

# Constructive Proof that Nat equals Fix Maybe

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## 1 Intro

After formulating Type Theory for model quantifiers using Pi and Sigma types in 1972[1] Per Martin-Lof added identity Equ types in 1984[2]. Later identity types was extended to non-trivial structural higher equalities as was shown by Martin Hofmann and Thomas Streicher in 1998[3]. However formal constructing of identity type eliminators was made possible after introduction of Cubical Type Theory in 2017[4]. CTT extends MLTT with interval  $I=[0,1]$  and its de Morgan algebra: 0, 1, r, min(r,s), max(r,s) allowing constructive proofs of earlier models based on groupoid interpretation.

In this paper we want to present the constructive formulation of proof that two values of different types are equal using constructive heterogeneous equality. At the end we will use both Path Isomorphism and Univalence for that purposes. During story of comparing two zeros we will show minimal set of primitives needed for performing this task in cubical type checker. Most of them were impossible to derive in pure MLTT. We show these primitives in dependency order while constructing our proof. They cover different topics in type theory, namely:

- Complete Formal Specification of MLTT
- Contractability and Infinity Groupoids
- Constructive J
- Functional Extensionality
- Fibers and Equivalence
- Isomorphism
- $\text{Nat} = \text{Fix Maybe}$

These primitives form a valuable part of base library, so this article could be considered as an brief introduction to several modules: **proto\_path**, **proto\_equiv**, **pi**, **sigma**, **mltt**, **path**, **iso**.

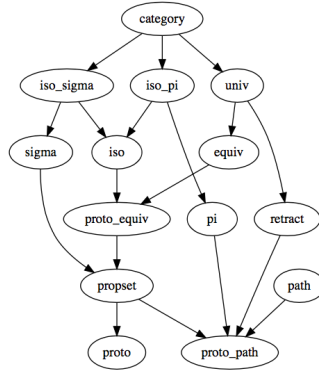


Fig. 1. The Groupoid Infinity base library

## 2 MLTT Type Theory

### 2.1 Syntax Notes

Types are the analogues of sets in ZFC, or objects in topos theory, or spaces in analysis. Types contain elements, or points, or inhabitants and it's denoted  $a : A$  and there is definitional equality which is usually built into the type checker and compares normal forms.

$$a : A \quad (\text{terms and types})$$

$$x = [y : A] \quad (\text{definitional equality})$$

MLTT type theory with Pi and Sigma types was formulated using natural deduction inference rules as a language. The inference rules in that language will be translated to cubicaltt in our article.

$$\frac{(A : U) (B : A \rightarrow U)}{(x : A) \rightarrow B(x) : U} \quad (\text{natural deduction})$$

Equivalent definition in cubicaltt.

$$Pi (A : U) (B : A \rightarrow U) : U = (x : A) \rightarrow B(x) \quad (\text{cubicaltt})$$

The function name is an inference rule name, everything from name to semicolon is context conditions, and after semicolon is a new construction derived from context conditions. From semicolon to equality sign we have type and after equ sign we have the term of that type. If the types are treated as spaces then terms are points in these spaces.

According to MLTT each type has 4 sorts of inference rules: Formation, Introduction, Elimination and Computational rules. Formation rules are formal definitions of

spaces while introduction rules are methods how to create points in these spaces. Introduction rules increase term size, while eliminators reduce term size. Computational rules always formulated as equations that represents reduction rules, or operational semantics.

## 2.2 Pi types

Pi types represent spaces of dependent functions. With Pi type we have one lambda constructor and one application eliminator. When  $B$  is not dependent on  $x : A$  the Pi is just a non-dependent total function  $A \rightarrow B$ . Pi has one lambda function constructor, and its eliminator, the application.

$$Pi(A, B) = \prod_{x:A} B(x) : U, \quad \lambda x.b : \prod_{x:A} B(x)$$

$$\prod_{f:\prod_{x:A} B(x)} \prod_{a:A} f a : B(a)$$

```
Pi (A:U) (B:A->U) : U = (x:A)->B(x)
lambda (A:U) (B:A->U) (a:A) (b:B(a)) : A->B(a) = \ (x:A)->b
app (A:U) (B:A->U) (a:A) (f:A->B(a)) : B(a) = f(a)
```

## 2.3 Sigma types

Sigma types represents a dependent cartesian products. With sigma type we have pair constructor and two eliminators, its first and second projections. When  $B$  is not dependent on  $x : A$  the Sigma is just a non-dependent product  $A \times B$ . Sigma has one pair constructor and two eliminators, its projections.

$$Sigma(A, B) = \sum_{x:A} B(x) : U, \quad (a, b) : \sum_{x:A} B(x)$$

$$\pi_1 : \prod_{f:\sum_{x:A} B(x)} A, \quad \pi_2 : \prod_{f:\sum_{x:A} B(x)} B(\pi_1(f))$$

As Pi and Sigma are dual the Sigma type could be formulated in terms of Pi type using Church encoding, thus Sigma is optional. The type systems which contains only Pi types called Pure or PTS.

```
Sigma (A:U) (B:A->U): U = (x:A) * B(x)
pair (A:U) (B:A->U) (a:A) (b:B(a)): Sigma A B = (a,b)
pr1 (A:U) (B:A->U) (x: Sigma A B): A = x.1
pr2 (A:U) (B:A->U) (x: Sigma A B): B (pr1 A B x) = x.2
```

## 2.4 Equ types

For modeling propositional equality later in 1984 was introduced Equ type. However unlike Pi and Sigma the eliminator J of Equ type is not derivable in MLTT.

$$Equ(x, y) = \prod_{x, y: A} x =_A y : U, \quad reflect : \prod_{a: A} a =_A a$$

$$D : \prod_{x, y: A}^{A: U_i} x =_A y \rightarrow U_{i+1}, \quad J : \prod_{C: D} \prod_{x: A} C(x, x, reflect(x)) \rightarrow \prod_{y: A} \prod_{p: x=_A y} C(x, y, p)$$

Eliminator of Equality has complex form and underivable in MLTT.

```

Equ      (A: U) (x y: A): U = undefined
reflect  (A: U) (a: A): Equ A a a = undefined
D        (A: U) : U = (x y: A) -> Equ A x y -> U
J        (A: U) (x y: A) (C: D A) (d: C x x (reflect A x))
         (p: Equ A x y): C x y p = undefined

```

Starting from MLTT until cubicaltt there was no computational semantics for J rules and in Agda and Coq it was formulated using inductive data types wrapper around built-in primitives (J) in the core:

```

data Equality (A:U) (x y:A) = refl_ (_: Equ A x y)
reflection (A:U) (a:A): Equality A a a = refl_ (reflect A a)

```

Heterogenous equality is needed for computational rule of Equ type. And also this is crucial to our main task, constructive comparison of two values of different types. We leave the definition blank until introduce cubical primitives, here is just MLTT signature of HeteroEqu which is undervable in MLTT.

```

HeteroEqu (A B: U) (a: A) (b: B) (P: Equ U A B) : U = undefined

```

## 2.5 Complete Formal Specification of MLTT

MLTT needn't and hasn't the underlying logic, the Logical Framework could be constructed directly in MLTT. According to Brouwer-Heyting-Kolmogorov interpretation the propositions are types,  $\Pi$  is an universal quantifier,  $\Sigma$  is existential quantifier. Implication is given by  $\Pi$  over types, conjunction is cartesian product of types and disjunction is disjoint sum of types.

So we can build LF for MLTT inside MLTT. Specification could be formulated as a single  $\Sigma$  chain holding the computation system and its theorems in one package. Carrying object along with its properties called type refinement, so this type represents a refined MLTT:

```
MLTT (A:U): U
= (Pi_Former: (A→U)→U)
* (Pi_Intro: (B:A→U) (a:A)→B a→(A→B a))
* (Pi_Elim: (B:A→U) (a:A)→(A→B a)→B a)
* (Pi_Comp1: (B:A→U) (a:A) (f:A→B a) → Equ (B a)
  (Pi_Elim B a (Pi_Intro B a (f a)))(f a))
* (Pi_Comp2: (B: A→U) (a:A) (f:A→B a) →
  Equ (A→B a) f (\(x:A)→Pi_Elim B a f))
* (Sig_Former: (A→U)→U)
* (Sig_Intro: (B:A→U) (a:A)→(b:B a)→Sigma A B)
* (Sig_Elim1: (B:A→U)→(_: Sigma A B)→A)
* (Sig_Elim2: (B:A→U)→(x: Sigma A B)→B (pr1 A B x))
* (Sig_Comp1: (B:A→U) (a:A) (b: B a)→Equ A a
  (Sigma_Elim1 B (Sigma_Intro B a b)))
* (Sig_Comp2: (B:A→U) (a:A) (b:B a)→Equ (B a) b
  (Sigma_Elim2 B (a,b)))
* (Id_Former: A→A→U)
* (Id_Intro: (a:A) → Equ A a a)
* (Id_Elim: (a x: A) (C: predicate A a)
  (d:C a(Id_Intro a))(p:Equ A a x)→C x p)
* (Id_Comp: (x y:A)(C: D A)(p: Equ A x y) (b: C x x (reflect A x))
  (X: Equ U (C x x (reflect A x)) (C x y p)) →
  HeteroEqu X b (J A x C b y p)) * Unit
```

Even more complex challenges on  $\text{Equ}$  type was introduced such as heterogenous equality *HeteroEqu* needed to formulation of computational rule *Id.Comp* of  $\text{Equ}$  type. Presheaf model of Type Theory, specifically Cubical Sets with interval  $[0, 1]$  and its algebra was introduced to solve derivability issues. So the instance of MLTT is packed with all the type inference rules along with operational semantics:

```
instance (A: U): MLTT A
= (Pi A, lambda A, app A, comp1 A, comp2 A,
  Sigma A, pair A, pr1 A, pr2 A, comp3 A, comp4 A,
  Equ A, reflect A, J A, comp5 A, tt)
```

### 3 Path interval operations

The path equality is modeled as an interval  $[0,1]$  with its de Morgan algebra  $0, 1, r, \min(r,s), \max(r,s)$ . According to underlying theory it has lambdas, application, composition and gluing of  $[0,1]$  interval and Min and Max functions over interval arguments. This is enough to formulate and prove path isomorphism and heterogenous equality.

Heterogenous Path:  $(p : \text{Path } U \ A \ B) \rightarrow \text{PathP } p \ A \ B$

Function over  $[0,1]$ :  $\langle i \rangle A$ .

Application of Path to  $[0,1]$ :  $\langle i \rangle p @ i$ .

Path Composition:  $\text{comp } (\text{Path } A \ a \ b) \ x \ []$ .

Path Glueing:  $\text{Glue } A \ B \ [] \rightarrow \text{Path } U \ A \ B$ .

Min:  $\langle i \ j \rangle p @ i \ /\ \ j$ .

Max:  $\langle i \ j \rangle p @ i \ \backslash \ j$ .

```
composition (A : U) (a b c : A) (p : Path A a b)
  (q : Path A b c) : Path A a c
= <i> comp (<j>A) (p @ i) [ (i=1) -> q,
                           (i=0) -> <j> a ]
```

### 4 Contractability and Higher Equalities

A type  $A$  is contractible, or a singleton, if there is  $a : A$ , called the center of contraction, such that  $a = x$  for all  $x : A$ . A type  $A$  is proposition if any  $x, y : A$  are equals. A type is a Set if all equalities in  $A$  form a prop. It is defined as recursive definition.

$$\begin{aligned} isContr &= \sum_{a:A} \prod_{x:A} a =_A x, \quad isProp(A) = \prod_{x,y:A} x =_A y, \quad isSet = \prod_{x,y:A} isProp(x =_A y), \\ isGroupoid &= \prod_{x,y:A} isSet(x =_A y), \quad PROP = \sum_{X:U} isProp(X), \quad SET = \sum_{X:U} isSet(X), \dots \end{aligned}$$

The following types are inhabited: isSet PROP, isGroupoid SET. All these functions are defined in **propset** module.

```
data N = Z | S (n : N)
n_grpd (A : U) (n : N) : U = (a b : A) -> ((rec A a b) n) where
  rec (A : U) (a b : A) : (k : N) -> U = split
    Z -> Path A a b
    S n -> n_grpd (Path A a b) n
```

```
isContr (A : U) : U = (x:A) * ((y : A) -> Equ A x y)
isProp   (A : U) : U = n_grpd A Z
isSet    (A : U) : U = n_grpd A (S Z)
isGroupoid (A : U) : U = n_grpd A (S (S Z))
PROP     : U = (X:U) * isProp X
SET      : U = (X:U) * isSet X
GRPOUPOID : U = (X:U) * isGroupoid X
```

## 5 Constructive J

The very basic ground of type checker is heterogenous equality *PathP* and constructive implementation of reflection rule as lambda over interval  $[0, 1]$  that return constant value  $a$  on all domain.

```

Path (A:U) (a b: A): U = PathP (<i> A) a b
HeteroEqu (A B: U) (a: A) (b: B) (P: Equ U A B) : U = Path P a b
refl (A:U) (a: A): Path A a a = <i> a

```

$$trans : \prod_{p:A \rightarrow B} \prod_{a:A} B, \quad singleton : \prod_{x:A} \sum_{y:A} x =_A y$$

$$subst : \prod_{a,b:A} \prod_{p:a=A} \prod_{e:B(a)} B(b), \quad congruence : \prod_{f:A \rightarrow B} \prod_{a,b:A} \prod_{p:a=A} f(a) =_B f(b)$$

Transport transfers the element of type to another by given path equality of the types. Substitution is like transport but for dependent functions values: by given dependent function and path equality of points in the function domain we can replace the value of dependent function in one point with value in the second point. Congruence states that for a given function and for any two points in the function domain, that are connected, we can state that function values in that points are equal.

```

singl (A:U) (a:A): U = (x: A) * Path A a x
trans (A B:U) (p: Path U A B) (a: A): B = comp p a []
congruence (A B: U) (f:A->B) (a b: A)
  (p: Path A a b): Path B (f a) (f b)
  = <i> f (p @ i)

```

```

subst (A:U) (P:A->U) (a b: A)
  (p: Path A a b) (e: P a): P b
  = trans (P a) (P b) (congruence A U P a b p) e

```

```

contrSingl (A : U) (a b : A) (p : Path A a b):
  Path (singl A a) (a, refl A a) (b, p)
  = <i> (p @ i, <j> p @ i /\ j )

```

Then we can derive J using *contrSingl* and *subst*:

```

J (A : U) (x y: A) (C: D A)
  (d : C x x (refl A x)) (p: Path A x y) : C x y p =
  subst (singl A x) T (x, refl A x) (y, p)
  (contrSingl A x y p) d where T (z : singl A x) : U
  = C (z.1) (z.2)

```

These function are defined in **proto.path** module, and all of them except singleton definition are underivable in MLTT.

## 6 Functional Extensionality

Function extensionality is another example of underivable theorems in MLTT, it states if two functions with the same type and they always equals for any point from domain, we can prove that these function are equal. We distinguish dependent and non-dependent versions by signature, however proof term is the same in both cases.

$$funExtDependent : \prod_{[f,g:(x:A) \rightarrow B(x)]} \prod_{[x:A, p:A \rightarrow f(x)=_{B(x)} g(x)]} f =_{A \rightarrow B(x)} g$$

```
funExtDependent (A: U) (B: A -> U)
  (f g: (x : A) -> B x)
  (p : (x : A) -> Path (B x) (f x) (g x)) :
  Path ((y : A) -> B y) f g = <i> \ (a : A) -> (p a) @ i
```

```
funExt (A B: U) (f g: A -> B)
  (p: (x : A) -> Path B (f x) (g x)):
  Path (A -> B) f g = <i> \ (a : A) -> p a @ i
```

## 7 Fibers and Equivalence

The fiber of a map  $f : A \rightarrow B$  over a point  $y : B$  is family over  $x$  of Sigma pair containing the point  $x$  and proof that  $f(x) =_B y$ .

$$fiber : \prod_{f:A \rightarrow B} \prod_{x:A, y:B} \sum f(x) =_B y, \quad isEquiv : \prod_{f:A \rightarrow B} \prod_{y:B} isContr(fiber(f, y))$$

$$equiv : \sum_{f:A \rightarrow B} isEquiv(f) \quad pathToEquiv : \prod_{p:X=UY} equiv_U(X, Y)$$

Contractability of fibers called isEquiv predicate. The Sigma pair of a function and that predicate called equivalence, or equiv. Now we can prove that singletons are contractible and write a conversion function  $X =_U Y \rightarrow equiv(X, Y)$ .

```
fiber (A B: U) (f: A -> B) (y: B): U = (x: A) * Path B y (f x)
isEquiv (A B: U) (f: A -> B): U = (y: B) -> isContr (fiber A B f y)
equiv (A B: U): U = (f: A -> B) * isEquiv A B f
```

```
singletonIsContractible (A:U) (a:A): isContr (singl A a)
= ((a, refl A a), \ (z:(x:A) * Path A a x) ->
  contrSingl A a z.1 z.2)
```

```
pathToEquiv (A X : U) (p : Path U X A) : equiv X A
= subst U (equiv A) A X p (idEquiv A)
```



## 8 Isomorphism

The general idea to build path between values of different type is first to build isomorphism between types, defined as decode and encode functions ( $f$  and  $g$ ), such that  $f \circ g = id, g \circ f = id$ .

$$Iso(A, B) = \sum_{[f:A \rightarrow B]} \sum_{[g:B \rightarrow A]} \left( \prod_{x:A} [g(f(x)) =_A x] \times \prod_{y:B} [f(g(y)) =_B y] \right)$$

$$isoToEquiv(A, B) : Iso(A, B) \rightarrow Equiv(A, B)$$

$$isoToPath(A, B) : Iso(A, B) \rightarrow A =_U B$$

*lemIso* proof is a bit longread, you may refer to Github repository<sup>1</sup>. The by proof of *isoToEquiv* using *lemIso* we define *isoToPath* as Glue of A and B types, providing *equiv(A, B)*. Glue operation first appear in proving transprt values of different type across their path equalities which are being constructed using encode and decode functions that represent isomorphism. Also Glue operation appears in constructive implementation of Univalence axiom[4].

```
lemIso (A B : U) (f : A → B) (g : B → A)
  (s : (y : B) → Path B (f (g y)) y)
  (t : (x : A) → Path A (g (f x)) x) (y : B) (x0 x1 : A)
  (p0 : Path B y (f (x0))) (p1 : Path B y (f (x1))) :
  Path (fiber A B f y) (x0, p0) (x1, p1) = undefined
```

```
isoToEquiv (A B : U) (f : A → B) (g : B → A)
  (s : (y : B) → Path B (f (g y)) y)
  (t : (x : A) → Path A (g (f x)) x) : isEquiv A B f =
  \ (y : B) → ((g y, <i>s y@-i), \ (z : fiber A B f y) →
    lemIso A B f g s t y (g y) z.1 (<i>s y@-i) z.2)
```

```
isoToPath (A B : U) (f : A → B) (g : B → A)
  (s : (y : B) → Path B (f (g y)) y)
  (t : (x : A) → Path A (g (f x)) x) : Path U A B =
  <i> Glue B [(i=0) → (A, f, isoToEquiv A B f g s t),
    (i=1) → (B, idfun B, idIsEquiv B)]
```

<sup>1</sup> <http://github.com/groupois/infinity/tree/master/priv/iso.ctt>

## 9 Nat = Fix Maybe

```

natToMaybe: nat -> fix maybe = split
  zero -> Fix nothing
  suc n -> Fix (just (natToMaybe n))

```

```

maybeToNat : fix maybe -> nat = split
  Fix m -> split nothing -> zero
             just f -> suc (maybeToNat f)

```

```

natMaybeIso: (a: nat) ->
  Path nat (maybeToNat (natToMaybe a)) a = split
    zero -> <i> zero
    suc n -> <i> suc (natMaybeIso n @ i)

```

```

maybeNatIso : (a : fix maybe) ->
  Path (fix maybe) (natToMaybe (maybeToNat a)) a = split
    Fix m -> split nothing -> <i> Fix nothing
             just f -> <i> Fix (just (maybeNatIso f @ i))

```

```

maybenat_ : Path U (fix maybe) nat
  = isoPath (fix maybe) nat
             maybeToNat natToMaybe
             natMaybeIso maybeNatIso

```

```

> PathElem nat2 nat zero2 zero nat2nat
EVAL: PathP (<!0> Glue nat [ (!0 = 0) -> (nat2,(toNat,(\(y : B)
-> ((g y,<i> (s y) @ -i),\ (z : fiber A B f y) -> lemIso A B f g
s t y (g y) z.1 (<i> (s y) @ -i) z.2)) (A = nat2, B = nat, f =
toNat, g = fromNat, s = fromNatK, t = toNatK))), (!0 = 1) ->
(nat,(\(a : A) -> a) (A = nat),(\(a : A) -> ((a,refl A a),\ (z :
fiber A A (idfun A) a) -> contrSingl A a z.1 z.2)) (A = nat)))]
zero2 zero

```

## 10 Conclusion

As you can see EXE language has enough expressive power to be used for drawing MLTT axioms in computer science articles and papers.

## Bibliography

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