Problem Assignment 1

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EE5179: Deep Learning for Imaging

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1 Preliminaries

1.1 Importing packages

```
[1]: import torch
     from torch.utils.data import Dataset
     from torch.utils.data import DataLoader
     from torchvision import datasets
     from torchvision.transforms import ToTensor, Lambda
     from torch import nn
     import matplotlib.pyplot as plt
     import numpy as np
     from sklearn import metrics
     # A class for beautifying text for plotting and visualization
     class color:
        PURPLE = '\033[95m'
        CYAN = ' \033[96m']
        DARKCYAN = ' \setminus 033 [36m']
        BLUE = '\033[94m']
        GREEN = ' \setminus 033[92m']
        YELLOW = ' \setminus 033[93m']
        RED = ' \033[91m']
        BOLD = ' \setminus 033[1m']
        UNDERLINE = ' \033[4m']
        END = '\033[Om']
     print(color.BOLD + 'Package import completed.' + color.END)
```

Package import completed.

1.2 Defining utility functions

Some utility functions defined for implementing a multilayer perceptron model from scratch and for experiments to be performed later. Description for the utility functions is given as they are defined.

1.2.1 Activation Functions and their Derivatives

To introduce nonlinearities in the model, we use activation functions. For this assignment, the nonlinearities have to be defined using the sigmoid function. The other two options which are available are tanh and relu. However, for the last layer, softmax is used for the output.

For backpropagation, the derivatives of these functions are also required. They have been defined below as well.

```
[2]: # Defining activation functions
     def sigmoid(x):
       s = 1/(1+np.exp(-x))
       return s
     def relu(x):
       s = np.maximum(0,x)
       return s
     def tanh(x):
       s = (np.exp(x)-np.exp(-x))/(np.exp(x)+np.exp(-x))
       return s
     def softmax(x):
       s = np.exp(x)/sum(np.exp(x))
       return s
     # Defining derivative of the activation functions (sigmoid, relu, tanh)
     def der_sigmoid(x):
       der = sigmoid(x) - (sigmoid(x)) **2
       return der
     def der_relu(x):
       der = np.int64(x > 0)
       return der
     def der tanh(x):
       der = 1-(tanh(x))**2
       return der
```

1.2.2 Initialization

It has been shown that a learning model performs better if it is initialized with non-zero weights, one such initialization is the Glorot or $Xavier\ initialization$. The weights are sampled from a uniform

distribution in the interval [-M, M] where

$$M = \sqrt{\frac{6}{N_o + N_i}}$$

 N_o and N_i are number of neurons in the output and input layer respectively. This has been implemented in the code cell below.

1.2.3 Forward and Backward Propagation

The following function follow the standard implementation of forward and back propagation for 5 layer neural network with 3 hidden layers. These functions are hardcoded according to the number of layers but the neurons in each layer can be changed upon requirement.

- Forward Propagation All weight, bias and output matrices are calculated and returned in a cache, the output of the network is returned as first argument of the function's output.
- Backward Propagation Returns the gradients required for the gradient descent learning step or optimization. Another variation is the regularized version which implements the L_2 regularization.

```
[4]: # Get the output of the model
def forward_prop(X, parameters, nonlinear):

# retrieve parameters
W1 = parameters['W1']
b1 = parameters['b1']
W2 = parameters['W2']
b2 = parameters['b2']
W3 = parameters['b2']
W3 = parameters['W3']
b3 = parameters['W3']
b4 = parameters['W4']
```

```
# LINEAR 1 -> NONLINEAR 1 -> LINEAR 2 -> NONLINEAR 2 -> LINEAR 3 ->
  # NONLINEAR 3 -> LINEAR 4 -> NONLINEAR 4 -> LINEAR 5 -> SOFTMAX
  # nonlinear can take the following arguments - tanh, relu, sigmoid
 Z1 = np.dot(W1, X) + b1
 A1 = nonlinear(Z1)
 Z2 = np.dot(W2, A1) + b2
 A2 = nonlinear(Z2)
 Z3 = np.dot(W3, A2) + b3
 A3 = nonlinear(Z3)
 Z4 = np.dot(W4, A3) + b4
 A4 = softmax(Z4)
 cache = (Z1, A1, W1, b1, Z2, A2, W2, b2, Z3, A3, W3, b3, Z4, A4, W4, b4)
 return A4, cache
# Get gradients required for gradient descent optimizer
# der_nonlinear can take the following arguments - der_sigmoid, der_tanh, u
⇔der sigmoid
def backward_prop(X, Y, cache, der_nonlinear):
 m = X.shape[1]
 (Z1, A1, W1, b1, Z2, A2, W2, b2, Z3, A3, W3, b3, Z4, A4, W4, b4) = cache
 dZ4 = A4 - Y
 dW4 = 1./m * np.dot(dZ4, A3.T)
 db4 = 1./m * np.sum(dZ4, axis = 1, keepdims = True)
 dA3 = np.dot(W4.T, dZ4)
 dZ3 = np.multiply(dA3, der_nonlinear(A3))
 dW3 = 1./m * np.dot(dZ3, A2.T)
 db3 = 1./m * np.sum(dZ3, axis=1, keepdims = True)
 dA2 = np.dot(W3.T, dZ3)
 dZ2 = np.multiply(dA2, der_nonlinear(A2))
 dW2 = 1./m * np.dot(dZ2, A1.T)
 db2 = 1./m * np.sum(dZ2, axis=1, keepdims = True)
 dA1 = np.dot(W2.T, dZ2)
 dZ1 = np.multiply(dA1, der_nonlinear(A1))
 dW1 = 1./m * np.dot(dZ1, X.T)
 db1 = 1./m * np.sum(dZ1, axis=1, keepdims = True)
 gradients = {"dZ4" : dZ4, "dW4": dW4, "db4" : db4, "dA3": dA3,
```

```
"dZ3": dZ3, "dW3": dW3, "db3": db3,
               "dA2": dA2, "dZ2": dZ2, "dW2": dW2,
               "db2": db2, "dA1": dA1, "dZ1": dZ1, "dW1": dW1, "db1": db1}
 return gradients
# implement L2 regularization in the backward propagation
def backward_prop_regularized(X, Y, cache, lambd, der_nonlinear):
 m = X.shape[1]
  (Z1, A1, W1, b1, Z2, A2, W2, b2, Z3, A3, W3, b3, Z4, A4, W4, b4) = cache
 dZ4 = A4 - Y
 dW4 = 1./m * np.dot(dZ4, A3.T) + (lambd/m)*W4
 db4 = 1./m * np.sum(dZ4, axis = 1, keepdims = True)
 dA3 = np.dot(W4.T, dZ4)
 dZ3 = np.multiply(dA3, der_nonlinear(A3))
 dW3 = 1./m * np.dot(dZ3, A2.T) + (lambd/m)*W3
 db3 = 1./m * np.sum(dZ3, axis=1, keepdims = True)
 dA2 = np.dot(W3.T, dZ3)
 dZ2 = np.multiply(dA2, der_nonlinear(A2))
 dW2 = 1./m * np.dot(dZ2, A1.T) + (lambd/m)*W2
 db2 = 1./m * np.sum(dZ2, axis=1, keepdims = True)
 dA1 = np.dot(W2.T, dZ2)
 dZ1 = np.multiply(dA1, der_nonlinear(A1))
 dW1 = 1./m * np.dot(dZ1, X.T) + (lambd/m)*W1
 db1 = 1./m * np.sum(dZ1, axis=1, keepdims = True)
 gradients = {"dZ4" : dZ4, "dW4": dW4, "db4" : db4, "dA3": dA3,
               "dZ3": dZ3, "dW3": dW3, "db3": db3,
               "dA2": dA2, "dZ2": dZ2, "dW2": dW2,
               "db2": db2, "dA1": dA1, "dZ1": dZ1, "dW1": dW1, "db1": db1}
 return gradients
```

1.2.4 Gradient Descent Optimizer

The parameters are updated with the gradient descent optimizer, it follows the standard formulation here.

```
[5]: def update_parameters(parameters, grads, learning_rate):
    n = len(parameters)//2 # Number of layers in neural network
```

1.2.5 Cost Function

The cost is calculated for each iteration, here the cost function chosen is **cross entropy**. The implementation has been done for the regularized version as well.

```
[6]: def compute_cost(a4, Y):
      m = Y.shape[1]
       logprobs = np.multiply(-np.log(a4),Y) + np.multiply(-np.log(1 - a4), 1 - Y)
       cost = 1./m * np.nansum(logprobs)
       return cost
     def compute_cost_regularized(a4, Y, parameters, lambd):
      m = Y.shape[1]
      W1 = parameters["W1"]
       W2 = parameters["W2"]
       W3 = parameters["W3"]
       W4 = parameters["W4"]
       logprobs = np.multiply(-np.log(a4),Y) + np.multiply(-np.log(1 - a4), 1 - Y)
       cost = 1./m * np.nansum(logprobs)
       # L2 regularization cost
      L2_regularization_cost = (1/m)*(lambd/2)*(np.sum(np.square(W1)) +
                                                 np.sum(np.square(W2)) +
                                                 np.sum(np.square(W3)) +
                                                 np.sum(np.square(W4)))
       return cost
```

1.2.6 Preprocessing the dataset

Before feeding the data into the model, it has to be preprocessed. Specifically for MNIST dataset, a single 28*28 images has to be flattened into a one-dimensional vector with 784 elements. These are the feature vectors or the inputs to the model. For the labels, they have to be one-hot encoded as one-dimensional vectors of size 10 such that the output of the model and the label vectors can be used to compute the cost while training.

```
[7]: # Flattens images into 1-D arrays and changes labels to one-hot encoded vectors
     def process_dataset(X, Y, batch_size):
      num_ele = X[0].squeeze().flatten().numpy().shape[0]
       # num_labels = torch.unique(Y).numpy().shape[0]
       # hardcoded num labels because the above line posed problems
       # when the number of training examples were not perfectly divisible by batch_
      ⇔size.
      num_labels = 10
       x_train = np.zeros((batch_size, num_ele))
      y_train = np.zeros((batch_size, num_labels))
       for i in range(batch_size):
         x_train[i] = X[i].squeeze().flatten().numpy()
         for k in range(num_labels):
           if Y[i] == k:
             y_train[i][k]=1
           else:
             y_train[i][k]=0
       return x_train, y_train
```

1.2.7 Neural Network & Epoch Execution

• NN:

Using the above functions a neural network function has been implemented which runs for one epoch i.e., when it has gone through all the training examples in the dataset. Depending on the batch_size, the number of iterations in the function will change. It returns the learned parameters and the final cost after one epoch. The cost after every 200 iteration is printed and the variation of cost while training for an epoch is plotted.

• epoch model:

This function simply runs the model for the number of epochs selected by the user, after each epoch the parameters obtained are used to initialize the weights and biases for the next epoch. Finally, the variation of cost across the selected number of epochs is plotted.

```
m = x_train.shape[1]
    a4, cache = forward_prop(x_train, parameters, nonlinear)
    # Choose lambd nonzero for regularized model training
    if lambd == 0:
      cost = compute_cost(a4, y_train)
      grads = backward_prop(x_train, y_train, cache, der_nonlinear)
    else:
      cost = compute_cost_regularized(a4, y_train, parameters, lambd)
      grads = backward_prop_regularized(x_train, y_train, cache, lambd,__

→der nonlinear)
    parameters = update_parameters(parameters, grads, learning_rate)
    if print_cost and i%200 == 0 and i!= 0:
      print("Cost after iteration {} : {}".format(i, cost))
    if show_plot and i%200 == 0 and i!=0:
      costs.append(cost)
  if show_plot:
    plt.plot(costs)
    plt.ylabel('cost')
    plt.xlabel('Iterations (x200)')
    plt.title("Learning_rate ="+ str(learning_rate))
    plt.show()
  return parameters, cost
# To train the models over a specific number of epochs
def epoch_model(training_data, layer_dims, num_epochs, batch_size,_
 →learning_rate, lambd, nonlinear, der_nonlinear, print_cost, show_plot, __
 ⇒show_train):
 np.random.seed(42)
  # Initialize the model with Xavier/Glorot weight initialization
 parameters = initialize_parameters(layer_dims)
  costs=[]
  # Loop to run the model for the number of epochs
  for i in range(1,num_epochs + 1):
    if show_train:
      print(color.BOLD + "Training for Epoch Number " + str(i) + color.END)
    num_iter = int(training_data.data.shape[0]/batch_size)
    parameters, cost = NN(training_data, learning_rate, num_iter, lambd,_u

→parameters,
```

```
batch_size, nonlinear, der_nonlinear, print_cost, show_plot)

costs.append(cost)

if show_train:
# Plot variation cost over number of epochs
   plt.plot(costs)
   plt.ylabel('Cost')
   plt.xlabel('Epochs')
   plt.title("Behavior of cost over {} epochs".format(num_epochs))

plt.show()

return parameters
```

1.2.8 Predictions and Accuracy

To calculate the accuracy on the training dataset and the test dataset, predict function is implemented. It returns the prediction (or label) for each image input into the predict function and compares it with the true label to give the accuracy. It also returns y_true for easier reference.

```
[9]: def predict(data, parameters, nonlinear, test):
      m = len(data)
       data = DataLoader(data, batch_size=m)
       x data, y data = next(iter(data))
      x_process_data, y_process_data = process_dataset(x_data, y_data, batch_size=m)
      x_process_data = x_process_data.T
      pred = np.zeros(m, dtype = np.int_)
      y_true = y_data.numpy()
       # Forward propagation
       a4, cache = forward_prop(x_process_data, parameters, nonlinear)
      for i in range(m):
         pred[i] = np.argmax(a4[:,i])
       accuracy = np.mean((pred == y_true))
       if test:
         print("Accuracy on the test data is : " + str(100*accuracy)+"%")
       else:
         print("Accuracy on the train data is : " + str(100*accuracy)+"%")
       return pred, y_true, accuracy
```

2 Baseline Model Training

The baseline model has 5 layers in total with 3 hidden layers. The number of neurons in each layer is given by 784->500->250->100->10 with a bias neuron in each of the layers except the output layer. The following specifications have also been defined -

Learning Rate: 0.01
Number of Epochs: 15
Activation Function: sigmoid
Loss Function: CrossEntropy
Regularized: False

• Weight Initialization: Glorot/Xavier

2.1 MNIST dataset

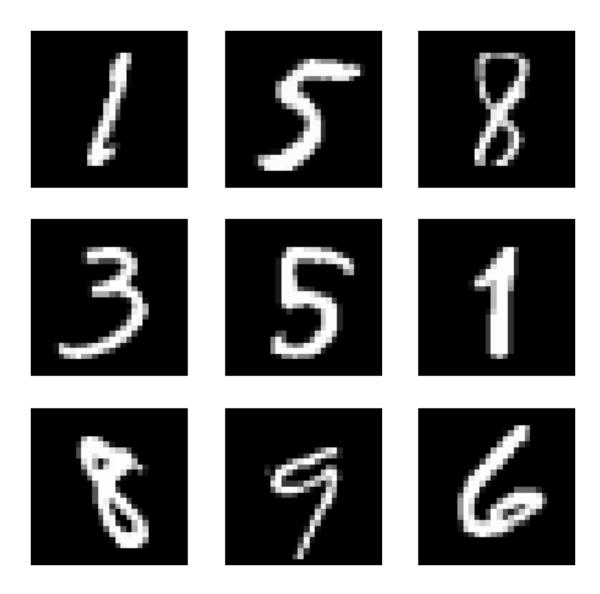
• Batch Size: 64

MNIST dataset is available through PyTorch directly, it is split into 60000 training images and 10000 test images of size 28×28 each. Before this is used in our baseline model, it has to be put into batch size of 64 and has to preprocessed, this is done through process_dataset function defined earlier. The images are already normalized.

```
[11]: print("The number of images in the train set are "+str(len(training_data))\
+" and in the test set are "+str(len(test_data))+".")
```

The number of images in the train set are 60000 and in the test set are 10000.

```
figure = plt.figure(figsize=(8, 8))
cols, rows = 3, 3
for i in range(1, cols * rows + 1):
    sample_idx = torch.randint(len(training_data), size=(1,)).item()
    img, label = training_data[sample_idx]
    figure.add_subplot(rows, cols, i)
    plt.axis("off")
    plt.imshow(img.squeeze(), cmap="gray")
plt.show()
```



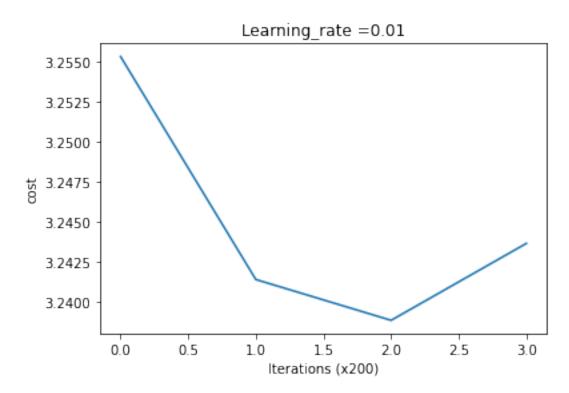
2.2 Training the model

Now, we train the baseline model.

```
[13]: # The layer dimensions can changed, however the number of layers cannot!
layer_dims = [training_data.data.shape[1]**2, 500, 250, 100, 10]
baseline_model = epoch_model(training_data, layer_dims, num_epochs=15,__
batch_size=64,

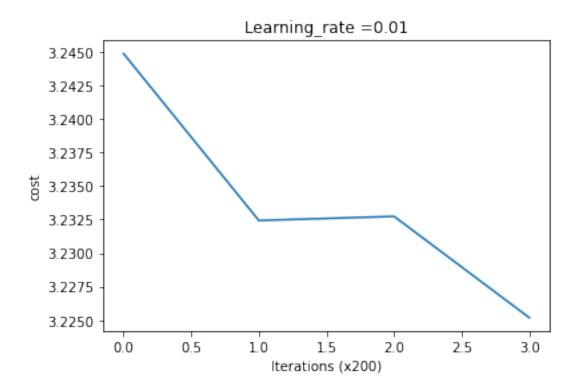
learning_rate=0.01, lambd=0, nonlinear=sigmoid,\
der_nonlinear = der_sigmoid,
print_cost=True,
show_plot =True,
show_train=True)
```

Cost after iteration 200 : 3.2553117604612263 Cost after iteration 400 : 3.2413693537952373 Cost after iteration 600 : 3.238816922876523 Cost after iteration 800 : 3.243625623652493

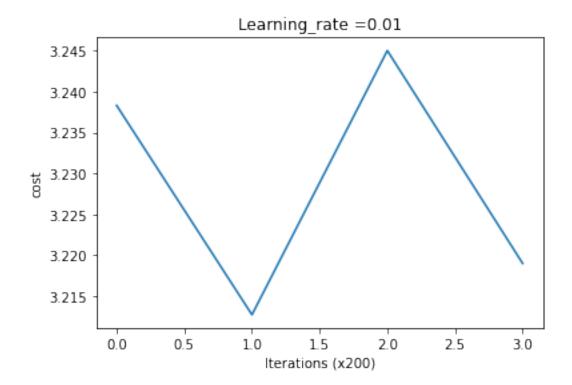


Training for Epoch Number 2

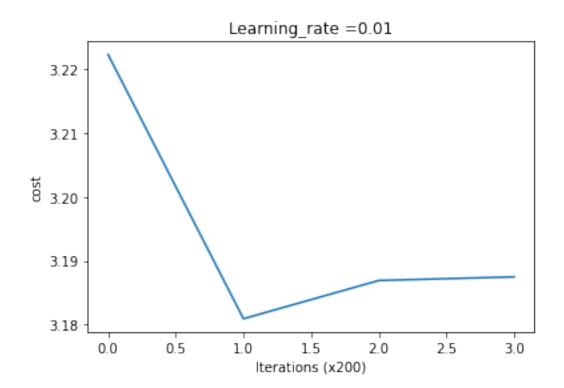
Cost after iteration 200 : 3.244865095335598 Cost after iteration 400 : 3.2324313666218227 Cost after iteration 600 : 3.232736257170238 Cost after iteration 800 : 3.2251845499775964



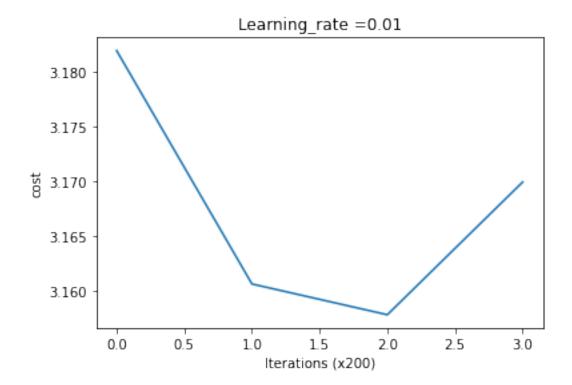
Cost after iteration 200 : 3.2383227796339478 Cost after iteration 400 : 3.212736414868955 Cost after iteration 600 : 3.245025760640505 Cost after iteration 800 : 3.2190026024309653



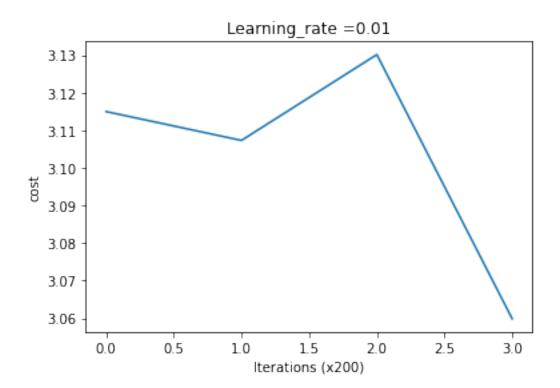
Cost after iteration 200 : 3.22227413799493 Cost after iteration 400 : 3.1809535105451188 Cost after iteration 600 : 3.1869377237428256 Cost after iteration 800 : 3.1874999878047525



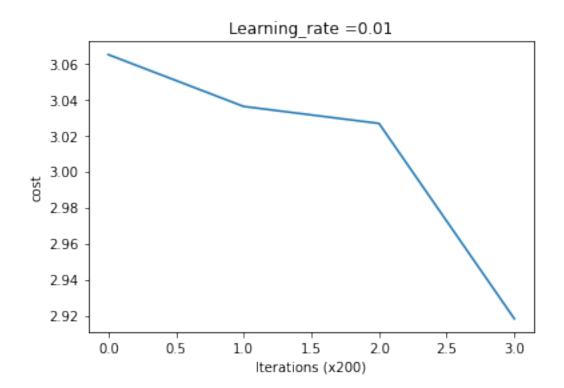
Cost after iteration 200 : 3.181884226867464 Cost after iteration 400 : 3.1605840568492667 Cost after iteration 600 : 3.1577774888251247 Cost after iteration 800 : 3.1698884424524874



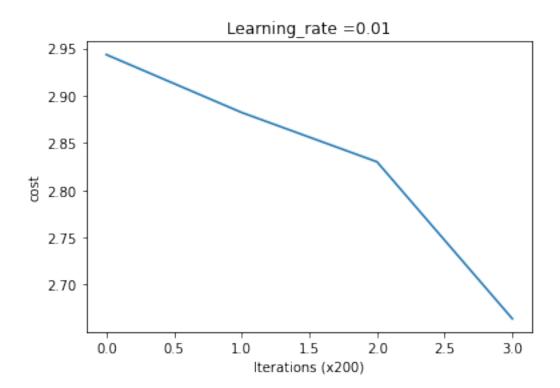
Cost after iteration 200 : 3.114978455488921 Cost after iteration 400 : 3.107308985638509 Cost after iteration 600 : 3.1301299110730327 Cost after iteration 800 : 3.059901031762709



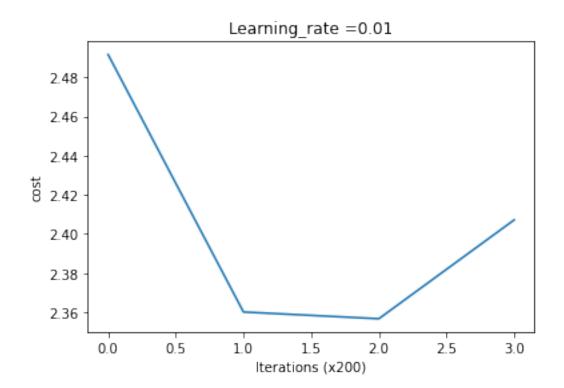
Cost after iteration 200 : 3.0648026637244135 Cost after iteration 400 : 3.036031015957269 Cost after iteration 600 : 3.026606546310572 Cost after iteration 800 : 2.918219837254589



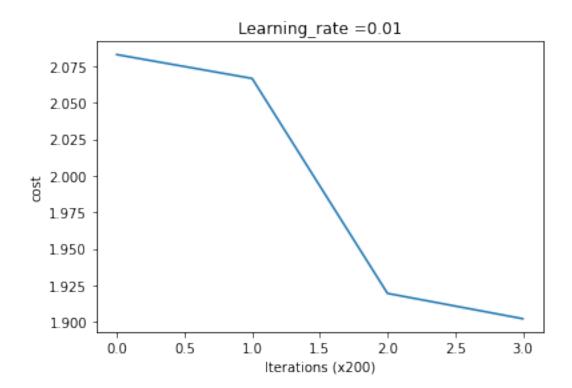
Cost after iteration 200 : 2.943499969716875 Cost after iteration 400 : 2.882237130647037 Cost after iteration 600 : 2.8300287744333517 Cost after iteration 800 : 2.663980036825982



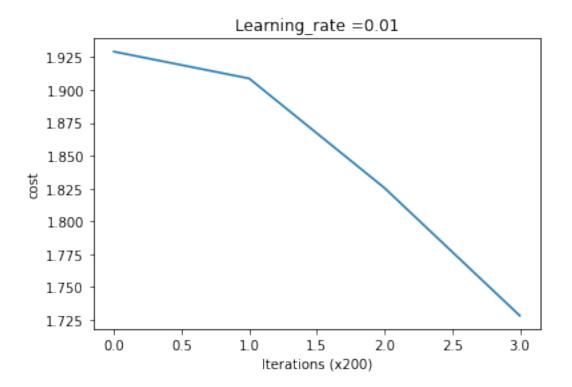
Cost after iteration 200 : 2.491609921932148 Cost after iteration 400 : 2.3600609520970757 Cost after iteration 600 : 2.3566154487102207 Cost after iteration 800 : 2.407130549240069



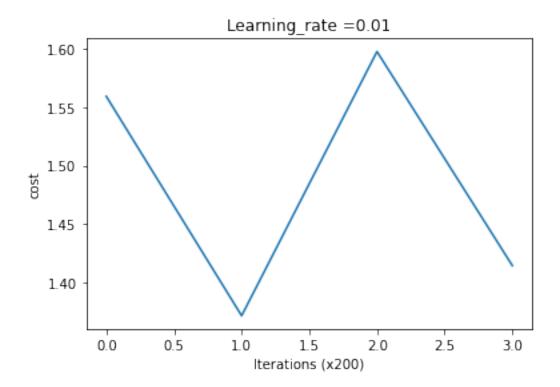
Cost after iteration 200 : 2.0827754932774694 Cost after iteration 400 : 2.066463544061059 Cost after iteration 600 : 1.9195813315485803 Cost after iteration 800 : 1.9022458338468335



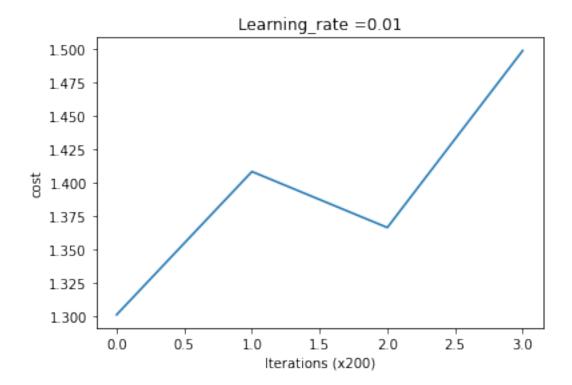
Cost after iteration 200 : 1.9292956601726994 Cost after iteration 400 : 1.908845658028921 Cost after iteration 600 : 1.8254878510365276 Cost after iteration 800 : 1.7281935417576626



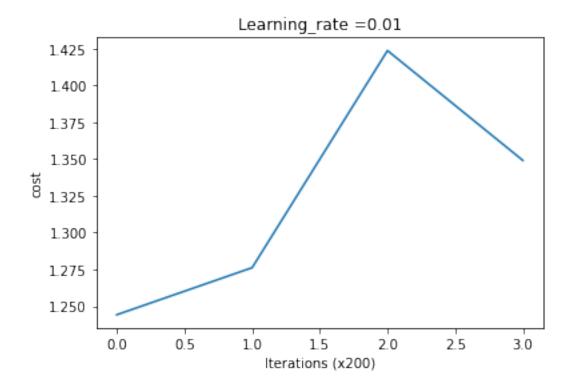
Cost after iteration 200 : 1.5591078746489933 Cost after iteration 400 : 1.371763507984131 Cost after iteration 600 : 1.5973380189909692 Cost after iteration 800 : 1.4145497478693674



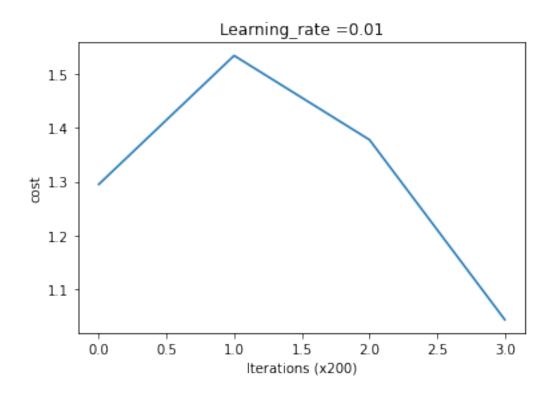
Cost after iteration 200 : 1.3008391544150255 Cost after iteration 400 : 1.4081033062196515 Cost after iteration 600 : 1.3661553419366244 Cost after iteration 800 : 1.4987598924240308

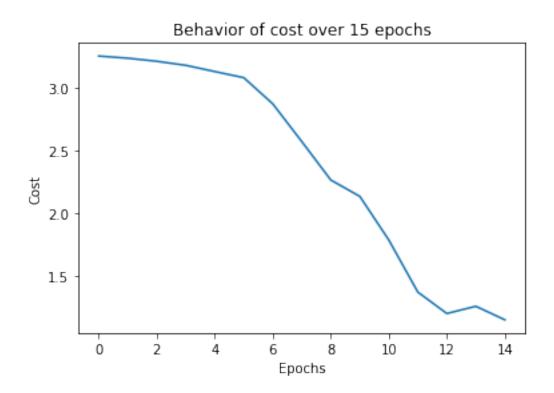


Cost after iteration 200 : 1.2441942225227423 Cost after iteration 400 : 1.2761487038595105 Cost after iteration 600 : 1.4235716349295626 Cost after iteration 800 : 1.3490131963121363



Cost after iteration 200 : 1.2950083208814327 Cost after iteration 400 : 1.5348799179930692 Cost after iteration 600 : 1.3780223558738642 Cost after iteration 800 : 1.0426952819639945





The model has now been trained and we have the trained weights and biases. These can be used for predictions on the test set to check how well the model has generalized.

Observation

The above plots show how the cost function behaved each epoch for every 200 iterations and how the cost behaved over 15 epochs. The cost did not really change much for the first few epochs but steadily decreased for sure. This could mean that the baseline model might require more number of epochs to even further decrease the cost.

2.3 Performance Analysis of the Baseline Model

It is time to check how our trained baseline model performs with the test data. We will also check how it performs on the training data.

2.3.1 Train and Test Accuracy

Below, we get the train and test accuracy of the baseline model.

```
[14]: train_pred = predict(training_data, baseline_model, sigmoid, test = False) test_pred = predict(test_data, baseline_model, sigmoid, test = True)
```

Accuracy on the train data is: 79.01666666666667% Accuracy on the test data is: 79.66%

Observation:

It seems the model generalizes quite well considering how both the train and test accuracy are close. However, the accuracy itself is lower. From the cost function plots, it can be seen that cost decreases over the epochs but slowly. This, as mentioned earlier could simply mean that the number of epochs required to get a higher accuracy would be more than 15. Another observation that I made changing random seed initialization, training accuracy was always a bit lower than test accuracy, I am not sure if that is the case in general since my tests were not statistically significant.

2.3.2 Classification Report

We use sklearn.metrics to get a classification_report method for further analysis.

```
[15]: y_true = test_pred[1] # predict() func outputs y_pred, y_true, accuracy
y_pred = test_pred[0]
print(metrics.classification_report(y_true, y_pred))
```

	precision	recall	f1-score	support
0	0.89	0.96	0.92	980
1	0.91	0.98	0.95	1135
2	0.74	0.74	0.74	1032
3	0.77	0.81	0.79	1010
4	0.71	0.78	0.74	982
5	0.72	0.61	0.66	892
6	0.86	0.87	0.87	958
7	0.87	0.84	0.85	1028

8	0.74	0.67	0.70	974
9	0.71	0.66	0.69	1009
accuracy			0.80	10000
macro avg	0.79	0.79	0.79	10000
weighted avg	0.79	0.80	0.79	10000

From the classification_report, we can see that some digits are harder to learn or generalize (refer to the precision and recall for each digit) like 4,5,8 and 9. Knowing this and given the condition that we are stuck with current specifications of the baseline model, we can try to supply more examples for these digits and see if that helps the model to learn the *harder* digits better.

2.4 Activation Functions

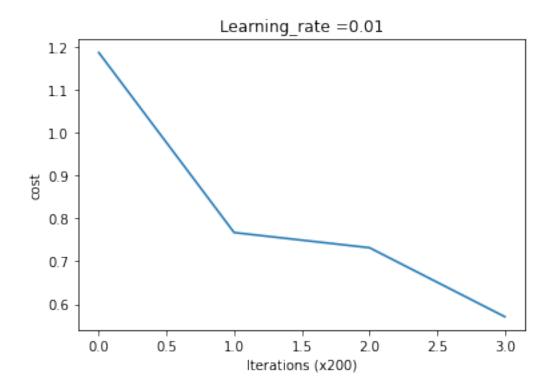
Two other activation functions were defined earlier - relu and tanh. Let's check how just changing the activation function affects the performance of the model.

2.4.1 Tanh Activation Model

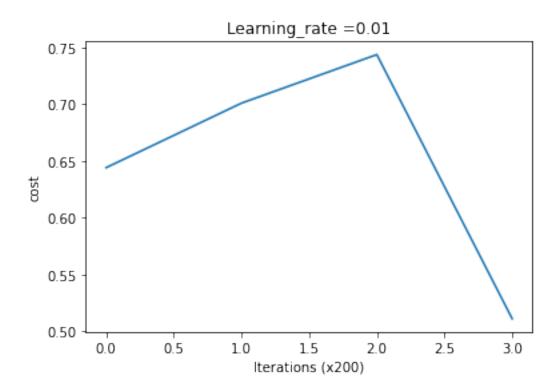
Now, we use tanh activation for the nonlinear operations in the neural network. We will plot the cost function, get the accuracy as well as the classification report.

Training for Epoch Number 1

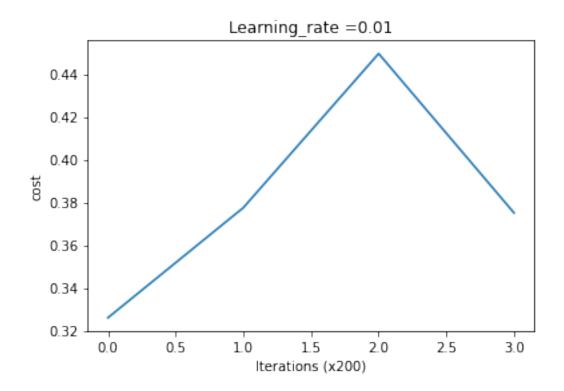
Cost after iteration 200 : 1.1872032873745164 Cost after iteration 400 : 0.7672646833932542 Cost after iteration 600 : 0.7318548676386455 Cost after iteration 800 : 0.5708177866059059



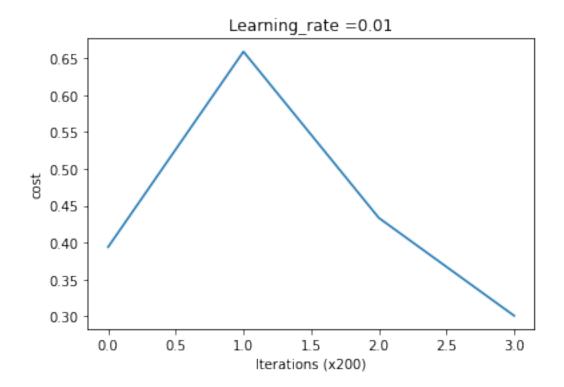
Cost after iteration 200 : 0.643917366393649 Cost after iteration 400 : 0.700713122888269 Cost after iteration 600 : 0.7434565073457811 Cost after iteration 800 : 0.5109168912541341



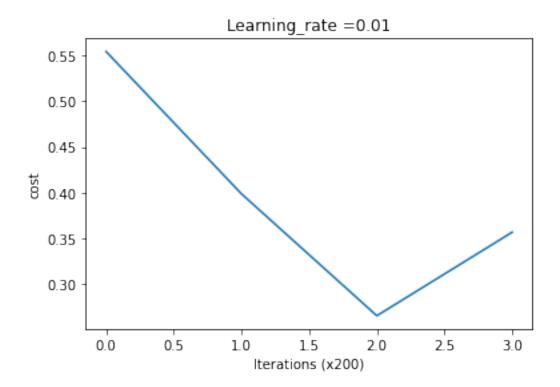
Cost after iteration 200 : 0.3260163156835599 Cost after iteration 400 : 0.37747313467224675 Cost after iteration 600 : 0.44958467836460625 Cost after iteration 800 : 0.3750511660420366



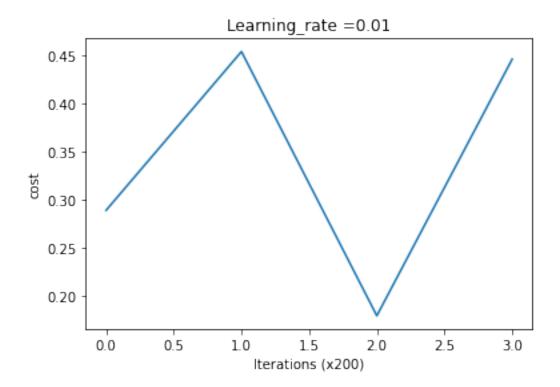
Cost after iteration 200 : 0.3938695565259212Cost after iteration 400 : 0.6591495690767388Cost after iteration 600 : 0.43341927791310264Cost after iteration 800 : 0.30062306856884113



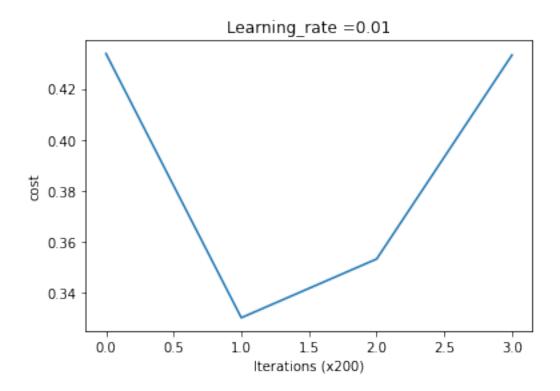
Cost after iteration 200 : 0.5543976483462338 Cost after iteration 400 : 0.3985574315472454 Cost after iteration 600 : 0.26498585419248866 Cost after iteration 800 : 0.35650711506529387



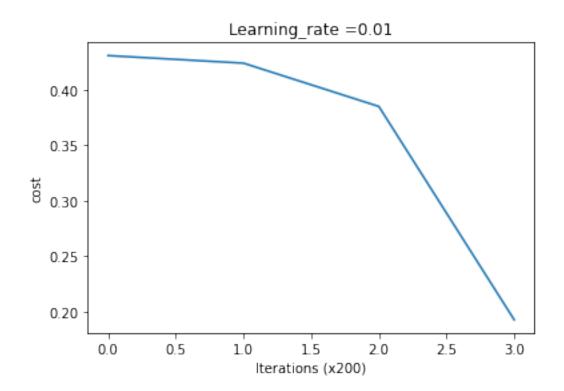
Cost after iteration 200 : 0.28904274065974067 Cost after iteration 400 : 0.4543763184985637 Cost after iteration 600 : 0.17917884474636292 Cost after iteration 800 : 0.44647747444162333



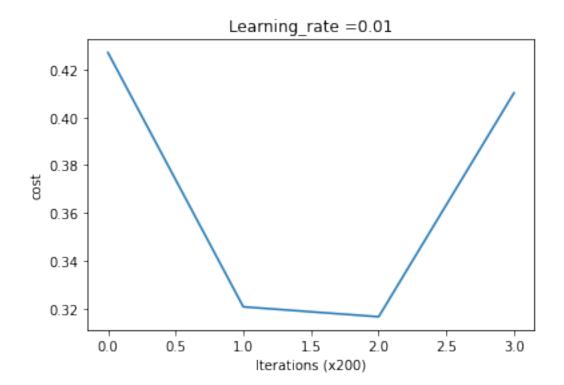
Cost after iteration 200 : 0.43381087492737436 Cost after iteration 400 : 0.33012549598493024 Cost after iteration 600 : 0.3531865774808016 Cost after iteration 800 : 0.4332623905413762



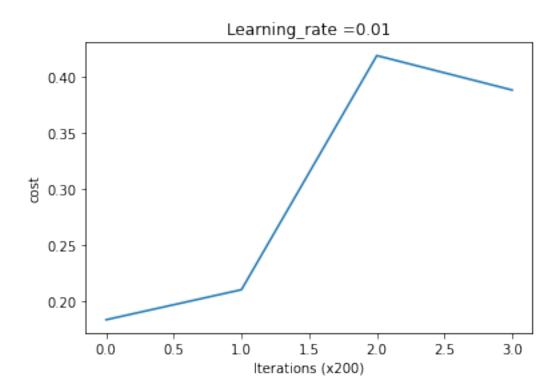
Cost after iteration 200 : 0.4307096177982346 Cost after iteration 400 : 0.4239070458568865 Cost after iteration 600 : 0.38491371400054264 Cost after iteration 800 : 0.19277834661573717



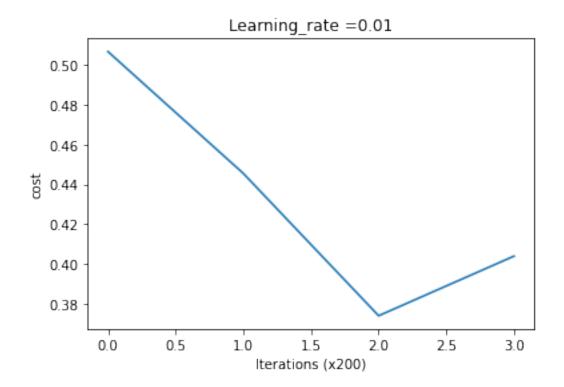
Cost after iteration 200 : 0.4271414686891081 Cost after iteration 400 : 0.32069009792806097 Cost after iteration 600 : 0.31653252670026955 Cost after iteration 800 : 0.41029076676736376



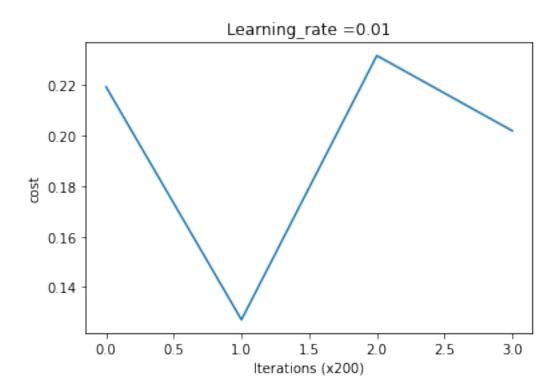
Cost after iteration 200 : 0.18359350575258135 Cost after iteration 400 : 0.210382811623943 Cost after iteration 600 : 0.41907443189610005 Cost after iteration 800 : 0.388347205607246



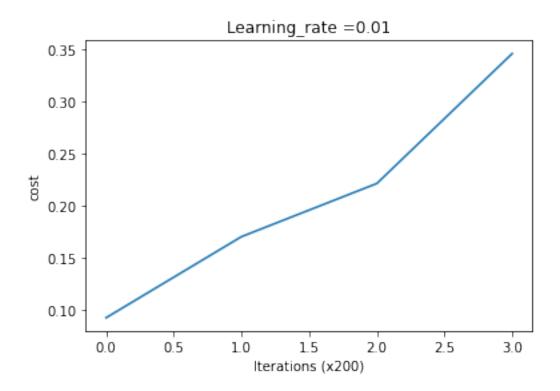
Cost after iteration 200 : 0.5067303117819638 Cost after iteration 400 : 0.4454640686321323 Cost after iteration 600 : 0.3738603428950593 Cost after iteration 800 : 0.40385502280901125



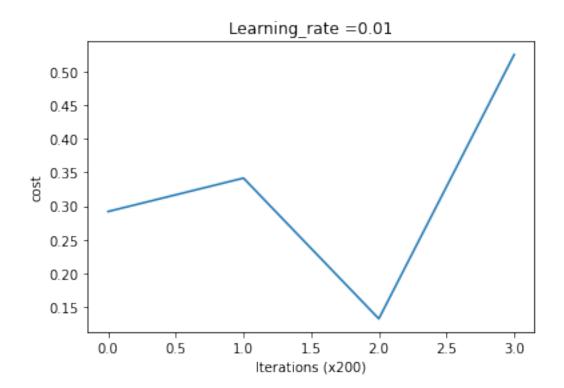
Cost after iteration 200 : 0.2192793226506431 Cost after iteration 400 : 0.12703812340867415 Cost after iteration 600 : 0.231708531466302 Cost after iteration 800 : 0.20192371246374996



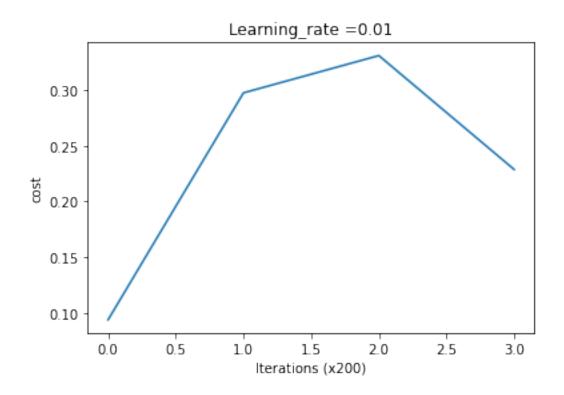
Cost after iteration 200 : 0.09219185183177159 Cost after iteration 400 : 0.16990883303091975 Cost after iteration 600 : 0.2209012914298499 Cost after iteration 800 : 0.34544731635857434

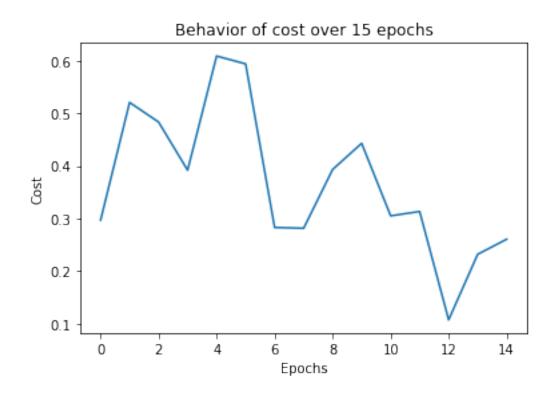


Cost after iteration 200 : 0.29189624768860845 Cost after iteration 400 : 0.3414523850260499 Cost after iteration 600 : 0.13280236416029978 Cost after iteration 800 : 0.5246829525420205



Cost after iteration 200 : 0.09375512403033898 Cost after iteration 400 : 0.29744179562536216 Cost after iteration 600 : 0.3309025385637192 Cost after iteration 800 : 0.22863702525150145





```
[17]: tanh_train_pred = predict(training_data, tanh_model, tanh, test = False)
tanh_test_pred = predict(test_data, tanh_model, tanh, test = True)
print(metrics.classification_report(tanh_test_pred[1], tanh_test_pred[0]))
```

Accuracy on the train data is: 95.9250000000001% Accuracy on the test data is: 95.47%

	precision	recall	f1-score	support
0	0.96	0.98	0.97	980
1	0.98	0.99	0.98	1135
2	0.95	0.95	0.95	1032
3	0.96	0.94	0.95	1010
4	0.95	0.95	0.95	982
5	0.95	0.95	0.95	892
6	0.95	0.96	0.96	958
7	0.96	0.94	0.95	1028
8	0.94	0.94	0.94	974
9	0.95	0.93	0.94	1009
accuracy			0.95	10000
macro avg	0.95	0.95	0.95	10000
weighted avg	0.95	0.95	0.95	10000

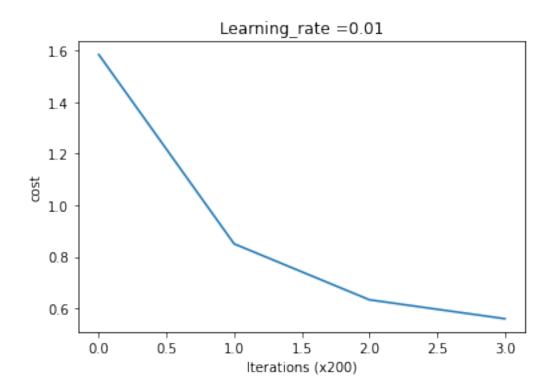
tanh_model is a definitve improvement over baseline_model. Here, we can see that some of the digits which were *harder* to learn have been learnt significantly better. Overall accuracy of the model has also significantly jumped. Let's check if relu brings even more improvements.

2.4.2 ReLU Activation Model

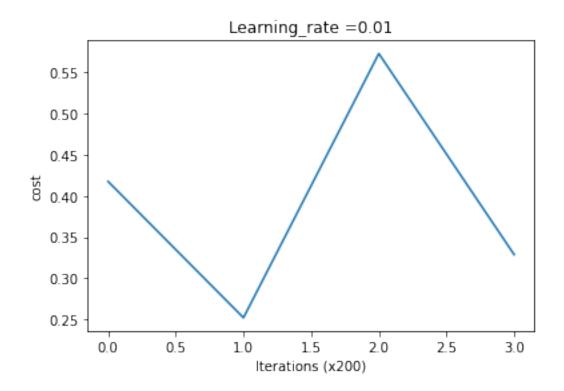
Here, we use relu activation for the nonlinear operations in the neural network. We will plot the cost function, get the accuracy as well as the classification report.

Training for Epoch Number 1

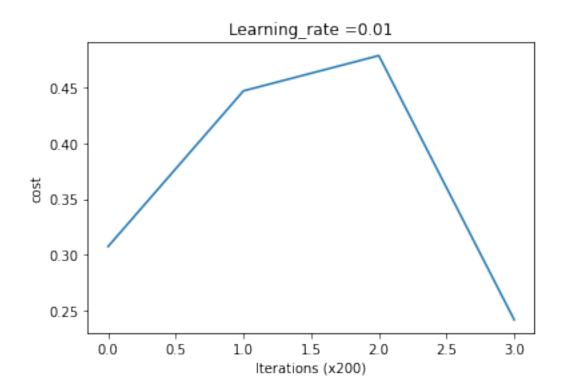
Cost after iteration 200 : 1.5853492123113027 Cost after iteration 400 : 0.8503917461073123 Cost after iteration 600 : 0.6330047147682037 Cost after iteration 800 : 0.5594995777281522



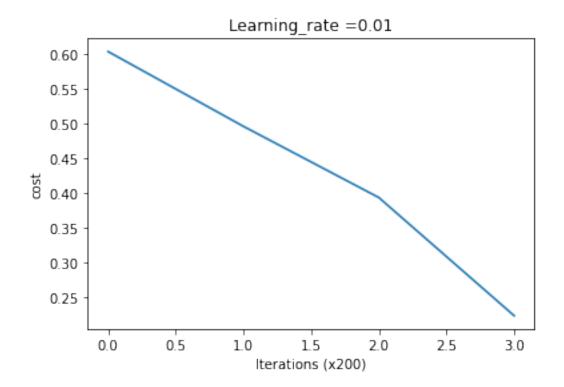
Cost after iteration 200 : 0.4174675572951949 Cost after iteration 400 : 0.25232765408311786 Cost after iteration 600 : 0.5724997567718167 Cost after iteration 800 : 0.3287854523160577



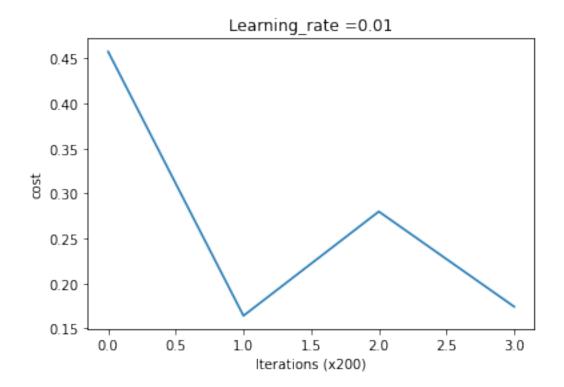
Cost after iteration 200 : 0.30752170349829966 Cost after iteration 400 : 0.4469519096315443 Cost after iteration 600 : 0.47857647584504664 Cost after iteration 800 : 0.24188794000798244



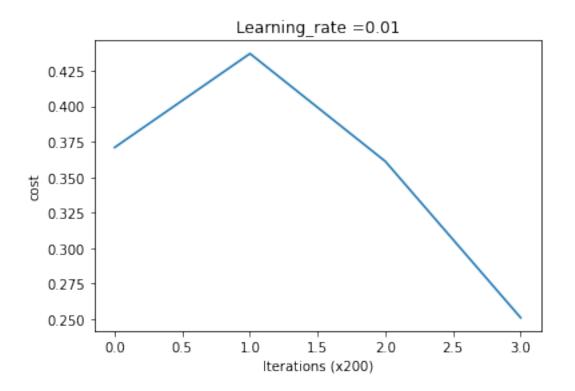
Cost after iteration 200 : 0.6030971348447945 Cost after iteration 400 : 0.49561289024926963 Cost after iteration 600 : 0.3933049315232083 Cost after iteration 800 : 0.22339681592949306



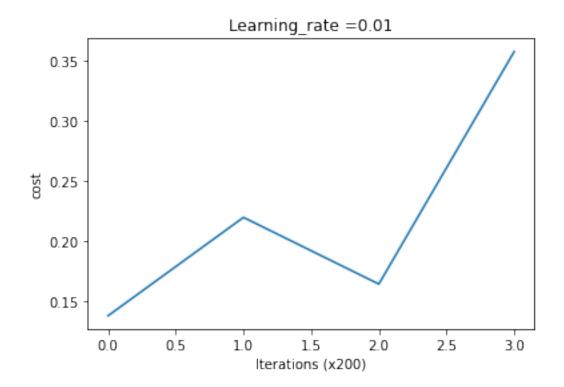
Cost after iteration 200 : 0.4575673621207358 Cost after iteration 400 : 0.16419065813986805 Cost after iteration 600 : 0.2799341116026553 Cost after iteration 800 : 0.17427635563700827



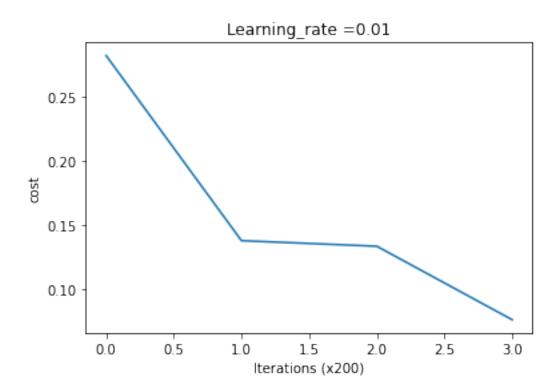
Cost after iteration 200 : 0.3708708228577067 Cost after iteration 400 : 0.43692684082243316 Cost after iteration 600 : 0.3610818589771365 Cost after iteration 800 : 0.25103214817484865



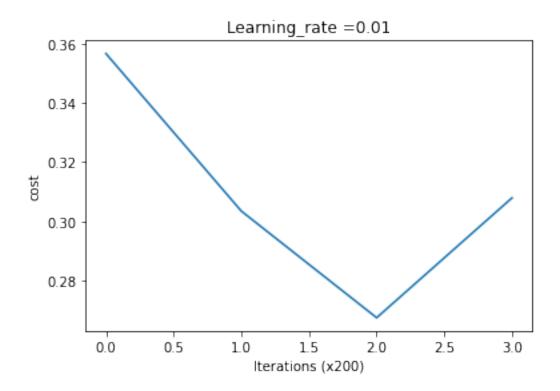
Cost after iteration 200 : 0.13841394274742713 Cost after iteration 400 : 0.22006106206441672 Cost after iteration 600 : 0.16471227075633377 Cost after iteration 800 : 0.3576810438824678



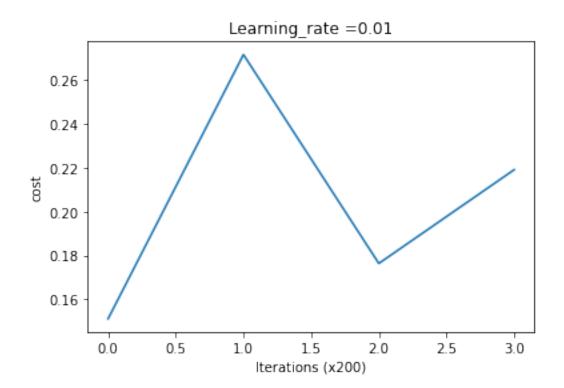
Cost after iteration 200 : 0.28248038097762485 Cost after iteration 400 : 0.1378574535660312 Cost after iteration 600 : 0.1334943731049229 Cost after iteration 800 : 0.07606273890911061



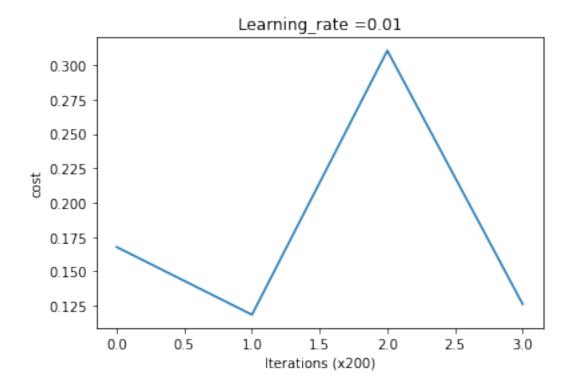
Cost after iteration 200 : 0.3566738324212042 Cost after iteration 400 : 0.303430117008281 Cost after iteration 600 : 0.2673680738673194 Cost after iteration 800 : 0.3078904771963884



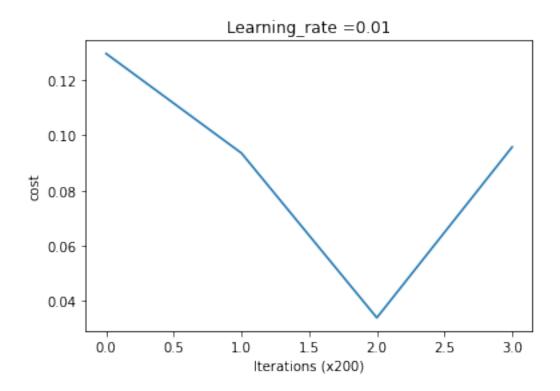
Cost after iteration 200 : 0.15122035420291485 Cost after iteration 400 : 0.27155513631172734 Cost after iteration 600 : 0.17641095594052802 Cost after iteration 800 : 0.2191074996976169



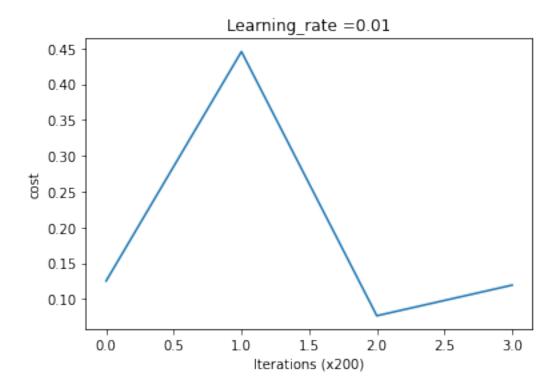
Cost after iteration 200 : 0.16770978654016677 Cost after iteration 400 : 0.11837867500201915 Cost after iteration 600 : 0.310707150781077 Cost after iteration 800 : 0.12605972985635108



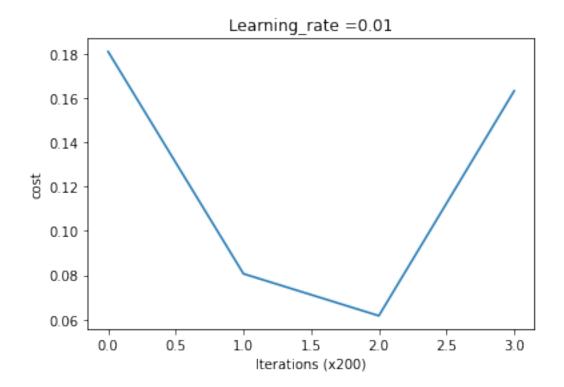
Cost after iteration 200 : 0.12968477434355008 Cost after iteration 400 : 0.0935533199294832 Cost after iteration 600 : 0.03379634664344545 Cost after iteration 800 : 0.09579232113738446



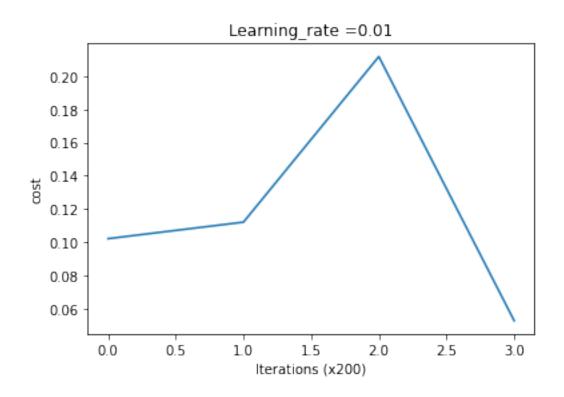
Cost after iteration 200 : 0.12527111207820799 Cost after iteration 400 : 0.44536974655549577 Cost after iteration 600 : 0.07671606721426938 Cost after iteration 800 : 0.11946879143508995

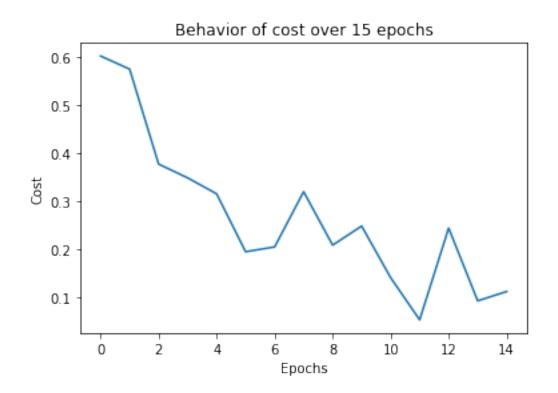


Cost after iteration 200 : 0.18094002328639147 Cost after iteration 400 : 0.08062690891104206 Cost after iteration 600 : 0.061671714913615054 Cost after iteration 800 : 0.16319347978554966



Cost after iteration 200 : 0.10203206667775225 Cost after iteration 400 : 0.11198774140139162 Cost after iteration 600 : 0.21178818916688494 Cost after iteration 800 : 0.052552293270239046





```
[19]: relu_train_pred = predict(training_data, relu_model, relu, test = False)
relu_test_pred = predict(test_data, relu_model, relu, test = True)
print(metrics.classification_report(relu_test_pred[1], relu_test_pred[0]))
```

Accuracy on the train data is: 97.88666666666667% Accuracy on the test data is: 96.94%

	precision	recall	f1-score	support
0	0.96	0.99	0.98	980
1	0.98	0.99	0.98	1135
2	0.98	0.97	0.97	1032
3	0.97	0.96	0.97	1010
4	0.97	0.97	0.97	982
5	0.97	0.96	0.96	892
6	0.97	0.97	0.97	958
7	0.96	0.97	0.97	1028
8	0.95	0.97	0.96	974
9	0.97	0.95	0.96	1009
accuracy			0.97	10000
macro avg	0.97	0.97	0.97	10000
weighted avg	0.97	0.97	0.97	10000

It seems that relu_model performs the best. Just changing the activation function has improved the accuracy of the model significantly. One other observation to be made is how the cost behaved over epochs for baseline_model, tanh_model and relu_model. The cost for latter two oscillated between epochs but that was not the case for baseline_model which decreased steadily. However, relu_model is the best model overall.

```
[20]: best_model = relu_model
```

3 PyTorch Equivalent of the Best Model

From the previous sections, it has been established that the model which used **ReLU** activation function performed the best keeping all the other hyperparameters the same. Now, we can implement the same model using PvTorch.

3.1 Defining utility functions

A NeuralNetwork class defines the model's architecture following exactly the same architecture as best_model. The weight initialization is set to Xavier for fair comparison as the default initialization for weights in PyTorch is He initialization.

```
[21]: # The model defined has the same architecture as the best_model
class NeuralNetwork(nn.Module):
    def __init__(self):
        super(NeuralNetwork, self).__init__()
```

```
self.flatten = nn.Flatten()
        self.linear_relu_stack = nn.Sequential(nn.Linear(28*28, 500, __
 ⇔bias=True), \
                                              nn.ReLU(),
                                              nn.Linear(500,250, bias=True),
                                              nn.ReLU(),
                                              nn.Linear(250,100, bias=True),
                                              nn.ReLU(),
                                              nn.Linear(100,10, bias=True))
    def forward(self,x):
        x = self.flatten(x)
        logits = self.linear_relu_stack(x)
        return logits
# The default initialization in PyTorch is He initialization
# To fairly compare with the model we made from scratch
# Both should at least have same initialization
def init_weights(x):
    if isinstance(x, nn.Linear):
        torch.nn.init.xavier_uniform_(x.weight)
        x.bias.data.fill (0)
# Training loop
def train_loop(dataloader, model, loss_fn, optimizer):
    size = len(dataloader.dataset)
    costs=[]
    for batch, (X, y) in enumerate(dataloader):
        # Compute prediction and loss
        pred = model(X)
        loss = loss_fn(pred, y)
        # Backpropagation
        optimizer.zero_grad()
        loss.backward()
        optimizer.step()
        if batch % 200 == 0:
            loss, current = loss.item(), batch * len(X)
            print(f"loss: {loss:>7f} [{current:>5d}/{size:>5d}]")
            costs.append(loss)
    plt.plot(costs)
    plt.xlabel('Training Example')
    plt.ylabel('Cost')
    plt.title('Learning Rate = 0.01')
    plt.show()
```

```
# Test loop to get accuracy over test every epoch
def test_loop(dataloader, model, loss_fn):
    size = len(dataloader.dataset)
    num_batches = len(dataloader)
    test_loss, correct = 0, 0

with torch.no_grad():
    for X, y in dataloader:
        pred = model(X)
        test_loss += loss_fn(pred, y).item()
        correct += (pred.argmax(1) == y).type(torch.float).sum().item()

test_loss /= num_batches
    correct /= size
    print(f"Test Error: \n Accuracy: {(100*correct):>0.1f}%, Avg loss:____
c-{test_loss:>8f} \n")
```

3.2 Loading data

DataLoader function is used to get train and test split along with batch size of 64.

```
[22]: train_dataloader = DataLoader(training_data, batch_size=64)
test_dataloader = DataLoader(test_data, batch_size=64)
```

3.3 Hyperparameter initialization

• Batch Size: 64

• Learning Rate: 0.01

• Number of Epochs: 15

• Activation Function: relu

• Loss Function: CrossEntropy

• Regularized: False

• Weight Initialization: Glorot/Xavier

• Optimizer: Adam

```
[23]: model = NeuralNetwork()

# Apply Glorot initialization
model.apply(init_weights)

# Same hyperparameters as the best_model
learning_rate = 0.01
batch_size = 64
epochs = 15

# Loss is CrossEntropy
```

```
loss_fn = nn.CrossEntropyLoss()
# optimizer = torch.optim.SGD(model.parameters(), lr = learning_rate)

# Using Adam optimizer as required by the assignment
optimizer = torch.optim.Adam(model.parameters(), lr=learning_rate)
```

3.4 Training and Accuracy Metrics

Now, we train the equivalent model and obtain the accuracy metrics.

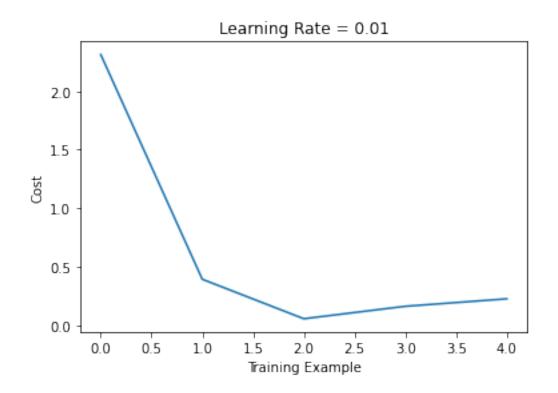
```
[24]: epoch_costs=[]
for t in range(epochs):
    print(f"Epoch {t+1}\n-----")
    train=train_loop(train_dataloader, model, loss_fn, optimizer)
    test_loop(test_dataloader, model, loss_fn)
    epoch_costs.append(train)

plt.plot(epoch_costs)
plt.xlabel("Number of epochs")
plt.ylabel("Cost")
plt.title("Behaviour of cost over 15 epochs")

plt.show()
```

Epoch 1

loss: 2.312906 [0/60000] loss: 0.394369 [12800/60000] loss: 0.057268 [25600/60000] loss: 0.163631 [38400/60000] loss: 0.227255 [51200/60000]

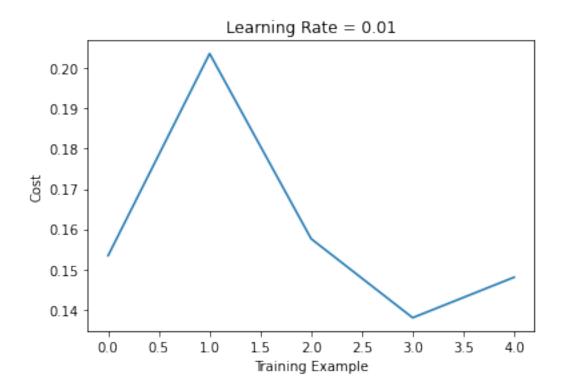


Accuracy: 95.2%, Avg loss: 0.185759

Epoch 2

loss: 0.153432 [0/60000] loss: 0.203611 [12800/60000] loss: 0.157616 [25600/60000] loss: 0.138005 [38400/60000]

loss: 0.148068 [51200/60000]

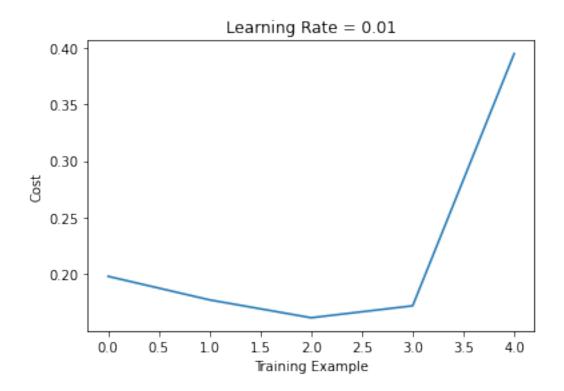


Accuracy: 92.5%, Avg loss: 0.318430

Epoch 3

loss: 0.198214 [0/60000]

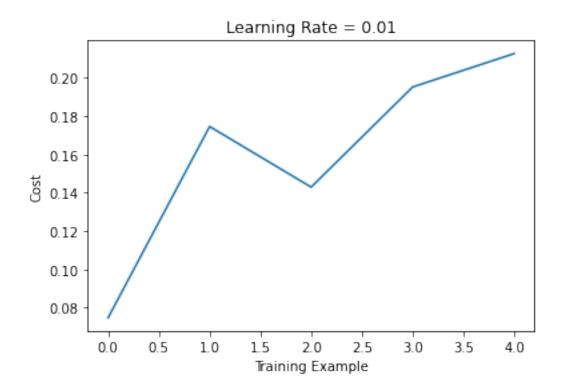
loss: 0.198214 [0/60000] loss: 0.177429 [12800/60000] loss: 0.161689 [25600/60000] loss: 0.172218 [38400/60000] loss: 0.394766 [51200/60000]



Accuracy: 95.5%, Avg loss: 0.197871

Epoch 4

loss: 0.074793 [0/60000] loss: 0.174549 [12800/60000] loss: 0.142932 [25600/60000] loss: 0.195168 [38400/60000] loss: 0.212597 [51200/60000]

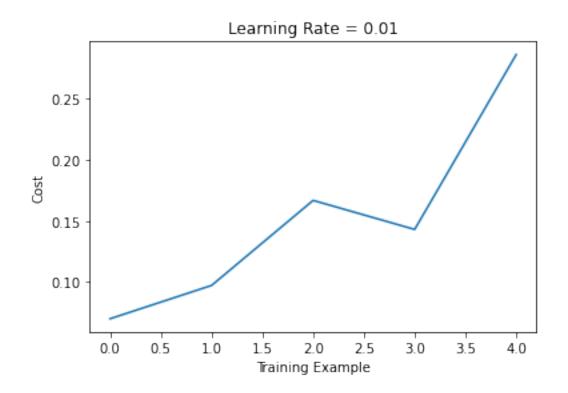


Accuracy: 95.6%, Avg loss: 0.201976

Epoch 5

loss: 0.069945 [0/60000]

loss: 0.097235 [12800/60000] loss: 0.166837 [25600/60000] loss: 0.143060 [38400/60000] loss: 0.286211 [51200/60000]

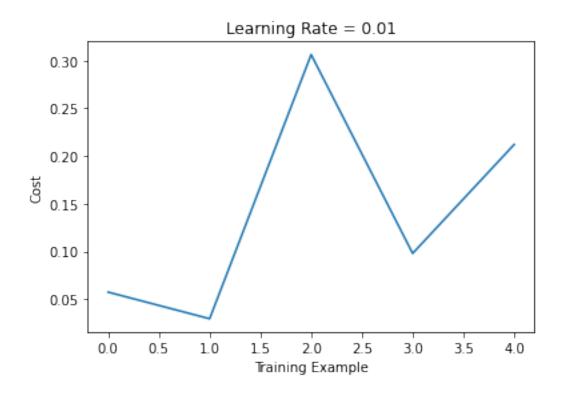


Accuracy: 96.0%, Avg loss: 0.189361

Epoch 6

loss: 0.057408 [0/60000] loss: 0.029503 [12800/60000] loss: 0.306353 [25600/60000]

loss: 0.097976 [38400/60000] loss: 0.212135 [51200/60000]

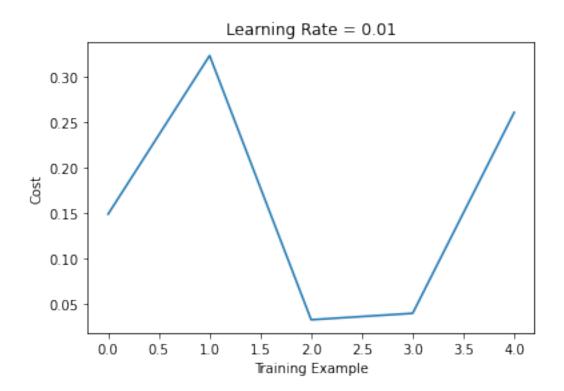


Accuracy: 95.6%, Avg loss: 0.232423

Epoch 7

loss: 0.148765 [0/60000]

loss: 0.148/65 [0/60000] loss: 0.323904 [12800/60000] loss: 0.032111 [25600/60000] loss: 0.039205 [38400/60000] loss: 0.261176 [51200/60000]

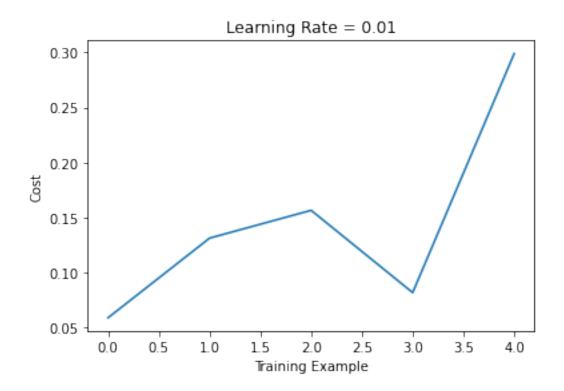


Accuracy: 95.7%, Avg loss: 0.227007

Epoch 8

loss: 0.058986 [0/60000]

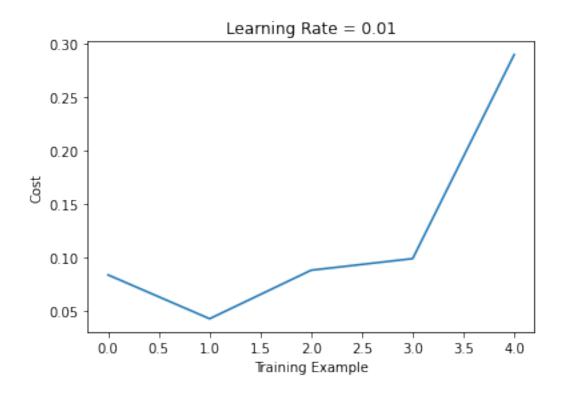
loss: 0.131313 [12800/60000] loss: 0.156548 [25600/60000] loss: 0.081806 [38400/60000] loss: 0.298915 [51200/60000]



Accuracy: 96.6%, Avg loss: 0.201171

Epoch 9

loss: 0.083435 [0/60000] loss: 0.042329 [12800/60000] loss: 0.087834 [25600/60000] loss: 0.098694 [38400/60000] loss: 0.290051 [51200/60000]

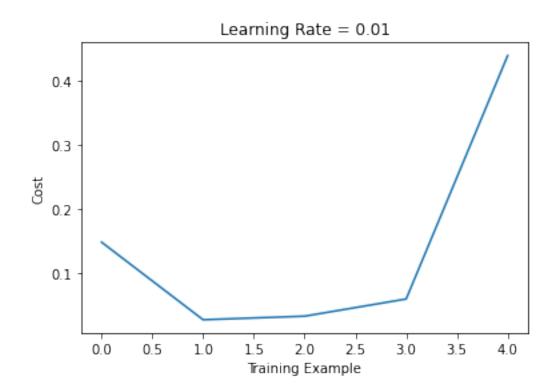


Accuracy: 97.3%, Avg loss: 0.161849

Epoch 10

loss: 0.148275 [0/60000]

loss: 0.027424 [12800/60000] loss: 0.032980 [25600/60000] loss: 0.059770 [38400/60000] loss: 0.439084 [51200/60000]

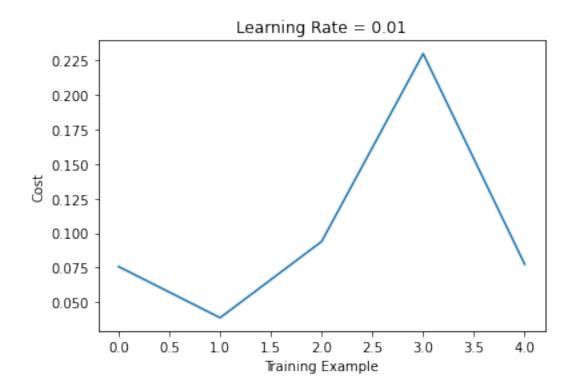


Accuracy: 96.3%, Avg loss: 0.233525

Epoch 11

loss: 0.075799 [0/60000] loss: 0.038790 [12800/60000] loss: 0.093981 [25600/60000]

loss: 0.229996 [38400/60000] loss: 0.077525 [51200/60000]

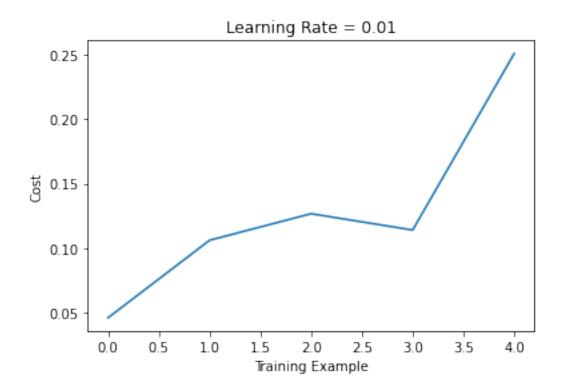


Accuracy: 97.0%, Avg loss: 0.177935

Epoch 12

loss: 0.046073 [0/60000]

loss: 0.106128 [12800/60000] loss: 0.126693 [25600/60000] loss: 0.114033 [38400/60000] loss: 0.250782 [51200/60000]

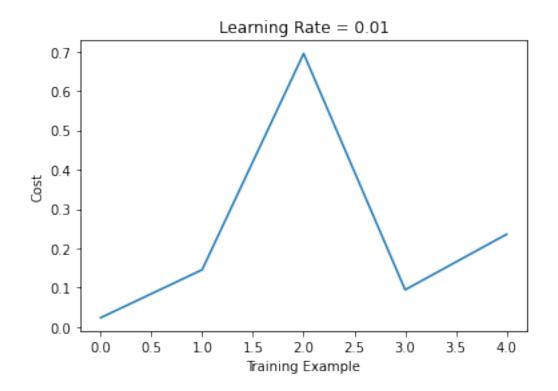


Accuracy: 96.4%, Avg loss: 0.210514

Epoch 13

loss: 0.023362 [0/60000] loss: 0.145284 [12800/60000]

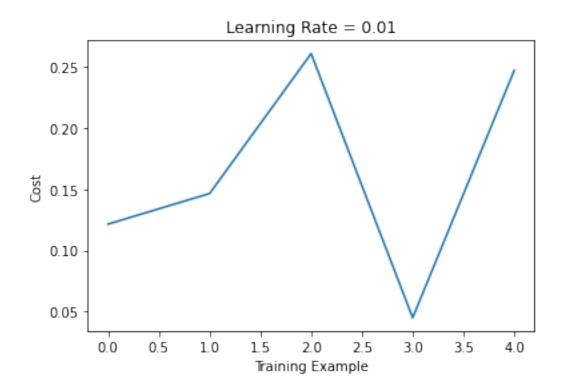
loss: 0.695097 [25600/60000] loss: 0.094421 [38400/60000] loss: 0.235781 [51200/60000]



Accuracy: 97.0%, Avg loss: 0.185927

Epoch 14

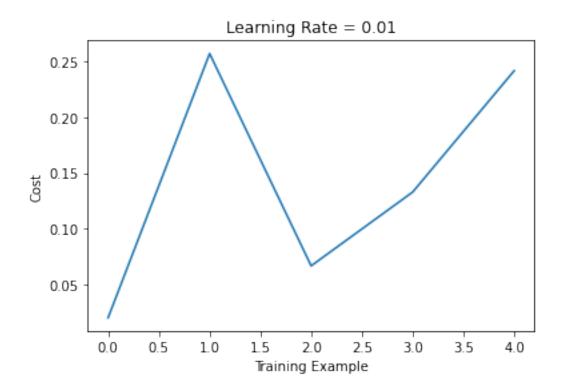
loss: 0.121545 [0/60000] loss: 0.146501 [12800/60000] loss: 0.260724 [25600/60000] loss: 0.045096 [38400/60000] loss: 0.246905 [51200/60000]



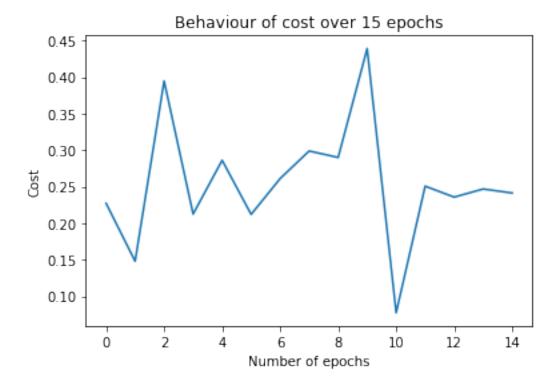
Accuracy: 97.2%, Avg loss: 0.173019

Epoch 15

loss: 0.020595 [0/60000] loss: 0.256726 [12800/60000] loss: 0.066982 [25600/60000] loss: 0.132920 [38400/60000] loss: 0.241406 [51200/60000]



Accuracy: 97.4%, Avg loss: 0.194160



We see that the PyTorch model has given almost the same test accuracy as the model we coded from scratch. However, it trains much faster as compared to the scratch model probably due to the choice of optimizer and under the hood optimizations in the package itself.

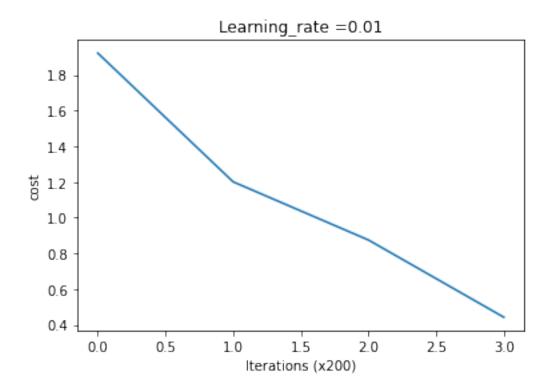
3.5 Regularized Model

To improve generalization accuracy and prevent the model from overfitting the training set, we can add regularization to the backward propagation algorithm. We can now check if $best_model$ performs better on test set if regularization is done. Here, we use L_2 regularization. Just changing the value of lambd to some value greater than 0 would regularize the model. However, there has to be some optimal value for lambd such that there is a balance in capacity and the generalization ability of the model.

```
[33]: # Trying out lambd=0.7
regularized_best_model = epoch_model(training_data, layer_dims, num_epochs=15,__
batch_size=64,
learning_rate=0.01, lambd=0.8, nonlinear=relu,\
der_nonlinear = der_relu,
print_cost=True,
show_plot =True,
show_train=True)
```

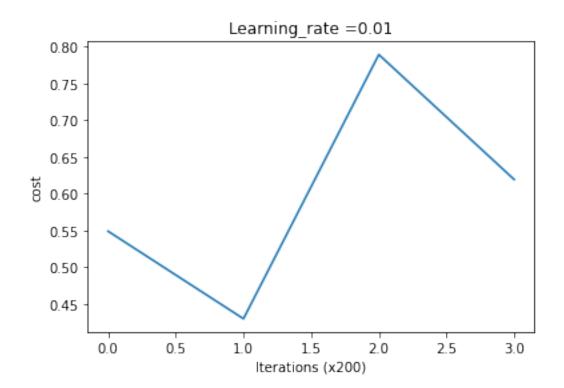
Training for Epoch Number 1

Cost after iteration 200 : 1.921691171363094 Cost after iteration 400 : 1.2010296739338582 Cost after iteration 600 : 0.8751268228403619 Cost after iteration 800 : 0.4427283613803552

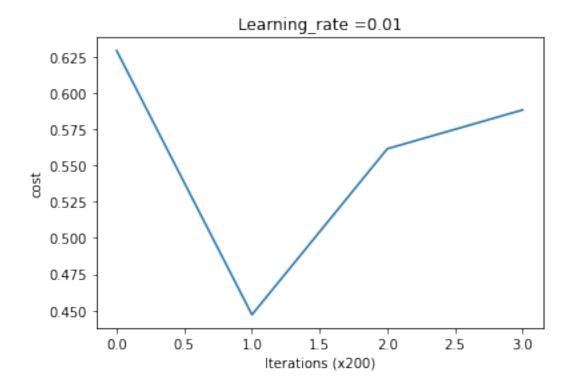


Training for Epoch Number 2

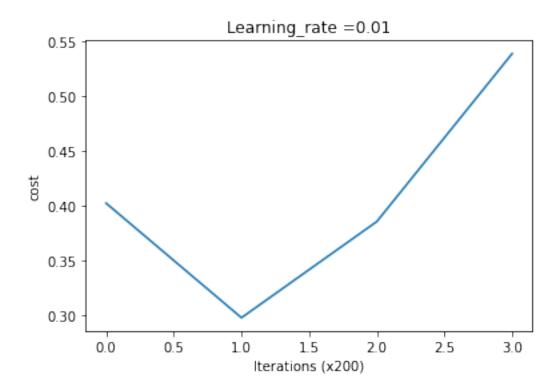
Cost after iteration 200 : 0.5487753300968103 Cost after iteration 400 : 0.4297731787926241 Cost after iteration 600 : 0.7890756176756167 Cost after iteration 800 : 0.6191274861783552



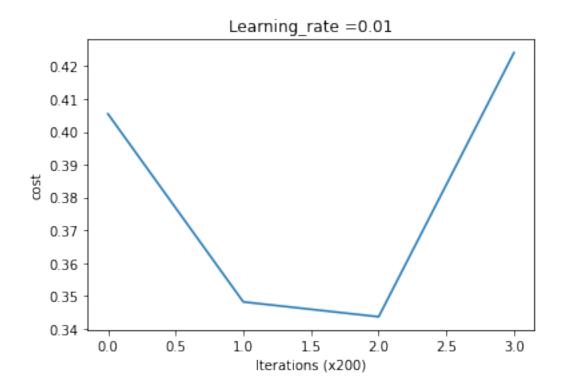
Cost after iteration 200 : 0.629187268100183 Cost after iteration 400 : 0.4470556888860723 Cost after iteration 600 : 0.5614872730620775 Cost after iteration 800 : 0.588295833660319



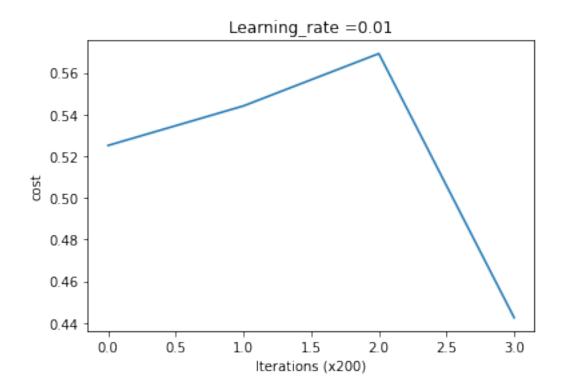
Cost after iteration 200 : 0.4019912774021217 Cost after iteration 400 : 0.29732292247011677 Cost after iteration 600 : 0.38521337674775646 Cost after iteration 800 : 0.5386974178767678



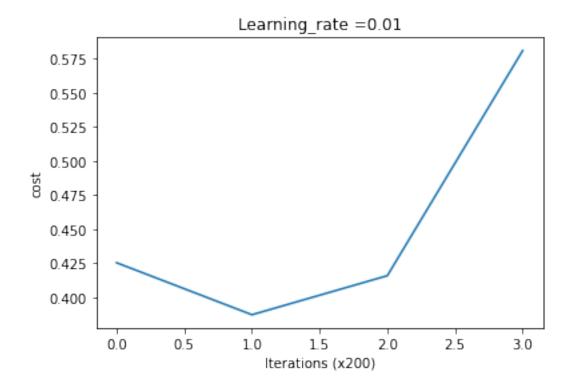
Cost after iteration 200 : 0.4054562052648761 Cost after iteration 400 : 0.34813120832768285 Cost after iteration 600 : 0.3436033781643477 Cost after iteration 800 : 0.4241443067928701



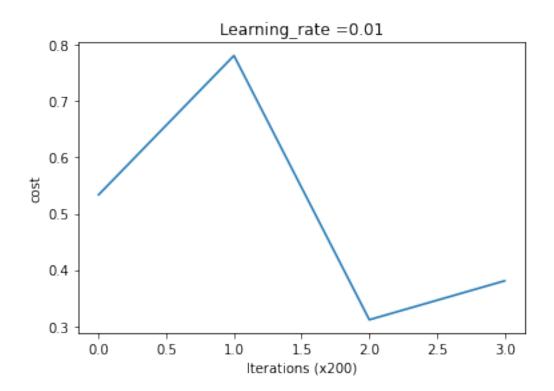
Cost after iteration 200 : 0.5251386991434732 Cost after iteration 400 : 0.5441049775594764 Cost after iteration 600 : 0.5692020543125094 Cost after iteration 800 : 0.44252122844777275



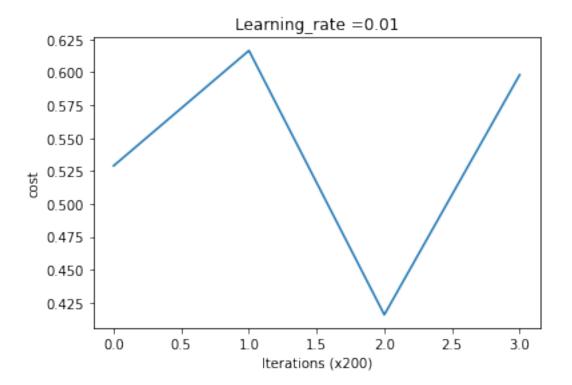
Cost after iteration 200 : 0.4251830842474056Cost after iteration 400 : 0.38702588813692473Cost after iteration 600 : 0.4156866844067752Cost after iteration 800 : 0.5808985181551343



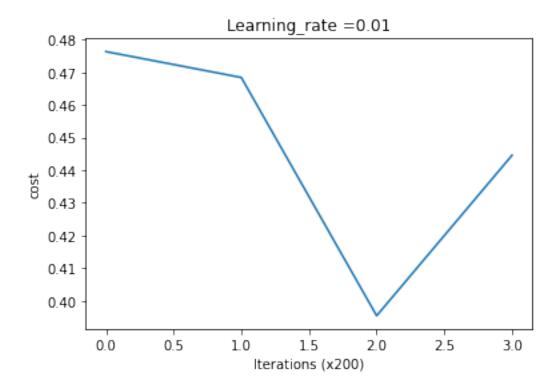
Cost after iteration 200 : 0.533627995462873 Cost after iteration 400 : 0.7811864533042518 Cost after iteration 600 : 0.31128736598160744 Cost after iteration 800 : 0.3805287036188477



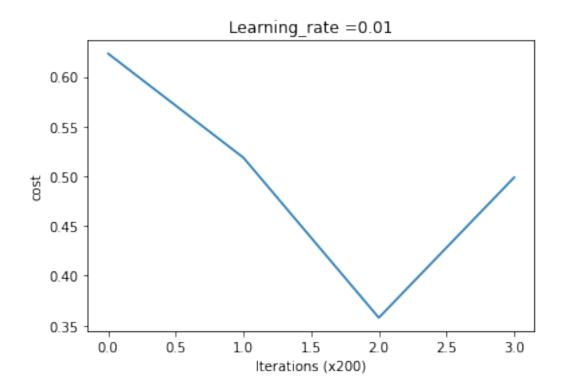
Cost after iteration 200 : 0.528941322317743 Cost after iteration 400 : 0.6164911291587707 Cost after iteration 600 : 0.41578193228855675 Cost after iteration 800 : 0.5981413556300255



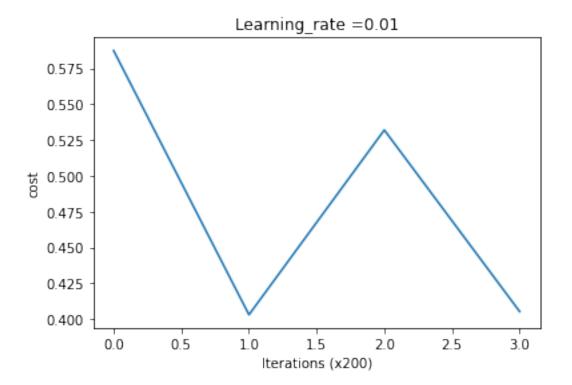
Cost after iteration 200 : 0.4763149715527889 Cost after iteration 400 : 0.4683875750420305 Cost after iteration 600 : 0.39542775542300945 Cost after iteration 800 : 0.44455579998699735



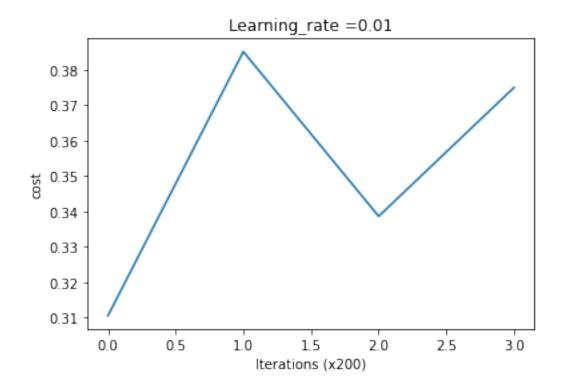
Cost after iteration 200 : 0.6233578865051213 Cost after iteration 400 : 0.5186653113317176 Cost after iteration 600 : 0.35775002803839795 Cost after iteration 800 : 0.49887359369737116



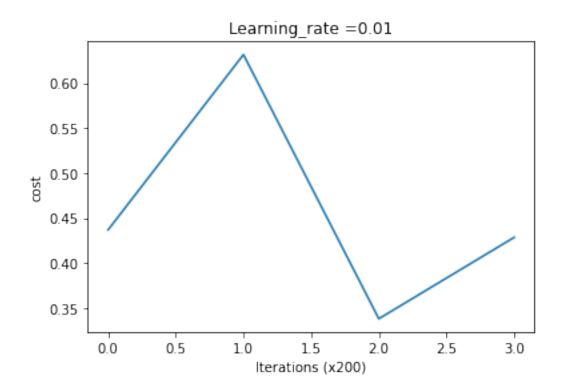
Cost after iteration 200 : 0.587392007263277 Cost after iteration 400 : 0.4029743206979053 Cost after iteration 600 : 0.531936377621365 Cost after iteration 800 : 0.40519176989702277



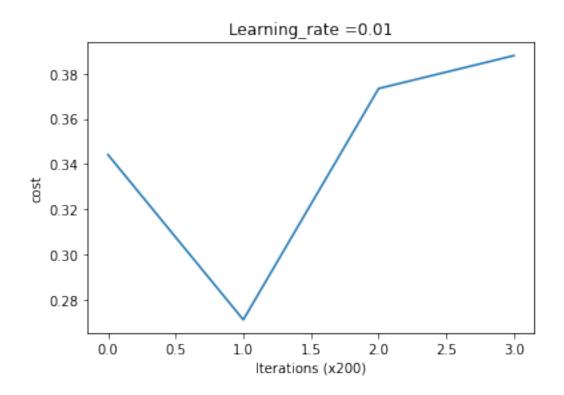
Cost after iteration 200 : 0.3105387689093293 Cost after iteration 400 : 0.38512094632741667 Cost after iteration 600 : 0.33862109237316196 Cost after iteration 800 : 0.37496675316151007

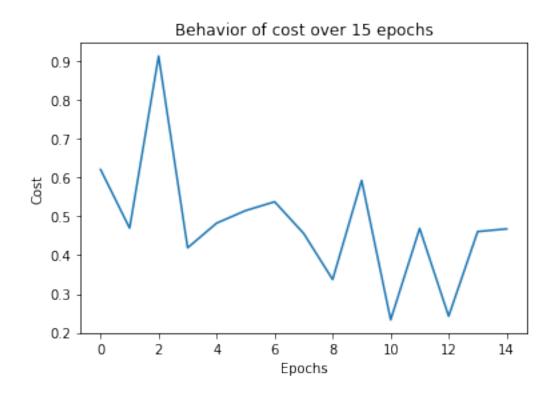


Cost after iteration 200 : 0.4369755157354851 Cost after iteration 400 : 0.6317832196358568 Cost after iteration 600 : 0.3382360305391861 Cost after iteration 800 : 0.4286730242255878



Cost after iteration 200 : 0.3441261642144566 Cost after iteration 400 : 0.27109409266374723 Cost after iteration 600 : 0.37338241106940273 Cost after iteration 800 : 0.387951171584271





Let's check how the model performs now on the test set.

Accuracy on the train data is: 94.27166666666666% Accuracy on the test data is: 94.28%

	precision	recall	f1-score	support
0	0.95	0.98	0.96	980
1	0.97	0.98	0.98	1135
2	0.95	0.93	0.94	1032
3	0.92	0.96	0.94	1010
4	0.94	0.94	0.94	982
5	0.94	0.92	0.93	892
6	0.96	0.94	0.95	958
7	0.96	0.92	0.94	1028
8	0.93	0.92	0.92	974
9	0.92	0.92	0.92	1009
accuracy			0.94	10000
macro avg	0.94	0.94	0.94	10000
weighted avg	0.94	0.94	0.94	10000

The regularized model has improved the test accuracy at the cost of reduction in training accuracy. At the same time the accuracy has reduced which could mean that this value of lambd is not optimal as it has hindered the learning capacity of the model. An optimal lambd value would strike a balance between generalization (more accuracy over unseen test examples) and the learning capacity of the model. It is also possible we might to choose a different regularization method for this to get the perfect balance between the two.

4 Conclusion

In this assignment, we coded a neural network from scratch to perform the task of handwritten digit recognition for the MNIST dataset. Given a baseline model architecture and hyperparameters, we improved significantly to better models just by changing the activation function and introducing regularization. We also see how modern Python packages like PyTorch are more convenient to use and tune for better performance. Our scratch model performs almost just as well as the PyTorch model however, it takes much longer to train.

Other experiments could also be done to further improve the best_model such as increasing the number of neurons in each layer would help us better approximate the functional relationship between input and output (*Universal Approximation Theorem*) along with regularization. Change in learning rate could also significantly improve performance and help escape local minimas.