#### **Logical Systems**

Logical Systems are frameworks in artificial intelligence (AI) and computer science that are used to represent, manipulate, and reason about knowledge using formal logic. These systems form the theoretical backbone of many AI applications, providing a structured way to encode knowledge and derive new information through logical inference.

#### **Key Components of Logical Systems**

- 1. Propositions and Sentences:
  - o Propositions are statements that can be either true or false.
  - Sentences in a logical system are constructed using propositions combined with logical connectives (such as AND, OR, NOT).

#### 2. Formal Logic:

- Propositional Logic: Involves simple statements and logical connectives.
   Example: "If it rains, the ground will be wet."
- o Predicate Logic: Extends propositional logic by dealing with predicates (properties or relationships between objects) and quantifiers like "for all"  $(\forall)$  or "there exists"  $(\exists)$ . Example: "For all x, if x is a bird, then x can fly."

#### 3. Inference Rules:

- These are rules used to derive new sentences from existing ones. Common inference rules include:
  - Modus Ponens: If "A implies B" and "A" is true, then "B" must be true.
  - Modus Tollens: If "A implies B" and "B" is false, then "A" must be false.

#### 4. Proof Systems:

 Deductive Systems: Used to derive conclusions from a set of axioms and inference rules. The goal is to prove that certain conclusions logically follow from given premises.

#### **Types of Logical Systems**

- 1. Propositional Logic:
  - o Focuses on simple, declarative statements that are either true or false.
  - Example: "The sky is blue."
- 2. First-Order Predicate Logic (FOPL):
  - Extends propositional logic by introducing variables, functions, and quantifiers.

o Example: "All humans are mortal."

#### 3. Non-Classical Logics:

- Includes modal logic, fuzzy logic, and others that handle concepts like possibility, necessity, and uncertainty.
- o Example: "It is possible that it will rain tomorrow."

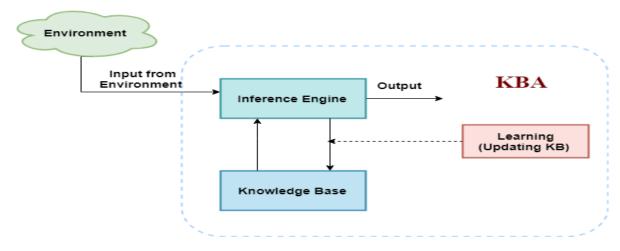
#### **Knowledge-Based Agents in AI: A Simplified Overview**

#### **Introduction to Knowledge-Based Agents**

In artificial intelligence (AI), human intelligence is often understood as the ability to reason and make decisions based on knowledge. This concept is mirrored in AI through **Knowledge-Based Agents**—systems that can store, update, and reason with knowledge to perform intelligent actions. These agents represent the world through formalized knowledge and use it to make decisions that are informed, logical, and explainable.

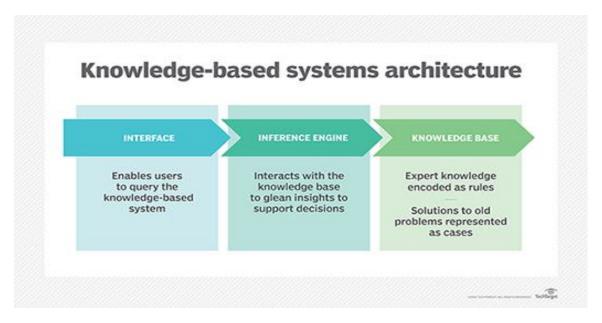
#### What is a Knowledge-Based System?

A **Knowledge-Based System (KBS)** is an AI system designed to simulate the decision-making ability of a human expert. It stores knowledge in the form of rules or facts and uses reasoning techniques to draw conclusions or make decisions. For instance, a KBS can be used in medical diagnosis by applying a set of rules that link symptoms to potential diseases.



One of the significant advantages of KBS is its ability to automate decision-making processes, such as diagnosing conditions or solving customer service queries. Additionally, KBS can explain the reasoning behind its decisions, which is useful in situations where human operators need to understand or trust the system's conclusions.

#### **Components of Knowledge-Based Systems**



#### 1. Knowledge Base (KB):

- The core of any KBS, storing facts, rules, and other relevant information about the domain.
- Example: A medical KBS might include symptoms, diseases, and treatment protocols.

#### 2. Inference Engine (IE):

- The mechanism that applies logical reasoning to the knowledge base to derive new information or decisions.
- Example: The engine might use rules to determine that a patient with certain symptoms has a specific illness.

#### 3. User Interface:

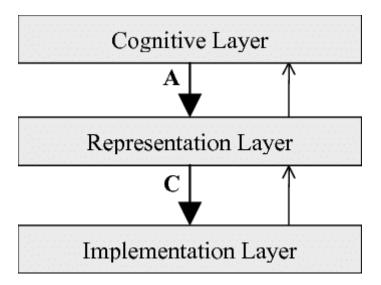
 Allows users to interact with the KBS, inputting data and receiving feedback or decisions.

#### 4. Explanation Facility:

 Provides explanations for the decisions made by the system, enhancing transparency and trust.

#### **How Knowledge-Based Agents Work**

A **Knowledge-Based Agent** operates by maintaining an internal state of knowledge about its environment. It uses this knowledge to reason, update itself with new information, and make decisions. The agent's behavior is determined by three levels:



#### 1. Knowledge/ Cognitive Level:

- o At this level, the agent understands what it knows and what its goals are.
- Example: An autonomous taxi knows it must travel from Station A to Station B and knows the route.

#### 2. Logical/ Representation Level:

- o This level concerns how the agent's knowledge is structured and represented.
- o Knowledge is encoded in logical sentences, which the agent uses to reason.
- Example: The taxi's knowledge of routes and traffic rules is encoded in logical statements.

#### 3. Implementation Level:

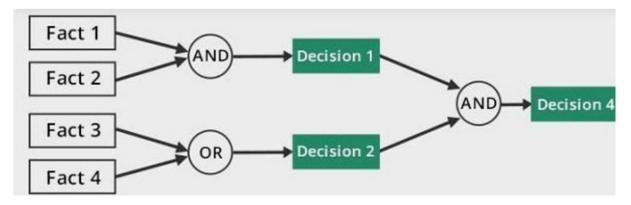
- The physical or computational level where the agent actually performs actions based on its knowledge.
- Example: The taxi uses its encoded knowledge to navigate the streets and reach its destination.

#### **Inference in Knowledge-Based Agents**

For a Knowledge-Based Agent to act effectively, it must be able to update its knowledge base and infer new information. This is done through **inference systems** that derive new facts from existing knowledge, typically using two main techniques: 1.

# **Forward Chaining**

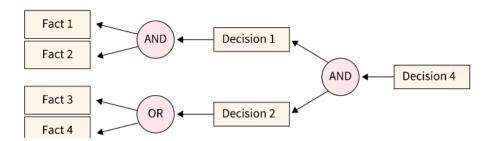
It is a strategy of an expert system to answer the question, "What can happen next?"



- Starts with the available data and applies rules to infer new data.
- Example: Given that the patient has a fever and cough, the system infers possible illnesses.

#### 2. Backward Chaining:

With this strategy, an expert system finds out the answer to the question, "Why this happened?"



- Starts with a goal or hypothesis and works backward to see if the available data supports it.
- Example: To diagnose a disease, the system checks whether the symptoms match known conditions.

# The Process of a Knowledge-Based Agent

Following is the structure outline of a generic knowledge-based agents program:

- 1. function KB-AGENT(percept):
- 2. persistent: KB, a knowledge base
- 3. t, a counter, initially 0, indicating time
- 4. TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
- 5. Action = ASK(KB, MAKE-ACTION-QUERY(t))

- 6. TELL(KB, MAKE-ACTION-SENTENCE(action, t))
- 7. t = t + 1
- 8. return action

#### **Explanation of the Algorithm:**

#### 1. TELL(KB, MAKE PERCEPT SENTENCE(percept, t)):

o The agent begins by observing its environment and creating a percept sentence based on this observation (e.g., "It is raining at time t"). This percept is then added to the knowledge base.

#### 2. action = ASK(KB, MAKE\_ACTION\_QUERY(t)):

o The agent queries the knowledge base to determine the best action to take based on the current state of knowledge.

#### 3. TELL(KB, MAKE\_ACTION\_SENTENCE(action, t)):

o After selecting an action, the agent updates its knowledge base with a sentence that reflects the action it has chosen (e.g., "At time t, I will take an umbrella").

#### 4. t = t + 1:

 The time counter is incremented to keep track of the sequence of actions and observations.

#### 5. return action:

o The agent performs the chosen action.

The knowledge-based agent takes percept as input and returns an action as output. The agent maintains the knowledge base, KB, and it initially has some background knowledge of the real world. It also has a counter to indicate the time for the whole process, and this counter is initialized with zero.

Each time when the function is called, it performs its three operations:

- o Firstly it TELLs the KB what it perceives.
- Secondly, it asks KB what action it should take
- o Third agent program TELLS the KB that which action was chosen.

The MAKE-PERCEPT-SENTENCE generates a sentence as setting that the agent perceived the given percept at the given time.

The MAKE-ACTION-QUERY generates a sentence to ask which action should be done at the current time.

MAKE-ACTION-SENTENCE generates a sentence which asserts that the chosen action was executed.

A Knowledge-Based Agent follows these steps:

- 1. **TELL:** The agent adds new percepts (observations from the environment) to its knowledge base.
- 2. **ASK:** The agent queries its knowledge base to decide the best action to take.
- 3. **TELL:** After deciding on an action, the agent updates the knowledge base with this decision.
- 4. **ACT:** The agent performs the chosen action in the environment.

#### **Approaches to Designing Knowledge-Based Agents**

#### 1. Declarative Approach:

- The agent starts with an empty knowledge base and incrementally builds up its knowledge by adding new facts and rules.
- Example: A learning system that is gradually taught how to diagnose diseases by being fed medical cases.

#### 2. Procedural Approach:

- The agent's behavior is directly encoded into the program, focusing on specific actions and procedures.
- Example: A system where the diagnosis process is hard-coded based on predefined steps.

#### Difference Between Logical Systems and Knowledge-Based Systems

**Logical Systems** and **Knowledge-Based Systems (KBS)** are closely related but serve different purposes:

#### 1. Logical Systems:

- o Focus on the theoretical aspects of reasoning using formal logic.
- They use propositional logic, predicate logic, and other logical frameworks to model and derive conclusions.
- o Example: Proving mathematical theorems or verifying software properties.

#### 2. Knowledge-Based Systems:

 Practical applications of logical systems that use specific domain knowledge to solve real-world problems.

- While they use logic for reasoning, their emphasis is on applying knowledge to make decisions or solve problems.
- o Example: Medical expert systems that diagnose illnesses based on symptoms.

#### Conclusion

**Knowledge-Based Agents** are essential in AI for creating systems that can reason, learn, and make decisions based on knowledge about the world. They combine the power of **logical systems** to reason with the ability to store and use domain-specific knowledge. While logical systems provide the foundation for reasoning, knowledge-based systems apply this reasoning to practical, real-world scenarios, making them invaluable in fields like medicine, finance, and customer support.

# **Propositional Logic in Artificial Intelligence**

**Propositional Logic (PL)** is the most basic form of logic used in artificial intelligence (AI) for knowledge representation. It involves the use of propositions, which are simple, declarative statements that can either be true or false. By representing knowledge in logical and mathematical forms, propositional logic helps in the formalization of reasoning processes in AI.

#### What is a Proposition?

A **proposition** is a statement that can be clearly identified as either true or false, but not both. Propositions serve as the foundational elements of propositional logic.

#### **Examples:**

- **True Proposition:** "It is Sunday."
- False Proposition: "The Sun rises in the West."
- **False Proposition:** "3 + 3 = 7."
- True Proposition: "5 is a prime number."

#### **Fundamental Concepts of Propositional Logic**

#### 1. Boolean Logic:

o Propositional logic is also known as **Boolean logic** since it operates on binary values: 0 (false) and 1 (true).

#### 2. Symbols in Propositional Logic:

o In PL, we use symbols like A, B, C, P, Q, R, etc., to represent propositions.

#### 3. Truth Values:

o Each proposition must be either true or false, never both.

#### 4. Elements of Propositional Logic:

 Propositional logic consists of objects (propositions), relations or functions, and logical connectives (also called logical operators).

#### 5. Types of Propositions:

- o Atomic Propositions: Simple, indivisible propositions.
- Compound Propositions: Propositions formed by combining atomic propositions using logical connectives.

#### **Propositional Logic Connectives**

Logical connectives, also known as operators, are used to form compound propositions by combining simpler propositions.

#### 1. Negation ( $\neg$ ):

- o Negates the truth value of a proposition.
- Example: If "P" represents "The sky is blue," then "¬P" represents "The sky is not blue."

#### 2. Conjunction (Λ):

- o Represents the logical AND operation between two propositions.
- **Example:** "John is tall AND John is a doctor" can be represented as "P  $\land$  Q," where P = "John is tall" and Q = "John is a doctor."

#### 3. Disjunction (V):

- o Represents the logical OR operation between two propositions.
- $\circ$  **Example:** "The alarm will ring OR the lights will flash" can be represented as "P V Q," where P = "The alarm will ring" and Q = "The lights will flash."

#### 4. Implication $(\rightarrow)$ :

- o Represents a conditional "if-then" relationship between two propositions.
- Example: "If it rains, then the ground will be wet" can be represented as "P  $\rightarrow$  Q," where P = "It rains" and Q = "The ground is wet."

#### 5. Biconditional $(\Leftrightarrow)$ :

- Represents a bidirectional conditional relationship between two propositions, meaning both are true or both are false.
- Example: "I will go to the party if and only if I finish my work" can be represented as "P ⇔ Q," where P = "I will go to the party" and Q = "I finish my work."

#### **Truth Tables in Propositional Logic**

**Truth tables** are used to determine the truth values of complex propositions based on the truth values of their atomic components. They list all possible combinations of truth values for the atomic propositions and the resulting truth value of the compound proposition.

#### For Negation:

P	⊐P	
True	False	
False	True	

#### For Conjunction:

P	Q	P∧Q
True	True	True
True	False	False
False	True	False
False	False	False

#### For disjunction:

P	Q	PVQ.
True	True	True
False	True	True
True	False	True
False	False	False

#### For Implication:

P	Q	P→ Q
True	True	True
True	False	False
False	True	True
False	False	True

#### For Biconditional:

P	Q	P⇔ Q
True	True	True
True	False	False
False	True	False
False	False	True

#### **Truth Table with Three propositions:**

Р	Q	R	¬R	PvQ	P∨Q→¬R
True	True	True	False	True	False
True	True	False	True	True	True
True	False	True	False	True	False
True	False	False	True	True	True
False	True	True	False	True	False
False	True	False	True	True	True
False	False	True	False	False	True
False	False	False	True	False	True

#### **Precedence of Logical Connectives**

Just like arithmetic operators have precedence, logical operators also follow a specific precedence order:

1. First: Parentheses

2. **Second:** Negation  $(\neg)$ 

3. **Third:** Conjunction ( $\Lambda$ )

4. Fourth: Disjunction (V)

5. **Fifth:** Implication  $(\rightarrow)$ 

6. **Sixth:** Biconditional (⇔)

#### **Example:**

• In the expression  $\neg R \lor Q$ , according to precedence rules, it is interpreted as  $(\neg R) \lor Q$ .

#### Logical Equivalence

Two propositions are said to be **logically equivalent** if they yield the same truth value in every possible scenario. This is typically demonstrated through truth tables.

Let's take two propositions A and B, so for logical equivalence, we can write it as  $A \Leftrightarrow B$ . In below truth table we can see that column for  $\neg A \lor B$  and  $A \rightarrow B$ , are identical hence A is Equivalent to B

Α	В	¬A	¬A∨ B	A→B
T	Т	F	Т	Т
Т	F	F	F	F
F	T	T	Т	Т
F	F	T	Т	T

#### **Properties of Logical Operators**

- o Commutativity:
  - $\circ$  PA Q= QAP, or
  - $\circ$  **PVQ=QVP.**
- o Associativity:
  - $\circ \quad (P \land Q) \land R = P \land (Q \land R),$
  - $\circ \quad (P \lor Q) \lor R = P \lor (Q \lor R)$
- Identity element:
  - $\circ$  P  $\wedge$  True = P,
  - **P V True= True.**
- Distributive:
  - $\circ$  PA (Q V R) = (P A Q) V (P A R).
  - $P \lor (Q \land R) = (P \lor Q) \land (P \lor R).$
- o DE Morgan's Law:
  - $\circ \neg (P \land Q) = (\neg P) \lor (\neg Q)$
  - $\circ$   $\neg (P \lor Q) = (\neg P) \land (\neg Q).$
- Double-negation elimination:
  - $\circ$   $\neg$   $(\neg P) = P$ .

#### **Real-World Examples of Propositional Logic**

- 1. Digital Circuits:
  - The logic used in designing digital circuits, such as AND, OR, and NOT gates, is based on propositional logic. For instance, a simple security alarm system that triggers when either the door or window is opened can be modeled using disjunction

#### **Alarm System Example (Disjunction P ∨ Q):**

- Suppose you have a simple security alarm system, and the alarm should go off
  if either the door (P) or the window (Q) is opened.
- o This scenario can be modeled using the **OR gate** (V in propositional logic), which outputs true if at least one of the inputs is true.

#### Let's assume:

- o **P** is true when the door is open, and false when the door is closed.
- o **Q** is true when the window is open, and false when the window is closed.
- o The alarm (A) logic can be represented as: A=PVQ
- o If **either P** (door is open) **or Q** (window is open) is true, the alarm A will be triggered (output 1).
- The alarm will only remain off if **both P and Q are false** (door and window are both closed).

#### 2. Decision-Making Systems:

 In AI, decision-making processes often rely on rules expressed in propositional logic. For example, a smart thermostat might follow the rule: "If the temperature is below 20°C and someone is at home, turn on the heating" (P Λ Q).

#### 3. Database Queries:

Propositional logic is used in formulating queries in databases. For example, "SELECT \* FROM users WHERE age > 18 AND country = 'USA'' can be represented as PΛQP \land QPΛQ, where P = "age > 18" and Q = "country = 'USA'".

#### **Propositional Logic Constraints**

**Propositional logic** is a branch of logic that deals with propositions, which are declarative statements that can either be true or false. It uses logical connectives like AND, OR, NOT, IMPLIES, and BICONDITIONAL to form complex expressions. While propositional logic is powerful for certain types of reasoning, it has several inherent **constraints** or limitations:

#### 1. Lack of Expressiveness

- No Quantifiers: Propositional logic does not have the capability to express statements involving quantities, such as "for all" (∀) or "there exists" (∃). For example, it cannot directly express "All humans are mortal" or "Some dogs are friendly."
- **No Variables:** Propositional logic cannot handle statements involving variables or functions. Each proposition must be a concrete, specific statement, which limits its ability to represent general rules or patterns.

#### 2. Fixed Interpretation

• **No Predicate Logic:** Propositional logic treats statements as atomic units with no internal structure. It cannot analyze or reason about the components of a statement.

For instance, "The sky is blue" and "The grass is green" are treated as completely unrelated in propositional logic, even though both share a similar structure.

#### 3. Scalability Issues

- Exponential Growth of Formulas: As the number of propositions increases, the number of possible truth assignments grows exponentially. This makes propositional logic computationally expensive for large-scale problems.
- **Difficulty in Managing Complex Systems:** Large systems modeled in propositional logic can become unwieldy and difficult to manage due to the sheer number of propositions and their possible combinations.

#### 4. Binary Nature

- **No Partial Truths:** Propositional logic operates in a binary true/false system. It cannot represent uncertainty, partial truths, or degrees of belief, which limits its applicability in real-world situations where information might be incomplete or ambiguous.
- **No Probabilistic Reasoning:** Propositional logic does not support probabilistic reasoning, meaning it cannot handle situations where outcomes have associated probabilities.

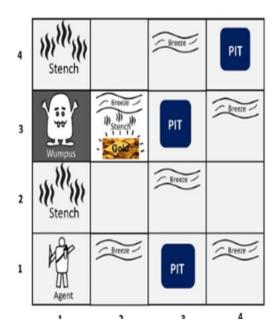
#### 5. Inability to Handle Complex Relationships

• **No Direct Representation of Relationships:** Propositional logic does not easily represent complex relationships between objects or events. It cannot natively handle concepts like "If A happens before B, then C will follow," without explicitly encoding every possible scenario.

#### 6. State Explosion Problem

• Complexity in State Representation: When modeling systems that involve multiple states or conditions, propositional logic can lead to a "state explosion," where the number of possible states becomes too large to manage effectively.

**Wumpus World Representation in Propositional Logic** 



#### 1. Environment Layout and Symbols

- The world is typically represented as a 4x4 grid.
- Each cell in the grid can contain:
  - o A Wumpus (W)
  - o A **Pit** (P)
  - o Gold (G)
  - Safe (no hazards: S)
- The agent perceives the environment based on proximity:
  - Stench (S): The agent perceives a stench in a square adjacent to the Wumpus.
  - o **Breeze** (B): The agent perceives a breeze in a square adjacent to a pit.
  - o **Glitter** (G): The agent perceives glitter if there is gold in the current square.

#### 2. Propositional Symbols

We assign propositional symbols for the following:

- W(x, y): Wumpus is in square (x, y).
- P(x, y): Pit is in square (x, y).
- B(x, y): Breeze is in square (x, y).
- S(x, y): Stench is in square (x, y).
- G(x, y): Gold is in square (x, y).
- OK(x, y): Square (x, y) is safe (no Wumpus, no Pit).

#### 3. Rules of the Game Using Propositional Logic

#### Wumpus and Stench Rules

- A stench is perceived in a room if it is adjacent (north, south, east, or west) to a Wumpus. The
  propositional logic rule for this is:
  - $S(x,y) \Leftrightarrow (W(x-1,y) \vee W(x+1,y) \vee W(x,y-1) \vee W(x,y+1))$
  - This means that the stench in room (x, y) occurs if there is a Wumpus in any adjacent room.

#### Pits and Breeze Rules

- A **breeze** is felt in a room if it is adjacent to a pit. The logic rule for this is similar to the stench rule:
  - $B(x,y) \Leftrightarrow (P(x-1,y) \vee P(x+1,y) \vee P(x,y-1) \vee P(x,y+1))$
  - This means a breeze is felt in room (x, y) if there is a pit in any adjacent room.

#### Safe Square Rule

- A square is safe if there is no Wumpus or Pit in it:
  - $OK(x,y) \Leftrightarrow \neg W(x,y) \land \neg P(x,y)$
  - This ensures that a square is safe to move into if neither a Wumpus nor a pit is in the square.

#### **Wumpus Location Rule**

- · There can only be one Wumpus in the entire world:
  - $W(1,1) \vee W(1,2) \vee ... \vee W(4,4)$
  - $\neg(W(x_1,y_1) \land W(x_2,y_2))$  for all distinct  $(x_1,y_1) \neq (x_2,y_2)$
  - · This ensures that only one cell can contain the Wumpus.

#### Perceptions and Inference Rules

- If there is no breeze in a room, we can infer that none of the adjacent rooms contain a pit:
  - $\neg B(x,y) \Rightarrow \neg P(x-1,y) \land \neg P(x+1,y) \land \neg P(x,y-1) \land \neg P(x,y+1)$
  - . This allows the agent to deduce that adjacent rooms are safe when no breeze is felt.
- If there is no stench in a room, we can infer that none of the adjacent rooms contain the Wumpus:
  - $\neg S(x,y) \Rightarrow \neg W(x-1,y) \land \neg W(x+1,y) \land \neg W(x,y-1) \land \neg W(x,y+1)$
  - This helps the agent eliminate possible locations of the Wumpus.

4.

#### 4. Example of Deduction in the Wumpus World

Let's assume the agent is in square (1,1) and perceives no breeze and no stench.

- Perception:
  - $\neg B(1,1) \land \neg S(1,1)$

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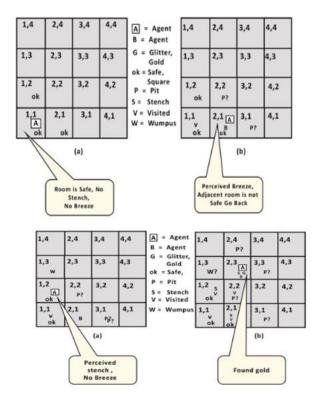
- · Inference from Perception:
  - 1. No Breeze in (1,1):
    - From the rule:  $\neg B(1,1) \Rightarrow \neg P(1,2) \wedge \neg P(2,1)$
    - This means there are no pits in the adjacent squares (1,2) and (2,1).
  - 2. No Stench in (1,1):
    - From the rule:  $\neg S(1,1) \Rightarrow \neg W(1,2) \wedge \neg W(2,1)$
    - This implies there is no Wumpus in the adjacent squares (1,2) and (2,1).
- · Conclusion:
  - The agent can infer that both (1,2) an  $\psi$  ,1) are safe, i.e.,  $OK(1,2) \wedge OK(2,1).$

Now the agent can confidently move into either of these squares because there are no pits or Wumpus based on the lack of breeze and stench.

#### 5. Gold Location and Actions

If the agent perceives glitter in a square, it knows there is gold in that square:

- $G(x,y) \Rightarrow Glitter(x,y)$
- The agent's goal is to find a square where G(x,y) is true, pick up the gold, and exit the grid.



#### **Putting It All Together: An Example Scenario**

Let's assume the agent starts in square (1,1) and perceives the following as it explores:

- 1. In square (1,1), there is no stench and no breeze.
  - $\neg S(1,1) \land \neg B(1,1)$
  - From this, it deduces that (1,2) and (2,1) are safe.
- 2. The agent moves to square (2,1) and perceives a breeze.
  - B(2,1)
  - This means that one of the adjacent squares (2,2) or (3,1) contains a pit.
- 3. The agent moves to square (1,2) and perceives a stench.
  - S(1,2)
  - This means that one of the adjacent  $\langle \Psi \rangle$  res (1,3) or (2,2) contains the Wumpus.

- 4. Based on these perceptions, the agent can reason using propositional logic to deduce that:
  - The Wumpus is likely in (2,2) (since both stench and breeze point toward that square).
  - Square (1,3) is safe (no breeze or stench).

Using propositional logic, the agent can make intelligent moves, avoid hazards, and ultimately achieve its goal of finding gold. This is a simple but powerful demonstration of how **propositional logic** can be applied to navigate and reason in the Wumpus World.

#### First-Order Logic (FOL) in Artificial Intelligence

In **Propositional Logic** (PL), we learned how to represent facts that are either true or false, like "It is raining" or "The sky is blue." However, PL is not powerful enough to express more complex ideas like relationships between objects or more detailed statements. For example, we can't represent sentences like:

- "Some humans are intelligent."
- "Sachin likes cricket."

To represent such statements, we need a more powerful system, which is **First-Order Logic** (**FOL**). FOL, also called **Predicate Logic**, allows us to express relationships between objects and reason about the world more effectively.

#### What is First-Order Logic?

FOL extends propositional logic by adding more expressive tools. It not only deals with simple facts but also assumes that the world contains:

- 1. **Objects**: like people, numbers, colors, cities, animals (e.g., John, the number 3, Mumbai, cats).
- 2. **Relations**: connections between objects (e.g., "is the father of," "is taller than," "is blue").
- 3. **Functions**: mappings from objects to other objects (e.g., "the father of John" returns John's father).

This allows FOL to express statements in a more detailed and complex manner than PL.

#### **Key Parts of First-Order Logic**

FOL has two main components:

- 1. **Syntax**: The rules that define how we write sentences in FOL.
- 2. **Semantics**: The meaning behind these sentences.

Let's break down the **syntax** of FOL.

#### **Basic Elements of FOL**

- 1. **Constants**: These are specific objects like names or numbers (e.g., 1, 2, John, Mumbai, cat).
- 2. Variables: These are placeholders for objects (e.g., x, y, z).
- 3. **Predicates**: These express properties of objects or relationships between them (e.g., Brother(x, y) could mean "x is the brother of y").
- 4. **Functions**: These return a single object (e.g., sqrt(x), which returns the square root of x).
- 5. Connectives: Just like in propositional logic, FOL uses connectives such as:
  - ∘ **∧** (AND)
  - o **V** (OR)
  - o ¬(NOT)
  - $\circ \Rightarrow (IMPLIES)$
  - $\circ \Leftrightarrow (IF AND ONLY IF)$
- 6. **Equality**: FOL includes the equality symbol (==) to express that two objects are the same.
- 7. **Quantifiers**: Quantifiers allow us to say things like "all" or "some" in a logical way. There are two main types:
  - o Universal Quantifier (∀): This means "for all" or "everyone."
  - Existential Quantifier (3): This means "for some" or "at least one."

#### **Types of Sentences in FOL**

- 1. **Atomic Sentences**: These are simple statements like "John is a brother of Ravi," written as:
  - o Brother(John, Ravi)
- 2. **Complex Sentences**: We create more complicated sentences by combining atomic ones with connectives. For example:
  - o **Brother(John, Ravi)**  $\land$  **Brother(Ravi, Ajay)** means "John and Ravi are brothers, and Ravi and Ajay are brothers."

#### **Example:**

- "Chinky is a cat" can be written as:
  - o Cat(Chinky)

#### **Subjects and Predicates**

In FOL, sentences are made up of a **subject** (what we are talking about) and a **predicate** (the property or relation of the subject). For example, in the sentence "John is tall," "John" is the subject, and "is tall" is the predicate.

#### **Quantifiers in First-Order Logic**

Quantifiers help us talk about "all" or "some" objects in a general way. Let's explore the two main types of quantifiers.

#### 1. Universal Quantifier (∀)

- This quantifier refers to **all instances** of something. It is represented by the symbol  $\forall$ , which looks like an upside-down A.
- Example: "All humans are mortal."
  - o In FOL, we can write this as:
    - $\forall x \; Human(x) \Rightarrow Mortal(x)$
  - o This means "for every x, if x is a human, then x is mortal."

#### 2. Existential Quantifier (∃)

- This quantifier refers to **at least one instance** of something. It is represented by the symbol  $\exists$ , which looks like a backward E.
- Example: "Some humans are intelligent."
  - o In FOL, we can write this as:
    - $\exists x \; Human(x) \land Intelligent(x)$
  - This means "there exists at least one x such that x is a human and x is intelligent."

#### **More Examples Using FOL**

- 1. All birds can fly:
  - $\circ \quad \forall x \; Bird(x) \Rightarrow Fly(x)$
  - o This means "for every x, if x is a bird, then x can fly."
- 2. Some students like math:

- $\circ$   $\exists x \, Student(x) \land Likes(x, Math)$
- o This means "there exists at least one x who is a student and likes math."

#### 3. Not all students like both Math and Science:

- $\circ$  ¬∀x Student(x)  $\Rightarrow$  (Likes(x, Math)  $\land$  Likes(x, Science))
- This means "it is not true that every student likes both Math and Science."

#### Free and Bound Variables

In FOL, variables can be **free** or **bound** depending on whether they are inside or outside the scope of a quantifier.

- Free Variable: A variable is free if it is not bound by a quantifier.
  - $\circ$  Example: In P(x, y, z), if there is no quantifier for z, then z is a free variable.
- **Bound Variable**: A variable is bound if it is within the scope of a quantifier.
  - Example: In  $\forall x P(x, y)$ , x is bound by the quantifier  $\forall x$ , but y is free.

#### **Properties of Quantifiers**

- $\forall x \forall y$  is the same as  $\forall y \forall x$ . (Order does not matter in universal quantifiers.)
- $\exists x \exists y \text{ is the same as } \exists y \exists x. \text{ (Order does not matter in existential quantifiers.)}$
- $\exists x \forall y \text{ is not the same as } \forall y \exists x. \text{ (Order matters when mixing universal and existential quantifiers.}$

Here are more examples of **First-Order Logic** (**FOL**) that cover a variety of scenarios and concepts. These examples will help you understand how to represent different statements using FOL.

#### 1. All humans are mortal

We use the universal quantifier because this statement applies to all humans:

- **Statement**: "All humans are mortal."
- FOL Representation:

 $\forall x [Human(x) \Rightarrow Mortal(x)] \land x \setminus \{Human\}(x) \land \{Mortal\}(x)] \forall x [Human(x) \Rightarrow Mortal(x)]$ 

This means: "For all x, if x is a human, then x is mortal."

#### 2. Some animals are mammals

This statement tells us that at least one animal is a mammal, so we use the existential quantifier:

- Statement: "Some animals are mammals."
- FOL Representation:

This means: "There exists at least one x such that x is an animal and x is a mammal."

#### 3. Everyone has a mother

This implies that for every person, there is a mother. So, we need both a universal quantifier for "everyone" and an existential quantifier for "has a mother":

- **Statement**: "Everyone has a mother."
- FOL Representation:

```
\forall x [Person(x) \Rightarrow \exists y Mother(y,x)] \land x \setminus [Person(x) \land Rightarrow \land y \land (Mother)(y,x)] \forall x [Person(x) \Rightarrow \exists y Mother(y,x)]
```

This means: "For every x, if x is a person, then there exists a y such that y is the mother of x."

#### 4. There exists a prime number greater than 10

We want to express that at least one prime number is greater than 10, so we use the existential quantifier:

- **Statement**: "There exists a prime number greater than 10."
- FOL Representation:

```
\exists x [Prime(x) \land x > 10] \land x \land x \land [ \land x \land Prime(x) \land x > 10] \exists x [Prime(x) \land x > 10]
```

This means: "There exists an x such that x is a prime number and x is greater than 10."

#### 5. All cats are animals, but not all animals are cats

This statement has two parts: the first part is universal, and the second part is a negation.

- Statement: "All cats are animals, but not all animals are cats."
- FOL Representation:
  - 1.  $\forall x [Cat(x) \Rightarrow Animal(x)] \land x \ [ \text{Cat}(x) \land Rightarrow \land (Animal)(x)] \forall x [Cat(x) \Rightarrow Animal(x)]$

2.  $\neg \forall x [Animal(x) \Rightarrow Cat(x)] \setminus \{Animal(x) \setminus \{Animal(x) \} \setminus \{Cat\}(x)] \neg \forall x [Animal(x) \Rightarrow Cat(x)]$ 

The first part means: "For all x, if x is a cat, then x is an animal."

The second part means: "It is not true that for all x, if x is an animal, then x is a cat."

#### 6. If a person owns a dog, the dog is loyal to that person

This shows a relationship between a person and a dog, and we can express this using implication.

• Statement: "If a person owns a dog, the dog is loyal to that person."

#### • FOL Representation:

 $\forall x \ \forall y \ [Person(x) \land Dog(y) \land Owns(x,y) \Rightarrow Loyal(y,x)] \land for all \ y \land [lext{Person}(x) \land lext{Dog}(y) \land lext{Owns}(x,y) \land Rightarrow \land \{Loyal\}(y,x)] \forall x \forall y \ [Person(x) \land Dog(y) \land Owns(x,y) \Rightarrow Loyal(y,x)]$ 

This means: "For all x and y, if x is a person, y is a dog, and x owns y, then y is loyal to x."

#### 7. Some students like math, and all students like science

Here, we have two statements: one is existential (some students like math), and one is universal (all students like science):

- Statement: "Some students like math, and all students like science."
- FOL Representation:
  - 1.  $\exists x [Student(x) \land Likes(x,Math)]$
  - 2.  $\forall x [Student(x) \Rightarrow Likes(x,Science)]$

The first part means: "There exists an x such that x is a student and x likes math."

The second part means: "For all x, if x is a student, then x likes science."

#### 8. Every parent loves their children

This represents a relationship where every parent has a loving relationship with their child. We use both universal and existential quantifiers:

- Statement: "Every parent loves their children."
- FOL Representation:

 $\forall x [Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))] \land x \setminus \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land x \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land x \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(y,x) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x) \Rightarrow \exists y (Child(x,y) \land Loves(x,y))\} \land y \in \{Parent(x,y) \land Loves(x,y) \land Lov$ 

```
\exists y \, (\\text{Child}(y, x) \\land \\text{Loves}(x, y))]\forall x[\Parent(x)\Rightarrow \By\)(\Child(y,x)\Loves(x,y))]
```

This means: "For all x, if x is a parent, then there exists a y such that y is the child of x, and x loves y."

#### 9. There is a book that every student must read

This sentence expresses that there is one specific book, and every student must read it:

- **Statement**: "There is a book that every student must read."
- FOL Representation:

This means: "There exists an x such that x is a book, and for every y, if y is a student, then y must read x."

#### 10. No one likes homework

We need to express that no person (no one) likes homework:

- Statement: "No one likes homework."
- FOL Representation:

```
\neg\exists x [Person(x) \land Likes(x, Homework)] \land x [Person(x) \land Likes(x, Homework)] \\ \neg\exists x [Person(x) \land Likes(x, Homework)] \\ \neg\exists x [Person(x) \land Likes(x, Homework)]
```

This means: "It is not true that there exists an x such that x is a person and x likes homework."

#### 11. If a person is a teacher, then they know the subject they teach

This expresses a relationship where being a teacher implies knowledge of the subject:

- Statement: "If a person is a teacher, then they know the subject they teach."
- FOL Representation:

This means: "For all x and y, if x is a teacher and x teaches y, then x knows y."

#### 12. Somebody is always late to class

We need to express that at least one person is always late to class:

- Statement: "Somebody is always late to class."
- FOL Representation:

This means: "There exists an x such that x is a person, and for every y, if y is a class, then x is late to y."

#### 13. All cars have wheels

We use the universal quantifier because this statement applies to all cars:

- Statement: "All cars have wheels."
- FOL Representation:

 $\forall x [Car(x) \Rightarrow \exists y \ Wheel(y) \land PartOf(y,x)] \land x \setminus, [ \ text{Car}(x) \land x \setminus, \ text{Wheel}(y) \land text{PartOf}(y,x)] \forall x [Car(x) \Rightarrow \exists y \ Wheel(y) \land PartOf(y,x)]$ 

This means: "For all x, if x is a car, then there exists a y such that y is a wheel and y is part of x."

Classic Example for first order logic:



# **Block World Problem**

Assume the following operation is available.





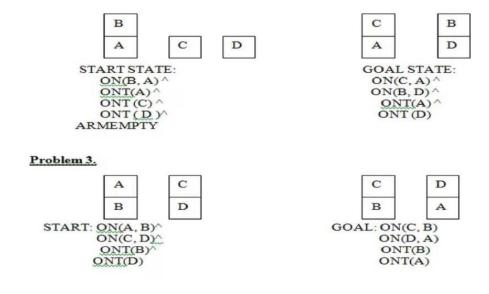
# **Block World Problem(cont..)**

- Soln. There are some actions given. According to that the robot arm that can manipulate the blocks.
- UNSTACK (A, B): Pick block A from its current position on Block B. The arm must be empty and block A must have no blocks on top of it.
  - STACK (A, B): Place block A on block B. The arm must already be holding A and the surface of B must be clear.
  - PICK UP(A): Pickup block A from the table and hold it. The arm must be empty and therefore must be nothing on top of block A.
  - PUT DOWN (A): Put block A down on the table. The arm must have been holding block A.
  - ON (A, B): block A is on block B.
  - ON TABLE (A): block A is on the table.
  - CLEAR(A): There is nothing on the top of block A
  - HOLDING(A): The arm is holding block A.
  - ARM EMPTY: The arm is holding nothing.

There are some constraints for solving the problem. They are: P: Precondition D: Delete O: Operation i. Stack (x, y) P: CLEAR(y) ^ HOLDING(x) O: ON(x, y) ^ ARMEMPTY D: CLEAR(y) ^ HOLDING(x) ii. UNSTACK(x, y)  $P: ON(x, y) \land CLEAR(x) \land ARMEMPTY$ O: HOLDING(x) ^ CLEAR(Y) D: ON(x, y) ^ ARMEMPTY iii. PICKUP(x) P: CLEAR(x) ^ ONTABLE(x) ^ ARMEMPTY O: HOLDING(x) D: ONTABLE(x) ^ ARMEMPTY PUTDOWN(x) iv. P : HOLDING(x) O: ONTABLE(x) ^ ARMEMPTY D: HOLDING(x)

# Solve Following

#### Problem 2.





# Is a Good Solution Absolute or Relative

- Let us consider the example of predicate logic:
- Marcus was a man.
- Marcus was Pompeian.
- Marcus was born in 40 A.D.
- All men are mortal.
- All Pompeian died when the volcano erupted in 79 A.D.
- No mortal lives longer than 150 years.
- It is now 1991 A.D.

Suppose we ask the question "Is Marcus alive". The solution of this problem is:



# Is a Good Solution Absolute or Relative(cont..)

- 1. Marcus was a man.
- 2. All men are mortal.
- 3. Marcus was born in 40 A.D.
- 7. It is now 1991 A.D.
- 9. Marcus's age 1991 year.
- 6. No mortal lives longer than 150 years.
- 10. Marcus was dead.
  - Or (Relative)
- 7. It is now 1991 A.D.
- 5. All Pompeian are dead in 79 A.D.
- 11. All Pompeian are dead now.
- 2. Marcus was a Pompeian.
- 12. Marcus is dead.

# **Inference in First-Order Logic (FOL)**

Inference in First-Order Logic (FOL)

Inference in First-Order Logic (FOL) refers to the process of deriving new facts from existing knowledge using well-defined inference rules. In FOL, we deal with variables, constants, functions, predicates, and quantifiers, which makes the inference more complex than in propositional logic.

Before we dive into the specific inference rules, let's clarify some foundational concepts in FOL.

#### Substitution

Substitution is a critical operation in FOL where variables in a formula are replaced with constants or terms. This allows us to take general statements and apply them to specific instances. The notation F[a/x] means we substitute the constant a for the variable x in formula F.

• Example: Suppose we have P(x): "x is a human". If we substitute x with a specific constant, like "John," we get P(John): "John is a human."

# Equality

Equality is used to assert that two terms refer to the same object. Equality is denoted by the = symbol, and it can also be combined with negation to indicate that two objects are not the same.

- Example 1: Brother(John) = Smith means that John's brother and Smith are the same person.
- Example 2:  $\neg(x=y)$  or  $x \neq y$  means that x and y are not the same.

#### **FOL Inference Rules for Quantifiers**

Quantifiers play a central role in FOL, and the inference rules for quantifiers allow us to derive new statements from general (universal) or existential claims. Here are the key rules:

#### 1. Universal Generalization (UG)

**Universal Generalization (UG)** is a valid inference rule that states if a property holds for an arbitrary element c, we can conclude that the property holds for all elements in the domain. The formal representation is:

$$P(c) \Rightarrow \forall x P(x)$$

This rule is used when we want to generalize a fact from a specific case to all cases in the domain.

• Example: Suppose we know that a specific byte contains 8 bits, i.e.,  $P(Byte_1)$ :

"Byte<sub>1</sub> contains 8 bits.". From this, we can generalize that all bytes contain 8 bits:

$$\forall x P(x)$$
: "All bytes contain 8 bits."

• Condition: For UG to be valid, the variable x must not appear free in any assumption or premise on which P(c) depends.

#### 2. Universal Instantiation (UI)

Universal Instantiation (UI), also known as Universal Elimination, is the reverse of Universal Generalization. It allows us to infer specific instances from universally quantified statements. If we have  $\forall x P(x)$ , we can infer P(c), where c is any specific constant in the domain.

• Formal Representation:

$$\forall x P(x) \Rightarrow P(c)$$

• Example 1: Suppose we know  $\forall x \text{LikesIceCream}(x)$ , meaning "Everyone likes ice cream." Using UI, we can infer:

$$LikesIceCream(John)$$
: "John likes ice cream."

• Example 2: Consider the knowledge base that states: "All greedy kings are evil," written in FOL as:

$$\forall x (\operatorname{King}(x) \wedge \operatorname{Greedy}(x) \to \operatorname{Evil}(x))$$

Using UI, we can infer specific cases:

$$King(John) \wedge Greedy(John) \rightarrow Evil(John)$$

$$King(Richard) \wedge Greedy(Richard) \rightarrow Evil(Richard)$$

These are specific instances derived from the general rule.

#### 3. Existential Instantiation (EI)

**Existential Instantiation (EI)**, also known as **Existential Elimination**, allows us to remove an existential quantifier by introducing a new constant. From  $\exists x P(x)$ , we can infer P(c), where c is a new constant that doesn't already appear in the knowledge base.

Formal Representation:

$$\exists x P(x) \Rightarrow P(c)$$

c must be a new symbol that hasn't been used before in the knowledge base.

• Example: Suppose we know:

$$\exists x (\operatorname{Crown}(x) \land \operatorname{OnHead}(x, \operatorname{John}))$$

Using EI, we can infer that there exists some crown K on John's head:

$$Crown(K) \wedge OnHead(K, John)$$

Here, K is a new constant, called a **Skolem constant**.

 Note: The new knowledge base (KB) is not logically equivalent to the old one, but it remains satisfiable as long as the original KB was satisfiable.

#### 4. Existential Introduction (EI)

**Existential Introduction (EI)**, also called **Existential Generalization**, allows us to introduce an existential quantifier. If we know that a property P(c) holds for some specific element c, we can infer  $\exists x P(x)$ , meaning that there exists an element in the domain that satisfies P(x).

Formal Representation:

$$P(c) \Rightarrow \exists x P(x)$$

Example: Suppose we know:

We can infer:

 $\exists x \text{GoodMarks}(x, \text{English}) : \text{"Someone got good marks in English."}$ 

#### Generalized Modus Ponens (GMP)

In FOL, Generalized Modus Ponens (GMP) is an extension of the propositional Modus Ponens rule, allowing for inference with predicates and substitutions. GMP states that if we know  $P_1(x) \wedge P_2(x) \wedge \ldots \wedge P_n(x) \Rightarrow Q(x)$ , and we have matching facts  $P_1(a), P_2(a), \ldots, P_n(a)$ , we can infer Q(a).

• Formal Representation:

$$(P_1(x), P_2(x), \dots, P_n(x) \Rightarrow Q(x)), (P_1(a), P_2(a), \dots, P_n(a)) \Rightarrow Q(a)$$

• Example: Consider the knowledge base:

$$\forall x (\operatorname{King}(x) \land \operatorname{Greedy}(x) \to \operatorname{Evil}(x))$$

If we know that:

$$King(John) \wedge Greedy(John)$$

We can apply GMP to infer:

Here, we used substitution  $\theta = \{x/\mathrm{John}\}$  to unify the variables and derive the conclusion.

The rule can be summarized as:

• If P(x) o Q(x) and P(a) are true, then we can conclude Q(a).

For atomic sentences  $p_1, p_2, \ldots, p_n$  and q, if there is a substitution  $\theta$  such that  $SUBST(\theta, p'_1) = SUBST(\theta, p_1)$ , we can infer  $SUBST(\theta, q)$ .

- Example: Consider the statement: "All kings who are greedy are evil."
  - $\forall x (\operatorname{King}(x) \wedge \operatorname{Greedy}(x) \to \operatorname{Evil}(x))$  If we know that  $\operatorname{King}(\operatorname{John}) \wedge \operatorname{Greedy}(\operatorname{John})$  is true, we can infer that  $\operatorname{Evil}(\operatorname{John})$ .

This is a case of generalized Modus Ponens, where:

- $p'_1$  is King(John)
- $p_1$  is King(x)
- $p'_2$  is Greedy(John)
- $p_2$  is Greedy(x)
- $\theta$  is  $\{x/\mathrm{John}\}$
- *q* is Evil(*x*)

By substitution, we can infer Evil(John).

# Additional Examples of FOL Inference Rules

#### Universal Generalization Example:

- Given: Student(John) ∧ AtUniversity(John)
- Conclusion: If this holds for an arbitrary student John, we can generalize to  $\forall x (\operatorname{Student}(x) \land \operatorname{AtUniversity}(x))$ , meaning "All students are at the university."

#### Universal Instantiation Example:

- Given:  $\forall x(\operatorname{Bird}(x) \to \operatorname{CanFly}(x))$
- Conclusion: We can infer CanFly(Penguin) if we know Bird(Penguin).

#### Existential Instantiation Example:

- Given:  $\exists x (\text{Treasure}(x) \land \text{InChest}(x))$
- Conclusion: We can infer  $\mathrm{Treasure}(T) \wedge \mathrm{InChest}(T)$  with a new constant T representing the treasure.

#### Existential Introduction Example:

- Given: Likes(John, IceCream)
- Conclusion: We can generalize this to  $\exists x \text{Likes}(John,x)$ , meaning "John likes something."

These inference rules and their application enable us to manipulate and draw new conclusions from knowledge represented in First-Order Logic.

# **Ontological Representations and Their Applications**

**Ontology** in the context of Artificial Intelligence (AI) refers to a structured framework that defines the entities within a domain, the relationships between those entities, and the rules or constraints governing those relationships. Ontologies provide a formal, shared understanding of concepts in a specific area of interest, allowing systems and humans to communicate more effectively.

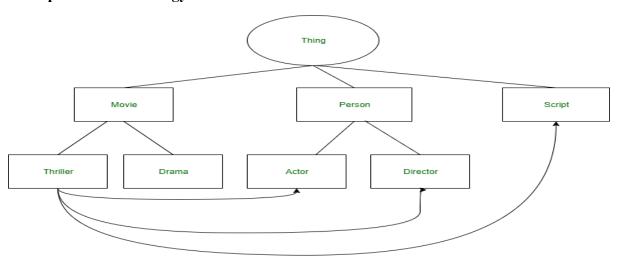
Ontologies are crucial in AI because they offer a **common vocabulary** for different systems, enable **knowledge sharing**, and facilitate **reasoning**. Let's break down the core concepts and explore their significance in AI, accompanied by examples to deepen understanding.

#### **Key Components of Ontologies**

Ontologies are built using several core components:

- Individuals (Instances): These are the basic, atomic units in an ontology representing specific entities. For example, "Titanic" is an individual instance of the Movie class.
- Classes (Concepts): These are groups or collections of objects sharing common characteristics. In a movie ontology, Action and Comedy are examples of classes.
- Attributes (Properties): These describe characteristics or features of classes. For instance, a movie might have attributes such as release date, director, and budget.
- **Relations**: These describe the ways in which different entities are connected. For example, "A director directs a movie" is a relationship that defines how directors are linked to movies.

**Example: Movie Ontology** 



In a movie ontology, we can represent entities like:

- Individuals: Specific movies like "Titanic", directors like James Cameron, and actors like Leonardo DiCaprio.
- Classes: Categories such as **movie genres** (Thriller, Drama) and **roles** (Actor, Director).

- Attributes: Properties such as a movie's script, cast, or runtime.
- Relations: How concepts relate, e.g., a movie has actors or a director directs a movie.

This structure makes it easy for systems to categorize, retrieve, and analyze information about movies.

# 1. Concepts (Classes) and Individuals

At the heart of an ontology are **concepts**, also known as **classes**. Concepts represent a category or a type of object within a domain, while **individuals** (or instances) represent specific occurrences of those concepts.

# • Example:

- In a medical ontology, there might be a concept called "Disease". The
  individual instances could be specific diseases like "COVID-19", "Malaria",
  etc.
- o In an e-commerce ontology, **Product** could be a concept, and **iPhone 12** could be an individual instance of the **Product** class.

Concepts are usually organized in a **hierarchical structure** called a **taxonomy** or a **class hierarchy**. This structure allows for reasoning about generalizations and specializations.

• Example: In a biological ontology, Mammals is a concept, and under this concept, we can have sub-concepts like Humans, Dogs, and Whales. The hierarchy helps us understand that "Humans are mammals," and "All mammals are animals."

#### 2. Relationships (Properties)

Ontologies also define **relationships** between concepts and individuals. These relationships, known as **properties** (or **predicates**), describe how entities are connected.

There are two main types of relationships:

- Object Properties: Define relationships between individuals.
- **Data Properties**: Relate individuals to data values (e.g., strings, numbers).
- Example 1 (Object Property):

 In a university ontology, we might define a relationship "teaches" between the concepts Professor and Course. For instance, the individual Professor Smith could be linked to the individual CS101 by the relationship "teaches".

### • Example 2 (Data Property):

o In a movie ontology, an individual "The Matrix" (a movie) might have a data property "hasReleaseDate" with the value "1999".

Relationships can also have attributes like **transitivity**, **symmetry**, or **inverse**. For instance, if **A** is the parent of **B**, then **B** is the child of **A** (inverse relationship).

#### 3. Hierarchy and Inheritance

In ontologies, entities are often organized hierarchically. Higher-level classes (parent classes) have more general concepts, while lower-level classes (child classes) are more specific. In **inheritance**, child classes inherit all the properties of their parent class.

### • Example:

o In an **animal ontology**, the class **Bird** might inherit properties from a higher class, **Animal**. Therefore, if **Animal** has the property "hasLife", then every instance of **Bird** will automatically inherit this property. This structure allows ontologies to represent common characteristics in a systematic way.

Inheritance in ontologies enables reasoning, such that if we know something applies to a higher-level class, we can infer it applies to its subclasses.

#### 4. Constraints and Axioms

Ontologies are not just about defining concepts and relationships; they also define **rules and constraints** (often called **axioms**) that specify the logic behind how these concepts and relationships can interact.

Axioms constrain the relationships and properties of the ontology, making sure the defined concepts behave in ways consistent with real-world knowledge.

#### • Example:

In an employment ontology, we might define a rule that "Employees cannot manage themselves." This ensures that no individual instance of an Employee has a relationship where they both manage and are managed by themselves.

 Another example could be defining that "Humans can only have two biological parents," which ensures that the ontology will reject any instance where an individual has more than two parents.

By enforcing these kinds of constraints, ontologies help to maintain the integrity of data and prevent contradictions.

#### **5. Reasoning with Ontologies**

One of the most powerful applications of ontologies in AI is **automated reasoning**. Ontologies are used with **reasoners**, which are systems that infer new information based on the defined rules and relationships in the ontology.

**Reasoning** allows us to derive implicit knowledge from explicit facts. A reasoner can help validate the consistency of an ontology, infer new knowledge, or detect contradictions.

#### • Example:

- o In a medical ontology, suppose we know that "COVID-19 is a viral disease", and that "viral diseases are contagious". Even if the ontology doesn't explicitly state that COVID-19 is contagious, the reasoner can infer this fact based on the relationships between concepts.
- o In an e-commerce ontology, if we know that "Laptops are a subclass of Electronics", and the reasoner encounters an individual "MacBook Pro" categorized as a Laptop, it can automatically infer that MacBook Pro is also an Electronic.

Reasoning enhances AI applications by enabling knowledge discovery, error checking, and ensuring that knowledge bases are logically coherent

# **Applications of Ontologies in AI**

Ontologies are applied across various domains in AI to improve knowledge representation, reasoning, and communication between systems. Here are a few prominent examples:

#### • Healthcare and Medicine:

- Ontologies such as the SNOMED CT (Systematized Nomenclature of Medicine—Clinical Terms) are used to standardize terminology in medical records, ensuring that healthcare providers across different institutions can share and understand the same data.
- AI systems use medical ontologies to make clinical decision support systems more effective by reasoning about patient symptoms, diagnoses, and treatments.

#### • The Semantic Web:

The Semantic Web is an extension of the World Wide Web that uses ontologies (e.g., OWL, RDF) to represent information in a structured, machine-readable format. Ontologies allow search engines and AI systems to understand the relationships between web pages, enabling more accurate and context-aware searches.

#### • Robotics:

Ontologies are used in **robotics** to model the environment in which a robot operates. A robot's ontology might include concepts like **obstacles**, **routes**, and **tasks**. This helps the robot make decisions autonomously, such as avoiding obstacles or determining the most efficient path to complete a task.

#### • Knowledge Management Systems:

 In enterprises, ontologies are used in knowledge management systems to organize information across departments. This enables intelligent search capabilities, where AI can infer relationships between data, improving decision-making.

# **Overview and Definition of Uncertainty**

Uncertainty refers to the lack of complete certainty about the outcomes or states of a system, environment, or process. It represents a situation in which the available information is incomplete, imprecise, or unknown, making it difficult to predict future events or make accurate decisions. Uncertainty arises naturally in many fields, including science, economics, engineering, and artificial intelligence (AI), and must be managed through various methods.

In AI and other technical domains, uncertainty can come from different sources, including:

- **Incomplete Knowledge**: We may not have access to all the necessary information.
- Noisy Data: The data might contain errors, making it less reliable.
- **Ambiguity**: Some concepts or situations might be open to interpretation, leading to multiple possible outcomes.

#### **Types of Uncertainty**

#### 1. Aleatoric (Stochastic) Uncertainty:

 This type of uncertainty is due to inherent randomness in the system or process. • **Example**: Rolling a die has inherent uncertainty because the outcome is probabilistic (each number has a 1/6 chance).

# 2. Epistemic (Systematic) Uncertainty:

- o This arises due to lack of knowledge or incomplete understanding of the system. With more information, this uncertainty can be reduced.
- **Example**: A doctor diagnosing a patient may be uncertain about the illness due to insufficient test results, but further tests can reduce this uncertainty.

# 3. Ontological Uncertainty:

- o This arises from unknown unknowns, or the uncertainty about whether a certain phenomenon even exists.
- **Example**: In scientific research, discovering new particles or phenomena leads to uncertainty until they are well understood and confirmed.

#### **Sources of Uncertainty**

- 1. **Measurement Errors**: The tools or methods used to gather data might not be accurate or precise.
  - Example: Temperature readings from different devices may vary slightly due to calibration issues.
- 2. **Modelling Assumptions**: When building models to represent systems, assumptions or simplifications often introduce uncertainty.
  - Example: A weather prediction model may assume constant atmospheric conditions, but in reality, these conditions change.
- 3. Variability in Data: Data collected from real-world processes can have natural variation, leading to uncertainty in outcomes.
  - Example: Stock market prices fluctuate due to numerous unpredictable factors, such as political events or sudden changes in consumer behavior.
- 4. **Human Decision Making**: In situations where decisions are made by humans, subjective biases or incomplete knowledge can contribute to uncertainty.
  - o **Example**: A jury's decision in a legal case can be influenced by incomplete evidence or emotional reactions.

# Management of Uncertainty in Artificial Intelligence (AI)

In AI, managing uncertainty is crucial for systems that operate in dynamic or unpredictable environments. AI techniques, such as **probabilistic reasoning**, **fuzzy logic**, and **Bayesian** 

**networks**, are often employed to handle uncertainty. These methods allow systems to make decisions even when the information is incomplete or ambiguous.

- **Probabilistic Reasoning**: AI systems use probabilities to model uncertainty, predicting the likelihood of different outcomes.
  - **Example**: A self-driving car may predict the likelihood that a pedestrian will cross the road, even if it's unsure of the pedestrian's intent.
- **Fuzzy Logic**: This deals with reasoning that is approximate rather than fixed or exact, which helps handle ambiguity.
  - Example: A thermostat that adjusts the temperature based on fuzzy logic can handle uncertain input like "slightly warm" or "very hot."
- **Bayesian Networks**: These are graphical models that use probabilities to represent relationships between variables. They are used to make inferences under uncertainty.
  - **Example**: In medical diagnosis, a Bayesian network can infer the probability of a disease given uncertain or incomplete symptoms.

# **Bayes' Rule Inference:**

Bayes' Rule (or **Bayes' Theorem**) is a fundamental concept in probability theory, widely used for inference in statistics, machine learning, and artificial intelligence. It helps us **update our beliefs** based on new evidence, essentially allowing us to calculate the probability of an event given some prior knowledge.

Let's break it down in a simple, intuitive way with examples.

#### The Formula of Bayes' Rule

Bayes' Rule is mathematically expressed as:

$$P(A|B) = \frac{P(B|A) \cdot P(A)}{P(B)}$$

#### Where:

- P(A|B) = The probability of event **A** happening, given that **B** is true (also known as the **posterior probability**).
- P(B|A) = The probability of event **B** happening, given that **A** is true (known as the **likelihood**).
- P(A) = The probability of event A (the prior probability, our initial belief).
- **P(B)** = The probability of event **B** (often called the **marginal likelihood** or just the **evidence**).

### **Intuitive Explanation**

- **Prior**: What we initially believe about an event before seeing any evidence.
- **Likelihood**: How likely the evidence is, assuming the event occurs.
- **Posterior**: Updated belief after seeing the new evidence.

Bayes' Rule shows how to adjust your initial belief (**P(A)**) when new evidence (**B**) comes in.

# Simple Example 1: Diagnosing a Disease

Imagine there's a rare disease that affects 1% of a population. There's a test for this disease that is 95% accurate when someone has the disease (i.e., it correctly identifies 95% of the people with the disease). However, the test also gives a **false positive** in 5% of cases when a person **does not** have the disease.

Let's calculate the probability that someone **actually has the disease**, given that they tested positive. This is where Bayes' Rule comes in.

- P(Disease) = 0.01 (1% of people have the disease).
- **P(Test Positive | Disease) = 0.95** (Test is 95% accurate for people who have the disease).
- P(Test Positive | No Disease) = 0.05 (Test gives a false positive 5% of the time).
- **P(No Disease)** = **0.99** (99% of people don't have the disease).

Using Bayes' Rule, we want to find:

$$P(Disease|TestPositive) = \frac{P(TestPositive|Disease) \cdot P(Disease)}{P(TestPositive)}$$

Where:

$$P(TestPositive) = P(TestPositive|Disease) \cdot P(Disease) + P(TestPositive|NoDi)$$

So, plugging in the numbers:

$$P(TestPositive) = (0.95 \times 0.01) + (0.05 \times 0.99) = 0.0095 + 0.0495 = 0.059$$

Now we apply Bayes' Rule:

$$P(Disease|TestPositive) = \frac{0.95 \times 0.01}{0.059} = \frac{0.0095}{0.059} \approx 0.161$$

**Result**: Even after testing positive, the chance of actually having the disease is **16.1%**, not 95%. This shows how even a small false positive rate can drastically affect outcomes in rare conditions.

### **Example 2: Email Spam Detection**

Consider that your email program uses Bayes' Rule to detect if an email is spam. Suppose:

- P(Spam) = 0.2 (20% of emails are spam).
- **P("Win a prize" | Spam) = 0.7** (70% of spam emails contain the phrase "Win a prize").
- P("Win a prize" | Not Spam) = 0.01 (Only 1% of non-spam emails contain "Win a prize").
- P(Not Spam) = 0.8 (80% of emails are not spam).

You receive an email with the subject "Win a prize". What's the probability that this email is spam?

We use Bayes' Rule to calculate P(Spam | "Win a prize"):

$$P(Spam|"Winaprize") = \frac{P("Winaprize"|Spam) \cdot P(Spam)}{P("Winaprize")}$$

Where:

$$P("Winaprize") = P("Winaprize"|Spam) \cdot P(Spam) + P("Winaprize"|NotSpam) \cdot P(Spam) \cdot$$

Plugging in the numbers:

$$P("Winaprize") = (0.7 \times 0.2) + (0.01 \times 0.8) = 0.14 + 0.008 = 0.148$$

Now, applying Bayes' Rule:

$$P(Spam|"Winaprize") = \frac{0.7 \times 0.2}{0.148} = \frac{0.14}{0.148} \approx 0.946$$

Result: There's a 94.6% chance that the email is spam if it contains the phrase "Win a prize".

Result: There's a 94.6% chance that the email is spam if it contains the phrase "Win a prize".

# **Example 3: Weather Forecast**

Let's say you want to figure out the probability that it will rain, given that the sky is cloudy. You know the following:

- P(Rain) = 0.3 (There's a 30% chance of rain today).
- P(Cloudy | Rain) = 0.8 (When it rains, it's cloudy 80% of the time).
- P(Cloudy | No Rain) = 0.2 (When it doesn't rain, it's cloudy only 20% of the time).
- P(No Rain) = 0.7 (70% chance of no rain).

We want to find **P(Rain | Cloudy)**, the probability of rain given that it's cloudy.

We want to find P(Rain | Cloudy), the probability of rain given that it's cloudy.

Using Bayes' Rule:

$$P(Rain|Cloudy) = \frac{P(Cloudy|Rain) \cdot P(Rain)}{P(Cloudy)}$$

Where:

$$P(Cloudy) = P(Cloudy|Rain) \cdot P(Rain) + P(Cloudy|NoRain) \cdot P(NoRain)$$

Substitute the values:

$$P(Cloudy) = (0.8 \times 0.3) + (0.2 \times 0.7) = 0.24 + 0.14 = 0.38$$

Now apply Bayes' Rule:

$$P(Rain|Cloudy) = \frac{0.8 \times 0.3}{0.38} = \frac{0.24}{0.38} \approx 0.63$$

Result: If it's cloudy, there's a 63% chance it will rain.

Result: If it's cloudy, there's a 63% chance it will rain.

Question: what is the probability that a patient has diseases meningitis with a stiff neck? Given Data:

A doctor is aware that disease meningitis causes a patient to have a stiff neck, and it occurs 80% of the time. He is also aware of some more facts, which are given as follows:

- o The Known probability that a patient has meningitis disease is 1/30,000.
- o The Known probability that a patient has a stiff neck is 2%.

Let a be the proposition that patient has stiff neck and b be the proposition that patient has meningitis., so we can calculate the following as:

$$P(a|b) = 0.8$$

$$P(b) = 1/30000$$

$$P(a) = .02$$

$$P(b|a) = \frac{P(a|b)P(b)}{P(a)} = \frac{0.8*(\frac{1}{30000})}{0.02} = 0.001333333.$$

Hence, we can assume that 1 patient out of 750 patients has meningitis disease with a stiff neck.

Question: From a standard deck of playing cards, a single card is drawn. The probability that the card is king is 4/52, then calculate posterior probability P(King|Face), which means the drawn face card is a king card.

#### **Solution:**

$$P(king | face) = \frac{P(Face | king) * P(King)}{P(Face)} .....(i)$$

P(king): probability that the card is King= 4/52 = 1/13

P(face): probability that a card is a face card= 3/13

P(Face|King): probability of face card when we assume it is a king = 1

Putting all values in equation (i) we will get:

P(king|face) = 
$$\frac{1*(\frac{1}{13})}{(\frac{3}{13})}$$
 = 1/3, it is a probability that a face card is a king card.

### Application of Bayes' theorem in Artificial intelligence:

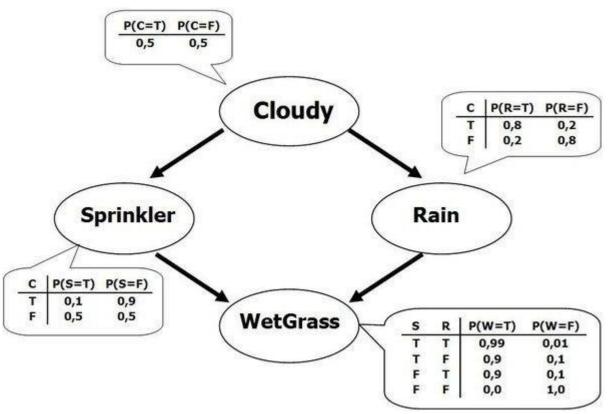
# Following are some applications of Bayes' theorem:

- It is used to calculate the next step of the robot when the already executed step is given.
- o Bayes' theorem is helpful in weather forecasting.
- o It can solve the Monty Hall problem.

#### **Bayesian Belief Networks in Artificial Intelligence**

A **Bayesian Belief Network** is a powerful tool in computer technology used to handle events that involve uncertainty. It helps solve problems where not everything is known for sure. Let's break down what a Bayesian network is and how it works, using simple examples to make it easier to understand.

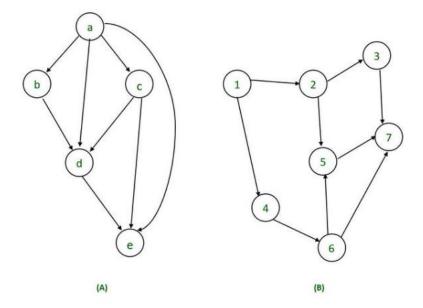
#### What is a Bayesian Network?



A Bayesian network is a type of probabilistic graphical model. This means it uses probabilities to represent and reason about uncertain information. It consists of:

- Variables (Nodes): These can represent anything that might change, like weather conditions or test scores. Each variable can be either continuous (like height) or discrete (like yes/no answers).
- 2. **Conditional Dependencies (Arcs):** These are directed arrows that show how one variable affects another. If there's an arrow from Variable A to Variable B, it means A directly influences B.

A Bayesian network is visualized as a **Directed Acyclic Graph (DAG)**, which is a fancy way of saying it's a network of nodes connected by arrows that don't form any loops.



# Why Use Bayesian Networks?

Bayesian networks are useful because they:

- **Handle Uncertainty:** They work with situations where not everything is known for sure.
- Model Relationships: They show how different variables are related to each other.
- **Predict Outcomes:** They can predict the likelihood of different outcomes based on the relationships between variables.

# **Real-World Applications**

Bayesian networks are used in various fields, such as:

- **Medicine:** To diagnose diseases based on symptoms.
- Finance: To predict stock prices or assess risks.
- Engineering: For fault detection in systems.
- Artificial Intelligence: To make decisions under uncertainty.

# **Components of a Bayesian Network**

A Bayesian network has two main parts:

- 1. **Directed Acyclic Graph (DAG):** This shows the structure of the network with nodes and arrows.
- 2. Conditional Probability Tables (CPTs): These tables contain the actual numbers that describe the probabilities of each variable given its parents in the graph.

#### **Understanding the Structure**

- **Nodes:** Each node represents a random variable. For example, in a weather network, nodes might include "Rain," "Sprinkler," and "Wet Grass."
- **Arrows (Arcs):** Arrows show the direction of influence. If "Rain" affects "Wet Grass," there is an arrow from "Rain" to "Wet Grass."

If two nodes are not connected by an arrow, they are considered **independent** of each other.

#### **Example: Monty Hall Problem**

Let's revisit the Monty Hall problem to see how a Bayesian network can be applied:

#### 1. Variables (Nodes):

- o **Guest:** The door initially chosen by the guest.
- o **Prize:** The door hiding the prize.
- o **Monty:** The door Monty opens to reveal a goat.

# 2. Conditional Dependencies (Arcs):

o Guest and Prize both influence Monty's choice of door.

#### 3. Conditional Probability Tables (CPTs):

- o Guest and Prize have equal probabilities for each door.
- o Monty's choices depend on the guest's choice and the prize location.

By setting up these relationships, we can calculate the probabilities of different outcomes, such as the probability of winning if the guest decides to switch doors.

### Joint Probability Distribution

A joint probability distribution shows the probability of different combinations of variables happening together. For example, if we have three variables  $X_1, X_2, X_3$ , the joint probability distribution would include probabilities like  $P(X_1, X_2, X_3)$ .

In a Bayesian network, the joint probability distribution can be broken down into simpler parts using the network's structure:

$$P(X_1, X_2, ..., X_n) = P(X_1|X_2, ..., X_n) \times P(X_2|X_3, ..., X_n) \times ... \times P(X_{n-1}|X_n) \times P(X_n)$$

For any variable  $X_i$ :

$$P(X_i|X_{i-1},\ldots,X_1) = P(X_i|\operatorname{Parents}(X_i))$$

This means the probability of  $X_i$  depends only on its direct parents in the network, not on all previous variables.

# **Example: Burglary Alarm**

Let's go through an example to make things clearer.

**Scenario:** Harry has a burglar alarm that can be triggered by a burglary or a minor earthquake. His neighbors, David and Sophia, call him when they hear the alarm. However:

- **David** always calls when he hears the alarm but sometimes gets confused by other sounds.
- Sophia sometimes misses the alarm because she listens to loud music.

**Goal:** Calculate the probability that the alarm has sounded, but there is neither a burglary nor an earthquake, and both David and Sophia called Harry.

# **Bayesian Network Structure:**

- Burglary (B) and Earthquake (E) influence the Alarm (A).
- Alarm (A) influences David Calls (D) and Sophia Calls (S).

# **Conditional Probability Tables (CPTs):**

- 1. Burglary (B):
  - o P (B=True)=0.002
  - $\circ$  P(B=False) =0.998
- 2. Earthquake (E):
  - $\circ$  P(E=True) =0.001
  - o P(E=False)=0.999

#### 3. Alarm (A) given B and E:

В	E	P(A=True)	P(A=False)
True	True	0.94	0.06
True	False	0.95	0.04
False	True	0.31	0.69
False	False	0.001	0.999

#### 4. David Calls (D) given A:

A	P(D=True)	P(D=False)
True	0.91	0.09
False	0.05	0.95

#### 5. Sophia Calls (S) given A:

A	P(S=True)	P(S=False)
True	0.75	0.25
False	0.02	0.98

#### Calculating the Probability:

We want to find:

$$P(D, S, A, \neg B, \neg E)$$

Using the joint probability distribution formula:

$$P(D, S, A, \neg B, \neg E) = P(S|A) \times P(D|A) \times P(A|\neg B, \neg E) \times P(\neg B) \times P(\neg E)$$

Plugging in the values from the CPTs:

$$P(S, D, A, \neg B, \neg E) = 0.75 \times 0.91 \times 0.001 \times 0.998 \times 0.999 = 0.00068045$$

This means there's a 0.068% chance that the alarm sounded without a burglary or earthquake, and both David and Sophia called Harry.

# **Semantics of Bayesian Networks**

Understanding Bayesian networks can be approached in two ways:

# 1. Representation of Joint Probability Distribution:

 Helps in constructing the network by showing how variables combine to form the overall probability.

# 2. Encoding of Conditional Independence Statements:

o Helps in designing efficient methods for making inferences, as it shows which variables are independent of each other given certain conditions.

# Example 3:

Let's use a more relatable example : Deciding Whether to Bring an Umbrella

#### Variables:

- Rain (R): Is it raining?
- Cloudy (C): Is it cloudy?
- Bring Umbrella (U): Do you bring an umbrella?

# **Bayesian Network Structure:**

- Cloudy (C) influences Rain (R).
- Rain (R) influences Bring Umbrella (U).

# **Conditional Probability Tables (CPTs):**

- 1. Cloudy (C):
  - P(C = True) = 0.3
  - P(C = False) = 0.7
- 2. Rain (R) given C:

С	P(R=True)	P(R=False)
True	0.8	0.2
False	0.1	0.9

3. Bring Umbrella (U) given R:

R	P(U=True)	P(U=False)
True	0.9	0.1
False	0.2	0.8

Question: What is the probability that you bring an umbrella?

#### Calculation:

To find P(U=True), we consider all possible ways it can happen:

$$P(U = True) = P(U = True | R = True) \times P(R = True) + P(U = True | R = False) \times P(R = False)$$

But P(R = True) and P(R = False) depend on whether it is cloudy:

$$P(R = True) = P(R = True|C = True) \times P(C = True) + P(R = True|C = False) \times P(C = False) P(R = True) = 0.8 \times 0.3 + 0.1 \times 0.7 = 0.24 + 0.07 = 0.31$$
  
 $P(R = False) = 1 - P(R = True) = 0.69$ 

Now, calculate P(U = True):

$$P(U = True) = 0.9 \times 0.31 + 0.2 \times 0.69 = 0.279 + 0.138 = 0.417$$

So, there is a 41.7% chance that you will bring an umbrella.

### **Summary**

A **Bayesian Belief Network** is a visual and mathematical way to represent uncertain information and the relationships between different variables. By using nodes for variables and arrows to show dependencies, it allows us to calculate the probabilities of different outcomes. Whether you're solving the Monty Hall problem or deciding whether to bring an umbrella, Bayesian networks provide a structured approach to making informed decisions under uncertainty.

#### **Key Points to Remember**

- Bayesian Networks use Directed Acyclic Graphs (DAGs) to represent variables and their dependencies.
- Each **node** represents a random variable, and **arrows** show how one variable influences another.
- Conditional Probability Tables (CPTs) define the probability of each variable given its parents.
- **Joint Probability Distribution** allows calculating the probability of multiple events happening together.
- Bayesian networks are used in many real-world applications to make predictions and decisions under uncertainty.

#### **Utility-Based Agents in AI**

Last Updated: 30 Jul, 2024

Artificial Intelligence (AI) has seen rapid growth in recent years, with various intelligent agents being developed to solve complex problems. Utility-based agents stand out for their ability to make rational decisions using a utility function, enabling them to optimize their performance by maximizing utility.

This article explores the concept of utility-based agents in AI.

### What is Utility Theory?

Utility theory is a core concept in economics and decision-making, providing a framework for understanding how individuals make choices under uncertainty. The objective is not only to achieve a goal but to do so in the best possible manner. People assign a value to the outcomes of their decisions, reflecting how satisfied or happy they are with the result. The goal is to maximize the expected value, calculated as the average value of all potential outcomes, considering their probabilities.

### **Rational Decision-Making**

Rational decision-making involves choosing the option that maximizes the agent's expected utility, or the best possible outcome. In AI, a rational agent selects the action that leads to the most favorable result, given its knowledge and potential future environmental states. A utility function helps the agent determine which option is likely to yield the best outcome.

# What Are Utility-Based Agents?

Utility-based agents are a type of AI that make decisions based on a utility function, which measures the degree of satisfaction or utility associated with different outcomes. Unlike simpler agents that react to stimuli or follow predefined goals, utility-based agents evaluate multiple actions and select the one that maximizes their utility.

# **Components of Utility-Based Agents**

# 1. Utility Function

- o **Definition**: A mathematical representation of the agent's preferences, assigning a utility value to each possible outcome.
- Purpose: Quantifies preferences, allowing the agent to compare states and choose actions that maximize utility. For example, in an autonomous vehicle, the utility function might consider safety, speed, fuel efficiency, and passenger comfort.

# 2. State Space

- o **Definition**: The set of all possible states the agent can be in.
- Purpose: Defines the environment in which the agent operates. Understanding all possible states helps the agent predict the consequences of its actions and plan accordingly.

#### 3. Actions

 Definition: The set of all possible operations or maneuvers the agent can take to transition between states. o **Purpose**: Allows the agent to interact with the environment and move toward states with higher utility.

#### 4. Transition Model

- o **Definition**: A function that defines the probability of transitioning from one state to another after an action.
- Purpose: Helps the agent predict the outcomes of its actions, allowing for more informed decisions to maximize utility.

# Step-by-Step Decision-Making Process in Utility-Based Agents

- 1. **Perceive the Environment**: The agent gathers information about its current state.
- 2. Generate Possible Actions: The agent identifies all possible actions it can take.
- 3. **Predict Outcomes**: Using the transition model, the agent predicts the results of each action.
- 4. **Evaluate Utility**: The agent calculates the utility for each predicted outcome.
- 5. **Select the Optimal Action**: The agent chooses the action that leads to the highest utility.
- 6. **Act and Observe**: The agent performs the selected action and observes the new state.
- 7. **Learn and Adapt**: The agent updates its utility function or transition model to improve future decision-making.

#### **Example: Utility-Based Agents in Intelligent Home Energy Systems**

A utility-based agent managing a home energy system might aim to minimize energy costs, maximize comfort, and reduce the carbon footprint.

- Perceive: The agent collects data on temperature, energy use, and occupancy.
- **Generate Actions**: Possible actions include adjusting the thermostat or switching energy sources.
- **Predict Outcomes**: The agent forecasts the results of adjusting the thermostat or using solar power.
- **Evaluate Utility**: Utility might increase with a comfortable temperature but decrease with higher energy costs.
- **Select Action**: The agent chooses the action that maximizes overall utility, such as turning off unnecessary appliances and switching to solar power.

# **Applications of Utility-Based Agents in AI**

• **Robotics**: Used to optimize performance in tasks like control and communication.

- **Healthcare**: Assist in treatment planning and personalized care by maximizing utility for better patient outcomes.
- **Autonomous Vehicles**: Make decisions related to navigation, obstacle avoidance, and route planning for safe and efficient travel.
- **Game Playing**: Used to make strategic decisions that maximize performance and ensure victory.

#### Conclusion

Utility-based agents represent a powerful method for designing intelligent systems capable of rational decision-making. By maximizing utility, these agents can achieve goals across various applications. Understanding utility theory and optimization methods is key to building efficient utility-based agents, which will continue to play a critical role in AI and machine learning advancements

#### **Decision Networks in AI**

Last Updated: 28 May, 2024

Decision networks, also known as influence diagrams, are essential tools in artificial intelligence, offering a structured approach for making decisions under uncertainty. These graphical models combine decision theory with probability, allowing AI systems to systematically evaluate different actions and their potential outcomes. This article delves into the components, structure, and applications of decision networks in AI.

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- What is a Decision Network?
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- Maximum Expected Utility
- No-Forgetting Agent and Decision Network
- Evaluating Decision Networks
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- Conclusion

#### What is a Decision Network?

Decision networks are graphical models designed to represent and solve decision-making problems. They build on Bayesian networks by incorporating decision and utility nodes, allowing for a thorough analysis of decision scenarios.

#### **Components of Decision Networks**

A decision network is composed of three types of nodes:

- 1. **Chance Nodes**: Represent random variables and their possible outcomes, capturing the uncertainty involved in decision-making.
- 2. **Decision Nodes**: Represent the choices available to the decision-maker.
- 3. **Utility Nodes**: Represent the utility or value of outcomes, aiding in the evaluation and comparison of various decision paths.

#### **Example of a Decision Network**

Consider a medical diagnosis scenario where a doctor must decide whether to order a test for a patient based on the likelihood of a disease and the test's cost. The decision network for this scenario might include:

- Chance Nodes: Disease presence (Yes/No), Test result (Positive/Negative)
- **Decision Node**: Order test (Yes/No)
- Utility Node: Patient health outcome and associated costs

The doctor can use the decision network to assess the expected utility of ordering the test versus not ordering it, factoring in the probabilities of disease and test results alongside the utility values of different outcomes.

#### **Structure of Decision Networks**

Decision networks are typically represented as directed acyclic graphs (DAGs), where:

• Arcs (Edges): Indicate the relationships between nodes. Arcs leading to chance nodes indicate dependencies between random variables, those leading to decision nodes indicate information available at the time of decision, and arcs leading to utility nodes represent factors influencing the utility.

# Representing a Decision Problem with a Decision Network

To represent a decision problem with a decision network, you start by constructing a graphical model that captures the relationships between random variables, decision variables, and utility functions. Nodes represent these elements, and directed arcs illustrate dependencies.

- Arcs to Decision Nodes: Represent information available when the decision is made.
- Arcs to Chance Nodes: Represent probabilistic dependencies.

• Arcs to Utility Nodes: Represent factors that influence the utility.

#### How to Structure a Decision Network?

Follow these key steps to structure a decision network:

- 1. **Define Variables and Functions**: Identify random variables, decision variables, and utility functions that are crucial for the decision problem.
- 2. **Node Representation**: Represent random variables as chance nodes, decision variables as decision nodes, and utility functions as utility nodes.
- 3. Connect Nodes: Use directed arcs to represent the dependencies between variables.
  - o Arcs to decision nodes represent available information.
  - o Arcs to chance nodes represent probabilistic relationships.
  - o Arcs to utility nodes represent utility dependencies.
- 4. **Ensure DAG Structure**: Maintain a directed acyclic graph by avoiding cycles or feedback loops.
- 5. **Define Domains**: Specify the domain for each random and decision variable.
- 6. **Conditional Probability Distributions**: Assign conditional probability distributions for each random variable based on their parent nodes.
- 7. **Utility Function**: Map the values of dependent variables to a numerical value that reflects preferences in decision-making.

#### **Example of Representing a Decision Problem**

Consider a decision network for deciding whether an agent should carry an umbrella when going out. The agent's utility depends on the weather and whether they bring the umbrella. The agent can observe the weather forecast but not the actual weather directly.

#### Variables and Domains

- Weather: {norain, rain}
- Forecast: {sunny, rainy, cloudy}
- Umbrella: {take it, leave it}

#### **Probabilities**

- P(Weather = rain) = 0.3
- P(Forecast | Weather):

# **Weather Forecast Probability**

norain sunny 0.7

#### **Weather Forecast Probability**

```
norain cloudy 0.2

norain rainy 0.1

rain sunny 0.15

rain cloudy 0.25

rain rainy 0.6
```

# **Utility Function**

• u(Weather, Umbrella):

# Weather Umbrella Utility

```
norain take_it 20
norain leave_it 100
rain take_it 70
rain leave it 0
```

# **Maximum Expected Utility**

The principle of Maximum Expected Utility (MEU) guides decision-making by recommending the action that maximizes the expected utility. This principle calculates the expected utility for each action based on the probabilities of different outcomes and the utilities associated with them.

#### • Formula:

```
EU(a) = \sum (U(s,a) \times P(s|a)) EU(a) = \sum (U(s,a) \times P(s|a))
```

 $\circ$  Where U(s,a)U(s, a)U(s,a) is the utility of being in state sss after taking action aaa, and P(s|a)P(s|a)P(s|a) is the probability of ending up in state sss given action aaa.

#### • MEU:

```
MEU=max(EU(a1),EU(a2),...,EU(an))MEU = \mbox{$\setminus$} a_1, EU(a_1), EU(a_2), \mbox{$\setminus$} b_1, EU(a_1), EU(a_2),...,EU(an))
```

### **Steps to Calculate Expected Utility:**

- 1. Define actions and states.
- 2. Assign utility values to state-action pairs.
- 3. Specify the probabilities for each state given an action.

- 4. Multiply utility values by their respective probabilities.
- 5. Sum the results for each action.
- 6. Select the action with the highest expected utility.

#### **No-Forgetting Agent and Decision Network**

A **no-forgetting agent** remembers previous decisions and the associated information, ensuring coherence in decision-making.

#### Characteristics

- Ordered Decisions: Decisions follow a specific sequence.
- Memory: Retains past decision information.
- Informed Choices: Utilizes past decisions to guide future ones.

#### **Structure and Implications**

- Ordered Decision Nodes: Arranged sequentially.
- Parent-Child Relationships: Previous decisions influence subsequent ones.
- **Information Flow**: Past information flows through the network, impacting future decisions.

# **Evaluating Decision Networks**

To ensure a decision network is effective, consider these evaluation steps:

- 1. **Verify Structure**: Ensure the structure accurately represents the problem.
- 2. **Sensitivity Analysis**: Analyze how changes in probabilities or utilities affect outcomes.
- 3. **Validate Recommendations**: Compare the network's predictions with real-world results.
- 4. **Compare Networks**: Evaluate multiple networks to identify the best decision strategy.

# Applications of Decision Networks in AI

Decision networks have a wide range of AI applications, including:

- Medical Diagnosis: Supporting doctors in making diagnostic and treatment decisions.
- **Robotics**: Guiding robots in uncertain environments.
- Finance: Assisting financial analysts with investment decisions under uncertainty.
- Game Theory: Analyzing strategic interactions in competitive scenarios.
- **Operations Research**: Optimizing resource allocation and scheduling.

# **Advantages of Decision Networks**

- **Comprehensive Framework**: Combines probability and utility theory for decision-making under uncertainty.
- Clarity and Visualization: Provides clear visual representations of complex decision problems.
- Optimization: Helps identify optimal strategies by evaluating expected utilities.

#### Conclusion

Decision networks are powerful tools in AI, facilitating informed decision-making in uncertain environments. By integrating chance, decision, and utility nodes, they offer a comprehensive framework for evaluating and optimizing decisions. With applications ranging from medical diagnosis to robotics and finance, decision networks remain an essential component of modern AI systems.