## Kernel Methods for Deep Learning

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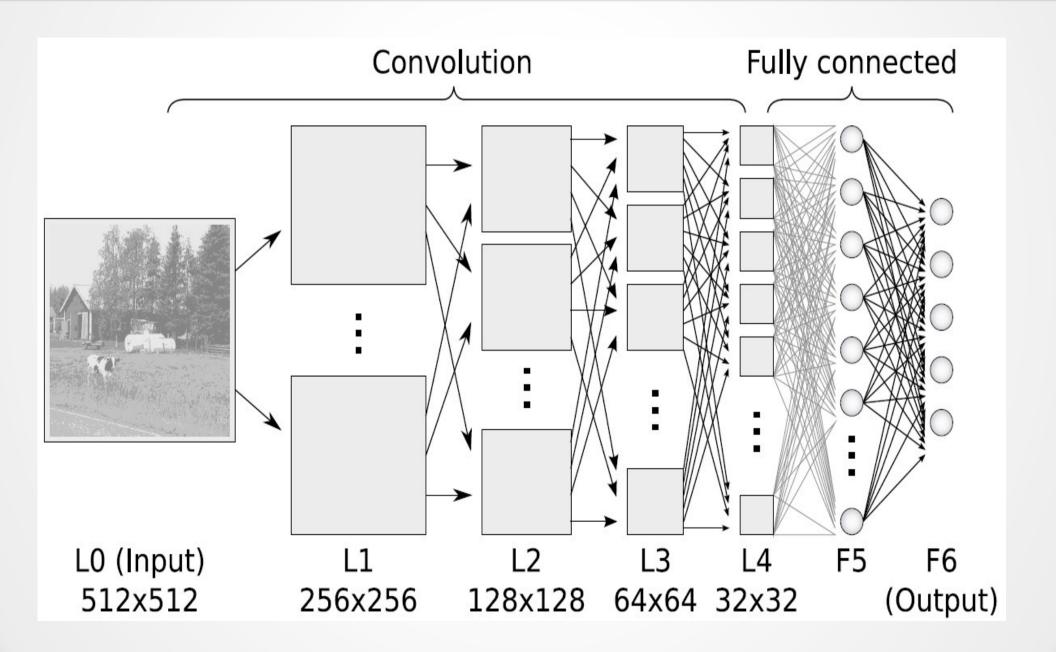
#### Deep Architectures

- Deep architectures learn complex mappings by transforming their inputs through multiple layers of nonlinear processsing.
- These architectures are compositions of many layers of adaptive non-linear components.
- A wide range of functions can be parameterized by composing weakly nonlinear transformations.
- The potential for *combining* unsupervised and supervised methods is an important feature of deep architectures.

#### Deep Architectures

- In deep architecures, the features produced by the lower layers represent *lower-level abstractions*, that are combined to form high level features at the next layer, representing higher-level abstractions. So the fuctions at lower levels of abstractions should capture some simple aspects of data distribution, so that it is possible to learn first the simple functions and then *compose* them to learn more abstract concepts.
- The parameters at all levels of the model are trainable.

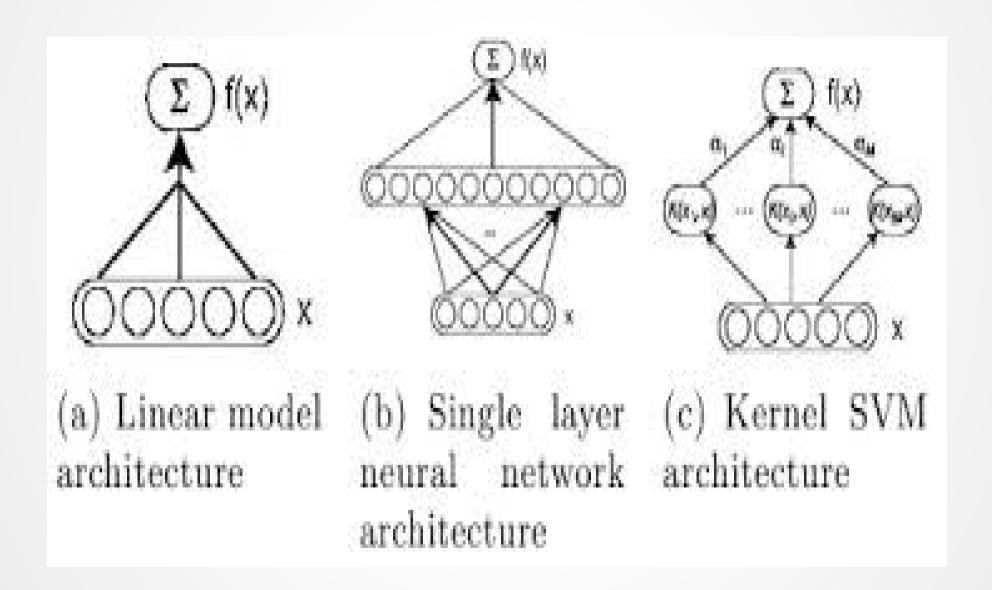
## Deep Architectures



#### **Shallow Architectures**

- Shallow architecures are generally classfied into 3
  - 1. Type-1 architecutures *fixed preprocessing* in the 1<sup>st</sup> layer Eg:- Perceptrons
  - 2. Type-2 architectures *template matchers* in the 1<sup>st</sup> layer Eg:- kernel machines
  - 3. Type-3 architectures *simple trainable basis functions* in the 1<sup>st</sup> layer Eg:- Neural Network with single hidden layer.

#### **Shallow Architectures**



#### The tradeoff

- Deep architectures are genarally more difficult to train than shallow ones. They involve difficult nonlinear optimizations and many heuristics.
- Deep architectures support broad priors, which can favour a wide set of functions. Whereas shallow architectures like SVMs favour a small set of functions.
- Optimization problem associated with Deep Neural Networks etc are non-convex, but SVMs are solving convex optimization problem, hence global minimum can be attained.
- Generally the rule of thumb is to use a deep architecture, if the
  decision function involves *many variations*. If the decision function if
  smooth, then shallow architectures like SVMs can be used.

#### **Arc-cosine Kernels**

These are a new family of kernel functions that mimic the computation in large neural networks. It is defined as

$$k_n(x,y) = 2 \int dw \frac{e^{-\frac{\|w^2\|}{2}}}{(2\pi)^{d/2}} \Theta(w \cdot x) \Theta(w \cdot y) (w \cdot x)^n (w \cdot y)^n$$

where x, y  $\in \Re^d$  and  $\Theta(z) = \frac{1}{2}(1 + sign(z))$ Here n is called the degree of the kernel and  $k_n(x, y)$  is the nth order arc-cosine kernel. Let

$$\theta = \cos^{-1}\left(\frac{x \cdot y}{\|x\| \|y\|}\right)$$

be the angle between inputs x and y

#### **Arc-cosine Kernels**

This can be alternatively represented as

$$k_n(x,y) = \frac{1}{\pi} \|x\|^n \|y\|^n J_n(\theta)$$

where

$$J_n(\theta) = (-1)^n (\sin \theta)^{2n+1} \left( \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} \right)^n \left( \frac{\pi - \theta}{\sin \theta} \right)$$

for n=0,1,2

$$J_0(\theta) = \pi - \theta$$

$$J_1(\theta) = \sin\theta + (\pi - \theta)\cos\theta$$

$$J_2(\theta) = 3\sin\theta\cos\theta + (\pi - \theta)(1 + 2\cos^2\theta)$$

#### **Arc-cosine Kernels**

and

$$k_0(x,x) = 1$$

kernel maps imputs x to the unit hypersphere in feature space

$$k_1(x,x) = \|x\|^2$$

kernel preserves the norm of inputs

$$k_n(x,x) = \|x\|^{2n}$$

kernel expands the dynamic range of inputs

Consider the single layer neural network shown below whose weights  $W_{ij}$  connects the jth input unit to the ith output unit. The network maps input x to output f(x) by applying a non-linear map, thus

$$f(x) = g(W \cdot x)$$

where the non-linearity is described by the network's activation function

$$g_n(z) = \Theta(z)z^n$$

this activation function is called one-sided polynomial activation function, whose graph for different n values is shown below.

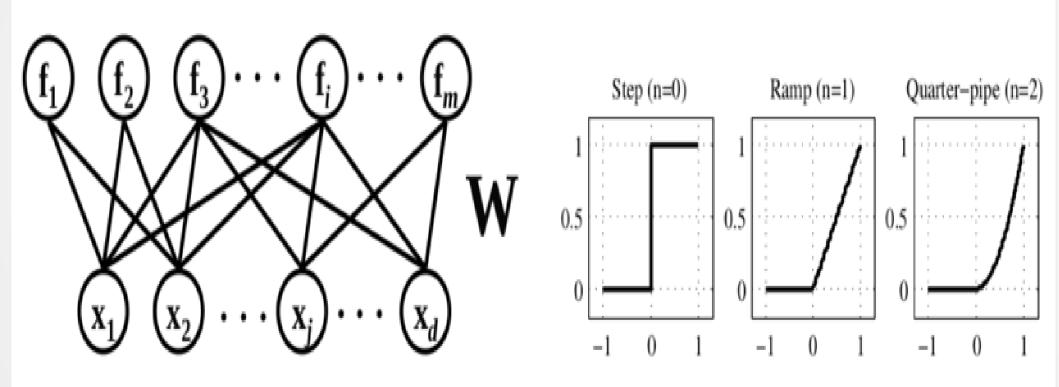


Figure 1: Single layer network and activation functions

let f(x) and f(y) be the outputs corresponding to input data points x and y. Then the inner product of f(x) and f(y) is given by

$$f(x) \cdot f(y) = \sum_{i=1}^{m} \Theta(w_i \cdot x) \Theta(w_i \cdot y) (w_i \cdot x)^n (w_i \cdot y)^n$$

where  $w_i$  denotes the ith row of weight matrix W and m is the no of output units. Assume  $W_{ij}$  are Gaussian distributed with zero mean and unit variance and the network has an infinite number of output units. Then

$$\lim_{m \to \infty} \frac{2}{m} f(x) \cdot f(y) = k_n(x, y)$$

Arc-cosine kernels infeature spaces that mimic the sparse, nonegative, distributed representations of single-layer threshold networks.

#### computation in multilayer networks

$$k^{(l)}(x,y) = \underbrace{\Phi(\Phi \dots \Phi(x))}_{\text{l times}} \cdot \underbrace{\Phi(\Phi \dots \Phi(y))}_{\text{l times}}$$

Motivation: Intuitively, if k(x,y) mimics the computation in a single-layer network, then the iterated mapping above should mimic the computation in a multilayer network.

Induction for iterative computation

$$k_n^{(l+1)}(x,y) = \frac{1}{\pi} \left[ k_n^{(l)}(x,x) \times k_n^{(l)}(y,y) \right]^{\frac{n}{2}} J_n(\theta_n^{(l)})$$

where

$$\theta_n^{(l)} = \cos^{-1}\left(k_n^{(l)}(x,y)\left[k_n^{(l)}(x,x) \times k_n^{(l)}(y,y)\right]^{\frac{-1}{2}}\right)$$

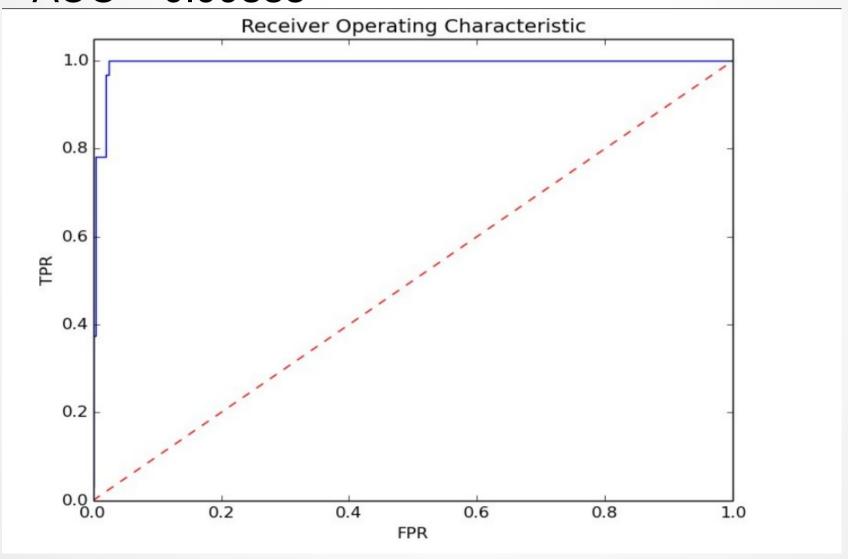
The results reveal that multilayer arc-cosine kernels often perform better than their single-layer counterparts.

# **Experimental Results**

DataSet	Arc Cosine Kernel	Othe Kernel(Best Result)
Synthetic Dataset (Binary Classification)	0.99125 (3,3,1)	0.9975 (gaussian)
Wine Dataset (multiclass – 3 class)	0.97671 (0,3,3,1)	0.98219 (gaussian)
IRIS dataset (multiclass – 3 class)	0.98442 (1,1)	0.97329 (gaussian)
Breast Cancer Wiscosin (Binary classification)	0.9644 (0,1,1)	0.97293 (polynomial)
Digits Dataset (multiclass – 10 class)	0.98709 (3,1,1,1)	0.98559 (polynomial)

## **ROC** Analysis of Breast Cancer Data





#### **MNIST Dataset**

Layer configuration	Accuracy
1	0.9512(1.0)
1 1	0.9581(1.0)
111	0.9607(1.0)
1111	0.9619(1.0)
11111	0.9631(1.0)

## Running time Analysis

#### Analysis of Running Time(in symlight library using iris dataset)

a. Using Arc-cosine kernel (two layer with n values 0, 1)

Operation	%time taken
QP	1.98%
Kernel	18.05%
Argmax	4.78%
Psi(combined feature space)	0.02%
Init	0.01%
Cache Update	58.70%
Cache Const	0.03%
Cache add	3.09%

Running time in CPU-seconds = 2.93

