

# Assignment-V

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## 1 Computational Complexity and Parallelism

### 1.1 RNNs vs Transformers

We use the following notations:

$$\begin{aligned}n &= \text{No. of neurons} \\l &= \text{No. of layers} \\t &= \text{No. of timesteps}\end{aligned}\tag{1}$$

#### 1.1.1 Time Complexity

Architecture	Train	Test
RNN	$t \times l \times n^2$	$t \times l \times n^2$
Transformer	$t^2 \times l \times n$	$t^2 \times l \times n$

#### 1.1.2 Space Complexity

Architecture	Train	Test
RNN	$t \times l \times n$	$l \times n$
Transformer	$t \times l \times n$	$t \times l \times n$

### 1.2 Performance on Parallelism

- As in the case of RNNs the computational bottleneck is proportional to  $t \times l \times n^2$  whereas in Transformers it is proportional to  $t^2 \times l \times n$ .
- When  $n < t$  then the transformers perform worse than RNNs because there is a lot of computation going on at the self-attention layer than the feed-forward layer.

### 1.3 Self Attention Layers and Bottleneck of Parallelism

- Yes, Self-attention layer looking across the tokens of a given input sequence is a bottleneck for Parallelism. There is a trade-off between the sequential operations and decoding complexity.
- The sequential operations in transformers are independent of sequence length, but they are very expensive to decode. Transformers can learn faster than RNNs on parallel processing hardwares for longer sequences.

### 1.4 Feed-forward and norm layers

- **No**, the feed forward and norm layers doesn't look across the tokens, they only look at the output of context-vector of self-attention layer. So this is the way parallelism is induced in Transformers as feed forward layers work in parallel.

## 2 Attention Model and Orthogonality

### 2.1 Part A

- If  $z = v_j$  then a possible scenario is the following:

$$\begin{aligned}\alpha_j &= 1 \\ \alpha_i &= 0; \forall i \neq j\end{aligned}\tag{2}$$

- This scenario is possible when the query vector is aligned with one of the key vectors and dot product with other vectors is very low.

$$\begin{aligned}k_j &\parallel q \\ k_{i:i \neq j}^T q &\ll k_j^T q\end{aligned}\tag{3}$$

### 2.2 Part B

- Let us assume that the query vector belongs to span of key vectors:

$$q = \sum_{i=1}^m \beta_i k_i\tag{4}$$

- Now, we can write

$$\begin{aligned}k_i^\top q &= k_i^\top \left( \sum_j B_j k_j \right) \\ &= \sum_j B_j k_i^\top k_j \\ &= B_i \|k_i\|^2 + 0 \\ &= B_i \times 1\end{aligned}$$

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$$\alpha_i = \frac{\exp(B_i)}{\sum_{j=1}^m \exp(B_j)} \quad (5)$$

$$z \approx \frac{1}{2} (v_a + v_b) \Rightarrow \alpha_a = \alpha_b \gg \alpha_{i \neq a, b}$$

- So we have the following and setting  $\beta_{a,b} \gg \beta_{i:i \neq a, b}$  and  $\beta_a \approx \beta_b$ :

$$\begin{aligned} \alpha_a &= \frac{\exp \beta_a}{\exp \beta_a + \exp \beta_b + (\dots)} \\ &\approx \frac{\exp \beta_a}{\exp \beta_a + \exp \beta_b} \\ &\approx \frac{1}{2} \end{aligned} \quad (6)$$

- Hence by setting  $\beta_{a,b} \gg \beta_{i:i \neq a, b}$  and  $\beta_a \approx \beta_b$  and  $\beta_{i:i \neq a, b} \ll 0$  we can achieve  $z \approx \frac{v_a + v_b}{2}$

### 3 VAE Loss

$$\begin{aligned} \mathcal{L}(q) &= \int q(z|x) \log \frac{p(x, z)}{q(z|x)} dz \\ &= \int q(z|x) \log \frac{p(x|z)p(z)}{q(z|x)} dz \\ &= \mathbb{E}_{z \sim q_\phi(z|x_i)} \left[ \log \frac{p_\theta(x|z)p_\theta(z)}{q(z|x)} \right] \\ &= \mathbb{E}_{z \sim q_\phi(z|x_i)} \left[ -\log \frac{q(z|x)}{p_\theta(z)} + \log p_\theta(x|z) \right] \\ &= \mathbb{E}_{z \sim q_\phi(z|x_i)} [\log p_\theta(x|z)] + \mathbb{E}_{z \sim q_\phi(z|x_i)} \left[ -\log \frac{q(z|x)}{p_\theta(z)} \right] \\ &= \mathbb{E}_{z \sim q_\phi(z|x_i)} [\log p_\theta(x|z)] - KL(q(z|x), p(z)) \\ &= \underbrace{\mathbb{E}_{z \sim q_\phi(z|x_i)} [\log p_\theta(x|z)]}_{\text{Reconstruction error}} - \underbrace{KL(q(z|x), p(z))}_{\text{Regularization term}} \end{aligned} \quad (7)$$

- The  $\mathbb{E}_{z \sim q_\phi(z|x_i)} [\log p_\theta(x|z)]$  term acts reconstruction since it is the maximum likelihood estimate of decoder.
- The  $-KL(q(z|x), p(z))$  term acts like a regularizer here, because KL divergence measures the similarity between two distributions.

## 4 GAN

- The optimization problem is:

$$\begin{aligned} f(p, q) &= pq \\ Obj &= \min_p \max_q (f(p, q)) \end{aligned} \quad (8)$$

### 4.1 Table

- Expressing the values of  $p_{t+1}$  and  $q_{t+1}$  in terms of  $p_t$  and  $q_t$ .
- First let us maximize with respect to  $q_t$ :

$$\begin{aligned} \frac{\partial f}{\partial q_t} &= \frac{\partial (p_t q_t)}{\partial q_t} \\ &= p_t \end{aligned} \quad (9)$$

$$\Rightarrow q_{t+1} = p_t + q_t \quad (10)$$

- Since we take a unit step, the final form will be:

$$f' = p_t q_{t+1} \quad (11)$$

- Now minimizing wrt  $p_t$ :

$$\begin{aligned} \frac{\partial f'}{\partial p_t} &= \frac{\partial p_t (q_{t+1})}{\partial p_t} \\ &= q_{t+1} \end{aligned} \quad (12)$$

$$\begin{aligned} p_{t+1} &= p_t - (q_{t+1}) \\ &= (q_{t+1} - q_t) - q_{t+1} \text{ (From eqn 7)} \\ &= -q_t \end{aligned} \quad (13)$$

- Since we take a unit step, the final form will be:

$$f_{t+1} = -(q_t)(p_t + q_t) \quad (14)$$

$q_0$	$q_1$	$q_2$	$q_3$	$q_4$	$q_5$	$q_6$
1	2	1	-1	-2	-1	1
$p_0$	$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	$p_6$
1	-1	-2	-1	1	2	1

## 4.2 Reaching Optimal Value

- It is evident from the above table that the values oscillate and becomes periodic. Hence they do not converge.
- With the given step size, it is not possible to find out the optimal value. In order to find out the optimal value we need to change the step size.

## 4.3 Equilibrium Point

- In the min-max game the condition for equilibrium is that the product remains constant .
- Hence mathematically, we can show as follows:

$$\begin{aligned}f_t &= f_{t+1} \\p_t q_t &= -(q_t)(p_t + q_t) \\2p_t q_t &= -q_t^2 \\q_t(2p_t + q_t) &= 0\end{aligned}\tag{15}$$

So either  $2p_t = -q_t$  or  $q_t = 0$ .

- We saw from the table that  $2p_t = -q_t$  does not lead to equilibrium, i.e.,  $(p_1, q_1)$ . Hence  $q_t = 0$ , so  $p_{t+1} = 0$ . So one of them should be zero.

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