

# COMPILER DESIGN

*UNIT 1*

*PART 3*

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# GRAMMAR

- the formal definition of the syntax of a programming language is usually called 'Grammar'
- A Grammar consist of a set of rules to specify the sequence of characters that form allowable program in the language being defined.
- Formal Grammar : A Grammar specified using a strictly defined notations
- Equivalent Grammar : two grammar are equivalent if they produce the same language.

	S -> bS
S -> bS	S -> aT
S -> Sb	T -> bT
S -> a	T -> ε

- Regular Grammar: Regular grammar are special cases of BNF grammar that turn out to be equivalent to the finite state automata.
- Regular Expression: a form of language definition that is equivalent to FSA and regular grammar.
  - a special text string for describing a search pattern.

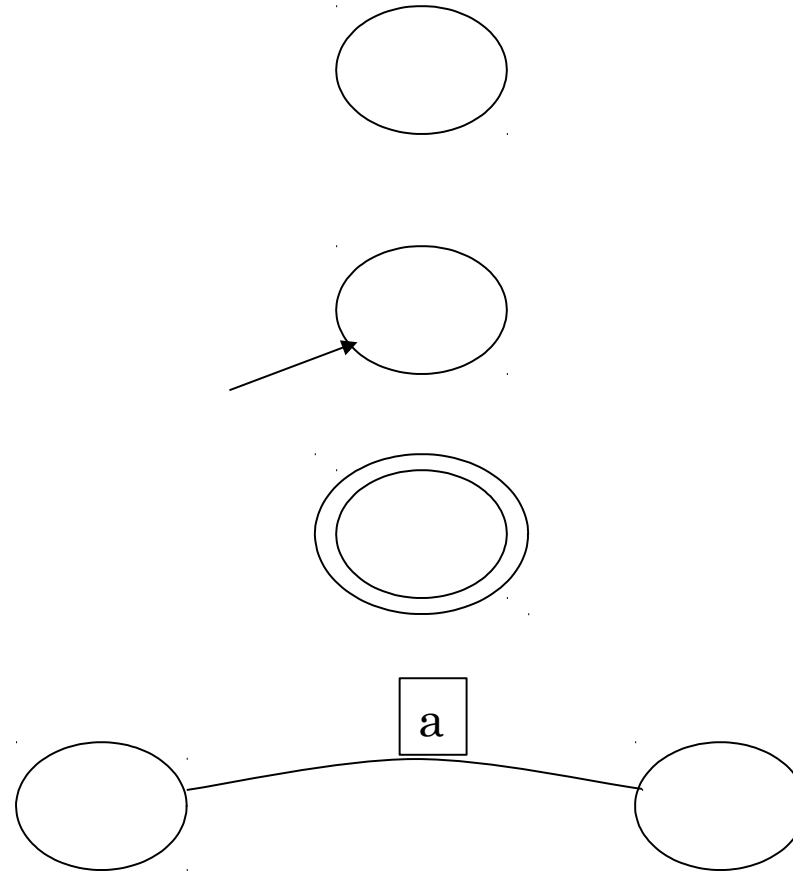
# FINITE AUTOMATA

- ❖ Regular expressions = specification
- ❖ Finite automata = implementation
- ❖ A finite automaton consists of
  - An input alphabet  $\Sigma$
  - A set of states  $S$
  - A start state  $n$
  - A set of accepting states  $F \subseteq S$
  - A set of transitions  $\text{state} \rightarrow^{\text{input}} \text{state}$

# FINITE AUTOMATA STATE GRAPHS

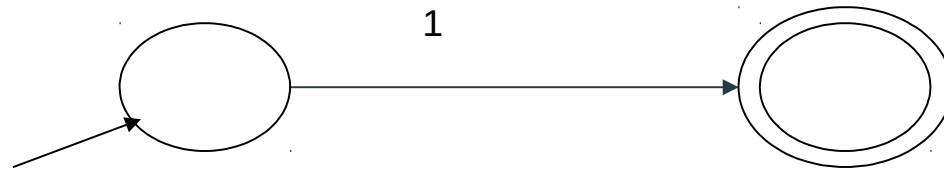
❖ A state

- The start state
- An accepting state
- A transition



## A SIMPLE EXAMPLE

◆? A finite automaton that accepts only “1”



◆? A finite automaton accepts a string if we can follow

◆? transitions labeled with the characters in the string from the start to some accepting state

# EPSILON MOVES

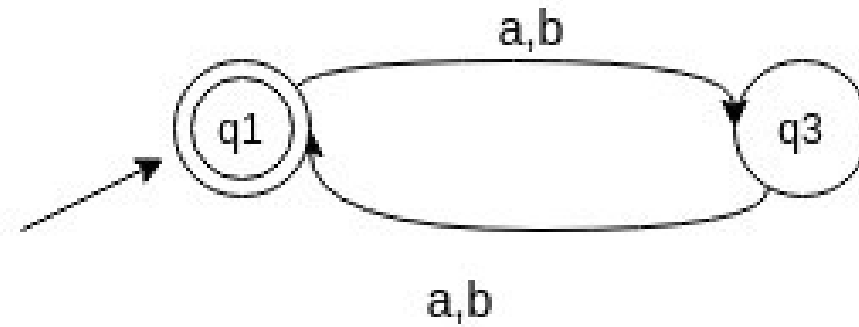
❖ Another kind of transition:  $\epsilon$ -moves



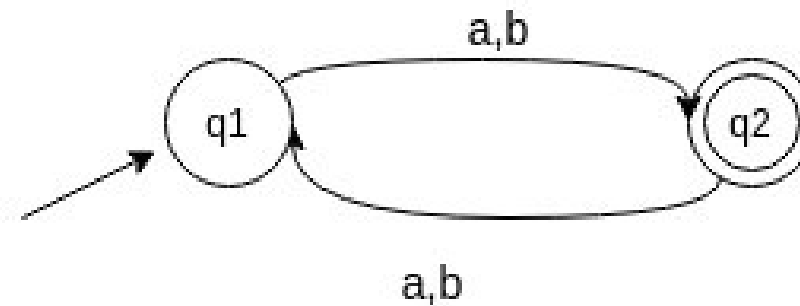
- Machine can move from state A to state B without reading input

# A SIMPLE EXAMPLE

DFA for  $W \in a,b$   
 $|w| \bmod 2 = 0$



DFA for  $W \in a,b$   
 $|w| \bmod 2 = 1$



DFA for  $W \in a,b$   
 $|w| \bmod 3 = 0$

# DETERMINISTIC AND NONDETERMINISTIC AUTOMATA

## ❖ Deterministic Finite Automata (DFA)

- One transition per input per state
- No  $\epsilon$ -moves

## ❖ Nondeterministic Finite Automata (NFA)

- Can have multiple transitions for one input in a given state
- Can have  $\epsilon$ -moves



## ARDEN'S THEOREM STATE THAT:

If P and Q are two regular expressions over  $\Sigma$ , and if P does not contain  $\epsilon$ , then the following equation in R given by  **$R = Q + RP$**

has an unique solution i.e.,

$$\mathbf{R = QP^*}$$

Let's start by taking this equation as equation (i)

$$R = Q + RP \text{ .....(i)}$$

Now, replacing R by  $R = QP^*$ , we get,

$$R = Q + QP^*P$$

Taking Q as common,

$$R = Q(\epsilon + P^*P)$$

$$R = QP^*$$

# EXAMPLES

Initial and final state- $q_1$

**Step-01:**

equations are

$$q_1 = q_1 a + q_3 a + \epsilon \quad (1)$$

$$q_2 = q_1 b + q_2 b + q_3 b \quad (2)$$

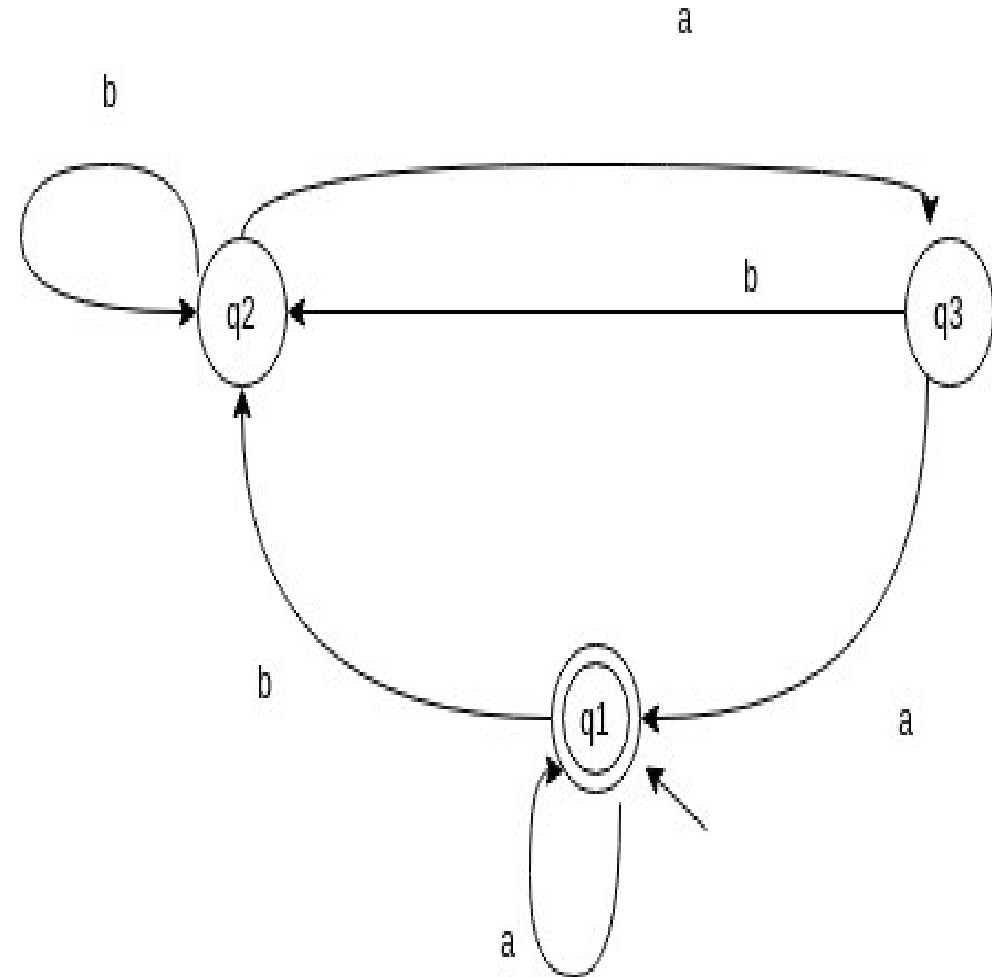
$$q_3 = q_2 a \quad (3)$$

Bring state  $q_2$  in the form  $R = Q + RP$ .

$$\begin{aligned} q_2 &= q_1 b + q_2 b + (q_2 a) b \\ &= q_1 b + q_2 (b + a b) \end{aligned}$$

by arden's theorem

$$q_2 = q_1 b (b + ab)^* \quad (4)$$



# EXAMPLES

Using (3) in (1), we get-

$$\begin{aligned} q1 &= q1 a + q3 a + \epsilon \\ &= q1 a + (q2 a) a + \epsilon \end{aligned}$$

Using (4) in (1), we get-

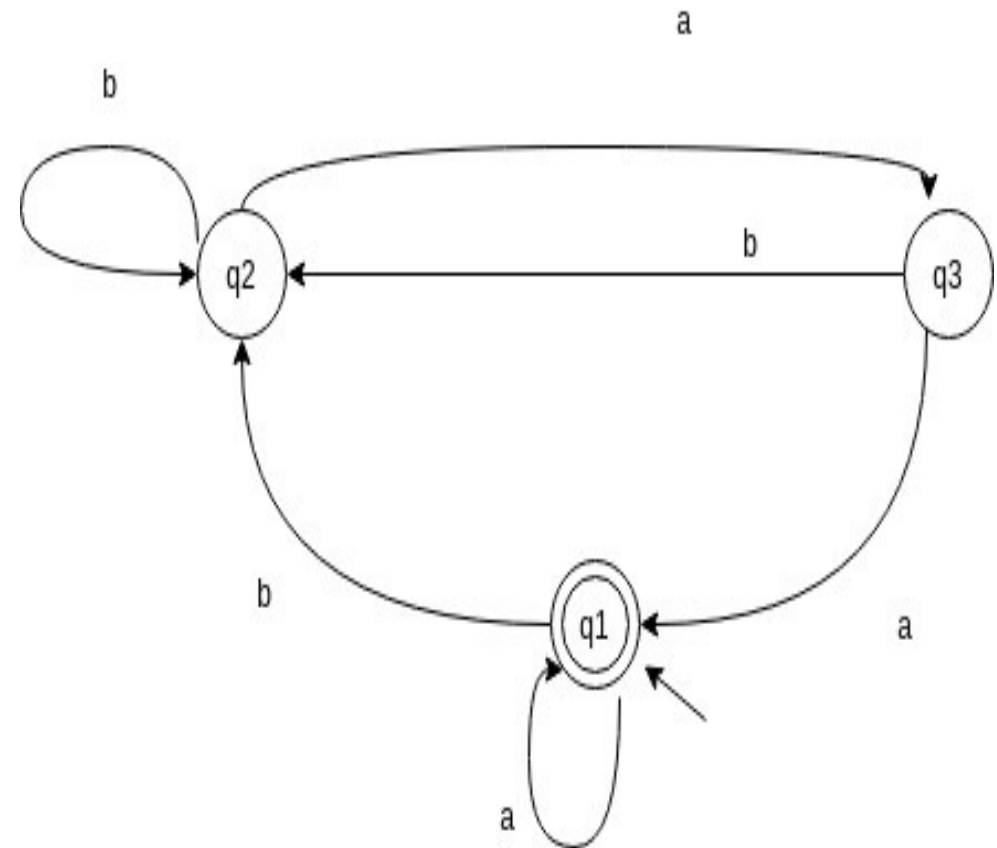
$$\begin{aligned} &= q1 a + q1 b (b+ab)^* a a + \epsilon \\ &= q1 (a+b (b+ab)^* a a) + \epsilon \end{aligned}$$

(5)

Using Arden's Theorem in (5), we get-

$$\begin{aligned} q1 &= \epsilon + q1 (a+b (b+ab)^* a a) \\ q1 &= (a+b(b+ab)^*aa)^* \end{aligned}$$

Thus, Regular Expression for the given DFA =  $(a+b(b+ab)^*aa)^*$



# ARDEN'S THEOREM

Construct the regular expression for the given DFA

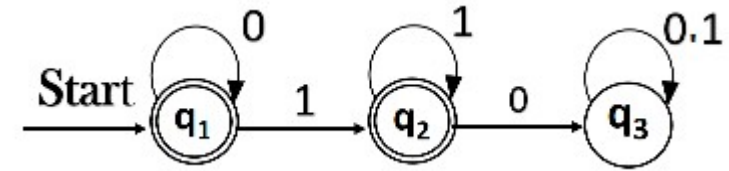
Solution:

Let us write down the equations

$$q_1 = q_1 0 + \epsilon$$

Since  $q_1$  is the start state, so  $\epsilon$  will be added, and the input 0 is coming to  $q_1$  from  $q_1$  hence we write

State = source state of input  $\times$  input coming to it



Similarly,

$$q_2 = q_1 1 + q_2 1$$

$$q_3 = q_2 0 + q_3$$

$$(0+1)$$

Since the final states are  $q_1$  and  $q_2$ , we are interested in solving  $q_1$  and  $q_2$  only. Let us see  $q_1$  first

$$q_1 = q_1 0 + \varepsilon$$

We can re-write it as

$$q_1 = \varepsilon + q_1 0$$

$$R = Q + R P$$

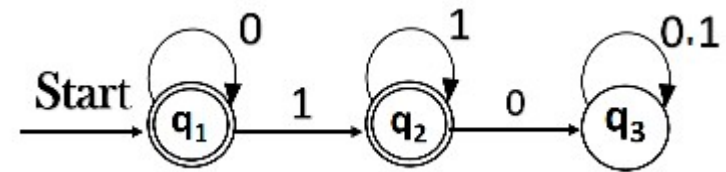
Which is similar to  $R = Q + RP$ ,  
and gets reduced to  $R = QP^*$ .

Assuming  $R = q_1$ ,  $Q = \varepsilon$ ,  $P = 0$

We get

$$q_1 = \varepsilon.(0)^*$$

$$q_1 = 0^*$$



$$q_1 = 0^*$$

Substituting the value into  $q_2$ , we will get

$$q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1$$

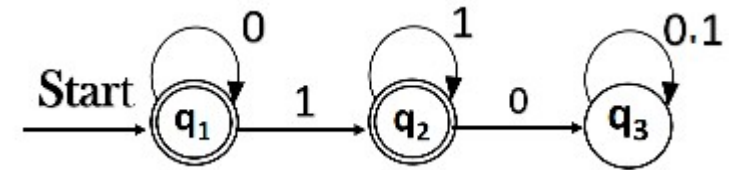
$$R = Q + R P$$

The regular expression is given by

$$r = q_1 + q_2$$

$$= 0^* + 0^* 1(1)^*$$

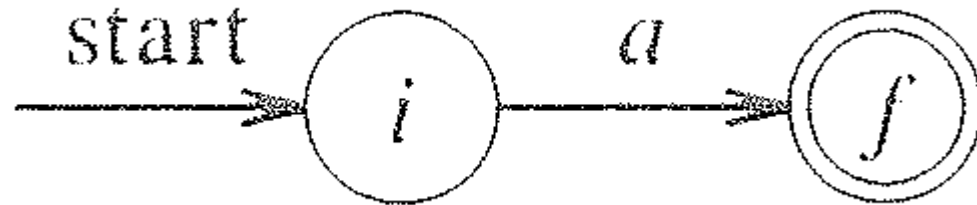
$$r = 0^* + 0^* 1 1^*$$



# THOMPSON CONSTRUCTION

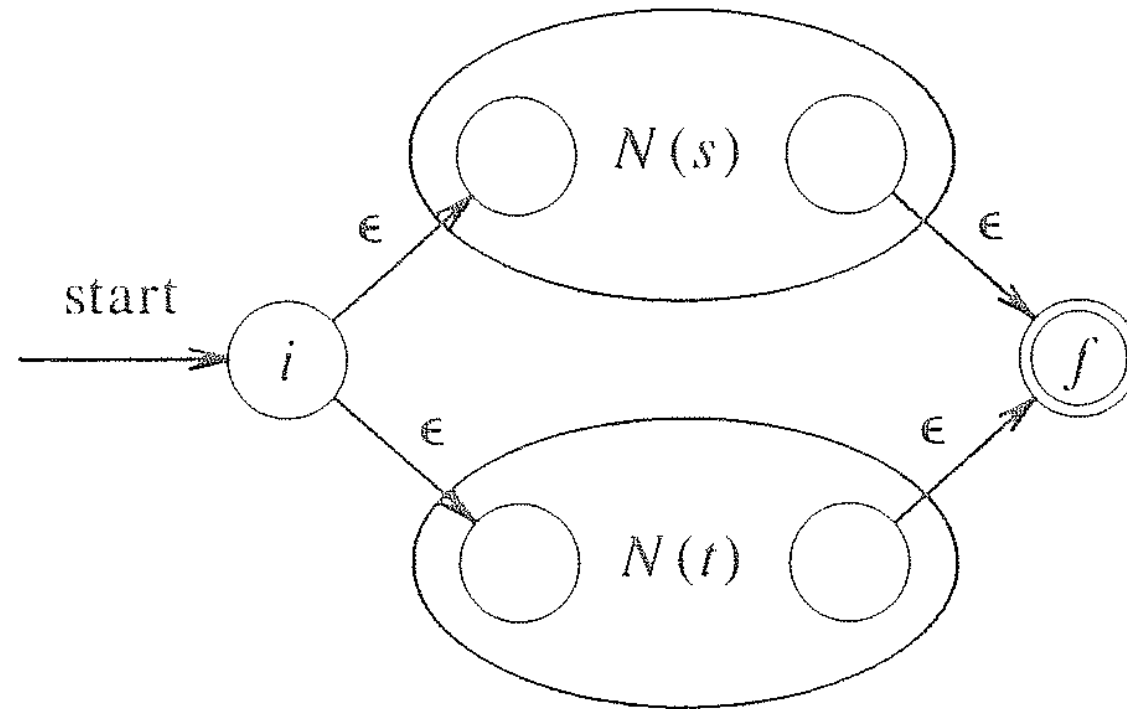
Construction of an NFA from a Regular Expression

BASIS: For expression  $a$  construct the NFA



# THOMPSON CONSTRUCTION

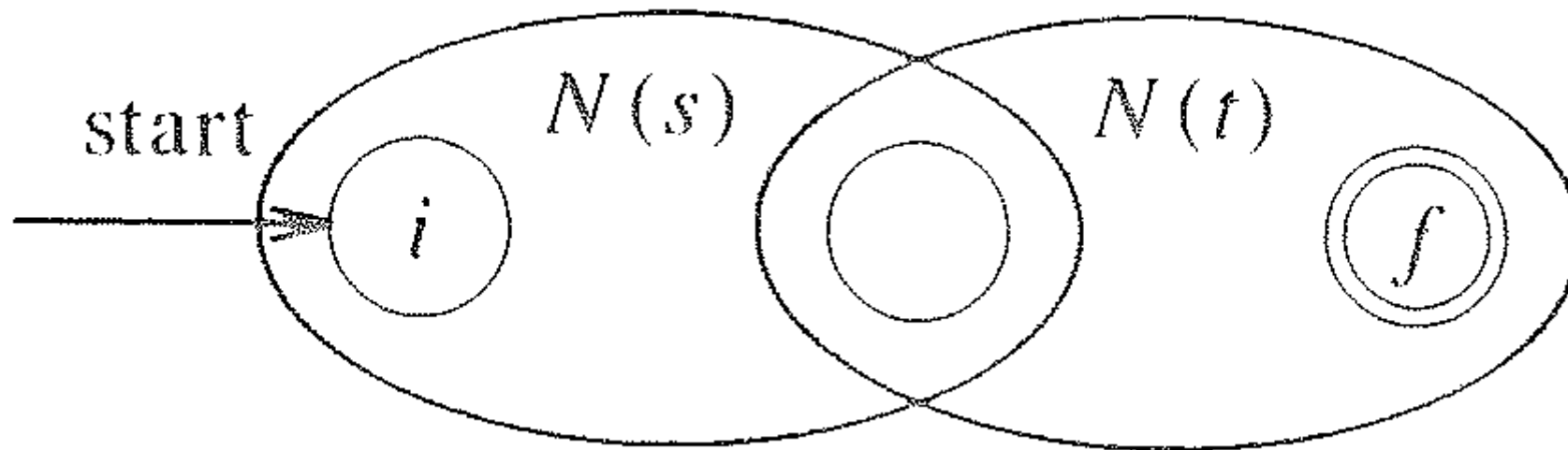
For the regular expression  $s|t$ ,





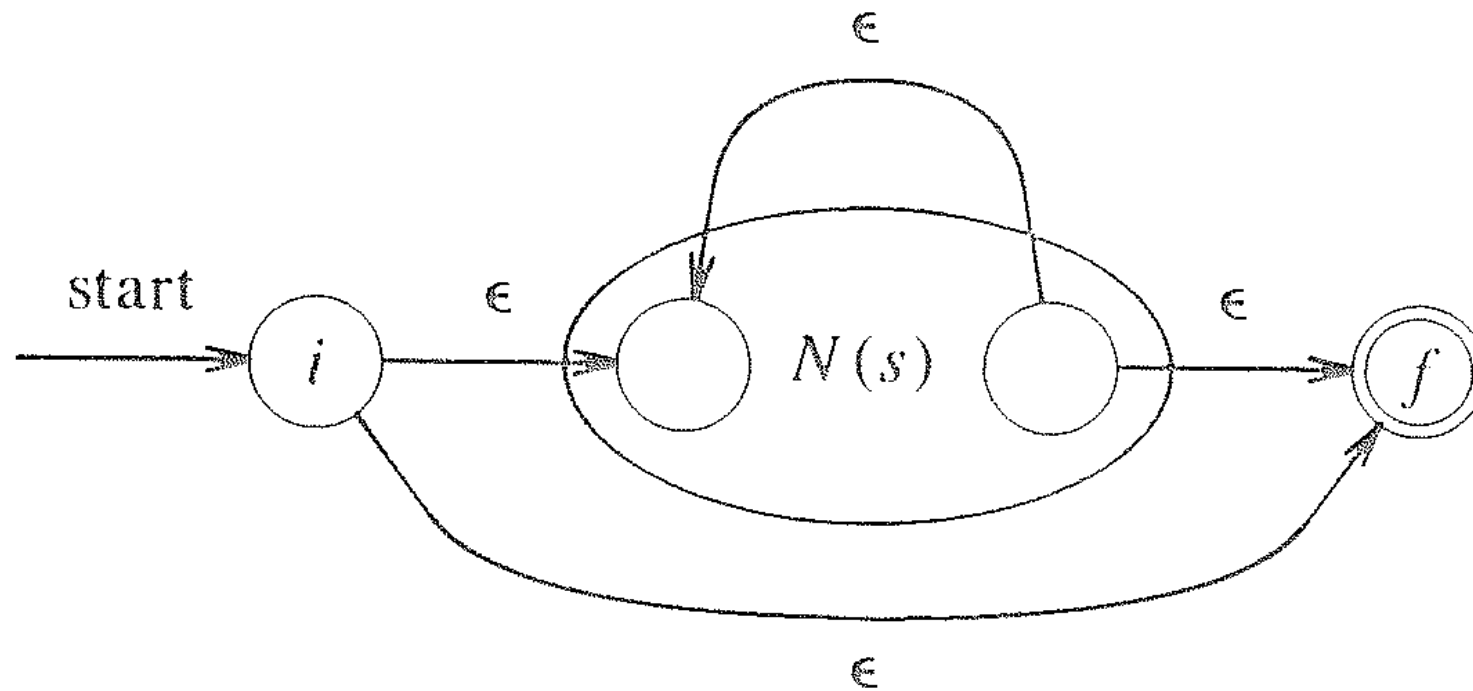
# THOMPSON CONSTRUCTION

For the regular expression  $st$ ,



# THOMPSON CONSTRUCTION

For the regular expression  $S^*$ ,



# EXAMPLE

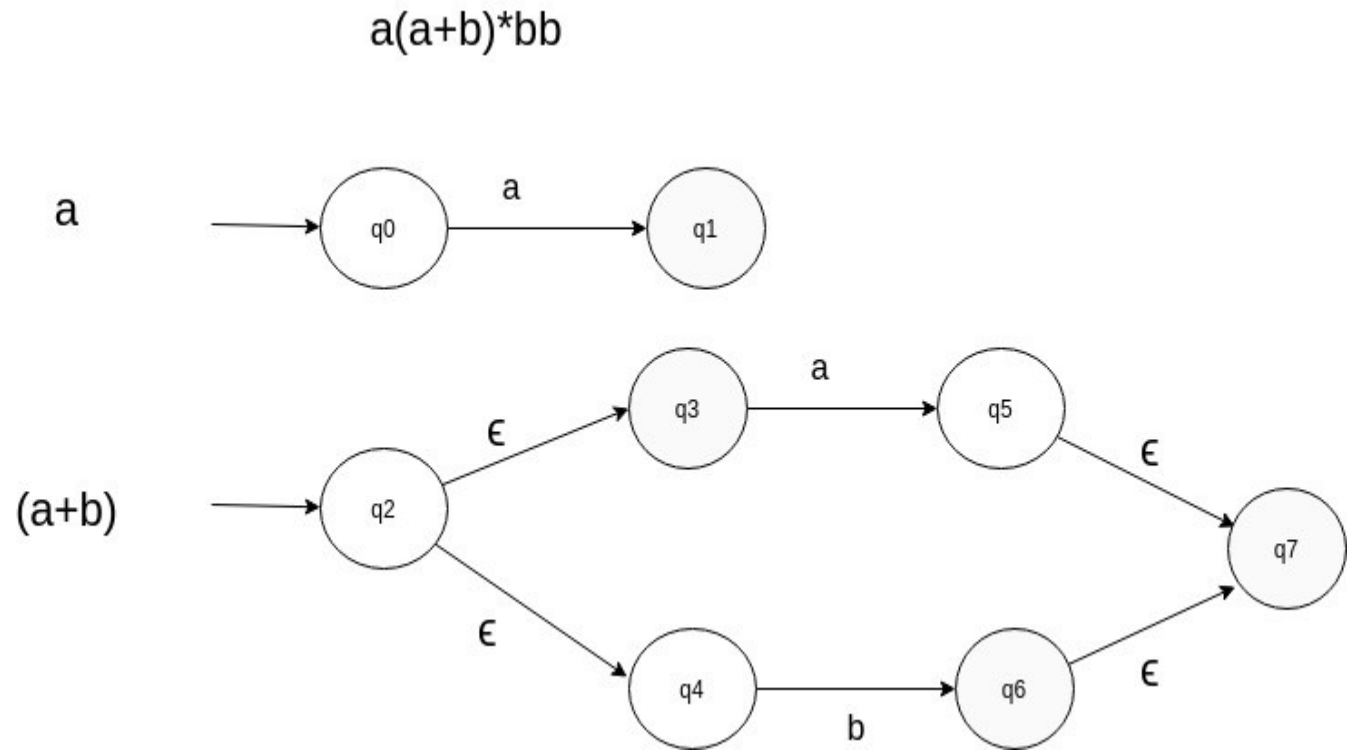
construct an NFA for  $r =$

$r_1 = a,$

$r_2 = (a+b)$

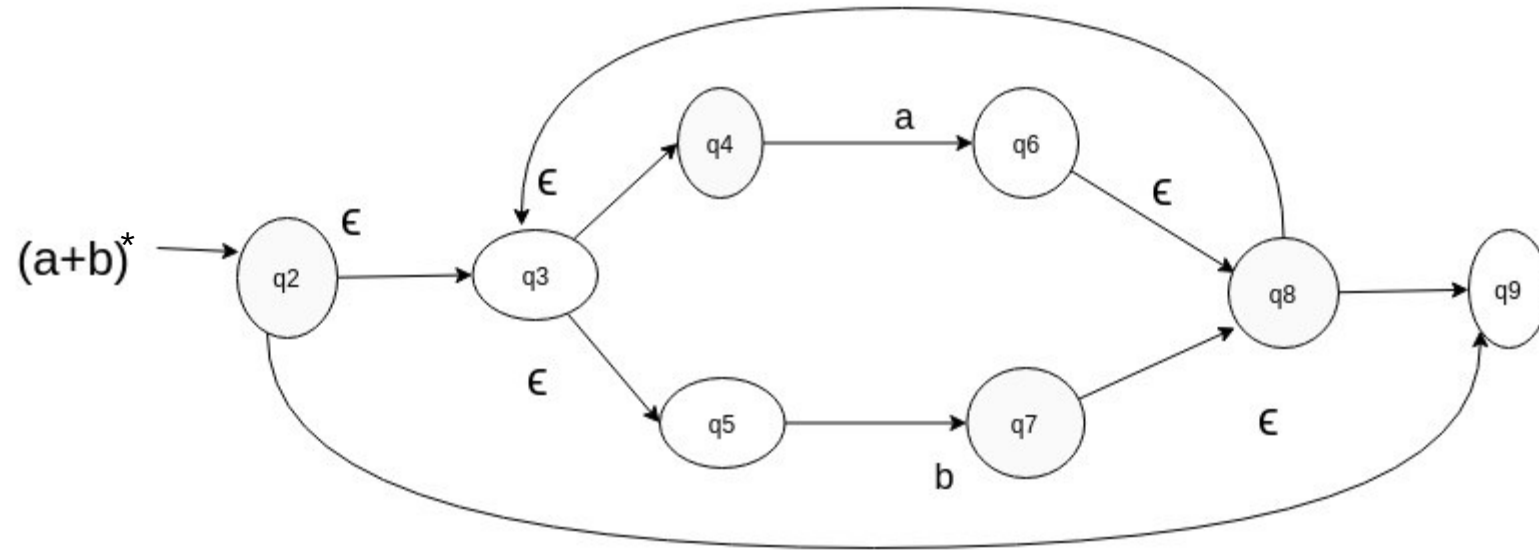
$r_3 = (a+b)^*$

$r_4 = bb$

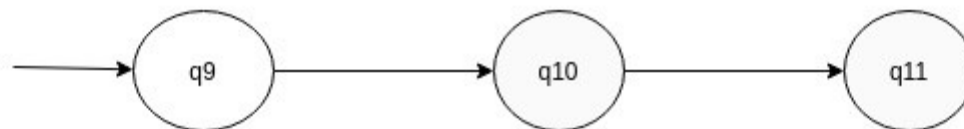


# EXAMPLE

$a(a+b)^*bb$

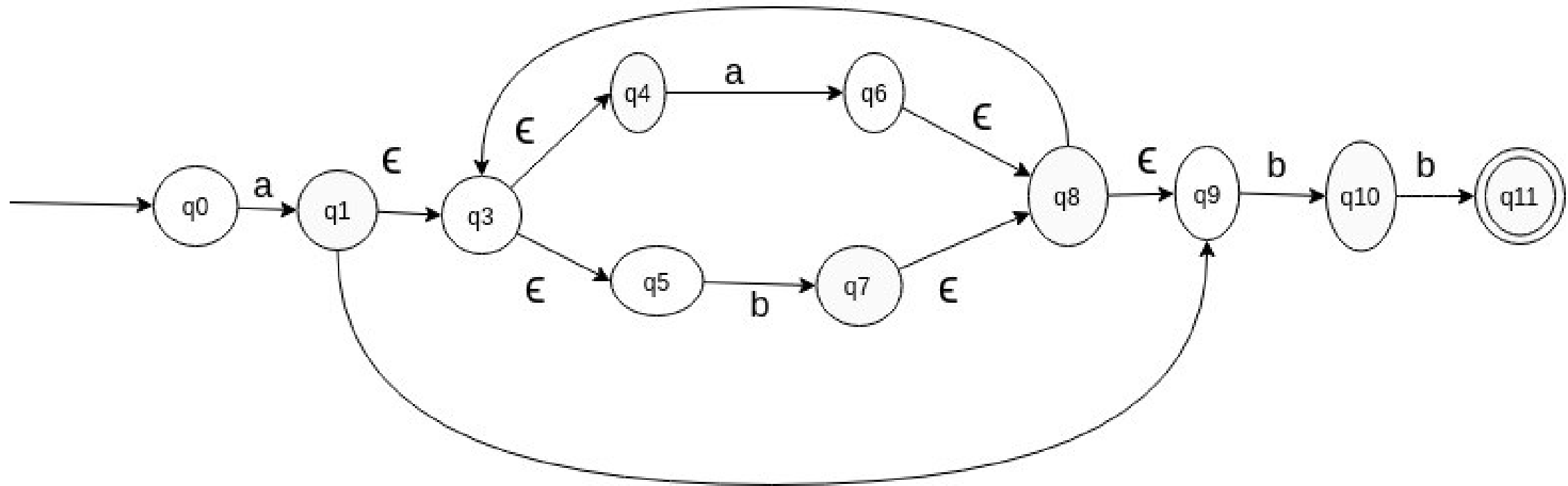


$bb$



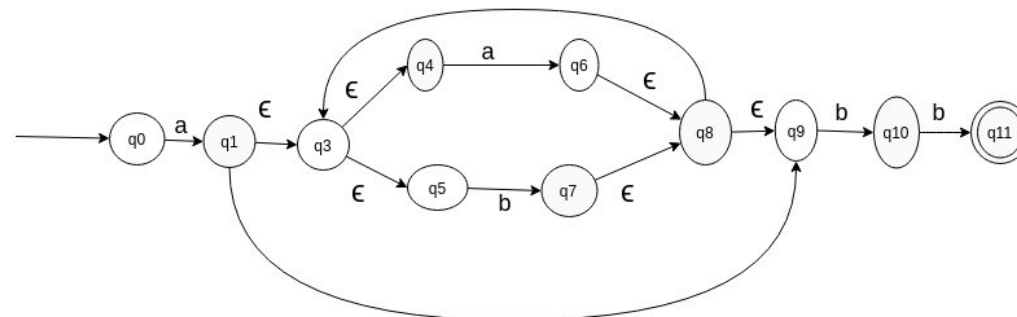
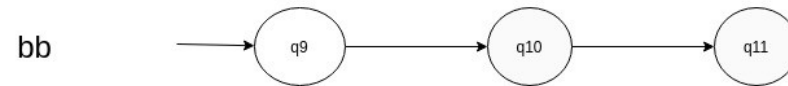
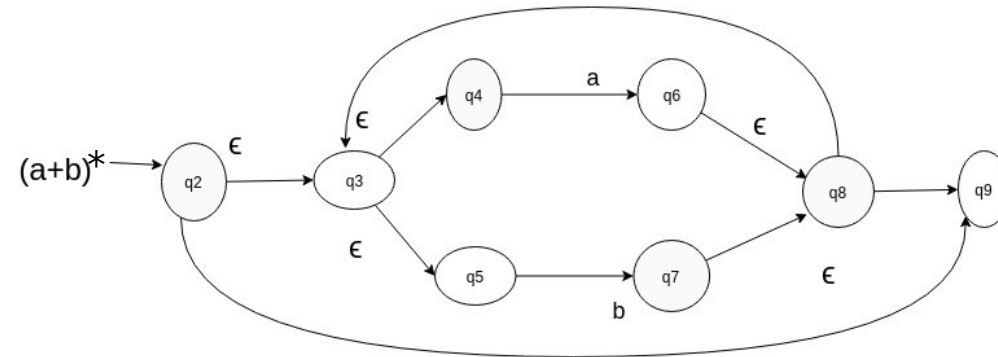
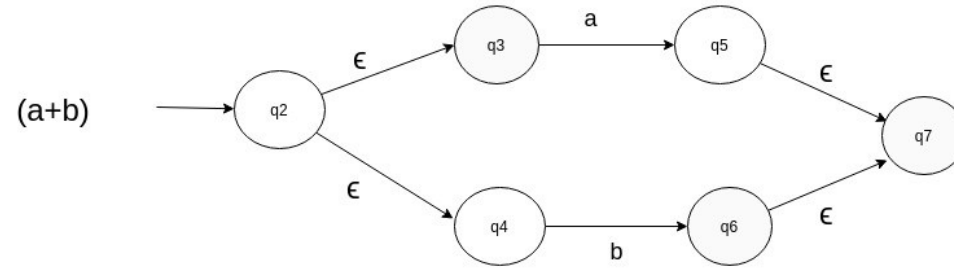
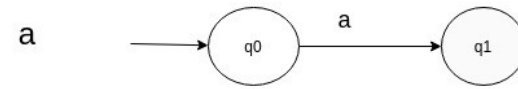
# EXAMPLE

$a(a+b)^*bb$



# EXAMPLE

$a(a+b)^*bb$



# EXAMPLE

- $(a|b)^*a$
- $(b+ab^*a)^*$
- $0^*10^*$

# THE SUBSET CONSTRUCTION ALGORITHM

The algorithm for constructing a DFA from a given NFA such that it recognizes the same language is called subset construction.

Operation	Definition
$\epsilon\text{-closure}(s)$	set of NFA states reachable from state $s$ on $\epsilon$ -transition
$\epsilon\text{-closure}(T)$	set of NFA states reachable from some $s$ in $T$ on $\epsilon$ -transition
$\text{move}(T, a)$	set of NFA states to which there is transition on input $a$ from some state $s$ in the set $T$



# THE SUBSET CONSTRUCTION ALGORITHM

*Initialize:* Let  $\epsilon\text{-closure}(s_0)$  be the only state in  $Dstates$  ( of the DFA )

*Repeat:* while there are unmarked states  $T$  in  $Dstates$  do  
mark  $T$   
for each symbol  $a$  do  
     $U = \epsilon\text{-closure}(\text{move}(T, a))$   
    if  $U$  is not in  $Dstates$  then  
        add  $U$  as unmarked state in  $Dstates$   
     $Dtran[T, a] = U$   
end  
end

# EXAMPLE

## Steps to Convert NFA with $\epsilon$ -move to DFA :

**Step 1 :** Take  $\epsilon$  closure for the beginning state of NFA as beginning state of DFA.

**Step 2 :** Find the states that can be traversed from the present for each input symbol

(union of transition value and their closures for each states of NFA present in current state of DFA).

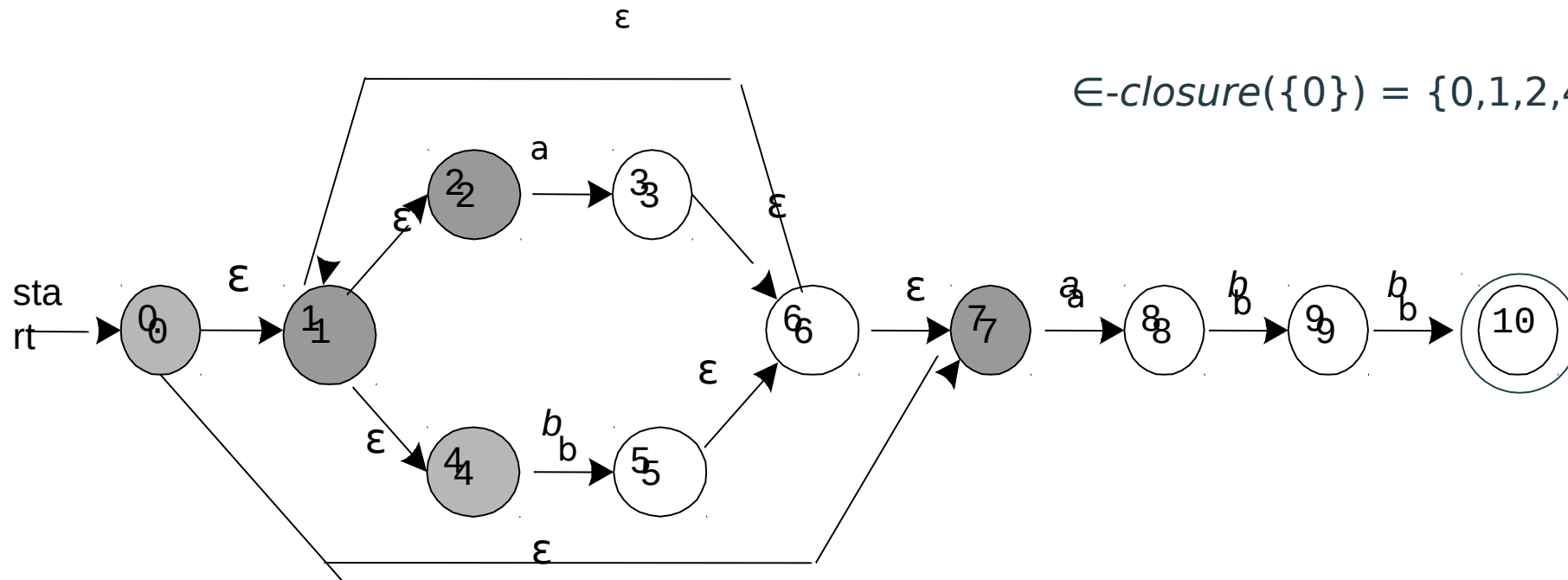
**Step 3 :** If any new state is found take it as current state and repeat step 2.

**Step 4 :** Do repeat Step 2 and Step 3 until no new state present in DFA transition table.

**Step 5 :** Mark the states of DFA which contains final state of NFA as final states of DFA.

# EXAMPLE

Convert the NFA for the expression:  $(a \mid b)^*abb$   
into a DFA using the subset construction algorithm.



$$\epsilon\text{-closure}(\{0\}) = \{0, 1, 2, 4, 7\} = A$$

# EXAMPLE

$\epsilon\text{-closure}(\{3, 8\}) = \epsilon\text{-closure}(3) \cup \epsilon\text{-closure}(8)$

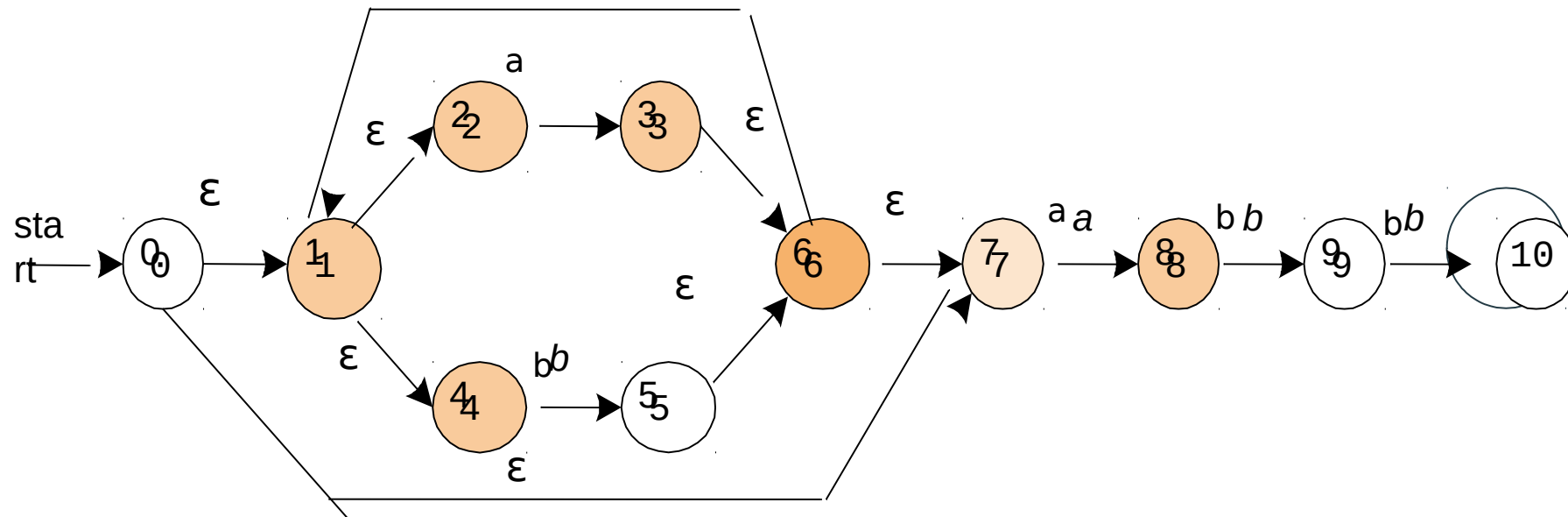
$\epsilon\text{-closure}(3) = \{1, 2, 3, 4, 6, 7\}$

$\epsilon\text{-closure}(8) = \{8\}$

$\epsilon\text{-closure}(\{3, 8\}) = \{1, 2, 3, 4, 6, 7, 8\}$

$\delta'(A, a) = \epsilon\text{-closure}(\text{move}(A, a))$   
 $= \epsilon\text{-closure}(\text{move}(\{0, 1, 2, 4, 7\}, a))$   
 $= \epsilon\text{-closure}(\{3, 8\})$   
 $= \{1, 2, 3, 4, 6, 7, 8\}$

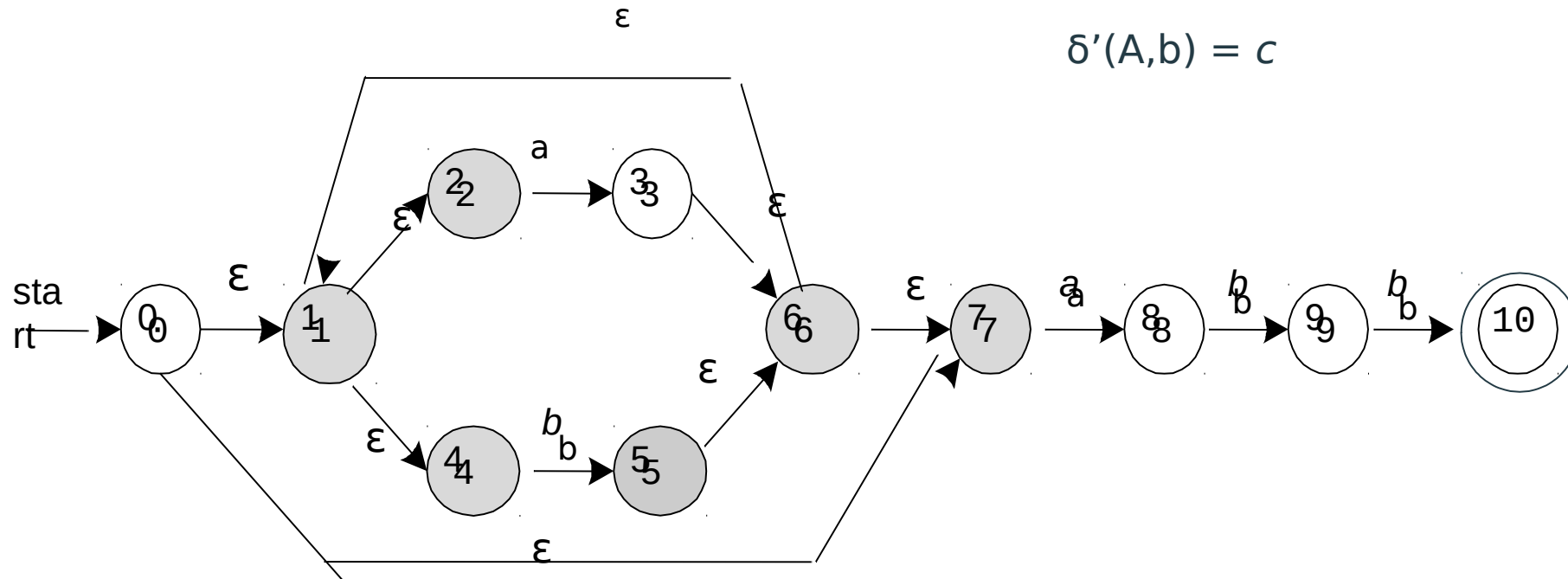
$\delta'(A, a) = B$



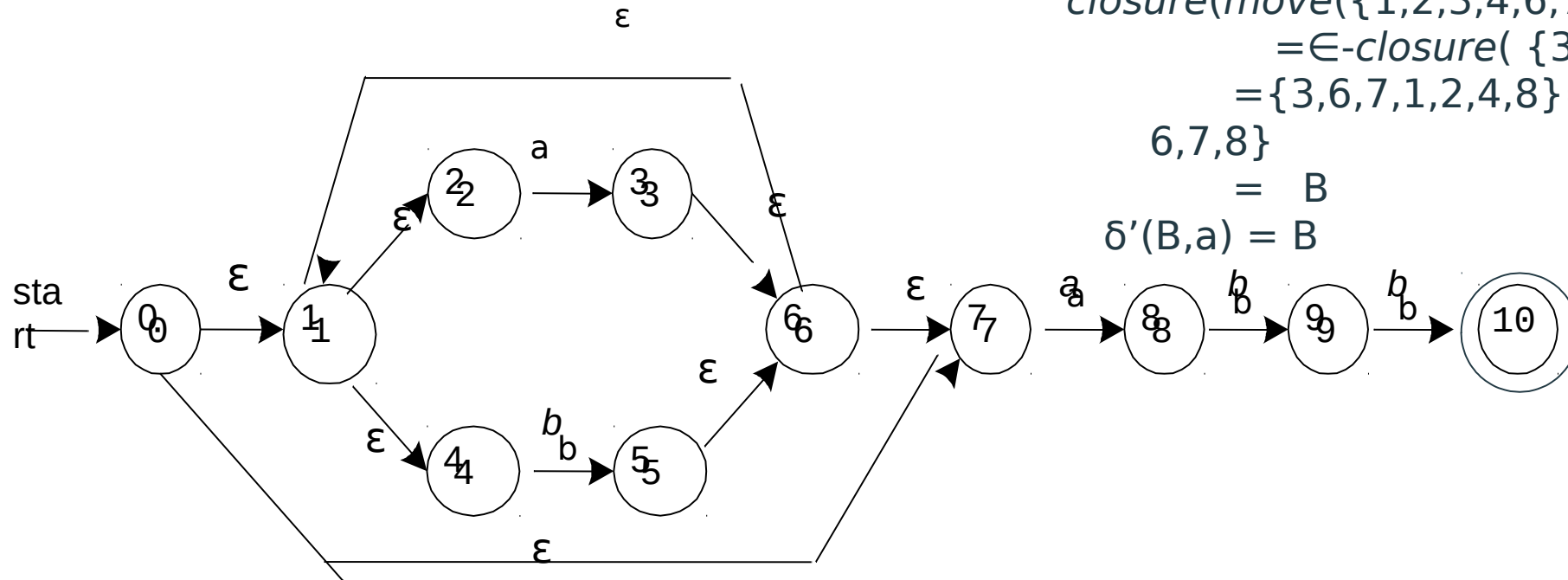
# EXAMPLE

$$\begin{aligned}
 \delta'(A,b) &= \epsilon\text{-closure}(\text{move}(A,b)) \\
 &= \epsilon\text{-closure}(\text{move}(\{0,1,2,4,7\},b)) \\
 &= \epsilon\text{-closure}(\{5\}) \\
 &= \{1,2,4,5,6,7\} \\
 &= C
 \end{aligned}$$

$$\delta'(A,b) = C$$



# EXAMPLE



$$\{1,2,3,4,6,7,8\} = B$$

$$\delta'(B,a) = \epsilon\text{-closure}(\text{move}(B,a))$$

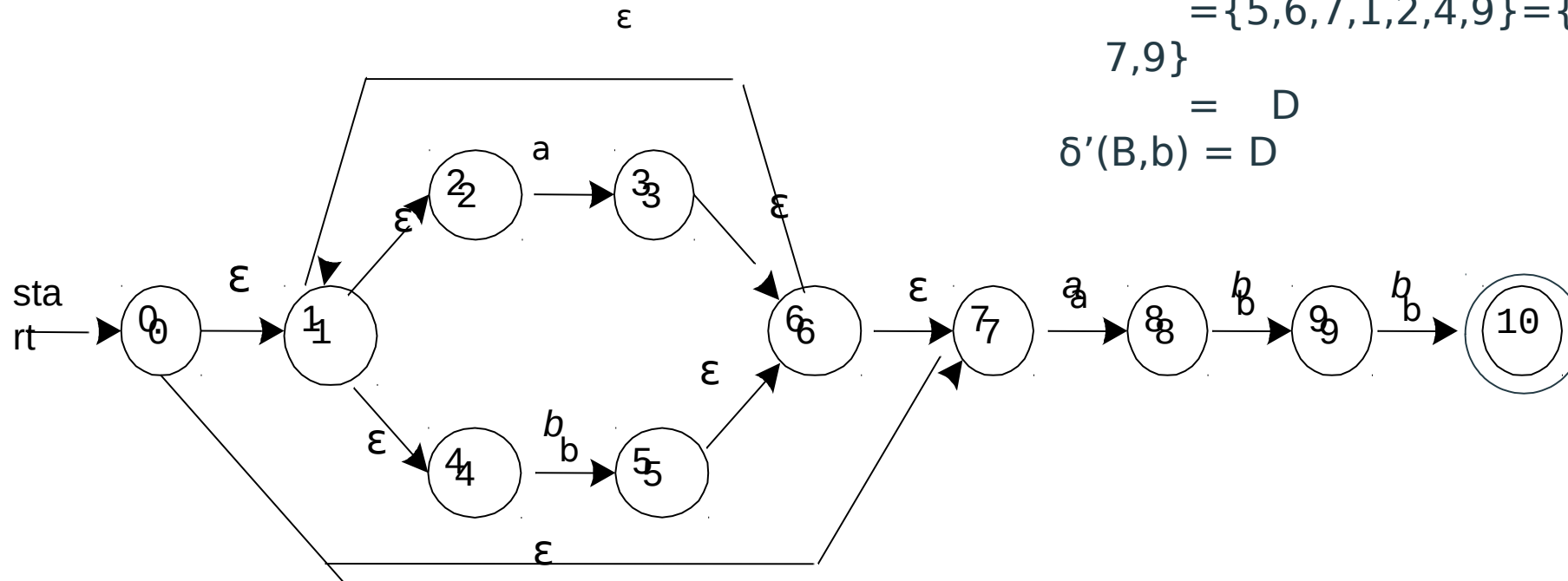
$$= \epsilon\text{-closure}(\{3,8\})$$

$$= \{3,6,7,1,2,4,8\} = \{1,2,3,4,6,7,8\}$$

$$= B$$

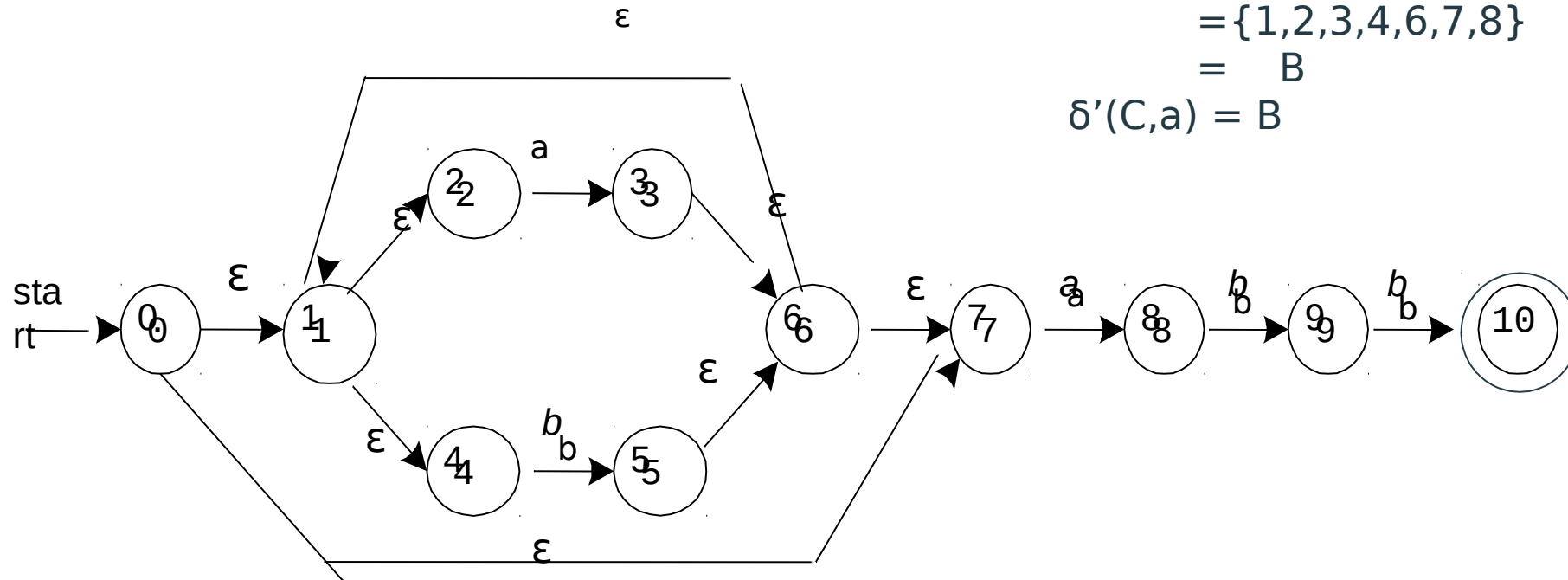
$$\delta'(B,a) = B$$

# EXAMPLE



$$\begin{aligned}
 \{1,2,3,4,6,7,8\} &= B \\
 \delta'(B,b) &= \epsilon\text{-closure}(\text{move}(B,b)) \\
 &= \epsilon\text{-closure}(\{5,9\}) \\
 &= \{5,6,7,1,2,4,9\} = \{1,2,4,5,6,7,9\} \\
 &= D \\
 \delta'(B,b) &= D
 \end{aligned}$$

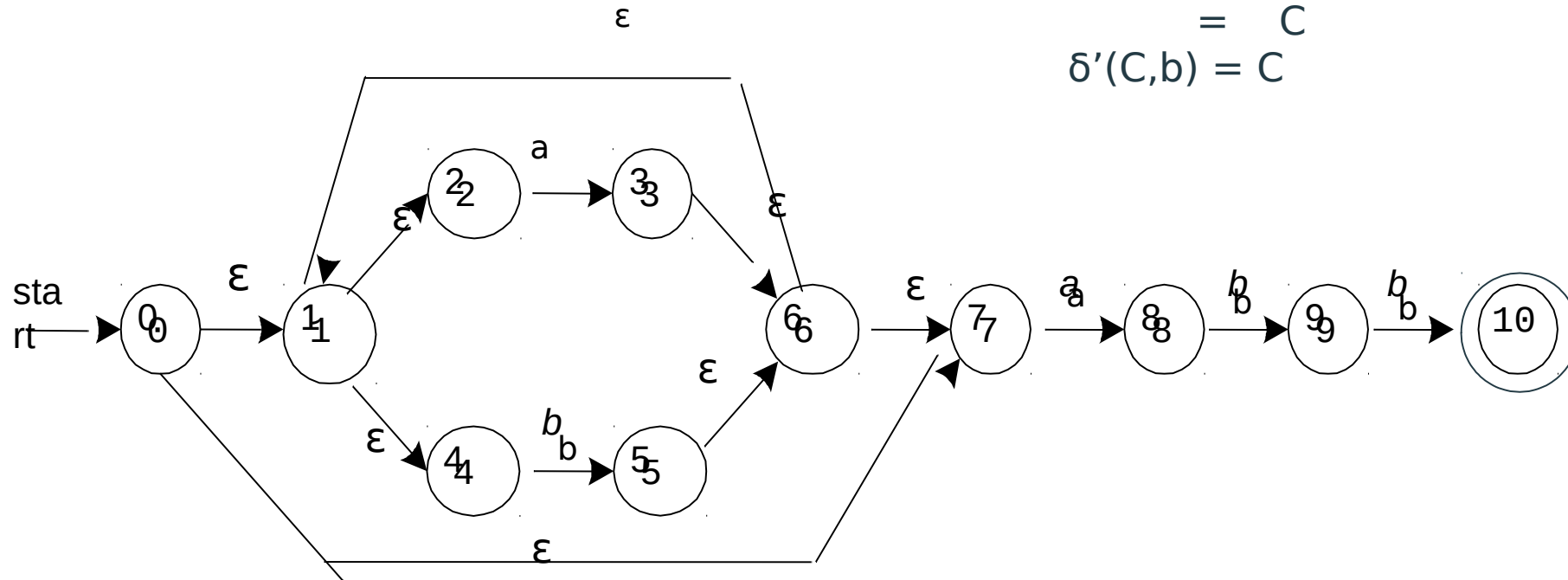
# EXAMPLE



$$\begin{aligned}
 & \{1,2,4,5,6,7\} \\
 \delta'(C,a) &= \epsilon\text{-closure}(\text{move}(C,a)) \\
 &= \epsilon\text{-closure}(\text{move}(\{1,2,4,5,6,7\},a)) \\
 &= \epsilon\text{-closure}(\{3,8\}) \\
 &= \{1,2,3,4,6,7,8\} \\
 &= B \\
 \delta'(C,a) &= B
 \end{aligned}$$

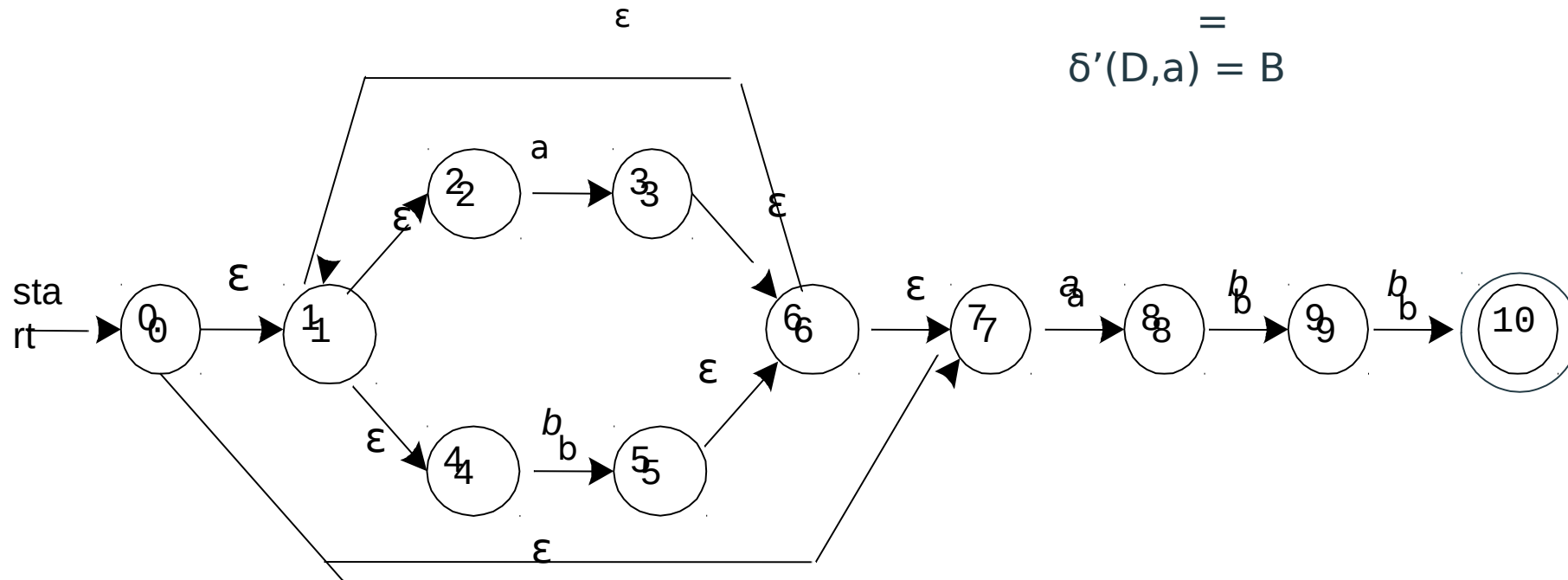


# EXAMPLE



$$\begin{aligned}
 & \{1,2,4,5,6,7\} \\
 \delta'(C,b) &= \epsilon\text{-closure}(\text{move}(C,b)) \\
 &= \epsilon\text{-closure}(\text{move}(\{\},b)) \\
 &= \epsilon\text{-closure}(\{5\}) \\
 &= \{1,2,4,5,6,7\} \\
 &= C \\
 \delta'(C,b) &= C
 \end{aligned}$$

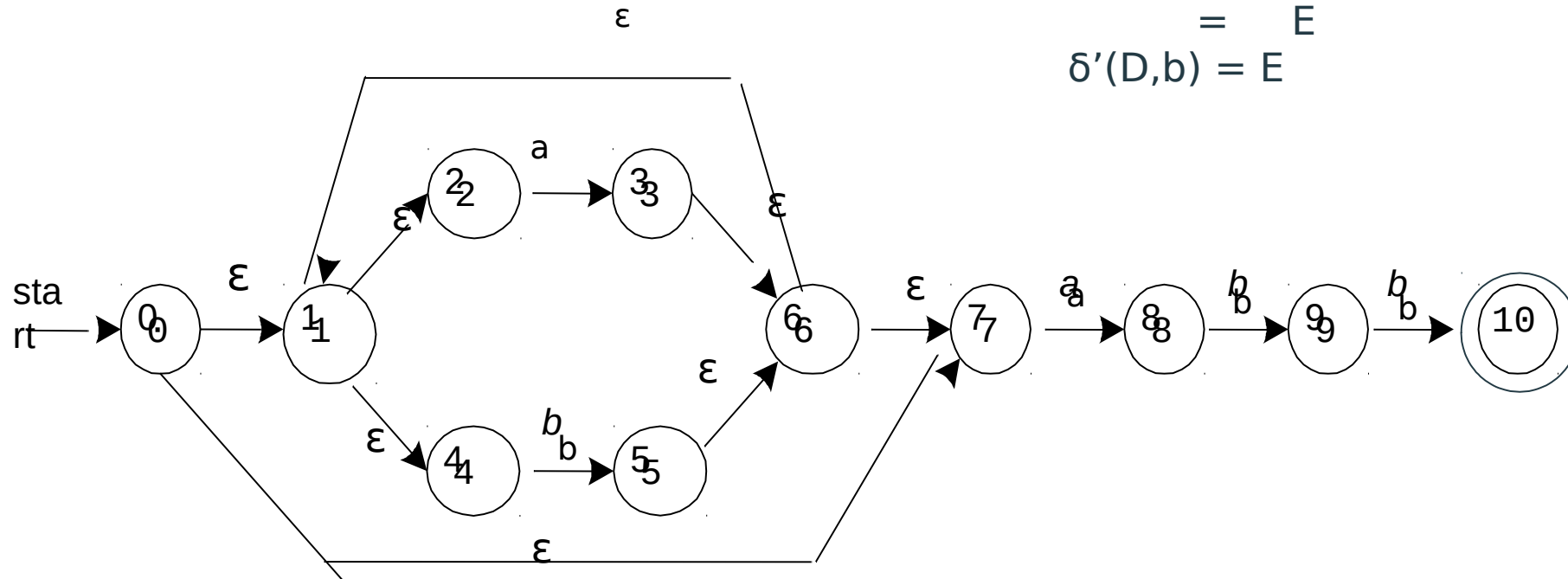
# EXAMPLE



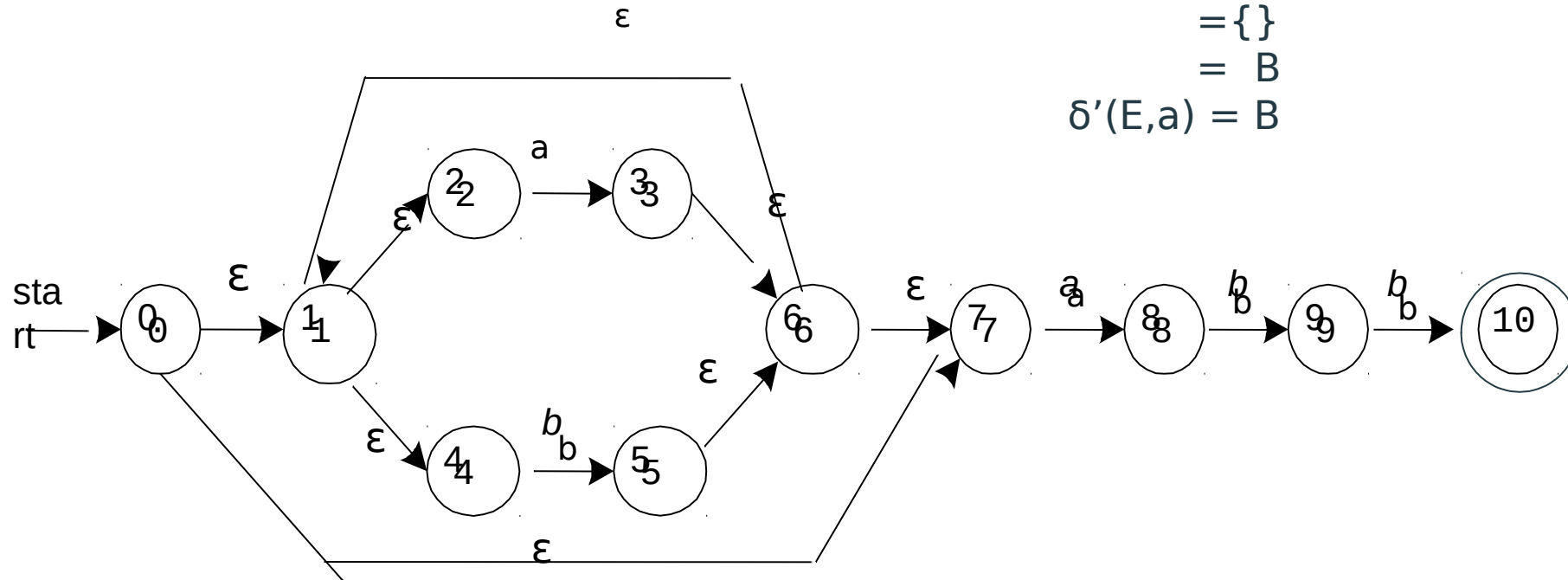
$$\begin{aligned}
 & \{1,2,4,5,6,7,9\} \\
 \delta'(D,a) &= \epsilon\text{-closure}(\text{move}(D,a)) \\
 &= \epsilon\text{-closure}(\text{move}(\{ \},a)) \\
 &= \epsilon\text{-closure}(\{3,8\}) \\
 &= B \\
 &= \\
 \delta'(D,a) &= B
 \end{aligned}$$

# EXAMPLE

$$\begin{aligned}
 \delta'(D,b) &= \epsilon\text{-closure}(\text{move}(D,b)) \\
 &= \epsilon\text{-closure}(\text{move}(\{\},b)) \\
 &= \epsilon\text{-closure}(\{5,10\}) \\
 &= \{5,6,7,1,2,4,10\} = \\
 &\quad \{1,2,4,5,6,7,10\} \\
 &= E \\
 \delta'(D,b) &= E
 \end{aligned}$$

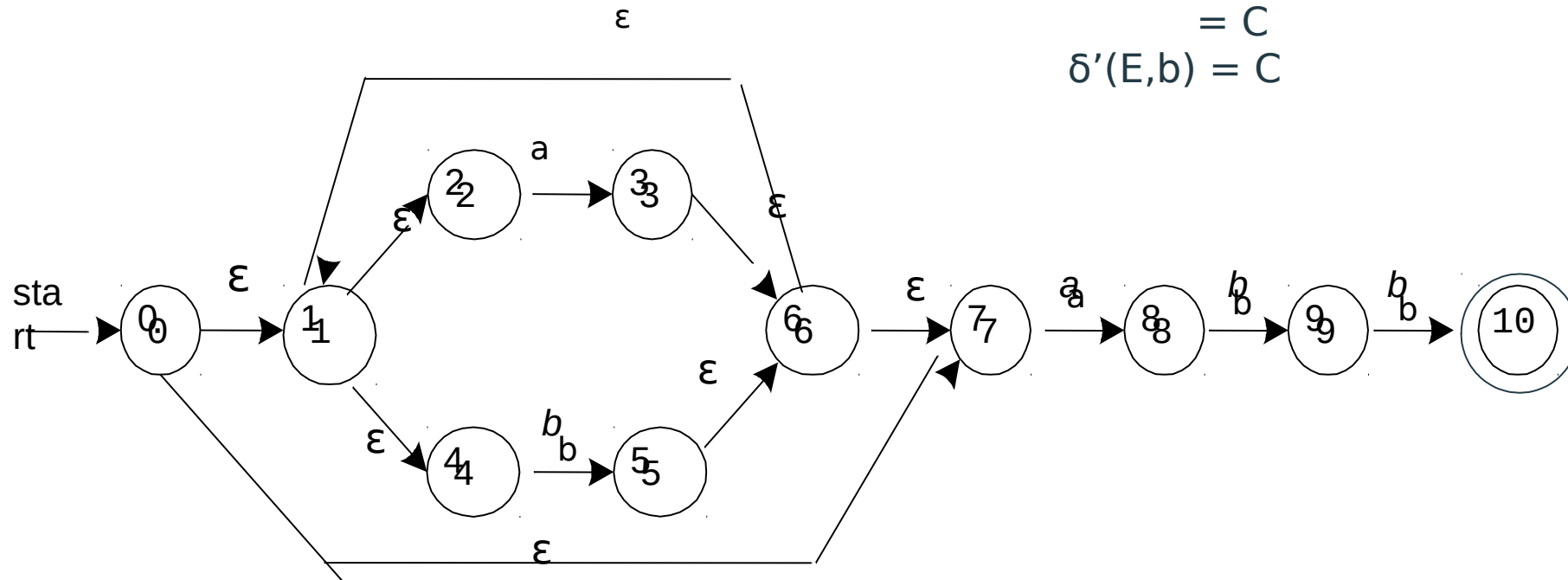


# EXAMPLE



$\{1,2,4,5,6,7,10\}$   
 $\delta'(E,a) = \epsilon\text{-closure}(\text{move}(E,a))$   
 $= \epsilon\text{-closure}(\text{move}(\{1,2,4,5,6,7,10\},a))$   
 $= \epsilon\text{-closure}(\{3,8\})$   
 $= \{ \}$   
 $= B$   
 $\delta'(E,a) = B$

# EXAMPLE



$$\begin{aligned}
 &\{1,2,4,5,6,7,10\} \\
 \delta'(E,b) &= \epsilon\text{-closure}(\text{move}(E,b)) \\
 &= \epsilon\text{-closure}(\text{move}(\{ \},b)) \\
 &= \epsilon\text{-closure}(\{5\}) \\
 &= \{ \} \\
 &= C \\
 \delta'(E,b) &= C
 \end{aligned}$$

# EXAMPLE

$$\in\text{-closure}(\{0\}) = \{0,1,2,4,7\} = A$$

A

$$\in\text{-closure}(\text{move}(\{0,1,2,4,7\},a)) = \in\text{-closure}(\{3,8\}) = \{1,2,3,4,6,7,8\} = B$$

$$\in\text{-closure}(\text{move}(\{1,2,4,7\},b)) = \in\text{-closure}(\{5\}) = \{1,2,4,5,6,7\} = C$$

B

$$\in\text{-closure}(\text{move}(\{1,2,3,4,6,7,8\},a)) = \in\text{-closure}(\{3,8\}) = B$$

$$\in\text{-closure}(\text{move}(\{1,2,3,4,6,7,8\},b)) = \in\text{-closure}(\{5,9\}) = \{1,2,4,5,6,7,9\} = D$$

C

$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7\},a)) = \in\text{-closure}(\{3,8\}) = B$$

$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7\},b)) = \in\text{-closure}(\{5\}) = C$$

D

$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7,9\},a)) = \in\text{-closure}(\{3,8\}) = B$$

$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7,9\},b)) = \in\text{-closure}(\{5,10\}) = \{1,2,4,5,6,7,10\} = E$$

E

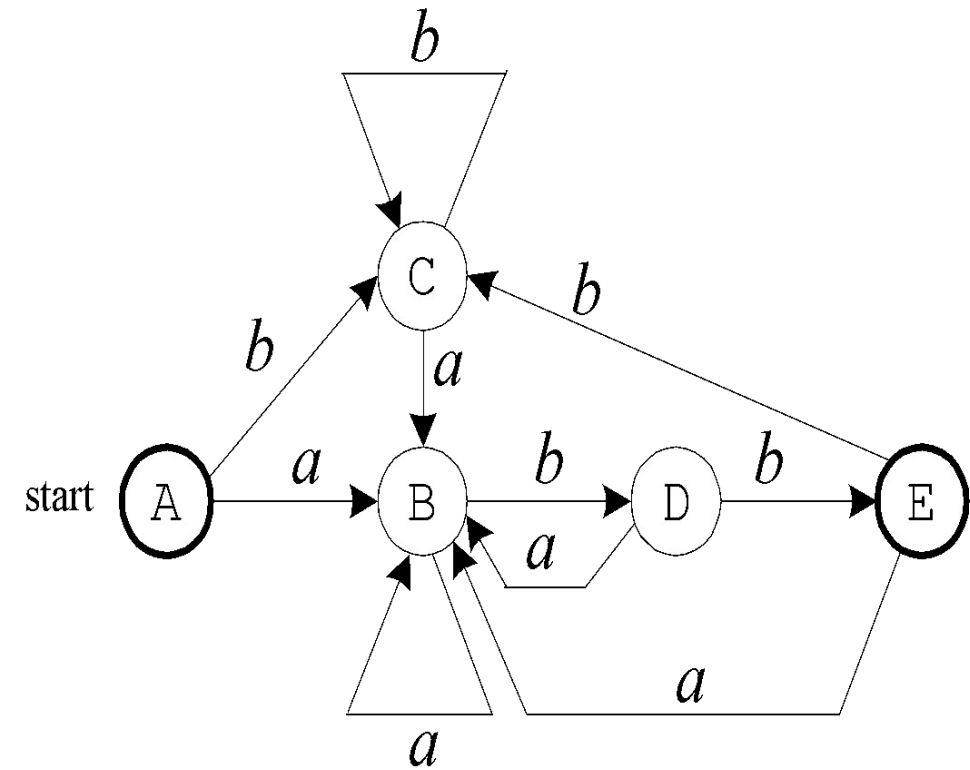
$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7,10\},a)) = \in\text{-closure}(\{3,8\}) = B$$

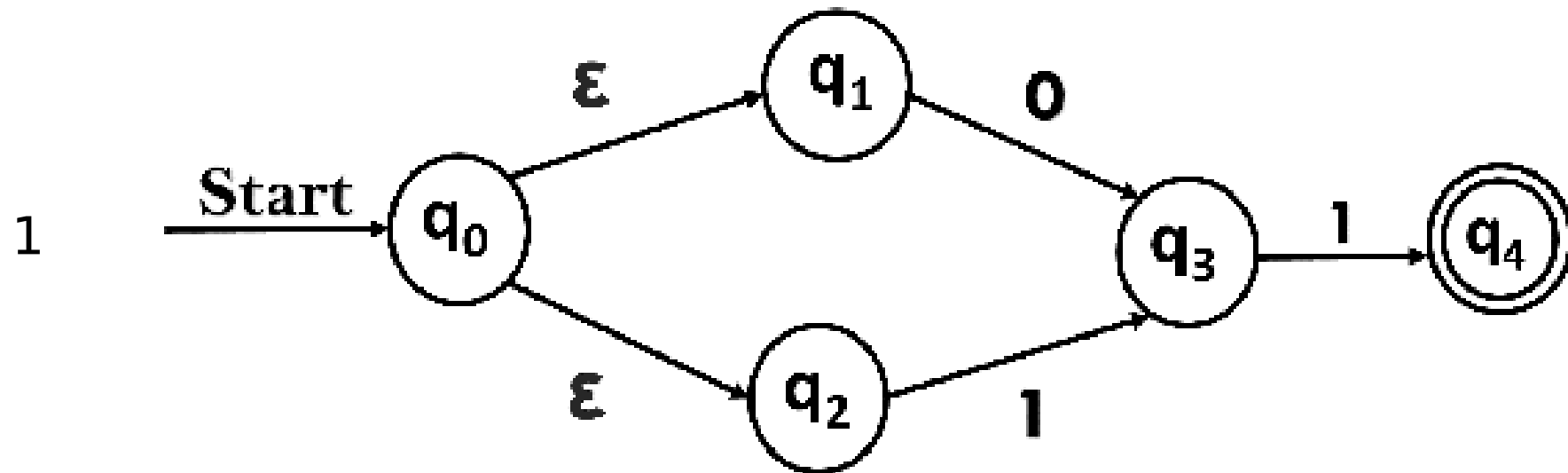
$$\in\text{-closure}(\text{move}(\{1,2,4,5,6,7,10\},b)) = \in\text{-closure}(\{5\}) = C$$

# EXAMPLE

The transition table for this DFA becomes:

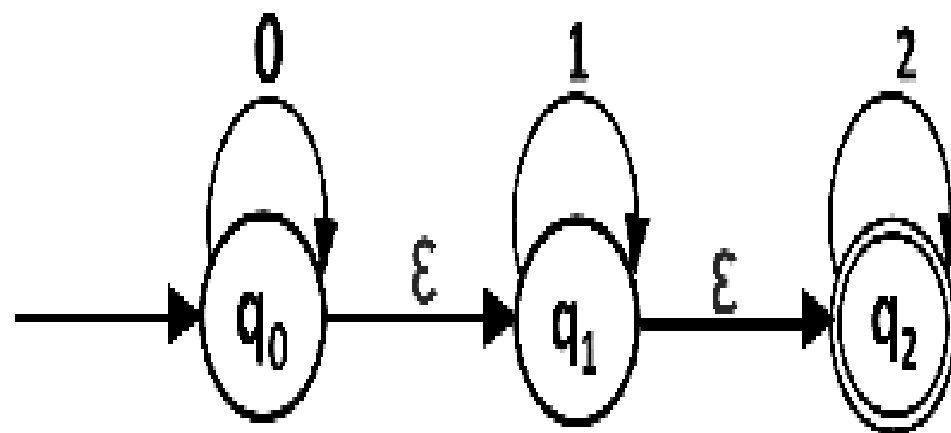
state	<i>a</i>	<i>b</i>
<i>A</i>	<i>B</i>	<i>C</i>
<i>B</i>	<i>B</i>	<i>D</i>
<i>C</i>	<i>B</i>	<i>C</i>
<i>D</i>	<i>B</i>	<i>E</i>
<i>E</i>	<i>B</i>	<i>C</i>







2



# EXAMPLE

$$\delta(q_0, a) = \{q_0, q_1\} \quad B$$

$$\delta(q_0, b) = q_0 \quad A$$

$$\delta(B, a) = \delta(\{q_0, q_1\}, a)$$

$$\delta(\{q_0, q_1\}, a) = \delta(q_0, a) \cup \delta(q_1, a)$$

$$= \{q_0, q_1\} \cup q_2$$

$$= \{q_0, q_1, q_2\}$$

C

$$\delta(B, b) = \delta(\{q_0, q_1\}, b)$$

$$\delta(\{q_0, q_1\}, b) = \delta(q_0, b) \cup \delta(q_1, b)$$

$$= q_0 \cup q_1$$

$$= \{q_0, q_1\}$$

B

$$\delta(\{q_0, q_1, q_2\}, a) = \{q_0, q_1, q_2, q_3\}$$

D

$$\delta(\{q_0, q_1, q_2\}, b) = \{q_0, q_1, q_3\}$$

E

$$\delta(\{q_0, q_1, q_2, q_3\}, a) = \{q_0, q_1, q_2, q_3\}$$

D

$$\delta(\{q_0, q_1, q_2, q_3\}, b) = \{q_0, q_1, q_2, q_3\}$$

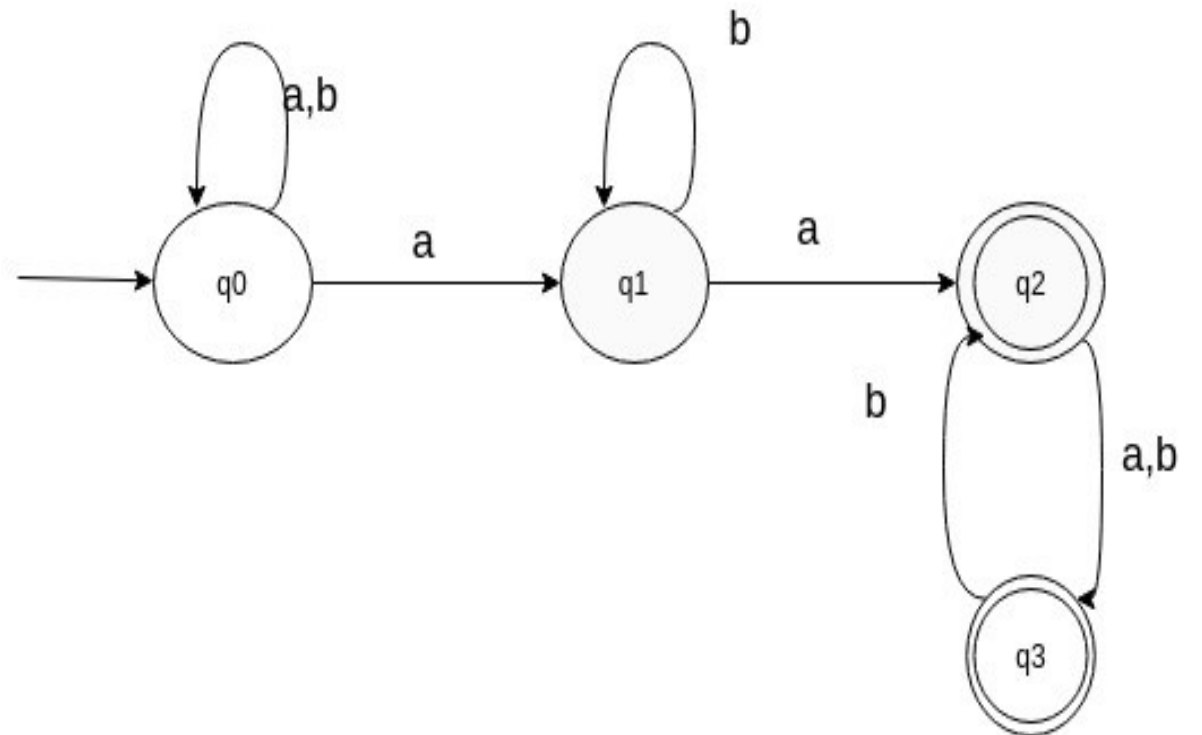
D

$$\delta(\{q_0, q_1, q_3\}, a) = \{q_0, q_1, q_2\}$$

C

$$\delta(\{q_0, q_1, q_3\}, b) = \{q_0, q_1, q_2\}$$

C



$\delta/\Sigma$	a	b
A	B	A
B	C	B
C	D	E
D	D	D
E	C	C

# EXAMPLE

$\delta/\Sigma$	a	b
q0	q0,q1	q0
q0,q1	q0,q1,q2	q0,q1
q0,q1,q2	q0,q1,q2,q3	q0,q1,q3
q0,q1,q2,q3	q0,q1,q2,q3	q0,q1,q2,q3
q0,q1,q3	q0,q1,q2,q3	q0,q1,q2,q3



$\delta/\Sigma$	a	b
A	B	A
B	C	B
C	D	E
D	D	D
E	D	D

# DFA

