

# Sorting, Searching and Merging

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# Introduction to Searching

- ▶ Searching - check for an element or retrieve an element from any data structure where it is stored.
- ▶ Classification of search algorithms based on type of search operation:
  - ▶ Sequential Search - the list or array is traversed sequentially and every element is checked.  
Example: Linear Search
  - ▶ Interval Search - the list or array is split into two search spaces and repeatedly checked.  
Example: Binary Search
- ▶ Interval search - more efficient than sequential search for sorted data structures.

# Linear Search

- ▶ Problem: Given an array *arr[]* of *n* elements, write a function to search a given element *x* in *arr[]*.
- ▶ Approach:
  - ▶ Start from the leftmost element of *arr[]* and one by one compare *x* with each element of *arr[]*
  - ▶ If *x* matches with an element, return the index.
  - ▶ If *x* doesn't match with any of elements, return -1.
- ▶ Example: search for element 10 in the array 2, 4, 6, 8, 10, 12, 14.
- ▶ Time Complexity:  $O(n)$

# Binary Search

- ▶ Problem: Given an array *arr[]* of *n* elements, write a function to search a given element *x* in *arr[]*.
- ▶ Approach:
  - ▶ Compare *x* with the middle element.
  - ▶ If *x* matches with middle element, we return the mid index.
  - ▶ Else If *x* is greater than the mid element, then *x* can only lie in right half subarray after the mid element. So we recur for right half.
  - ▶ Else (*x* is smaller) recur for the left half.
- ▶ Example: search for element 10 in the array 2, 4, 6, 8, 10, 12, 14.
- ▶ Time Complexity:  $O(\log_2 n)$

# Complexity Analysis of Binary Search

- ▶ Time Complexity:  $O(\log_2 n)$
- ▶ Example: search for element 10 in the array 2, 4, 6, 8, 10, 12, 14.
- ▶ Iteration 1: middle element = 8, therefore search towards right sub-array
- ▶ Iteration 2: middle element = 12, therefore search towards left sub-array
- ▶ Iteration 3: middle element = 10, search successful
- ▶ Let say the iteration in Binary Search terminates after  $k$  iterations. In the above example, it terminates after 3 iterations, so here,  $k = 3$

# Complexity Analysis of Binary Search

- ▶ At each iteration, the array is divided by half. So let's say the length of array at any iteration is  $n$
- ▶ Iteration 1: Length of array =  $n$
- ▶ Iteration 2: Length of array =  $n/2$
- ▶ Iteration 3: Length of array =  $(n/2)/2 = (n/2^2)$
- ▶ Therefore, after Iteration  $k$ : Length of array =  $(n/2^k)$
- ▶ Also, after  $k$  iterations, length of array becomes 1.
- ▶ Thus,  $(n/2^k) = 1 \Rightarrow n = 2^k$
- ▶ Applying  $\log_2$  on both sides,  $\log_2(n) = \log_2(2^k)$   
 $\Rightarrow \log_2(n) = k \log_2(2) \Rightarrow k = \log_2(n)$

# Linear Search vs Binary Search

- ▶ Input data needs to be sorted in Binary Search and not in Linear Search.
- ▶ Linear search does the sequential access whereas Binary search access data randomly.
- ▶ Time complexity of linear search is  $O(n)$  , Binary search has time complexity  $O(\log_2 n)$ .
- ▶ Linear search performs equality comparisons and Binary search performs ordering comparisons.

# Introduction to Sorting

- ▶ Sorting - used to rearrange a given array or list elements according to a comparison operator on the elements.
- ▶ The comparison operator is used to decide the new order of element in the respective data structure.
- ▶ Example:  
Input -> **v i v e k a n a n d a**  
*...sorting according to their ASCII values...*  
Output -> **a a a d e i k n n v v**



# Sorting Algorithms

- ▶ Bubble Sort
- ▶ Selection Sort
- ▶ Insertion Sort
- ▶ Quick Sort
- ▶ Merge Sort
- ▶ Heap Sort
- ▶ Radix Sort
- ▶ Bucket Sort
- ▶ Shell Sort
- ▶ Tree Sort

# Sorting Terminology

- ▶ In-place sorting / Internal sorting:  
Uses constant extra space for producing the output (modifies the given array only).  
Sorts the list only by modifying the order of the elements within the list.  
Example: Insertion sort, Selection sort
- ▶ Out-of-place sorting / External sorting:  
When all data that needs to be sorted cannot be placed in-memory at a time, the sorting is called external sorting.  
External Sorting is used for massive amount of data.  
Example: Merge sort

# Bubble Sort

- ▶ Works by repeatedly swapping the adjacent elements if they are in wrong order.

- ▶ Example: Array - 10 2 4 8 6

- ▶ Pass 1:

**10** **2** 4 8 6 -> 2 10 4 8 6

2 **10** **4** 8 6 -> 2 4 10 8 6

2 4 **10** **8** 6 -> 2 4 8 10 6

2 4 8 **10** **6** -> 2 4 8 6 10

- ▶ Pass 2:

**2** **4** 8 6 10 -> 2 4 8 6 10

2 **4** **8** 6 10 -> 2 4 8 6 10

2 4 **8** **6** 10 -> 2 4 6 8 10

# Bubble Sort

- Pass 3:

**2 4** 6 8 10 -> 2 4 6 8 10

2 **4 6** 8 10 -> 2 4 6 8 10

- Pass 4:

**2 4** 6 8 10 -> 2 4 6 8 10

- Time complexity:  $O(n^2)$

# Selection Sort

- ▶ Sorts an array by repeatedly finding the minimum element (considering ascending order) from unsorted part and putting it at the beginning.
- ▶ The algorithm maintains two subarrays in a given array.
  - i) The subarray which is already sorted.
  - ii) Remaining subarray which is unsorted.
- ▶ In every iteration of selection sort, the minimum element (considering ascending order) from the unsorted subarray is picked and moved to the sorted subarray.

# Selection Sort

- ▶ Example: Array - 10 2 4 8 6
- ▶ Pass 1: min element = 2  
10 **2** 4 8 6 -> 2 10 4 8 6
- ▶ Pass 2: min element = 4  
2 10 **4** 8 6 -> 2 4 10 8 6
- ▶ Pass 3: min element = 6  
2 4 10 8 **6** -> 2 4 6 8 10
- ▶ Pass 4: min element = 8  
2 4 6 **8** 10 -> 2 4 6 8 10

# Insertion Sort

- ▶ The array is virtually split into a sorted and an unsorted part.
- ▶ Values from the unsorted part are picked and placed at the correct position in the sorted part.
- ▶ Approach:
  - ▶ Iterate from `arr[1]` to `arr[n]` over the array.
  - ▶ Compare the current element (key) to its predecessor.
  - ▶ If the key element is smaller than its predecessor, compare it to the elements before. Move the greater elements one position up to make space for the swapped element.

# Insertion Sort

► Example: Array - 10 2 4 8 6

► Pass 1:

**10 2** 4 8 6 -> 2 10 4 8 6

► Pass 2:

2 **10 4** 8 6 -> 2 4 10 8 6

► Pass 3:

2 4 **10 8** 6 -> 2 4 8 10 6

► Pass 4:

2 4 8 **10 6** -> 2 4 **8 6** 10 -> 2 4 6 8 10



# Quick Sort

- ▶ Divide and Conquer algorithm.
- ▶ Picks an element as pivot and partitions the given array around the picked pivot.
- ▶ Target of partitions - given an array and an element  $x$  of array as pivot, put  $x$  at its correct position in sorted array and put all smaller elements (smaller than  $x$ ) before  $x$ , and put all greater elements (greater than  $x$ ) after  $x$ .
- ▶ All this should be done in linear time.

# Quick Sort

- ▶ Example: Array - 100 20 40 80 60
- ▶ low = 0, high = 4, pivot = arr[high] = 60
- ▶ Initialize index of smaller element, i = -1
- ▶ Traverse from j = low to j = high-1
- ▶ j = 0, i = -1: arr[j] > pivot, therefore do nothing
- ▶ j = 1, i = -1: arr[j] <= pivot, therefore do i++ (i=0) and swap arr[i] and arr[j]  
**100 20** 40 80 60 -> 20 100 40 80 60
- ▶ j = 2, i = 0: arr[j] <= pivot, therefore do i++ (i=1) and swap arr[i] and arr[j]  
20 **100 40** 80 60 -> 20 40 100 80 60

# Quick Sort

- ▶  $j = 3, i = 1$ :  $\text{arr}[j] > \text{pivot}$ , therefore do nothing
- ▶ Come out of loop because  $j = \text{high}-1$
- ▶ Now swap  $\text{arr}[i+1]$  with pivot (new  $i = 2$ )  
20 40 **100** 80 **60** -> 20 40 60 80 100
- ▶ Pivot at its correct place.  
All elements  $< \text{pivot}$  are towards its left.  
All elements  $> \text{pivot}$  are towards its right.

# Merging and Merge Sort

- ▶ Divide and Conquer algorithm.
- ▶ Divides the input array into two halves, calls itself for the two halves, and then merges the two sorted halves.
- ▶ **merge()** function is used for merging two halves.  
The `merge(arr, l, m, r)` is a key process that assumes that `arr[l..m]` and `arr[m+1..r]` are sorted and merges the two sorted sub-arrays into one.

# Merging and Merge Sort

- ▶ Example: Array - 10 2 4 8 6
- ▶ Middle element = 4
- ▶ Left half subarray: 10 2 4
- ▶ Right half subarray: 8 6
- ▶ Left half sorting: 2 4 10
- ▶ Right half sorting: 6 8
- ▶ Merged array: 2 4 6 8 10

# Time Complexities

- Number of times a particular instruction set is executed rather than the total time is taken.

Algorithm	Best Case	Average Case	Worst Case
Bubble Sort	$\Omega(n)$	$\Theta(n^2)$	$O(n^2)$
Selection Sort	$\Omega(n^2)$	$\Theta(n^2)$	$O(n^2)$
Insertion Sort	$\Omega(n)$	$\Theta(n^2)$	$O(n^2)$
Quick Sort	$\Omega(n \log n)$	$\Theta(n \log n)$	$O(n^2)$
Merge Sort	$\Omega(n \log n)$	$\Theta(n \log n)$	$O(n \log n)$

Thank you!