

SPECIAL ISSUE



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GAZETTE NOTICE No. 4934

THE PUBLIC HOLIDAYS ACT

(Cap. 110)

DECLARATION OF PUBLIC HOLIDAY

IN EXERCISE of the powers conferred by Section 3 of the Public Holidays Act, the Minister of State for Provincial Administration and Internal Security declares Monday, the 2nd May, 2011, as a Public Holiday throughout Kenya in accordance with section 4 of the Act.

Dated the 29th April, 2011.

GEORGE SAITOTI,
*Minister of State for Provincial Administration
and Internal Security.*

GAZETTE NOTICE No. 4935

THE EXPORT PROCESSING ZONES ACT

(Cap. 517)

APPOINTMENT

IN EXERCISE of the powers conferred by Section 6 (1) of the Export Processing Zones Act, the Minister for Trade appoints—

RICHARD MUTULE KILONZO

to be the Chief Executive Officer of the Authority for a period of three (3) years, with effect from 21st April, 2011.

Dated the 21st April, 2011.

C. A. MWAKWERE,
Minister for Trade.

GAZETTE NOTICE No. 4936

MINISTRY OF ENVIRONMENT AND MINERAL RESOURCES THE TASK FORCE DRAFTING LEGISLATION TO IMPLEMENT LAND USE, ENVIRONMENT AND NATURAL RESOURCES PROVISIONS OF THE CONSTITUTION

APPOINTMENT

IT IS notified for the general information of the public that the Minister for Environment and Mineral Resources appoints—

**Akunga Momanyi,
Nathans Browne,
Mathew Kimanzi,**

to be members of the Task Force Drafting Legislation Implementing Land use, Environment and Natural Resources provisions of the Constitution, established through Gazette Notice No. 13880 of 2010, with effect from 1st May, 2011.

Dated the 28th April, 2011.

J. N. MICHUKI,
Minister for Environment and Mineral Resources.

GAZETTE NOTICE No. 4937

THE STATE CORPORATIONS ACT

(Cap. 446)

APPOINTMENT

IN EXERCISE of the powers conferred by section 6 (1) (e) of the State Corporations Act, the Minister for Tourism appoint—

Committee Members

**Les Baillie—(Chairman),
Dick Omondi,
S. K. Maina,
Waturi Matu (Ms),
Jane Chege (Ms),
Mike Macharia,
Gina Din Kariuki (Ms),
Johnson Weru,
Fred Kaigua,
Director of Tourism,
Chief Executive, Public Relations Society of Kenya (PRSK),**

Secretariat

**Muriithi Ndegwa,
Beatrice Makawiti (Mrs),
Fred Simiyu,
Bernard Asoro,**

to be M.I.C.E. Committee members and Secretariat for a period of three (3) years, with effect from 16th June, 2010.

Dated the 18th April, 2011.

NAJIB BALALA,
Minister for Tourism.

GAZETTE NOTICE NO. 4938

THE CAPITAL MARKETS ACT

(Cap. 485A)

ANNUAL LICENSEES

IN EXERCISE of powers conferred by section 11 (3) (e) and (f) and pursuant to section 27 (1) (a) of the Capital Markets Act, it is notified for general information that the Capital Markets Authority has granted the following approvals and licences to the companies set out in the schedule hereto:

SCHEDULE

Approved Institutions:

| <i>Name of the Company</i> | <i>Address</i> | <i>Nature of Operation</i> |
|------------------------------------------------------------|----------------------------------------------|----------------------------|
| 1. Acacia Fund Limited | P.O. Box 43233 - 00100, Nairobi | Venture Capital |
| 2. Central Depositories and Settlement Corporation Limited | P.O. Box 3464 - 00100, Nairobi | Central Depository |
| 3. Global Credit Rating Company | P.O. Box 76667, Wendywood 2144, South Africa | Credit Rating Agency |
| 4. The Nairobi Stock Exchange | P.O. Box 43633- 00100, Nairobi | Securities Exchange |

Annual Licensees (for the period January 1, 2011 to December 31, 2011)

Stockbrokers:

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|---------------------------------------|---------------------------------|--------------------|
| 1. ABC Capital Limited | P.O. Box 34137-00100, Nairobi | 01/11 |
| 2. Afrika Investment Bank Limited* | P.O. Box 73253-00200, Nairobi | 02/11 |
| 3. ApexAfrica Capital Limited | P.O. Box 28987-00200, Nairobi | 03/11 |
| 4. Drummond Investment Bank Limited* | P.O. Box 45465-00100, Nairobi | 04/11 |
| 5. Genghis Capital Limited | P.O. Box 9959 - 00100, Nairobi | 05/11 |
| 6. Kestrel Capital (E.A.) Limited | P.O. Box 40005 - 00100, Nairobi | 06/11 |
| 7. Kingdom Securities Limited | P.O. Box 48231 - 00100, Nairobi | 07/11 |
| 8. NIC Capital Securities Limited | P.O. Box 44599-00100, Nairobi | 08/11 |
| 9. Reliable Securities Limited | P.O. Box 50338-00200, Nairobi | 09/11 |
| 10. Sterling Investment Bank Limited* | P.O. Box 45080-00100, Nairobi | 10/11 |

*These licensees are in the process of effecting a change of name to reflect their license status as Stockbrokers. Accordingly, this change of name shall be posted on the Authority website.

Investment Banks

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|---------------------------------------------------|---------------------------------|--------------------|
| 1. African Alliance Kenya Investment Bank Limited | P.O. Box 27639 -00506, Nairobi | 11/11 |
| 2. Barclays Financial Services Limited | P.O. Box 30120 - 00100, Nairobi | 12/11 |
| 3. CBA Capital Limited | P.O. Box 30437-00100, Nairobi | 13/11 |
| 4. CFC Stanbic Financial Services Limited | P.O. Box 47198 - 00100, Nairobi | 14/11 |
| 5. Dyer and Blair Investment Bank Limited | P.O. Box 45396 - 00100, Nairobi | 15/11 |
| 6. Equity Investment Bank Limited | P.O. Box 74454- 00200, Nairobi | 16/11 |
| 7. Faida Investment Bank Limited | P.O. Box 45236-00100, Nairobi | 17/11 |
| 8. NIC Capital Limited | P.O. Box 44599-00100, Nairobi | 18/11 |
| 9. Renaissance Capital (Kenya) Limited | P.O. Box 40560-00100, Nairobi | 19/11 |
| 10. Standard Investment Bank Limited | P.O. Box 13714 - 00800, Nairobi | 20/11 |
| 11. Suntra Investment Bank Limited | P.O. Box 74016 - 00200, Nairobi | 21/11 |

Extended licenses

| | | |
|------------------------------------|-------------------------------|-------|
| Equatorial Investment Bank Limited | P.O. Box 52467-00200, Nairobi | 16/10 |
|------------------------------------|-------------------------------|-------|

Investment Advisers:

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|----------------------------------------------------------|---------------------------------|--------------------|
| 1. Alliance Capital Partners Limited | P.O. Box 12181 - 00100, Nairobi | 22/11 |
| 2. Citidell Company Limited | P.O. Box 185 - 00606, Nairobi | 23/11 |
| 3. Cititrust Kenya Limited | P.O. Box 30711-00100, Nairobi | 24/11 |
| 4. Co-operative Consultancy Services (Kenya) Limited | P.O. Box 48231-00100, Nairobi | 25/11 |
| 5. Deloitte Financial Advisory Limited | P.O. Box 30029-00100, Nairobi | 26/11 |
| 6. Executive & Corporate Advisory Services Kenya Limited | P.O. Box 72216-00200, Nairobi | 27/11 |
| 7. Franklin Management Consultants Limited | P.O. Box 61843-00200, Nairobi | 28/11 |
| 8. Iroko Securities (Kenya) Limited | P.O. Box 66249-00800, Nairobi | 29/11 |
| 9. J.W. Seagon & Co. Limited | P.O. Box 63420-00619, Nairobi | 30/11 |
| 10. Lifestyle Management Limited | P.O. Box 1342 - 00606, Nairobi | 31/11 |
| 11. Loita Asset Management Limited | P.O. Box 39466-00623, Nairobi | 32/11 |
| 12. PricewaterhouseCoopers Associates Limited | P.O. Box 43963 - 00100, Nairobi | 33/11 |
| 13. Regnum Consultants Limited | P.O. Box 11431-00400, Nairobi | 34/11 |
| 14. Standard Chartered Securities (K) Limited | P.O. Box 44286-00100, Nairobi | 35/11 |
| 15. The ProFin Group (Kenya) Limited | P.O. Box 9980 - 00100, Nairobi | 36/11 |
| 16. Tsavo Securities Limited | P.O. Box 9000-00100, Nairobi | 37/11 |
| 17. VFS International (K) Limited | P.O. Box 4-00621, Nairobi | 38/11 |
| 18. Winton Investment Services Limited | P.O. Box 607-00621, Nairobi | 39/11 |

Fund Managers:

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|-----------------------------------------------------------|---------------------------------|--------------------|
| 1. Amana Capital Limited | P.O. Box 9480-00100, Nairobi | 40/11 |
| 2. Apollo Asset Management Company Limited | P.O. Box 30389 – 00100, Nairobi | 41/11 |
| 3. Aureos Kenya Managers Limited | P.O. Box 43233- 00100, Nairobi | 42/11 |
| 4. British American Asset Managers Limited | P.O. Box 30375–00100, Nairobi | 43/11 |
| 5. CIC Asset Management Limited | P.O. Box 59485 – 00200, Nairobi | 44/11 |
| 6. Co-optrust Investment Services Limited | P.O. Box 48231–00100, Nairobi | 45/11 |
| 7. Dry Associates Limited | P.O. Box 684-00606, Nairobi | 46/11 |
| 8. FCB Capital Limited | P.O. Box 26219-00100, Nairobi | 47/11 |
| 9. Genesis (K) Investment Management Limited | P.O. Box 79217–00200, Nairobi | 48/11 |
| 10. ICEA Asset Management Limited | P.O. Box 46143–00100, Nairobi | 49/11 |
| 11. InvesteQ Capital Limited | P.O. Box 56977-00200, Nairobi | 50/11 |
| 12. Madison Asset Management Services Limited | P.O. Box 20092 – 00200 Nairobi | 51/11 |
| 13. Old Mutual Investment Services (K) Limited | P.O. Box 30059–00100, Nairobi | 52/11 |
| 14. Old Mutual Asset Managers (K) Limited | P.O. Box 30059-00100, Nairobi | 53/11 |
| 15. PineBridge Investments East Africa Limited | P.O. Box 67262 – 00200, Nairobi | 54/11 |
| 16. Sanlam Investment Management (K) Limited | P.O. Box 7848-00100, Nairobi | 55/11 |
| 17. Standard Chartered Investment Services Limited | P.O. Box 30003–00100, Nairobi | 56/11 |
| 18. Stanbic Investment Management Services (E.A.) Limited | P.O. Box 30550–00100, Nairobi | 57/11 |
| 19. Zimele Asset Management Company Limited | P.O. Box 76528-00508, Nairobi | 58/11 |

Authorized Depositories

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|-------------------------------------------|---------------------------------|--------------------|
| 1. African Banking Corporation Limited | P.O. Box 46452-00100, Nairobi | 59/11 |
| 2. Barclays Bank of Kenya Limited | P.O. Box 30120–00100, Nairobi | 60/11 |
| 3. CFC Stanbic Bank Limited | P.O. Box 72833–00200, Nairobi | 61/11 |
| 4. Chase Bank (Kenya) Limited | P.O. Box 66049 – 00800, Nairobi | 62/11 |
| 5. Co-operative Bank of Kenya Limited | P.O. Box 48231-00100, Nairobi | 63/11 |
| 6. Equatorial Commercial Bank Limited | P.O. Box 52467-00200, Nairobi | 64/11 |
| 7. Equity Bank Limited | P.O. Box 75104 - 00200, Nairobi | 65/11 |
| 8. I & M Bank Limited | P.O. Box 30238-00100, Nairobi | 66/11 |
| 9. Kenya Commercial Bank Limited | P.O. Box 30664–00100, Nairobi | 67/11 |
| 10. National Bank of Kenya Limited | P.O. Box 72866–00200, Nairobi | 68/11 |
| 11. NIC Bank Limited | P.O. Box 44599 - 00100, Nairobi | 69/11 |
| 12. Prime Bank Limited | P.O. Box 43825- 00100, Nairobi | 70/11 |
| 13. Standard Chartered Bank Kenya Limited | P.O. Box 40984-00100, Nairobi | 71/11 |
| 14. Transnational Bank Limited | P.O. Box 34353-00100, Nairobi | 72/11 |

Dealers:

| <i>Name</i> | <i>Address</i> | <i>Licence No.</i> |
|---------------------------------------|-------------------------------|--------------------|
| 1. Equatorial Investment Bank Limited | P.O. Box 52467-00200, Nairobi | 73/11 |

Approved Collective Investment Schemes:

1. African Alliance Kenya Unit Trust Scheme:
 - i. African Alliance Kenya Shilling Fund.
 - ii. African Alliance Kenya Fixed Income Fund.
 - iii. African Alliance Kenya Managed Fund.
 - iv. African Alliance Kenya Equity Fund.
2. Old Mutual Unit Trust Scheme:
 - i. Old Mutual Equity Fund.
 - ii. Old Mutual Money Market Fund.
 - iii. Old Mutual Balanced Fund.
 - iv. Old Mutual East Africa Fund.
 - v. Old Mutual Bond Fund.
3. British-American Unit Trust Scheme:
 - i. British-American Money Market Fund.
 - ii. British-American Income Fund.
 - iii. British-American Balanced Fund.
 - iv. British-American Managed Retirement Fund.
 - v. British-American Equity Fund.
4. Stanbic Unit Trust Scheme:
 - i. Stanbic Money Market Fund.
 - ii. Stanbic Flexible Income Fund.
 - iii. Stanbic Managed Prudential Fund.
 - iv. Stanbic Equity Fund.
5. Commercial Bank of Africa Unit Trust Scheme:
 - i. Commercial Bank of Africa Money Market Fund.
 - ii. Commercial Bank of Africa Equity Fund.

6. Zimele Unit Trust Scheme:
 - i. Zimele Balanced Fund.
 - ii. Zimele Money Market Fund.
7. Suntra Unit Trust Scheme:
 - i. Suntra Money Market Fund.
 - ii. Suntra Equity Fund.
 - iii. Suntra Balanced Fund.
8. ICEA Unit Trust Scheme:
 - i. ICEA Money Market Fund.
 - ii. ICEA Equity Fund.
 - iii. ICEA Growth Fund.
 - iv. ICEA Bond Fund.
9. Standard Investment Trust Funds:
 - i. Standard Investment Equity Growth Fund.
 - ii. Standard Investment Fixed Income Fund.
 - iii. Standard Investment Balanced Fund.
10. CIC Unit Trust Scheme:
 - i. CIC Money Market Fund.
 - ii. CIC Balanced Fund.
 - iii. CIC Fixed Income Fund.
 - iv. CIC Equity Fund.
11. Madison Asset Unit Trust Funds:
 - i. Madison Asset Equity Fund.
 - ii. Madison Asset Balanced Fund.
 - iii. Madison Asset Money Market Fund.

- iv. Madison Asset Treasury Bill Fund
- v. Madison Asset Bond Fund.

12. Dyer and Blair Unit Trust Scheme:

- i. Dyer and Blair Diversified Fund.
- ii. Dyer and Blair Bond Fund.
- iii. Dyer and Blair Money Market Fund.
- iv. Dyer and Blair Equity Fund.

13. Amana Unit Trust Funds Scheme:

- i. Amana Money Market Fund.
- ii. Amana Balanced Fund.
- iii. Amana Growth Fund.

14. CFC Unit Trust Fund:

- i. CFC Simba Fund.
- ii. CFC Money Market Fund.

15. Diaspora Unit Trust Scheme:

- i. Diaspora Money Market Fund.
- ii. Diaspora Bond Fund.
- iii. Diaspora Equity Fund.

16. First Ethical Opportunities Fund.

Approved Employee Share Ownership Plans (ESOPS):

- 1. EABL Employee Share Ownership Plan.
- 2. Equity Employee Share Ownership Scheme.
- 3. KCB Employee Share Option Plan.
- 4. KENOL Employee Share Ownership Plan.

- 5. ARM Employee Share Ownership Plan.
- 6. AccessKenya Group Employee Share Ownership Plan.
- 7. Scangroup Employee Share Ownership Plan.
- 8. Safaricom Employee Share Ownership Plan.
- 9. Housing Finance Employee Share Ownership Plan.

Dated the 29th April, 2011.

G. WAINAINA,
Director,
Capital Markets Authority

STELLA KILONZO,
Chief Executive,
Capital Markets Authority.

GAZETTE NOTICE NO. 4939

THE CAPITAL MARKETS ACT

(Cap. 485A)

REVOCATION OF LICENCES

NOTICE is given to the members of the public to raise any unresolved and outstanding issues with the following companies within forty five (45) days from the date of publication of this notice:

| <i>Name of Company</i> | <i>Licence Category</i> |
|--------------------------------------------|-------------------------|
| Equilibrium Capital Limited | Investment Adviser |
| Finconsult Limited | Investment Adviser |
| African Alliance Kenya Securities Limited* | Stockbroker |
| African Alliance Kenya Management Limited* | Fund Manager |
| Dubai Bank Kenya Limited* | Authorised Depository |

*These licences are being revoked pursuant to applications by the license holders

Dated the 29th April, 2011.

STELLA KILONZO,
Chief Executive,
Capital Markets Authority.