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- Module ZeusOwnershipMeta -
EXTENDS
               Integers, FiniteSets
CONSTANTS
                LB_NODES and APP_NODES must not intersect and neither should contain 0.
               LB\_NODES,
               APP\_NODES,
               O\_MAX\_VERSION,
                O_{-}MAX_{-}FAILURES,
                O_MAX_DATA_VERSION
VARIABLES
                variable prefixes ->o: ownership, r: request, t: transactional, m: membership
                VECTORS indexed by node_id
               oTS,
               oState,
               oDriver,
               o Vector,
                            No readers/owner: .readers = \{\} / .owner = 0
               oRcvACKs,
              rTS,
              rID,
              rType,
              rEID,
                              since we do not have message loss timeouts we use this to
                              track epoch of last issued INVs for replays
               tState.
                                tVesion sufice to represent tData \mid = 0 - > \text{ no data } \mid > 0 \text{ data (reader / owner)}
               tVersion,
               tRcvACKs.
                GLOBAL variables
               oMsgs,
              mAliveNodes,
                                membership
               mEID,
                                membership epoch id
               committed REQs, only to check invariant that exactly one of concurrent REQs is committed
               committed RTS only to emulate FIFO REQ channels (i.e., do not re execute same client requests)
vars \triangleq \langle oTS, oState, oDriver, oVector, oRcvACKs, rTS, rID, rType, rEID,
          tState, tVersion, tRcvACKs, oMsgs, mAliveNodes, mEID, committedREQs, committedRTS
 Helper operators
O\_NODES
                        \triangleq LB\_NODES \cup APP\_NODES
                        \stackrel{\triangle}{=} O\_NODES
                                           \cup {0}
O\_NODES\_0
                        \stackrel{\Delta}{=} LB\_NODES \cup \{0\}
LB_NODES_0
                        \triangleq APP\_NODES \cup \{0\}
APP_NODES_0
                        \stackrel{\Delta}{=} LB\_NODES \cap mAliveNodes
LB\_LIVE\_NODES
APP\_LIVE\_NODES \triangleq APP\_NODES \cap mAliveNodes
LB\_LIVE\_ARBITERS(driver) \stackrel{\triangle}{=} LB\_LIVE\_NODES \setminus \{driver\} all arbiters except driver and owner
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Useful Unchanged shortcuts
unchanged\_M \stackrel{\triangle}{=} UNCHANGED \langle oMsqs \rangle
unchanged\_m \stackrel{\triangle}{=} UNCHANGED \langle mEID, mAliveNodes \rangle
unchanged_t \triangleq unchanged \langle tState, tVersion, tRcvACKs \rangle
                 \stackrel{\Delta}{=} UNCHANGED \langle rID, rTS, rEID, rType \rangle
unchanged\_r
unchanged\_c
                  \stackrel{\triangle}{=} UNCHANGED \langle committedREQs, committedRTS \rangle
                  \stackrel{\triangle}{=} UNCHANGED \langle oState, oDriver, oVector, oRcvACKs, oTS \rangle
unchanged\_o
unchanged\_mc
                       \triangleq unchanged\_m
                                                \land unchanged\_c
                       \stackrel{\triangle}{=} unchanged\_mc
unchanged\_mtc
                                               \land unchanged\_t
                       \stackrel{\triangle}{=} unchanged\_m
                                                \land \ unchanged\_t \ \ \land \ unchanged\_r
unchanged\_mtr
                       \stackrel{\triangle}{=} unchanged\_r
unchanged_Mrc
                                                \land unchanged\_c \land unchanged\_M
                       \stackrel{\triangle}{=} unchanged\_mc \land unchanged\_r \land unchanged\_o
unchanged\_mrco
                       \stackrel{\triangle}{=} unchanged\_mtc \land unchanged\_o
unchanged\_mtco
                       \stackrel{\triangle}{=} unchanged\_mtc \land unchanged\_r
unchanged\_mtrc
unchanged\_Mtrc
                          unchanged\_Mrc \land unchanged\_t
unchanged_Mmrc
                           unchanged\_Mrc \land unchanged\_m
unchanged\_mtrco
                       \stackrel{\triangle}{=} unchanged\_mtrc \land unchanged\_o
unchanged\_Mmrco \triangleq unchanged\_mrco \land unchanged\_M
unchanged\_Mmtrc \triangleq unchanged\_mtrc \land unchanged\_M
 Type definitions
Type\_oTS
                     [ver: 0...O\_MAX\_VERSION, tb: LB\_NODES\_0]
Type\_rTS
                     [ver: 0...O\_MAX\_VERSION, tb:APP\_NODES\_0]
Type\_tState
                      { "valid", "invalid", "write" } readers can be in valid and invalid and owner in valid and write
                     { "valid", "invalid", "drive", "request" } all nodes start from valid
Type\_oState
                  \triangleq \{ "add-owner", "change-owner", "add-reader", "rm-reader", "NOOP"\}
Type\_rType
Type\_oVector \triangleq [readers : SUBSET APP\_NODES, owner : APP\_NODES\_0]
Type\_oMessage \triangleq
                          Msgs exchanged by the sharding protocol
    [type: {"REQ"}],
                             rTS
                                         : Type\_rTS,
                                         : Nat,
                             rID
                             rType
                                         : Type_rType,
                             epochID : 0 ... O\_MAX\_FAILURES
    [type : { "NACK" },
                              rTS
                                         : Type\_rTS,
                              rID
                                         : Nat
    [type: {\text{"S_INV"}}],
                                        : O\_NODES,
                             sender
                             driver
                                        : O\_NODES,
                             rTS
                                        : Type\_rTS,
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rID
                                      : Nat,
                           oTS
                                      : Type\_oTS,
                           o Vector: Type_o Vector,
                                      : Type\_rType,
                           rType
                           epochID : 0 ... O\_MAX\_FAILURES
   [type: {\text{"S\_ACK"}}],
                            sender : O\_NODES,
                            oTS
                                      : Type\_oTS,
                            tVersion: 0...O_MAX_DATA_VERSION, emulates data send as well
                            epochID: 0...O\_MAX\_FAILURES
   [type: {"RESP"},
                            o Vector: Type_o Vector,
                            oTS
                                      : Type\_oTS,
                           rTS
                                      : Type\_rTS,
                preOwner, \* pre-request owner is not needed for model check (since we model boast messages)
                         tVersion: 0...O_{-}MAX_{-}DATA_{-}VERSION,
                         epochID: 0...O\_MAX\_FAILURES
   [type: {\text{"S_VAL"}}],
                           oTS
                                      : Type\_oTS,
                           epochID : 0 ... O\_MAX\_FAILURES
Type\_tMessage \triangleq
                       msgs exchanged by the transactional reliable commit protocol
   [type: { "T_INV", "T_ACK", "T_VAL" },
                                                   tVersion: Nat,
                                                   sender : O\_NODES,
                                                    epochID: 0...O\_MAX\_FAILURES
 Type check and initialization
OTypeOK \stackrel{\triangle}{=}
                  The type correctness invariant
    \wedge oTS
                                          \rightarrow Type\_oTS
                       \in [O\_NODES]
    \wedge oState
                       \in [O\_NODES]
                                          \rightarrow Type\_oState
                                          \rightarrow O_NODES_0
    \wedge oDriver
                       \in [O\_NODES]
                       \in [O\_NODES]
                                          \rightarrow Type\_oVector
    \wedge o Vector
    \land \forall n \in O\_NODES : oRcvACKs[n] \subseteq (O\_NODES \setminus \{n\})
    \wedge rTS
                       \in [O\_NODES]
                                          \rightarrow Type\_rTS
    \land \mathit{rID}
                       \in [O\_NODES]
                                          \rightarrow 0 ... O\_MAX\_VERSION
    \wedge rType
                       \in [O\_NODES]
                                          \rightarrow Type\_rType
    \land rEID
                       \in [O\_NODES]
                                          \rightarrow 0 .. (Cardinality(O_NODES) - 1)]
    \wedge tVersion
                       \in [O\_NODES]
                                          \rightarrow 0 ... O\_MAX\_DATA\_VERSION
    \wedge tState
                       \in [O\_NODES]
                                         \rightarrow Type\_tState
    \land \forall n \in O\_NODES : tRcvACKs[n] \subseteq (O\_NODES \setminus \{n\})
    \land committedREQs \subseteq Type\_oTS
    \land committedRTS \subseteq Type\_rTS
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\subseteq (Type\_oMessage \cup Type\_tMessage)
     \wedge oMsqs
     \wedge \ mEID
                            \in 0...(Cardinality(O\_NODES) - 1)
     \land mAliveNodes \subseteq O\_NODES
OInit \stackrel{\triangle}{=} The initial predicate
     \wedge \ oTS
                             = [n \in O\_NODES]
                                                        \mapsto [ver \mapsto 0, tb \mapsto 0]
     \land oState
                                                         \mapsto "valid"]
                             = [n \in O\_NODES]
     \wedge oDriver
                             = [n \in O\_NODES]
                                                        \mapsto 0
                                                        \mapsto [readers \mapsto \{\}, owner \mapsto 0]]
     \wedge o Vector
                             = [n \in O\_NODES]
     \wedge \ oRcvACKs
                             = [n \in O\_NODES]
     \wedge rTS
                             = [n \in O\_NODES]
                                                        \mapsto [ver \mapsto 0, tb \mapsto 0]
     \wedge rID
                             = [n \in O\_NODES]
                                                        \mapsto 0
     \wedge rEID
                             = [n \in O\_NODES]
                                                        \mapsto 0
                                                        \mapsto "NOOP"
     \wedge rType
                             = [n \in O\_NODES]
     \land tVersion
                             = [n \in O\_NODES]
                                                        \mapsto 0
     \wedge tState
                             = [n \in O\_NODES]
                                                        \mapsto "valid"
                             = [n \in O\_NODES \mapsto \{\}]
     \wedge tRcvACKs
     \land committedRTS = \{\}
     \land committedREQs = \{\}
     \land oMsqs
                             = \{\}
     \wedge mEID
                             =0
     \land mAliveNodes
                             = O\_NODES
Min(S) \stackrel{\triangle}{=} CHOOSE \ x \in S : \forall \ y \in S \setminus \{x\} : y > x
set\_wo\_min(S) \triangleq S \setminus \{Min(S)\}
 First Command executed once after OInit to initialize owner/readers and oVector state
OInit\_min\_owner\_rest\_readers \stackrel{\triangle}{=}
     \land \forall x \in O\_NODES : tVersion[x] = 0
     \land tVersion' = [n \in O\_NODES \mapsto \text{if } n \in LB\_NODES \text{ Then } 0 \text{ else } 1]
     \land oVector' = [n \in O\_NODES \mapsto \text{IF } n \in set\_wo\_min(APP\_NODES)]
                                                  THEN oVector[n]
                                                  ELSE [readers \mapsto set_wo_min(APP_NODES),
                                                           owner \mapsto Min(APP\_NODES)
     \land unchanged\_Mmrc
     \land UNCHANGED \langle tState, oState, oDriver, oRcvACKs, oTS, tRcvACKs <math>\rangle
 Helper functions
has\_data(n) \stackrel{\Delta}{=} tVersion[n] > 0
has\_valid\_data(n) \stackrel{\triangle}{=} \wedge has\_data(n)
                             \wedge tState[n] = "valid"
is\_owner(n) \triangleq
                    \wedge has\_data(n)
                       \land oVector[n].owner = n
is\_valid\_owner(n) \stackrel{\Delta}{=} \land is\_owner(n)
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\wedge \ oState[n] = "valid"
is\_reader(n) \stackrel{\triangle}{=} \wedge has\_data(n)
                       \wedge \neg is\_owner(n)
                       \land n \notin LB\_NODES
is\_live\_arbiter(n) \stackrel{\triangle}{=}
                              \forall n \in LB\_LIVE\_NODES
                                \forall is\_owner(n)
is\_valid\_live\_arbiter(n) \triangleq
                                      \wedge is\_live\_arbiter(n)
                                        \wedge \ oState[n] = "valid"
is\_requester(n) \triangleq
     \land n \in APP\_LIVE\_NODES
     \land \neg is\_owner(n)
is\_valid\_requester(n) \triangleq
     \land is\_requester(n)
     \land oState[n] = "valid"
is\_in\_progress\_requester(n) \triangleq
     \land is\_requester(n)
     \wedge \ oState[n] = "request"
requester\_is\_alive(n) \stackrel{\Delta}{=} rTS[n].tb \in mAliveNodes
 Timestamp Comparison Helper functions
is\_equalTS(ts1, ts2) \triangleq
     \land ts1.ver = ts2.ver
     \wedge ts1.tb = ts2.tb
is\_greaterTS(ts1, ts2) \triangleq
     \lor \textit{ts} 1. \textit{ver} > \textit{ts} 2. \textit{ver}
     \lor \land ts1.ver = ts2.ver
         \land ts1.tb > ts2.tb
is\_greatereqTS(ts1, ts2) \triangleq
     \vee is\_equalTS(ts1, ts2)
     \lor is\_greaterTS(ts1, ts2)
is\_smallerTS(ts1, ts2) \triangleq \neg is\_greatereqTS(ts1, ts2)
```

Request type Helper functions $is_non_sharing_req(n) \stackrel{\triangle}{=} (rType[n] = "add-owner" \lor rType[n] = "add-reader")$

Post o_vector based on request type and r (requester or 0 if requester is not alive) $post_oVec(n, r, pre_oVec) \triangleq$

```
\begin{split} \text{IF } (rType[n] = \text{``add-owner''} \lor rType[n] = \text{``change-owner''}) \\ \text{THEN } [owner & \mapsto r, \\ readers & \mapsto (pre\_o\textit{Vec.readers} \cup \{pre\_o\textit{Vec.owner}\}) \setminus \{r,\,0\}] \\ \text{ELSE } [owner & \mapsto pre\_o\textit{Vec.owner}, \\ readers & \mapsto \text{IF } rType[n] = \text{``remove-reader''} \\ & \text{THEN } pre\_o\textit{Vec.readers} \setminus \{r,\,0\} \\ & \text{ELSE } & rType[n] = \text{``add-reader''} \\ & & (pre\_o\textit{Vec.readers} \cup \{r\}) \setminus \{0\}] \end{split}
```

Message Helper functions

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Used only to emulate FIFO REQ channels (and not re-execute already completed REQs) not\_completed\_rTS(r\_ts) \stackrel{\triangle}{=} \forall c\_rTS \in committedRTS : c\_rTS \neq r\_ts
```

Messages in oMsgs are only appended to this variable (not removed once delivered) intentionally to check protocols tolerance in dublicates and reorderings $send_omsg(m) \stackrel{\triangle}{=} oMsgs' = oMsgs \cup \{m\}$

```
\begin{array}{cccc} o\_send\_req(r\_ts, \ r\_id, \ r\_type) & \stackrel{\triangle}{=} \\ send\_omsg([type & \mapsto \text{``REQ''}, \\ rTS & \mapsto r\_ts, \\ rID & \mapsto r\_id, \\ rType & \mapsto r\_type, \\ epochID & \mapsto mEID]) \end{array}
```

$$\begin{array}{cccc} o_send_nack(r_ts, \ r_id) & \triangleq \\ send_omsg([type & \mapsto \text{``NACK''}\,, \\ rTS & \mapsto r_ts, \\ rID & \mapsto r_id]) \end{array}$$

$$o_send_inv(sender, driver, o_ts, o_vec, r_ts, r_id, r_type) \triangleq \\ send_omsg([type & \mapsto \text{``S_INV''}, \\ sender & \mapsto sender, \\ driver & \mapsto driver, \\ oTS & \mapsto o_ts, \\ oVector & \mapsto o_vec, \\ rTS & \mapsto r_ts, \\ rID & \mapsto r_id, \\ rType & \mapsto r_type, \\ epochID & \mapsto mEID]) \\ \triangleq \\$$

$$\begin{array}{cccc} o_send_ack(sender,\ o_ts,\ t_version) \triangleq \\ send_omsg([type & \mapsto \text{``S_ACK''},\\ sender & \mapsto sender,\\ oTS & \mapsto o_ts, \end{array}$$

```
tVersion
                                      \mapsto t\_version,
                        epochID
                                      \mapsto mEID
o\_send\_resp(r\_ts, o\_ts, o\_vec, t\_version) \stackrel{\Delta}{=}
         send\_omsg([type
                                      \mapsto "RESP",
                        o\,Vector
                                      \mapsto o\_vec,
                        oTS
                                      \mapsto o_{-}ts,
                        rTS
                                      \mapsto r_{-}ts,
                        t \, Version
                                      \mapsto t\_version,
                        epochID
                                      \mapsto mEID
o\_send\_val(o\_ts) \triangleq
        send\_omsg([type
                                       \mapsto "S_VAL",
                        oTS
                                       \mapsto o_{-}ts,
                        epochID
                                       \mapsto mEID ])
 Operators to check received messages (m stands for message)
o\_rcv\_req(m) \triangleq
    \land m.type = "REQ"
    \land \ m.epochID = mEID
     \land not\_completed\_rTS(m.rTS)
o\_rcv\_nack(m, receiver) \triangleq
     \land m.type = "NACK"
    \wedge m.rTS = rTS[receiver]
    \land m.rID = rID[receiver]
o\_rcv\_resp(m, receiver) \triangleq
    \land m.type
                     = "RESP"
    \land m.epochID = mEID
    \wedge m.rTS
                     = rTS[receiver]
o\_rcv\_inv(m, receiver) \triangleq
     \land m.type
                      = "S_INV"
    \land m.epochID = mEID
     \land m.sender
                      \neq receiver
o\_rcv\_inv\_equal\_ts(m, receiver) \triangleq
     \land o\_rcv\_inv(m, receiver)
     \land is\_equalTS(m.oTS, oTS[receiver])
o\_rcv\_inv\_smaller\_ts(m, receiver) \triangleq
     \land o\_rcv\_inv(m, receiver)
     \land is\_smallerTS(m.oTS, oTS[receiver])
o\_rcv\_inv\_greater\_ts(m, receiver) \stackrel{\Delta}{=}
     \land o\_rcv\_inv(m, receiver)
    \land is\_greaterTS(m.oTS, oTS[receiver])
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```
o\_rcv\_inv\_greatereq\_ts(m, receiver) \stackrel{\triangle}{=}
     \land o\_rcv\_inv(m, receiver)
     \land \neg is\_smallerTS(m.oTS, oTS[receiver])
o\_rcv\_ack(m, receiver) \stackrel{\Delta}{=}
     \land m.type
                      = "S_ACK"
     \land m.epochID = mEID
     \land m.sender \neq receiver
     \land oState[receiver] = "drive"
     \land m.sender \notin oRcvACKs[receiver]
     \land is\_equalTS(m.oTS, oTS[receiver])
o\_rcv\_val(m, receiver) \triangleq
     \land m.type = \text{"S_VAL"}
     \land m.epochID = mEID
     \land oState[receiver] \neq "valid"
     \land is\_equalTS(m.oTS, oTS[receiver])
 Used to not re-issue messages that already exists (and bound the state space)
msg\_not\_exists(o\_rcv\_msg(\_, \_), receiver) \triangleq
         \neg \exists mm \in oMsqs : o\_rcv\_msq(mm, receiver)
rcved\_acks\_from\_set(n, set) \triangleq set \subseteq oRcvACKs[n]
 Check if all acknowledgments from arbiters have been received
has\_rcved\_all\_ACKs(n) \stackrel{\Delta}{=}
     \wedge rEID[n] = mEID
               oVector[n].owner \neq 0
     \wedge IF
        THEN rcved\_acks\_from\_set(n, \{oVector[n].owner\} \cup LB\_LIVE\_ARBITERS(n))
        ELSE \vee \wedge \neg requester\_is\_alive(n)
                    \land rcved\_acks\_from\_set(n, LB\_LIVE\_ARBITERS(n))
                \lor \land oVector[n].readers \neq \{\}
                    \land \exists x \in oVector[n].readers : reved\_acks\_from\_set(n, \{x\} \cup LB\_LIVE\_ARBITERS(n))
 message helper functions related to transactions
t\_send(n, msg\_type, t\_ver) \stackrel{\Delta}{=}
           send\_omsg([type
                                        \mapsto msg\_type,
                          tVersion
                                        \mapsto t_{-}ver,
                          sender
                                         \mapsto n,
                          epochID
                                        \mapsto mEID ])
t\_rcv\_inv(m, receiver) \triangleq
     \land m.type = \text{``T_INV''}
     \land m.epochID = mEID
                    \neq receiver
     \land m.sender
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```
t\_rcv\_ack(m, receiver) \triangleq
                     = "T_ACK"
    \land m.type
    \wedge m.epochID = mEID
    \land m.sender \quad \neq receiver
    \land tState[receiver] = "write"
    \land m.sender \notin tRcvACKs[receiver]
    \land m.tVersion = tVersion[receiver]
t\_rcv\_val(m, receiver) \triangleq
    \land m.type = \text{``T_VAL''}
    \land m.epochID = mEID
    \land tState[receiver] \neq "valid"
    \land m.tVersion = tVersion[receiver]
 Protocol Invariants:
 Valid data are consistent
CONSISTENT\_DATA \triangleq
   \forall k, n \in APP\_LIVE\_NODES : \lor \neg has\_valid\_data(k)
                                           \vee \neg has\_valid\_data(n)
                                           \vee tVersion[n] = tVersion[k]
 Amongst concurrent sharing requests only one succeeds
 The invariant that an we cannot have two REQs committed with same versions
 (i.e., that read and modified the same sharing vector)
ONLY\_ONE\_CONC\_REQ\_COMMITS \triangleq
   \forall x, y \in committedREQs : \forall x.ver \neq y.ver
                                    \vee x.tb = y.tb
 There is always at most one valid owner
AT\_MOST\_ONE\_OWNER \stackrel{\Delta}{=}
   \forall n, m \in mAliveNodes : \lor \neg is\_valid\_owner(n)
                                  \vee \neg is\_valid\_owner(m)
                                  \vee m = n
 Valid owner has the most up-to-date data and version among live replicas
OWNER\_LATEST\_DATA \triangleq
   \forall o, k \in mAliveNodes : \lor \neg is\_valid\_owner(o)
                                 \vee \neg has\_data(o)
                                \lor tVersion[o] \ge tVersion[k]
All valid sharers (LB + owner) agree on their sharing vectors (and TS)
CONSISTENT\_SHARERS \triangleq
   \forall k, n \in mAliveNodes : \lor \neg is\_valid\_live\_arbiter(n)
                                 \vee \neg is\_valid\_live\_arbiter(k)
                                 \vee \wedge oTS[n] = oTS[k]
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```
\land oVector[n] = oVector[k] CONSISTENT\_OVECTORS\_Fwd \triangleq
```

 $\forall \, n \in mAliveNodes: \, \forall \, \neg is_valid_live_arbiter(n) \\ \forall \, \land \, \forall \, r \in oVector[n].readers: \\ \land \, has_data(r)$

 $\land \neg is_valid_owner(r) \\ \land \lor oVector[n].owner = 0$

 $\lor is_owner(oVector[n].owner)$

 $CONSISTENT_OVECTORS_Reverse_owner \ \triangleq \\$

 $\forall o, n \in mAliveNodes : \lor \neg is_valid_owner(o)$

 $\vee \neg is_valid_live_arbiter(n)$

 $\lor oVector[n].owner = o$

 $CONSISTENT_OVECTORS_Reverse_readers \triangleq$

 $\forall r, n \in mAliveNodes : \lor \neg is_reader(r)$

 $\vee \neg is_valid_live_arbiter(n)$

 $\forall r \in oVector[n].readers$

The owner and readers are always correctly reflected by any valid sharing vectors

 $CONSISTENT_OVECTORS \triangleq$

 $\land\ CONSISTENT_OVECTORS_Fwd$

 $\land\ CONSISTENT_OVECTORS_Reverse_owner$

 $\land\ CONSISTENT_OVECTORS_Reverse_readers$