T

The domain for each of the predicates $V($	(x), N(x), Mystery(x) is	

Fill in the table of values for the predicate N(x) based on the formula given.

Predicate truth set definition

Definition: The **truth set** of a predicate is the collection of all elements in its domain where the predicate evaluates to T.

Notice that specifying the domain and the truth set is sufficient for defining a predicate.

Predicate truth set example

The truth set for the predicate $V(x)$ is	·	
The truth set for the predicate $N(x)$ is	·	
The truth set for the predicate $Mystery(x)$ is		

Quantification definition

The universal quantification of predicate P(x) over domain U is the statement "P(x) for all values of x in the domain U" and is written $\forall x P(x)$ or $\forall x \in U P(x)$. When the domain is finite, universal quantification over the domain is equivalent to iterated *conjunction* (ands).

The existential quantification of predicate P(x) over domain U is the statement "There exists an element x in the domain U such that P(x)" and is written $\exists x P(x)$ for $\exists x \in U \ P(x)$. When the domain is finite, existential quantification over the domain is equivalent to iterated disjunction (ors).

An element for which P(x) = F is called a **counterexample** of $\forall x P(x)$.

An element for which P(x) = T is called a witness of $\exists x P(x)$.

Quantification logical equivalence

Statements involving predicates and quantifiers are logically equivalent means they have the same truth value no matter which predicates (domains and functions) are substituted in.

Quantifier version of De Morgan's laws: $|\neg \forall x P(x) \equiv \exists x (\neg P(x))|$

$$|\neg \exists x Q(x) \equiv \forall x (\neg Q(x))$$

Quantification examples finite domain

Examples of quantifications using V(x), N(x), Mystery(x):

True or False: $\exists x \ (V(x) \land N(x))$

True or **False**: $\forall x \ (V(x) \rightarrow N(x))$

True or False: $\exists x \ (\ N(x) \leftrightarrow Mystery(x)\)$

Rewrite $\neg \forall x \ (V(x) \oplus Mystery(x))$ into a logical equivalent statement.

Notice that these are examples where the predicates have *finite* domain. How would we evaluate quantifications where the domain may be infinite?

Predicate rna example

Example predicates on S, the set of RNA strands (an infinite set)

 $H: S \to \{T, F\}$ where H(s) = T for all s.

Truth set of H is _____

 $F_{\mathbf{A}}: S \to \{T, F\}$ defined recursively by:

Basis step: $F_{\mathbf{A}}(\mathbf{A}) = T$, $F_{\mathbf{A}}(\mathbf{C}) = F_{\mathbf{A}}(\mathbf{G}) = F_{\mathbf{A}}(\mathbf{U}) = F$

Recursive step: If $s \in S$ and $b \in B$, then $F_{A}(sb) = F_{A}(s)$.

Example where F_{A} evaluates to T is _____

Example where $F_{\mathbb{A}}$ evaluates to F is _____

Rna rnalen basecount definitions

Recall the definitions: The set of RNA strands S is defined (recursively) by:

Basis Step: $A \in S, C \in S, U \in S, G \in S$

Recursive Step: If $s \in S$ and $b \in B$, then $sb \in S$

where sb is string concatenation.

The function rnalen that computes the length of RNA strands in S is defined recursively by:

Basis Step: If $b \in B$ then $rnalen(S) \rightarrow \mathbb{Z}^+$ rnalen(b) = 1

Recursive Step: If $s \in S$ and $b \in B$, then rnalen(sb) = 1 + rnalen(s)

The function basecount that computes the number of a given base b appearing in a RNA strand s is defined recursively by:

 $\begin{array}{lll} basecount: S \times B & \rightarrow \mathbb{N} \\ \text{Basis Step:} & \text{If } b_1 \in B, b_2 \in B & basecount(\ (b_1,b_2)\) & = \begin{cases} 1 & \text{when } b_1 = b_2 \\ 0 & \text{when } b_1 \neq b_2 \end{cases} \\ \text{Recursive Step:} & \text{If } s \in S, b_1 \in B, b_2 \in B & basecount(\ (sb_1,b_2)\) & = \begin{cases} 1 + basecount(\ (s,b_2)\) & \text{when } b_1 = b_2 \\ basecount(\ (s,b_2)\) & \text{when } b_1 \neq b_2 \end{cases}$

Predicates example rnalen basecount

Using functions to define predicates:

 \overline{L} with domain $S \times \mathbb{Z}^+$ is defined by, for $s \in S$ and $n \in \mathbb{Z}^+$,

$$L((s,n)) = \begin{cases} T & \text{if } rnalen(s) = n \\ F & \text{otherwise} \end{cases}$$

In other words, L((s,n)) means rnalen(s) = n

BC with domain $S \times B \times \mathbb{N}$ is defined by, for $s \in S$ and $b \in B$ and $n \in \mathbb{N}$,

$$BC((s,b,n)) = \begin{cases} T & \text{if } basecount((s,b)) = n \\ F & \text{otherwise} \end{cases}$$

In other words, BC((s, b, n)) means basecount((s, b)) = n

Example where L evaluates to T: _____ Why?

Example where BC evaluates to T: Why?

Example where L evaluates to F: ______ Why?

Example where BC evaluates to F: ______ Why?

$$\exists t \ BC(t) \qquad \exists (s,b,n) \in S \times B \times \mathbb{N} \ (basecount(\ (s,b)\) = n)$$

In English:

Witness that proves this existential quantification is true:

$$\forall t \ BC(t) \qquad \forall (s,b,n) \in S \times B \times \mathbb{N} \ (basecount(\ (s,b)\) = n)$$

In English:

Counterexample that proves this universal quantification is false:

Predicates projecting example rna basecount

New predicates from old

1. Define the **new** predicate with domain $S \times B$ and rule

$$basecount((s,b)) = 3$$

Example domain element where predicate is T:

2. Define the **new** predicate with domain $S \times \mathbb{N}$ and rule

$$basecount((s, A)) = n$$

Example domain element where predicate is T:

3. Define the **new** predicate with domain $S \times B$ and rule

$$\exists n \in \mathbb{N} \ (basecount(\ (s,b)\) = n)$$

Example domain element where predicate is T:

4. Define the **new** predicate with domain S and rule

$$\forall b \in B \ (basecount(\ (s,b)\)=1)$$

Example domain element where predicate is T:

Predicate notation

Notation: for a predicate P with domain $X_1 \times \cdots \times X_n$ and a n-tuple (x_1, \ldots, x_n) with each $x_i \in X$, we can write $P(x_1, \ldots, x_n)$ to mean $P((x_1, \ldots, x_n))$.

Nested quantifiers

Nested quantifiers

 $\forall s \in S \ \forall b \in B \ \forall n \in \mathbb{N} \ (basecount(\ (s,b)\) = n)$

In English:

Counterexample that proves this universal quantification is false:

$$\forall n \in \mathbb{N} \ \forall s \in S \ \forall b \in B \ (basecount(\ (s,b)\) = n)$$

In English:

Counterexample that proves this universal quantification is false:

Alternating quantifiers

Alternating nested quantifiers

$$\forall s \in S \ \exists b \in B \ (basecount((s,b)) = 3)$$

In English: For each RNA strand there is a base that occurs 3 times in this strand.

Write the negation and use De Morgan's law to find a logically equivalent version where the negation is applied only to the BC predicate (not next to a quantifier).

Is the original statement **True** or **False**?

$$\exists s \in S \ \forall b \in B \ \exists n \in \mathbb{N} \ (basecount((s,b)) = n)$$

In English: There is an RNA strand so that for each base there is some nonnegative integer that counts the number of occurrences of that base in this strand.

Write the negation and use De Morgan's law to find a logically equivalent version where the negation is applied only to the BC predicate (not next to a quantifier).

Is the original statement **True** or **False**?

Proof strategies road map

We now have propositional and predicate logic that can help us express statements about any domain. We will develop proof strategies to craft valid argument for proving that such statements are true or disproving them (by showing they are false). We will practice these strategies with statements about sets and numbers, both because they are familiar and because they can be used to build cryptographic systems. Then we will apply proof strategies more broadly to prove statements about data structures and machine learning applications.

Proof strategies quantification finite domain

When a predicate P(x) is over a **finite** domain:

- To show that $\forall x P(x)$ is true: check that P(x) evaluates to T at each domain element by evaluating over and over.
- To show that $\forall x P(x)$ is false: find one counterexample, a domain element where P(x) evaluates to F.
- To show that $\exists x P(x)$ is true: find one witness, a domain element where P(x) evaluates to T.
- To show that $\exists x P(x)$ is false: check that P(x) evaluates to F at each domain element by evaluating over and over.

Proof strategy universal exhaustion

New! **Proof of universal by exhaustion**: To prove that $\forall x P(x)$ is true when P has a finite domain, evaluate the predicate at **each** domain element to confirm that it is always T.

Proof strategy universal generalization

New! Proof by universal generalization: To prove that $\forall x P(x)$ is true, we can take an arbitrary element e from the domain of quantification and show that P(e) is true, without making any assumptions about e other than that it comes from the domain.

An **arbitrary** element of a set or domain is a fixed but unknown element from that set.

Sets definitions

Definitions:

A set is an unordered collection of elements. When A and B are sets, A = B (set equality) means

$$\forall x (x \in A \leftrightarrow x \in B)$$

When A and B are sets, $A \subseteq B$ ("A is a subset of B") means

$$\forall x (x \in A \to x \in B)$$

When A and B are sets, $A \subseteq B$ ("A is a **proper subset** of B") means

$$(A \subseteq B) \land (A \neq B)$$

Proof strategies conditionals

New! Proof of conditional by direct proof: To prove that the conditional statement $p \to q$ is true, we can assume p is true and use that assumption to show q is true.

New! Proof of conditional by contrapositive proof: To prove that the implication $p \to q$ is true, we can assume q is false and use that assumption to show p is also false.

New! Proof of disjuction using equivalent conditional: To prove that the disjunction $p \lor q$ is true, we can rewrite it equivalently as $\neg p \to q$ and then use direct proof or contrapositive proof.

Proof strategies proof by cases

New! Proof by Cases: To prove q, we can work by cases by first describing all possible cases we might be in and then showing that each one guarantees q. Formally, if we know that $p_1 \vee p_2$ is true, and we can show that $(p_1 \to q)$ is true and we can show that $(p_2 \to q)$, then we can conclude q is true.

Proof strategies ands

New! Proof of conjunctions with subgoals: To show that $p \wedge q$ is true, we have two subgoals: subgoal (1) prove p is true; and, subgoal (2) prove q is true.

To show that $p \wedge q$ is false, it's enough to prove that $\neg p$. To show that $p \wedge q$ is false, it's enough to prove that $\neg q$.

Sets proof strategies

To prove that one set is a subset of another, e.g. to show $A \subseteq B$:

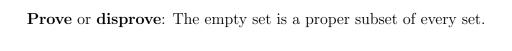
To prove that two sets are equal, e.g. to show A = B:

Sets equality example

Example: $\{43, 7, 9\} = \{7, 43, 9, 7\}$

Sets basic proofs





Prove or **disprove**: $\{4,6\} \subseteq \{n \mid \exists c \in \mathbb{Z}(n=4c)\}$

Prove or **disprove**: $\{4,6\} \subseteq \{n \text{ mod } 10 \mid \exists c \in \mathbb{Z}(n=4c)\}$

Proofs signposting

Consider, an arbitrary Assume , we wan the proof is complete \square .	t to show that	Which is what	was needed, so
or, in other words:			
Let be an arbitrary Assume , WTS that	QED.		

Set operations union intersection powerset

Cartesian product: When A and B are sets,

$$A \times B = \{(a, b) \mid a \in A \land b \in B\}$$

Example: $\{43, 9\} \times \{9, \mathbb{Z}\} =$

Example: $\mathbb{Z} \times \emptyset =$

Union: When A and B are sets,

$$A \cup B = \{x \mid x \in A \lor x \in B\}$$

Example: $\{43, 9\} \cup \{9, \mathbb{Z}\} =$

Example: $\mathbb{Z} \cup \emptyset =$

Intersection: When A and B are sets,

$$A \cap B = \{x \mid x \in A \land x \in B\}$$

Example: $\{43, 9\} \cap \{9, \mathbb{Z}\} =$

Example: $\mathbb{Z} \cap \emptyset =$

Set difference: When A and B are sets,

$$A - B = \{x \mid x \in A \land x \notin B\}$$

Example: $\{43, 9\} - \{9, \mathbb{Z}\} =$

Example: $\mathbb{Z} - \emptyset =$

Disjoint sets: sets A and B are disjoint means $A \cap B = \emptyset$

Example: $\{43,9\},\{9,\mathbb{Z}\}$ are not disjoint

Example: The sets $\mathbb Z$ and \emptyset are disjoint

Power set: When U is a set, $\mathcal{P}(U) = \{X \mid X \subseteq U\}$

Example: $\mathcal{P}(\{43,9\}) =$

Example: $\mathcal{P}(\emptyset) =$

Sets basic proofs operations

Let
$$W = \mathcal{P}(\{1, 2, 3, 4, 5\})$$

Example elements in W are:

Prove or **disprove**: $\forall A \in W \ \forall B \in W \ (A \subseteq B \rightarrow \mathcal{P}(A) \subseteq \mathcal{P}(B))$

Extra example: Prove or disprove: $\forall A \in W \ \forall B \in W \ (\mathcal{P}(A) = \mathcal{P}(B) \rightarrow A = B)$

Extra example: Prove or disprove: $\forall A \in W \ \forall B \in W \ \forall C \in W \ (A \cup B = A \cup C \rightarrow B = C)$

Numbers facts

- 1. Addition and multiplication of real numbers are each commutative and associative.
- 2. The product of two positive numbers is positive, of two negative numbers is positive, and of a positive and a negative number is negative.
- 3. The sum of two integers, the product of two integers, and the difference between two integers are each integers.
- 4. For every integer x there is no integer strictly between x and x + 1,
- 5. When a, b are positive integers, $ab \ge a$ and $ab \ge b$.

Factoring definition

Definition: When a and b are integers and a is nonzero, a divides b means there is an integer c such that b = ac.

Symbolically, F((a,b)) = and is a predicate over the domain _____

Other (synonymous) ways to say that F((a, b)) is true:

a is a **factor** of b a is a **divisor** of b b is a **multiple** of a a|b

When a is a positive integer and b is any integer, a|b exactly when $b \mod a = 0$

When a is a positive integer and b is any integer, a|b exactly $b = a \cdot (b \operatorname{\mathbf{div}} a)$

Factoring translation examples

Translate these quantified statements by matching to English statement on right.

 $\exists a \in \mathbb{Z}^{\neq 0} \ (\ F(\ (a,a)\)\)$ Every nonzero integer is a factor of itself.

 $\exists a \in \mathbb{Z}^{\neq 0} \ (\neg F((a, a)))$ No nonzero integer is a factor of itself.

 $\forall a \in \mathbb{Z}^{\neq 0} \ (\ F(\ (a,a)\)\)$ At least one nonzero integer is a factor of itself.

 $\forall a \in \mathbb{Z}^{\neq 0} \ (\neg F((a, a)))$ Some nonzero integer is not a factor of itself.

Factoring basic claims

Claim: Every nonzero integer is a factor of itself.
Proof:
Prove or Disprove: There is a nonzero integer that does not divide its square.
Prove or Disprove : Every positive factor of a positive integer is less than or equal to it.

Factoring basic claims continued Claim: Every nonzero integer is a factor of itself and every nonzero integer divides its square.

Factoring even odd

Definition: an integer n is **even** means that there is an integer a such that n = 2a; an integer n is **odd** means that there is an integer a such that n = 2a + 1. Equivalently, an integer n is **even** means $n \mod 2 = 0$; an integer n is **odd** means $n \mod 2 = 1$. Also, an integer is even if and only if it is not odd.

Prime number def

Definition: An integer p greater than 1 is called **prime** means the only positive factors of p are 1 and p. A positive integer that is greater than 1 and is not prime is called composite.

Primes basic claims

Proof: We need to show