

12th Nov!

Big-Oh: Let  $f, g: \mathbb{R} \rightarrow \mathbb{R}$ , we say that  $f(x)$  is  $O(g(x))$  if there exist constants  $a$  and  $k$  such that for every  $x > k$ ,

$$|f(x)| \leq a|g(x)|$$

$f(x)$  is Big-Oh of  $g(x)$

$$\exists a \exists k \forall x (x > k \Rightarrow |f(x)| \leq a|g(x)|)$$

Negation:

$$\forall a \forall k \exists x ((x > k) \wedge |f(x)| > a|g(x)|)$$

Ex 1:  $f(x) = x^2 + 2x + 1$

For any  $x \geq 1$ ,  $x \leq x^2$

and  $1 \leq x^2$

Choose  $a = 4$ . Choosing  $a = 4$  and  $k = 1$

Then, for all  $x > k$ ,

$$f(x) \leq 4x^2 \approx a x^2.$$

Exam time: Proof must be using properties of inequalities.

$x^2$  grows as fast as  $(x+1)^2$ .

(i) If the input is an array of  $n$  numbers, then bubble sort takes  $O(n^2)$  steps.

(ii) If the input is array of  $n$  numbers, then merge sort takes  $O(n \log n)$  steps. Big-Oh

$f(x)$  is not  $O(g(x))$ ?

No matter the values of  $a$  and  $k$ , there is  $x$  such that  $(x > k) \wedge |f(x)| > a|g(x)|$

Then choose constant  $k = 1$

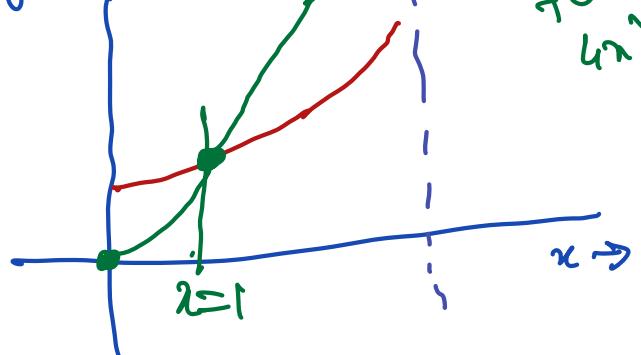
Observe that

$$f(x) = x^2 + 2x + 1 \leq x^2 + 2x^2 + x^2 \\ = 4x^2$$

Hence,  $f(x)$  is  $O(x^2)$

$$x^2 = g(x)$$

$g(x), f(x)$



Ex-2:  $n^3$  is  $O(2^n) \rightarrow$  Theorem

Proof: If we prove that

for all  $n \geq 10$ ,  $n^3 \leq 2^n$  Lemma  $f, g: \mathbb{Z} \rightarrow \mathbb{R}$

then we are done. Prove it for integer.

I then we have proved by induction that  $n^3 \leq b \cdot 2^n$   $\therefore$   $n=10 \quad 1000 \quad 1024$

Base Case:  $n = 10$

$LHS = 10^3$  and  $RHS = 1024$

Hence,  $LHS \leq RHS$ .

Induction hypothesis:

Let the statement  $n^3 \leq 2^n$  be true for all  $n = 0, 1, \dots, k$ .

$$\begin{aligned} LHS &= k^3 + 3k^2 + 3k + 1 \\ &\leq k^3 + 3k^3 + 3k^3 + k^3 \\ &= 8k^3. \end{aligned}$$

Q 
$$(k+1)^3 \leq 4 \cdot 2^{k+1}$$

What we have proved is For every  $n \geq 10$ , there exists constants  $b$  such that

$$n^3 \leq b \cdot 2^n$$

$n^3$  is a polynomial function

$2^n$  is an exponential function

$2^n$  grows as fast as a polynomial

choose constant

$k = 10$

$$\begin{array}{c|c} n=1 & n^3 \quad 2^n \\ \hline 1 & 1 \quad 2 \end{array}$$

$$\begin{array}{c|c} n=2 & 8 \quad 4 \end{array}$$

$$\begin{array}{c|c} n=3 & 27 \quad 8 \end{array}$$

$$\begin{array}{c|c} \vdots & 729 \quad 512 \end{array}$$

$$\begin{array}{c|c} n=10 & 1000 \quad 1024 \end{array}$$

Induction Step:

choose  $n = k+1$

$$LHS = (k+1)^3 = k^3 + 3k^2 + 3k + 1$$

$$RHS = 2^{k+1} = \underline{2 \cdot 2^k}$$

Induction hypothesis provides us that  $8k^3 \leq 8 \cdot 2^k$

$$\begin{aligned} &= 4 \cdot 2^{k+1} \\ &= 2^{k+3} \end{aligned}$$

Lemma: choose a constant

$b$  such that for every  $n \geq b$ , there exists constant  $b$  such that  $n^3 \leq b \cdot 2^n$

$n^3$  is  $O(2^n)$

$n^c$   $c$  is a constant independent of  $n$ .

### Exercise

Ex-3:  $1+2+3+\dots+n$  is  $O(n^2)$

function

Theorem:  $f(n) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$

$f(n)$  is  $O(x^n)$

$$a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$
$$\leq |a_n| x^n + |a_{n-1}| x^{n-1} + \dots + |a_1| x + |a_0|$$

$$\leq |a_n| x^n + |a_{n-1}| x^n + \dots + |a_1| x^n + |a_0| x^n$$

$$f(n) \leq A \cdot x^n$$

Hence,  $f(n)$  is  $O(x^n)$

$a_0, a_1, a_2, \dots, a_n$  Constants.

$$\cdot \text{Proof: } |f(x)| = |a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0|$$

$$x \text{ and } y \quad x+y \leq |x|+|y|$$

$$\Rightarrow x \leq |x|$$

- Choose  $k=1$   $x^{n-1} \leq x^n$   
for any  $x \geq k$

$$= \left( \sum_{i=0}^n |a_i| \right) x^n$$

$$QA = \sum_{i=0}^n |a_i|$$

Highest power of  $x$  dominates the lower powers.

Ex-4: Prove that  $n^2$  is not  $O(n)$ .

Proof: Suppose for the sake of contradiction that there are constants  $b$  and  $k$  such that for all  $n > k$ ,  $n^2 \leq bn$

Then  $n \leq b$

Disprove that  $n^2$  is  $O(n)$

Prove or disprove that  $n^2$  is  $O(n)$

Can we say that  $n \leq b$ ?

$$(b+1)^2 \leq b^2 + b$$

$\times$   
positive  
 $n = b+1$

But it can not hold true  
that for all  $n > k$ ,  $n \leq b$

Choose a value of  $k$

Then  $n > \max\{b, k\}$

Hence,  $n^2$  is not  $O(n)$

Choose  $n = bk + b > k$   
 $n \leq b$  is false.

$k = a+2$  then it does  
not hold true.

### Recurrence Relation:

If an object is defined in terms  
of itself, then it is called  
a RECURSION.

Base Case:  $f(0) = 3$

$$f(n+1) = 2f(n) + 3 \quad \text{for all } n \geq 0$$
$$f(1) = 2f(0) + 3$$

$$f(k) = 2f(k-1) + 3$$
$$= 2(2f(k-2) + 3) + 3$$
$$= 2^2 f(k-2) + 2 \cdot 3 + 3$$
$$= 2^2 (2f(k-3) + 3) + 2 \cdot 3 + 3$$
$$= 2^3 f(k-3) + 2^2 \cdot 3 + 2 \cdot 3 + 3$$
$$\vdots$$
$$= 2^k f(0) + 3(2^{k-1} + 2^{k-2} + \dots + 2^0)$$

A function that calls  
itself in its body is  
called recursion

Factorial(n)  $\rightarrow$  If  $n < 0$  return 0  
If  $n = 0$  then return 1;  
Else return  $n \cdot f(n-1)$ .

$$\rightarrow T(n) = 2T(n-1) + 5$$

provides a function  
that is  $O(2^n)$

CIA  GENERATING FUNCTION

MASTER'S THEOREM

$$O(2^k) \quad \xrightarrow{\text{ADA}}$$

geometric

$$\begin{aligned}
 &= 2^k \cdot 3 + 3 \left( \underbrace{2^{k-1}}_{\text{k times}} + \underbrace{2^{k-2}}_{\text{k times}} + \dots + 2^0 \right) \\
 &\leq 2^k \cdot 3 + 3 \cdot \left( \underbrace{2^k}_{\text{k times}} + \underbrace{2^k}_{\text{k times}} + \dots + 2^k \right) \\
 &\leq \underbrace{2^k \cdot 3}_{\text{k times}} + \underbrace{3 \cdot 2^k}_{\text{k times}} \\
 &\leq 6k \cdot 2^k \\
 &\approx O(k \cdot 2^k)
 \end{aligned}$$

Ex-6:  $f(n) = f(n-1) + 2$

for all  $n \geq 1$   $T(n) = T(n-1) + 3$

$f(0) = 1 \rightarrow \text{Base Case.}$

Linear function  $O(n)$

Ex-7:  $T(n) = T(n-1) + n$

Base Case:  $T(1) = 1$

$$T(n) = T(n-1) + n$$

$$= T(n-2) + (n-1) + n$$

$$= T(n-3) + (n-2) + (n-1) + n$$

$\vdots$

$$= T(1) + 2 + 3 + \dots + n$$

$$= 1 + 2 + \dots + n$$

$$\approx \frac{n(n+1)}{2} \approx O(n^2)$$

$$f(n) = f(n-1) + 2$$

$$= f(n-2) + 2 + 2$$

$$= f(n-k) + \underbrace{(2+2+\dots+2)}_{k \text{ times}}$$

$$= f(0) + \underbrace{(2+\dots+2)}_{n \text{ times}}$$

$$= 1 + 2n \leq 3n$$

Quadratic function

$$T(n) = T(n-1) + \alpha \cdot n$$

$\alpha$  is a constant

$O(n^2)$  quadratic function

