

1) To solve these recurrence relations we can use substitution method step by step but for these dividing function there is a theorem called masters theorem which is much better than substitution method.

- Master Theorem for Dividing Recurrence Relation Functions

$$T(n) = aT(n/b) + f(n)$$

$$a \geq 1, b > 1 \text{ and } f(n) = \Theta(n^k \log^p n)$$

Cases:

$$1) \text{ if } \log_b a > k \text{ then } \Theta(n^{\log_b a})$$

$$2) \text{ if } \log_b a = k \text{ then}$$

$$\text{if } p > -1 \quad \Theta(n^k \log^{p+1} n)$$

$$\text{if } p = -1 \quad \Theta(n^k \log \log n)$$

$$\text{if } p < -1 \quad \Theta(n^k)$$

$$3) \text{ if } \log_b a < k \quad \text{if } p \geq 0 \quad \Theta(n^k \log^p n)$$

$$\text{if } p < 0 \quad O(n^k)$$

Let's start to solve by using this theorem.

$$d) T(n) = 27T(n/3) + n^2$$

$$(k=2) \quad a=27, b=3 \rightarrow f(n) = \Theta(n^2) = \Theta(n^{\log_b a})$$

$$\log_b a = \log_3^{27} = 3$$

$$\log_b a > k \Rightarrow 3 > 2 \text{ therefore,}$$

$$\Rightarrow \Theta(n^{\log_b a}) = \Theta(n^3) \text{ (case 1)}$$

$$b) T(n) = 9T(n/4) + n$$

$$f(n) = \Theta(n) = \Theta(n^1 \log^0 n) \Rightarrow k=1$$

$$a=9, b=4, \log_b a = \log_4 9 = 1,58$$

$$\log_b a > k \Rightarrow 1,58 > 1 \text{ therefore,}$$

$$\Rightarrow \Theta(n^{\log_b a}) = \Theta(n^{1,58}) \text{ (case 1)}$$

$$c) T(n) = 2T(n/4) + \sqrt{n}$$

$$f(n) = \Theta(\sqrt{n}) = \Theta(n^{1/2} \log^0 n) = \Theta(n^{1/2}) \Rightarrow k = 1/2 = 0,5$$

$$a=2, b=4 \Rightarrow \log_b a = \log_4 2 = 0,5$$

$$\log_b a = k \Rightarrow 0,5 = 0,5 \text{ therefore,}$$

$$\Rightarrow \Theta(n^k \log^{p+1} n) \Rightarrow \Theta(n^{1/2} \log^1 n) \Rightarrow \Theta(n^{1/2} \log n) \text{ (case 2)}$$

$$\Rightarrow \boxed{\Theta(\sqrt{n} \log n)} \quad (2)$$

$$d) T(n) = 2T(\sqrt{n}) + 1$$

To solve this recurrence, I will use substitution method.

$$\Rightarrow T(n) = 2T(n^{1/2}) + 1$$

$$\Rightarrow \text{Substitute } T(n^{1/2}) \text{ as } T(n^{1/2}) = 2T((n^{1/2})^{1/2}) + 1$$

$$\Rightarrow T(n) = 2[2T((n^{1/2})^{1/2}) + 1] + 1$$

$$\Rightarrow T(n) = 4T(n^{1/4}) + 3$$

$$\Rightarrow \text{Substitute } T(n^{1/4}) \text{ as } T(n^{1/4}) = 2T((n^{1/4})^{1/2}) + 1$$

$$\Rightarrow T(n) = 4[2T(n^{1/8}) + 1] + 3$$

$$\Rightarrow T(n) = 8T(n^{1/8}) + 7$$

if we continue k times

$$\Rightarrow T(n) = 2^k T(n^{1/2^k}) + (2^k - 1)$$

$\Rightarrow$  Assume as in 2<sup>nd</sup> question n is a power of 2.

$$\Rightarrow n = 2^m$$

$$\Rightarrow T(2^m) = 2^k T(2^{m/2^k}) + (2^k - 1)$$

$$\Rightarrow \text{Assume } T(2^{m/2^k}) = T(2)$$

$$\frac{m}{2^k} = 1 \Rightarrow m = 2^k \Rightarrow k = \log_2 m$$

$$\text{Since, } n = 2^m$$

$$m = \log_2 n$$

$$k = \log_2(\log_2 n)$$

$$2^k - 1 = 2^{\log_2(\log_2 n)} - 1$$

(By using logarithm property)

$$= \log_2 n^{\log_2 2} = \log_2 n - 1$$

$$\Rightarrow \Theta(\log_2 n - 1) = \Theta(\log_2 n)$$

e)  $T(n) = 2T(n-2), T(0)=1, T(1)=1$

Again, let's use substitution method for this problem with fewer steps.

$$\Rightarrow T(n) = 2T(n-2) \rightarrow \textcircled{1}$$

$$\Rightarrow T(n-2) = 2T(n-4)$$

$$\Rightarrow T(n) = 2[2T(n-4)]$$

$$\Rightarrow T(n) = 4T(n-4) \rightarrow \textcircled{2}$$

$$T(n-4) = 2T(n-6)$$

$$\Rightarrow T(n) = 4[2T(n-6)]$$

$$T(n) = 8T(n-6) \rightarrow \textcircled{3}$$

$$T(n) = 2^k T(n-2k)$$

$$\text{Assume } n - 2k = 0$$

$$n = 2k$$

$$k = n/2$$

$$T(n) = 2^{n/2} T(0)$$

$$T(n) = 2^{n/2} \cdot 1 \quad (T(0) = 1)$$

$$T(n) = 2^{n/2}$$

$$\Rightarrow \boxed{\Theta(2^{n/2})}$$

f)  $T(n) = 4T(n/2) + n, T(1) = 1$

Since, we have a initial condition let's use again substitution method with this initial condition.



$$T(n) = 4T(n/2) + n \rightarrow (1)$$

$$\Rightarrow T(n/2) = 4T((n/2)/2) + n/2$$

$$\Rightarrow T(n) = 4[4T(n/4) + n/2] + n$$

$$\Rightarrow T(n) = 16T(n/4) + 3n \rightarrow (2)$$

$$\Rightarrow T(n/4) = 4T(n/8) + n/4$$

$$\Rightarrow T(n) = 16[4T(n/8) + n/4] + 3n$$

$$\Rightarrow T(n) = 64T(n/8) + 7n \rightarrow (3)$$

⋮

$$\Rightarrow T(n) = 4^k T(n/2^k) + (2^k - 1)n$$

$$\text{Since, } T(1) = 1$$

$$\text{Assume, } \frac{n}{2^k} = 1$$

$$\Rightarrow n = 2^k \Rightarrow k = \log n$$

$$T(n) = 4^{\log n} T(1) + (2^{\log n} - 1)n$$

$$T(n) = 4^{\log n} + 2^{\log n} - 1$$

$$\Rightarrow \Theta(4^{\log n} + 2^{\log n} - 1)$$

$$\Rightarrow \underline{\underline{\Theta(4^{\log n})}}$$

(ignore constant and lower degree functions)

(5)

$$9) T(n) = 2T(\sqrt[3]{n}) + 1, T(3) = 1$$

Apply substitution method;

$$\Rightarrow T(n) = 2T(n^{1/3}) + 1 \rightarrow (1)$$

$$\Rightarrow T(n^{1/3}) = 2T(n^{1/9}) + 1$$

$$\Rightarrow T(n) = 2[2T(n^{1/9}) + 1] + 1$$

$$\Rightarrow T(n) = 4T(n^{1/9}) + 3 \rightarrow (2)$$

$$\Rightarrow T(n^{1/9}) = 2T(n^{1/27}) + 1$$

$$\Rightarrow T(n) = 4[2T(n^{1/27}) + 1] + 3$$

$$\Rightarrow T(n) = 8T(n^{1/27}) + 7 \rightarrow (3)$$

⋮

$$\Rightarrow T(n) = 2^k T(n^{1/3^k}) + (2^k - 1)$$

$$\text{Assume, } n = 3^m$$

$$T(3^m) = 2^k T(3^{m/3^k}) + (2^k - 1)$$

$$\text{Assume, } T(3^{m/3^k}) = T(3) = 1$$

$$\frac{m}{3^k} = 1 \Rightarrow m = 3^k \Rightarrow k = \log_3 m$$

$$\begin{aligned} \text{Since } n = 3^m \\ m = \log_3 n \\ \Rightarrow 2^k - 1 &\Rightarrow 2^{\log_3(\log_3 n)} - 1 \\ &\Rightarrow \log_3 n^{\log_3 2} = \log_3 n^{0.63} - 1 \quad (\log_3 2 = 0.63) \\ \boxed{k = \log_3(\log_3 n)} &\Rightarrow \Theta(\log_3 n^{0.63} - 1) = \boxed{\Theta(\log_3 n^{0.63})} \quad (6) \end{aligned}$$

2)

function  $f(n)$ if  $n \leq 1$ :

print-line("\*\*")

else:

for  $i = 1$  to  $n$  $f(n/2)$ 

end for

If we count how many lines print;

$n$	number of print
0	1
1	1
2	$2 = T(1) * 2$
3	$3 = T(1) * 3$
4	$8 = T(2) * 4$
5	$10 = T(2) * 5$
6	$18 = T(3) * 6$
7	$21 = T(3) * 7$
$\vdots$	$\vdots$
$n$	$T(n/2) * n$

Recurrence relation =  $T(n) = n * T(n/2)$  apply backward subst.

$$\Rightarrow T(n) = n * T(n/2) \rightarrow (1)$$

$$* T(n/2) = \frac{n}{2} T(n/4)$$

$$\Rightarrow T(n) = \frac{n^2}{2} T(n/4) \rightarrow (2)$$

$$* T(n/4) = \frac{n}{4} T(n/8)$$

$$\Rightarrow T(n) = \frac{n^3}{8} T(n/8) \rightarrow (3)$$

$$* T(n/8) = \frac{n}{8} T(n/16)$$

$$\Rightarrow T(n) = \frac{n^4}{64} T(n/16) \rightarrow (4)$$

$$\vdots$$

$$\Rightarrow T(n) = \frac{n^k}{2^{\log^2 k}} T(n/2^k)$$

Assume  $n = 2^k$  (Already given in question)

then  $k = \log n$ , Thus

$$T(n) = n^{\log n} \cdot 2^{-(\log^2 \log n)} \cdot T(1)$$

$$T(n) = n^{\log n} \cdot 2^{-(\log^2 \log n)} \quad T(1) = 1$$

**Result** =  $\Theta(n^{\log n} \cdot 2^{-(\log^2 \log n)})$

3)

Algorithm Function-f ( $A[0 \dots n-1]$ )

1  $\leftarrow$  if  $n = 2$  and  $A[0] > A[1]$ , then swap( $A[0], A[1]$ )

if  $n > 2$  then {

$T(2n/3) \leftarrow$  Function-f ( $A[0 \dots \text{ceil}(2n/3)]$ )

$T(2n/3) \leftarrow$  Function-f ( $A[\text{floor}(n/3) \dots n]$ )

$T(2n/3) \leftarrow$  Function-f ( $A[0 \dots \text{ceil}(2n/3)]$ )

}

$$T(n) = 3T(2n/3) + 1$$

if we use master theorem to solve this

$$\Rightarrow f(n) = \Theta(1) \Rightarrow \Theta(n^0 \log^0 n) \Rightarrow k = 0$$

$$\Rightarrow a, 3, b = 3/2 \Rightarrow \log_b a \Rightarrow \boxed{\log_{1.5} 3 = 2.70}$$

$$\log_b a > k \Rightarrow 2.70 > 0 \text{ therefore,}$$

$$\Rightarrow \Theta(n^{\log_b a}) \Rightarrow \boxed{\Theta(n^{2.70}) \text{ (case 1)}}$$



4) To solve this question I will start with theoretical average case of algorithms. Analysis mostly taken from lecture.

### Average case of Insertion Sort by Using number of swaps (comp)

For each of the  $T_i$ 's are random variables.

If we calculate  $E[T_i]$  we will get;

$$E[T_i] = \sum_{j=1}^i j \cdot P(T_i = j) \rightarrow \text{Probability that there are } j \text{ comparisons in the } i^{\text{th}} \text{ step}$$

From this probability, There are 2 case 1 comparison

$$P(T_i = j) = \begin{cases} \frac{1}{i+1} & \text{if } 1 \leq j \leq i-1 \\ \frac{2}{i+1} & \text{if } j = i \end{cases}$$

$$E[T_i] = \left[ \sum_{j=1}^{i-1} j \cdot \frac{1}{i+1} \right] + i \cdot \frac{2}{i+1}$$

$$\begin{aligned} \Rightarrow \frac{i(i-1)}{2(i+1)} + \frac{2i}{i+1} &= \frac{i^2 - i + 4i}{2(i+1)} = \dots = \sum_{i=1}^{n-1} \frac{1}{2} + 1 - \frac{1}{i+1} \\ &= \underbrace{\frac{n(n-1)}{4}}_{\downarrow} + \underbrace{n-1}_{\downarrow} - \underbrace{\sum_{i=1}^{n-1} \frac{1}{i+1}}_{\downarrow} \\ &\Rightarrow \frac{n(n-1)}{4} + n-1 + H \quad \left\{ \begin{array}{l} \text{Harmonic} \\ \text{series} \end{array} \right. \\ &\Rightarrow \Theta(n^2) \end{aligned}$$

## Swaps in Insertion Sort (Theoretical)

- 1) A sorted list has no swaps.
- 2) A reverse sorted list of size  $n$  has  $\frac{(n-1)n}{2}$  swaps.
- 3) In the average, all lists of size  $n$  have  $\frac{(n-1).n}{4}$  swaps.

## Average case of Quick Sort by using number of swaps (comparison)

Let say  $T_i$ 's are random variables then,

$$T = T_1 + T_2$$

$$T_1 = \text{Partition}$$

$$T_2 = \text{recursive calls therefore,}$$

$$A(n) = E[T] = E[T_1] + E[T_2]$$

If we solve this we will get;

$$A(n) = \frac{1}{2}(n).(n+1) = \frac{1}{2}(n+1).H(n) - \frac{1}{2}(n+1)$$

$$\Rightarrow \boxed{E = \Theta(n \log n)}$$

## Number of swaps in implementation (Python)

I counted the number of swap operations in implementation of algorithms with size of 20 same 1 array and results are following;

Quick sort number of swap = 61

Insertion Sort number of swap = 119

## Analysis and comment

If we compare the theoretical average cases and swap counts of course quick sort algorithm is much better. But Also by looking at this results, we also observe that insertion sort swap count much better than its theoretical performance.

5) To solve these questions first, I will write simple pseudocodes.  
a)

A simple pseudocode can be like this.

Algorithm test( $n$ )

if( $n > 0$ )

$s_1 = \text{test}(n/3) \rightarrow T(n/3)$

$s_2 = \text{test}(n/3) \rightarrow T(n/3)$

$s_3 = \text{test}(n/3) \rightarrow T(n/3)$

$s_4 = \text{test}(n/4) \rightarrow T(n/3)$

$s_5 = \text{test}(n/5) \rightarrow T(n/3)$

combine( $s_1, s_2, s_3, s_4, s_5$ )  $\rightarrow n^2$  (quadratic time)

$T(n) = 5T(n/3) + n^2$  by using masters theorem,

$$f(n) = \Theta(n^2) \Rightarrow \Theta(n^2 \log^0 n) \Rightarrow \boxed{k=2}$$

$$a=5, b=3 \Rightarrow \log_b a \Rightarrow \log_3 5 = 1.46$$

$$\log_b a < k \Rightarrow 1.46 < 2 \text{ therefore,}$$

$$\Rightarrow \Theta(n^2) \Rightarrow \boxed{O(n^2)} \text{ (case 3)}$$

b) Algorithm test( $n$ )

if( $n > 0$ )

$s_1 = \text{test}(n/2) \rightarrow T(n/2)$

$s_2 = \text{test}(n/2) \rightarrow T(n/2)$

combine( $s_1, s_2$ )  $\rightarrow n^2$



$$T(n) = 2T(n/2) + n^2 \quad \text{by using master's theorem,}$$

$$f(n) = \Theta(n^2) \Rightarrow \Theta(n^2 \log^a n) \Rightarrow k=2$$

$$a=2, b=2 \Rightarrow \log_b^a \Rightarrow \log_2^2 = 1$$

$$\log_b^a < k \Rightarrow 1 < 2 \quad \text{therefore,}$$

$$\Theta(n^2) \Rightarrow \boxed{O(n^2)} \quad (\text{case 3})$$

c)

Algorithm test(n)

if (n > 0)

    s1 = test(n-1)  $\rightarrow T(n-1)$

    combine(s1)  $\rightarrow n$

$$T(n) = T(n-1) + n \quad \text{by using substitution method,}$$

$$\Rightarrow T(n-1) = T(n-2) + n-1$$

$$\Rightarrow T(n) = T(n-2) + 2n-1 \rightarrow \textcircled{2}$$

$$\Rightarrow T(n-2) = T(n-3) + n-2$$

$$\Rightarrow T(n) = T(n-3) + 3n-3 \rightarrow \textcircled{3}$$

⋮

$$T(n) = T(n-k) + (n-k-1) + (n-k-2) + \dots + n-1 + n$$

Assume  $n-k=0$

$$n=k$$

$$T(n) = T(0) + 1 + 2 + 3 + \dots + (n-1) + n$$

$$T(n) = 1 + \frac{n \cdot (n+1)}{2} \quad (\text{Assume } T(0) \text{ is } 1)$$

$$\rightarrow T(n) = \frac{n^2 + n}{2} + 1 \quad \text{Thus,}$$

$$\boxed{= O(n^2)}$$

Lastly, if we compare these three algorithms,

$$a = b = c \Rightarrow O(n^2) = O(n^2) = O(n^2)$$

Since they are in same asymptotical order, I would choose any of them.

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